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# Achieving high circularly polarized luminescence with push–pull helicenic systems: from rationalized design to top-emission CP-OLED applications†‡

Kais Dhbaibi,<sup>ab</sup> Laura Abella,<sup>id c</sup> Sylvia Meunier-Della-Gatta,<sup>d</sup> Thierry Roisnel,<sup>id a</sup> Nicolas Vanthuyne,<sup>e</sup> Bassem Jamoussi,<sup>f</sup> Grégory Pieters,<sup>g</sup> Benoît Racine,<sup>d</sup> Etienne Quesnel,<sup>d</sup> Jochen Autschbach,<sup>id \*c</sup> Jeanne Crassous,<sup>id \*a</sup> and Ludovic Favereau,<sup>id \*a</sup>

While the development of chiral molecules displaying circularly polarized luminescence (CPL) has received considerable attention, the corresponding CPL intensity,  $g_{lum}$ , hardly exceeds  $10^{-2}$  at the molecular level owing to the difficulty in optimizing the key parameters governing such a luminescence process. To address this challenge, we report here the synthesis and chiroptical properties of a new family of  $\pi$ -helical push–pull systems based on carbo[6]helicene, where the latter acts as either a chiral electron acceptor or a donor unit. This comprehensive experimental and theoretical investigation shows that the magnitude and relative orientation of the electric ( $\mu_e$ ) and magnetic ( $\mu_m$ ) dipole transition moments can be tuned efficiently with regard to the molecular chiroptical properties, which results in high  $g_{lum}$  values, *i.e.* up to  $3-4 \times 10^{-2}$ . Our investigations revealed that the optimized mutual orientation of the electric and magnetic dipoles in the excited state is a crucial parameter to achieve intense helicene-mediated exciton coupling, which is a major contributor to the obtained strong CPL. Finally, top-emission CP-OLEDs were fabricated through vapor deposition, which afforded a promising  $g_{EL}$  of around  $8 \times 10^{-3}$ . These results bring about further molecular design guidelines to reach high CPL intensity and offer new insights into the development of innovative CP-OLED architectures.

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The design of chiral emitters displaying intense circularly polarized luminescence (CPL) has attracted significant interest, thanks to the potential of CP light in a diverse range of applications going from chiroptoelectronics (organic light-emitting diodes (OLEDs), optical information processing, *etc.*) to bio-imaging and chiral sensing.<sup>1</sup> Recently, designing OLEDs with CP electroluminescence (CP-OLEDs) has emerged as an interesting approach to improve high-resolution display

performance. Namely, using unpolarised OLEDs, up to 50% of the emitted light can be lost due to the use of antiglare polarized filters.<sup>2</sup> In CP-OLEDs, the electro-generated light can pass these filters with less attenuation owing to its circular polarization and thus lead to an increase of the image brightness with lower power consumption.<sup>3</sup> To develop CP-OLED devices, the main approach relies on the doping of the device's emitting layer by a CPL emitter, which should ensure simultaneously high exciton conversion and a high degree of circular polarization. The harvesting of both singlet and triplet excitons has been successfully addressed using either chiral phosphorescent materials or thermally activated delayed fluorescence (CP-TADF) emitters with device efficiencies of up to 32%.<sup>4</sup> However, the intensity of circularly polarized electroluminescence (CP-EL), evaluated by the corresponding dissymmetry factor  $g_{EL}$ , remains inefficient and typically falls within the range of  $10^{-3}$  with limited examples reaching  $g_{EL} > 10^{-2}$  based on polymeric materials and lanthanide complexes.<sup>5</sup> For CP-OLEDs using a molecular chiral emissive dopant,  $g_{EL}$ , defined as the ratio between the intensity difference of left- and right-CPEL, and the total generated electroluminescence,  $2(E_{L} - E_{R})/(E_{L} + E_{R})$ , can be generally related to the luminescence dissymmetry factor  $g_{lum}$  measured in diluted solution.<sup>2</sup> Accordingly, it

<sup>a</sup>Univ Rennes, CNRS, ISCR-UMR 6226, ScanMAT-UMS 2001, F-35000 Rennes, France. E-mail: ludovic.favereau@univ-rennes1.fr; jeanne.crassous@univ-rennes1.fr

<sup>b</sup>University of Gabès, Faculty of Science of Gabès, Zrig, 6072 Gabès, Tunisia

<sup>c</sup>Department of Chemistry, University at Buffalo, State University of New York, Buffalo, NY 14260, USA. E-mail: jochena@buffalo.edu

<sup>d</sup>Université Grenoble-Alpes, CEA, LETI, MINATEC Campus, 17 rue des Martyrs, 38054 Grenoble, France

<sup>e</sup>Aix Marseille University, CNRS, Centrale Marseille, iSm2, Marseille, France

<sup>f</sup>Department of Environmental Sciences, Faculty of Meteorology, Environment and Arid Land Agriculture, King Abdulaziz University, Jeddah, Saudi Arabia

<sup>g</sup>Université Paris-Saclay, CEA, INRAE, Département Médicaments et Technologies pour la Santé (DMTS), SCBM, 91191 Gif-sur-Yvette, France

† Dedicated to the memory of Professor François Diederich.

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is of crucial importance to design luminescent molecules with high  $g_{\text{lum}}$  values,<sup>3,28a-d,29</sup> in order to reach strong CP electroluminescence when going to practical devices. However, structural and electronic factors that govern the CPL of chiral compounds are still poorly understood even if a few studies have recently tried to rationalize and establish molecular guidelines to obtain high  $g_{\text{lum}}$  values.<sup>6</sup>

Our team has contributed to the research in this area by developing extended  $\pi$ -helical molecular architectures resulting from the association of carbo[6]helicene and achiral dyes,<sup>7</sup> which afforded enhanced chiroptical properties, with notably a  $g_{\text{lum}}$  up to  $10^{-2}$ , owing to an uncommon chiral exciton coupling process mediated by the chiral helicenic unit.<sup>8</sup> In addition, we also described an unusual solvent effect on the intensity of CPL of  $\pi$ -helical push-pull helicene-naphthalimide derivatives,<sup>7b</sup> which showed a decrease of  $g_{\text{lum}}$  from  $10^{-2}$  to  $10^{-3}$  upon increasing the polarity of solvent.<sup>7b</sup> This solvatochromism effect was shown to be related to a symmetry breaking of the chiral excited state before emission,<sup>9</sup> which modifies the relative intensity of the magnetic ( $\mu_m$ ) and electric ( $\mu_e$ ) dipole transition moments, and the angle,  $\theta$ , between them (Fig. 1), ultimately impacting  $g_{\text{lum}}$ . The latter is well approximated as  $4|m|\cos\theta/(|\mu|)$  for an electric dipole-allowed transition.<sup>10</sup>

While these results highlight interesting aspects regarding the key parameters influencing the CPL of organic emitters, this type of "helical push-pull design" remains limited to only one example, which render the systematic rationalization of these findings difficult. Accordingly, we decided to develop a complete family of new chiral push-pull compounds to explore the structural and electronic impact of the grafted substituents on the helical  $\pi$ -conjugated system. In addition, we went a step further and incorporated the designed chiral emitter into proof-of-concept CP-OLEDs using a top-emission architecture,<sup>11</sup> which remains scarcely explored for CP-light generation despite its considerable potential for micro-display applications. To the best of our knowledge, only one example of such type of electroluminescent device has been reported, using a CP-TADF emitter, affording a modest  $g_{\text{El}}$  of  $10^{-3}$ .<sup>11a</sup>

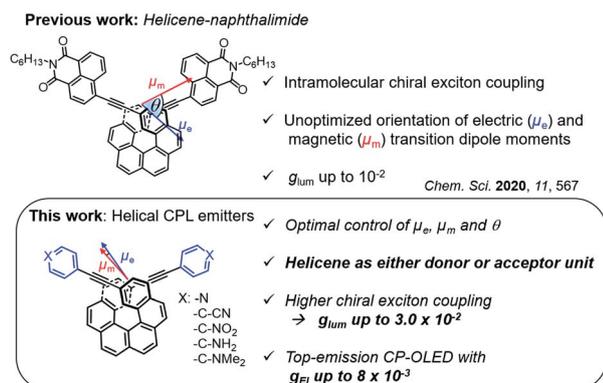


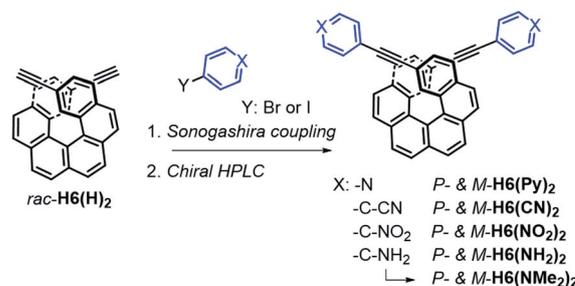
Fig. 1 Chemical structures of "push-pull" 2,15-diethynylhexahelicene-based emitters with their polarized luminescence characteristics including their calculated electric and magnetic transition dipole moments and the angle between them corresponding to the  $S_1 \rightarrow S_0$  transition.

