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Theoretical insights into the electroreduction mechanism of N_2 to NH_3 from an improved Au(111)/H_2O interface model†

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An improved H coverage-dependent Au(111)/H_2O electrochemical interface model is proposed in this paper, which is firstly used to study electroreduction mechanisms of N_2 into NH_3 at the thermodynamical equilibrium potential in cooperation with electronic structure analysis. The results show that the associative mechanism is more favorable on Au(111) and therein alternating and distal pathways may be able to parallelly occur in gas phase and the present simulated electrochemical interface. The initial N_2 reduction into the N_2H intermediate is the rate determining step, which may be able to be regarded as the origin of the observed experimentally high overpotential during N_2 electroreduction. The presence of an electrochemical environment can significantly change the N_2 reduction pathway and decrease the barrier of the rate determining step, which can be ascribed to the significant electron accumulation and interaction between N_2 molecules and H_2O clusters. The theoretical results display excellent consistency with the available experimental data, confirming the rationality of the present proposed electrochemical model. The comparison of the barrier between the hydrogen evolution reaction and rate determining step well explains why the activity of Au electrodes is usually unsatisfactory. Accordingly, a single descriptor can be proposed, in which an ideal electrocatalyst should be able to reduce the barrier for initial N_2 electroreduction into N_2H. In this way, N_2 electroreduction pathways can be facilitated and the yield of NH_3 can be enhanced. We believe that the present study can represent progress to study N_2 electroreduction mechanisms from an improved electrochemical model.

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1. Introduction

NH_3 as a promising carbon-free alternative energy carrier can be employed in fertilizers, production of important chemicals, NH_3 fuel cells and indirectly hydrogen fuel cells.[1–3] At present, NH_3 is synthesized primarily by reaction of N_2 with H_2 on an Fe/Ru-based catalyst through the industrial Haber–Bosch (HB) process under harsh conditions such as high temperatures and pressures,[4,5] which is an energy intensive chemical process and consumes *ca.* 2% of annual global energy because of the stability and chemical inertness of N_2 molecule.[6–8] By contrast, the electrochemical reduction of N_2 into NH_3 with proton and electron transfer in an aqueous environment is more energy efficient and attracts extensive interest in recent years since it can be operated at ambient conditions and powered by renewable electricity.[9–14] Unfortunately, the required large overpotential and competing hydrogen evolution reaction lead to low faradaic efficiency and poor selectivity for N_2 electroreduction into NH_3 on most electrocatalysts, thus impeding its practical applications.[15–18] The poor activity of the cathode electrocatalyst may put a major limitation on production of NH_3 product in significant yields at ambient conditions. To achieve the rational design of more selective and active electrocatalysts, the system understanding on electroreduction mechanism of N_2 into NH_3 is extremely urgent and essential.

Although tremendous efforts in recent years, N_2 electroreduction mechanism remains elusive. The present most studies on N_2 electroreduction reaction primarily focus on the synthesis of electrocatalysts including metals such as Pt, Ru, Fe, Au, Pd, Rh, Fe, Ni, Mo and Bi,[19–30] alloys such as Pt–Ru,[31] metal nitrides and sulfides,[32,33] and carbon-based materials.[34–38] However, these reported electrocatalysts suffer from low NH_3 faradaic efficiency and N_2 electroreduction reaction is still plagued. Based on these previous studies, the development of more active and selective electrocatalysts is highly desired but remains challenging. Study of N_2 electroreduction reaction mechanism will help design electrocatalysts with high activity and selectivity. In the most recent reviews from Shao *et al.* and

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Zhang *et al.*,[39,40] N_2 electroreduction mechanisms and reaction intermediates that obtained in experiments by various spectroscopy techniques are summarized. Currently, it is generally accepted that there are two main reaction mechanisms for N_2 electroreduction into NH_3, namely, dissociative pathways and associative pathways. N≡N bond in N_2 molecule is broken to form N atoms on electrocatalyst surface before hydrogenation in dissociative mechanism, whereas the hydrogenation of N_2 molecule occurs before N≡N bond is broken in associative mechanism. It is currently believed that the dissociative mechanism is dominant in the HB process of N_2 reduction into NH_3.[15,41] An associative distal or alternating mechanism may be followed during N_2 electroreduction into NH_3 through experimental identification of some intermediates. For example, using surface-enhanced infrared absorption spectroscopy, Shao *et al.*, studied for the first time N_2 electrochemical reduction reaction mechanism on Au thin film, in which the adsorbed N_2H_y ($1 \leq y \leq 4$) species was detected at potentials below 0 V (*vs.* RHE),[42] thus indicating that N_2 electroreduction may follow the associative alternating and distal mechanisms on Au surface. Combing surface-enhanced infrared absorption spectroscopy with electrochemical measurements, the adsorbed N_2H_x ($0 \leq x \leq 2$) species was detected at potentials below 0.2 V (*vs.* RHE) on Ru thin film in the subsequent study from Shao *et al.*, and notably increased coverage of N_2H_x was observed as the potential decreasing from 0.2 to −0.4 V, thus the associative distal mechanism may be able be concluded.[20] By performing isotope-labelled experiments, Yin *et al.*, found only a trace amount of N_2H_4 intermediate during N_2 electroreduction on bismuth surface, suggesting that associative distal mechanism may be more favorable.[30] Despite of understanding of N_2 electroreduction reaction mechanism is of importance for rationally designing more efficient electrocatalysts, the experimental studies are very rare at present in this area since low selectivity makes experimental determination of mechanism rather difficult.

