

EDGE ARTICLE

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Cite this: *Chem. Sci.*, 2021, **12**, 2823

All publication charges for this article have been paid for by the Royal Society of Chemistry

Received 30th September 2020
Accepted 4th January 2021

DOI: 10.1039/d0sc05408a

rsc.li/chemical-science

Polyolefin catalysis of propene, 1-butene and isobutene monitored using hyperpolarized NMR[†]

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Polymerization reactions of the dissolved gases propene, 1-butene, and isobutene catalyzed by $[\text{Zr}(\text{Cp})_2\text{Me}]$ $[\text{B}(\text{C}_6\text{F}_5)_4]$ were characterized using *in situ* NMR. Hyperpolarization of ^{13}C spins by the dissolution dynamic nuclear polarization (DNP) technique provided a signal enhancement of up to 5000-fold for these monomers. For DNP hyperpolarization, liquid aliquots containing monomers were prepared at a temperature between the freezing point of the solvent toluene and the boiling point of the monomer, mixed with the polarizing agent α,γ -bis-diphenylene- β -phenylallyl free radical, and subsequently frozen. The hyperpolarized signals after dissolution enabled the observation of reaction kinetics, as well as polymer products and side products within a time of 30 s from the start of the reaction. The observed kinetic rate constants for polymerization followed a decreasing trend for propene, 1-butene, and isobutene, with the lowest rate constant for the latter explained by steric bulk. For all reactions, partial deactivation was further observed during the measurement time. The line shape and the chemical shift of the monomer signals with respect to a toluene signal were both dependent on catalyst concentration and reaction time, with the strongest dependence observed for isobutene. These changes are consistent with the characteristics of a rapid binding and unbinding process of the monomer to the catalyst occurring during the reaction.

Introduction

Polyolefins comprise some of the most widely applied plastics.¹ Their high volume of production presents incentives for further improving the efficiency of olefin polymerization reactions and developing better catalysts for desired products. Methodologies for the determination of mechanisms and kinetics of the catalytic reaction form a basis towards this goal. NMR spectroscopy has long been used to obtain information about chemical reactions and interactions at a molecular level.^{2,3} This spectroscopic method is especially well suited for the determination of chemical structure. Chemical shifts such as of ^{13}C are sensitive indicators of motifs of stereo structure in polymers.^{4,5} In combination with synthetic methods for isotope incorporation, NMR spectroscopy further presents a means for the determination of reaction mechanisms.⁶

The direct observation of fast chemical reactions is possible with stopped-flow methods. A significant drawback in the application of NMR spectroscopy in this context, where signal averaging is precluded, however arises from low sensitivity.

Authors of this paper have previously shown that hyperpolarization of nuclear spins enables ^{13}C real-time NMR for the characterization of kinetics and mechanisms of chemical reactions including polymerization.⁷⁻⁹ Dissolution dynamic nuclear polarization (D-DNP) enhances NMR signals of small molecules typically by several thousand-fold.¹⁰ D-DNP achieves hyperpolarization by interactions of target nuclei with electron spins, under microwave irradiation in the solid state. This hyperpolarization step is followed by dissolution into a solvent, and NMR data acquisition in the liquid state.

Hyperpolarized liquids including styrene or hexene have previously been used as monomers in polymerization reactions, which has permitted following the reaction by a series of spectra acquired in rapid succession in single scans.^{8,9} The commonly employed monomers for industrial polymerization reactions, propene, and ethene, however, are gases under ambient conditions. Such compounds are not typically hyperpolarized by D-DNP. Previous studies have nevertheless demonstrated the DNP hyperpolarization of gases in frozen mixtures. These include ^{129}Xe and $^{15}\text{N}_2\text{O}$,¹¹⁻¹³ both of which have biomedical significance. Ethene was further hyperpolarized in the solid state in mesoporous silica matrices, however without subsequent dissolution and use of the hyperpolarized product.¹⁴ Here, we show for the first time the dissolution of hyperpolarized, frozen propene, 1-butene, and isobutene under the exclusion of air and water. We subsequently use these hyperpolarized compounds for real-time characterization of

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[†] Electronic supplementary information (ESI) available. See DOI: [10.1039/d0sc05408a](https://doi.org/10.1039/d0sc05408a)

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metallocene-catalyzed polymerization reactions of the dissolved gases at room temperature.

