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# Mechanism of formaldehyde and formic acid formation on (101)-TiO<sub>2</sub>@Cu<sub>4</sub> systems through CO<sub>2</sub> hydrogenation†

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The decoration of a copper cluster on the anatase phase of a (101)-TiO<sub>2</sub> surface to increase the reduction of CO<sub>2</sub> has gained significant interest and potential to trigger sustainable solar-fuel-based economy. In the present work, we studied a heterogeneous surface for the reduction of CO<sub>2</sub>, which can produce various organic compounds such as formic acid, formaldehyde, methanol, ethanol, and methane. The density functional theory calculations were employed to study the formation of formaldehyde and methanol from CO<sub>2</sub> via hydrogenation by H<sub>2</sub> on a Cu catalyst. The copper cluster is a unique catalyst for charge separation and conversion into important organic compounds. Theoretical investigations suggest that these organic compounds can be used as feedstock or be converted into solar fuel.

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## 1. Introduction

Nowadays, capture of carbon dioxide (CO<sub>2</sub>), its conversion into solar fuels and the development of non-fossil fuel energy sources are critical to minimize the effects of CO<sub>2</sub> as a greenhouse gas for both environmental protection and industrial activities, along with solving the energy crises.<sup>1–10</sup> The potential application of the photocatalytic reduction of CO<sub>2</sub> emissions and the development of non-fossil fuel energy sources are crucial for the repression of greenhouse effects in the environment and for the human health.<sup>1–6</sup> The main problems of removing some acidic gases from the atmosphere are a global challenge. Traditional methods to capture acidic gases and

electrochemically reduce CO<sub>2</sub> can produce a variety of hydrocarbon compounds together with some other useful organic compounds such as formaldehyde, formic acid, carbon monoxide, methane, ethanol, methanol and ethylene with high current efficiency.<sup>7–9</sup> Olah *et al.* have studied the electrolysis of CO<sub>2</sub> to carbon monoxide and hydrogen in aqueous media for the production of important organic chemicals like methanol.<sup>10</sup> This gives a motivation to study various nanomaterials such as CeO<sub>2</sub>-(110) surface,<sup>11</sup> Rh-(211) surface,<sup>12</sup> binary metal oxide (ZnO-ZrO<sub>2</sub>) solid solution catalyst<sup>13</sup> and several phases of titanium dioxide (TiO<sub>2</sub>) such as anatase, rutile and brookite<sup>14–19</sup> in the presence of different active catalysts such as platinum (Pt), palladium (Pd), rhodium (Rh) and copper (Cu). They can work as fuel producers from the reduction of carbon dioxide (CO<sub>2</sub>) with water or hydrogen. These studies explain that the photocatalytic reduction of CO<sub>2</sub> has recently gained such significant interest, as it has the potential to trigger a sustainable solar fuel-based economy. Organic products such as hydrocarbons and other compounds can be used as feedstocks for conversion into valuable carbon-neutral fuels. This process is also of interest for the recycling of CO<sub>2</sub> as an energy carrier, thereby reducing its accumulation in the atmosphere. Furthermore, the same process is interesting for the production of renewable solar fuels from CO<sub>2</sub>, and the production of water and hydrogen for usage as transport fuels. Moreover, the by-product of this reaction mechanism is oxygen, which is an essential component for life on earth.

TiO<sub>2</sub> has been proven to be one of the most suitable materials for photocatalysis and solar energy conversion because of its suitable valence and conduction band alignment, long-term stability, non-toxicity and cost-effectiveness.<sup>20–25</sup> According to Zhang *et al.*, the anatase phase of TiO<sub>2</sub> displays much higher

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photocatalytic activity than rutile and brookite phases, because it has an indirect band gap, leading to longer charge carrier lifetimes.<sup>26,27</sup> Generally, the photocatalytic activity of TiO<sub>2</sub> is mainly dependent on its structure, crystallite size and distinguished surface areas. Additionally, the lifetime of photo-generated electrons and holes in anatase is about an order of magnitude larger than that in rutile, thus greatly enhancing the probability of photoexcited electrons and holes to participate in surface chemical reactions.<sup>27</sup> Recently, a copper cluster decorated on the anatase phase of TiO<sub>2</sub> at different surfaces has been successfully investigated by first-principles calculations.<sup>28</sup> The (101)-TiO<sub>2</sub> surface with a copper cluster is more stable than the (110)-TiO<sub>2</sub> surface with the same copper cluster, and this increases the activity of TiO<sub>2</sub> toward CO<sub>2</sub> reduction. Furthermore, it is responsible for the photoproduction of hydrocarbons. The copper cluster decorated on the titania surface is very important for charge separation, with electrons moving toward the copper cluster and holes toward Ti<sup>3+</sup> polaron sites. Moreover, Seriani *et al.* also suggested that the presence of Cu<sub>4</sub> cluster enhances the photoresponse of TiO<sub>2</sub> and contributes to the increased lifetime of photogenerated electron-hole pairs and to the increased activity of CO<sub>2</sub> reduction, a key step in the photoproduction of hydrocarbons.<sup>28</sup> Another study suggested that the Cu/TiO<sub>2</sub> catalytic system is responsible for enhancing the CO<sub>2</sub> photoreduction efficiency.<sup>29</sup> Yang *et al.* have systematically investigated the structural and adsorption properties of metal impurities adsorbed on anatase TiO<sub>2</sub> to enhance the photocatalytic CO<sub>2</sub> reduction.<sup>30</sup> Additionally, a lot of other experimental and theoretical investigations suggest that the (101)-TiO<sub>2</sub> surface of anatase performs better in the photocatalytic CO<sub>2</sub> reduction to produce important organic compounds useful for fuels in real life.<sup>30,31,31–36</sup> It was also reported that Cu is one of the extraordinary metals that exists stably as a metallic form, also as metallic oxides under atmospheric conditions. Furthermore, it is expected that decorated/loaded Cu on the TiO<sub>2</sub> system can significantly enhance the photocatalytic activity of the TiO<sub>2</sub> system since the work function of Cu is quite similar to the conduction band of TiO<sub>2</sub> and standard reduction potential of the oxygen molecule, which is considered a probable electron acceptor in an aqueous suspension of TiO<sub>2</sub>.<sup>37</sup> From this prospective, several studies demonstrated that the loading of Cu clusters on TiO<sub>2</sub> surfaces is promising for photocatalytic applications such as CO<sub>2</sub> photoreduction, H<sub>2</sub> production, antimicrobial coatings, solar water splitting, gas sensing and photodetection.<sup>37–43,43–48</sup> These findings open up new possibilities for the production of organic compounds such as hydrocarbons using copper cluster-based catalysts.

Motivated by the studies on TiO<sub>2</sub>, we have taken the TiO<sub>2</sub> anatase (101)-surface with a Cu<sub>4</sub> cluster (denoted as (101)-TiO<sub>2</sub>@Cu<sub>4</sub>) model for the CO<sub>2</sub> reduction process. According to previous studies, the (101)-TiO<sub>2</sub>@Cu<sub>4</sub> system is more stable than other titania surfaces.<sup>38</sup> For this reason, we investigated the copper cluster decorated on the (101)-TiO<sub>2</sub> anatase phase using the first-principles calculations. The main aim of this work is to discuss the reaction mechanism of the chemical reduction, the capturing of CO<sub>2</sub> gas molecules and the charge

transfer from the theoretical point of view. Moreover, we discuss the dissociation process of CO<sub>2</sub> molecules and the reaction mechanism of CO<sub>2</sub> conversion into important organic compounds such as formaldehyde and formic acid.

