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## Shear-induced alignment of block copolymer worms in mineral oil†

Matthew J. Derry, \*‡, Oleksandr O. Mykhaylyk  and Steven P. Armes 

Poly(stearyl methacrylate)–poly(benzyl methacrylate) [PSMA–PBzMA] diblock copolymer worms were synthesized directly in mineral oil *via* reversible addition–fragmentation chain transfer (RAFT) dispersion polymerization at 90 °C. Free-standing gels were obtained from this polymerization-induced self-assembly (PISA) formulation when targeting PSMA<sub>13</sub>–PBzMA<sub>65</sub> dispersions at 5% w/w to 20% w/w copolymer concentration. Gel permeation chromatography (GPC) studies indicated that almost identical copolymer chains were obtained in all cases, while transmission electron microscopy (TEM) and small-angle X-ray scattering (SAXS) studies confirmed that highly anisotropic worms were formed with mean cross-sectional diameters of 11.9–13.1 nm. These worms undergo a thermoreversible worm-to-sphere transition on heating up to 150 °C. Rheological studies were conducted to characterize the shear rate- and concentration-dependent behaviour caused by this change in copolymer morphology, where the initial shear-thinning worm gels form spheres (*i.e.* a Newtonian fluid) on heating up to 150 °C. Complementary shear-induced polarized light imaging (SIPLI) experiments confirmed the formation of aligned linear worms under applied shear between 80 °C and 110 °C, with high-viscosity dispersions of branched worms being obtained at 20–60 °C and low-viscosity spheres being produced at 150 °C. This study informs the use of such block copolymer worms as rheology modifiers for non-polar oils, which is of potential interest for the automotive industry.

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## Introduction

Block copolymer worms have been known for several decades.<sup>1–3</sup> Traditionally, they have been prepared using a post-polymerization processing protocol *via* either a solvent switch<sup>4,5</sup> or by direct dissolution.<sup>1</sup> Their highly anisotropic morphology leads to relatively high solution viscosity.<sup>1,6</sup> Indeed, block copolymer worms have been recently evaluated as thickeners for silicone oils.<sup>7</sup> Above a certain critical copolymer concentration, block copolymer worms form free-standing gels.<sup>8</sup> In the case of aqueous worm gels, this has led to various potential biomedical applications as 3D matrices for cell culture,<sup>9–11</sup> cryopreservation of red blood cells,<sup>12</sup> or the long-term storage of human stem cells.<sup>13</sup> Alternatively, Discher and co-workers examined degradable block copolymer worms in the context of drug delivery applications.<sup>14–16</sup>

Over the past decade or so, polymerization-induced self-assembly (PISA) has become widely recognized as a powerful

platform technology for the rational synthesis of block copolymer nano-objects in the form of concentrated colloidal dispersions.<sup>17–21</sup> PISA has proved to be particularly useful for the identification of suitable diblock copolymer compositions and synthesis conditions that enable the formation of purely linear worms in various solvents.<sup>22,23</sup> More specifically, various diblock copolymer worm gels have been prepared *via* PISA syntheses conducted in water,<sup>17</sup> lower alcohols<sup>18,19</sup> and *n*-alkanes<sup>18</sup> using reversible addition–fragmentation chain transfer (RAFT)-mediated polymerization.<sup>24–26</sup>

For example, we reported the synthesis of poly(stearyl methacrylate)–poly(benzyl methacrylate) [PSMA–PBzMA] diblock copolymer spheres, worms or vesicles directly in mineral oil *via* RAFT dispersion polymerization. The construction of a pseudo-phase diagram for this PISA formulation indicated a very narrow worm phase.<sup>27</sup> Nevertheless, a pure worm morphology could be accessed at copolymer concentrations ranging from 5.0 to 20% w/w when targeting PSMA<sub>13</sub>–PBzMA<sub>65</sub>. Similarly, poly(lauryl methacrylate)–poly(benzyl methacrylate) [PLMA–PBzMA] worms can be prepared in *n*-heptane,<sup>28</sup> *n*-dodecane,<sup>23</sup> mineral oil<sup>29</sup> or a poly( $\alpha$ -olefin).<sup>29</sup> Interestingly, a thermoreversible worm-to-sphere transition is observed on heating such dispersions. This is caused by surface plasticization of the core-forming PBzMA block by ingress of hot solvent. This leads to a subtle change in the relative volume

Department of Chemistry, University of Sheffield, Dainton Building, Brook Hill, Sheffield, S3 7HF, UK. E-mail: m.derry@aston.ac.uk

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‡ Present address: Aston Institute of Materials Research, Aston University, Aston Triangle, Birmingham, B4 7ET, UK.



fractions of the solvophobic and solvophilic blocks and thus the preferred diblock copolymer morphology.<sup>23,30,31</sup> Although it has been demonstrated that these PSMA–PBzMA worm-like nanoparticles can act as a thickener for mineral oil,<sup>30,31</sup> their shear-dependent behavior has not been investigated. It is well-known that such block copolymer worms become aligned when subjected to an applied shear,<sup>32</sup> and in the case of worm gels this typically leads to shear-induced flow.<sup>33–35</sup> Such worm alignment can be studied by combining scattering techniques such as small-angle X-ray scattering (SAXS) with rheological experiments (in particular, using a Couette shear cell).<sup>33,34</sup>

Above a certain critical shear rate, worm gels display shear-thinning behavior owing to alignment of these anisotropic particles in the direction of the flow.<sup>11,22</sup> This relationship between viscosity and shear alignment can be assessed using an opto-rheological technique known as shear-induced polarized light imaging (SIPLI).<sup>36–39</sup> SIPLI uses crossed polarizers to monitor the interaction of a sample with linearly polarized white light, with the presence of a Maltese cross motif in the resulting polarized light images (PLIs) indicating sample birefringence owing to structural morphology alignment. Originally, this technique was developed to monitor the shear-induced crystallization of thermoplastic polymers.<sup>36,37</sup> In this case, birefringence occurs as a result of the alignment of stretched polymer chains at the onset of shear flow, followed by nucleation of oriented fibrils formed by the polymer chains. More recently, SIPLI has been used to examine the shear alignment of native silk proteins,<sup>38</sup> diblock copolymer lamellae,<sup>39,40</sup> worm-like micelles comprising small molecule gelators,<sup>41</sup> and, of particular relevance to the present work, poly(glycerol monomethacrylate)-poly(2-hydroxypropyl methacrylate) worms dispersed in aqueous media.<sup>22</sup>

Herein, the rheological properties of PSMA<sub>13</sub>–PBzMA<sub>x</sub> diblock copolymer worm gels prepared in mineral oil are assessed over a range of copolymer concentrations. This enables important physical properties such as the critical gelation temperature (CGT) and the critical gelation concentration (CGC) to be determined. Moreover, the shear-induced alignment of these worm gels is monitored using the SIPLI technique as a function of temperature, shear rate and copolymer concentration.