Herein, we report the synthesis and chiroptical properties of a new family of  $\pi$ -helical push-pull systems based on chiral carbo[6]helicene, functionalized by either electron donor or acceptor units. Interestingly, the chiral  $\pi$ -conjugated system of the helicene may act as either an electron acceptor or a donor, depending on the nature of the attached substituents, thereby impacting the chiroptical properties, notably the resulting CPL. By optimizing the chiral exciton coupling process through the modulation of the magnitude and relative orientation of the electric ( $\mu$ ) and magnetic ( $m$ ) dipoles, the chiroptical properties of classical carbo[6]helicene-based emitters can be dramatically enhanced and reach high  $g_{\text{lum}}$  values at the molecular level, *i.e.* up to  $3\text{--}4 \times 10^{-2}$ . Experimental and theoretical investigations revealed that the mutual orientation of the electric and magnetic dipoles in the excited-state is a crucial parameter and is optimal when the substituents attached to the helicene core possess a rather weak electron withdrawing or donating ability. Finally, proof of concept top-emission CP-OLEDs were fabricated through vapor deposition of  $\pi$ -helical push-pull derivatives and afforded a  $g_{\text{El}}$  of around  $8 \times 10^{-3}$ , which represents a significant improvement for the polarization of electroluminescence emitted using this device architecture.

## Results and discussion

### Synthesis and structural characterization

The  $\pi$ -helical systems were prepared by functionalizing the alkynyl groups of racemic 2,15-bis-ethynyl-carbo[6]helicene **H6(H)<sub>2</sub>** with electron-donating and electron-accepting units of different strengths (Scheme 1). These push-pull systems were designed in order to modulate the resulting electric and magnetic intramolecular dipole moments and investigate their impact on their photophysical and chiroptical properties. In this way, five novel chiral helicenic compounds (**H6(CN)<sub>2</sub>**, **H6(Py)<sub>2</sub>**, **H6(NO<sub>2</sub>)<sub>2</sub>**, **H6(NMe<sub>2</sub>)<sub>2</sub>** and **H6(NH<sub>2</sub>)<sub>2</sub>**) were synthesized by Sonogashira coupling reactions between *rac*-**H6(H)<sub>2</sub>** and the corresponding halogenoarenes (Scheme 1). The different helicene derivatives were obtained in enantiopure forms by HPLC separations over chiral stationary phases (*ee*'s > 99%, see the ESI<sup>†</sup>). *P*- and *M*-**H6(NMe)<sub>2</sub>** were prepared under modified Eschweiler-Clarke conditions from *P*- and *M*-**H6(NH<sub>2</sub>)<sub>2</sub>** (see the ESI<sup>†</sup> for details).<sup>12</sup>



Scheme 1 Synthetic route to enantiopure **H6(CN)<sub>2</sub>**, **H6(Py)<sub>2</sub>**, **H6(NO<sub>2</sub>)<sub>2</sub>**, **H6(NH<sub>2</sub>)<sub>2</sub>**, and **H6(NMe<sub>2</sub>)<sub>2</sub>**. Further details regarding the synthetic procedures are described in the ESI<sup>†</sup>.



The structures of  $M\text{-H6(CN)}_2$ ,  $rac\text{-H6(NH}_2)_2$ , and  $rac\text{-H6(NO}_2)_2$  molecules were solved by X-ray crystallography (Fig. 2). They displayed helicities (dihedral angles between the terminal helicenic rings) of 42.47, 42.13, and 47.53°, which are slightly lower compared to classical carbo[6]helicene (58.5°), as it was previously observed for derivatives with substituents in the overlapping region of the helix.<sup>13</sup> For  $\text{H6(CN)}_2$  the coplanarity of the bis-4-cyano-phenyl-ethynyl group with the connected terminal phenyl rings of the helicene moiety is illustrated by angle values of 176.71 and 174.03° for Cd–Ce–Cb and Ce–Cb–Ca, respectively, and an angle of 14.88° between the benzonitrile and the terminal helicene phenylethynyl rings. This efficient electronic coupling between the helicene core and the *para*-ligand is also confirmed for both  $rac\text{-H6(NH}_2)_2$  and  $rac\text{-H6(NO}_2)_2$  by analyzing their crystal structures (see ESI†).

### Computational details

Kohn–Sham density functional theory (DFT) as implemented in the Gaussian (G16) package was used for the computations<sup>14</sup> utilizing the CAM-B3LYP functional<sup>15</sup> and the def2-SV(P) basis.<sup>16</sup> Excited state structures, excited state vibrational normal modes, and absorption and emission spectra were computed *via* time-dependent DFT (TD-DFT) response theory.

Absorption and electronic circular dichroism (ECD) spectra were simulated from the lowest 200 vertical singlet electronic excitations. The spectra were Gaussian broadened with  $\sigma = 0.20$  eV. Solvent effects on the spectra were considered by means of the polarizable continuum model (PCM) for dichloromethane but found to be negligible.<sup>17</sup> For overviews of the theoretical approach to model natural optical activity by quantum chemical calculations, esp. TD-DFT, see, for example, available reviews.<sup>18</sup>

Electronic emission and CPL spectra were Gaussian broadened with  $\sigma = 0.0248$  eV for the vibronic transitions. The Franck–Condon–Herzberg–Teller (FCHT) approximation was employed for the vibronic intensities,<sup>19</sup> with the optimized structures and harmonic force fields of the ground state and

first excited state used as input. Additional computational details, along with the full set of theoretical results, are provided in the ESI.†

### Photophysical and chiroptical properties of H6 and H6(H)<sub>2</sub> precursors

In line with our recent study on helicene-organic dyes,<sup>7,8,20</sup> we detail here the crucial parameters influencing the photophysical and chiroptical properties of these new chiral compounds, namely (1) the extended conjugation of the  $\pi$ -helical system and the alignment between the electric and magnetic transition dipole moments for excitation and emission processes and (2) the magnitude of charge transfer and the exciton coupling between the two push–pull type branches of the helical system. In this study, depending on the electron acceptor or donor substituent ability, the helical  $\pi$ -conjugated core adopts a complementary electron donating or accepting character (*vide infra*), thus forming two branches with modest to strong electric dipole moments which interact through space in a chiral environment and result in a chiral exciton coupling.

Prior to investigating the photophysical and chiroptical properties of the synthesized chiral systems, we revisited those of carbo[6]helicene (**H6**) and its 2,15-bis-ethynyl (**H6(H)<sub>2</sub>**) derivative. We and others empirically observed that the latter exhibits enhanced chiroptical properties, namely optical rotation, electronic circular dichroism (ECD) and CPL, compared to its unsubstituted hexahelicene precursor, the mono-substituted 2-ethynylcarbo[6]helicene and other isomers of bis-ethynyl carbo[6]helicene derivatives (see Fig. S7†).<sup>6c,21</sup> Despite these experimental findings, a complete rationalization of the key parameters responsible for enhanced optical activity remains unknown and appears of strong interest to bring about new molecular design directions for reaching higher chiroptical properties. Accordingly, the unpolarized (absorption and fluorescence) and polarized (ECD and CPL) optical properties of **H6** and **H6(H)<sub>2</sub>** were recorded in dichloromethane solutions (Fig. 3 and S1–S5†). **H6** and **H6(H)<sub>2</sub>** display very similar UV-vis absorption spectra with one intense absorption band below 300 nm ( $\sim 50 \times 10^3 \text{ M}^{-1} \text{ cm}^{-1}$ ) and a second one with a vibronic pattern between 300 and 375 nm ( $\sim 20 \times 10^3 \text{ M}^{-1} \text{ cm}^{-1}$ ). The main difference comes from the 15–20 nm red shift of both bands for **H6(H)<sub>2</sub>**, owing to the extension of the  $\pi$ -conjugated helical system by the presence of the additional triple bonds. Both compounds show structured blue luminescence dominated by two maxima at 425 and 450 nm and additional shoulders at around 460 nm and 500 nm, affording a rather low quantum yield of fluorescence ( $\phi = 2\text{--}3\%$ ) owing to a relatively large spin–orbit coupling often found in distorted aromatic cores.<sup>22</sup> The two ethynyl units do not induce a significant red shift of the luminescence spectrum but clearly impact the vibronic transition frequencies, separated by  $\sim 1000$  and  $1300 \text{ cm}^{-1}$  for **H6** and **H6(H)<sub>2</sub>**, respectively. In comparison to the optical properties, the presence of the two triple bonds at the 2 and 15 positions of the helicene core significantly modifies the chiroptical features of the helicene. Indeed, the typical  $\pi \rightarrow \pi^*$  polarized transitions perpendicular to the  $C_2$  axis of *P*-**H6** for