Experimental

Sample preparation

The preparation of samples for DNP polarization requires mixing of the analyte with radicals serving as DNP polarizing agents, and possibly with a solvent permitting the formation of an amorphous solid when frozen. Since propene, 1-butene, and isobutene are gases under ambient conditions, a cooled sample preparation stage was used to condense the olefin gas, mix it with the radicals, and prepare the sample for loading into the DNP polarizer (Fig. 1).

Olefin gas was first transferred from a gas cylinder to a plastic syringe mounted on a syringe pump (Fusion 200, Chemyx, Stafford, TX). For this purpose, the gas pressure of the cylinder was regulated to slightly above atmospheric pressure. The cylinder was then isolated from the fluid path by closing the valve at the left in Fig. 1. At the same time, the valve to the sample cup was opened, causing the gas to be infused into the cup. The cup was pre-cooled to a temperature below the boiling point of the olefin gas through an aluminum form that was immersed in a liquid nitrogen bath. The temperature of the sample cup was adjusted by selecting the filling height of the nitrogen. In order to prevent the infused gas from escaping, the cup was covered by a tightly fitting Teflon cap. As indicated in the figure, a solution with DNP polarizing agent was loaded into the sample cup before capping. The sample cup was shaken gently to mix the condensed gas with the liquid DNP polarizing agent, while transferring gas through tubing.

In the experiments, 6 mL of propene, 1-butene, or isobutene gas (Sigma-Aldrich, St. Louis, MO) was transferred at a constant infusion rate (6 mL min⁻¹ for propene, and 12 mL min⁻¹ for 1-butene and isobutene) into the sample cup, where 30 μ L of toluene with 40 mM α,γ -bis-diphenylene- β -phenylallyl free radical (BDPA; Sigma-Aldrich) was present. This radical served as the DNP polarizing agent. After removing the tubing from the

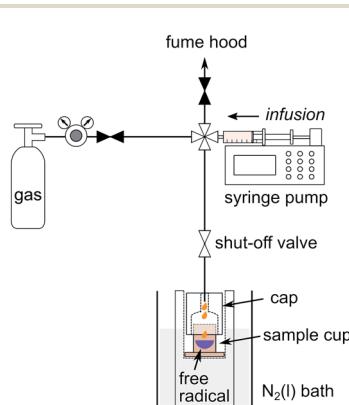


Fig. 1 Sample preparation stage for formulating a DNP sample of condensed gas with free radical used as polarizing agent. The sketch illustrates the gas infusion into the sample cup.

Teflon cap, the sample cup was engaged to a DNP insertion stick and immersed into the liquid nitrogen bath to freeze the sample mixture immediately. The sample was then loaded rapidly into the DNP polarizer. The Teflon cap was removed inside the polarizer as the sample cup was released from the DNP insertion stick.

Dissolution dynamic nuclear polarization

A sample of olefin gas, prepared as described in the previous section, was hyperpolarized in a 3.35 T HyperSense DNP polarizer (Oxford Instruments, Abingdon, U.K.). The hyperpolarization occurred at a temperature of 1.4 K by microwave irradiation of 93.965 GHz frequency and 60 mW power. The polarization time was 3 h. The frozen sample was rapidly dissolved in 4 mL dry fluorobenzene heated to approximately 140 °C and pressurized to 8 bar, and then injected into an NMR tube located in the NMR magnet (Caution: eye protection required). The injection was accomplished by pressurized argon gas applied at a forward pressure of 1.76 MPa against a back pressure of 1.03 MPa for 415 ms, yielding 400 μ L of dissolved sample in the NMR tube.¹⁵ For olefin polymerization reactions, 50 μ L of bis(cyclopentadienyl)dimethylzirconium(IV) catalyst (Sigma-Aldrich) and 1.2–1.4 equivalents of triphenylmethyl lithium tetrakis(pentafluorophenyl)borate cocatalyst (Strem Chemicals, Newburyport, MA) dissolved in dry fluorobenzene was pre-loaded in the NMR tube, which was prepared and sealed under nitrogen atmosphere in a glovebox. The NMR tube containing the activated catalyst was connected to the injection system after purging the system with Ar gas.

