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# Design of a novel poly(aryl ether nitrile)-based composite ultrafiltration membrane with improved permeability and antifouling performance using zwitterionic modified nano-silica

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Zwitterionic nano-silica (SiO<sub>2</sub> NPs) obtained by lysine surface modification was used as a hydrophilic inorganic filler for preparing a poly(aryl ether nitrile) (PEN) nanocomposite membrane *via* an immersion precipitation phase inversion method. The effects of zwitterionic SiO<sub>2</sub> NPs addition on the morphology, separation and antifouling performance of the synthesized membranes were investigated. Zwitterionic surface modification effectively avoided the agglomeration of SiO<sub>2</sub> NPs. The PEN/zwitterionic SiO<sub>2</sub> NPs composite membranes exhibited improved porosity, equilibrium water content, hydrophilicity and permeability due to the introduction of hydrophilic SiO<sub>2</sub> NPs in the casting solution, and the optimal pure water flux was up to 507.2 L m<sup>-2</sup> h<sup>-1</sup>, while the BSA rejection ratio was maintained at 97.4%. A static adsorption capacity of 72.9 μg cm<sup>-2</sup> and the FRR up to 85.3% in the dynamic antifouling experiment proved that the introduction of zwitterionic SiO<sub>2</sub> NPs inhibited irreversible fouling and enhanced the antifouling ability of the PEN membrane.

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## 1. Introduction

Membrane separation technology has extensive applications in various fields such as pharmaceuticals,<sup>1</sup> biotechnology,<sup>2</sup> food,<sup>3</sup> fuel cells,<sup>4</sup> and especially water treatment,<sup>5,6</sup> owing to its advantages of being effective, low energy consumption, easy operation, no pollution, *etc.*<sup>7</sup> The filtration accuracy of ultrafiltration is between microfiltration and nanofiltration. It is usually defined as a membrane with an average pore diameter in the range from 10 Å to 1000 Å for effective filtration of organic substances, such as proteins, viruses, *etc.*<sup>8-10</sup> Generally, according to the composition of the membrane material, UF membranes can be divided into ceramic membranes and polymeric membranes. Polymeric membrane has become preferred choice for preparing applied UF membrane due to its controllable structure and simple preparation process. Typical polymeric membrane materials include polysulfone (PSf),<sup>11,12</sup> poly(ether sulfone) (PES),<sup>13</sup> poly(vinylidene fluoride) (PVDF),<sup>14-16</sup> polyimides (PI),<sup>17</sup> cellulose acetate (CA)<sup>18</sup> and polyacrylonitrile (PAN),<sup>19</sup> all of which have good mechanical properties, physico-chemical resistance and thermal stability. As a semi-crystalline special engineering plastic, PEN is widely used in automotive,

electronics, military, and aerospace fields due to its excellent mechanical properties, good thermal stability, excellent radiation resistance, physical and chemical resistance.<sup>20-22</sup> In recent years, it has also been used to in water treatment.<sup>23,24</sup> However, due to their inherent hydrophobicity, both PEN and traditional polymeric membrane materials suffer from the problem of membrane fouling.<sup>25</sup> Thus, the preparation of polymeric membrane with excellent antifouling properties has been a hot topic.

Research shows that during the filtration process, the hydrophilic polymeric membrane is more likely to form hydration layer on the surface, which effectively weakens the interaction between hydrophobic contaminants and membrane surface. Simultaneously, due to the barrier of hydrated layer, the pollutants deposited on the membrane surface is easier to wash off, thereby greatly improving the antifouling performance of the membranes.<sup>26-28</sup> Several modification methods include blending,<sup>29-31</sup> coating,<sup>32</sup> surface grafting<sup>33,34</sup> and bulk modification,<sup>35</sup> have been developed to improve the hydrophilicity of the polymeric membranes. Among them, blending, especially the physical blending with hydrophilic nanoparticle materials to prepare mixed matrix membranes (MMMs) with improved hydrophilicity has been widely adopted due to the advantage of easy operation.