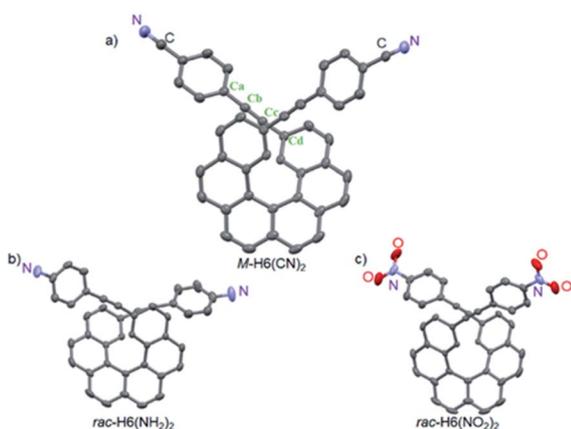


Fig. 2 X-ray crystallographic views of  $M\text{-H6(CN)}_2$ ,  $rac\text{-H6(NH}_2)_2$ , and  $rac\text{-H6(NO}_2)_2$  (only one enantiomer is shown).  $P4_12_12$ ,  $P2_1/c$  and  $C2/c$  space groups for  $M\text{-H6(CN)}_2$ ,  $rac\text{-H6(NH}_2)_2$ , and  $rac\text{-H6(NO}_2)_2$ , respectively.



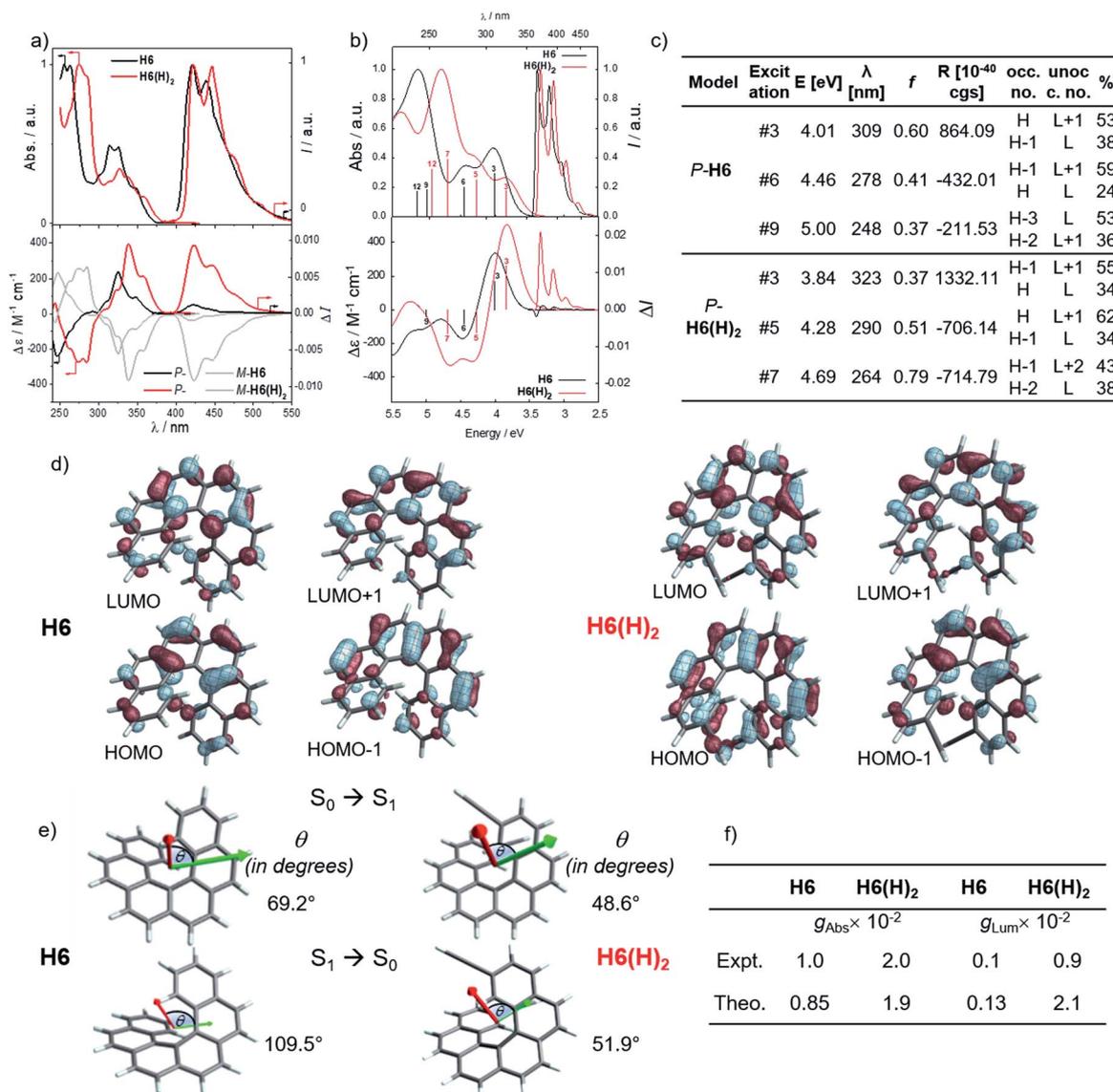


Fig. 3 (a) Top graph: Normalized UV-vis absorption (solid lines) and luminescence spectra and bottom graph: ECD and CPL spectra of H6 (black) and H6(H)<sub>2</sub> (red) in dichloromethane at 298 K ( $[I] \sim 10^{-5}$  M); (b) Top graph: calculated (Calc.) absorption and luminescence spectra and, bottom graph: ECD and CPL spectra of P-H6 (black) and P-H6(H)<sub>2</sub> (red), selected transitions and oscillator and rotatory strengths indicated as 'stick bars'; (c) details regarding selected transitions and oscillator and rotatory strengths; (d) isosurfaces ( $\pm 0.04$  au) of the frontier molecular orbitals (MOs) for H6 and H6(H)<sub>2</sub>; (e) electric (green) and magnetic (red) dipole moment vectors corresponding to excitation #3 ( $S_0 \rightarrow S_1$  transition) and to the  $S_1 \rightarrow S_0$  emission for P-H6 and P-H6(H)<sub>2</sub>, with the corresponding angle,  $\theta$  (in degrees), between these vectors; (f) experimental (Expt.) and calculated (Theo.) absorption and luminescence dissymmetry factors ( $g$ ).

the positive ECD band at 325 nm ( $232 \text{ M}^{-1} \text{ cm}^{-1}$ ) and polarized along the  $C_2$  axis for the negative ECD band at higher energy (246 nm,  $-238 \text{ M}^{-1} \text{ cm}^{-1}$ ), of the respective B and A symmetry, are red-shifted and show a much higher intensity for both the  $^1B_a$  and  $^1B_b$  bands<sup>21b,23</sup> in P-H6(H)<sub>2</sub>, with  $\Delta\epsilon$  magnitudes of up to 300 and  $400 \text{ M}^{-1} \text{ cm}^{-1}$  at 270 and 340 nm, respectively. Such an increase of ECD response is also clearly evidenced by plotting their corresponding absorption dissymmetry factor,  $g_{\text{abs}}$  (Fig. S3†), which afforded a maximum value of  $2 \times 10^{-2}$  at 360 nm for P-H6(H)<sub>2</sub>, twice more intense than for P-H6 ( $\sim 10^{-2}$  at 360 nm). The calculated spectra for both compounds reproduce the experimental spectra well, including, importantly, the

ECD intensity increase upon the introduction of the ethynyl fragments (Fig. 3). For P-H6(H)<sub>2</sub>, the increased ECD intensity is due to contributions of the ethynyl groups in the transitions.