Because of the experimental limitations to identify intermediates and complexity of N_2 electroreduction process involving 6 elementary reaction steps, theoretical calculations have become a powerful tool for studying electrocatalytic reactions by employing density functional theory (DFT).[43,44] DFT calculations can give mechanistic information that is not accessible based on experiments alone and identify favored reaction intermediates. Theoretical work by Skúlason *et al.* and Montoya *et al.* thermodynamically indicated that the associative distal mechanism would be more favorable since more positive reaction free energies are expected for the associative alternating mechanism by combining DFT calculations with the computational hydrogen electrode model.[15,45] Their findings had shown that the potential limiting step is N_2 reductive protonation to the adsorbed N_2H species on the transition metal surface with relatively weak N adsorption such as Pt, Pd, Ag, Au, Ni, Co and Ru during N_2 electroreduction into NH_3, whereas the potential limiting step is determined by the protonation of the adsorbed NH into NH_2 species for more reactive transition metals, such as Mo. On the basis of DFT-based computational hydrogen electrode model, the electrocatalytic activity of various binary transition metals are systematically examined in recent theoretical work from Zhao *et al.*,[46] and the binary FeRh catalyst was thought to have the optimal catalytic performance due to its lowest limiting potential and best suppressing effect on hydrogen evolution reaction during N_2 electroreduction reaction. Furthermore, their study indicated that N_2 reduction reaction prefers to proceed through associative distal mechanisms than alternating pathways on the FeRh catalyst. These above theoretical studies assumed that activation barriers of reaction pathways is related with reaction free energies on different transition metal surfaces and only considered various elementary step thermodynamics, whereas the potential-dependent kinetic barriers that predicting catalytic activity were not further calculated. Most recently, Janik *et al.* calculated explicitly the potential-dependent activation barriers for elementary electroreduction reactions included in associative distal and alternating pathways during N_2 electroreduction using their previously developed method,[47–49] and concluded that the alternating mechanism by direct surface hydrogenation may be more favorable on late transition metals due to smaller barriers at 0 V (*vs.* RHE),[50,51] in which N_2 electroreduction into N_2H species is rate determining step of overall reaction. However, despite various theoretical efforts, N_2 electroreduction mechanisms are still not systematically understood and mechanistic inconsistencies remain exist. Furthermore, the key factor such as solvent effect was not included in previous theoretical studies on N_2 electroreduction mechanisms. Thus, the modeling of electrocatalytic reaction systems occurred at the complex electrode/aqueous interfaces remains a subject of ongoing discussion.

In the present paper, Au electrocatalyst is selected due to its excellent durability, relatively high faradaic efficiency and low hydrogen evolution reaction activity in N_2 electroreduction. Furthermore, electrocatalytic N_2 reduction reaction on the Au surface is indeed possible under ambient conditions.[25,52] Our previous validated explicit solvation model with two relaxed H_2O bilayer structure is employed to simulate solvent effect,[53–55] which allows us to better model the interactions among adsorbates, surface and solvents and determine the kinetic barriers for various elementary reaction steps. Thus, an improve H coverage-dependent Au(111)/H_2O electrochemical interface model is proposed, by which the electroreduction mechanisms of N_2 into NH_3 can be identified. Simultaneously, solvation effect on N_2 reduction mechanisms is also considered in this work. Our present used model is differentiated from previous theoretical work on N_2 electroreduction into NH_3. The available experimental results on Au electrodes will be used to examine whether the currently employed computational model is enough accurate by comparing with our present theoretical study.

2. H coverage-dependent Au(111)/H_2O interface model

2.1 Determination method of equilibrium potentials

Surface and solvation model, and computational parameters included in computational details have been represented in detail in ESI (see Fig. S1).† It is known that the hydrogen evolution reaction and proton-coupled electron transfer usually occur during N_2 electroreduction on the Au electrodes, thereby

the surface adsorbed H atoms as the intermediate may be involved.[24,25,53] Moreover, the difference of electrostatic potential in electric double layer can be controlled and adjusted by changing number of the surface adsorbed H atoms.[56–58] Thus, the H coverage-dependent equilibrium potentials can be determined and potential-dependent N_2 electroreduction mechanisms can be speculated. The surface adsorbed H atom can be formed during N_2 electroreduction by following eqn (1):

$$H^+ + e^- \rightarrow \tfrac{1}{2}H_2 \rightarrow H^* \quad (1)$$

At different H coverage conditions, the Gibbs free energy of eqn (1), $\Delta G(\theta)$ can be calculated by eqn (2) on the basis of the methodology proposed by Nørskov *et al.*, Chen *et al.* and Strasser *et al.* for oxygen reduction, hydrogen evolution and CO_2 electrochemical reduction reactions,[56,59–63] in which $\Delta E(\theta)$, $\Delta S(\theta)$, ΔZPE and $k_B T \ln(\theta/1-\theta)$ represent the differential adsorption energy of surface adsorbed H atoms, entropy change, zero-point energy change and the contributions of configuration entropy to $\Delta G(\theta)$, respectively. Herein, coverage $\theta = n/N$, in which n is the number of surface adsorbed H atoms and N is the total number of surface Au atoms. Thus, the H coverage-dependent equilibrium potential U (*vs.* RHE) can be determined at Au(111)/H_2O interface when the Gibbs free energy, $\Delta G(\theta)$ is equal with zero.

$$\Delta G(\theta) = \Delta E(\theta) + eU - T\Delta S(\theta) + \Delta\mathrm{ZPE} + k_B T \ln(\theta/1-\theta) \quad (2)$$

According to eqn (3), the differential adsorption energy of surface adsorbed H atoms, $\Delta E(\theta)$ can be calculated, where $E(\theta)_{M_{H_n}}$ is the total energy of the Au surface with different coverage of adsorbed H atoms.

$$\begin{aligned}\Delta E(\theta) &= \partial E(\theta)_{M_{H_n}} \Big/ \partial n - \frac{1}{2}E_{H_2} \\ &= \partial E(\theta)_{M_{H_n}} \Big/ N\partial\theta - \frac{1}{2}E_{H_2}\end{aligned} \quad (3)$$

The contributions from ZPE and entropy changes together to $\Delta G(\theta)$ are estimated to be *ca.* 0.24 eV at standard temperature (298 K) based on the available data from previous literature.[64] Therefore, combining eqn (2) with eqn (3), the eqn (4) can be obtained. The values of $E(\theta)_{M_{H_n}}$ and E_{H_2} is directly available *via* DFT calculations. A series of values of $E(\theta)_{M_{H_n}}$ can be calculated by changing the coverage of surface adsorbed H atoms on Au(111). At the different coverages, the values of $\Delta E(\theta)$ can be obtained by differentiating the plots of $\partial E(\theta)_{M_{H_n}}/N$ against θ on the basis of eqn (3).

$$\begin{aligned}\Delta G(\theta) = &\left[\partial E(\theta)_{M_{H_n}} \Big/ N\partial\theta - \left(\frac{1}{2}E_{H_2} - eU\right)\right] + 0.24 \\ &+ k_B T \ln(\theta/1-\theta)\end{aligned} \quad (4)$$

