

## REVIEW

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## Recent development and applications of semipinacol rearrangement reactions

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As has been well-recognized, semipinacol rearrangement functions as an exceptionally useful methodology in the synthesis of  $\beta$ -functionalized ketones, creation of quaternary carbon centers, and construction of challenging carbocycles. Due to their versatile utilities in organic synthesis, development of novel rearrangement reactions has been a vibrant topic that continues to shape the research field. Recent breakthroughs in novel electrophiles, tandem processes, and enantioselective catalytic transformations further enrich the toolbox of this chemistry and spur the strategic applications of this methodology in natural product synthesis. These achievements will be discussed in this minireview.

## Introduction

The highly efficient formation of carbon–carbon bonds and construction of corresponding molecular skeletons are two representative key scientific topics in organic synthesis. Therefore, rearrangement reaction related synthetic strategy development, which can simultaneously achieve the above two synthetic goals in a very efficient manner, has been an evergreen topic in the synthetic chemistry community. Among the rearrangement reactions developed so far, semipinacol rearrangement, as a typical 1,2-migration process, has also attracted the broad attention of synthetic chemists. Since the inception of the term “semipinacol” to describe a specific subclass of pinacol rearrangement reactions by Tiffeneau in 1923,<sup>1</sup> the definition of this research area has continuously extended with advancement of novel types of reactions.<sup>2,3</sup> Generally, despite the diverse reaction patterns semipinacol rearrangement may involve,

a typical process often entails the generation of a carbonyl group through a 1,2-C–C or C–H bond migration from an oxygen-containing carbon to a vicinal carbon center, which is archetypally an electrophilic carbon<sup>3</sup> though a C-radical center has also been suggested in recent emerging radical rearrangements (Scheme 1).<sup>4</sup> A noteworthy aspect of this class of 1,2-migration reactions is that it provides a reliable and efficient approach to access synthetically useful  $\beta$ -functionalized ketones. In addition, the semipinacol rearrangement also serves as a potent and versatile method for the construction of quaternary carbon centers<sup>5</sup> that are challenging to create but pervasive in natural molecules and pharmaceuticals.<sup>6–13</sup> These remarkable features render this rearrangement reaction a powerful tool in natural product synthesis,<sup>3,14,15</sup> and the utilization of this rearrangement to assemble intricate carbocycles from easily accessible ones, probably the most exciting application of such reactions, has become a subject of particular interest.

As the development of semipinacol rearrangement in the last two decades has gradually become mature, summarization of a certain aspect of the venerable reaction has been documented in several previous reviews.<sup>2–5,14–17</sup> Nonetheless, recent advances of semipinacol rearrangement in terms of novel electrophiles, radical processes,<sup>4</sup> tandem reactions, and catalytic

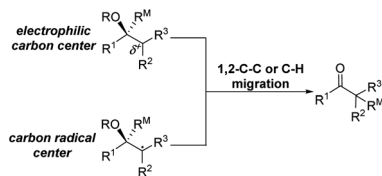
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Bao-Sheng Li obtained his B.S. at Guizhou University in 2008. He then obtained his PhD degree from Lanzhou University (with Prof. Xiao-Ping Cao) in 2013. After postdoctoral research at Nanyang Technological University, Singapore (with Prof. Yonggui Robin Chi), he was appointed as a Tenure-Track assistant professor at Chongqing University (China) in 2016. His research interest focuses on the construction of nitrogen-containing heterocycles and their application in the synthesis of pharmaceuticals.



Scheme 1 A typical process of semipinacol rearrangement.

enantioselective transformations<sup>16,17</sup> have further enriched the repertoire of this chemistry. These breakthroughs are accompanied by the prevailing application of the rearrangement reactions as a key step/strategy in the assembly of complex natural products. In some cases, a semipinacolase is even elucidated to be responsible for catalyzing the key rearrangement in the biosynthetic pathway of certain natural molecules.<sup>18</sup> Therefore, a summary of these contributions, as presented in this review, would provide not only an up-to-date overview of this research field but also guidance for its future development.

The new patterns and applications of semipinacol rearrangement covered in this review will be classified on the basis of four substrate types—epoxides, allylic alcohols,  $\beta$ -hetero-substituted alcohols, and  $\alpha$ -hydroxy ketones and imines. Although some of the basic transformations of each type of substrate have been well-established, recent progress has focused on tandem and asymmetric rearrangement modes. These representative examples along with the extension of radical initiated rearrangement of allylic alcohols will be discussed herein, with an emphasis of the recent synthetic applications in each section.

## Epoxides

Epoxides are a classical type of substrate in semipinacol rearrangement. In the presence of an acid, ring-opening of oxirane

would result in the formation of an  $\alpha$ -hydroxy carbocation that could induce an ensuing 1,2-migration to produce a carbonyl product. Meanwhile, the resulting aldehydes or ketones could also participate in various tandem processes, such as the Tishchenko reaction, allylation, propargylation, and the Schmidt reaction, to produce structurally complex 1,3-diols or amides.<sup>5</sup> In these cases, pre-preparation of epoxides from the corresponding alkenes or allylic alcohols is generally required. Thus, the development of a one-pot or tandem epoxidation/semipinacol reaction would be more step-economic since an alkene substrate could be directly used in such a cascade. In this regard, Jiao and coworkers recently developed an oxygenation/semipinacol rearrangement of cyclobutyl-derived allylic carbinol **1** (Scheme 2a).<sup>19</sup> Notably, oxygen was used as the epoxidation reagent and Cu(OTf)<sub>2</sub> as the catalyst in this reaction, and a catalytic amount of Lewis acid was sufficient to induce the desired rearrangement. Apart from allylic alcohols, a cascade process of *ortho*-hydroxy styrenes **4** was devised by Sun's group for the synthesis of hydrodibenzofurans **6** (Scheme 2b).<sup>20</sup> The formation of an *ortho*-quinone methide intermediate **5** was suggested after the epoxidation, which would trigger the ring-expansion of the resulting cyclopentanol to generate **6** with two consecutive quaternary stereocenters.

As the 1,2-migration of 2,3-epoxy alcohols often proceeds *via* a stereospecific process with the migrating group antiperiplanar to the C–O bond of the oxirane, access to enantioenriched  $\beta$ -hydroxy ketone products with these reactions predominantly relies on the initial preparation of enantiopure epoxides. However, for racemic epoxide substrates with only one stereogenic center, regioselective ring-opening of the oxirane would form a prochiral  $\alpha$ -hydroxy carbocation intermediate, and thus an ensuing enantioselective migration could potentially take place to produce valuable enantioenriched ketones or aldehydes. Such a catalytic stereoconvergent process has independently been realized by Zhu's and Sun's groups at the same time

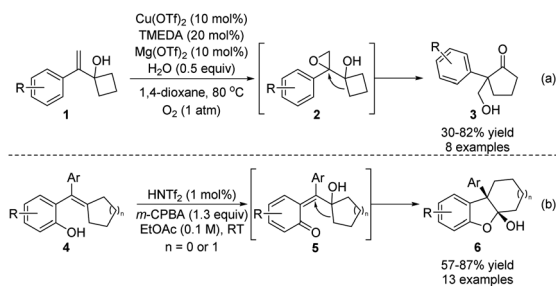
Shao-Hua Wang obtained his PhD in organic chemistry from Lanzhou University with Professor Yong-Qiang Tu in 2006. From 2006 to 2011, he was a postdoctoral associate in Professor Yuan-Ping Pang's group at the Mayo Clinic. He was appointed as an associate professor at the School of Pharmacy Lanzhou University in 2011 and promoted to full professor in 2017. His current research interests involve the development of synthetic methodologies and their application in the syntheses of natural products, and medicinal chemistry.

