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## Modified vapor phase deposition technology for high-performance uncooled MIR PbSe detectors†

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The low performance of middle infrared (MIR) PbSe detectors fabricated from vapor phase deposition (VPD) technology restricts the rapid development of VPD technology and detector commercialization. A modified VPD process was proposed to duplicate the microstructural features of high-performance CBD-PbSe detectors for a breakthrough in the VPD technology. A peak detectivity  $D^*$  of  $1.6 \times 10^{10}$  cm  $Hz^{1/2}$  W $^{-1}$  at 298 K was achieved under the optimized sensitization, approaching the best performance of CBD-PbSe detectors. Through the contrasting various microstructures obtained from diverse methods, the nanoparticle self-assembly structure in VPD-PbSe oriented rod-like crystals is an important factor for the IR sensitivity. The microstructural evolution demonstrated that there is a large space to grow for VPD-PbSe detectivity  $D^*$  via eliminating the voids formed in the iodine-sensitization process. The increased performance indicates that the modified VPD technology can provide technical support for the manufacturing of the megapixel uncooled lead-salt FPA imager and accelerate its industrialization.

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#### I Introduction

Uncooled lead-salt focal plane array (FPAs) detectors are making a comeback for niche military applications after having almost disappeared from view since the 1980s.<sup>1-8</sup> Compared with IR thermal detectors such as microbolometers, polycrystalline lead-salt infrared detectors present many remarkable characteristics, such as fast response speed, high frame rate, and good sensitivity in the MIR (1-5 µm) spectral band at room temperature, which are pressing needs for the intelligence industry and defence. However, lead-salt detectors have been stigmatized for a long time due to limitations in material processing<sup>6-28</sup> and poorly understood physics. 12,28-37 The research & development of lead-salt detectors was opened again after an uncooled lead-salt 320  $\times$  240 FPA imager shown in Fig. 1 was presented by Imager Litton Electro-Optical Systems in 2001. 4,5 After this important milestone, research has been carried out in this direction with the objective of improving device performances and reaching technological industrial maturity.

By now, two available technologies were employed to fabricate uncooled lead-salt FPA imagers: a standard chemical bath deposition (CBD) and vapor phase deposition (VPD). Although CBD technology was utilized since WWII and developed to be a standard technology for lead-salt IR detectors, it exhibits a number of drawbacks, such as large 1/f noise, poor stability, bad IR response uniformity and high dielectric constant. These

flaws are precluding seriously the development of CBD technology and communalization of uncooled lead-salts FPA imagers.4-19 During last 20 years, an innovative technology named VPD technology was developed by Spanish MoD laboratories (CIDA) and New Infrared Technologies, S.L. (NIT).20-28 Technological evolution of VPD PbSe technology is shown in Fig. 2. Being a simple and affordable technology, the most remarkable characteristics and advantages of VPD technology are: good uniformity in large areas, good reproducibility, long term stability, suitable for 2D FPAs and good compatibility with existing Si CMOS technology. Therefore, since the appearance of VPD technology, it has been inspiring high hopes in the filling the existing gap in high-resolution uncooled MWIR uncooled FPA camera. However, there is a fatal flaw of lower IR detectivity, which is a major obstacle to the rapid development of VPD technology. The detectivity of VPD-PbSe detectors  $(D^*)$ 

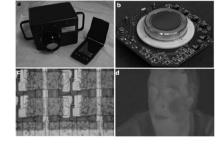


Fig. 1 CBD-PbSe FPA camera. (a) Northrop's prototype lead salt camera, (b) PbSe FPA mounted in 2105 package, (c) micrograph of PbSe FPA ( $1000\times$ ) and (d) imagery using lead-salt camera.<sup>5</sup>

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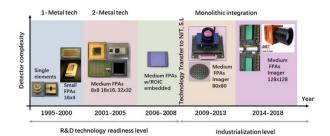


Fig. 2 Technological evolution of VPD-PbSe technology.

has been in the range of 2–5  $\times$  10<sup>9</sup> cm Hz<sup>1/2</sup> W<sup>-1</sup> since 2000, which is one order of magnitude lower than that of CBD-PbSe detectors. The lower performance has been limiting VPD-PbSe detector's wild applications in aerospace, national defense, industry and other frontier. To our knowledge, a 128  $\times$  128 2D FPA camera with frame rate above 2000 Hz is commercially available, representing the highest level of VPD-PbSe FPA imagers.  $^{26,28}$ 