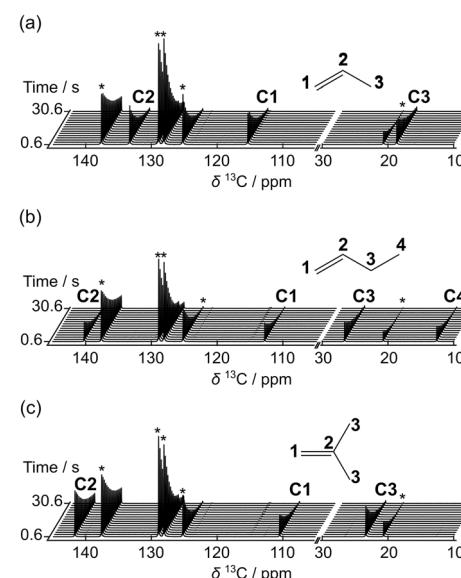


Fig. 2 Hyperpolarized ^{13}C NMR spectra of (a) propene, (b) 1-butene, and (c) isobutene. Every 4th acquired spectrum is shown from data sets with an acquisition delay of 500 ms. Each spectrum was measured following an excitation pulse with a flip angle of 10.2°. Labels C1–C4 indicate signals from the carbon atoms indicated in the structural drawings. Signals from toluene included in the matrix for DNP polarization are designated with *.



Table 1 List of hyperpolarized olefins and the corresponding values of boiling point, final olefin concentration, signal enhancement, and T_1 relaxation time constants (T_1) obtained from the D-DNP NMR experiments. The error ranges represent the standard deviations of the mean value from N repeated measurements ($N = 7$ for propene, 3 for 1-butene, and 3 for isobutene)

Hyperpolarized olefin	Boiling point (°C)	Final olefin concentration (M)	C1		C2	
			Signal enhancement	T_1 (s)	Signal enhancement	T_1 (s)
Propene	-47.6	0.16 ± 0.04	4143 ± 589	17.3 ± 0.6	4655 ± 654	19.1 ± 0.6
1-Butene	-6.5	0.19 ± 0.02	4241 ± 765	18.0 ± 0.5	4976 ± 903	24.7 ± 0.6
Isobutene	-6.9	0.17 ± 0.03	2247 ± 446	18.3 ± 0.2	3827 ± 565	42.3 ± 6.2

NMR spectroscopy

NMR measurements were performed using a Bruker 9.4 T NMR spectrometer equipped with a broadband probe containing pulsed field gradients along three axes (Bruker Biospin, Billerica, MA), at a temperature of 296 K. The time-resolved ^{13}C NMR spectra were acquired with a pulse sequence $[G_z\text{-}\alpha\text{-acquire}]_{xn}$ which was triggered 400 ms after the injection of the hyperpolarized sample. The time $t = 0$ was defined as the midpoint of the injection time, and thus the first spectrum was acquired at $t = 0.61$ s. For each experiment, a data set included 64 transients separated by 500 ms. A pulse with flip angle of $\alpha = 10.2^\circ$, with pulse strength $\gamma B_1/(2\pi) = 23.6$ kHz was applied for NMR detection. WALTZ-16 ^1H decoupling was included during the signal acquisition time. A randomized pulsed field gradient, G_z (maximum amplitude of 35.5 G cm $^{-1}$, 1 ms), was applied before the following excitation pulse and signal acquisition, to remove any residual coherence from the previous scan. In each scan, 25 600 complex data points were acquired. For determining signal enhancements of hyperpolarized olefin carbons, ^{13}C NMR spectra of the same samples were acquired after hyperpolarization had decayed. The same pulse sequence as in the time-resolved hyperpolarized NMR measurements was used, except that $n = 1$. Of the same samples, ^1H NMR spectra were further obtained. For calculating the ^{13}C signal enhancement, the intensity of the thermally polarized olefin ^{13}C signal was first estimated based on the intensity of thermally polarized ^{13}C signal of the solvent, and the ratio of olefin to solvent concentration was determined by ^1H NMR. Chemical shifts were referenced to the solvent resonance of toluene, which was calibrated against tetramethylsilane (TMS) using a separate sample.