Kun Zhang received his B.S. and M.S. from Guangdong polytechnic college in 1985 and 1990, respectively, and obtained his PhD in 1997 from Lanzhou University. He was appointed a lecturer at Guangdong University of Technology in 1985 and promoted to a full professor in 1999. Currently, his research interest includes natural products and Chinese medicines.

Fu-Min Zhang received his PhD degree from Lanzhou University in 2006 working under the supervision of Prof. Yong-Qiang Tu. Subsequently, he worked as a postdoctoral fellow at the Mayo Clinic with Prof. Yuan-Ping Pang (2007–2008). He returned to Lanzhou University as a lecturer and was then appointed as an associate professor in 2009 and a full professor in 2014. Currently, he is interested in the total synthesis of natural products.

Yong-Qiang Tu received his B.S. and M.S. from Lanzhou University in 1982 and 1985, respectively. He obtained his PhD in organic chemistry in 1989 from Lanzhou University under the supervision of Prof. Yao-Zu Chen. After spending three years (1993–95) as a postdoctoral fellow in W. Kitching's group at Queensland University, Australia, he was appointed a full professor at Lanzhou University in 1995 and became Director of the State Key Laboratory of Applied Organic Chemistry from 2001 to 2010. In 2009, he was elected an Academician of the Chinese Academy of Sciences.



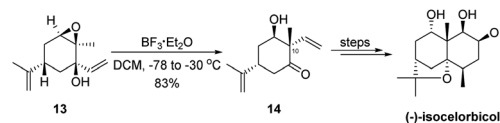


Scheme 2 Epoxidation/semipinacol rearrangement reactions.

(Scheme 3).<sup>21,22</sup> Under the catalysis of either chiral *N*-triflyl phosphoramidate (*S*)-**cat. 1** or phosphorous acids (*R*)-**cat. 2** or (*S*)-**cat. 3**, racemic epoxides **7**, **9**, or **11** could be converted to enantioenriched  $\alpha$ -quaternary ketones **8**, **10** or **12**, respectively, with high yields and enantioselectivities. Mechanistically, formation of a chiral counteranion of the deprotonated catalyst and the  $\alpha$ -hydroxy carbocation was deemed to be crucial for inducing an enantioselective rearrangement.

The semipinacol rearrangement of epoxides has found diverse applications in natural product synthesis during the last two decades.<sup>3,14,15</sup> The utility of this reaction for quaternary carbon center formation with stereochemical control has been well-recognized and continues to play an essential role in the synthesis of key intermediates of natural molecules. For a recent example, the 1,2-migration of 2,3-epoxy tertiary alcohol **13** would provide  $\alpha$ -quaternary- $\beta$ -hydroxy cyclohexanone **14** that serves as a key building block in the total synthesis of (–)-isocolorbiccol by Ogura and coworkers (Scheme 4).<sup>23</sup> The correct installation of the C10 quaternary carbon center is attributed to a stereoselective rearrangement of the vinyl group *anti* to the epoxide.

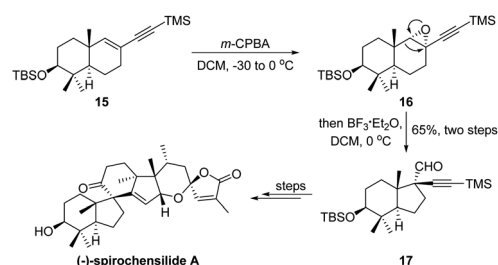
In 2020, Chen and Yang's group proposed an elegant total synthesis of (–)-spirochensilide A.<sup>24</sup> During their early-stage synthesis, a two-step epoxidation/semipinacol ring-contraction consequence has been developed to convert a *trans*-octahydro-naphthalene system **15** to an octahydroindene carbocycle **17**



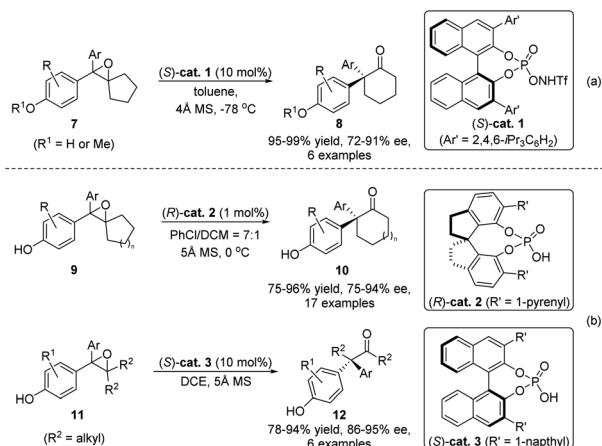
Scheme 4 Building block preparation in the total synthesis of (–)-isocolorbiccol.

with vicinal quaternary carbon centers (Scheme 5). Of note, only a single diastereoisomer was obtained in the rearrangement reaction of **16**, indicating a highly regio- and diastereochemical control of the ring-contraction process, and the aldehyde functionality introduced through the rearrangement could be further elaborated as a functional handle.

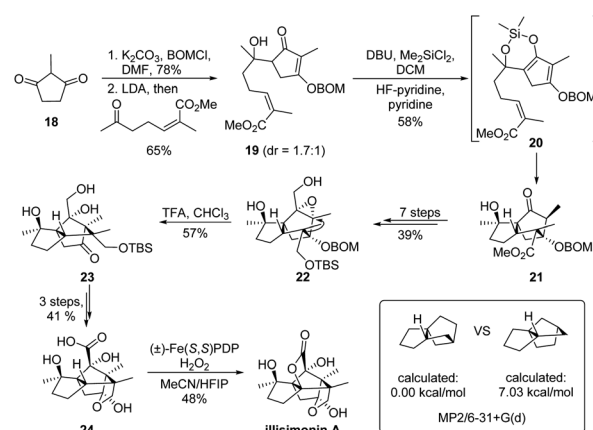
The skeletal 1,2-rearrangement could also be applied as a crucial strategy to guide synthetic planning. For the assembly of highly strained or complex carbocycles, alternation of the cyclic ring system *via* a retro-1,2-migration might provide an easily accessible precursor from the retrosynthetic viewpoint. As a representative example, such a strategy has been ingeniously applied in the total synthesis of (–)-illisimonin A (Scheme 6).<sup>25</sup> As the *trans* 5–5 system of the molecule presents a well-recognized synthetic challenge, Rychnovsky and coworkers devised a key skeletal rearrangement to access the *trans* ring-system from its *cis* counterpart since it is calculated to be less strained (7.03 kcal mol<sup>–1</sup> more favorable). Furthermore, this *cis*-



Scheme 5 Epoxidation/semipinacol ring-contraction in the total synthesis of (–)-spirochensilide A.



Scheme 3 Catalytic asymmetric semipinacol rearrangement of racemic epoxides.



Scheme 6 Strategic application of semipinacol rearrangement in the total synthesis of illisimonin A.



carbocycle **21** could be readily prepared from 2-methylcyclopentane-1,3-dione **18** in three steps *via* an intramolecular Diels–Alder reaction of  $\alpha,\beta$ -unsaturated cyclopentenone **19**. The late-stage semipinacol rearrangement of 2,3-epoxy alcohol **22** derived from ester **21** would provide the tricyclic ketone **23** with the establishment of the strained *trans* 5–5 ring. Finally, the application of White's acid-directed C–H oxidation of **24** enabled the construction of the bridging lactone and completed the total synthesis.<sup>26,27</sup>

## Allylic alcohols

Allylic alcohols are a privileged type of substrate in the development of novel semipinacol rearrangements because of the availability of these compounds from simple chemical feedstocks. In classical reactions, activation of the alkene group by an electrophile would generate an  $\alpha$ -hydroxy carbocation intermediate, thus triggering the subsequent 1,2-migration to produce  $\beta$ -functionalized ketones (Table 1). Alternatively, addition of a radical species to the double bond is also feasible. In this case, an intermediary  $\alpha$ -hydroxy radical is initially generated, which in most cases is further oxidized to the  $\alpha$ -hydroxy carbenium before the rearrangement (Table 1, path a). However, when the migrating group is an arene or heteroarene, a neophyl-type 1,2-radical migration followed by oxidation is usually suggested (Table 1, path b). Although a wide range of electrophiles capable of initiating the rearrangement have been well-documented,<sup>3,5</sup> interest in the discovery of novel types of electrophiles and radical initiators<sup>4,28</sup> continues. Among these breakthroughs, novel catalytic techniques, such as photocatalysis and electrocatalysis, have also enabled reactions under environmentally benign conditions, thus preventing the use of excess oxidants or toxic reagents.