Based on our pervious numerous of studies on highperformance CBD-PbSe detectors, 8,9,17,18,41 a conclusion is indisputable that the microstructural feature of as-grown CBD-PbSe films is critical in high performance of PbSe detectors. According to this clue, herein the VPD process was modified to duplicate exactly the microstructure of CBD-PbSe film by decreasing the vacuum degree and deposition temperatures. Assisted with an optimized sensitization,8 a specific hightemperature thermal treatment to convert as-grown film into an active materials sensitive to the IR radiation, a peak detectivity  $D^*$ of  $1.6 \times 10^{10}$  cm Hz<sup>1/2</sup> W<sup>-1</sup> for PbSe MIR detector was achieved at room-temperature, which approaches the best performance of standard CBD-PbSe detectors. There is no doubt that the modified VPD technology shows a great potential in industry and military applications which are demanding rugged, low-cost, high-speed and high performance uncooled NIR and MIR FAP imagers, such as automatic and auxiliary driving of automobiles, industrial quality inspection, manufacturing process control, imaging infrared (IIR) seeker system etc.

### II Experiment

#### Device fabrication and characterization

PbSe films were fabricated on cleaned glass slides by using VPD and CBD technologies. For CBD technology, the aqueous precursor was prepared via dissolving sodium hydroxide, lead acetate, and selenosulfate with a concentration ratio of  $12:1:1.^{18}$  The cleaned glass substrates were transferred into the aqueous precursor and maintained at 80 °C for 3.0 h and at 3 °C for 240 h. Hydrothermal growth was carried out in a hydrothermal reaction vessel at 180 °C for 1.0 h. For traditional VPD technology, 99.999% PbSe was deposited on cleaned glass substrates by thermal evaporation in  $10^{-4}$  Pa for 1.0 h at 300 °C substrate temperature. For modified VPD method, the vacuum degree was decreased to  $10^{-2}$  Pa by introducing the oxygen gas for duplicating the microstructural features of highperformance CBD-PbSe films. Furthermore, the deposition was

carried out at 130  $^{\circ}$ C and room-temperature for 3 h with a growth rate of 60–70 nm min<sup>-1</sup>.

#### Measurement methods

Subsequently, as-grown PbSe films were sensitized in  $O_2$  atmospheres at 420 °C for 1 h, followed by  $O_2$  and  $I_2$  atmosphere at 380 °C for 10–30 min. To fabricate the PbSe detectors, 100 nm thick gold thin films were deposited by thermal evaporation over two sides of the  $4\times2$  mm² post iodine sensitized PbSe film with a 0.07 mm² active area. The detectivity was measured using a SR830 DSP lock-in amplifier and collimated black body at 500 K at room temperature (298 K) with under 750 Hz chopping frequency modulation. The devices were biased at 50 V mm $^{-1}$  using Agilent E3612A source, with a load resistor matched to the detector resistance. The distance between the blackbody and the detectors was 20 cm.

#### III Results and discussion

The micro-morphologies of PbSe films fabricated from CBD, traditional VPD and modified VPD technologies were shown in Fig. 3. The side-view of CBD-PbSe films in Fig. 3(a) displayed a rough and compact layer constituted by the oriented pyramidal-shape micro-polycrystalline, which was confirmed by the top-view FESEM image in Fig. 1(c). Based on the appearance of a strong peak at 29.14° in its XRD pattern showing in Fig. 4, corresponding to PbSe (200) facet, it sees that the pyramidalshape micro-polycrystalline were mostly oriented along [111] direction. Higher resolution side-view FESEM in Fig. 3(b) revealed that every micron-size pyramidal crystallite was selfassembly of very small nanocrystals. The size of nanocrystals is of 8-12 nm. This structural feature was attributed to the synergistic effect of initial ion-by-ion (IBI) growth model and later Oriented-Attachment (OA) growth model, considering lower surface energy of (100) facets.<sup>39</sup> Through the contrast various microstructures obtained from diverse methods, we figured that the self-assembly structure occurring in as-grown films was a most important factor for PbSe IR-response. No