Closer inspection of the low-energy positive ECD excitation (no. 3, Fig. 3), indicates that the higher rotatory strength for P-H6(H)<sub>2</sub> may be related to a more favorable angle between the electric and magnetic transition dipole moments ( $\mu_e$  and  $\mu_m$ ).<sup>6b,24</sup> Indeed, the calculated  $\theta$  values of  $69.2^\circ$  and  $48.6^\circ$  were respectively determined for P-H6 and P-H6(H)<sub>2</sub>, ultimately resulting in an absorption dissymmetry factor,  $g_{\text{abs}}$ , twice as high for the latter (Fig. 3). In addition, the presence of two intense electronic excitations (no. 3, 5) implying partial charge-



transfer between the helicene and the ethynyl substituents with opposite signs and small LUMO and LUMO+1 energetic splitting for  $P$ -**H6(H)**<sub>2</sub> (0.154 eV, Fig. S25<sup>†</sup>) seems to indicate the presence of a weak exciton coupling between each  $\pi$ -conjugated arm of the helicene. As mentioned, we recently reported several examples of intramolecular chiral exciton coupling within [6] helicene derivatives and showed their contributions in the enhancement of chiroptical properties.<sup>7,8</sup> In the specific case of **H6(H)**<sub>2</sub>, this process may also occur to a lesser extent, in addition to the classical ECD of carbo[6]helicene.

These emitters in dichloromethane solution show the expected mirror-image structured CPL spectra with maxima of intensity corresponding to the ones of their respective unpolarized fluorescence. The measured  $g_{\text{lum}}$  values are  $+1.0 \times 10^{-3}$  at 420 nm and  $+9.4 \times 10^{-3}$  at 421 nm for  $P$ -**H6** and  $P$ -**H6(H)**<sub>2</sub>, respectively, thus highlighting an order of magnitude increase when simply adding two triple bonds at the 2 and 15 positions. As mentioned above, this high intensity of CPL has also been observed by other groups for similar derivatives,<sup>6c</sup> notably highlighting the importance of the helicene functionalization at the 2,15 positions in comparison to the 4 and 13 positions.<sup>21a</sup> The obtained  $g_{\text{lum}}$  values for  $P$ -**H6** and  $P$ -**H6(H)**<sub>2</sub> reveal higher differences of chiroptical properties in emission than in absorption, as also indicated by their corresponding  $g_{\text{lum}}/g_{\text{abs}}$  ratios of 0.1 and 0.4, respectively.<sup>25</sup> These values indicate that both emitters experience a different organization of their electric and magnetic transition dipoles between light absorption and emission processes, as the excited state geometries of  $P$ -**H6** and  $P$ -**H6(H)**<sub>2</sub> are similar to the ground state geometries (see Fig. S39<sup>†</sup>).

Theoretical analyses of the electronic emission and circularly polarized luminescence spectra were performed to gain insight into the observed difference of CPL intensity. The computed normalized emission and CPL spectra of **H6** and **H6(H)**<sub>2</sub> presented in Fig. 3 correctly reproduce the vibronic structure seen in the experimental spectra, except that the emission peaks are systematically blue shifted by 0.3 to 0.4 eV. For both  $P$ -**H6** and  $P$ -**H6(H)**<sub>2</sub>, the shortest wavelength emission corresponds to the 0–0 transition, with excited vibrational modes of the ground state contributing to the CPL band and its width (Fig. S43 and Table S15–S16<sup>†</sup>). The analysis of the relevant electric and magnetic transition dipole moments ( $\mu_e$  and  $\mu_m$ , respectively) for the  $S_1 \rightarrow S_0$  emission was performed, along with the dipole and rotatory strength data (Fig. 3, S45 and Table S18<sup>†</sup>). As for the ECD,  $P$ -**H6(H)**<sub>2</sub> shows a much higher  $g_{\text{lum}}$  factor than  $P$ -**H6** owing notably to a smaller angle between  $\mu_e$  and  $\mu_m$ , of  $51.9^\circ$ , about half the one of  $P$ -**H6** ( $109.5^\circ$ ). Moreover, a helicene-mediated exciton coupling of  $\pi$  system transitions involving the ethynyl substituents for  $P$ -**H6(H)**<sub>2</sub> may be present in the emission and contribute to the high CPL intensity (*vide infra*). In addition to rationalizing the difference of chiroptical properties between  $P$ -**H6** and  $P$ -**H6(H)**<sub>2</sub>, these investigations bring about important guidelines to optimize them in the related helicene-based emitters, notably by tuning the angle between the electric and magnetic transition dipole moments.

For instance, CPL intensity of  $P$ -**H6(H)**<sub>2</sub> can be further enhanced by functionalizing its remaining ethynyl groups with

trimethylsilyl fragments since its protected analogue  $P$ -**H6(TMS)**<sub>2</sub> affords a  $g_{\text{lum}}$  of  $1.8 \times 10^{-2}$  under similar conditions, clearly emphasizing the impact of the substitution effect on the chiroptical properties (Fig. 4). In addition, the symmetrical extension of the helical  $\pi$ -conjugated systems appears also important to reach high CPL intensity because Di Bari, Diederich *et al.* observed that the monoprotected 2,15-bis-ethynyl carbo[6]helicene  $P$ -**H6(TIPS)** gives a similar but less intense CPL than  $P$ -**H6(TIPS)**<sub>2</sub>, with  $g_{\text{lum}} = 1.8 \times 10^{-2}$  and  $2.5 \times 10^{-2}$ , respectively (Fig. 4). Following our above discussion, such a difference may be attributed to a change of the angle between the electric and magnetic transition dipole moments, combined with charge transfer and exciton coupling chirality.

### Photophysical and chiroptical properties of push–pull systems

Considering the CPL enhancement when going from **H6** to **H6(H)**<sub>2</sub>, and then to **H6(TMS)**<sub>2</sub>, it was then decided to study the photophysical and chiroptical properties of helicene-bis-ethynyl systems functionalized by both electron donor and acceptor groups of different strengths. Owing to the high similarity in the UV-vis and ECD spectra of **H6(Py)**<sub>2</sub> and **H6(CN)**<sub>2</sub> on one side and **H6(NO<sub>2</sub>)**<sub>2</sub> and **H6(NMe<sub>2</sub>)**<sub>2</sub> on the other side, we only discuss the properties of **H6(CN)**<sub>2</sub> and **H6(NMe<sub>2</sub>)**<sub>2</sub> in the manuscript (further details can be found in the ESI<sup>†</sup>). As depicted in Fig. 5 and in comparison to **H6(H)**<sub>2</sub>, the extension of the helical  $\pi$ -conjugated system in **H6(CN)**<sub>2</sub> and **H6(NMe<sub>2</sub>)**<sub>2</sub> induces an expected red-shift of the UV-vis absorption spectra with maxima at 305 ( $\epsilon = 76\,800 \text{ M}^{-1} \text{ cm}^{-1}$ ) and 323 nm ( $63\,000 \text{ M}^{-1} \text{ cm}^{-1}$ ), respectively. For both compounds, this main band is accompanied by a broad and intense shoulder at 350–370 nm ( $30\text{--}40\,000 \text{ M}^{-1} \text{ cm}^{-1}$ ) involving intramolecular charge transfer (ICT) transitions, namely from the  $\pi$ -helical core to the alkynyl Ph-CN group for **H6(CN)**<sub>2</sub> and from the alkynyl Ph-NMe<sub>2</sub> group to the helical core for **H6(NMe<sub>2</sub>)**<sub>2</sub> (see excitations no. 1–2, Fig. 5). **H6(CN)**<sub>2</sub> presents distinct  $\pi$ -orbitals of the helicene electronic

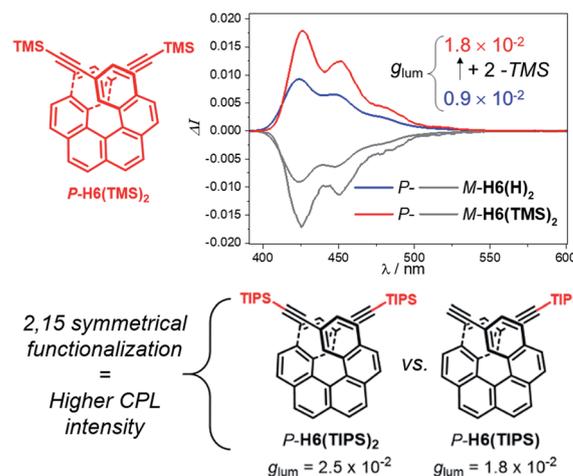


Fig. 4 Top: CPL spectra of  $P$ -**H6(TMS)**<sub>2</sub> (red) and  $P$ -**H6(H)**<sub>2</sub> (blue) and their  $M$  enantiomers (grey) in dichloromethane at 298 K ( $[I] \sim 10^{-5} \text{ M}$ ); bottom: experimental  $g_{\text{lum}}$  values reported by Di Bari, Diederich *et al.* for  $P$ -**H6(TIPS)**<sub>2</sub> and  $P$ -**H6(TIPS)**.<sup>6c</sup>