2.2 Relationship between H coverage and equilibrium potentials

In the present study, we consider various possible surface adsorption configurations of H atoms and coverage dependence. It is observed that H atoms prefer to adsorb at 3-fold face-centered cubic hollow (fcc) sites on Au(111) so that they can stay away from each other in order to minimize the repulsive reactions when H coverage (θ_H) is below and equal with 1 monolayer (ML) (see Fig. S2†). The coverages of H atoms above 1 ML are not further analyzed on Au(111) because of the observed spontaneous formations of hydrate proton by adsorbed H atoms with adjacent H_2O molecules caused by strong repulsive interactions among adsorbed H atoms. Fig. 1(a) exhibits a reasonable polynomial relationship between the differential adsorption energy of surface adsorbed H atoms, $\Delta E(\theta)$ and θ_H, indicating that the Langmuir adsorption isotherms may be followed on Au(111). Thereby, $\Delta E(\theta)$ can be calculated at any θ_H through polynomial fitting of $\Delta E(\theta) \sim \theta_H$ data. We can calculated the equilibrium potentials (U) based on the eqn (4) at any θ_H and then the polynomial relationships between θ_H and U can be obtained on Au(111). It is found that the more negative equilibrium potentials can be obtained with the increasing H coverage, as shown in Fig. 1(b). In fact, the previous theoretical study from Skúlason *et al.* also showed that most surfaces will be fully covered with the adsorbed H atoms at more negative

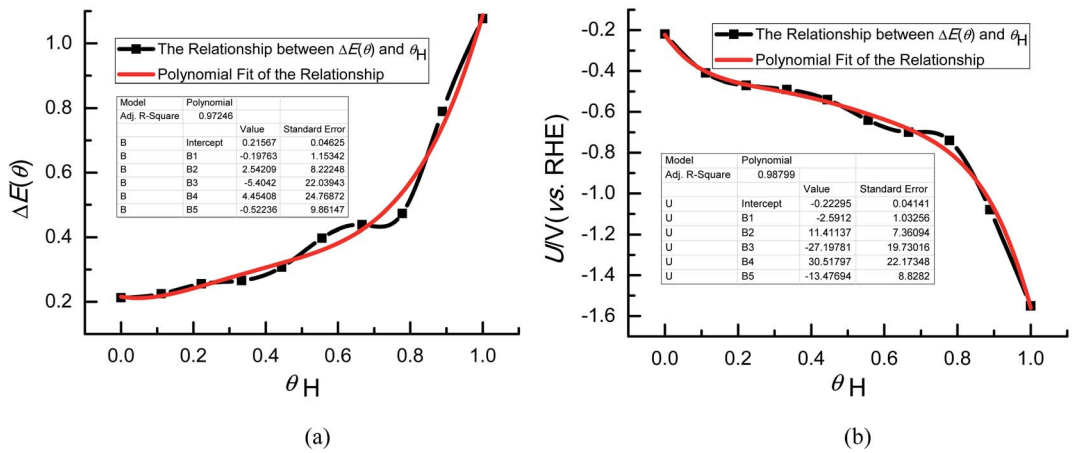

Fig. 1 (a) The relationship between the differential adsorption energy of H atoms and H coverage (θ_H), $\Delta E(\theta)$; (b) the relationship between the calculated equilibrium potentials (U) and H coverage (θ_H).

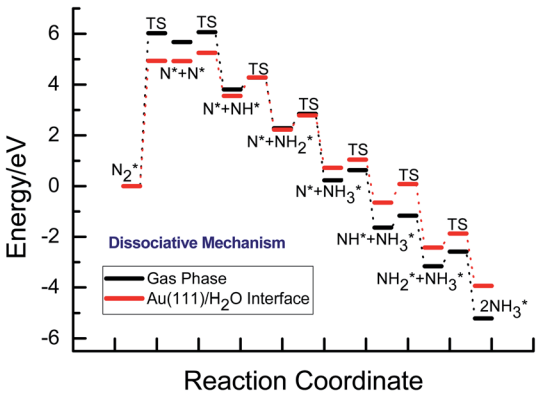

Fig. 2 Overall energy pathway diagram of N_2 reduction and electroreduction into NH_3 *via* dissociative mechanism on Au(111) in gas phase and the present simulated Au(111)/H_2O electrochemical interface.

electrode potentials,[56] confirming the reasonability of our present proposed H coverage-dependent Au(111)/H_2O electrochemical interface model to some degree. The equilibrium potential is calculated as *ca.* −0.22 V (*vs.* RHE) when θ_H is equal with zero based on the polynomial relationship between θ_H and U, which is the closest to the thermodynamical value of *ca.* −0.06 V (*vs.* RHE) for N_2 electroreduction under ambient conditions,[20] thus being regarded as the thermodynamical equilibrium potential. Our present study will focus on the electroreduction mechanisms of N_2 into NH_3 at the thermodynamical required equilibrium potential with the aim of applying the present proposed H coverage-dependent Au(111)/H_2O interface model. The mechanistic understanding on N_2 electroreduction can provide scientific guideline for rational design of efficient electrocatalysts. The potential-dependent N_2 electroreduction mechanisms will be further studied in our future work.

3. Results and discussion

3.1 N_2 reduction mechanism on Au(111) in gas phase

For comparison and consideration of solvation effect on N_2 reduction mechanisms, we firstly present calculated results of N_2 reduction to NH_3 *via* dissociative and associative mechanisms on Au(111) in gas phase. In dissociative mechanism, N_2 is initially dissociated to form the adsorbed N atoms on Au(111) with an extremely high activation barrier of *ca.* 6.02 eV, as can be seen in Fig. 2. Subsequently, the adsorbed N atoms are further reduced to form NH_3 molecules *via* serial surface hydrogenation steps with corresponding barriers of *ca.* 0.40, 0.47 and 0.57 eV, respectively. By comprehensively scrutinizing the overall energy pathway diagram of N_2 reduction into NH_3 in gas phase, N_2 dissociation pathway is rate determining step of overall reaction in dissociative mechanism on Au(111).