## Experimental

### Materials

Stearyl methacrylate (SMA) and benzyl methacrylate (BzMA) were each purchased from Sigma-Aldrich (UK) and passed in turn through a basic alumina column to remove inhibitor prior to use. *tert*-Butyl peroxy-2-ethylhexanoate (T21s) initiator was purchased from AkzoNobel (The Netherlands). Cumyl dithiobenzoate (CDB), CDCl<sub>3</sub>, and all other reagents were purchased from Sigma-Aldrich (UK) and were used as received, unless otherwise noted. THF, *n*-heptane and toluene were purchased from Fisher Scientific (UK), CD<sub>2</sub>Cl<sub>2</sub> was purchased from Goss Scientific (UK) and industrial-grade mineral oil was provided by

The Lubrizol Corporation (UK). All solvents were used as received.

### Synthesis of the poly(stearyl methacrylate) precursor

The synthesis of the PSMA<sub>13</sub> precursor used in this work, *via* RAFT solution polymerization in toluene using CDB at 70 °C, has been described previously.<sup>27</sup> THF gel permeation chromatography (GPC) studies indicated an  $M_n$  of 5700 g mol<sup>-1</sup> and an  $M_w/M_n$  of 1.17, while the mean degree of polymerization (DP) was determined to be 13 *via* end-group analysis using <sup>1</sup>H NMR spectroscopy.

### Synthesis of poly(stearyl methacrylate)–poly(benzyl methacrylate) diblock copolymer worms

The PISA synthesis of the PSMA<sub>13</sub>–PBzMA<sub>x</sub> diblock copolymer worms used in this study has been described previously.<sup>27</sup> Specifically, a typical RAFT dispersion polymerization synthesis of PSMA<sub>13</sub>–PBzMA<sub>65</sub> worms in mineral oil targeting 20% w/w solids was conducted as follows: a 10 mL round-bottomed flask was charged with benzyl methacrylate (BzMA; 0.368 g; 2.09 mmol), T21s initiator (1.39 mg; 6.42 μmol; dissolved at 10.0% v/v in mineral oil), the PSMA<sub>13</sub> precursor (0.15 g; 32.1 μmol; PSMA<sub>13</sub>/T21s molar ratio = 5.0) and mineral oil (2.07 g). The reaction mixture was purged with nitrogen gas for 30 min and the deoxygenated solution was then placed in a pre-heated oil bath at 90 °C for 5 h (final BzMA conversion = 98%;  $M_n$  = 12 700 g mol<sup>-1</sup>,  $M_w/M_n$  = 1.15).

### Gel permeation chromatography

Molecular weight distributions (MWDs) were assessed by GPC using THF eluent. The GPC instrument was equipped with two 5 μm (30 cm) Mixed C columns and a WellChrom K-2301 refractive index detector operating at 950 ± 30 nm. The mobile phase contained 2.0% v/v triethylamine and 0.05% w/v butylhydroxytoluene (BHT) with a toluene flow rate marker and the flow rate was fixed at 1.0 mL min<sup>-1</sup>. A series of ten near-monodisperse poly(methyl methacrylate) standards ( $M_p$  values ranging from 645 to 2 480 000 g mol<sup>-1</sup>) were used for calibration.

### <sup>1</sup>H NMR spectroscopy

<sup>1</sup>H NMR spectra were recorded in either CD<sub>2</sub>Cl<sub>2</sub> or CDCl<sub>3</sub> using a Bruker AV1-400 or AV1-250 MHz spectrometer. Typically, sixty-four scans were averaged per spectrum.

### Transmission electron microscopy

Transmission electron microscopy (TEM) studies were conducted using a Philips CM 100 instrument operating at 100 kV and equipped with a Gatan 1k CCD camera. Diluted block copolymer solutions (0.10% w/w) were placed on carbon-coated copper grids and exposed to ruthenium(III) oxide vapor for 7 min at 20 °C prior to analysis.<sup>42</sup> This heavy metal compound acted as a positive stain for the core-forming PBzMA block to enhance electron contrast. The ruthenium(III) oxide was prepared as follows: ruthenium(IV) oxide (0.30 g) was added to water (50 g) to form a black slurry; addition of sodium



periodate (2.0 g) with stirring produced a yellow solution of ruthenium(viii) oxide within 1 min.

### Small-angle X-ray scattering

Small-angle X-ray scattering (SAXS) patterns were recorded at 20 °C using a synchrotron source (Diamond Light Source, station I22, Didcot, UK). A monochromatic X-ray radiation (wavelength  $\lambda = 0.1001$  nm) and a 2D Pilatus 2M detector (Dectris, Switzerland) were used for the experiments. The SAXS instrument was configured to cover the  $q$  range from  $0.015 \text{ nm}^{-1}$  to  $1.5 \text{ nm}^{-1}$ , where  $q = 4\pi \sin \theta / \lambda$  is the modulus of the scattering vector and  $\theta$  is one half of the scattering angle. A 2.0 mm round glass capillary was used as a sample holder. X-ray scattering data were reduced (integration and calibration) using Dawn software version 1.7.1<sup>43</sup> and were further analyzed (background subtraction and data modelling) using the Irena SAS macro for Igor Pro.<sup>44</sup> SAXS experiments were conducted on 1.0% w/w dispersions of PSMA<sub>13</sub>-PBzMA<sub>x</sub> nano-objects in mineral oil.

### Oscillatory rheology studies

A TA Instruments AR-G2 rheometer equipped with a variable temperature Peltier plate and a 40 mm 2° aluminum cone was used for all nanoparticle dispersion measurements. The storage ( $G'$ ) and loss ( $G''$ ) moduli were determined as a function of (i) angular frequency (from 0.1 to 100  $\text{rad s}^{-1}$  at 20 °C with a fixed strain of 1.0%), and (ii) temperature (from 20 to 90 °C at 5 °C intervals with a fixed strain of 1.0% and an angular frequency of 10  $\text{rad s}^{-1}$ ). During thermal cycles, 5 min was allowed for thermal equilibration prior to each measurement. A TA Instruments Discovery HR-3 rheometer equipped with a variable temperature Peltier plate and a 60 mm 2° aluminum cone was used to acquire the viscosity-temperature profile for mineral oil on heating from 20 °C to 147 °C at 5 °C  $\text{min}^{-1}$ .