Recent advancements of electrophiles and radicals in the semipinacol rearrangement reactions of allylic alcohols are summarized in Table 1. For a nitrogen group element, nitrosonium ( $\text{NO}^+$ ) was proven to be able to promote the rearrangement reaction, producing oximes and oxime ethers with a spirocyclic skeleton.<sup>29</sup> This methodology is a rare example of oxime synthesis from non-ketone or hydroxylamine substrates. Besides N-centered electrophiles, an N-radical induced rearrangement has been reported wherein *N*-fluorobenzenesulfonimide (NFSI) was used as the radical source under the catalysis of Cu(I).<sup>30</sup> Additionally, with the assistance of photoredox-catalysis, the phosphinylation/semipinacol rearrangement could be realized either with a diaryl(alkyl)-phosphine oxide<sup>31</sup> or oxime phosphonate substrate.<sup>32</sup>

Chalcogen, specifically sulfur and selenium, related electrophiles and radicals are also viable species in promoting the rearrangement reactions of allylic alcohols. For example, the  $\text{SCF}_3$  radical could be generated under oxidative conditions,<sup>33</sup> while the  $\text{SCF}_3$ , SCN, or SeR electrophile was commonly released from certain electrophilic reagents such as  $\text{PhNHSCF}_3$ ,<sup>34</sup> *N*-trifluoromethylthiosaccharin,<sup>35</sup>  $(\text{PhSO}_2)_2\text{NSCN}$ ,<sup>36</sup>  $\text{PhSeBr}$ ,<sup>37</sup> or  $\text{RSeSeR}$ <sup>38</sup> in the presence of an acidic promoter. In 2019, a sulfonylation/semipinacol rearrangement has been established independently by several groups. Among

these reactions, various types of reagents, such as  $\text{ArN}_2\text{BF}_4$  and  $(\text{DABCO})\cdot(\text{SO}_2)_2$ ,<sup>39</sup>  $\text{ArSO}_2\text{H}$ ,<sup>40</sup> and  $\text{ArSO}_2\text{Cl}$ ,<sup>41</sup> were used to generate arylsulfonyl radicals by means of photoredox-catalysis. Of note, electrochemical conditions have also been applied for the generation of arylsulfonyl radicals from either  $\text{ArSO}_2\text{NH}_2$ - $\text{NH}_2$  or  $\text{PhSO}_2\text{Na}$ ,<sup>42,43</sup> which provide mild and convenient protocols for the synthesis of  $\beta$ -sulfonated ketones.

The halogenation/semipinacol rearrangement of allylic alcohols has found wide application in the synthesis of  $\beta$ -halogenated ketones. Although electrophilic halogenation reagents have been pervasively used in such transformations, these reagents are generally expensive and toxic. In comparison, the use of inorganic halide salts as halogen sources would be more appealing since they are low-cost and eco-friendly. These approaches have recently been realized taking advantage of either oxidative conditions (PIDA)<sup>44</sup> or electrocatalysis.<sup>45</sup> In each case, sodium or magnesium halide was used respectively to generate the halogenium *in situ* to induce the rearrangement.

Apart from heteroatom-centered electrophiles and radicals, carbon-centered radicals are equally competent in inducing migration reactions. The alkyl radicals generated from  $\text{RBr}$ ,<sup>46</sup>  $\text{RB(OH)}_2$ ,<sup>47</sup> or *N*-acyloxyphthalimides<sup>48</sup> under photoredox-catalytic conditions could be utilized for the development of alkylative semipinacol rearrangement reactions. These methodologies provide efficient routes to  $\beta$ -functionalized ketones under mild and environmentally benign conditions. Similarly, trifluoromethylation/rearrangement reactions could also be conducted under photo-<sup>49</sup> or electrocatalytic conditions<sup>43,50</sup> to produce a wide range of  $\beta$ -trifluoromethyl ketones with high efficiency.

Notably, a catalytic radical-polar cross over reaction has been developed in 2018 in which the generation of a hydrogen radical was responsible for promoting the semipinacol rearrangement of allylic alcohols.<sup>51</sup> Compared with the proton induced reactions, this approach features a much broader substrate scope that could be a useful complement to the existing methods.

Advancement of electrophile induced enantioselective semipinacol rearrangement of allylic alcohols has also received considerable attention from the synthetic community with continued development of novel types of catalytic modes.<sup>16</sup> In 2019, Gong and coworkers developed a notable catalytic enantioselective tandem allylic alkoxylation/oxidative rearrangement using a chiral iodine catalyst (Scheme 7).<sup>52</sup> Oxidation of **cat. 6** by selectfluor and TsOH would *in situ* generate a hypervalent iodine(III) species, which serves as the real catalyst for the enantioselective oxidative rearrangement. Under the optimal conditions, various  $\beta$ -alkoxylated ketones **27** were obtained with good yields and excellent enantioselectivities.

Taking advantage of Lewis base catalysis, Tu's groups accomplished an asymmetric sulfonylation/semipinacol rearrangement that provides a straightforward methodology for the synthesis of a wide range of  $\alpha$ -quaternary carbon-containing  $\beta$ -arylthio ketones **30** from allylic alcohols **28** (Scheme 8).<sup>53</sup> The best enantioselection was achieved when selenide (*R*)-**cat. 7** was used as the Lewis base catalyst and chiral phosphoric acid (*S*)-CPA as a cocatalyst. The indispensable role of (*S*)-CPA for a highly enantioselective transformation was studied by





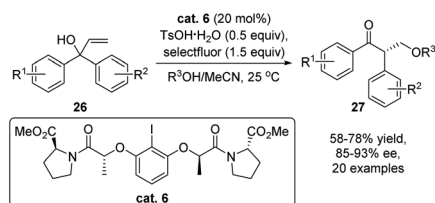
Table 1 Electrophiles and radicals that initiate the semipinacol rearrangement reactions of allylic alcohols