SiO<sub>2</sub> NPs, a common inorganic filler with the benefits of non-toxic, long-term stability, high specific surface area and hydrophilicity, has been widely used to adjust the permeability and

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antifouling properties of polymeric membranes.<sup>36–38</sup> SiO<sub>2</sub> NPs with high specific surface area usually causes particle agglomeration due to their high surface energy, and thus exhibit a poor dispersion stability, which greatly hinders the appearance of their nano-scale material characteristics.<sup>39</sup> Zwitterionic material refers to an amphoteric polymer with positive and negative charge groups on the same monomer.<sup>40</sup> Lysine is a cheap, non-toxic, and environmentally friendly zwitterionic amino acid which has excellent hydrophilicity.<sup>41</sup> For lysine in the zwitterionic state, H protons in the –COOH in the non-ionized state are transferred to the –NH<sub>2</sub> terminal to form amphoteric ions with both –NH<sup>3+</sup> and –COO<sup>–</sup>. The silane coupling agent KH560, containing oleophilic epoxy terminal and inorganic silane terminal, is commonly used for SiO<sub>2</sub> surface modification.<sup>42,43</sup> The inorganic silane terminal can tightly link with SiO<sub>2</sub> through dehydration and condensation, while the oleophilic epoxy terminal can bound with specific organics through the ring-opening reaction.<sup>44,45</sup> Using KH560 as a connecting medium, lysine can be grafted onto the SiO<sub>2</sub> NPs surface to realize the zwitterionization of nanoparticles. On one hand, since SiO<sub>2</sub> surface was coated with zwitterionic long chain, it effectively weakened the hydrogen bonding between the adjacent –OH on the nanoparticles surface, and also generated steric hindrance, which ultimately reduced the agglomeration between nanoparticles.<sup>46</sup> On the other hand, due to the strong solvation and hydrogen bonding characteristics of the zwitterionic chain end groups, it is more conducive to the formation of a dense hydration layer on the surface, thus reducing the non-specific adsorption with proteins.<sup>47,48</sup>

In this work, lysine was used as a surface modifier for the preparation of zwitterionic SiO<sub>2</sub> NPs to promote the dispersion stability and hydrophilicity of nanoparticles in the casting solution system.<sup>49</sup> PEN flat sheet composite membranes with different concentration of zwitterionic SiO<sub>2</sub> NPs were prepared by immersion precipitation phase inversion method with PVP-k30 as pore-forming agent and a mixture of DMAc and NMP as solvent. The effects of zwitterionic SiO<sub>2</sub> NPs addition on the morphology, separation and antifouling performance of the synthesized membranes were investigated in detail.

## 2. Experimental

### 2.1. Materials

PEN ( $[\eta] = 1.15 \text{ dL g}^{-1}$ ) synthesized in the laboratory as described in previous literature<sup>50</sup> was used as the base polymer in the casting solution. Reagent grade PVP-k30 supplied by Macklin Biochemical Technology Co. Ltd. was used as pore-forming agent. The chemical structure of PEN and PVP were shown in Fig. 1. Reagent grade DMAc ( $\geq 99.8\%$  purity) and NMP ( $\geq 99.5\%$  purity) supplied by Macklin, were used as mixed solvent without further purification. SiO<sub>2</sub> NPs (7–40 nm, hydrophilic-380 type) and 3-glycidyloxypropyl (dimethoxy) methylsilane (GPMS, KH560) were obtained from Aladdin Biochemical Technology Co. Ltd. Lysine, Hydrochloric acid, Ethanol were purchased from Sinopharm Group Chemical Reagent Co. Ltd. Bovine serum albumin (BSA) with molecular weight of 68 000 Da was obtained from Energy Chemical Co.

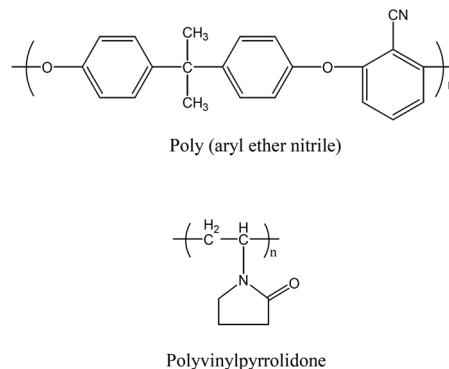


Fig. 1 Molecular structure of poly(aryl ether nitrile) and polyvinylpyrrolidone.