## II. Methodology

The computational method employed in the present study is based on density functional theory. A three-dimensional model was used to consider the periodic nature of the surface. In this model, supercells were repeatedly used periodically in all three directions, creating an infinite slab in the plane of the slab and an infinite stack of slabs in the direction perpendicular to the slab. Moreover, a vacuum layer of 15 Å was created to separate each slab from its neighbors to make the unphysical interaction between the slabs negligible. Spin-polarized DFT-based ground-state properties were calculated using projector augmented-wave (PAW) datasets to represent the electronic wave functions. For exchange-correlation functionals, the generalized-gradient approximation (GGA) within the Perdew–Burke–Ernzerhof (PBE) parametrization was employed.<sup>49</sup> In a limited number of cases, DFT estimations for the on-site coulombic interactions can be corrected using the DFT+U technique.<sup>50</sup> Specifically, a Dudarev<sup>51</sup> *U* parameter as implemented in the Quantum Espresso (QE) code<sup>52</sup> with the values of 4.2 eV and 5.2 eV for titanium<sup>53–55</sup> and copper atoms,<sup>28,56</sup> respectively, was employed. In this case, only valence electrons were represented explicitly in the calculations, with the ionic potential described by ultrasoft pseudopotentials similar to those introduced by Vanderbilt.<sup>57</sup> We have also considered van der Waals (vdW) interactions by including a rectification to the GGA functionals associated with the vdW-DF2 functionals.<sup>58</sup> All the calculations were done using the QE code.<sup>59</sup> The cutoff energy was chosen to ensure the convergence of the total energy with respect to the size of plane-wave basis set. In our calculations, we used a cutoff energy of 40 Ry and 480 Ry for the wave functions and charge densities, respectively. A series of tests converged a *k*-point grid in the Brillouin zone to (4 × 4 × 2) according to the Monkhorst–Pack scheme.<sup>60</sup> The positions of all atoms in the unit cell were fully relaxed using the conjugated gradient algorithm until the forces on each atom were less than 10<sup>−3</sup> a.u. In the periodic slab model, the anatase-(101) surface was represented using a rectangular (1 × 2) supercell with the surface cell size of 10.27 Å × 7.57 Å. The charge transfer trends between the anatase-(101) surface, Cu<sub>4</sub> cluster and CO<sub>2</sub> and CO gas molecules was obtained by the Bader analysis.<sup>61,62</sup>

The adsorption energies of CO<sub>2</sub> and CO on the (101)-TiO<sub>2</sub>@Cu<sub>4</sub> anatase surface were calculated as follows:

$$E_{\text{ads.}} = \frac{nE_{\text{CO}_2/\text{CO}} + E_{\text{TiO}_2@\text{Cu}_4} - E_{\text{TiO}_2@\text{Cu}_4+\text{CO}_2/\text{CO}}}{n}, \quad (1)$$

where  $E_{\text{CO}_2/\text{CO}}$  are the energies of the CO<sub>2</sub>/CO molecules in their optimized gas-phase geometries,  $n$  represents the number of adsorbate molecules in the simulation cell,  $E_{\text{TiO}_2@\text{Cu}_4}$  is the total energy of TiO<sub>2</sub>@Cu<sub>4</sub>, and  $E_{\text{TiO}_2@\text{Cu}_4+\text{CO}_2/\text{CO}}$  is the total energy of TiO<sub>2</sub>@Cu<sub>4</sub> + CO<sub>2</sub>/CO system. In this sign convention, positive adsorption energies correspond to the stable configurations.



The optimized energy of the isolated CO<sub>2</sub>/CO molecules was calculated using a cubic cell with 10 Å sides. Lastly, we calculated the activation barrier defined as the total energy difference between the initial state (IS) and the saddle point (transition state, TS). For the calculation of energy barriers and reaction pathway, we used the Nudged Elastic Band (NEB) method.<sup>63</sup>

### III. Results and discussion

First, we discuss the results concerning the molecular adsorption and dissociation on the anatase (101)-surface with copper cluster decoration. Later on, we will discuss the enhancement of photoresponse of the anatase (101)-surface in the presence of empty states of copper cluster, which contribute to the lifetime of photogenerated electron-hole pairs and to the increase in the CO<sub>2</sub> reduction activity. The CO<sub>2</sub> adsorption and dissociation process on the (101)-TiO<sub>2</sub> anatase was discussed previously, and it was found that the maximum adsorption energy is 1.35 eV, while the maximum dissociation barrier equals 0.90 eV.<sup>17</sup> However, according to another study, defective TiO<sub>2</sub> materials exhibit better performance than pristine TiO<sub>2</sub> for CO<sub>2</sub> photo-reduction as well as enhance sunlight absorption efficiency.<sup>64</sup> The reduced (101)-surface of TiO<sub>2</sub> is much more favorable for CO<sub>2</sub> binding with the accompanying charge transfer to CO<sub>2</sub>.<sup>65</sup> The correlation of photo-activity with material property suggests that the high activity of He or H<sub>2</sub>-pretreated Cu/TiO<sub>2</sub> is probably attributed to the synergy of the Cu species and the surface defect sites such as oxygen vacancies, which facilitate the separation of electron-hole pairs and promote electron transfer to adsorbed CO<sub>2</sub>.<sup>29</sup>

#### A. Structural properties

The Cu<sub>4</sub> cluster was deposited on the surface of (101)-TiO<sub>2</sub> (Fig. 1), where Cu atoms were placed just above the oxygen and titanium atoms in a form of triangular pyramidal (tetrahedron) structure with two copper atoms covalently bonded with oxygen atoms. Each copper atom was tightly bonded with the surrounding three copper atoms. The tetrahedron structure of Cu<sub>4</sub> cluster was introducing more active sites that might play a significant role for the photocatalytic activity of (101)-TiO<sub>2</sub> surface. The bond length between the two Cu atoms was slightly changed due to the interaction with the (101)-TiO<sub>2</sub> surface and the local environment of copper tetrahedron structure. The bond lengths of two Cu atoms varied from 2.37 Å to 2.54 Å, being slightly shorter than the bond length in Cu bulk (2.55 Å). The Cu-Ti and Cu-O bond lengths were 2.71 Å and 1.89 Å, respectively. Cu-O is slightly shorter than the standard bond length of 1.94 Å, while the Cu-Ti bond length varies according to the cluster size deposited on the (101)-TiO<sub>2</sub> surface. Previously reported values of the Cu-Ti bond length vary from 2.59 Å to 2.92 Å.<sup>28</sup> To understand the stability of Cu<sub>4</sub> deposited on the (101)-TiO<sub>2</sub> surface, we calculated the binding energy of (101)-TiO<sub>2</sub>@Cu<sub>4</sub> (2.41 eV), which shows strong interaction between the copper cluster and the atoms at the topmost layer of the (101)-TiO<sub>2</sub> surface, in a good agreement with the previously reported work.<sup>23</sup> The optimized structure of (101)-TiO<sub>2</sub>@Cu<sub>4</sub> has