Electrophile or radical	Reagent	Reaction conditions	Product	Ref.
$\text{NO}^+$	$\text{NOBF}_4$	DTBP (15 mol%), $\text{CH}_3\text{CN}$ , $-30\text{ }^\circ\text{C}$		29
$(\text{SO}_2\text{Ph})_2\text{N}^\bullet$	$(\text{SO}_2\text{Ph})_2\text{NF}$	$\text{CuCl}$ (10 mol%), <b>L1</b> (10 mol%), DCE, $70\text{ }^\circ\text{C}$		30
$\text{R}'-\text{P}(\text{R}')=\text{O}$	$\text{R}'-\text{P}(\text{R}')=\text{O}$	Eosin Y (10 mol%), DMA, RT, 12 W blue LEDs		31
$\text{R}'-\text{P}(\text{R}')=\text{O}$	$\text{R}'-\text{P}(\text{R}')=\text{O}$	<i>fac</i> -Ir(ppy) <sub>3</sub> (1 mol%), dioxane, RT, 5 W blue LEDs		32
$^+\text{SCF}_3$ or $^+\text{SCF}_3$	$\text{AgSCF}_3$	$\text{K}_2\text{S}_2\text{O}_8$ (1.5 equiv.), pyridine, $\text{CH}_3\text{CN}$ , $65\text{ }^\circ\text{C}$		33
	$\text{PhNHSCF}_3$	$\text{CH}_3\text{CN}$ , AcCl, RT		34
	<i>N</i> -Trifluoromethyl thiosaccharin	$\text{Et}_3\text{N}$ (10 mol%), Boc-L-PHE (10 mol%), TMSCl, $\text{CH}_3\text{CN}$ , RT		35
$^+\text{SCN}$	$(\text{PhSO}_2)_2\text{NSCN}$	AcCl or TMSCl, DCE, RT		36
	$\text{ArN}_2\text{BF}_4$ , (DABCO)·( $\text{SO}_2$ ) <sub>2</sub>	<i>fac</i> -Ir(ppy) <sub>3</sub> (4 mol%), $\text{CH}_3\text{CN}$ , RT, 8 W blue LEDs		39
	$\text{ArSO}_2\text{H}$	<i>fac</i> -Ir(ppy) <sub>3</sub> (3 mol%), $\text{CH}_3\text{CN}$ , TBHP, RT, blue LEDs		40
$^+\text{SO}_2\text{Ar}$	$\text{ArSO}_2\text{Cl}$	<i>fac</i> -Ir(ppy) <sub>3</sub> (3 mol%), $\text{CH}_3\text{CN}$ , RT, blue LEDs		41
	$\text{ArSO}_2\text{NH}_2\text{NH}_2$	Pt(+)-Pt(−), 5 mA undivided cell, THF/ $\text{H}_2\text{O}$ (1 : 1), NaI, RT		42
	$\text{PhSO}_2\text{Na}$	C(+)-Pt(−), 10 mA undivided cell, $\text{LiClO}_4$ , $\text{CH}_3\text{CN}/\text{H}_2\text{O}$ (1 : 1), RT		43
$^+\text{SeR}$	$\text{PhSeBr}$	DCM, RT		37
	$\text{RSeSeR}$	$\text{I}_2$ , EtOH/ $\text{H}_2\text{O}$ (1 : 1), RT		38
$^+\text{X}$ (X = halogen)	$\text{NaX}$ (X = Cl, Br, or I)	PIDA, $\text{H}_2\text{O}$ , $40\text{ }^\circ\text{C}$		44
	$\text{MgBr}_2$ or $\text{MgCl}_2$	C(+)-Pt(−), 25 mA undivided cell, $\text{CH}_3\text{CN}/\text{H}_2\text{O}$ (6 : 3), RT		45
$^+\text{R}$ (R = alkyl)	$\text{RBr}$	$[\text{Au}_2(\text{dppm})_2]\text{Cl}_2$ (5 mol%), $\text{Na}_2\text{CO}_3$ , DABCO, $\text{CH}_3\text{CN}$ , UVA LEDs		46
	$\text{RB(OH)}_2$	$[\text{Ir}(\text{ppy})_2(\text{dtbbpy})]\text{PF}_6$ (1 mol%), BIOAc, $\text{CH}_3\text{CN}$ , RT, 4 W blue LEDs		47
		<i>fac</i> -Ir(ppy) <sub>3</sub> (3 mol%), DMA, RT, 6 W white LEDs		48
$^+\text{CF}_3$		DMSO, $\text{K}_2\text{HPO}_4$ , RT, 48 W white LEDs		49
	$\text{CF}_3\text{SO}_2\text{Na}$	Pt(+)-Pt(−), 3 mA undivided cell, $\text{LiClO}_4$ , $\text{CH}_3\text{CN}/\text{H}_2\text{O}$ (1 : 1), RT		50
	$\text{CF}_3\text{SO}_2\text{Na}$	C(+)-Pt(−), 15 mA, undivided cell, $\text{LiClO}_4$ , $\text{CH}_3\text{CN}/\text{H}_2\text{O}$ (2 : 1), RT		43
$^+\text{H}$	$\text{PhSiH}_2\text{Me}$	<b>cat. 4</b> or <b>cat. 5</b> (5 mol%), 25, acetone, $0\text{ }^\circ\text{C}$		51

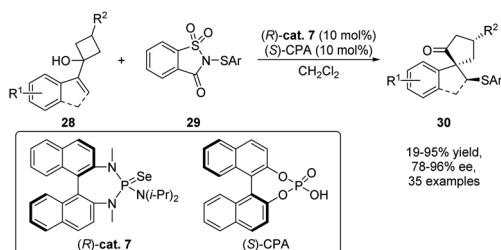
computational methods which indicate a steric repulsion of (*R*)-**cat. 7** and (*S*)-CPA to be responsible for the facile selection during the sulfenyl group transfer event.

In the past two decades, significant studies have been devoted to the development of catalytic enantioselective halogenation/rearrangement of allylic alcohols,<sup>16</sup> and precedent



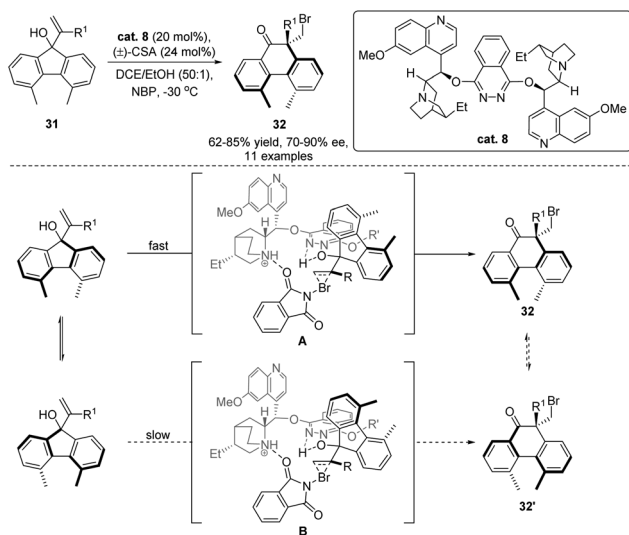


Scheme 7 Enantioselective alkoxylation/oxidative rearrangement.



Scheme 8 Asymmetric sulfenylation/semipinacol rearrangement.

reactions focusing on the establishment of stereogenic  $sp^3$  carbon centers with point chirality were well-established. Recently, Yeung and co-workers further advanced this methodology with an application of the rearrangement for the introduction of axial chirality (Scheme 9).<sup>54</sup> As the methyl substituents in the 4,5-dimethylfluorene system (**31**) are spatially remote, rotation of the diaryl C–C bond is facile, resulting in the racemization of the atropisomers. However, when 4,5-dimethylphenanthrene product **32** was generated *via* ring expansion of **31**, proximity of the methyl substituents in **32** would render a high rotation barrier of the diaryl C–C bond. In this case, two configurationally stable atropisomers could be obtained. With the application of semipinacol rearrangement, the authors developed a dynamic-kinetic resolution strategy to simultaneously assemble the quaternary stereogenic carbon

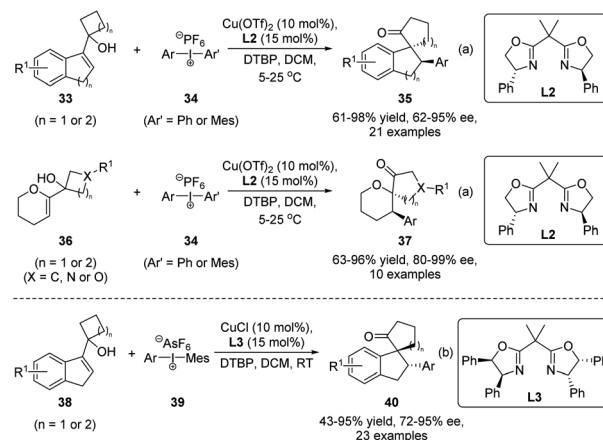


Scheme 9 Dynamic-kinetic resolution-semipinacol rearrangement.

center as well as the axial chirality of **32** from the halogenation/rearrangement of racemic alcohol **31** using (DHQD)<sub>2</sub>PHAL as the catalyst (**cat. 8**). The diastereoselectivity of the rearrangement can be rationalized by initial formation of a preorganized intermediate **A** or **B** from each atropisomer of **31**. The less steric interaction between the catalyst and the substrate in **A** would favour the formation of **32**.