Results and discussion

Hyperpolarized ^{13}C NMR spectra of propene, 1-butene, and isobutene are shown in Fig. 2. These spectra were measured at the natural abundance of 1% ^{13}C . The originally generated hyperpolarization was distributed over multiple scans by applying a series of small flip angle pulses. A signal enhancement of several thousand-fold compared to non-hyperpolarized spectra was obtained at all positions of the three olefins in the first scans. The signal gain for propene was larger than 4000-fold for the carbon atoms C1 and C2, and larger than 3000-fold for C3. Signal enhancements for the other olefins were in the range of 2000–5000-fold (Table 1). The observed signal

enhancements depended on the olefin concentration, with higher concentrations resulting in slightly larger signal gains. The high NMR sensitivity provided by hyperpolarization enabled measuring the T_1 relaxation time constants of ^{13}C spins at olefin concentrations in the range of 0.1–0.2 M (1–2 mM ^{13}C) over 30 s without signal averaging, and later enabled monitoring polymerization reactions in real time. The T_1 relaxation time constants of the hyperpolarized C1 and C2 carbons of the olefins were found to be in a range between 17 and 25 s for C1 and C2 of propene and 1-butene and for C1 isobutene, and 42 s for C2 isobutene, providing sufficient time for observing fast

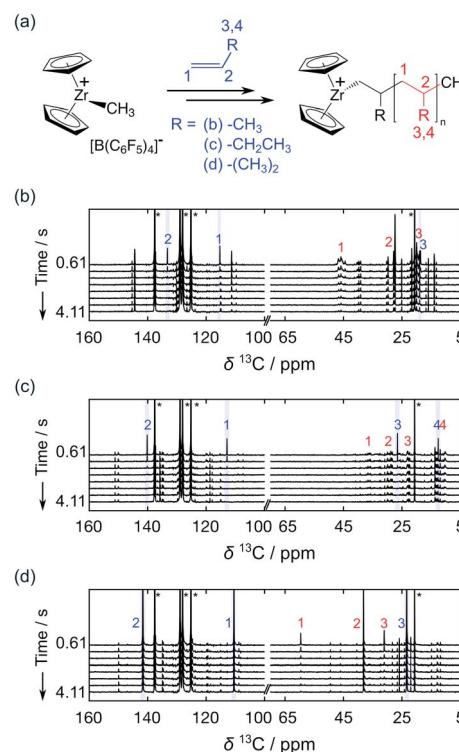


Fig. 3 (a) Reaction scheme of a $[\text{Zr}(\text{Cp})_2\text{Me}][\text{B}(\text{C}_6\text{F}_5)_4]$ catalyzed olefin polymerization reaction. Hyperpolarized ^{13}C NMR data sets are shown from polymerization reactions of (b) propene, (c) 1-butene, and (d) isobutene. Polymerization was catalyzed by $[\text{Zr}(\text{Cp})_2\text{Me}][\text{B}(\text{C}_6\text{F}_5)_4]$ with $[\text{Zr}] = 8$ mM. The time-resolved data was acquired with a delay of 500 ms between scans. Numbers indicate the signals of ^{13}C nuclei from monomers (blue) and polymers (red). The peak assignments indicated in the figures were made based on the reported chemical shift values of polyolefins.^{5,16,17} Olefin concentrations at the start of the reaction were 0.16 M, 0.19 M and 0.17 M in (b), (c) and (d), respectively.



reactions that occur on the order of several seconds before losing too much hyperpolarized signal. The average values of the signal enhancements, the T_1 relaxation time constants, and the olefin concentrations after dissolution were determined from several repetitions and are summarized in Table 1. A variation of 10–15% in the measured signal enhancement of ^{13}C in the olefins, seen in the table, is higher than in D-DNP experiments with liquid monomers. This variation could be related to the process of mixing the condensed olefins and radical solution inside of the cooled sample cup. Nevertheless, a quantitative analysis of kinetic results as described below is not hindered because kinetic modeling depends only on relative signal intensities in a single data set.

Taking advantage of high signal gains in the hyperpolarized olefins, metallocene-catalyzed olefin polymerization reactions were monitored by ^{13}C NMR in real time (Fig. 3). In these reactions, the catalytic system based on $[\text{Zr}(\text{Cp})_2\text{Me}][\text{B}(\text{C}_6\text{F}_5)_4]$ (Cp : cyclopentadienyl, Me : methyl) was utilized, as illustrated in Fig. 3a. Fig. 3b–d show a series of NMR spectra acquired from the polymerization reactions of propene, 1-butene, and isobutene. The monomer signals observed in these spectra can be seen to decay rapidly. Especially in the case of the linear olefins

propene and 1-butene, these signals disappeared in 2 s as monomers were consumed.