### Shear-induced polarized light imaging

A mechano-optical rheometer (Anton Paar Physica MCR301 with SIPLI attachment) was used for all rotational shear alignment experiments. These measurements were performed using a plate-plate geometry composed of a 25 mm polished steel (top rotating) plate and a fused quartz (bottom fixed) plate connected to a variable temperature Peltier system. The gap between plates was set at 1 mm and an additional Peltier hood was used to control the sample temperature. The dispersion viscosity was determined as a function of temperature at a

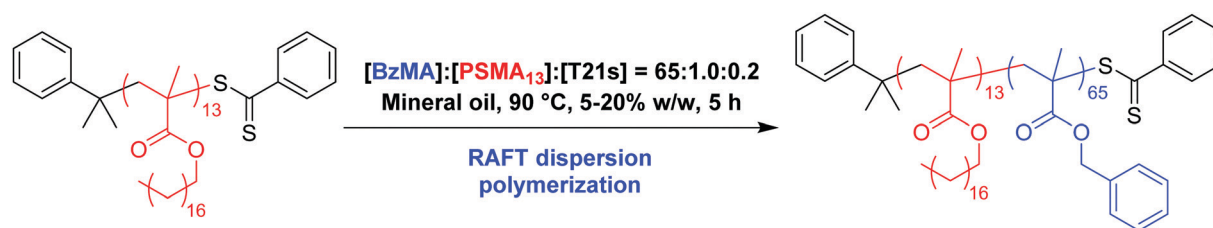
heating/cooling rate of 2.0 °C  $\text{min}^{-1}$  and a constant angular speed of 0.08  $\text{rad s}^{-1}$ , 0.8  $\text{rad s}^{-1}$  or 8.0  $\text{rad s}^{-1}$ , which provides a maximum (sample edge) shear rate of 1, 10 or 100  $\text{s}^{-1}$ , respectively. An Edmund Optics 150 W MI-150 high intensity fiber-optic white light source was used for sample illumination. The polarizer and analyzer axes were crossed at 90° to obtain PLIs), which were recorded using a color CCD camera (Lumenera Lu165c).

## Results and discussion

The PSMA<sub>13</sub> precursor was chain-extended *via* RAFT dispersion polymerization of BzMA at 90 °C targeting PSMA<sub>13</sub>-PBzMA<sub>65</sub> worms (see Scheme 1). Highly anisotropic worms were obtained over a range of copolymer concentrations (from 5.0 to 20% w/w solids) and analyzed using <sup>1</sup>H NMR spectroscopy, GPC, TEM and SAXS (see Table 1 and Fig. 1, 2).

GPC analysis confirms the synthesis of the targeted PSMA<sub>13</sub>-PBzMA<sub>65</sub> diblock copolymers with very similar identical molecular weight distributions being obtained for each copolymer concentration (see Fig. S1, ESI†). Moreover, comparison to the GPC curve recorded for the PSMA<sub>13</sub> precursor indicates that a relatively high blocking efficiency was achieved in each case. TEM studies confirm that the target PSMA<sub>13</sub>-PBzMA<sub>65</sub> diblock copolymers form a highly anisotropic worm-like morphology, see Fig. 1.

SAXS analysis was also conducted to confirm the worm morphology (Fig. 2). This is because TEM only enables the copolymer morphology to be assessed for a few hundred nanoparticles after removing all traces of solvent under ultra-high vacuum. In contrast, SAXS is averaged over millions of nanoparticles within the 'wet' dispersion, thus providing a more statistically robust assessment. All SAXS patterns exhibited at low  $q$  a scattered intensity gradient of approximately  $-1$ , which is consistent with a highly anisotropic worm morphology.<sup>23,45,46</sup> Moreover, the local minimum observed at  $q \sim 0.5 \text{ nm}^{-1}$  in each case indicated a mean worm core diameter ( $D_{\text{wc}}$ ) ranging between 11.9 and 13.1 nm (see Table 1). However, the SAXS patterns recorded for worms prepared at 15 and 20% w/w deviate from the worm-like micelle model at low  $q$ . This suggests the presence of branched worms formed when higher copolymer concentrations are targeted during synthesis,<sup>22</sup> which is important when considering the shear alignment of such anisotropic nanoparticles.



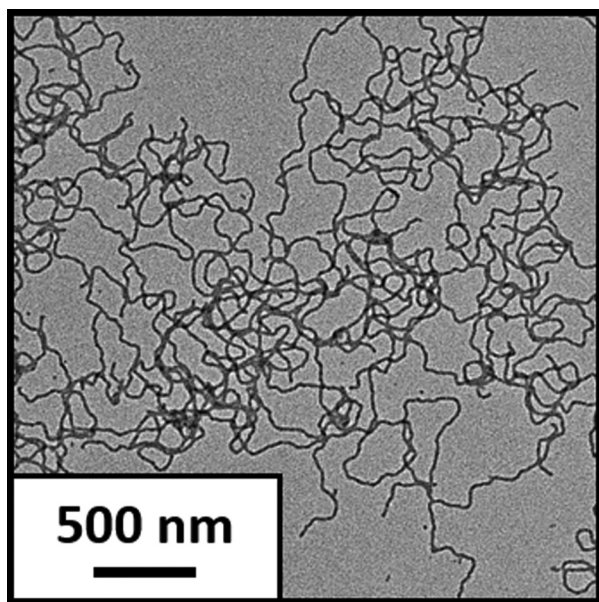
**Scheme 1** Synthesis of targeted poly(stearyl methacrylate)<sub>13</sub>-poly(benzyl methacrylate)<sub>65</sub> (PSMA<sub>13</sub>-PBzMA<sub>65</sub>) worms *via* RAFT dispersion polymerization of BzMA in mineral oil at 90 °C. Final copolymer concentrations ranged from 5.0 to 20% w/w solids.



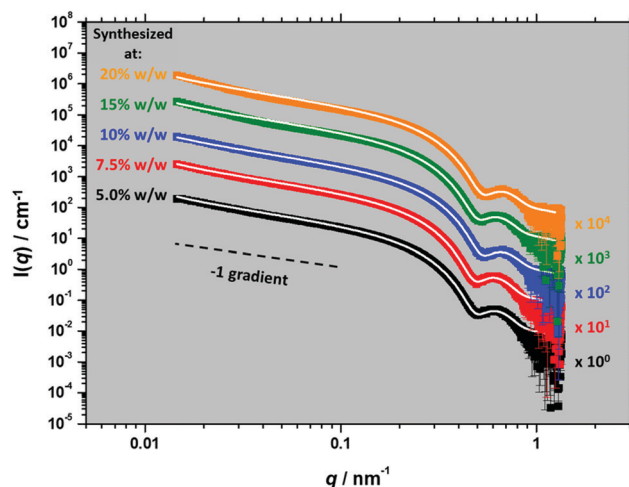
**Table 1** Summary of the copolymer concentration, final monomer conversion, GPC molecular weight data, assigned copolymer morphology and mean worm core cross-section diameter ( $D_{wc} = 2R_{wc}$ , where  $R_{wc}$  is the mean worm core radius measured by SAXS) and its standard deviation ( $\sigma_{D_{wc}}$ ) when targeting PSMA<sub>13</sub>-PBzMA<sub>65</sub> worms prepared by RAFT dispersion polymerization of BzMA in mineral oil at 90 °C using T21s initiator and a PSMA<sub>13</sub> precursor at a [PSMA<sub>13</sub>]/[T21s] molar ratio of 5.0. Relevant data for the PSMA<sub>13</sub> precursor is included as a reference