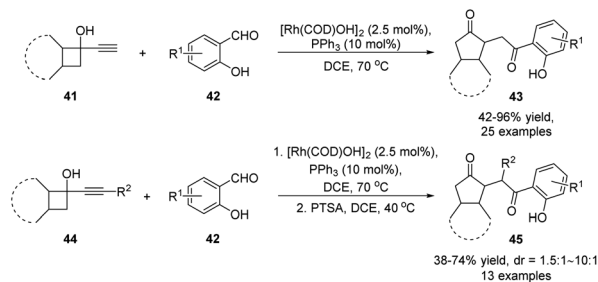
Compared with heteroatom-electrophiles, asymmetric semipinacol rearrangement reactions initiated by carbon-electrophiles are rather limited, although they are synthetically more useful in generating complex ketone scaffolds. In 2017, Gaunt and coworkers developed an elegant enantioselective arylation/semipinacol rearrangement of tertiary allylic alcohols (Scheme 10a).<sup>55</sup> When Cu(OTf)<sub>2</sub> was used as the catalyst in combination with a Box ligand **L2**, the reactions of **33** or **36** with diaryliodonium salts **34** could proceed smoothly in the presence of 2,6-di-*tert*-butylpyridine (DTBP) to produce  $\beta$ -aryl spirocyclic ketones **35** or **37**, respectively, in high yields and enantiomeric ratios. Notably, the method features a broad substrate scope in terms of diaryliodonium salts and indene or dihydropyran derived allylic alcohols. Ring expansions of cyclobutyl, cyclopentyl, oxetane, and azetidine-containing substrates were all feasible. In the same year, Zhu's group also described their independent development of the tandem reaction (Scheme 10b).<sup>56</sup> The CuCl catalyst with another Box ligand **L3** was used in this case. Similarly, high yields and enantioselectivities were obtained with mainly indene-derived allylic alcohols.

In addition to cascade reactions initiated by electrophiles and radicals, the semipinacol rearrangement of allylic alcohols could be incorporated into other more complex tandem or one-pot processes. These often involve the combination of other reaction types with the rearrangement for the purpose of generating molecular complexity in an expeditious manner. For example, a tandem hydroacylation/semipinacol rearrangement of alkynyl cyclobutanols with salicylaldehydes has been established by Zhang and co-workers, offering an efficient protocol for the preparation of 2-(2-oxo-2-phenylethyl)cyclopentanones with multiple substitutions (Scheme 11).<sup>57</sup> Atom-economical



Scheme 10 Enantioselective arylation/semipinacol rearrangement.



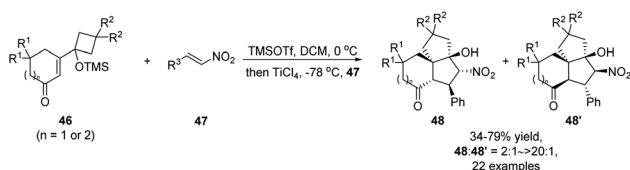


Scheme 11 Tandem hydroacylation/semipinacol rearrangement.

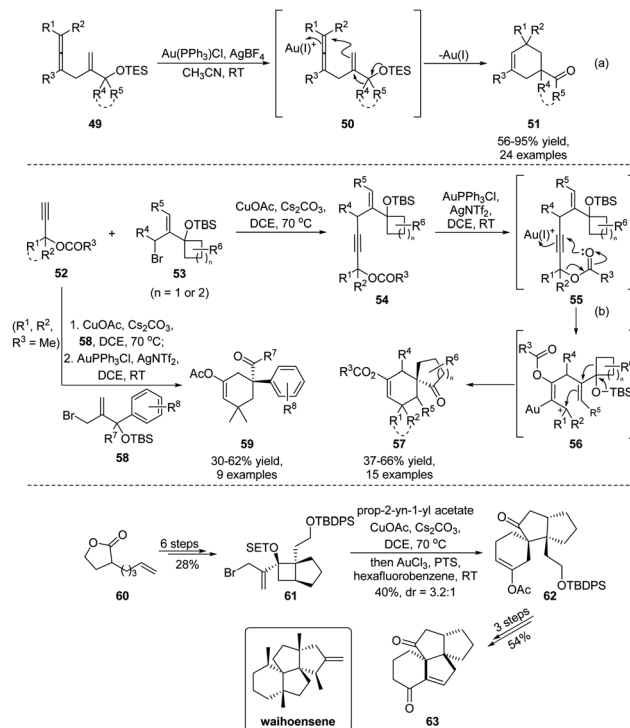
transformation was carried out with a catalytic amount of rhodium(i) catalyst and a  $\text{PPh}_3$  ligand. While the reactions of terminal alkynes **41** with chelating aldehydes **42** could directly afford 1,4-diketones **43**, a step-wise procedure was needed for the transformation of disubstituted alkynes **44**.

A recent report by Zhang and Tu describes a one-pot semipinacol rearrangement/Michael addition/Henry reaction that enables rapid assembly of polyfunctionalized carbocycles from vinylogous  $\alpha$ -ketols **46** and nitroalkenes **47** (Scheme 12).<sup>58</sup> Initial addition of  $\text{TMSOTf}$  would trigger the ring-expansion of **46** to form a rearranged enolate intermediate that could then add to the nitroolefin partner upon activation by  $\text{TiCl}_4$ . Once the adduct was formed, an intramolecular Henry cyclization would take place to deliver tricyclic ketones **48** and **48'** as a pair of stereoisomers.

In 2020, Yu and Wang established a Au(i)-catalyzed tandem cyclization/semipinacol rearrangement of allene-substituted alcohols **49** (Scheme 13a),<sup>59</sup> which provides a direct synthetic approach for the preparation of quaternary carbon-containing cyclohexenes **51**. On the basis of this work, Wang and Tu further developed a two-step Castro–Stephens coupling/tandem acyloxy shift/cyclization/semipinacol rearrangement sequence for the synthesis of such cyclohexenes in a more modular fashion (Scheme 13b).<sup>60</sup> The coupling of terminal alkynes **52** with allylic bromides **53** was followed by a Au(i)-catalyzed cascade mechanistically similar to the allene-cyclization/rearrangement process. Specifically, activation of the alkyne group of **54** by Au(i) would trigger an acyloxy shift to generate an allylic carbenium **56** that could induce the cyclization/semipinacol rearrangement, as in the reaction of allene **50**, to finally give rise to the spirocyclic ketones **57**. Besides the ring-expansion rearrangement, migration of aryl groups was also feasible in this cascade transformation. In these cases, a wide range of cyclohexenes **59** with an aryl substituted quaternary



Scheme 12 Semipinacol rearrangement/Michael addition/Henry reaction.