The ^{13}C NMR signals from the polymer backbone and side chains were detected in all three reactions from the first acquired spectrum ($t = 0.61$ s) and decayed over time as the hyperpolarization was lost. These signals are indicative of the polymer microstructure. In the reactions of propene and 1-butene, a broad distribution of polymer backbone signals indicates the formation of atactic polymers. In contrast, narrow peaks were observed from polyisobutene. This result is expected since polyisobutene is composed of symmetrical backbones. Besides the polymer signals, several minor peaks are visible near the $\text{C}1$ and $\text{C}2$ monomer signals. Among these are likely signals from vinylidene-terminated polymer chains, which are common side products of the metallocene-catalyzed polymerization reactions.^{18,19} Based on the multiplicity patterns observed from the ^1H coupled hyperpolarized ^{13}C NMR measurements, the signals at 145.12 and 111.99 ppm in the spectra from the propene polymerization reaction (Fig. 3b) were identified as likely stemming from vinylidene groups (Fig. S1†). Chemical shifts indicative of vinylidene groups were also detected in ^1H NMR spectra acquired after the hyperpolarized ^{13}C NMR measurements. In the spectra showing the polymerization reaction of the hyperpolarized monomers, additional signals are observable in the spectral region above 140 ppm (Fig. 3 and S1†). This region of the spectrum coincides with the range of expected chemical shifts for metal coordinated carbon atoms arising in the reaction.^{20,21}

The time-resolved NMR spectra further contain kinetic information pertaining to the reaction. This information is most readily obtained by analyzing signals from the hyperpolarized monomers, where the effect of spin relaxation on the signal evolution can be accounted for by comparison to signals of the hyperpolarized compounds in the absence of catalyst.⁹ Fig. 4a–c display the time-dependent signal integrals of $\text{C}1$ and $\text{C}2$ of propene, 1-butene, and isobutene with catalyst at a concentration used for the kinetic analysis described below (blue markers), and at a concentration increased by 30–40%, which yields a higher reaction rate (green markers). In each panel, the signal intensities obtained in the absence of catalyst is included for comparison (black markers). In the reactions, T_1 relaxation, the effect of the radio-frequency excitation pulses,²²

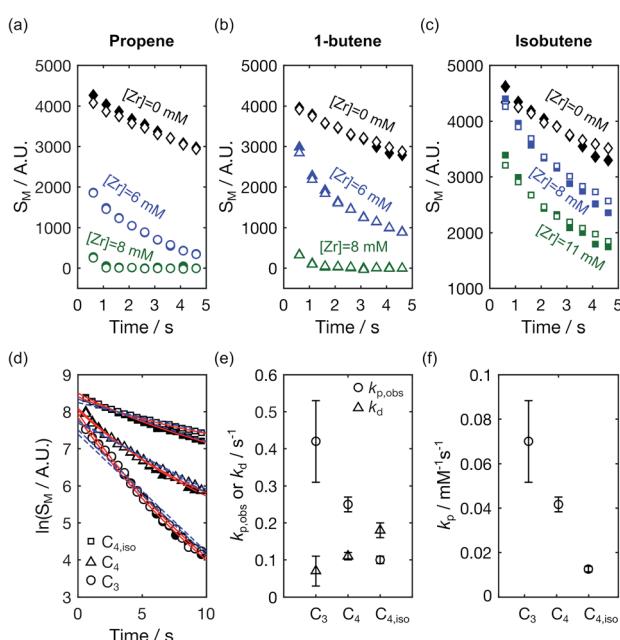


Fig. 4 Signal intensities of hyperpolarized (a) propene (b) 1-butene and (c) isobutene in the absence ($[\text{Zr}] = 0$ mM) and presence ($[\text{Zr}] > 0$ mM) of a polymerization reaction. Data points with empty and filled symbols represent the $\text{C}1$ and $\text{C}2$ signals, respectively. (d) Signal integrals of $\text{C}1$ and $\text{C}2$ obtained from when $[\text{Zr}] = 6$ mM from the panels (a) and (b), and $[\text{Zr}] = 8$ mM from panel (c) plotted on a logarithmic scale. Data points for propene (C_3), 1-butene (C_4), and isobutene ($\text{C}_{4,\text{iso}}$) are denoted by the symbols '○', 'Δ', and '□', respectively. The red solid lines were fitted using eqn (4) in ref. 9, and the blue fitted broken lines were obtained using an exponential time dependence. (e) The fitted values of $k_{\text{p},\text{obs}}$ and k_{d} from the red fitted line in (d) are shown with the symbols '○' and 'Δ', respectively, and the error bars represent the 95% confidence intervals of the fit parameters. (f) Polymerization rate constants normalized with the $[\text{Zr}]$ concentration.