Fig. 3 shows the overall energy pathway diagram of N_2 reduction into NH_3 *via* associative alternating and distal mechanisms in gas phase. In these both mechanisms, the activation barrier is calculated as *ca.* 2.10 eV for the first hydrogenation step of N_2 molecule into the adsorbed N_2H species. Beginning with the further reduction of N_2H, there may be two possibilities to occur. One is N_2H hydrogenation to form the surface adsorbed NHNH species, which is defined alternating pathways; another is N_2H hydrogenation to form the surface adsorbed NNH_2 species, being defined as distal pathways. The required barrier for the formation of NHNH species is *ca.* 0.17 eV in alternating pathways. NHNH species can further be reduced to form the adsorbed $NHNH_2$ species with an activation barrier of *ca.* 0.26 eV. Two possibilities are considered for $NHNH_2$ subsequent further reduction, the adsorbed NH_2NH_2 and $NHNH_3$ species may be formed *via* surface hydrogenation. The corresponding barrier is 0.31 and 0.21 eV, respectively, which is extremely low and surmountable at room temperature, indicating that $NHNH_2$ further reduction into NH_2NH_2 and $NHNH_3$ species may be parallel pathways in alternating pathways. NH_2NH_2 further reduction to form the first NH_3 molecule may be able to be separated into two elementary reaction steps, namely, NH_2NH_2 species surface hydrogenation into the adsorbed NH_2NH_3 species, and subsequent formation of the adsorbed NH_2 species and NH_3 product *via* N–N bond cleavage. The calculated barrier is *ca.* 0.37 and 1.66 eV, respectively, as shown in Fig. 3(a). Surface hydrogenation of NH_2 intermediate can finally leads to production of the second NH_3 molecule.

In distal pathways, surface hydrogenation of NNH_2 intermediate is possible to form the adsorbed NNH_3 and $NHNH_2$ species. However, the significant higher barrier for formation of

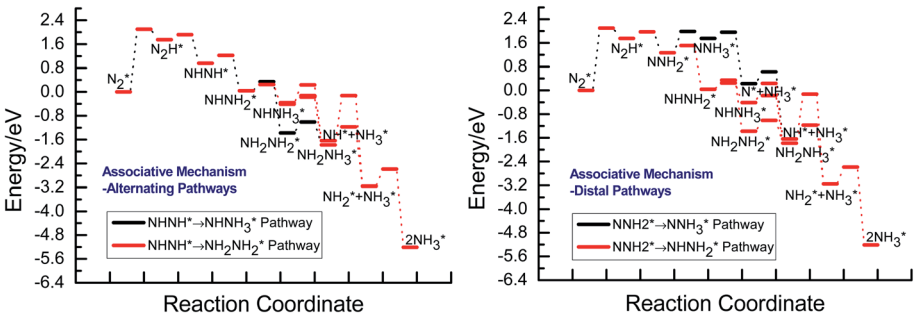

Fig. 3 Overall energy pathway diagram of N_2 reduction into NH_3 *via* associative mechanism on Au(111) in gas phase: (a) alternating pathways; (b) distal pathways.

Table 1 Activation barriers (ΔE_{act}, eV) and reaction energies (ΔE_{reac}, eV) for the possible elementary reaction steps involved in N_2 reduction pathways on Au(111) in gas phase

Elementary reaction steps[a]	ΔE_{act}, eV	ΔE_{reac}, eV
$N_2 + * \rightarrow N^* + N^*$	6.02	5.67
$N^* + H^* \rightarrow NH^*$	0.40	−1.87
$NH^* + H^* \rightarrow NH_2^*$	0.47	−1.52
$NH_2^* + H^* \rightarrow NH_3^*$	0.57	−2.05
$N_2 + H^* \rightarrow N_2H^*$	2.10	1.75
$N_2H^* + H^* \rightarrow NHNH^*$	0.17	−0.79
$N_2H^* + H^* \rightarrow NNH_2^*$	0.22	−0.48
$NHNH^* + H^* \rightarrow NHNH_2^*$	0.26	−0.92
$NNH_2^* + H^* \rightarrow NHNH_2^*$	0.24	−1.23
$NNH_2^* + H^* \rightarrow NNH_3^*$	0.72	0.48
$NHNH_2^* + H^* \rightarrow NH_2NH_2^*$	0.31	−1.42
$NHNH_2^* + H^* \rightarrow NHNH_3^*$	0.21	−0.45
$NNH_3^* \rightarrow N^* + NH_3^*$	0.21	−1.52
$NH_2NH_2^* + H^* \rightarrow NH_2NH_3^*$	0.37	−0.40
$NHNH_3^* + H^* \rightarrow NH_2NH_3^*$	0.24	−1.37
$NHNH_3^* \rightarrow NH^* + NH_3^*$	0.65	−1.23
$NH_2NH_3^* \rightarrow NH_2^* + NH_3^*$	1.66	−1.38

[a] The asterisk (*) indicates that the species is adsorbed on the Au(111) surface.

NNH_3 than $NHNH_2$ is observed (0.72 eV *vs.* 0.24 eV), indicating that the NNH_3 formation is kinetically inhibited, as shown in Fig. 3(b). Starting with $NHNH_2$ further reduction, the first NH_3 molecule may be produced *via* two elementary reaction steps involving hydrogenation into the adsorbed $NHNH_3$ species and its subsequent N–N bond scission with the formations of the adsorbed NH species and NH_3 product, and the corresponding barrier is calculated as *ca.* 0.21 and 0.65 eV. The adsorbed NH_2NH_2 intermediate is also possible to be formed through $NHNH_2$ surface hydrogenation with the surmountable barrier of *ca.* 0.31 eV at room temperature in distal pathways. As above elaborated, NH_2NH_2 species can further be reduced into the adsorbed NH_2 species and the first NH_3 molecule *via* surface hydrogenation and N–N bond scission. The adsorbed NH and NH_2 intermediates can finally lead to the second NH_3 molecule *via* surface hydrogenation in distal pathways. However, we note that the extremely high barrier is required for N–N bond cleavage in NH_2NH_3 species to form NH_3 product (*ca.* 1.66 eV) in associative alternating and distal mechanisms, thereby it can be concluded that NH_2NH_3 species is only a spectator during N_2 reduction in gas phase due to its easy formation *via* $NHNH_3$ and NH_2NH_2 hydrogenation. The corresponding energetics of for the possible elementary reaction steps involved in N_2 reduction pathways on Au(111) in gas phase are summarized in Table 1.