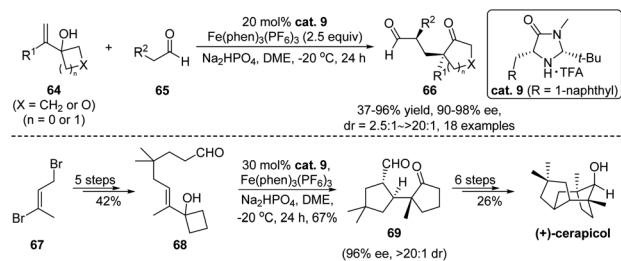


Scheme 13 Tandem cyclization/semipinacol and Castro–Stephens coupling/tandem acyloxy shift/cyclization/semipinacol rearrangement.

carbon center were obtained. A synthetic application of this highly efficient procedure was also demonstrated in the assembly of the core carbon skeleton of waihoensene, a diterpene compound with a unique tetracyclic ring-system and consecutive quaternary-center arrangement. Cyclobutanol **61** prepared from **60** in 6 steps could be transformed into tricyclic ketone **62** readily using this methodology. Late-stage functional group manipulations followed by an aldol cyclization finally gave ketone **63** with consistent relative configuration with the natural product.

An exciting direction surrounding tandem reactions is their application in natural product synthesis. Accordingly, development of such rearrangement-involving cascades for total synthesis purpose has been an ongoing topic in this research field. Recently, Zhang and Tu developed an enantioselective aldehyde  $\alpha$ -alkylation/semipinacol rearrangement taking advantage of organo-SOMO catalysis.<sup>61</sup> As depicted in Scheme 14, oxidation of the enamine generated from aldehyde **65** and secondary amine catalyst **cat. 9** (ref. 62–64) would form a radical cation that could add to the alkene of allylic alcohol **64**, thus promoting the ring-enlargement rearrangement. A broad range of enantioenriched  $\alpha$ -quaternary cyclopentanones can be accessed using this method. Notably, a synthetic utility of this approach has been demonstrated in the catalytic asymmetric synthesis of (+)-cerapicol. After preparation of aldehyde **68** from bromide **67** in 5 steps, it was transformed to bicyclic 1,5-dicarbonyl compound **69** through an intramolecular version of the tandem process. Late-stage aldol cyclization and functional group manipulations finally completed the total synthesis.

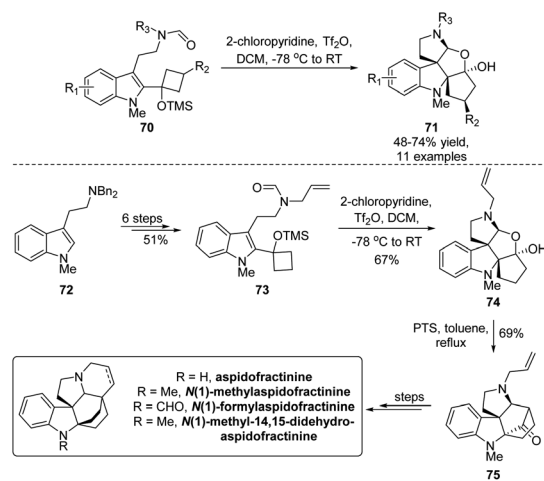




Scheme 14 Enantioselective aldehyde  $\alpha$ -alkylation/semipinacol rearrangement and total synthesis of (+)-cerapicol.

The simultaneous creation of vicinal all-carbon quaternary carbon centers presents a nontrivial task in synthetic chemistry due to their congested chemical environment, although such structural moieties broadly exist in diverse natural molecules, especially indole derived alkaloids. Aiming at assembling a bis(spirocyclic) indole framework with continuous quaternary carbon centers, Tu's group established a Bischler–Napieralski/semipinacol rearrangement that enables the transformation of tryptamine-derived allylic alcohols **70** to tetracyclic indoles **71** in a highly diastereoselective fashion (Scheme 15).<sup>65</sup> Upon treatment of bis(spirocyclic) indole **74** under acidic conditions, the following intramolecular Mannich reaction would produce bridge[2.2.1]cyclic ketone **75**. This advanced pentacyclic intermediate could be applied in the collective total synthesis of four aspidofractinine alkaloids, further showcasing the synthetic utility of this methodology.

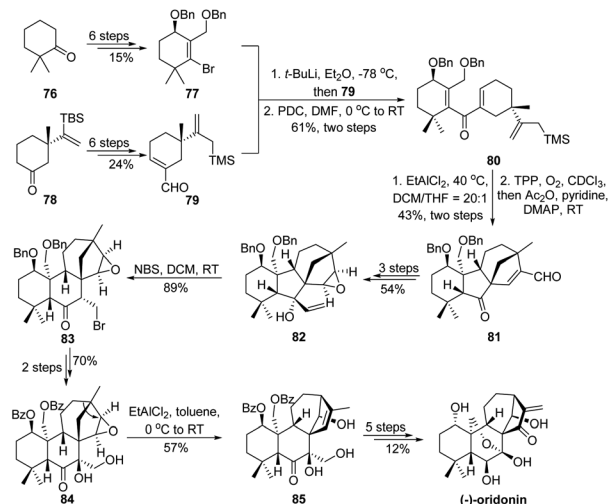
In terpene synthesis, due to the intrinsic complexity of certain carbon skeletons, direct assembly of ring-systems using cyclization methods would sometimes be arduous. In this case, alternation of the carbocycle to an easily accessible one by means of 1,2-carbon migration thereby provides a synthetic solution. Such a strategy has been elegantly performed by Luo and coworkers in the total synthesis of (–)-oridonin (Scheme 16).<sup>66</sup> In order to construct the central tetracyclic carbocycles in



Scheme 15 Bischler–Napieralski/semipinacol rearrangement and collective total synthesis of aspidofractinine alkaloids.

a rapid fashion, a Nazarov/Hosomi–Sakurai cascade of **80** (prepared from the coupling of segments **77** and **79**) followed by a singlet oxygen ene reaction was developed for the synthesis of ketone **81**. This highly efficient tandem reaction enables the establishment of a central cyclopentanone and the bicyclo [3.2.1]octene motif as well as the creation of four consecutive stereogenic centers. Although both carbocycles assembled are not consistent with the ones embedded in (–)-oridonin, the authors suggested that later skeletal rearrangement *via* 1,2-carbon bond migration would adjust the ring-system. Therefore, ketone **81** was transformed into allylic alcohol **82** in a 3-step sequence, which was subjected to a bromination/semipinacol rearrangement to produce  $\beta$ -bromide ketone **83** with alternation of the central cyclopentanone to a cyclohexanone scaffold. Subsequently, after protecting and functional group manipulations, the resulting epoxide **84** could undergo another semipinacol rearrangement in the presence of Lewis acid  $\text{EtAlCl}_2$  to give **85** with settlement of the functional bridge ring-system. From this advanced intermediate, the total synthesis can be accomplished in another 5-step transformations.

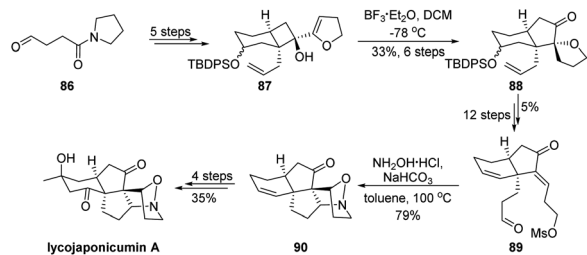
As another strategic application, the ring-expansion semipinacol rearrangement of a dihydrofuran derived cyclobutanol has been used in the total synthesis of natural alkaloid lycopodium A. In Zhang and Tu's studies (Scheme 17),<sup>67</sup> a highly functionalized allylic alcohol **87** was prepared from aldehyde **86** in five steps and could be converted to cyclopentanone **88** *via* a highly regio- and diastereoselective ring-enlargement rearrangement. This key transformation established the typical *cis*-fused[6.5] ring-system of a fawcettimine-type Lycopodium alkaloid. After functional group interconversions, a late-stage transannular 1,3-dipolar cyclization of **89** was developed to produce pentacyclic compound **90** with the construction of the tetrahydroisoxazole ring.<sup>68</sup> Finally, another four transformations culminate in the total synthesis. It is worth noting that from advanced intermediate **88** total synthesis of sieboldine A could also be achieved.<sup>69</sup>



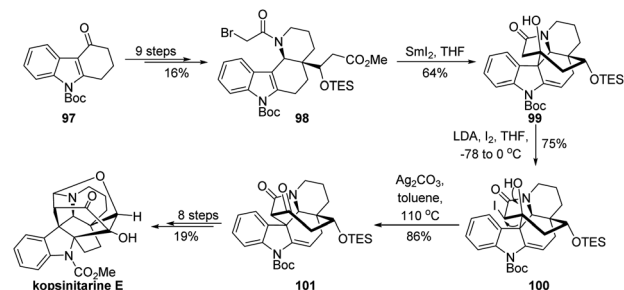
Scheme 16 Total synthesis of (–)-oridonin.