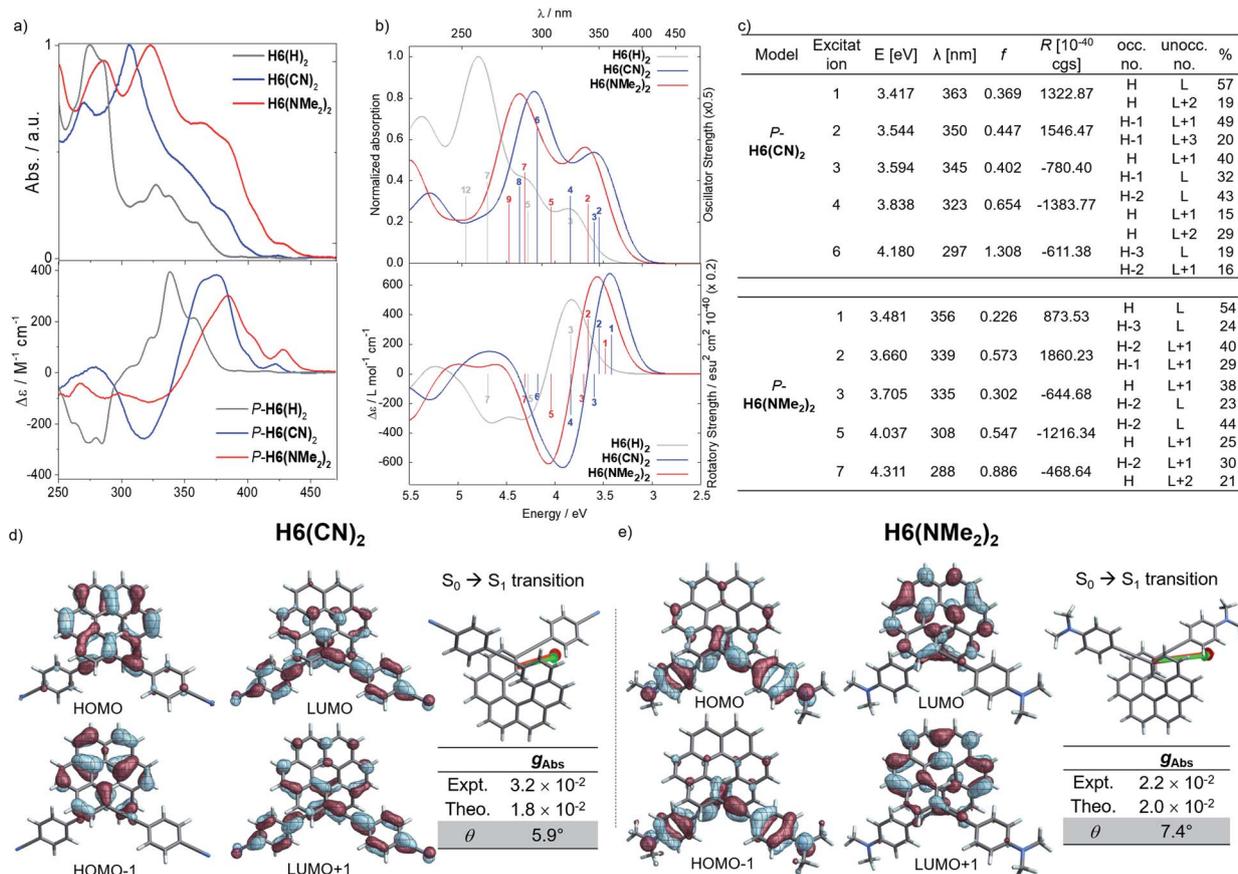


Fig. 5 (a) Top: UV-vis absorption (solid lines) and bottom: ECD spectra of H6(H)<sub>2</sub> (grey), H6(CN)<sub>2</sub> (blue) and H6(NMe<sub>2</sub>)<sub>2</sub> (red) in dichloromethane at 298 K ([ ] ~10<sup>-5</sup> M); (b) calculated (Calc.) absorption (top) and ECD (bottom) of P-H6(H)<sub>2</sub> (grey), P-H6(CN)<sub>2</sub> (blue) and P-H6(NMe<sub>2</sub>)<sub>2</sub> (red), selected transitions and oscillator and rotatory strengths indicated as 'stick bars'. (c) Details for the selected transitions and oscillator and rotatory strengths; (d and e) isosurfaces ( $\pm 0.04$  au) of the frontier molecular orbitals (MOs) with the corresponding electric (green) and magnetic (red) dipole moment vectors corresponding to excitation #2 ( $S_0 \rightarrow S_1$  transition), and the experimental (Expt.) and calculated (Theo.) absorption dissymmetry factor ( $g$ ) and  $\theta$  angle (in degrees) for H6(CN)<sub>2</sub> and H6(NMe<sub>2</sub>)<sub>2</sub>, respectively.

system among the HOMO-1 and HOMO, whereas the LUMO and LUMO+1 with a small energetic splitting (0.084 eV) come from an in-phase and out-of-phase linear combination of the lowest unoccupied substituent (Ph-CN) frontier molecular fragment orbitals (FOs). The situation is opposite for H6(NMe<sub>2</sub>)<sub>2</sub>, where the HOMO-1 and HOMO are mainly centered on the ethynyl-phenyl amino fragments while the LUMO and LUMO+1 spread out over the helicene core. For this push-pull configuration, the HOMO and HOMO-1 show a weak energetic splitting, arising also from in-phase and out-of-phase linear combinations of the donor FOs (0.094 eV). Overall, the direct electronic interaction between the two ethynyl-PhCN and -PhNMe<sub>2</sub> substituents within the helix in the ground state appears even weaker than for the ethynyl ones in H6(H)<sub>2</sub>, suggesting a stronger exciton coupling for both H6(CN)<sub>2</sub> and H6(NMe<sub>2</sub>)<sub>2</sub>. The observed differences between the UV-vis spectra of these two latter compounds and their common precursor H6(H)<sub>2</sub> are also found in their corresponding ECD spectra, as illustrated in Fig. 5.

Concomitant to the global ECD red-shift, a less energetic splitting is observed between the most intense positive and

negative peaks in the low-energy region for both P-H6(CN)<sub>2</sub> and P-H6(NMe<sub>2</sub>)<sub>2</sub> (ca. 50 nm), in comparison to P-H6(H)<sub>2</sub> (ca. 70 nm). The calculations assign these bands as follows: the lowest-energy #1 and #2 excitations for P-H6(CN)<sub>2</sub> and P-H6(NMe<sub>2</sub>)<sub>2</sub> contribute to the first intense positive ECD band (350–380 nm), involving ICT transitions among HOMO-1, HOMO, and LUMO, LUMO+1, LUMO+2 (Fig. 5c), between the helicenic  $\pi$  system and the respective Ph-CN and Ph-NMe<sub>2</sub> groups; the observed complementary negative ECD bands at shorter wavelengths (280–330 nm), from excitations #3 to #7–8, are also assigned to  $\pi \rightarrow \pi^*$  and ICT transitions. In line with our recent studies,<sup>7,8</sup> the high intensity of these positive and negative transitions, together with the sign inversion and the already mentioned frontier orbital electronic configuration, indicates the presence of an intramolecular chiral exciton coupling between the electric transition dipoles of the ethynyl-Ph-CN and -PhNMe<sub>2</sub> fragments within the helical environment. To confirm the existence of this process, an exciton coupling model calculation,<sup>7a,26</sup> based on the electric transition dipole moments (TDMs) of a mono-substituted helicene-Ph-CN H6(CN), was performed, and the obtained ECD spectra were compared to the



corresponding spectra of the bis-substituted helicene, **H6(CN)<sub>2</sub>** (Fig. S33 and Table S11<sup>†</sup>). The model strongly supports the presence of an exciton coupling ECD for *P*-**H6(CN)<sub>2</sub>** and likely also in the case of *P*-**H6(Py)<sub>2</sub>**, *P*-**H6(NO<sub>2</sub>)<sub>2</sub>** and *P*-**H6(NMe<sub>2</sub>)<sub>2</sub>** given their comparable chiroptical properties with *P*-**H6(CN)<sub>2</sub>**. For these four *P*-enantiomers, a positive exciton coupling signature is present, in line with the sense of the helical arrangement of the electrostatically coupled transition dipole moments.<sup>7a,27</sup> Further inspections of the electric and magnetic transition dipole moments for the first ECD-intense excitation of each compound reveal a nearly parallel orientation of the corresponding vectors with angles of 5.9° for **H6(CN)<sub>2</sub>** and 7.4° for **H6(NMe<sub>2</sub>)<sub>2</sub>** (Fig. 5), resulting in high chiroptical properties in absorption, with a  $g_{\text{abs}}$  of  $3.2 \times 10^{-2}$  and  $2.2 \times 10^{-2}$  in dichloromethane, respectively. These close values also indicate a small impact of the grafting substituent on the ECD of these functionalized helicene-2,15-bis-ethynyl systems.