By scrutinizing the overall energy pathway diagram of associative alternating and distal mechanisms (see Fig. 3), N_2 hydrogenation into N_2H species is rate determining step with the barrier of *ca.* 2.10 eV, suggesting that both alternating and distal pathways may be parallel and operable on Au(111) in gas phase. It is found that the barrier of rate determining step for the associative mechanism is notably lower than that of dissociative mechanism by comparing the barriers between these both mechanisms (*ca.* 2.10 eV *vs.* 6.02 eV), suggesting that the associative mechanism including the adsorbed NHNH, NNH_2, NH_2NH_2, $NHNH_3$ and NH_2NH_3 intermediates is more favorable.

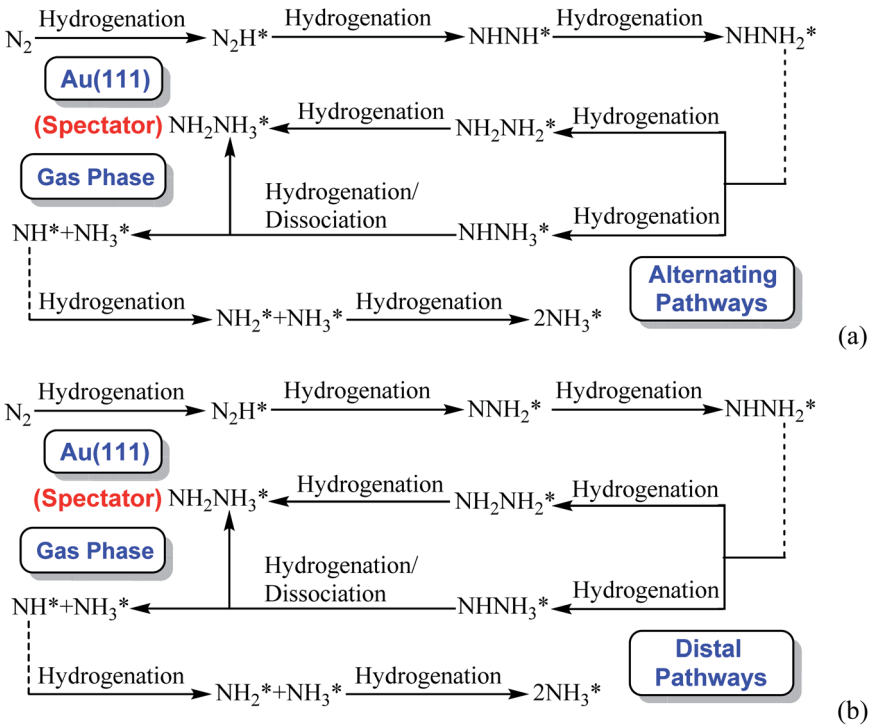

Fig. 4 The optimal associative mechanisms on Au(111) in gas phase: (a) alternating pathways *via* NHNH species; (b) distal pathways *via* NNH_2 species (* represents surface adsorption).

The optimal associative mechanisms including alternating and distal pathways on Au(111) in gas phase are summarized in Fig. 4. Images of reactants, products and transition states for N_2 reduction into NH_3 *via* the optimal associative mechanisms are included in ESI, as shown in Fig. S3–S13.† However, we also note that the required barrier for initial N_2 hydrogenation is extremely high, which may be able to ascribed to weakly bonded N_2 molecule on Au(111) with the adsorption energy of *ca.* −0.03 eV. Furthermore, N≡N bond length of N_2 on Au(111) is almost identical with that of isolated N_2 molecule, *ca.* 1.11 Å.

3.2 N_2 electroreduction mechanism at Au(111)/H_2O interface

Our present proposed H coverage-dependent Au(111)/H_2O electrochemical interface model is utilized to simulate N_2 electroreduction pathways, including dissociative and associative mechanisms. The present calculated thermodynamically required equilibrium potential of *ca.* −0.22 V (*vs.* RHE) when θ_H is equal with zero is focused. Fig. 1 shows the overall energy pathway diagram of N_2 electroreduction into NH_3 through dissociative mechanism. The largest barrier for this mechanism is initial N≡N bond scission to form the adsorbed N atoms with an activation barrier of *ca.* 4.90 eV at −0.22 V (*vs.* RHE), thus being rate determining step of overall reaction. Although the barrier for N_2 dissociation is significantly decreased at Au(111)/H_2O electrochemical interface by comparing with that in gas phase (4.90 eV *vs.* 6.02 eV), it is still extremely high and difficult to be overcome. An activation barrier of *ca.* 1.75 eV is required for initial N_2 electroreduction into N_2H species at the present simulated Au(111)/H_2O interface in associative mechanisms, as can be seen in Fig. 5. The barrier for N_2H further electroreduction into the surface adsorbed NHNH and NNH_2 species in alternating and distal pathways is calculated as *ca.* 0.22 and 0.01 eV, respectively, suggesting that both NHNH and NNH_2 species are possible key intermediates during N_2 electroreduction on Au(111) because of extremely low formation barriers.

Beginning with NHNH further electroreduction in alternating pathways, we find that the adsorbed $NHNH_2$ species is unstable at Au(111)/H_2O interface, which can be spontaneously electrochemically reduced to form the surface adsorbed NH_2NH_2 species by proton-coupled electron transfer with the surmountable barrier of *ca.* 0.24 eV at room temperature, as shown in Fig. 5(a). The first NH_3 molecule can be produced by further electroreduction of NH_2NH_2 species through two elementary reaction steps including NH_2NH_3 formation and its subsequent N–N bond scission. The corresponding barrier is calculated as *ca.* 0.48 and 0.69 eV, respectively. It is noted that the barrier for NH_2NH_3 further electroduction into the adsorbed NH_2 species and NH_3 product is significantly decreased at Au(111)/H_2O interface compared with that in gas phase (0.69 eV *vs.* 1.66 eV), suggesting that the presence of electrochemical interface may be able to alter N_2 reduction mechanisms. The formed NH_2 species can finally lead to production of the second NH_3 molecule with an activation barrier of *ca.* 0.56 eV. Starting with NNH_2 intermediate formed in distal pathways, it is found that the adsorbed $NHNH_2$ and NNH_3 species observed in gas phase are unstable at Au(111)/H_2O interface, which can be also spontaneously electrochemically reduced to form the surface adsorbed NH_2NH_2 and $NHNH_3$ intermediates by proton-coupled electron transfer process. The required barrier for NNH_2 electroreduction into NH_2NH_2 and $NHNH_3$ is calculated as only *ca.* 0.11 and 0.05 eV, respectively, as shown in Fig. 5(b), suggesting that NH_2NH_2 and $NHNH_3$ species is possible intermediate in distal pathways. Similarly, NH_2NH_2 intermediate can be further electrochemically reduced to form the adsorbed NH_2 species and the first NH_3 molecule *via* abovementioned two elementary reaction steps. Two possibilities are also considered for further electroreduction of $NHNH_3$ intermediate at Au(111)/H_2O interface, one is NH_2NH_3 formation, and another is formation of the adsorbed NH species and production of the first NH_3 molecule *via* N–N bond scission. It is found that the required barrier for the former is notably lower than that of the latter (0.24 eV *vs.* 0.94 eV), indicating that NH_2NH_3 formation is more favorable. Thus, we can concluded that the first NH_3 molecule is possible to be produced by N–N bond scission of NH_2NH_3 species at Au(111)/H_2O interface, rather than $NHNH_3$ species as observed in gas phase, again suggesting that the influence of electrochemical interface containing solvation effect on N_2 reduction pathways. The corresponding energetics of for various possible reaction steps involved during