Scheme 17 Total synthesis of lycojaponicum A.



Scheme 19 Total synthesis of kopsinitarine E.

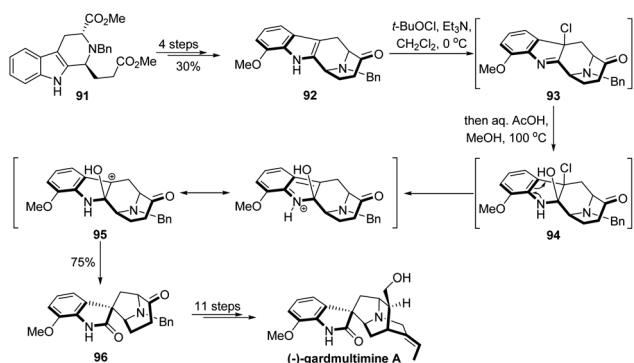
## β-Heterosubstituted alcohols

The 1,2-migration of β-heterosubstituted alcohols with loss of a leaving group has found wide application in total synthesis,<sup>3</sup> though the development of novel types of such reactions is relatively limited.<sup>16</sup> Strategic use of this type of semipinacol rearrangement to transform an easily accessible carbon skeleton into a synthetically complicated one would provide an overall convenient route in natural product synthesis, as represented in the elegant total synthesis of (–)-gardmultimine A by She's group (Scheme 18).<sup>70</sup> From known indole ester **91**, they developed a synthetic sequence including a C–H borylation to prepare the tetracyclic ketone **92**. Next, an oxidative rearrangement of **92** was designed and realized to transform the fused tetrahydro-pyrido indole ring system into a spirooxindole compound **96**. From precedent studies,<sup>71–73</sup> this cascade reaction may involve the initial formation of β-chlorinated hemiaminal **94** from indole chlorination product **93**. Then, an intermediate benzylic carbocation **95** would presumably be formed from **94** and trigger the following ring-contraction semipinacol rearrangement to afford **96** in 75% yield. The total synthesis of (–)-gardmultimine A could then be accomplished in another 11 steps.

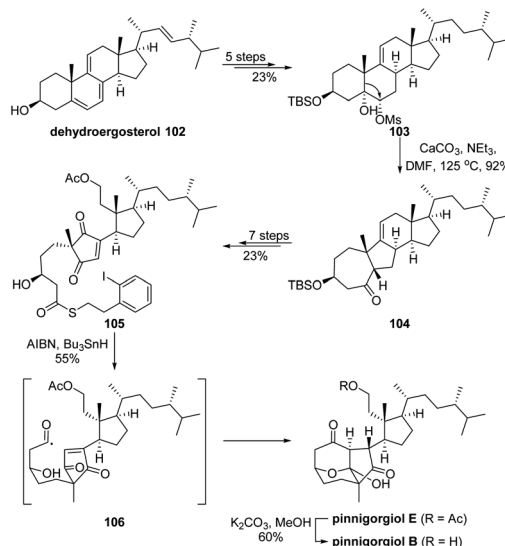
In 2020, Ma and coworkers reported the first total synthesis of kopsinitarine E wherein the semipinacol rearrangement of a β-iodinated alcohol was also applied as a crucial strategic step (Scheme 19).<sup>74</sup> Their synthesis began with the preparation of tetracyclic bromide **98** from known Boc-protected carbazalone **97** in 9 steps. Then, a highly efficient Sml<sub>2</sub>-mediated Dieckmann condensation/intramolecular Prins-type cyclization of

**98** was developed to assemble the complex 8-membered bridge ring of the natural product and generate a β-hydroxy ketone compound **99**. At this stage, switch of the synthetically accessible central N-hetero[3.3.1]bridge cycle to a desired N-hetero [4.2.1]bridge ring system should be achieved. Though challenging, this skeletal reorganization could be successfully accomplished by using the semipinacol rearrangement of β-iodinated alcohol **100** prepared from iodination of **99**. Late-stage formation of the hemiaminal and Mannich cyclization finally enabled the total synthesis.

Skeletal rearranged steroids pinnigorgiols B and E are appealing targets for chemical synthesis due to their intriguing tricyclic decane framework and potential cytotoxic bioactivities. In 2018, Li's group had developed an effective strategy to construct such core structures in their elegant total synthesis of aplysiasecosterol A,<sup>75</sup> though the biosynthetic pathway of the scaffold from a steroid precursor is still yet to be elucidated. Inspired by a proposed biosynthetic α-ketol rearrangement cascade, Gui and coworkers ingeniously accomplished a concise synthesis of pinnigorgiols B and E from an inexpensive steroid.<sup>76</sup> As depicted in Scheme 20, dehydroergosterol **102** was converted to mesylate **103** in 5 steps. Subsequent semipinacol rearrangement of **103** under basic conditions would



Scheme 18 Total synthesis of (–)-gardmultimine A.



Scheme 20 Synthesis of pinnigorgiols B and E.

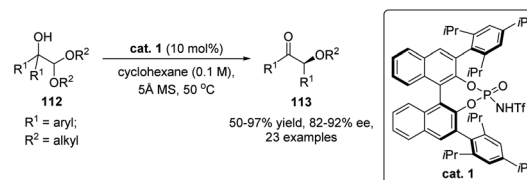


afford ketone **104** with the conversion of the *trans*-bicyclo[4.4.0]decane to the *cis*-bicyclo[5.3.0]decane ring system. After cleavage of the alkene and cycloheptanone functionality *via* a 7-step consequence to give thiolester **105**, a highly efficient acyl radical cyclization cascade of **105** was developed that enabled the formation of the key tricyclic decane framework and completed the synthesis of pinnigorgiol E, which was then converted to pinnigorgiol B *via* deacetylation.

## $\alpha$ -Hydroxy ketones and imines

The semipinacol rearrangement of  $\alpha$ -hydroxy carbonyls and imines, also known as acyloin rearrangement, is a rare type of reversible 1,2-migration reaction, which favors the formation of a thermodynamically more stable product from an  $\alpha$ -ketol precursor. Because of the reversibility of the rearrangement process, development of such a catalytic enantioselective reaction presents a challenging task in asymmetric catalysis. Breakthroughs from Maruoka's<sup>77,78</sup> and Wulff's<sup>79</sup> groups have proven chiral Lewis acids to be competent catalysts in these transformations since the complexation of the Lewis acid with the carbonyl would create a chiral environment for subsequent 1,2-migration. This catalytic mode has recently been used for the development of a cyclopropanation/semipinacol rearrangement by Ryu and co-workers (Scheme 21).<sup>80</sup> Under the catalysis of a chiral oxazaborolidinium ion **cat. 10**, asymmetric cyclopropanation of  $\alpha$ -silyloxyacrolein **107** with  $\alpha$ -phenyldiazoester **108** was followed by a stereospecific semipinacol (acyloin) rearrangement to produce enantioenriched  $\alpha$ -hydroxy cyclobutanones **109** in moderate to high yields with good to excellent diastereo- and enantioselectivities. This tandem reaction features a broad substrate scope and provides a convenient protocol for the preparation of cyclobutanones with multiple stereogenic centers.