Conversely, the electron accepting or donating ability of the phenyl substituents induces significant differences in terms of maxima of emission and fluorescence quantum yields for **H6(Py)<sub>2</sub>**, **H6(CN)<sub>2</sub>**, **H6(NMe<sub>2</sub>)<sub>2</sub>** and **H6(NO<sub>2</sub>)<sub>2</sub>**. As depicted in Fig. 6, **H6(CN)<sub>2</sub>** and **H6(Py)<sub>2</sub>** display structured emission close to the one obtained for **H6(H)<sub>2</sub>** in diluted dichloromethane solution, with two maxima at around 430 and 460 nm and a shoulder at ca. 490 nm, associated with a low fluorescence quantum yield of 6–9%, as observed for **H6(H)<sub>2</sub>** and carbohelicene compounds.<sup>22</sup>

In comparison, **H6(NMe<sub>2</sub>)<sub>2</sub>** and **H6(NO<sub>2</sub>)<sub>2</sub>** show unstructured broad and red-shifted emission profiles and a very different  $\Phi_{\text{F}}$  of 41% and 4% respectively. Such a difference of luminescence behavior presumably arises from the strong electron donating and withdrawing character of the Ph-NMe<sub>2</sub> and Ph-NO<sub>2</sub> substituents (Fig. 6), which provides a higher charge-transfer character of the emission transition than for **H6(CN)<sub>2</sub>** and **H6(Py)<sub>2</sub>**. Analysis of the overlay between the optimized ground and excited state geometries for **H6(Py)<sub>2</sub>**, **H6(CN)<sub>2</sub>**, **H6(NMe<sub>2</sub>)<sub>2</sub>** and **H6(NO<sub>2</sub>)<sub>2</sub>** reveals limited differences of molecular reorganizations for the two former ones (with Franck–Condon factors adding up to 97 and 80%, respectively), while very significant structural relaxations occur on the excited states for the two latter ones (Franck–Condon sums of only 26 and 39%, respectively).

These findings for **H6(NMe<sub>2</sub>)<sub>2</sub>** and **H6(NO<sub>2</sub>)<sub>2</sub>**, in addition to their strong calculated electronic dipole  $S_1$ – $S_0$  transitions (Fig. 6), show an excited state much more polar than the corresponding ground state, as experimentally evidenced by the observed positive solvatochromism for **H6(NMe<sub>2</sub>)<sub>2</sub>** when recording its fluorescence in solvents of different polarities (cyclohexane, dichloromethane and dimethylformamide, Fig. 7 and S6<sup>†</sup>).

Mirror-image CPL spectra were obtained for **H6(CN)<sub>2</sub>**, **H6(Py)<sub>2</sub>**, **H6(NMe<sub>2</sub>)<sub>2</sub>** and **H6(NO<sub>2</sub>)<sub>2</sub>** with positive signals for the *P*-enantiomers (Fig. 6). The CPL shows maxima corresponding to the unpolarized spectra, with very high values for *P*-**H6(CN)<sub>2</sub>** and *P*-**H6(Py)<sub>2</sub>**,  $g_{\text{lum}} = 2.6$ – $2.8 \times 10^{-2}$ , and lower ones for *P*-**H6(NMe<sub>2</sub>)<sub>2</sub>** and *P*-**H6(NO<sub>2</sub>)<sub>2</sub>** with  $g_{\text{lum}} = 5.4 \times 10^{-3}$  and  $1.5 \times 10^{-3}$ , respectively. Importantly, the measured CPL intensities

for *P*-**H6(CN)<sub>2</sub>** and *P*-**H6(Py)<sub>2</sub>** appear rather impressive in comparison to other helicene and helicene derivatives<sup>13b,28</sup> and are among the highest values recorded at the molecular level for organic molecules (see the ESI<sup>†</sup>).<sup>1c,25,29</sup> To rationalize these results, CPL spectra of *P*-**H6(CN)<sub>2</sub>** and *P*-**H6(Py)<sub>2</sub>** were simulated and thoroughly analyzed in comparison with *P*-**H6(H)<sub>2</sub>**, given the similar CPL spectral features of these three compounds. The computed spectra agree well with the experimental ones and reproduce the three dominant vibronic bands with distinct intensities and rotatory strengths for *P*-**H6(CN)<sub>2</sub>** and *P*-**H6(Py)<sub>2</sub>**. For these two compounds, the high energy CPL peak corresponds to the 0–0 transition and shows higher number of transitions involving different vibrational normal modes than *P*-**H6(H)<sub>2</sub>**, as well as transitions with different quantum numbers to the first vibrational normal mode (the full assignment of the vibrational progression can be found in Table S15<sup>†</sup>). Further analysis of the first CPL transition reveals larger electric and magnetic dipole vectors in comparison to *P*-**H6(H)<sub>2</sub>** (Fig. S45 and Table S18<sup>†</sup>) and a smaller angle between them, 5.4° (52° for *P*-**H6(H)<sub>2</sub>**), leading to computed  $g_{\text{lum}}$  values of  $6.6 \times 10^{-2}$  and  $5.2 \times 10^{-2}$  for *P*-**H6(Py)<sub>2</sub>** and *P*-**H6(CN)<sub>2</sub>**, respectively. As in the case of the ECD spectrum, a helicene-mediated exciton coupling of  $\pi$ -system transitions involving the substituents is also present in the emission and appears to be a major contributor to the strong CPL of *P*-**H6(Py)<sub>2</sub>** and *P*-**H6(CN)<sub>2</sub>**. Surprisingly and despite a higher rotatory strength for the  $S_1 \rightarrow S_0$  transition, such an exciton coupling process appears to be less efficient in promoting high CPL intensity for *P*-**H6(NMe<sub>2</sub>)<sub>2</sub>** and *P*-**H6(NO<sub>2</sub>)<sub>2</sub>**, albeit being almost as important as for *P*-**H6(Py)<sub>2</sub>** and *P*-**H6(CN)<sub>2</sub>** in the related ECD spectra. In fact, the calculated electric and magnetic transition dipole moments at the  $S_1$  geometries of *P*-**H6(NMe<sub>2</sub>)<sub>2</sub>** and *P*-**H6(NO<sub>2</sub>)<sub>2</sub>** afford a higher angle between them (48°, Fig. 6) than for *P*-**H6(Py)<sub>2</sub>** and *P*-**H6(CN)<sub>2</sub>**, ultimately resulting in a lower intensity of the emission process and an overall decrease of the calculated  $g_{\text{lum}}$  values at ca.  $2.5 \times 10^{-2}$ .

Interestingly, moving to a less electron-donating group on the phenyl substituents such as NH<sub>2</sub> in *P*-**H6(NH<sub>2</sub>)<sub>2</sub>**, the synthetic precursor of *P*-**H6(NMe<sub>2</sub>)<sub>2</sub>** also results in an intense structured blue CPL with a  $g_{\text{lum}}$  of  $+2.1 \times 10^{-2}$  associated to a promising fluorescence quantum yield of 16%, clearly highlighting the crucial role of the substituent electron donating and accepting character in reaching high emission and polarisation degrees of luminescence in 2,15-bis-ethynyl helicene derivatives. The investigation of the CPL solvatochromism of *P*-**H6(NMe<sub>2</sub>)<sub>2</sub>** helps us gain more insight into this dipole effect. As depicted in Fig. 7, the intensity of the CPL appears to be significantly dependent on the solvent polarity since *P*-**H6(NMe<sub>2</sub>)<sub>2</sub>** displays a  $g_{\text{lum}}$  value of  $+2.1 \times 10^{-2}$  in apolar cyclohexane, which dramatically drops to  $+3.0 \times 10^{-3}$  in polar dimethylformamide. In analogy to our previous study on chiral acceptor–donor–acceptor structures,<sup>7b</sup> such a dramatic decrease may be related to a symmetry breaking of the emitting excited state and a loss of the exciton coupling between each individual Ph(NMe<sub>2</sub>)<sub>2</sub>  $\rightarrow$  helicene ICT transitions on the CPL signal (Fig. 7). In an apolar solvent, the emission of *P*-**H6(NMe<sub>2</sub>)<sub>2</sub>** is highly structured owing to a weak molecular reorganization,