Copolymer conc. (% w/w)	BzMA conversion <sup>a</sup> (%)	Actual copolymer composition <sup>a</sup>	$M_n^b$ (g mol <sup>-1</sup> )	$M_w/M_n^b$	Copolymer morphology <sup>cd</sup>	$D_{wc} \pm \sigma_{D_{wc}}^d$ (nm)
—	—	PSMA <sub>13</sub>	4900	1.17	—	—
5.0	98	PSMA <sub>13</sub> -PBzMA <sub>64</sub>	13 300	1.19	Worms	13.0 ± 1.4
7.5	96	PSMA <sub>13</sub> -PBzMA <sub>62</sub>	12 200	1.19	Worms	13.1 ± 1.4
10	97	PSMA <sub>13</sub> -PBzMA <sub>63</sub>	13 100	1.17	Worms	12.0 ± 1.2
15	98	PSMA <sub>13</sub> -PBzMA <sub>64</sub>	12 800	1.16	Worms	12.6 ± 1.4
20	98	PSMA <sub>13</sub> -PBzMA <sub>64</sub>	12 700	1.15	Worms	11.9 ± 1.3

<sup>a</sup> Determined by <sup>1</sup>H NMR spectroscopy. <sup>b</sup> Determined by THF GPC against poly(methyl methacrylate) standards. <sup>c</sup> Assigned by TEM. <sup>d</sup> Determined by fitting representative SAXS patterns (obtained for 1.0% w/w copolymer dispersions in mineral oil) to an established worm-like micelle model.<sup>48</sup>



**Fig. 1** Representative transmission electron micrograph recorded for a 0.10% w/w dispersion of PSMA<sub>13</sub>-PBzMA<sub>63</sub> worms prepared in mineral oil at 10% w/w solids.



**Fig. 2** Representative SAXS patterns recorded at 20 °C for 1.0% w/w dispersions of PSMA<sub>13</sub>-PBzMA<sub>x</sub> worms prepared in mineral oil at 5.0% w/w (black symbols), 7.5% w/w (red symbols), 10% w/w (blue symbols), 15% w/w (green symbols) or 20% w/w (orange symbols). The white lines for each SAXS pattern indicate the data fit obtained when using an established worm-like micelle model (eqn (S1)–(S8), ESI†).<sup>48</sup> Each pattern and its corresponding data fit is offset by the stated arbitrary factor for the sake of clarity.

These SAXS patterns were recorded over a relatively limited  $q$  range of  $\sim 0.015$ – $1.5$  nm<sup>-1</sup>. Thus, scattering angles required for measuring the mean worm contour length ( $L_w$ ), which is typically indicated by a subtle feature at  $q < 0.01$  nm<sup>-1</sup>, were not resolved in the experiment. Furthermore, if sufficient worm branching exists this  $L_w$  feature may not be observed.<sup>47</sup> For PLMA<sub>16</sub>-PBzMA<sub>37</sub> worms prepared in *n*-dodecane *via* RAFT dispersion polymerization,<sup>23</sup>  $L_w$  was found to be 591 nm from SAXS patterns recorded over a wider  $q$  range ( $0.005$  nm<sup>-1</sup>  $< q < 1.6$  nm<sup>-1</sup>), with this analysis requiring the combination of data collected at both short (for  $0.08$  nm<sup>-1</sup>  $< q < 1.6$  nm<sup>-1</sup>) and long (for  $0.005$  nm<sup>-1</sup>  $< q < 0.12$  nm<sup>-1</sup>) sample-to-detector distances.<sup>49</sup> In principle, a population of branched worms within worm dispersions prepared at 10.0% w/w solids or below (Fig. 2) may not be experimentally observable owing to the limited  $q$  range used for the SAXS experiments reported herein.

### Rheological properties of PSMA<sub>13</sub>-PBzMA<sub>x</sub> worm gels

Prior studies of the PISA synthesis of closely-related PLMA-PBzMA diblock copolymer nano-objects indicated that pure worms could only be prepared at copolymer concentrations of at least 17.5% w/w.<sup>23,28,29</sup> However, the PSMA<sub>13</sub>-PBzMA<sub>x</sub> PISA formulation reported herein provides access to a pure worm phase at copolymer concentrations as low as 5.0% w/w.<sup>27</sup> Worm gels are formed in all cases, as indicated by the minimal angular frequency dependence of the storage modulus ( $G'$ ) and loss modulus ( $G''$ ) exhibited by each dispersion (see Fig. S2, ESI†). Fielding and co-workers found<sup>23</sup> that a 20% w/w PLMA<sub>16</sub>-PBzMA<sub>37</sub> worm gel in *n*-dodecane was transformed on heating into a free-flowing fluid *via* a worm-to-sphere transition. Both SAXS and rheological studies indicated good thermoreversibility for such worm dispersions at (or above) 5.0% w/w solids.<sup>23</sup> Similarly, PSMA<sub>13</sub>-PBzMA<sub>x</sub> worm gels also



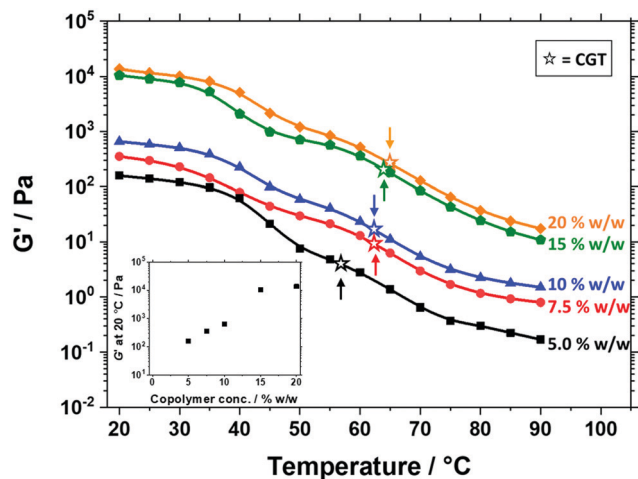


Fig. 3 Variation of storage modulus ( $G'$ ) with temperature for PSMA<sub>13</sub>–PBzMA<sub>x</sub> worm gels (cone and plate geometry; 1.0% applied strain at an angular frequency of 10 rad s<sup>-1</sup>; data recorded at 5 °C intervals, with 5 min being allowed for thermal equilibration before each measurement). The critical gelation temperature (CGT) for each worm gel is indicated by a star symbol and associated arrow for each data set. Full data sets containing  $G'$  and  $G''$  are shown in Fig. S3 (ESI†). The inset graph shows  $G'$  measured at 20 °C for each worm gel vs. copolymer concentration.

display thermoresponsive behavior: degelation occurs on heating up to 90 °C as judged by oscillatory rheology studies (Fig. 3).