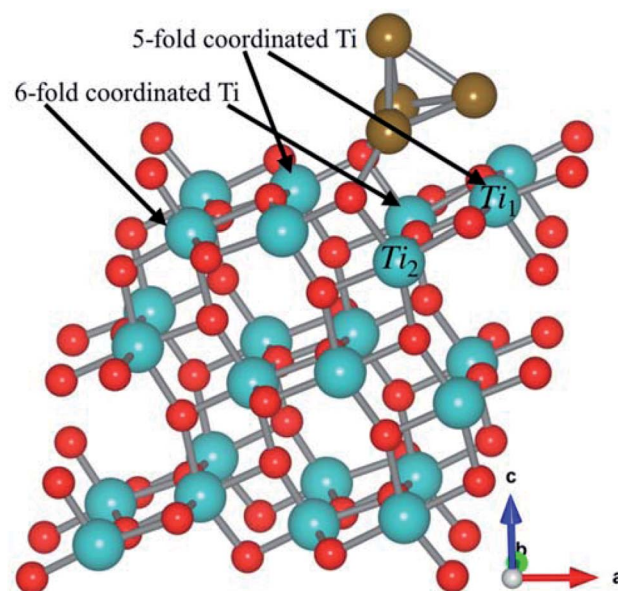


Fig. 1 Fully optimized structure of the (110)-plane of anatase TiO<sub>2</sub> with a tetrahedron copper cluster (Cu<sub>4</sub>). The red color shows oxygen atoms, dark cyan color shows titanium atoms and wine color shows copper atoms. The 5-fold (Ti<sub>1</sub>) and 6-fold (Ti<sub>2</sub>) coordinated Ti atoms are indicated with black arrows.

two different types of coordinated atoms. First one is a 6-fold (6f) coordinated Ti-atom positioned just below the copper cluster and the second one is a 5-fold (5f) coordinated Ti-atom just below the first copper atom. Actually, the Ti<sub>1</sub> (5-fold coordinated Ti atom) atom is presented just below the copper cluster for the charge transport in the system, as shown in Fig. 1.

#### B. CO<sub>2</sub> photoreduction on the (101)-TiO<sub>2</sub>@Cu<sub>4</sub> interface: charge transfer mechanism

In a (1 × 2) supercell containing 48 O atoms, 24 Ti atoms and the copper cluster of 4 Cu atoms distributed in trilayers of six O-Ti-O, three different binding configurations of CO<sub>2</sub> and CO were identified on the (101)-TiO<sub>2</sub>@Cu<sub>4</sub> surface (Fig. 2). In two configurations, CO<sub>2</sub> and CO molecules bind to the surface Ti(5f) site in a linear geometry relative to the surface (Fig. 2a and c), with O-C-O angles nearly linear (179.29° and 178.81°). The remaining adsorption configuration identified on this surface has a bent CO<sub>2</sub> structure, with the O-C-O angle of 135.59° (Fig. 2b). All configurations indicate a strong interaction between the (101)-TiO<sub>2</sub>@Cu<sub>4</sub> and CO<sub>2</sub> molecules, bonded to Ti(5f) and 3-fold O(3f) atom (or Vo-Ti<sup>3+</sup>) sites, as the adsorption energies are 2.94, 3.00 and 3.03 eV (Table S2<sup>†</sup>), respectively. Due to the presence of polaron sites of Ti<sup>3+</sup>, the electrons drift towards the Ti<sup>3+</sup> site and the holes travel towards the tetramer copper cluster site. Using the Bader charge analysis, we found the total charge donated by the tetramer copper cluster to the titania surface to be 0.78|e|. Furthermore, the presence of Ti<sup>3+</sup> near the strong binding Cu-Ti interface could contribute to the formation of active sites for CO<sub>2</sub> reduction. Subsequently, the CO<sub>2</sub> molecule can react with the (101)-TiO<sub>2</sub>@Cu<sub>4</sub> surface (see



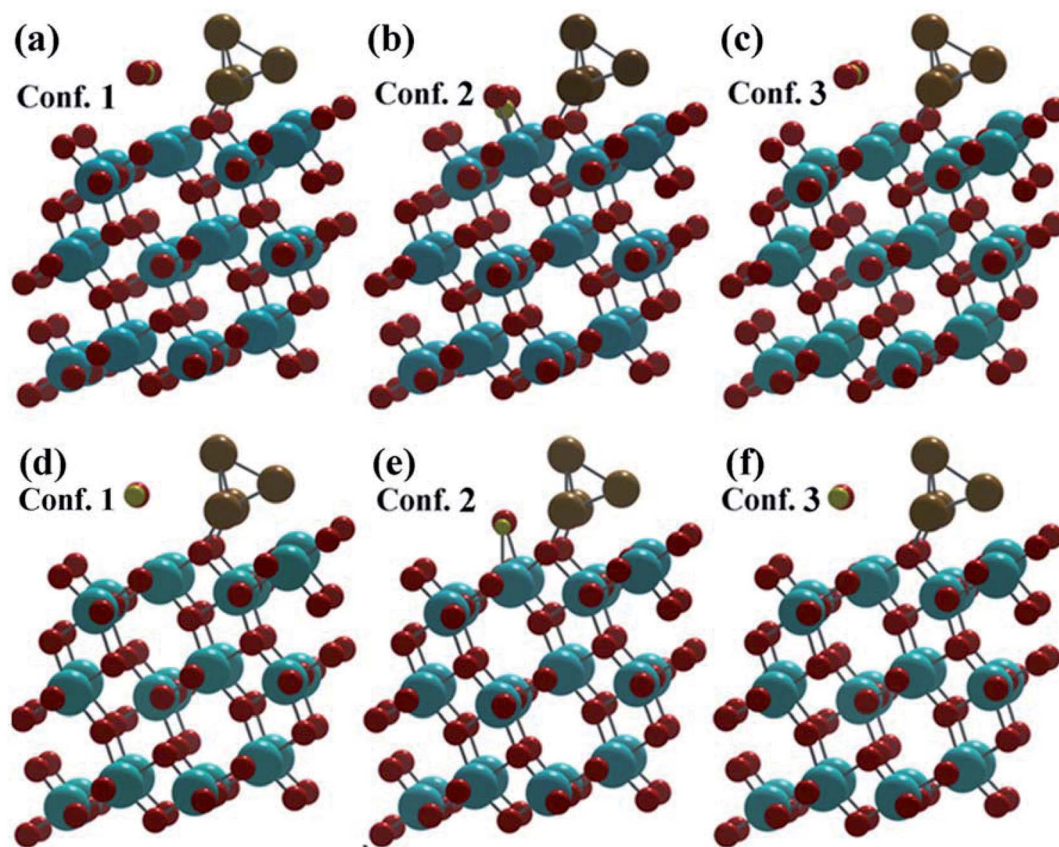


Fig. 2 Fully optimized structures after  $\text{CO}_2$  and  $\text{CO}$  adsorption on  $(101)\text{-TiO}_2\text{@Cu}_4$ . (a–c) represent the  $\text{CO}_2$  configurations and (d–f) represent the  $\text{CO}$  configurations.