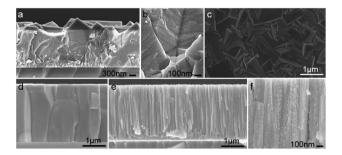


Fig. 3 Typical microstructural features of as-grown PbSe films. (a) Low-magnification and (b) high-magnification side-view and (c) top-view FESEM image of CBD-PbSe films grown 80 °C for 3.0 h. (d) Low-magnification side-view FESEM image of traditional VPD-PbSe film fabricate at 300 °C in 2  $\times$  10 $^{-4}$  Pa. (e) Low- and (f) high-resolution side-view FESEM image of modified VPD-PbSe film fabricated at 25 °C substrate temperature in 2  $\times$  10 $^{-2}$  Pa.

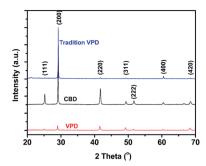


Fig. 4 XRD patterns of PbSe films fabricated from traditional VPD, CBD and modified VPD technologies.

any infrared response was observed from the PbSe films composed of micro-size pyramidal crystal without self-assembly nanoparticles by using hydrothermal method at 180  $^{\circ}$ C (Fig. 5(b)) or the films packed by disordered nanoparticles synthesized at 3  $^{\circ}$ C CBD growth temperature (Fig. 5(c)), regardless of the sensitized conditions.

The recent studies 9,18,33-37,41 have shown that iodine is key element to trigger the IR-response of PbSe by forming PbI<sub>2</sub>, PbSeI<sub>x</sub> or Pb–Se–O–I phase at the surface and interfaces between PbSe grains. Due to hard diffusion of iodine in the large PbSe single-crystal grains, it is difficult to form a continuous PbI<sub>2</sub>, PbSeI<sub>x</sub> or Pb–Se–O–I phases transporting channels for photo-generated electrons in the whole PbSe film during sensation process. At the same time, large single-crystal grain was disadvantageous for oxygen passivation to eliminate the defects in the PbSe crystalline. There were lots of defects in an amorphous nanoparticle PbSe film synthesized at 3 °C.38 These defects can't be completely eliminated by the sensitization process. The surviving defects become the recombination centres for photo-generated electrons and holes, then decreased the carrier lifetime, resulting in unsensitive for IR radiation.

No infrared responsivity was detected in sensitized traditional-VPD method, confirming that the key role of self-assembly microstructure of CBD as-grown PbSe films on the infrared response. A typical compact columnar structure of

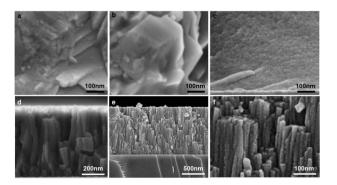


Fig. 5 Typical microstructural features of as-grown PbSe films fabricated from various methods at different growth temperatures and  $O_2$  pressure. (a) CBD at 80 °C, (b) hydrothermal at 180 °C, (c) CBD at 3 °C, (d) VPD at 130 °C in 5  $\times$  10  $^{-3}$  Pa  $O_2$  pressure; (e and f) VPD at 130 °C in 4  $\times$  10  $^{-2}$  Pa  $O_2$  pressure.

traditional VPD-PbSe films was shown in Fig. 3(c), and one sharp and narrow XRD peak corresponding to PbSe (200) facet in Fig. 4 indicates that columnar crystals with 1 µm size grow preferentially along [200] orientation. By introducing O<sub>2</sub> into the VPD deposition chamber and depositing at 25 °C substrate temperature, a microstructure similar with CBD-PbSe film was achieved, as shown in Fig. 3(e) and (f). It was a smooth and compact film constituted by (200) oriented PbSe nanorod-like clusters with a uniform distribution of 120 nm. High-resolution side-view FESEM in Fig. 3(f) obviously displayed that the nanorods were built by self-assembly of nanoparticles, which is originated from OA growth mechanism.