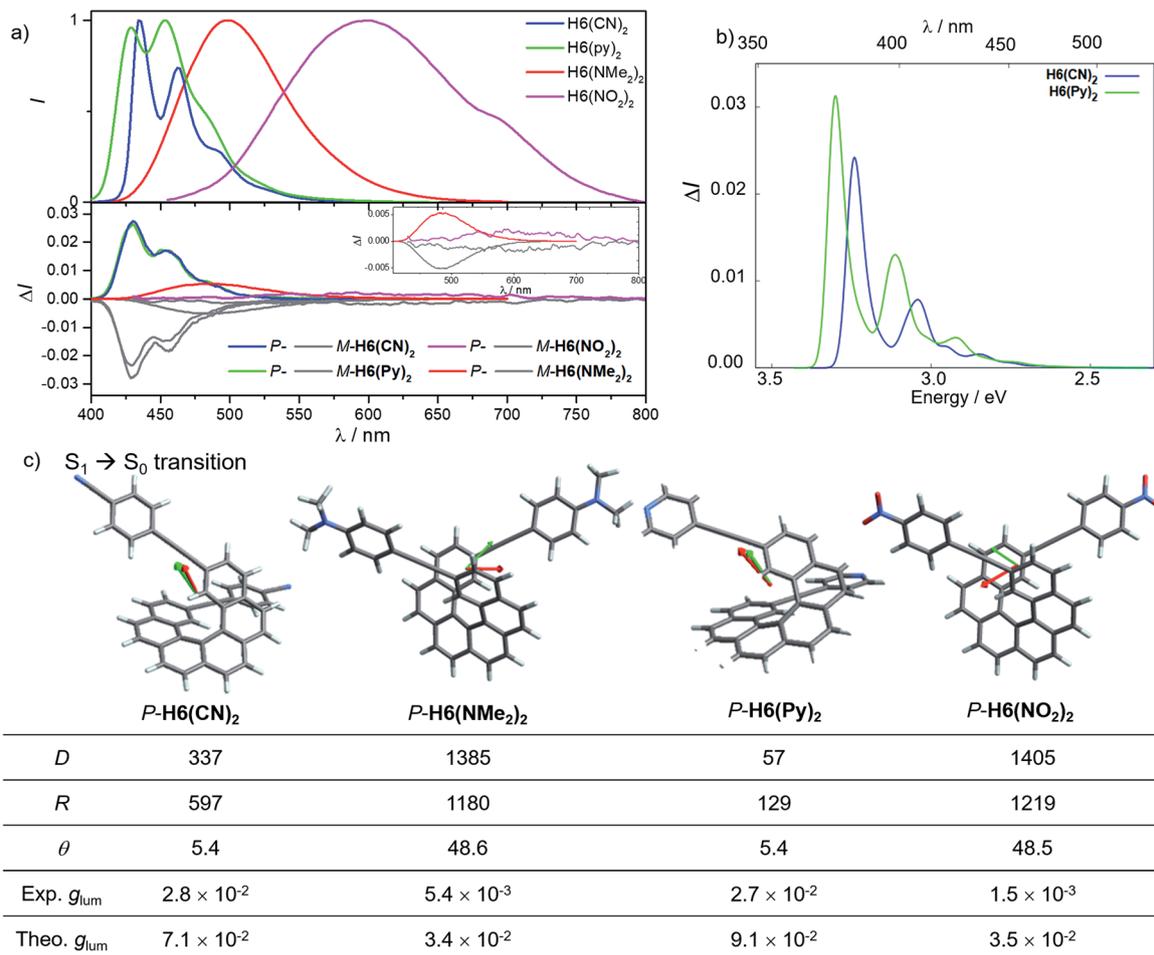


Fig. 6 (a) Normalized luminescence and CPL spectra of  $P\text{-H6(CN)}_2$  (blue),  $P\text{-H6(Py)}_2$  (green),  $P\text{-H6(NMe}_2)_2$  (red) and  $P\text{-H6(NO}_2)_2$  (violet), and their  $M$  enantiomers (grey) in dichloromethane at 298 K; (b) calculated CPL spectra of  $P\text{-H6(CN)}_2$  (blue) and  $P\text{-H6(Py)}_2$  (green) with (c) electric (green) and magnetic (red) transition dipole moment vectors for the  $S_1 \rightarrow S_0$  transition along with the calculated dipole strength ( $D$ , in  $10^{-38}$  cgs ( $= \text{esu}^2 \text{ cm}^2$ ), rotatory strength ( $R$ , in  $10^{-40}$  cgs),  $\theta$  angle (in degrees) and the experimental and theoretical  $g_{\text{lum}}$  values.

which indicates a small intramolecular charge-transfer character of the emitting excited state. For such configurations, the electron density difference between the excited and ground states is almost equally distributed between each  $\text{Ph(NMe}_2)_2 \rightarrow$  helicene arm, which favors an intense exciton coupling process as in the case of  $P\text{-H6(Py)}_2$  and  $P\text{-H6(CN)}_2$  and ultimately a high degree of CPL. Conversely, the more intense electrostatic field imposed by the polar dimethylformamide solvent induces a localization of the excited state on one  $\text{Ph(NMe}_2)_2 \rightarrow$  helicene branch and, as a consequence, a loss of the exciton coupling mechanism.

Given the high CPL intensity of the reported helical emitters and particularly for  $\text{H6(CN)}_2$ , we confirmed the obtained results by recording their CPL using different spectrometers.<sup>30</sup>

### CP-OLED devices

Having these unprecedented chiral luminophores available, we decided to investigate their performances as CPL emissive dopants in top-emission OLEDs, which represent a highly relevant and emergent OLED architecture for micro-display

applications such as in cameras, near-eye displays and medical analysis. Surprisingly, this type of electroluminescent device remains almost unexplored in the context of CP-OLEDs and may afford a new approach to investigate the impact of the device architecture on the propagation of CP light and its possible depolarization through reflection at the metallic electrode.

The investigated top-emission CP-OLED architecture in this study included notably a silicon wafer, covered with an Al-Cu bottom cathode, a thin passivation layer (TiN), a thin layer of calcium (Ca), different electron and hole injection, transport and blocking layers (EIL/ETL, HTL/HBL), the chiral emissive layer composed of enantiopure  $P$ - or  $M$ -helicene derivative as a dopant ( $\sim 15\text{--}20\%$ ) in a 1,3-bis( $N$ -carbazolyl)benzene,  $m\text{-CP}$ , a matrix and a top ultra-thin silver (Ag) anode, all being encapsulated using a  $\text{SiO}/\text{Al}_2\text{O}_3$  bilayer (see Fig. 8 and the ESI† for details). We first tried to use  $P$ - and  $M\text{-H6(CN)}_2$  as the emitter, since they afford the highest CPL intensity among the helicene derivatives reported herein. However, despite several attempts, vapor deposition of  $\text{H6(CN)}_2$  was not efficient,



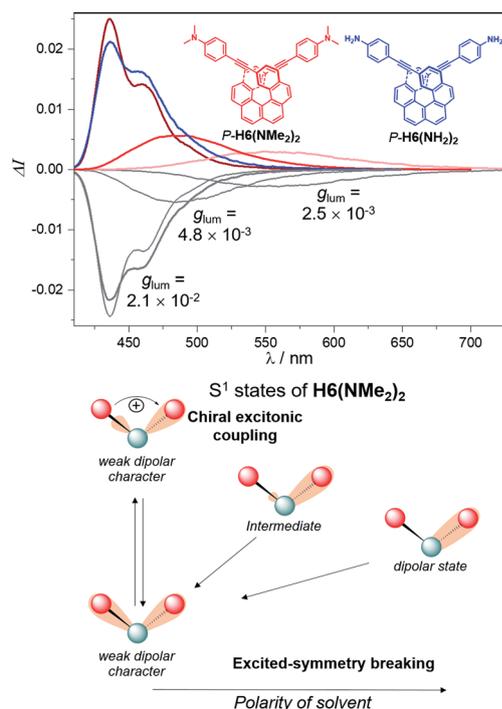


Fig. 7 Top: CPL spectra of *P*-H6(NMe<sub>2</sub>)<sub>2</sub> in cyclohexane (dark red), dichloromethane (red) and dimethylformamide (light red) at 298 K and of *P*-H6(NH<sub>2</sub>)<sub>2</sub> (blue) in dichloromethane with the corresponding  $g_{\text{lum}}$  values, along with bottom: illustration of the solvent polarity effect underlying the observed decrease of  $g_{\text{lum}}$  for *P*-H6(NMe<sub>2</sub>)<sub>2</sub>.