Formally, degelation occurs when the loss modulus,  $G''$ , exceeds the storage modulus,  $G'$ .<sup>2,3</sup> Thus, the critical gelation temperature (CGT) can be defined as the temperature above which  $G' < G''$ .

On lowering the copolymer concentration from 20 to 5.0% w/w, the CGT is reduced from 65 to 57 °C. Moreover, a monotonic reduction in  $G'$  is observed for worm gels synthesized at progressively lower concentration. More specifically, the  $G'$  value determined at 20 °C is reduced from  $\sim 10^4$  at 20% w/w solids to  $\sim 10^2$  Pa at 5.0% w/w solids. This is because macroscopic gelation involves multiple inter-worm contacts, which results in the formation of a 3D percolating network.<sup>8,50,51</sup> Reducing the copolymer concentration from 20 to 5.0% w/w leads to significantly fewer inter-worm contacts and hence correspondingly weaker gels. This also accounts for the subtle reduction in CGT observed on lowering the copolymer concentration. Thus, fewer inter-worm contacts enables degelation to occur earlier during the worm-to-sphere transformation (*i.e.* at lower temperatures). However, other parameters such as worm length, worm core diameter ( $D_{wc}$ ) and worm stiffness also affect the physical properties of worm gels.<sup>7,8</sup>

The  $G'$  value observed at 90 °C is much greater than that expected for a free-flowing dispersion of purely spherical nanoparticles. This suggests that the worm-to-sphere transition is not complete at 90 °C, particularly at higher copolymer concentrations. In fact, a dispersion of spherical nanoparticles should have a comparable viscosity to that of the solvent alone.<sup>46,52</sup> Indeed, Fielding and co-workers reported that the morphological transformation from worms to spheres was only complete after heating PLMA<sub>16</sub>–PBzMA<sub>37</sub> worms in *n*-dodecane

up to 160 °C.<sup>10</sup> In this case,  $L_w$  was reduced from  $\sim 600$  nm at 20 °C to  $\sim 350$  nm at 90 °C, so the corresponding worm aspect ratio ( $L_w/D_{wc}$ ) was only reduced by  $\sim 50\%$  at this lower temperature.

For a 20% w/w dispersion of such PLMA<sub>16</sub>–PBzMA<sub>37</sub> worms, the CGT was determined to be  $\sim 47$  °C. The CGTs observed for PSMA<sub>13</sub>–PBzMA<sub>x</sub> worm gels (Fig. 3) are significantly higher than those reported for the PLMA<sub>16</sub>–PBzMA<sub>37</sub> worm gel. One plausible explanation is that the PSMA<sub>13</sub>–PBzMA<sub>x</sub> worms are longer than the PLMA<sub>16</sub>–PBzMA<sub>37</sub> worms. If this is correct, then a greater reduction in  $L_w$  would be required to lower the number of inter-worm contacts sufficiently to induce degelation. However, this hypothesis could not be examined owing to the limited  $q$  range available for the SAXS experiments. Alternatively, surface plasticization of the core-forming PBzMA block may simply occur at higher temperatures for PSMA–PBzMA worms in mineral oil compared to PLMA–PBzMA worms in *n*-dodecane.

The CGC for the 20% w/w PSMA<sub>13</sub>–PBzMA<sub>64</sub> worm gel was determined *via* serial dilution using the tube inversion method.<sup>23</sup> The CGC can be defined as the lowest concentration at which a free-standing gel can be obtained at any given temperature.<sup>3</sup> For PSMA<sub>13</sub>–PBzMA<sub>64</sub> worms prepared at 20% w/w, the CGC was determined to be  $\sim 4.0\%$  w/w at 20 °C (Fig. 4), which is significantly lower than that reported for various PLMA–PBzMA worms in *n*-dodecane, mineral oil or a poly( $\alpha$ -olefin).<sup>23,29</sup> Again, the most likely explanation for this observation is that the PSMA<sub>13</sub>–PBzMA<sub>64</sub> worms are longer than the PLMA–PBzMA worms, which would lead to a larger number of inter-worm contacts and thus afford free-standing gels at a lower copolymer concentration.<sup>8</sup> Although these studies were conducted on the 20% w/w dispersion of PSMA<sub>13</sub>–PBzMA<sub>64</sub> worms, similar CGC values are expected for the PSMA<sub>13</sub>–PBzMA<sub>x</sub> worms prepared at lower copolymer concentrations in mineral oil because (i) the copolymer chains are of comparable molecular weight as judged by GPC analysis (see Fig. S1, ESI†), and (ii) SAXS analysis indicates the formation of comparable worm-like nanoparticles (Fig. 2). Moreover, the PSMA<sub>13</sub>–PBzMA<sub>64</sub> worms prepared at 5.0% w/w formed a free-standing gel, which indicates that the CGC for this gel must be below this copolymer concentration.

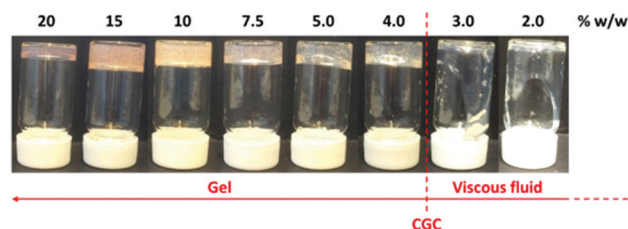


Fig. 4 Digital images of PSMA<sub>13</sub>–PBzMA<sub>64</sub> worm dispersions in mineral oil. Serial dilution of the as-synthesized 20% w/w copolymer dispersion enables the critical gelation concentration (CGC) to be estimated using the tube inversion method.