Fig. 2b) receiving an excess of  $0.17|e|$  to make  $\text{CO}_2^{\delta-}$ .<sup>18</sup> During this process the  $\text{Cu}_4$  cluster loses  $0.19|e|$ , most of which transfer to  $\text{CO}_2$  molecules, while the rest of electrons are delocalized. This means that the charge transfer occurs between active sites  $(101)\text{-TiO}_2$  and the copper cluster, which is responsible for  $\text{CO}_2$  reduction. Thus, titania has an important role in charge separation along with the tetramer copper cluster deposited on its surface.

After the dissociation of  $\text{O-C-O}$  bonds, carbon monoxide interacts strongly with the copper cluster and the active sites on  $(101)\text{-TiO}_2$ . Due to the strong bonding between the active sites and  $\text{CO}_2$  molecule, the bond dissociation energy was found to be  $516.70 \text{ kJ mol}^{-1}$ . This was slightly lower than its standard values ( $532 \text{ kJ mol}^{-1}$ ).<sup>66,67</sup> However, in case of configurations 1 and 3 (Fig. 2a and c), the  $\text{CO}_2$  molecule loses  $0.30|e|$  and  $0.34|e|$ , respectively. This means that the  $(101)\text{-TiO}_2\text{@Cu}_4$  surface accumulates the charge. According to the analysis,  $\text{Cu}_4$  cluster gains  $0.01|e|$  and  $0.09|e|$  from  $\text{CO}_2$  molecules and the rest of electrons go to the titanium surface in configurations 1 and 3, respectively. The adsorption process of the  $\text{CO}$  molecule on the same surface is presented in Fig. 2d–f. In the case of configuration 2, the  $\text{CO}$  molecule is weakly bonded to the active sites and the corresponding adsorption energy is  $0.34 \text{ eV}$ . During this process, the  $\text{CO}$  molecule loses a charge of  $0.08|e|$ , with the  $\text{Cu}_4$  cluster gaining  $0.06|e|$  from the  $\text{CO}$  molecule, while the remaining electrons are delocalised between them.

The adsorption energies of configurations 1 and 3 are  $0.30$  and  $0.24 \text{ eV}$ , respectively, much less than those in the case of  $\text{CO}_2$  adsorption. The reason lies in the smaller charge transfer from the  $\text{CO}$  molecule to the  $(101)\text{-TiO}_2\text{@Cu}_4$  surface, which is  $0.20|e|$  and  $0.19|e|$  for configurations 1 and 3, respectively, compared to  $\text{CO}_2$  ( $0.30|e|$  and  $0.34|e|$ ). In the same cases, a part of the transferred charge ( $0.15|e|$  and  $0.17|e|$ ) is stored by the  $\text{Cu}_4$  cluster, while the rest of electrons go to the titanium surface or are delocalized between the surface and the adsorbant. On the contrary,  $\text{CO}_2$  and  $\text{CO}$  molecules gain/loss  $0.17|e|$  and  $0.08|e|$  to the  $(101)\text{-TiO}_2\text{@Cu}_4$  surface in configuration 2 (Fig. 2b and e), as shown in Table S3.† According to that, the charge transfer in configurations 1 and 3 is higher than that in configuration 2, and contributes to the stronger interaction between the atoms in those cases. This finally results in bond length differences ( $\text{C-O}$ ,  $\text{Cu-O}$ ), as shown in Table S1.† Other bond lengths ( $\text{Cu-Ti}$ ,  $\text{Cu-Cu}$ ) are not much influenced by the charge gain/transfer from  $\text{CO}_2/\text{CO}$  to the  $(101)\text{-TiO}_2\text{@Cu}_4$  surface. The  $\text{Cu-O}$  bond length ( $\text{O}$  from  $\text{CO}_2$  molecule) varies from  $1.86$  to  $1.92 \text{ \AA}$ , the  $\text{C-O}$  bond length in  $\text{CO}_2$  from  $1.14$  to  $1.27 \text{ \AA}$ , the  $\text{Cu-Cu}$  bond length from  $2.34$  to  $2.55 \text{ \AA}$  and the  $\text{Cu-Ti}$  bond length from  $2.71$  to  $2.94 \text{ \AA}$ . Configuration 2 describes a chemisorption process while the remaining two are physisorption processes. Now, when we dissociated the  $\text{O-C-O}$  bonds, the carbon monoxide ( $\text{CO}$ ) strongly interacted with active sites of 5- and 6-fold coordinated systems of the  $(101)\text{-TiO}_2$ .



TiO<sub>2</sub>@Cu<sub>4</sub> surface. The dissociation energy (CO<sub>2</sub> to CO and O) of this bond was found to be 324.88 kJ mol<sup>-1</sup> and 463.37 kJ mol<sup>-1</sup> for configurations 1 and 3, respectively.

From the electronic band structures, the (101)-TiO<sub>2</sub> surface of anatase shows the semiconducting behaviour with a band gap of 1.81 eV, which is in excellent agreement with previous work ( $E_g = 1.77$  eV).<sup>68</sup> While the Cu<sub>4</sub> cluster is deposited on the surface of (101)-TiO<sub>2</sub>, the band gap suddenly reduces from 1.81 eV to 0.44 eV, which is presented (see Fig. S1 in ESI†). This result is consistent with the previous work.<sup>28</sup> The Cu<sub>4</sub> cluster doping induced a significant reduction in the band gap of the anatase (101)-TiO<sub>2</sub> surface. The band gap of the (101)-TiO<sub>2</sub>@Cu<sub>4</sub> surface (0.44 eV) was narrower than that of the (101)-TiO<sub>2</sub> surface (1.81 eV), and it would play a significant role for enhancing the photocatalytic activity and increasing absorption in the visible region. The Cu<sub>4</sub> cluster-doped (101)-TiO<sub>2</sub> surface is presented in Fig. S1(b).† For a semiconductor photocatalyst, effective absorption is one of the most important properties. This kind of transformation will be a significant advantage for the modified material by doping to perform better in the photocatalytic activity. It has been argued that the electronic transition under visible light irradiation must involve localized state transition to the conduction band.<sup>69</sup> The electronic band structure calculated for the Cu<sub>4</sub> cluster-doped system indicated the formation of impurity states in the electronic band gap (see Fig. S1(b)†). Furthermore, we computed the electronic band structure of gas molecules CO<sub>2</sub> and CO adsorbed on the surface of (101)-TiO<sub>2</sub>@Cu<sub>4</sub> as shown in Fig. S2.† Excitation from the impurity states (valence band) of the Cu<sub>4</sub> cluster to the conduction band could account for the optical absorption edge shift toward the lower photon energies, *i.e.* in the visible region compared with the (101)-TiO<sub>2</sub> surface (see Fig. S3†). The optical absorption spectra of the (101)-TiO<sub>2</sub>@Cu<sub>4</sub> system with CO<sub>2</sub> and CO gas molecules reveal the absorption shifted more in the visible region, which is the evidence of the optical response starts in the visible range. To understand the contribution of atomic orbitals in the process of CO<sub>2</sub> reduction, we have calculated projected density of states (PDOS), as shown in Fig. 3. The PDOS of 3d and 4p orbitals of the Cu<sub>4</sub> cluster and 3d orbitals of its neighboring Ti<sup>3+</sup> polaron state appear near the Fermi level (configuration 1 – Fig. 2a). The Ti<sup>3+</sup> polaron state appears toward the majority down-spin states. This polaronic state is characterized by the magnetic moment of the Ti atom and by its geometric distortion due to the strong interaction between the Cu<sub>4</sub> cluster and (101)-TiO<sub>2</sub> surface.<sup>28</sup> The gas molecules were located at different positions, therefore, the change in the magnetic moment for the Ti atom was between 0.82 μ<sub>B</sub> and 1.42 μ<sub>B</sub>, as shown in Table S2.† The maximum change of 1.42 μ<sub>B</sub> in the magnetic moment (for both 5-fold and 6-fold coordinated Ti atoms below the copper cluster) of Ti for CO<sub>2</sub> reduction was found in configuration 2 (Fig. 2b), while for pure forms it was 0.86 μ<sub>B</sub> (for 5-fold coordinated atom) by the Ti<sub>1</sub> atom (see Fig. 1). After the dissociation of oxygen atoms from the CO<sub>2</sub> molecule, the Ti<sup>3+</sup> polaron state appears and the polaronic state is shifted towards the up-spin channel side for configuration 2, which is presented in the valence band maximum (VBM). The formation of polaronic states comes from