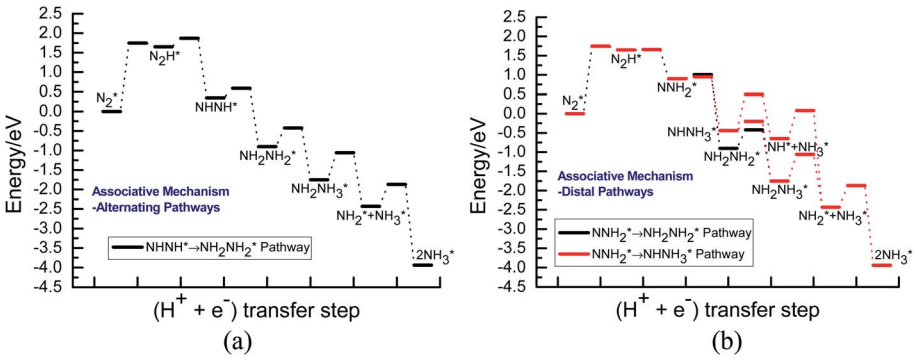

Fig. 5 Overall energy pathway diagram of N_2 electroreduction into NH_3 *via* associative mechanism at the present proposed Au(111)/H_2O interface: (a) alternating pathways; (b) distal pathways.

Table 2 Activation barriers (ΔE_{act}, eV) and reaction energies (ΔE_{reac}, eV) for various possible reaction steps involved in N_2 electroreduction pathways at Au(111)/H_2O interface

Reaction steps[a]	ΔE_{act}, eV	ΔE_{reac}, eV
$N_2 + * \rightarrow N^* + N^*$	4.93	4.92
$N^* + (H + e^-) \rightarrow NH^*$	0.33	−1.37
$NH^* + (H + e^-) \rightarrow NH_2^*$	0.73	−1.78
$NH_2^* + (H + e^-) \rightarrow NH_3^*$	0.56	−1.51
$N_2 + * + (H + e^-) \rightarrow N_2H^*$	1.75	1.65
$N_2H^* + (H + e^-) \rightarrow NHNH^*$	0.22	−1.30
$N_2H^* + (H + e^-) \rightarrow NNH_2^*$	0.01	−0.75
$NHNH^* + 2(H + e^-) \rightarrow NH_2NH_2^*$	0.24	−1.25
$NNH_2^* + 2(H + e^-) \rightarrow NH_2NH_2^*$	0.11	−1.80
$NNH_2^* + 2(H + e^-) \rightarrow NHNH_3^*$	0.05	−1.34
$NH_2NH_2^* + (H + e^-) \rightarrow NH_2NH_3^*$	0.48	−0.85
$NHNH_3^* + (H + e^-) \rightarrow NH_2NH_3^*$	0.24	−1.31
$NHNH_3^* \rightarrow NH^* + NH_3^*$	0.94	−0.21
$NH_2NH_3^* \rightarrow NH_2^* + NH_3^*$	0.69	−0.68

[a] The asterisk (*) indicates that the species is adsorbed on the Au(111) surface.

N_2 electroreduction at Au(111)/H_2O interface are summarized in Table 2.

By scrutinizing the overall energy pathway diagram, our present simulation results reveal that the rate determining step for N_2 electroreduction into NH_3 *via* associative alternating and distal mechanisms at Au(111)/H_2O interface is N_2 electroreduction into form the adsorbed N_2H species, suggesting that these both mechanisms may be able to parallelly occur. The corresponding barrier is significantly lower than that of rate determining step in the dissociative mechanism (1.75 eV *vs.* 4.90 eV). Thus, it can be concluded that the associative mechanisms are more facile to occur at the present simulated Au(111)/H_2O interface. The optimal associative alternating and distal mechanisms are summarized in Fig. 6. Images of reactants, products and transition states for N_2 electroreduction into NH_3 *via* the optimal associative mechanisms at the present simulated Au(111)/H_2O interface are included in ESI, as shown in Fig. S14–S23.†

Our present calculated N_2 electroreduction mechanisms at Au(111)/H_2O electrochemical interface are partially inconsistent with the previous theoretical study from Janik *et al.*, in which only alternating pathway *via* NHNH species is favorable on late transition metals at 0 V (*vs.* RHE) with rate determining step of N_2 electroreduction into N_2H species by calculating explicitly the potential-dependent barriers for elementary electroreduction reactions (see Fig. 7).[51] Furthermore, the predicted $NHNH_2$ species on late transition metals at 0 V (*vs.* RHE) is found to may be unstable at Au(111)/H_2O interface, which can be spontaneously reduced to form adsorbed NH_2NH_2 species by proton-coupled electron transfer with the surmountable barrier at room temperature. The difference of interface model may lead to partially inconsistent N_2 electroreduction mechanisms, in which only a H_2O molecule is employed to simulate solvent effect in previous theoretical work from Janik *et al.*, being insufficient to model interactions among solvent, adsorbates and surface. However, our present theoretical results can be confirmed by the most recent experimental study from Shao *et al.*, in which the adsorbed intermediates such as N_2H, NHNH, NNH_2, NH_2NH_2, NH_2NH_3 and NH_2 may be able to be formed during N_2 electroreduction on the Au electrodes at potentials of *ca.* −0.10 V (*vs.* RHE) or lower due to detected N_2H_y ($1 \leq y \leq 4$) reaction species using the surface-enhanced infrared absorption spectroscopy technique,[42] further validating the rationality of our present employed Au(111)/H_2O interface model. Simultaneously, we also note that the presence of electrochemical interface makes the barrier of rate determining step in the associative mechanisms decrease compared with that in gas phase (1.75 eV *vs.* 2.10 eV), indicating that the presence of solvent effect could help stabilize the adsorbed N_2H species and lower the corresponding barrier value to *ca.* 1.75 eV. Even so, barrier of 1.75 eV is still high for N_2 electroreduction, making