### Shear-induced alignment of PSMA<sub>13</sub>-PBzMA<sub>x</sub> worms

To investigate the shear-induced alignment of PSMA<sub>13</sub>-PBzMA<sub>x</sub> worms, the 20% w/w PSMA<sub>13</sub>-PBzMA<sub>64</sub> worm gel was analyzed using SIPLI.<sup>36,53</sup> The apparent turbidity of this worm gel (see Fig. 4) is accentuated by the relatively long path length (~12 mm) of the sample vial. In practice, such dispersions are sufficiently transparent to enable SIPLI studies (for which the effective path length is 2 mm). For all variable temperature measurements, this sample was loaded onto the fused quartz plate pre-heated to 150 °C in order to erase the thermal history of the gel: the worms are transformed into spheres at this temperature and the latter are unable to form a 3D network.<sup>23</sup> The effective viscosity of the dispersion ( $\eta$ ) was measured during a 150–20–150 °C thermal cycle conducted at a cooling/heating rate of 2 °C min<sup>-1</sup> and using a maximum (sample edge) shear rate of 1, 10 or 100 s<sup>-1</sup>. Reference data for mineral oil alone are provided in Fig. S5 (ESI<sup>†</sup>). At 1 s<sup>-1</sup> (Fig. 5), no birefringence was detected at 150 °C because only isotropic spherical micelles exist at this temperature. This is consistent with effective solution viscosities of ~0.01 Pa s, which are characteristic of a free-flowing Newtonian fluid.<sup>52</sup> On cooling, the dispersion became gradually more viscous, indicating the formation of anisotropic worms from the stochastic fusion of multiple spheres.<sup>23</sup> The dispersion viscosity rapidly increased

on cooling from 120 °C to 90 °C, suggesting that progressively longer worms were being formed. The first distinct Maltese cross motif was observed at 100 °C, confirming that the worms present at this temperature are sufficiently aligned to cause birefringence at a shear rate at or below 1 s<sup>-1</sup>. Interestingly, birefringence was observed across the entire sample, suggesting a very low critical shear rate for the worm alignment. Such shear-induced alignment is observed on cooling from 100 °C to 60 °C as indicated by the persistence of the Maltese cross over this temperature range. The dispersion viscosity continues to increase between 90 °C and 65 °C (albeit more slowly than in the 90–120 °C interval), suggesting higher worm aspect ratios over this temperature range. Between 65 °C and 35 °C, the relatively rapid increase in dispersion viscosity suggests further evolution in the copolymer morphology and/or inter-worm contacts, which ultimately generate the 3D gel network. For example, the presence of branched worms should increase the probability of inter-worm contacts, leading to more viscous dispersions. Interestingly, the Maltese cross pattern almost completely disappears on cooling to 55 °C. This observation is consistent with the formation of branched worms (or a transient worm network) since such structures are likely to oppose the alignment and, as a result, prevent material birefringence. Nevertheless, a modest degree of residual alignment

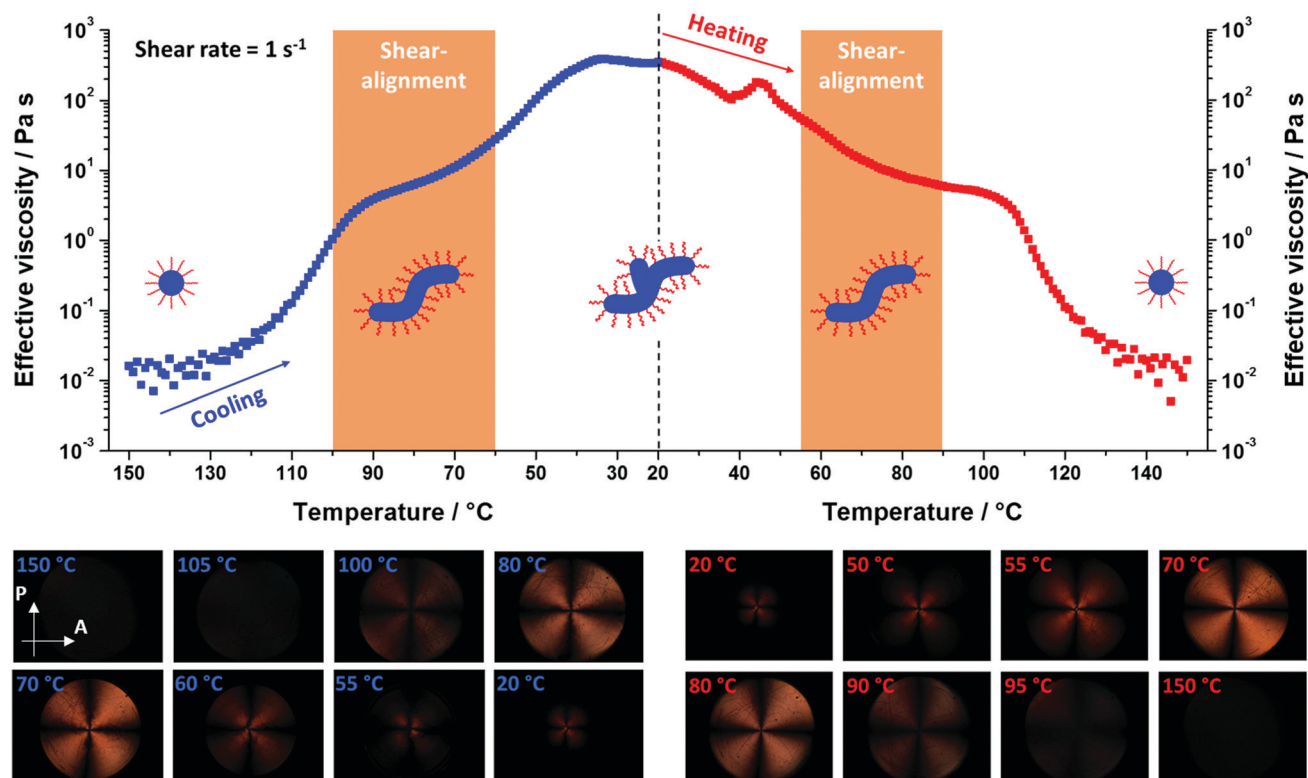


Fig. 5 Dispersion viscosity-temperature profile and corresponding polarized light images (PLIs) obtained for a 20% w/w dispersion of PSMA<sub>13</sub>-PBzMA<sub>64</sub> nano-objects on cooling from 150 °C to 20 °C (blue data, left of the dashed vertical line) and on heating from 20 °C to 150 °C (red data, right of the dashed vertical line) at a rate of 2 °C min<sup>-1</sup> when employing a constant maximum (sample edge) shear rate of 1 s<sup>-1</sup>. Selected PLIs represent the sample birefringence observed at various temperatures (see Fig. S4 for additional PLIs, ESI<sup>†</sup>). Arrows show the planes of polarization for the polarizer (P) and the analyzer (A), crossed at 90°. A Maltese cross motif indicates shear-induced alignment of anisotropic objects, whereas its absence indicates either no alignment or no anisotropic objects. All PLIs recorded during the cooling cycle are shown in Fig. S1 (ESI<sup>†</sup>). The diameter of the sample is 25 mm.