The influence of vacuum degree and substrate temperature on the microstructures of VPD-PbSe films were studied, shown in Fig. 5(d) and (e) show the typical microstructural features of VPD-PbSe films by various  $O_2$  pressure at 130 °C growth temperature. With the increase of the  $O_2$  pressure from  $10^{-4}$  to  $5 \times 10^{-3}$  Pa, the size of columnar crystals decreased from 1  $\mu$ m to 50 nm, presenting a single crystal rode-like crystals as shown in Fig. 5(d). With further increasing the  $O_2$  pressure to  $4 \times 10^{-2}$ Pa, one-dimensionally ordered self-assembly of nanocrystals could be obviously observed from Fig. 5(e) and (f). Therefore, there seemed to be no doubt that high O2 pressure and low growth temperature contribute to the formation of microstructure of nanorods composed of self-assembled nanocrystals. It is well known that low growth temperature inhibits the spontaneous growth of columnar PbSe grain originated from dynamic driving. At the same time, the absorbed oxygen on the surfaces of PbSe nanoparticles lead to a transformation of growth mechanism from IBI to OA model.40 Subsequent, detectivity texting results proved that the optimized substrate temperature is at the range of 25-130 °C under O2 pressure of  $10^{-2}$  Pa. More typical morphologies of VPD-PbSe as-grown films could be obtained from ESI.†

Beside of microstructure, the modified VPD technology also duplicates the phase composition of PbSe film fabricated from CBD technology, identified by XRD patterns showing in Fig. 4. Although a slight difference in intensities, the all PbSe facets in CBD-film XRD pattern appeared in the pattern of modified VPD film.

It is well known that once deposited, lead-salt film is alive to IR radiation after suffering thermal-sensitization process in  $\rm O_2$  and/or  $\rm I_2$  atmosphere. By now, the sensitization processes vary with the individual group. In our case, an optimized two-step sensitization process was employed, including oxidization at 420 °C for 1 h, followed by iodization at 380 °C for 10 min.

The responsivity R and specific detectivity  $D^*$  were obtained as described in our previous work by using the definition as shown below:

$$D^* = R \times \frac{\sqrt{A \times \Delta f}}{I_n} \text{ (cm Hz}^{1/2} \text{ W}^{-1}\text{)}$$

$$R = \frac{I_{\rm s}}{P_{\rm i}} \, \left( {\rm A \ W^{-1}} \right)$$

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а b 1µm 1µm

Fig. 6 Typical microstructural features of sensitized PbSe films. (a) Side-view and (b) top-view FESEM image of sensitized CBD-PbSe films. (c) Low-magnification side-view and (d) low-magnification and (e) high-magnification top-view FESEM image of sensitized modified VPD-PbSe film.

where  $I_s$  and  $I_n$  are the measured detector output signal and noise currents, A is the device detection area,  $\Delta f$  is the noise bandwidth, and  $P_i$  is the incident radiant power. Peak responsivity  $R_{\text{peak}}$  and peak detectivity  $D^*$  are then calculated through a conversion factor defined in ref. 17.

Finally, a room-temperature peak detectivity  $D^*$  of 1.6  $\times$  10<sup>10</sup> cm Hz<sup>1/2</sup> W<sup>-1</sup> was achieved at blackbody 500 K under 750 Hz chopping frequency modulation, which outperforms the VPD-PbSe detectors reported by NIT, and approaches the  $D^*$  of 2.8  $\times$  10<sup>10</sup> cm Hz<sup>1/2</sup> W<sup>-1</sup> of the CBD-PbSe detector.<sup>17</sup>

Fig. 6 presented the microstructures of CBD-and VPD-PbSe detectors. The side- and top-view of FESEM image of CBD detector showing in Fig. 6(a) and (b) demonstrated the sensitized CBD-PbSe grains was 0.5-1 µm, and have blunted edges and a surface roughness of 0.2-0.3 µm. We deem that the morphological evolution before and after sensitization was attributed to in sequence (1) the oxygen passivation of intrinsic  $V_{Pb}^{"}$  and  $Se_{i}^{"}$  defects within PbSe nano-crystals; (2) the recrystallization of PbSe nano-crystals and (3) the formation of PbSeO<sub>3</sub> or Pb-Se-O-I phase around the PbSe crystals. 9,18 The smaller the nanocrystal is, more easily the oxygen diffuses and more complete the passivation, the better the nanocrystal quality. Subsequently, the nanocrystals with high crystal quality recrystallize to form large microcrystals powered by the thermodynamic driving force (420 °C). The two above-mentioned reasons could be vitrified by the enhanced peak intensities

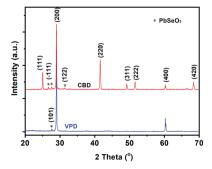


Fig. 7 XRD Patterns of sensitized PbSe detectors fabricated from CBD and modified VPD technologies.

and the narrowed full width at half maximum (FWHM) related to PbSe facets in the XRD patterns, showing in Fig. 7.