precluding device engineering. To circumvent this aspect, we turned our attention to *P*- and *M*-H6(TMS)<sub>2</sub> since these compounds show also intense CPL ( $g_{\text{lum}} = 1.8 \times 10^{-2}$ ), in addition to the fact that the silyl groups may help the vaporization process by decreasing intermolecular interactions in the solid state. Gratifyingly, the deposition of *P*- and *M*-H6(TMS)<sub>2</sub> occurred smoothly with no racemization during the thermal vaporization, as indicated by the similar CPL intensity between films obtained either from solution spin-coated processes or vacuum deposition on glass substrates, and the value measured in diluted solution (Fig. S47†). Following these control experiments, proof-of-concept CP-OLEDs were obtained and their optoelectronic characteristics investigated (Fig. 8). Similar electroluminescence spectra were recorded for both enantiomers of H6(TMS)<sub>2</sub>, showing a structured profile with a maximum of intensity at 480 nm. This response is red-shifted in comparison to the luminescence obtained for the chiral emitter thin film (Fig. 8), which can be explained by the specific architecture of the top-emission configuration. The presence of two reflective metallic electrodes provides the OLED with an optical cavity behavior, namely a selective optical band pass filter, whose central wavelength depends on the thickness of the organic stack. In the present CP-OLED, the resulting architecture results in a transmission of photons of around 500 nm wavelength and therefore does not match perfectly the luminescence maximum of the chiral emitter dopant (see the ESI† for further explanations). The voltage (*V*)–luminance (*L*) characteristics of these non-optimized obtained OLED devices show a clear rectifier diode behavior, low leakage current ( $\leq 1 \mu\text{A cm}^{-2}$ ) and luminance performances of up to 1000 cd

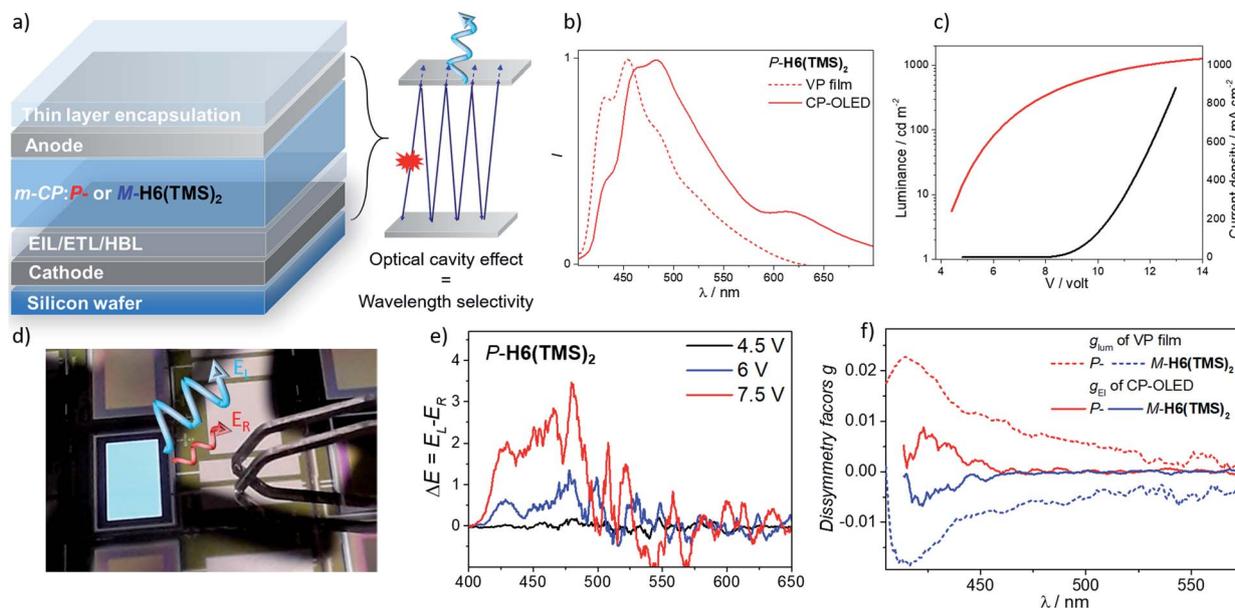


Fig. 8 (a) Illustration of the top-emission OLED architecture with the optical cavity effect resulting in a wavelength selectivity related to the thickness of the organic stack (see the ESI†); (b) luminescence (from vapor deposition film) and electroluminescence spectra (CP-OLED under 7.5 V) of *P*-H6(TMS)<sub>2</sub>, and (c), *I*–*V*–*L* characteristics of the CP-OLED including *P*-H6(TMS)<sub>2</sub>; (d) a picture of an operating CP-OLED; (e) circularly polarized electroluminescence ( $\Delta E$ ) of devices recorded under different operation voltages with *P*-H6(TMS)<sub>2</sub> emitter dopants (see the ESI† for the corresponding spectra for *M*-H6(TMS)<sub>2</sub>) and (f) corresponding plots of the luminescence (from the vapor deposition film) and electroluminescence dissymmetry factor,  $g_{\text{lum}}$  and  $g_{\text{El}}$ , respectively.



$\text{m}^{-2}$  under 13 V, which remains a rather modest value compared to standard blue-green top-emission devices. The resulting external quantum efficiency is 0.2%, a modest value that can be explained by the rather low fluorescence quantum yield of this helical emitter (6%). Improved performances should be reached by developing more efficient chiral fluorophores, phosphors or CPL-TADF emitters. Finally, the polarization of the electroluminescence was measured by placing CP-OLEDs in the sample holder of an in-house built CPL spectrometer. The recorded difference of circularly polarized electroluminescence ( $\Delta E$ ) is respectively positive and negative for *P*- and *M*-H6(TMS)<sub>2</sub> based CP-OLEDs, in agreement with the CPL sign measured in solution, and increases with the applied voltage. Importantly, significant  $\Delta E$  signals are clearly recorded between 400 and 450 nm, corresponding to the maxima of CPL intensity for *P*- and *M*-H6(TMS)<sub>2</sub> and suggesting that the circular polarization is effectively induced by the chiral dopant (Fig. 8). In fact, plots of the electroluminescence dissymmetry factors  $g_{\text{EL}}$  agreed well with the  $g_{\text{lum}}$  in films (Fig. 8), confirming the origin of the polarized electroluminescence. Finally,  $g_{\text{EL}}$  values of  $+8.0 \times 10^{-3}$  and  $-7.0 \times 10^{-3}$  were determined for *P*- and *M*-H6(TMS)<sub>2</sub> emissive dopants, indicating that 56% of the CPL measured in film ( $g_{\text{lum}} = 1.8 \times 10^{-2}$ ) is lost upon integrating the emitter into the CP-OLED architecture. This presumably comes from light reflection and polarization inversion at the counter electrode (accounting for 28% of the electrogenerated CPL signal, which then cancels another 28% of the initial degree of circular polarization).<sup>5b,e</sup> While further optimizations regarding the optical cavity, wavelength selectivity and the organic stack are needed, these promising results clearly represent a strong increase in terms of CPEL for top-emission based CP-OLEDs<sup>11a</sup> and may open new opportunities for CP-light display applications.

## Conclusion

In conclusion, we described here the synthesis of new extended  $\pi$ -helical push-pull chiral emitters and investigated their chiroptical properties both experimentally and theoretically. These compounds display strong ECD and CPL, with  $g_{\text{lum}}$  values of up to  $3 \times 10^{-2}$ , which are among the highest CPL intensities recorded so far at the molecular level. By careful investigation of the structure-property relationship of these chiral luminophores, we attributed these results to an optimized mutual orientation of the electric and magnetic dipoles in the excited state which facilitates an intense exciton coupling process mediated by the [6]helicene unit. Owing to their strong CPL and high racemization barrier, such chiral derivatives were then tested as emissive dopants in proof of concept top-emission CP-OLEDs and afforded a promising CP electroluminescence,  $g_{\text{EL}}$ , of around  $8 \times 10^{-3}$ , which represents a significant result for CP-OLEDs using this device architecture. These results further highlight the potential of helical  $\pi$ -conjugated molecules for chiral optoelectronic applications and may offer new opportunities to design innovative and efficient CPL emitters and directions to develop more efficient CP-OLEDs.

## Author contributions

J. C. and L. F. conceived the project. K. D. synthesized the compounds and collected the spectral data. L. A. and J. A. performed theoretical calculations. S. M.-D.-G made the OLED devices and B. R., E. Q., G. P., J. C. and L. F. did the circularly polarized electroluminescence measurement and analysis. N.V. performed the chiral HPLC separation. T. R. did the X-ray analysis. All authors participated in the manuscript writing.

## Conflicts of interest

There are no conflicts of interest to declare.

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- 30 In this regard, the CPL of *P*- and *M*-**H6(CN)**<sub>2</sub> was also measured by the groups of Prof. Di Bari in Pisa and the one of Dr Pieters in Paris (see the ESI† for details on their CPL spectrometers) and afforded almost similar CPL spectra, both in terms of shape and intensity with glum values of  $3.1 \times 10^{-2}$  (CPL spectrometer in Paris) and  $3.8 \times 10^{-2}$  (CPL spectrometer in Pisa), confirming that our molecular design provides one of the most efficient CPL emitters based on carbo[6]helicene reported to date.