the 6f-Ti atom below the Cu<sub>4</sub> cluster. In case of configuration 2 of the (101)-TiO<sub>2</sub>@Cu<sub>4</sub> surface, the polaronic state was found in a wide energy range between -1.0 eV and 0 eV due to the strong hybridization of the Ti-d state and the Cu-p state and, in this case, both 5-fold and 6-fold coordinated Ti atoms presented below the copper cluster contributed to form polaronic states.

In case of configuration 2, the gas molecules strongly interacted with the titania surface. That is why the change in the magnetic moment for the Ti atom was maximally 1.42 μ<sub>B</sub>. It was calculated through the Löwdin analysis.<sup>70</sup> However, after the dissociation of the oxygen atom from CO<sub>2</sub> molecules, the polaronic state will be compacted in the range between -1.38 eV and -0.73 eV. A change in the magnetic moment (for both 5-fold and 6-fold coordinated Ti atoms below the copper cluster) is almost the same (1.41 μ<sub>B</sub>) due to the large geometric distortion by the CO molecule. Polaron formation has been found for both molecule adsorptions, but in the case of CO<sub>2</sub>, it shifted toward the down-spin side, while for the CO case, it shifted in the opposite spin channel side.

Moreover, in the case of configurations 1 and 3, the 3d and 4p orbitals of the Cu<sub>4</sub> cluster and the 3d orbitals of its neighboring Ti<sup>3+</sup> polaronic states showed the same behaviour near the Fermi level. Due to the presence of the Cu<sub>4</sub> cluster, the change in the magnetic moment (for 5-fold coordinated Ti atoms below the copper cluster) was 0.82 μ<sub>B</sub>, for both configurations. The majority spin states of the Ti<sup>3+</sup> polaron state appeared towards the up-spin states. At the Fermi levels, more contribution came from the p and d states of Cu atoms and d states of Ti atoms. The polaronic states of Ti<sup>3+</sup> were found to be in the range of -1.48 eV to -0.80 eV and -1.46 eV to -0.79 eV for configurations 1 and 3, respectively. The PDOS of CO adsorption on the (101)-TiO<sub>2</sub>@Cu<sub>4</sub> in configurations 1 and 3 is shown in Fig. 3b and f. The majority of charge carriers shift towards the down-spin and the magnetic moment comes from these states. The magnetic moment of Ti atoms due to the Cu<sub>4</sub> cluster of configuration 1 was 0.84 μ<sub>B</sub> (for 5-fold coordinated Ti atoms below the copper cluster). For configuration 3, the polaronic states Ti<sup>3+</sup> appeared on up-spin side and the change in polarization in it was 0.83 μ<sub>B</sub> (for 5-fold coordinated Ti atoms below the copper cluster), due to the Cu<sub>4</sub> cluster decorated on the (101)-TiO<sub>2</sub> surface.

The isosurface of the electron charge density shows the charge dynamics from the active sites on the (101)-TiO<sub>2</sub>@Cu<sub>4</sub> surface to the CO<sub>2</sub>/CO system and *vice versa*. The computed charge density difference is defined by the following equation:

$$\Delta\rho = \rho_{(101)\text{-TiO}_2\text{@Cu}_4\text{+CO}_2\text{/CO}} - \rho_{(101)\text{-TiO}_2\text{@Cu}_4} - \rho_{(\text{CO}_2\text{/CO})}, \quad (2)$$

where  $\rho_{(101)\text{-TiO}_2\text{@Cu}_4\text{+CO}_2\text{/CO}}$ ,  $\rho_{(101)\text{-TiO}_2\text{@Cu}_4}$  and  $\rho_{(\text{CO}_2\text{/CO})}$  are the total charge density of titania and copper cluster with gas molecules, isolated (101)-surfaces of titania with the copper cluster and of the isolated gas molecules, respectively. As shown in Fig. 4a–f and e, the absorption of CO<sub>2</sub> and CO on the top of the (101)-TiO<sub>2</sub>@Cu<sub>4</sub> surface catalyst will lead to charge accumulation between the CO<sub>2</sub>/CO molecules and the active sites of the stereoscopic tetramer Cu cluster. This, in turn, increases the repulsive force between the copper and carbon atoms and makes the weaker Cu–C bonding.