An exactly the same evolvements in morphology and phase composition were observed in the sensation process of VPD-PbSe film, as shown in Fig. 6(c)-(e) and 7. The morphology of sensitized VPD-PbSe showed a small PbSe grains of 150-200 nm and a smooth surface roughness of less than 100 nm. The substantial improvement in the distribution of PbSe microcrystal size and the surface roughness can help to improve the performance uniformity and yield of lead-salt IR-sensitive films, which is key for FPA imaging system. In comparation with compact tissue of CBD-PbSe sensitized film, there were many voids in the sensitized VPD-PbSe films, which was considered as the reason for the  $D^*$  of VPD-PbSe detector lower than that of CBD-PbSe detector in our case. Therefore, detectivity  $D^*$  of VPD-PbSe detectors has great developing opportunity by further optimizing the sensitization process to eliminate the voids in VPD-PbSe detectors.

Note, there were only (200) and (400) peaks surviving in the sensitized VPD-PbSe XRD pattern in Fig. 7. This is caused by a mixed Oriented Attachment and Ostwald Ripening (OR) growth pathway during the recrystallization process.38 First, adjacent small PbSe particles with common crystallographic orientations combine to form large one by OA mechanism in initial annealing stage. Then larger PbSe grains with high orientation are formed by an interface-reaction-limited mass transfer from smaller particles. The PbSe (100) facet is significantly lower in energy than the (111) facet, PbSe shows a tendency to form clusters with a rectangular symmetry with (100) orientation on the glass at low temperature, or rods with a cubic symmetry at high temperature, as shown in Fig. 3(e) and (d), respectively. After high-temperature recrystallization, the PbSe particles with (100) orientation merges into big grains by OA growth mechanism, companying with significant increase of (100) intensity contributed from recrystallization and oxygen passivation. At the same time, other PbSe small particles with (220), (311) orientation slowly disappear for the interfacereaction-limited mass transfer, and merge into large PbSe grains with (100) orientation. Finally, there are only (200) and (400) facets with strong intensities observed in XRD patterns.

The influence of resistance on the detectivity was analysed, and was summarized in Table 1. Based on the p-n charge separation model for the enhanced photoconduction detection mechanism,  $^{33}$  the resistance of PbSe detector was regulated by the thickness of PbSeO<sub>3</sub>,  $^{16}$  PbSeI<sub>x</sub> (ref. 35) or PbI<sub>2</sub> (ref. 34-37)

Table 1 The performance characterizations of the PbSe detectors

Time, min	Resistance, M $\Omega$	Signal, V	Noise, μV	$D^*$ ( $\lambda_p$ , 750, 1), cm Hz <sup>1/2</sup> W <sup>-1</sup>
0	0.002			
5	0.002	534	0.6	$\frac{-}{1.7 \times 10^9}$
10	0.2	1830	0.2	$1.6 \times 10^{10}$
20	0.4	2490	1.9	$2.0 \times 10^{9}$
30	8.0	1083	0.5	$4.0 \times 10^9$
$20_{(CBD)}$	4.0	2643	0.2	$2.8 \times 10^{10}$

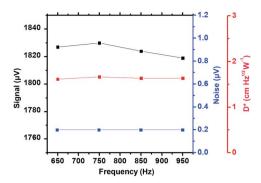


Fig. 8 The dependence of chopping frequency on the performance of VPD-PbSe detectors.