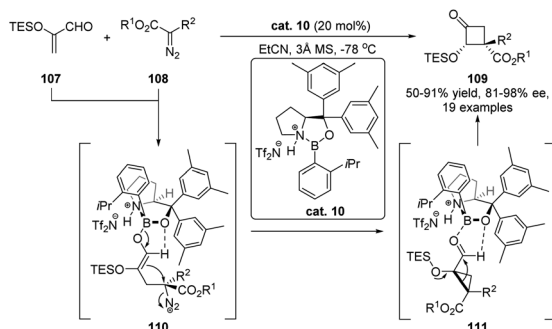
Besides Lewis acids, chiral phosphoric acids (CPAs) or their derivatives are also capable of catalyzing enantioselective acyloin rearrangement reactions. A seminal work on organocatalytic semipinacol rearrangement has been reported by Zhu and co-workers in 2017. When  $\alpha$ -hydroxy acetals **112** were used as the substrates, their transformations to enantioenriched  $\alpha$ -alkoxy ketones **113** performed well under the catalysis of BINOL-derived *N*-triflyl phosphoramidate **cat. 1**, with high yields and



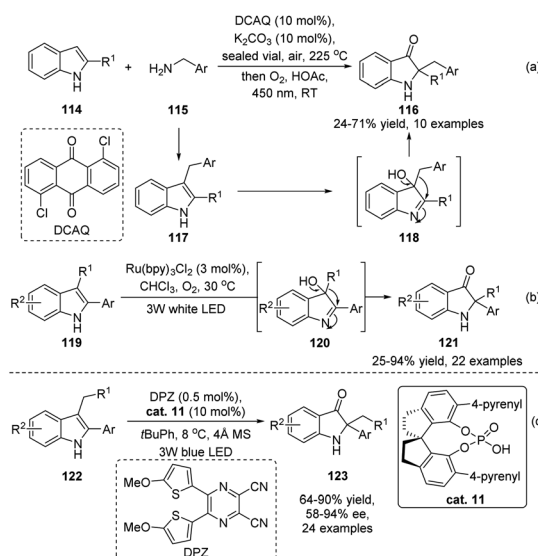
Scheme 22 Enantioselective acyloin rearrangement of  $\alpha$ -hydroxy acetals.

enantioselectivities obtained in most cases (Scheme 22).<sup>81</sup> The enantioselection of the 1,2-C–C migration of **112** might be controlled by the formation of an ion pair between the *in situ* formed oxocarbenium ion and the conjugate anion of the catalyst.

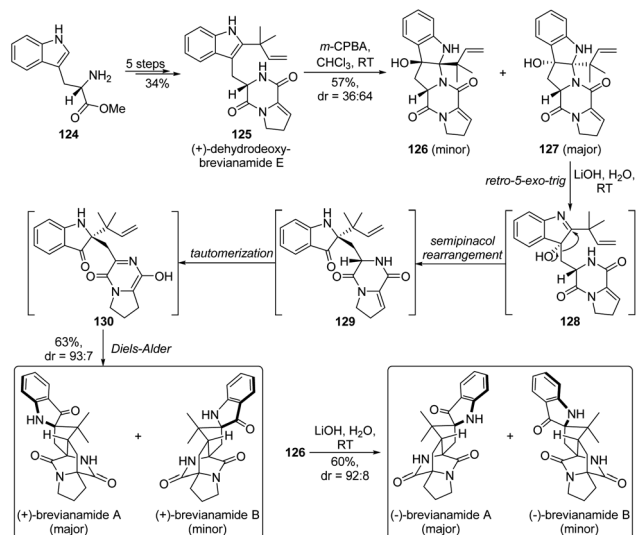
Compared with the wide substrate patterns of acyloin rearrangements of  $\alpha$ -hydroxy carbonyls, studies on the reactions of  $\alpha$ -hydroxy imines mainly focus on the rearrangement of indol-3-ols, which represents a powerful methodology to access 2,2-disubstituted indolin-3-ones. Although stepwise preparation of indol-3-ols and semipinacol rearrangement has been well-documented, direct reaction of 2,3-disubstituted indoles in a tandem oxidation/migration process would be more efficient. Such an oxidation/semipinacol rearrangement has been independently investigated by Brasholz's and Lu and Xiao's groups (Scheme 23a and b).<sup>82,83</sup> With the aid of photocatalysis, oxygen could be used as an oxidant for the tandem transformation, producing a wide range of 2,2-disubstituted indolin-3-ones (**116** or **121**) in both catalytic systems. Recently, a catalytic enantioselective variant of the tandem process has been realized by Zhao and Jiang's group (Scheme 23c).<sup>84</sup> The SPINOL-derived CPA **cat. 11** was found to be an optimal catalyst in this reaction when combined with a dicyanopyrazine-derived chromophore photoredox catalyst. Generally, moderate to high product



Scheme 21 Asymmetric cyclopropanation/semipinacol rearrangement.



Scheme 23 Aerobic oxidation/semipinacol rearrangement of 2,3-disubstituted indoles.



Scheme 24 Asymmetric total synthesis of brevianamide A and B.

yields of 2-aryl-2-benzyl substituted indolin-3-ones **123** were obtained with satisfactory enantioselectivities.

From a biosynthetic viewpoint, the semipinacol rearrangement of indol-3-ols is often suggested to be a crucial step in the formation of indolin-3-one related natural products.<sup>3</sup> As a representative example, Lawrence and co-workers showcased a creative application of the rearrangement reaction in the biomimetic total synthesis of (+)-brevianamide A and B (Scheme 24).<sup>85</sup> The concise route commenced from the synthesis of (+)-dehydrodeoxybrevianamide **E** **125**, which was surmised to be an authentic biosynthetic precursor of the natural products, from L-tryptophan methyl ester **124** in an efficient 5-step sequence. After oxidation of **125** with *m*-CPBA to afford two pentacyclic diastereomers **126** and **127**, the designed biomimetic cascade retro-5-*exo*-trig/semipinacol rearrangement/tautomerization/Diels-Alder reaction could take place under basic conditions, delivering both (+)-brevianamide A and B in a combined 63% yield. Likewise, the enantiomers of the two natural products (dr = 93 : 7) could be derived from **126** with comparable results. It is worth noting that such a highly efficient tautomerization/semipinacol rearrangement/Diels-Alder reaction has also been elucidated to be a biosynthetic pathway and controlled by an isomerase/semipinacolase BvnE, which accounts for the biogenesis of these natural molecules.<sup>18</sup>

## Conclusion and perspectives

With recent significant advancement in the tandem process and enantioselective catalysis, myriad transformations regarding semipinacol rearrangement have been continuously developed that inarguably demonstrate the vitality of this reaction in chemical synthesis. Given the widely recognized feats of  $\beta$ -functionalized ketone synthesis, quaternary carbon center formation, and skeletal conversion of carbocycles, such a rearrangement reaction has been employed as a guiding strategy in natural product synthesis, inspiring novel disconnection logics

in synthesis design. While these impressive contributions have largely boosted the research field, there are still exciting new frontiers to further exploit the reaction potential. For instance, development of carbon-electrophile-initiated enantioselective rearrangements, though in its infancy, is highly appealing because of the molecular complexity that the reaction can generate from simple precursors. Furthermore, most studies focus on the simple use of a 1,2-C-C bond migration reaction, and the application of novel tandem rearrangement reactions in total synthesis is still sparse,<sup>15</sup> in particular, the construction of polycyclic rings or systems with multiple stereogenic centers. We hope that our summarization of the existing achievements in this review with an updated outlook of the research field would inspire future innovations on semipinacol rearrangement.

## Author contributions

All authors conceptualised the review. X.-M. Z., B.-S. L. and S.-H. W. wrote and revised the manuscript.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

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