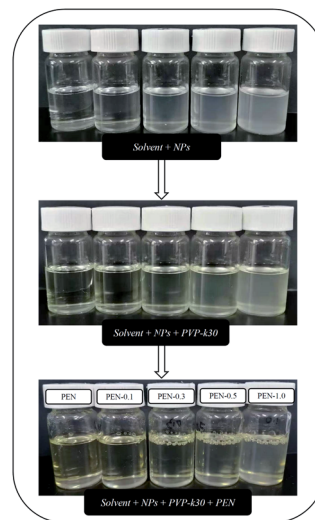


Fig. 2 The real situation of the casting solution in different states.

Ltd. Deionized water purified with Millipore system (Millipore, France) was used as the coagulation bath.

### 2.2. Surface modification of nano-silica

Surface modification of SiO<sub>2</sub> NPs was performed to overcome agglomeration and improved its dispersion. Firstly, 1.0 g SiO<sub>2</sub> NPs were dispersed into a 50 mL (20%, v/v) ethanol aqueous solution by ultrasound for 0.5 h. Then, 0.3 g GPMS and several drops of hydrochloric acid were added into the solution to react with a magnetic stirring at 60 °C for 6 h. Subsequently, 5 mL (10%, g g<sup>–1</sup>) lysine aqueous solution was added into the above solution to keep reaction for another 3 h. Finally, the modified nano-SiO<sub>2</sub> was washed several times to neutrality by centrifugation with ethanol, and then thoroughly dried in a vacuum oven at 80 °C for use.

### 2.3. Preparation of PEN nanocomposite membranes

PEN nanocomposite membranes were prepared by immersion precipitation phase inversion method. Firstly, a certain amount of zwitterionic SiO<sub>2</sub> NPs was dispersed in a mixed solvent of



Table 1 Composition of the casting solution and their viscosities

Membranes	Polymer (wt%) PEN	Pore-forming agent (wt%) PVP-k30	Nanoparticles (wt%) zwitterionic SiO <sub>2</sub>	Solvent (wt%) DMAc : NMP = 4 : 1	Suspension viscosity, (cP)
Pristine PEN	16	8	0.0	76.0	18 479
PEN-0.1	16	8	0.1	75.9	18 823
PEN-0.3	16	8	0.3	75.7	19 576
PEN-0.5	16	8	0.5	75.5	20 382
PEN-1.0	16	8	1.0	75.0	21 268

DMAc and NMP through ultrasonication for 3 h to obtain a stable dispersion while more than 1 wt% of SiO<sub>2</sub> NPs would lead to a poor dispersion stability in the solvent. Then, 8 wt% of PVP-k30 was dissolved by stirring. Subsequently, 16 wt% of PEN was added and completely dissolved to form a uniform solution by continuous stirring at 60 °C. The real situation of each casting solution in different states were shown in Fig. 2. Furthermore, defoaming was performed for 0.5 h to remove air bubbles. Finally, the casting solution was spread uniformly on a clean glass plate with the help of a casting knife maintaining a clearance of approximately 100 μm between the knife and glass plate at room temperature and relative humidity ≤50%. The prepared membrane was then exposed for about 25 s in ambient before immersion into the coagulation bath of deionized water (DI water). The casted films changed color from transparent to white immediately and separated out of the glass after a while. Then, the prepared membrane was kept and saved in DI water for a complete liquid–liquid separation. Finally, the flat sheet membranes were cut into a rectangle (8.50 cm × 3.50 cm) to place inside the membrane cell. All samples were visually inspected for defects and used for membrane evaluation.