wrapping in PbSe microcrystals, which was dominated by the iodine-sensitization time. With increasing the iodinesensitization time to 30 min, the resistance of detector significantly increases by almost four orders of magnitude up to 8 M $\Omega$ . The best detectivity  $D^*$  was achieved at resistance of 0.2 M $\Omega$ with a 0.2 µV noise and a 9150 signal/noise (S/N). It is found that the signal and noise reach the maximum as the resistance kept on increasing to 0.4 M $\Omega$ . However, the increased multiple in noise (3) was more than the multiple in signal (1.4), resulting in an order of magnitude reduction in the detectivity  $D^*$  (2.0  $\times$ 10<sup>9</sup> cm Hz<sup>1/2</sup> W<sup>-1</sup>). Subsequently, the signal and noise drop synchronously with further increasing resistance to 8  $M\Omega$ . Based on the morphological evolution, there was a reasonable prospect that the size and number of voids mount with increase of the resistance (iodine-sensitization time), resulting in increasing of the noise. The maximum signal of 2490 µV at 0.4  $M\Omega$  for VPD-PbSe detector almost approached to the signal of best CBD-PbSe detector obtained at 4 M $\Omega$ , indicating that the future research of VPD-PbSe technology is focus on the noise reduction while maintaining the maximum signal.

The typical frequency response of the optimized VPD-PbSe detector was shown in Fig. 8. It's always been known that the lead-salt detector has a 1/f noise characteristic, so the lower frequencies have higher noise and consequently a lower  $D^*$  value. The detectivity  $D^*$  relatively constant after the chopping frequency was increased to 950 Hz. For PbSe detectors, 1 kHz is chosen as a standard chopping frequency because it is away from the 1/f noise but lower than the time constant response limit.

Base on the dependency of the detectivities on the microstructures of PbSe films obtained from various fabrication technologies, a qualitative explanation for the optimum microstructure is given. It is obvious that the performance of PbSe photoconductors is dominated by the crystal quality, orientation and size of PbSe particles, which depends on the fabrication technology and deposition temperature. The crystal quality determinates the life time of the photogenerated carriers. Higher crystal quality is, fewer defects inside crystal, lower number of recombination centres of photogenerated electrons and holes, longer the lifetime of photogenerated carriers, resulting in an enhanced detectivity. The ordered crystal orientation of PbSe particles contributes to the glide of the defects or dislocations inside crystals during the

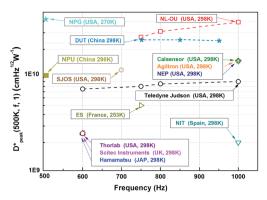


Fig. 9 Comparison of  $D^*$  value reported by various institutes.<sup>6,8,32,42–43</sup>

oxidization step, resulting in an improved carrier lifetime. Based on the p-n charge separation model, the particle size is critical to the formation of p-n heterojunction interface. Larger particle is, denser the structure, harder the iodine diffuses, fewer the p-n heterojunction interface, the more difficult for the photogenerated carriers, resulting in a lower infrared responsivity. Suffering from the process modifying, the microstructure of VPT PbSe film changes from typical microrods (Fig. 3(d)) into nanorods comprised of self-assembled nanoparticles (Fig. 3(f)), which helps to eliminate the defects and dislocation during the first-step sensitization, resulting in a longer carrier lifetime. At the same time, small size and loose structure make the iodine diffusion easier to form larger number of p-n heterojunction interface during the second-step sensitization, speeding the separation of photogenerated carriers.

#### IV Conclusion

In summary, we tried to replicate the microstructure of highperformance CBD-PbSe detectors by employing the modified VPD technology for breakthrough the VPD technical bottleneck of low detectivity. Microstructural characteristics of microcrystal comprising self-assembled nanoparticles and phase composition were exactly duplicated under 10<sup>-2</sup> Pa O<sub>2</sub> pressure and 25 °C growth temperature. Suffered from the optimized sensitization involving an oxidization at 420 °C for 1 h and an iodization at 380 °C for 10 min, a room-temperature peak detectivity  $D^*$  of 1.6  $\times$  10<sup>10</sup> cm Hz<sup>1/2</sup> W<sup>-1</sup> was achieved at blackbody 500 K under 750 Hz chopping frequency modulation, which approaches the best performance of CBD-PbSe detectors, as shown in Fig. 9. The microstructural evolution demonstrated that there is still plenty of room to promote the detectivity of VPD-PbSe detectors via eliminating the voids formed in the sensitization process to reduce the noise. This indicates that the modified VPD technology can provide a technical solution for the manufacturing of the megapixel uncooled lead salt FPA imaging system, which has wide application in military, commercialization and civilian, such as Imaging Infra-Red (IIR) seeker system, automatic and automatic an auxiliary driving of automobiles, industrial quality inspection, manufacturing process control etc.

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#### Conflicts of interest

There are no conflicts to declare.

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