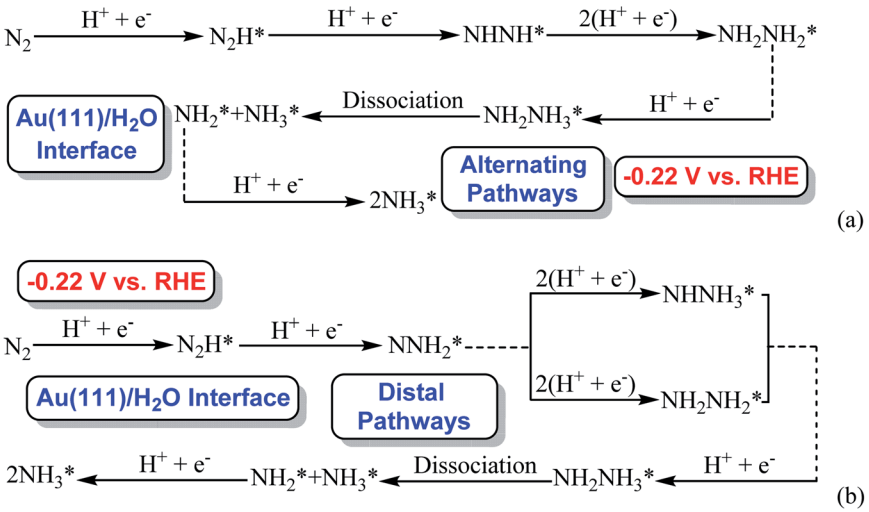

Fig. 6 The optimal associative mechanisms at the present simulated Au(111)/H_2O interface: (a) alternating pathways *via* NHNH species; (b) distal pathways *via* NNH_2 species (* represents surface adsorption).

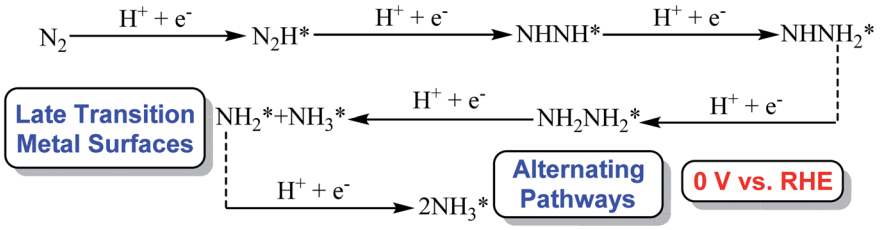

Fig. 7 The optimal associative alternating pathways *via* NHNH species on late transition metal surfaces at 0 V (*vs.* RHE) from previous work conducted by Janik *et al.* (* represents surface adsorption).[51]

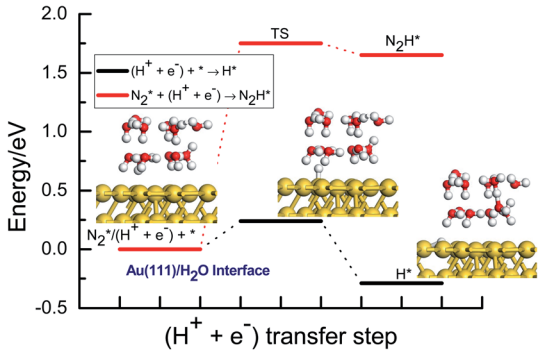

Fig. 8 Energy pathway diagram of competitive hydrogen evolution reaction and initial N_2 electroreduction into N_2H species at the present proposed Au(111)/H_2O electrochemical interface.

this process be still challenging, which may be able to be regarded as origin of experimentally observed high overpotential. The almost not changed N≡N bond length of *ca.* 1.11 Å at Au(111)/H_2O interface again shows weakly adsorbed N_2 molecule compared with that of isolated N_2 molecule.

The potential of the hydrogen evolution reaction is very approximate to the thermodynamically required potential for N_2 electroreduction under ambient conditions. Therefore, overcoming the undesirable hydrogen evolution reaction competition may become the critical challenge during N_2 electroreduction at present, which can greatly reduce the faradaic efficiency of reaction. Herein, the barrier of hydrogen evolution reaction is evaluated by using our present proposed H coverage-dependent Au(111)/H_2O interface model at −0.22 V (*vs.* RHE) and compared with that of rate determining step for the optimal associative mechanisms during N_2 electroreduction into NH_3 to quantify the challenge in designing the high efficient electrocatalysts. As can be seen in Fig. 8, the calculated activation barrier of *ca.* 0.24 eV is remarkably lower than that of initial N_2 electroreduction into N_2H intermediate (*ca.* 1.75 eV). Furthermore, we also observe that the formed N_2H intermediate may be unstable, which can be facile to back to N_2 molecule with extremely low barrier of *ca.* 0.10 eV. The present comparison and analysis can well explain why the catalytic activity of Au electrodes is usually unsatisfactory although the relatively high faradaic efficiency can be achieved experimentally during N_2 electroreduction.[24,25,42] Accordingly, the single descriptor may be able to be proposed to scale catalytic activity of electrocatalysts for N_2 electroreduction, in which an ideal electrocatalyst should be able to reduce barrier for initial N_2 electroreduction into N_2H intermediate. In this way, N_2 electroreduction pathways can be facilitated and the yield of NH_3 can be enhanced.