Membranes with different concentration of zwitterionic nano-SiO<sub>2</sub> were designated as Pristine PEN, PEN-0.1, PEN-0.3, PEN-0.5 and PEN-1.0. Table 1 represented the composition and viscosities of each casting solution. The concentration of PEN kept constant at 16 wt%, while PVP-k30 kept at 8 wt%, DMAc : NMP = 4 : 1 as a mixed solvent.

#### 2.4. Characterization of zwitterionic nano-silica

The particle size distribution and zeta potential before and after the modification of nano-SiO<sub>2</sub> was tested by the zeta-potential analysis and particle size analyzer (Nano ZS, Malvern). The chemical compositions of nanoparticles were characterized by Fourier transform infrared spectroscopy (FTIR, VERTEX70) at a scanning range of 400–4000 cm<sup>-1</sup>, and X-ray photoelectron spectroscopy (XPS, Escalab 250Xi). The dispersion stability of nano-SiO<sub>2</sub> before and after modification in water was assessed by natural gravimetric sedimentation over a period of time in static mode.

#### 2.5. Characterization of membrane

The surface and cross-section morphology of resulting membranes were observed by a field emission scanning electron microscope (SEM, \*Regulus8230) with energy dispersive X-ray spectroscopy (EDX). All specimens were freeze-dried and coated with a thin layer of gold before observation. Cross-

section was prepared by fracturing membrane in liquid nitrogen.

The surface morphology (2D and 3D topographic images) and roughness analysis of mean roughness ( $R_a$ ) and the root mean square of data ( $R_q$ ) for each nanocomposite membrane in a scan area of 5 μm × 5 μm were characterized using an atomic force microscope (AFM, \*MFP-3D Bio) at the acoustic AC tapping mode.

Equilibrium water content (EWC) and porosity ( $\epsilon$ ) were calculated by the gravimetric method as follows:

$$\text{EWC (\%)} = (W_w - W_d)/W_w \times 100\% \quad (1)$$

$$\epsilon (\%) = (W_w - W_d)/\rho_w A l \times 100\% \quad (2)$$

where,  $W_w$  and  $W_d$  is weight of membranes in a wet and dry state (g).  $\rho_w$ ,  $A$ , and  $l$  are the density of pure water (0.998 g cm<sup>-3</sup>), effective membrane surface area (cm<sup>2</sup>), and membrane thickness (cm), respectively.

The mean pore radius ( $r_m$ ) for blended membranes were calculated using the Guerout–Elford–Ferry equation:

$$r_m = \sqrt{[(2.9 - 1.75\epsilon) \times 8\eta J_w]/(\epsilon \Delta P)} \quad (3)$$

where,  $\epsilon$  is porosity of the membrane,  $\eta$  is the viscosity of water (8.9 × 10<sup>-4</sup> Pa s),  $l$  is the mean thickness of membrane,  $J_w$  is the pure water flux, and  $\Delta P$  is the operating pressure (0.1 MPa).

The water contact angle (WCA) of membranes was measured using a Drop Shape Analysis Data physics (OCA 20) at room temperature. The water droplet of 2 μL was dropped on membrane surface using motorized controlled micro-syringe. The image of water droplet on membrane surface was captured and surface water contact angle was measured by using imaging software. The contact angle was measured at lots of random points on membrane surface and reported value was the average of these measurements.

The surface energy parameters ( $\gamma_s$ ) of the membrane were determined by the Lifshitz–van der Waals ( $\gamma_s^{\text{LW}}$ ) and Lewis acid–base ( $\gamma_s^{\text{AB}}$ ) (comprising electron-donor  $\gamma_s^-$  and electron-acceptor  $\gamma_s^+$ ) was determined with eqn (4).

$$\gamma_s = \gamma_s^{\text{LW}} + \gamma_s^{\text{AB}} = \gamma_s^{\text{LW}} + 2\sqrt{\gamma_s^- \times \gamma_s^+} \quad (4)$$

Contact angle measurements were performed using three different types of probe liquids with known surface energy, in which the water and formamide represent polar liquids, the diiodomethane is a nonpolar liquid. And then,  $\gamma_s$  was calculated by solving a set of extended Young–Dupré eqn (5).



$$(1 + \cos \theta)\gamma_L = 2 \left( \sqrt{\gamma_s^{LW} \times \gamma_L^{LW}} + \sqrt{\gamma_s^+ \times \gamma_L^-} + \sqrt{\gamma_s^- \times \gamma_L^+} \right) \quad (5)$$

where,  $\theta$  represents the contact angle of the liquid on a solid surface, and subscripts S and L represent the solid surface and the liquid surface, respectively.