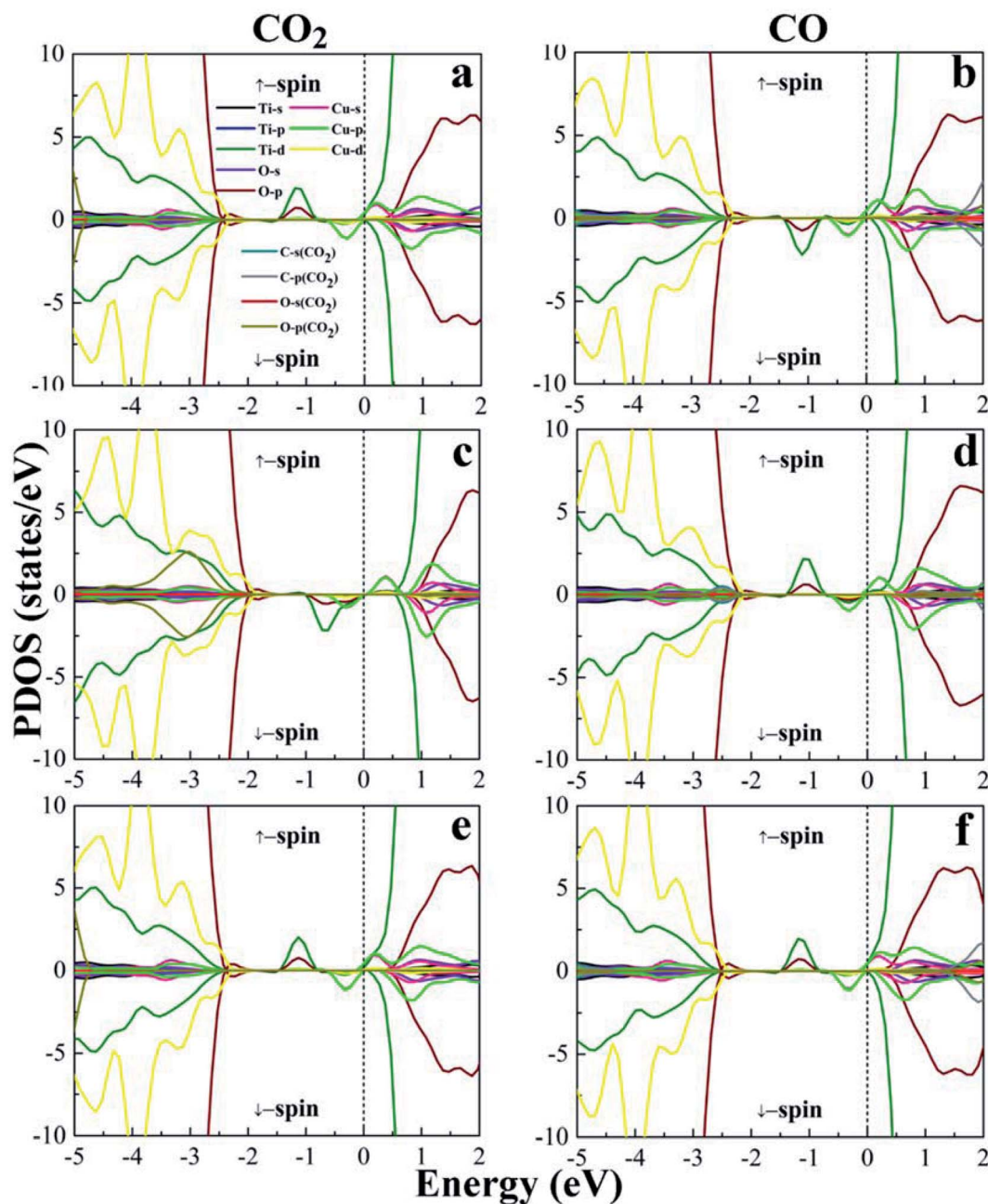


Fig. 3 Projected density of states (PDOS) of configuration 1, 2 and 3 of  $\text{CO}_2$  and CO adsorption on (101)- $\text{TiO}_2@Cu_4$ . (a and b) PDOS of  $\text{CO}_2$  and CO for configuration 1, (c and d) PDOS of  $\text{CO}_2$  and CO for configuration 2 and (e and f) PDOS of  $\text{CO}_2$  and CO for configuration 3, respectively. Black line: Ti-s states; blue line: Ti-p states; olive line: Ti-d states; violet line: O-s states; wine line: O-p states; pink line: Cu-s states; green line: Cu-p states; yellow line: Cu-d states; dark gray line: C-s states from  $\text{CO}_2/\text{CO}$  molecules; gray line: C-p states  $\text{CO}_2/\text{CO}$  molecules; red line: O-s states from  $\text{CO}_2/\text{CO}$  molecules; dark yellow line: O-p states  $\text{CO}_2/\text{CO}$  molecules. The zero of energy has been set to the Fermi energy.

### C. Dissociation mechanism of $\text{CO}_2$ on $\text{TiO}_2@Cu_4$

For the dissociation process, we chose the most energy preferable sites of  $\text{CO}_2$  with (101)- $\text{TiO}_2@Cu_4$  from configurations 1, 2 and 3 for the co-adsorption of CO and O. In order to compare the co-adsorption behaviour of CO adsorption on the (101)- $\text{TiO}_2@Cu_4$  system, we have calculated the co-adsorption configurations of the CO fragment and O atom from  $\text{CO}_2$  (Fig. 5). Carbon and oxygen atoms of CO were shifted slightly above (101)- $\text{TiO}_2@Cu_4$  in each configuration with different

orientations of the CO molecule. The O atom was bonded to the Ti atom of the (101)- $\text{TiO}_2$  surface for configurations 1 and 3, while for configuration 2, it was bonded to Ti and Cu atoms of the (101)- $\text{TiO}_2@Cu_4$  system. After the dissociation of  $\text{CO}_2$  molecule, the symmetry of the  $\text{Cu}_4$  cluster changed for configurations 2 and 3; it was slightly deformed *via* the bridge-like bonds, as shown in Fig. 5B and C. The structures and bonding characteristics between the fragments of  $\text{CO}_2$  and the (101)- $\text{TiO}_2@Cu_4$  system are presented in Fig. 5, along with the