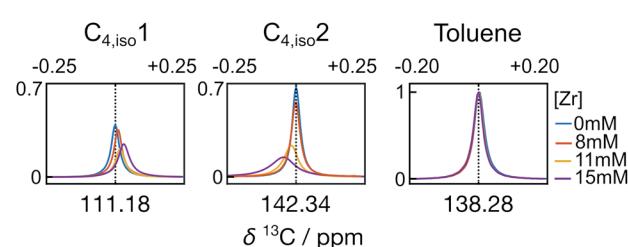


Fig. 5 The $\text{C}1$ and $\text{C}2$ signals of isobutene ($\text{C}_{4,\text{iso}1}$ and $\text{C}_{4,\text{iso}2}$, respectively) from the polymerization reactions, as well as in the absence of a reaction. Signals are from spectra measured at $t = 0.61$ s. The toluene signal from the quaternary carbon (138.28 ppm) was used for intensity normalization in each spectrum.



and monomer consumption contribute to the signal decay. Therefore, comparing the signal integrals of monomers in the reactions with those of free monomer ($[Zr] = 0$ mM) reflects the extent of reaction progress and can be used to determine differences in the reactivities of the three olefins towards the polymerization reaction. A comparison of the first data points indicated that more than 90% of propene and 1-butene were consumed before the start of the NMR measurement when $[Zr] = 8$ mM, while most isobutene was unreacted. At lower $[Zr]$, more 1-butene was present than propene at $t = 0.61$ s. This indicates that the olefin reactivity towards the polymerization is highest for propene, followed by 1-butene. Isobutene is the least reactive.

The monomer signals were analyzed kinetically using a polymerization model described in ref. 9. This model accounts for catalyst deactivation occurring during chain propagation. Applied to the C1 and C2 signal integrals of propene, 1-butene, and isobutene from the polymerization reactions plotted with blue markers in Fig. 4a–c, the observed chain propagation and catalyst deactivation rates $k_{p,obs}$ and k_d , respectively, were determined. Fig. 4d shows the model fits to the monomer signals plotted on a logarithmic scale. The fitted curves from this two-parameter model (red lines) are in better agreement with the experimental results than those from a one-parameter model describing a living polymerization (blue broken lines). The resulting sets of fitted parameters $k_{p,obs}/\text{s}^{-1}$ and k_d/s^{-1} from the first model were found to be $(0.42 \pm 0.11, 0.07 \pm 0.04)$, $(0.25 \pm 0.02, 0.11 \pm 0.01)$ and $(0.10 \pm 0.01, 0.18 \pm 0.02)$ for propene and 1-butene at $[Zr] = 6$ mM and isobutene at $[Zr] = 8$ mM, respectively (Fig. 4e). The resulting $k_{p,obs}$ confirmed the above trend in reactivity. Additionally, the deactivation rate constants show an opposite trend for the different monomers, which is however closer to the error limit. The rate constants for the polymerization reactions, k_p , were calculated to be 0.07 ± 0.02 ,

0.04 ± 0.003 , and $0.01 \pm 0.001 \text{ s}^{-1}$ for propene, 1-butene, and isobutene (Fig. 4f). As expected, based on the size of the molecules, a slower propagation rate constant was obtained for 1-butene than propene. The k_p value was the smallest for isobutene, which is an isomer of 1-butene. This lower rate constant may be related to steric hindrance of isobutene coordinating to the metal center.²³

A closer analysis of the time-resolved data sets from the polymerization reactions indicates changes in line shape and chemical shift that occurred during the reaction. Fig. 5 illustrates the differences for the C1 and C2 signals of isobutene under different reaction conditions, observed in the first spectra measured at $t = 0.61$ s. Compared with the olefin signals acquired without catalysts, the line widths of the isobutene signals undergoing the reactions became broader at increasing catalyst concentration. The line widths of the peaks from the toluene solvent on the other hand are nearly identical across the data sets, indicating that the observed signal broadening was not due to experimental variations (Fig. S2a†).