## 2.6. Ultrafiltration experiments

The batch experiments were conducted in a crossflow filter (FMT FlowMem-0002). Inside the cell, a rectangular membrane was placed over a base support, the effective filtration area of the membrane was 20 cm<sup>2</sup>. Under the pressure of the diaphragm pump, the permeate was collected from the bottom of the cell. The schematic of batch experimental was presented in Fig. 3.

Fresh membranes need to be compacted with pure water for 0.5 h at a transmembrane pressure of 0.2 MPa. This pressure is twice than normal operating pressure, which helps to improve the mechanical strength of the membrane and obtain stable water flux. Under the pressure of 0.1 MPa, the pure water flux (PWF) and hydraulic permeability ( $P_m$ ) were calculated, using the following equations:

$$J_w = Q/(A \times \Delta T) \quad (6)$$

$$P_m = J_w/\Delta p \quad (7)$$

where,  $J_w$  is pure water flux (L m<sup>-2</sup> h<sup>-1</sup>),  $Q$  is volume of water permeated (L),  $A$  is effective membrane area (m<sup>2</sup>) and  $\Delta T$  is sampling time (h).  $P_m$  is hydraulic permeability (L m<sup>-2</sup> h<sup>-1</sup> kPa<sup>-1</sup>);  $\Delta P$  is transmembrane pressure(kPa).

Followed by pure water flux measurement, the DI water was replaced with model protein solution (1000 mg L<sup>-1</sup> of BSA in phosphate buffer solution, pH = 7.4) to evaluate the rejection ratios of membranes. Percentage rejection of BSA was calculated using the following equation:

$$R (\%) = (1 - C_p/C_f) \times 100\% \quad (8)$$

where,  $C_p$  and  $C_f$  are the concentrations in the permeate and feed (mg L<sup>-1</sup>), respectively. The BSA concentration in the permeate was determined using a UV-vis spectrophotometer (PerkinElmer Precisely, Lambda950) at a wave length of 278.5 nm.

## 2.7. Antifouling test

The antifouling ability of separation membrane was usually evaluated by static adsorption and dynamic antifouling experiment of common pollutants. The static adsorption test was carried out by immersing membrane (2 × 2 cm<sup>2</sup>) in a BSA solution (1000 mg L<sup>-1</sup>, pH = 7.4) without additional pressure assisted pollutant into the membrane pore. After adsorption-desorption equilibrium for 24 h at room temperature, the concentrations of BSA solution before and after adsorption were measured with a UV-vis spectrophotometer (PerkinElmer Precisely, Lambda 950), and the adsorption mass  $Q$  (μg cm<sup>-2</sup>) of

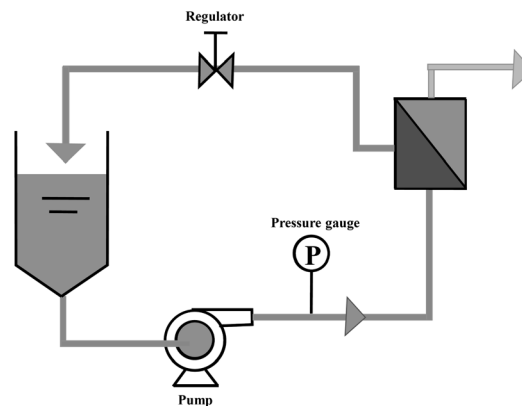


Fig. 3 Schematic of the experimental set-up.

BSA on membrane surface was calculated using the following equation:

$$Q = (C_0 - C)V/S \times 100\% \quad (9)$$

where,  $C_0$  and  $C$  are the concentration of BSA solution before and after adsorption (mg L<sup>-1</sup>), respectively,  $V$  is the volume of BSA solution (L),  $S$  is the adsorption membrane area (cm<sup>2</sup>).