remains visible in the PLIs recorded between 55 °C and 20 °C (Fig. 5). Given the relatively high viscosity of the 20% w/w dispersion over this temperature range, it is possible that partially-aligned structures may become 'trapped' at the shear geometry centre, thus preventing the fully disordered state of the branched worm network. In this case, it is likely that branched worms form an infinite network that becomes stretched at low shear rates (*i.e.* towards the centre of the rotating plate). Such partial alignment leads to birefringence owing to stress along the flow direction. However, network degradation most likely occurs at higher shear rates (*i.e.* towards the edge of the rotating plate). Thus, the branched worms are able to rotate, which reduces the stress and thus leads to weaker birefringence. In this context, we note that no stress birefringence (orientation) was observed for related aqueous branched worm systems.<sup>22</sup> Immediately after the 150 °C to 20 °C temperature ramp shown in Fig. 5, the same 20% w/w dispersion was heated from 20 °C to 150 °C at 2 °C min<sup>-1</sup> using a constant maximum shear rate of 1 s<sup>-1</sup> (see Fig. 5). On initial inspection, the dispersion viscosity-temperature profiles obtained for the cooling and heating ramps appear to mirror one another. However, there are subtle differences in the characteristic temperatures at which changes in viscosity occur. The first major difference is the viscosity maximum observed at ~45 °C during the heating step. This feature occurs well before any evidence for shear alignment at 55 °C, suggesting that it may correspond to the transition from branched worms to linear worms. The PLIs obtained on heating from 20 °C to 150 °C shown in Fig. 5 indicate that shear alignment occurs between 55 °C and 90 °C, which differs from the 60–100 °C range for which shear alignment is observed during the cooling ramp. Similar hysteresis has been reported for PLMA<sub>16</sub>-PBzMA<sub>37</sub> worms in *n*-dodecane.<sup>23</sup> On the other hand, the pronounced shoulder in the viscosity profile occurs at

~100 °C in both cases. This is presumably because the number of spheres increases to the point where this population dictates the dispersion viscosity. The final effective viscosity of the 20% w/w dispersion at 150 °C ( $\eta \sim 0.01$  Pa s) is the same as that observed at the beginning of the variable-temperature study. This indicates good thermoreversibility for the sphere-to-worm-to-sphere transition on the timescale of these SIPLI experiments.

Further investigation of the shear alignment and dispersion viscosity of PSMA<sub>13</sub>-PBzMA<sub>x</sub> nano-objects in mineral oil involved varying the maximum shear rate employed during these SIPLI experiments. The same 20% w/w dispersion of PSMA<sub>13</sub>-PBzMA<sub>64</sub> nano-objects was used to conduct comparable variable-temperature SIPLI experiments at maximum shear rates of 10 and 100 s<sup>-1</sup>, and these data were compared to that obtained at 1 s<sup>-1</sup> (Fig. 6). As expected, higher shear rates yielded less viscous dispersions owing to their shear-thinning nature.<sup>54,55</sup> This is particularly evident in Fig. 6, where the dispersion viscosity,  $\eta \sim 345$  Pa s at 20 °C at a maximum shear rate of 1 s<sup>-1</sup>, whereas  $\eta \sim 40$  Pa s at 10 s<sup>-1</sup> and  $\eta \sim 4$  Pa s at 100 s<sup>-1</sup> at the same temperature. However, when free-flowing dispersions of spheres are formed at 150 °C, the dispersion viscosity becomes essentially independent of shear rate, indicating Newtonian behavior.<sup>52,56</sup> Interestingly, there are subtle differences for the temperature ranges over which shear alignment is observed for the same dispersion at maximum shear rates of 1, 10 and 100 s<sup>-1</sup>. Nevertheless, birefringence is consistently observed between 95 °C and 75 °C during the cooling ramp and between 65 °C and 90 °C during the heating ramp.

Thus far, only PSMA<sub>13</sub>-PBzMA<sub>64</sub> worms synthesized at 20% w/w have been analyzed using the SIPLI technique. Hence PSMA<sub>13</sub>-PBzMA<sub>x</sub> worm gels prepared at 10 and 15% w/w were compared to this 20% w/w dispersion. The same thermal cycle

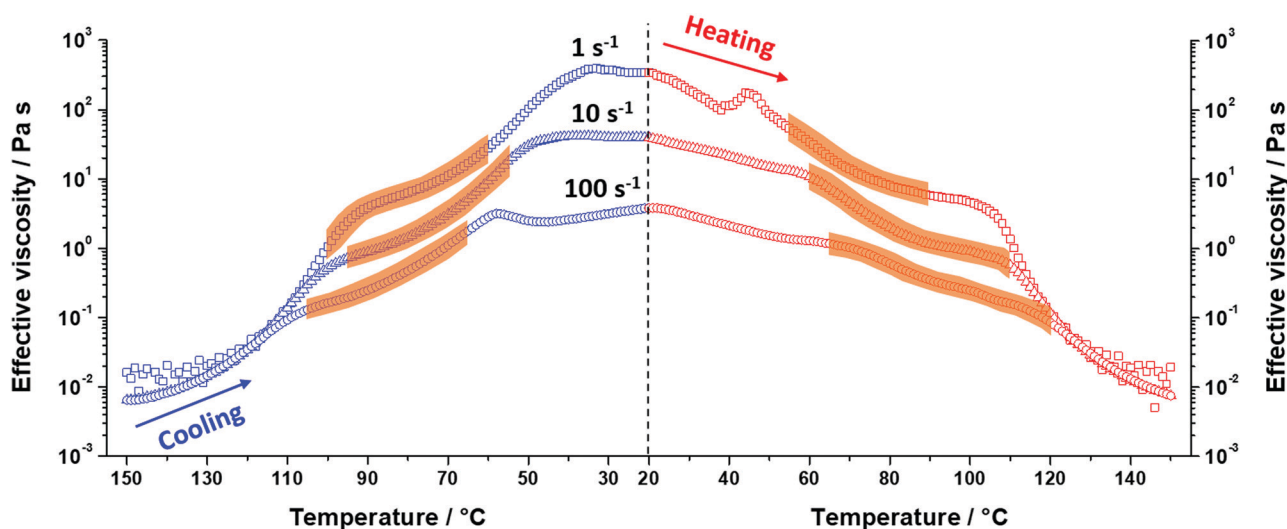


Fig. 6 Combination of the dispersion viscosity-temperature profiles recorded for the cooling (blue data, left of dashed vertical line) and heating (red data, right of dashed vertical line) temperature ramps (cooling/heating rate = 2 °C min<sup>-1</sup>) for a 20% w/w dispersion of PSMA<sub>13</sub>-PBzMA<sub>64</sub> nano-objects at the maximum (sample edge) shear rate of 1 s<sup>-1</sup> (squares), 10 s<sup>-1</sup> (triangles) or 100 s<sup>-1</sup> (circles). The orange-shaded regions represent the temperature ranges over which sample birefringence was observed.