In addition to the line width change, the C1 signal of isobutene shifted upfield, whereas the C2 signal shifted downfield with increased catalyst concentration, when referenced against toluene. Over the measurement time, the isobutene signals seemed to restore the characteristics of free isobutene signals such that the line width became narrow and the chemical shift offset from the toluene reference approached that of free isobutene (Fig. 6 and S2b†). In Fig. 6, it can also be seen that the signals of the other monomers show much smaller changes than those of isobutene. The line width and chemical shift changes in the isobutene signals are most readily explained by a reversible binding interaction, which results in chemical exchange between catalyst bound and unbound isobutene on the millisecond time scale.^{24,25} The chemical shift change over the course of the reaction is also consistent with a binding and

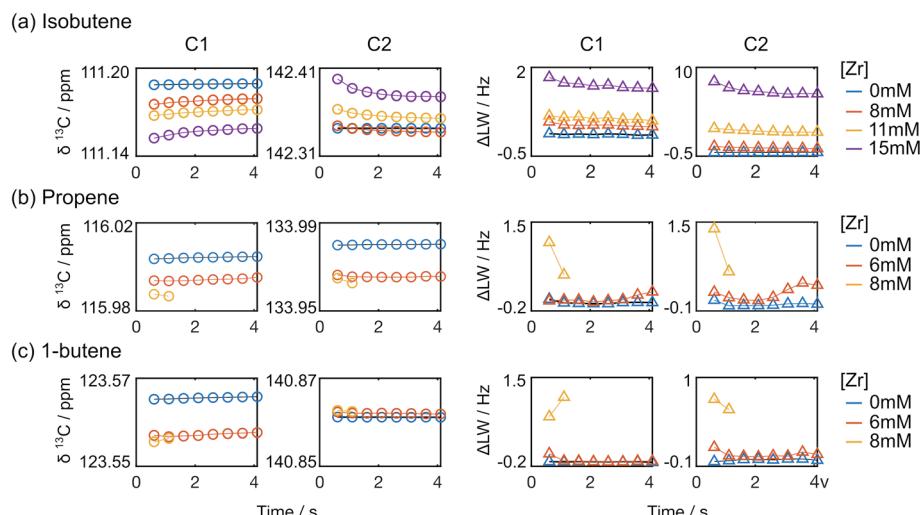


Fig. 6 Chemical shifts and line widths of C1 and C2 monomer signals from (a) isobutene (b) propene and (c) 1-butene polymerization reactions measured over time. Plots in the left two columns display the changes in chemical shift (circles), and those in the right two columns show the line width changes (triangles). For propene and 1-butene polymerizations with $[Zr] = 8$ mM, two data points are shown, as the monomer signal intensities are at the noise level at subsequent time points.



unbinding process that is rapid compared to the timescale of chemical shift evolution,²⁰ whereby the percentage of bound form decreases with decreasing monomer concentration and increasing fraction of deactivated catalyst.²⁶ Finally, the down-field chemical shift change of C2 in isobutene compared to the toluene reference at increased catalyst concentration suggests a reduced electron density when bound, consistent with binding to a positively charged catalytic center.^{17,23,27}

Conclusions

The results described above illustrate the feasibility of real-time NMR spectroscopy of DNP hyperpolarized short-chain olefins which are gases under ambient conditions. Signal enhancements of over 3 orders of magnitude were achieved for ¹³C, allowing to detect signals from reaction products during liquid-phase olefin polymerization reactions. Taking advantage of the enhanced signal intensities, information on reaction kinetics and mechanisms can be determined from the time evolution of monomer signals. The hyperpolarized signals of the polymer backbone indicated the formation of atactic polymers from the reactions of propene and 1-butene with the catalytic system [Zr(Cp)₂Me][B(C₆F₅)₄]. The kinetic rates of propagation were found to decrease with increasing bulk of the monomer. During the reaction with isobutene, evidence for a rapid binding and unbinding process was found from chemical shift and line width analysis. The ability to characterize reactions of gaseous monomers by D-DNP opens the possibility of expanding the application of this technique to a larger variety of industrially relevant polymerization reactions.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

This work forms part of the research program conducted by Texas A&M University and funded by the Dutch Polymer Institute (DPI), Project #909.

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