The dynamic fouling experiment was conducted using three cycles of alternating feeding of pure water and pollutants solution. The specific operations of three processes in a cycle are as follows: firstly, under the driving pressure of 0.1 MPa, the pure water flux ( $F_{w1}$ ) of compacted membrane was measured for 45 min. Then followed by 1 mg mL<sup>-1</sup> BSA solution as feed for another 45 min, the stable permeation flux was recorded as  $F_p$ . After that, the membrane was washed and backwashed with pure water for 20 min to completely remove the effects of reversible fouling. Finally, measure the pure water flux ( $F_{w2}$ ) again within 45 min. Three cycles of antifouling test for each membrane were conducted. The total fouling ratio ( $R_t$ ), reversible fouling ( $R_r$ ), irreversible fouling ( $R_{ir}$ ) and flux recovery ratio (FRR) were calculated using eqn (10)–(13), respectively:

$$R_t (\%) = (1 - F_p/F_{w1}) \times 100\% \quad (10)$$

$$R_r (\%) = [(F_{w2} - F_p)/F_{w1}] \times 100\% \quad (11)$$

$$R_{ir} (\%) = [(F_{w1} - F_{w2})/F_{w1}] \times 100\% \quad (12)$$

$$FRR (\%) = F_{w2}/F_{w1} \times 100\% \quad (13)$$

where,  $F_{w1}$  is the pure water flux,  $F_p$  is the permeation flux of BSA solution, and  $F_{w2}$  is pure water flux of cleaned membrane (L m<sup>-2</sup> h<sup>-1</sup>).

## 2.8. Mechanical performance test

Ultrafiltration is a pressure-driven process, the mechanical strength of nanocomposite membrane was measured including breaking strength and elongation at break, using Universal testing machine (Instron USA, capacity: 10 kN). For each measurement, the sample of 30 mm length and 5 mm width was



used. All the tensile tests were carried out on dry membranes with stretching rate of  $1 \text{ mm min}^{-1}$  at room temperature.

### 3. Results and discussion

#### 3.1. Preparation and characterization of zwitterionic nano-silica

The schematic diagram of the reaction principle of modified nano-SiO<sub>2</sub> by lysine zwitterionic material was shown in Fig. 4.

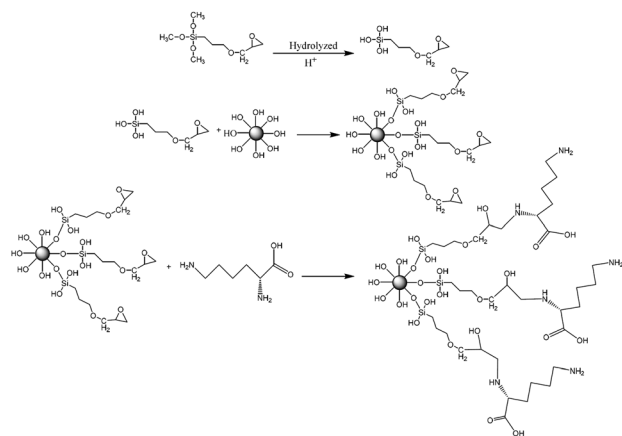


Fig. 4 Schematic diagram of nano-SiO<sub>2</sub> zwitterionic modification.

Firstly, the KH560 hydrolyzed under acidic conditions rapidly dehydrated and condensed with hydroxyl groups on the surface of SiO<sub>2</sub> to form an intermediate product with active epoxy groups. Subsequently, the ring-opening polymerization between the active amino group on the lysine molecular chain and the epoxy group on the surface of SiO<sub>2</sub> was used to graft lysine with a pair of amino and carboxyl functional groups to the surface of the silica nanoparticles.<sup>51</sup>