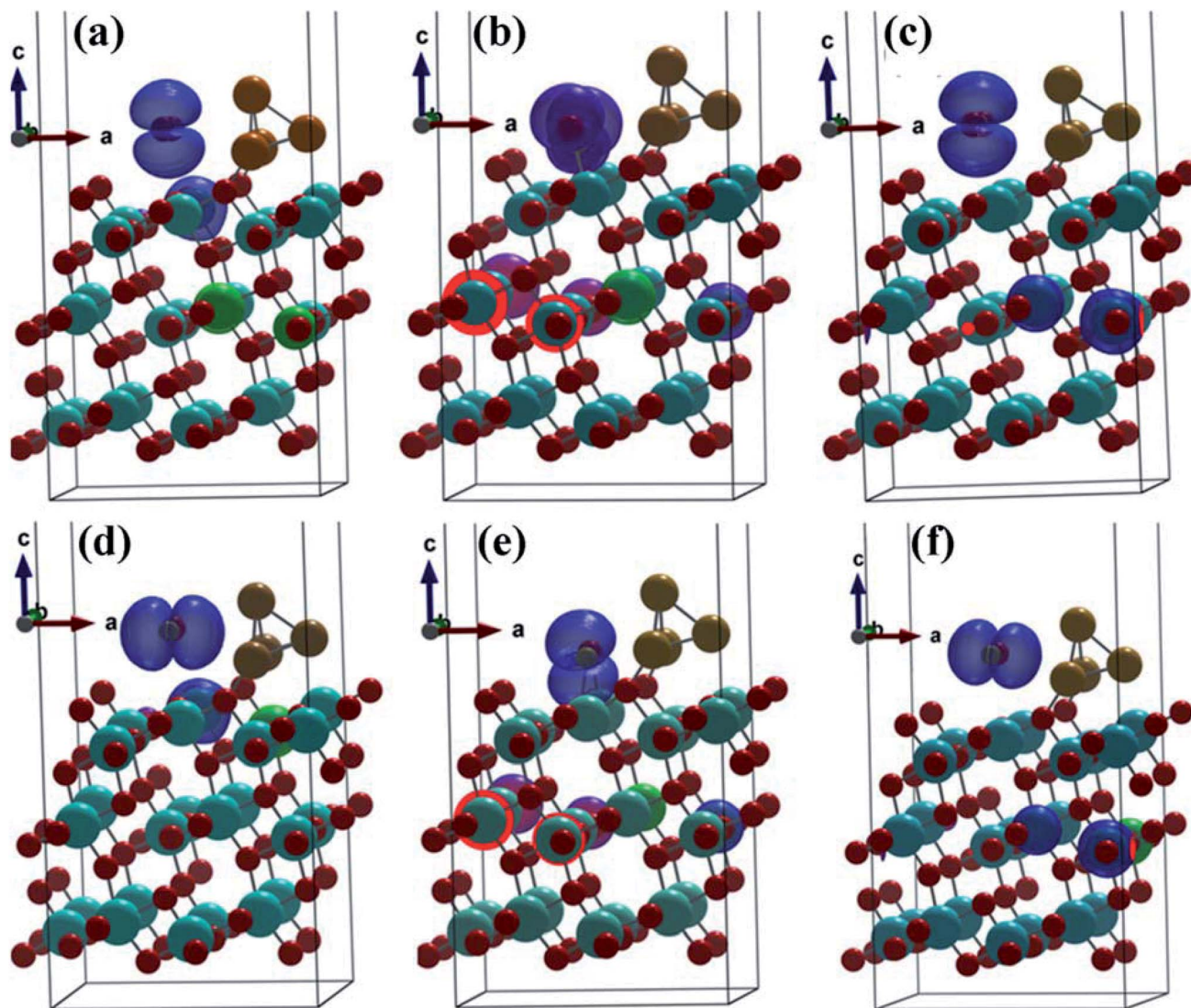


Fig. 4 A charge density map for each of configuration 1, 2 and 3 of  $\text{CO}_2$  and  $\text{CO}$  adsorption on the surface of  $(101)\text{-TiO}_2\text{@Cu}_4$ . Here (a–c) regard  $\text{CO}_2$  adsorption and (d–f) regard  $\text{CO}$  adsorption on  $(101)\text{-TiO}_2\text{@Cu}_4$ . The observed interstitial electron charge accumulation points to the active sites on  $(101)\text{-TiO}_2\text{@Cu}_4$ . The blue color shows the charge accumulation.

reaction energy barriers of the acidic gas dissociation process. In case of configuration 1, the dissociation process of  $\text{CO}_2$  ( $\text{CO}_2 \rightarrow \text{CO} + \text{O}$ ) is as follows: the O atom moves toward the Ti atom (Ti–O bond is formed) as the C–O bond is extended from 1.18 Å to 1.20 Å. However, in the case of configurations 2 and 3, the activated O atom moves toward Ti, as well as Cu atoms, while the activated C–O bond varies from 1.14 Å to 1.23 Å and 1.14 Å to 1.18 Å for configurations 2 and 3, respectively. Ti–O and Cu–O bonds were formed in configuration 2 and the Ti–O bond was formed in configuration 3. The energy barriers ( $E_a$ ) for the  $\text{CO}_2$  dissociation process (1.72 eV, 1.59 eV and 1.55 eV for configurations 1, 2 and 3, respectively) can be compared to other theoretical values for the activation energy of  $\text{CO}_2$  dissociation reaction: 1.53 eV and 1.73 eV on the Fe(111) and Pt(111) surfaces, respectively.<sup>71</sup> Other theoretical as well as experimental data on the energy barrier/activation energy of  $\text{CO}_2$  dissociation vary up to 2.10 eV (theoretical)<sup>15,71–73</sup> and 1.50 eV

(experimental).<sup>74,75</sup> During the fragmentation of carbon dioxide to carbon monoxide, we found the energy differences of 1.01 eV, 0.93 eV and 0.01 eV between IS and FS for configurations 1, 2 and 3, respectively. Therefore, the dissociation process of  $\text{CO}_2$  is an endothermic reaction in each case. Additionally, the optical absorption spectra calculated for the  $\text{CO}_2$  and  $\text{CO}$  with  $(101)\text{-TiO}_2\text{@Cu}_4$  from configurations 1, 2 and 3 systems are depicted in Fig. S3.† It is evident from the optical spectra that all these systems show the first absorption peaks start at low photon energy. In the next part, the formation of formic acid and formaldehyde is discussed.

#### D. Photocatalytic reduction of $\text{CO}_2$ to form formic acid and formaldehyde

When co-adsorbed with hydrogen molecules ( $\text{H}_2$ ) between 5-fold and 6-fold coordinated titanium sites of the  $(101)\text{-TiO}_2\text{@Cu}_4$



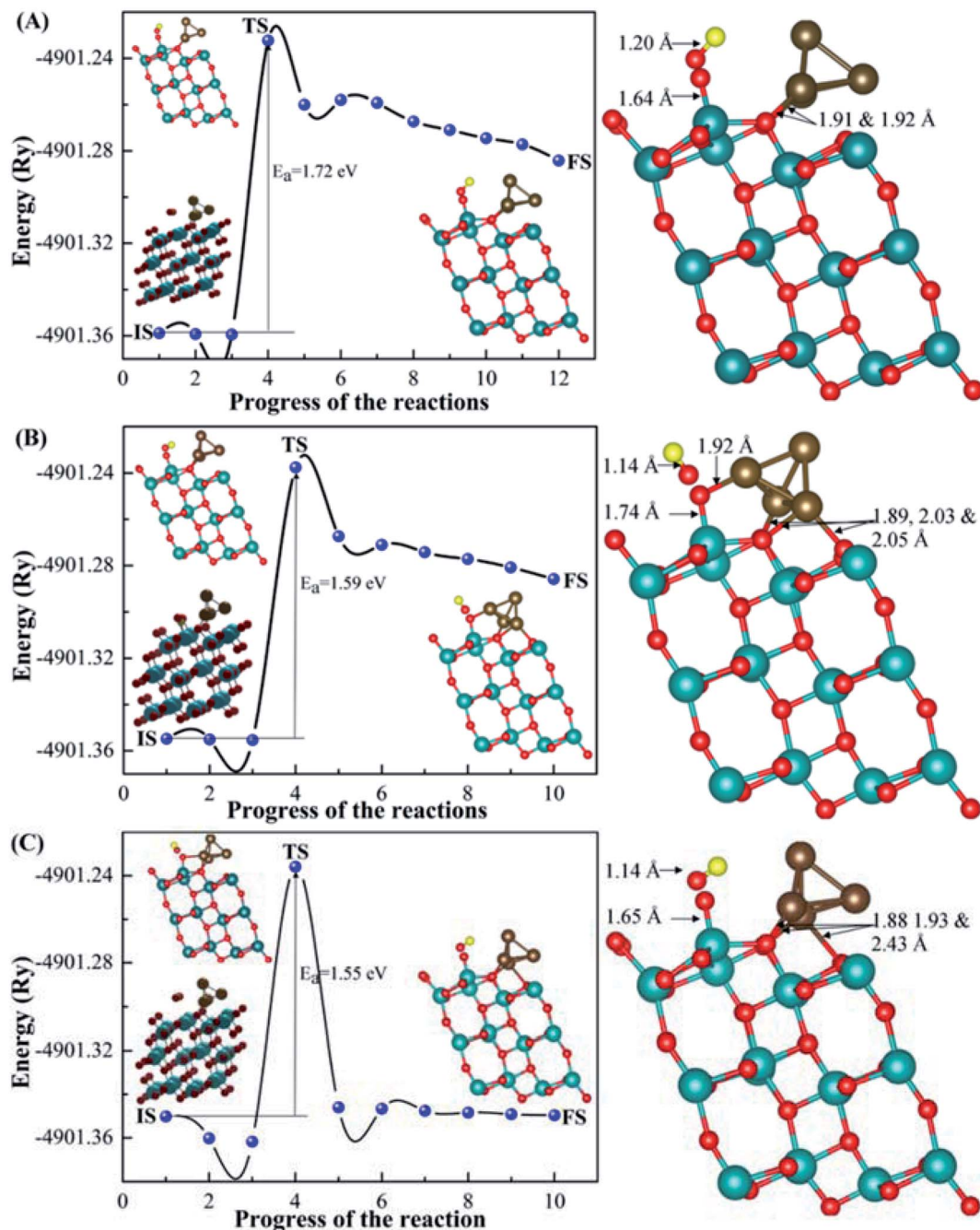
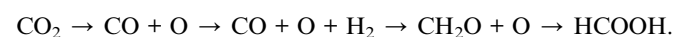


Fig. 5 Optimized structures and bond lengths of final states and energetic profiles of the  $\text{CO}_2$  gas molecule dissociated from the active sites of the (101)- $\text{TiO}_2@Cu_4$  system for configuration 1 (A), configuration 2 (B) and configuration 3 (C). (101)- $\text{TiO}_2@Cu_4$  with  $\text{CO}_2$  configurations are chosen as the initial state (IS), while co-adsorption configurations of (101)- $\text{TiO}_2@Cu_4$  with CO and O are chosen as the final state (FS). The transition state (TS) is chosen between the IS and the FS.