3.3 Origin of solvation effect on N_2 reduction mechanisms

As above discussed, the presence of electrochemical interface including solvation effect could change N_2 reduction mechanism, especially it can reduce the barrier of rate determining step. To ascertain the origin of difference of N_2 reduction mechanisms at gas phase and the present simulated electrochemical interface on Au(111), the charge density difference analyses are carried out in our present work taking example for N_2 adsorption on Au(111). It is observed that there is almost not significant electron accumulation and interaction between N_2 molecule and surface Au atoms under gas- and aqueous-phase environment, as shown in Fig. 9, confirming the above-mentioned weakly binding N_2 molecule on Au(111) and almost identical N≡N bond length compared with isolated N_2 molecule, thus explaining why high barrier is required for initial N_2 reduction. However, the significant electron interactions are found between N_2 and H_2O cluster at the present simulated electrochemical interface. The existence of H bonds and interactions of N_2 with H_2O molecules at the Au(111)/H_2O interface may lead to easier initial N_2 electroreduction, as observed decreased barrier value.

Based on the above conclusions, we can conclude that the decreased barrier for rate determining step may be able to be ascribed to electronic interactions between N_2 and H_2O cluster. Therefore, the quantitative analysis of electronic structures will facilitate well understanding the origin of solvation effect on N_2 electroreduction mechanisms. According to projected electron densities of states, the Löwdin charge (the number of valence electron) of N_2 can be obtained from Löwdin population analyses on Au(111) in gas phase and at the present simulated electrochemical interface. Table 3 gives the electron gains (Δq) for N_2 molecule, respectively, which could be obtained by subtracting the Löwdin charge of isolated N_2 molecule from that in the optimized structure. Simultaneously, Δq of H_2O cluster at the present simulated electrochemical interfaces are also given in Table 3 compared with that of free N_2 adsorbed Au(111)/H_2O interface. A positive value of Δq will imply a gain of electron by the component. It can be found that only slight electron transfer occurs between N_2 molecule and Au(111) surface in gas phase due to little electron gains of total, s and p orbitals of N_2, as

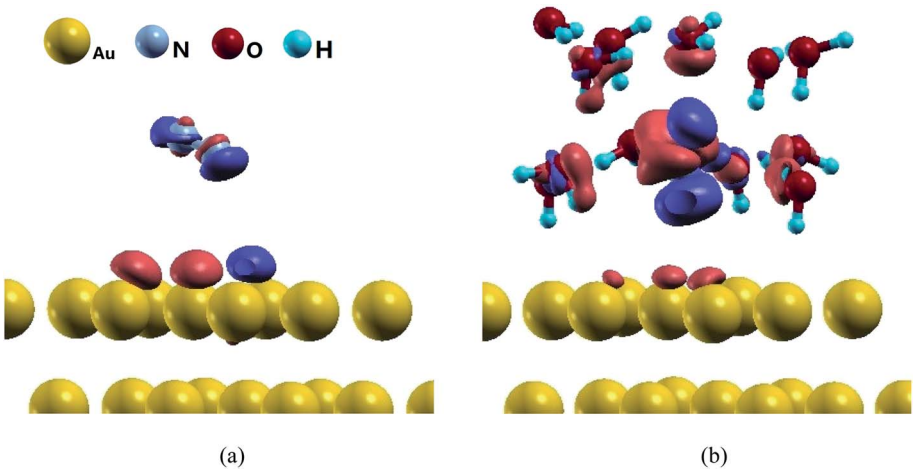

Fig. 9 The charge density difference maps for N_2 (a) in gas-phase and (b) at the Au(111)/H_2O interface.

Table 3 The electron gains (Δq) of total, s and p orbitals of N_2 molecule on Au(111) and at the present simulated Au(111)/H_2O interface; Δq of total, s and p orbitals of H_2O cluster in N_2 adsorbed Au(111)/H_2O interface

		Difference of electron (Δq)		
		Total	s	p
Au(111)	N_2	−0.0284	−0.0271	−0.0013
Au(111)/H_2O interface	N_2	−0.0147	−0.0385	+0.0238
	H_2O cluster	+0.0188	+0.0384	−0.0195

found weakly bonded N_2 molecule on Au(111) with the adsorption energy of only *ca.* −0.03 eV, confirming the above observed no significant electron accumulation and interaction based on the charge density difference analyses. However, we notice that the nature of electronic interactions at the Au(111)/H_2O interface is practically not the same as that observed in gas phase. The significant electron transfer occurs between N_2 molecule and H_2O cluster, namely, the total net electrons of H_2O cluster are positive because s orbital can gain more electrons although p orbital loses electrons, whereas the total net electrons of N_2 is negative, in which s orbital loses electrons and p orbital gains electrons. Thus, it can be concluded that the notable different electron interactions on Au(111) in gas phase and at the electrochemical interface may result in the difference of N_2 reduction mechanisms.

4. Conclusions

In the present paper, an improved H coverage-dependent Au(111)/H_2O electrochemical interface model is proposed, which is firstly used to study electroreduction mechanisms of N_2 to NH_3 at the thermodynamical equilibrium potential cooperated with electronic structure analysis. The calculated results show that the associative mechanism is more favorable on Au(111) and therein alternating and distal pathways may be able to parallelly occur in gas phase and the present simulated electrochemical interface. The initial N_2 reduction into N_2H intermediate is rate determining step, which may be able to be regarded as the origin of observed experimentally high overpotential during N_2 electroreduction. However, the presence of electrochemical environment can significantly change N_2 reduction pathway and decrease the barrier of rate determining step, in which $NHNH_2$ and NNH_3 species observed in gas phase are unstable at Au(111)/H_2O interface, which can be spontaneously electrochemically reduced to form adsorbed NH_2NH_2 and $NHNH_3$ intermediates by proton-coupled electron transfer process. The significant electron accumulation and interaction between N_2 molecule and H_2O cluster may result in different N_2 electroreduction pathways and the decreased barrier of rate determining step. The theoretical results display excellent consistency with the available experimental data, confirming the rationality of the present used Au(111)/H_2O interface model. The comparison of barrier between hydrogen evolution reaction and rate determining step well explains why the catalytic activity of Au electrodes is usually unsatisfactory although the relatively high faradaic efficiency can be experimentally achieved. Accordingly, the single descriptor may be able to be proposed, in which an ideal electrocatalyst should be able to reduce barrier for initial N_2 electroreduction into N_2H intermediate. In this way, N_2 electroreduction pathways can be facilitated and the yield of NH_3 can be enhanced. We believe that the present study represents a progress to systematically study N_2 electroreduction mechanisms based on an improved electrochemical interface model.

Conflicts of interest

There are no conflicts to declare.

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