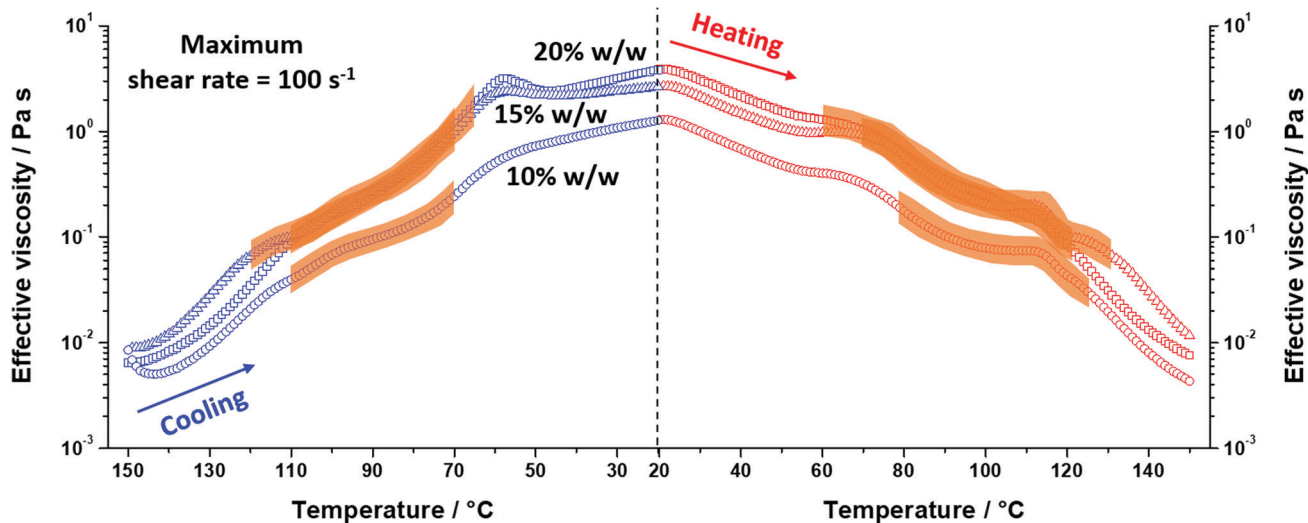


Fig. 7 Combination of the dispersion viscosity-temperature profile recorded for the cooling (blue data) and heating (red data) temperature ramps (cooling/heating rate =  $2\text{ }^{\circ}\text{C min}^{-1}$ ) for a 20% w/w (squares), 15% w/w (triangles) and 10% w/w (circles) dispersion of PSMA<sub>13</sub>-PBzMA<sub>x</sub> nano-objects at the maximum (sample edge) shear rate =  $100\text{ s}^{-1}$ . The highlighted regions represent the temperature ranges over which sample birefringence is observed.

was used in these experiments and the maximum shear rate was  $100\text{ s}^{-1}$  for all measurements (Fig. 7). As expected, the more concentrated dispersions are more viscous at  $20\text{ }^{\circ}\text{C}$ . The same features can be identified in the viscosity-temperature profiles recorded for the 15 and 10% w/w dispersions as for those observed for the 20% w/w dispersion. An initial low-viscosity fluid ( $\eta \sim 0.01\text{ Pa s}$ ) at  $150\text{ }^{\circ}\text{C}$  became progressively more viscous on cooling, indicating the formation of anisotropic worms. This interpretation is consistent with the observation of sample birefringence, as indicated by the characteristic Maltese cross motif. A further increase in viscosity ( $\eta \sim 1\text{--}3\text{ Pa s}$ ) suggests the formation of branched worms, which explains the subsequent loss of sample birefringence. There is significant overlap for the temperature ranges over which sample birefringence is observed for these dispersions, but there are subtle differences in the onset temperatures. Specifically, sample birefringence is observed earlier (*i.e.* at a higher onset temperature) when cooling the 20% w/w dispersion from  $150\text{ }^{\circ}\text{C}$  to  $20\text{ }^{\circ}\text{C}$ . This suggests more efficient sphere-sphere fusion at this higher copolymer concentration, as previously reported for the regeneration of worms from their constituent spheres in closely-related PISA formulations.<sup>23</sup>

## Conclusions

In summary, PSMA<sub>13</sub>-PBzMA<sub>65</sub> worms prepared *via* PISA at 5.0 to 20% w/w solids in mineral oil form free-standing gels at  $20\text{ }^{\circ}\text{C}$ . A pure worm morphology was confirmed in each case by a combination of TEM and SAXS studies performed on dilute dispersions. Moreover, SAXS analysis indicated essentially the same mean worm core diameter of 11.9–13.1 nm at each copolymer concentration. SAXS results, supported by SIPLI measurements, also provided some evidence for worm branching, but the degree of branching could not be determined. Each copolymer dispersion exhibited thermoreversible behavior: the

initial free-standing worm gel at  $20\text{ }^{\circ}\text{C}$  is converted into a free-flowing fluid comprising spheres on heating up to  $150\text{ }^{\circ}\text{C}$ . The critical gelation temperature (CGT) varied between  $57\text{ }^{\circ}\text{C}$  and  $65\text{ }^{\circ}\text{C}$  for PSMA<sub>13</sub>-PBzMA<sub>62–64</sub> worm gels prepared at 5.0 to 20% w/w solids. The critical gelation concentration (CGC) was determined to be around 4.0% w/w as judged by the tube inversion method after serial dilution of a 20% w/w PSMA<sub>13</sub>-PBzMA<sub>64</sub> worm gel. These worm gels exhibit shear-thinning behavior at  $20\text{ }^{\circ}\text{C}$ , and shear-induced alignment was observed between  $80\text{ }^{\circ}\text{C}$  and  $110\text{ }^{\circ}\text{C}$  for all worm gels using the SIPLI technique. On cooling from  $150\text{ }^{\circ}\text{C}$ , isotropic spherical nanoparticles formed shear-aligned anisotropic worms. Further cooling resulted in the loss of birefringence. This suggests the formation of either branched worms or worm clusters. Lower dispersion viscosities were observed at  $20\text{ }^{\circ}\text{C}$  for less concentrated worm gels (10% w/w *vs.* 20% w/w) or after exposure to higher shear rates. However, the dispersion viscosity for the spherical nanoparticles formed at  $150\text{ }^{\circ}\text{C}$  was more or less independent of the shear rate, indicating that such free-flowing dispersions behave as Newtonian fluids.

## Author contributions

M. J. D. performed all synthesis and analysis. M. J. D., O. O. M. and S. P. A. contributed to the writing, reviewing and editing of this manuscript.

## Conflicts of interest

There are no conflicts to declare.

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