$\text{TiO}_2@Cu_4$  system,  $\text{CO}_2$  tends to bind at the bridge site, while one H atom is at the top site (Fig. 6). Here, we have taken two hydrogen molecules for configuration-2 as carbon monoxide can also react with hydrogen molecules to yield formic acid and formaldehyde.

Initially, during the formation of formic acid,  $\text{CO}_2$  molecule fragments into CO and O. Remaining oxygen from  $\text{CO}_2$  bonds with the Ti atom of the  $\text{TiO}_2$  surface and oxygen from CO

molecule are bonded to the Ti atom of the same surface. H atoms of the hydrogen molecule bond at appropriate places mean just top of the  $\text{TiO}_2$  surface and near to the copper cluster (Fig. 6a). The reaction path for the formation of HCOOH considers hydrogenation as the first possibility, with a sequence of elementary steps as follows:



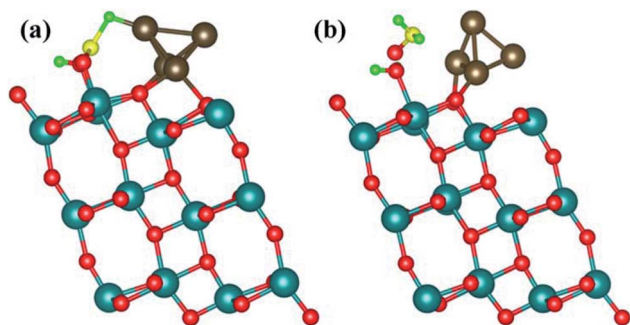


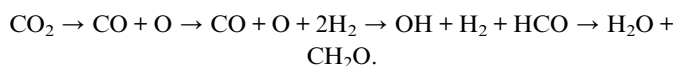
Fig. 6 Formation reactions of (a) formic acid and (b) formaldehyde from  $\text{CO}_2$ ,  $1\text{H}_2$  and  $2\text{H}_2$  on the active sites of the (101)- $\text{TiO}_2@Cu_4$  system represented as the optimized structures from the final relaxation state. The red color shows oxygen, yellow carbon, green hydrogen, dark cyan titanium and wine color copper atoms.

Both oxygen atoms are bonded with Ti atoms, while the H atom is bonded with Cu atoms; the bond lengths H-C, C-O, O-H were found to be  $1.60 \text{ \AA}$ ,  $1.85 \pm 0.05 \text{ \AA}$  and  $0.98 \text{ \AA}$ , respectively, which is larger than the standard bond length  $1.06$ ,  $1.16$  and  $0.96 \text{ \AA}$ , respectively. The bond dissociation energy ( $D^0$ ) is defined as the standard-state enthalpy change for the reaction at a specified temperature.<sup>66,67,76,77</sup> That is,

$$D^0 = \Delta H = \sum H_{(\text{bonds broken})} - \sum H_{(\text{bonds formed})}, \quad (3)$$

where  $\Delta H$  is the change in bond energy, also referred to as the bond enthalpy, and  $\sum H$  is the sum of the bond energies for each side (reactants and products) of the equation. The change in enthalpy during the formation of formic acid is  $-359.90 \text{ kJ mol}^{-1}$ . The negative sign shows that the reaction is exothermic, which indicates the reaction as competitive.

Second, we discuss the formation of formaldehyde and water molecule from  $\text{CO}_2$  and  $2\text{H}_2$  molecules (Fig. 6b). The reaction path for the formation of formaldehyde is as follows:



The bond lengths of H-C, C-O, and O-H, are  $1.36 \pm 0.31 \text{ \AA}$ ,  $1.67 \text{ \AA}$ , and  $0.99 \pm 0.01 \text{ \AA}$ , respectively. During the reaction mechanism, the change in enthalpy is  $-61.12 \text{ kJ mol}^{-1}$ . Again, the enthalpy change shows a negative sign, meaning that the reaction is exothermic and indicating that the reaction is favorable.

As we know that  $\text{CO}_2$  is abundant in our environment and it has many hazards on our health as well as on our environment, there is a need to utilize this toxic gas to obtain something useful in practical applications. Therefore, we propose the theoretical model in which  $\text{CO}_2$  dissociates into CO and O, which after the reaction with hydrogen molecules gives useful organic compounds. This theoretical model concerns two big issues in our environment: absorption of hazardous  $\text{CO}_2$  gas and the resulting energy fuel.

## IV. Conclusions

In summary, we systematically investigated the adsorption and dissociation processes of  $\text{CO}_2$  and CO gas molecules on the anatase (101)- $\text{TiO}_2@Cu_4$  system using *ab initio* simulations. The copper cluster decorated on the (101)- $\text{TiO}_2$  surface increases the catalytic activity regarding the charge separation. Further studies could be directed toward finding alternative cluster formations or atomic species, which would increase even more the charge separation. It also shows the strong ability to capture the  $\text{CO}_2$  and CO gas molecules: the adsorption energy of studied three configurations, at the active sites of (101)- $\text{TiO}_2@Cu_4$  system, are 2.94, 3.00 and 3.03 eV for  $\text{CO}_2$  gas molecules and 0.30, 0.34 and 0.24 eV for CO gas molecules, respectively. These results indicated that the copper cluster used as a catalyst on the top of the (101) surface of  $\text{TiO}_2$  can enhance the adsorption energy of  $\text{CO}_2$  and CO molecules compared to the undecorated  $\text{TiO}_2$  surface. It was found to significantly modify the adsorption properties of  $\text{CO}_2$  on the surface of (101)- $\text{TiO}_2$  anatase, and hence, it is expected to play an important role for even more complex problems related to  $\text{CO}_2$  photoreduction and selective conversion of  $\text{CO}_2$  into carbon-natural fuels. Moreover, the dissociation process of the  $\text{CO}_2$  molecule on these configurations shows an endothermic character. The corresponding energy barriers range between 1.72 eV and 1.55 eV. The formation of valuable carbon-neutral fuels for practical applications in real life is also studied.  $\text{CO}_2$  gas molecules react with several hydrogen gas molecules to form important organic compounds such as formic acid and formaldehyde. The changes in enthalpy for these reaction mechanisms are negative, meaning that the processes are significantly exothermic ( $359.90 \text{ kJ mol}^{-1}$  and  $61.12 \text{ kJ mol}^{-1}$ ). These results indicated that, during the formation of formic acid and formaldehyde, the system will release sufficient amounts of heat. According to this, it is very useful for non-fossil fuel energy sources.

## Conflicts of interest

There are no conflicts to declare.

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