FTIR spectra of nano-SiO<sub>2</sub> before and after lysine modification were shown in Fig. 5a. The typical absorption peaks for SiO<sub>2</sub> at  $1106 \text{ cm}^{-1}$ ,  $970 \text{ cm}^{-1}$  and  $806 \text{ cm}^{-1}$  could be seen in both spectra, which were attributed to the asymmetric and symmetric stretching vibration of Si–O–Si and the Si–OH bending vibration, respectively. Three new peaks at  $2940 \text{ cm}^{-1}$ ,  $1508 \text{ cm}^{-1}$ ,  $1409 \text{ cm}^{-1}$  appeared on the modified nano-SiO<sub>2</sub> spectrum were belong to the methylene stretching vibration peak, amino shear vibration peak, and carboxyl OH bond plane bending vibration peak. The characteristic element N of lysine could be detected in the XPS spectrum of modified SiO<sub>2</sub> nanoparticles as shown in Fig. 5b. All these results proved the successful preparation of zwitterionic nano-SiO<sub>2</sub>.

In order to study the effect of zwitterionic modification on the agglomeration of nano-SiO<sub>2</sub> particles, the dispersion stability of the  $1 \text{ mg mL}^{-1}$  nano-SiO<sub>2</sub> aqueous suspension before and after modification in static mode was evaluated by natural gravimetric

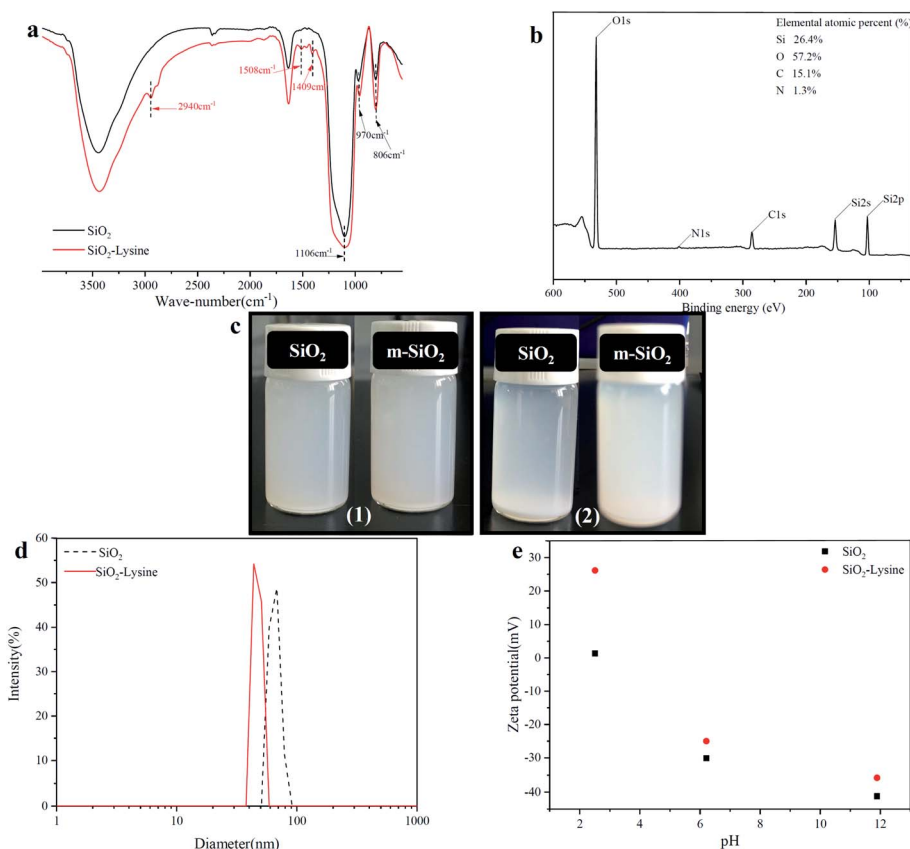


Fig. 5 Characterization of pristine and lysine modified nano-SiO<sub>2</sub> [(a) FTIR, (b) XPS of zwitterionic nano-SiO<sub>2</sub>, (c) dispersion stability in water (1) just after 30 min sonication; (2) after 8 h, (d) particle size, (e) zeta potential].



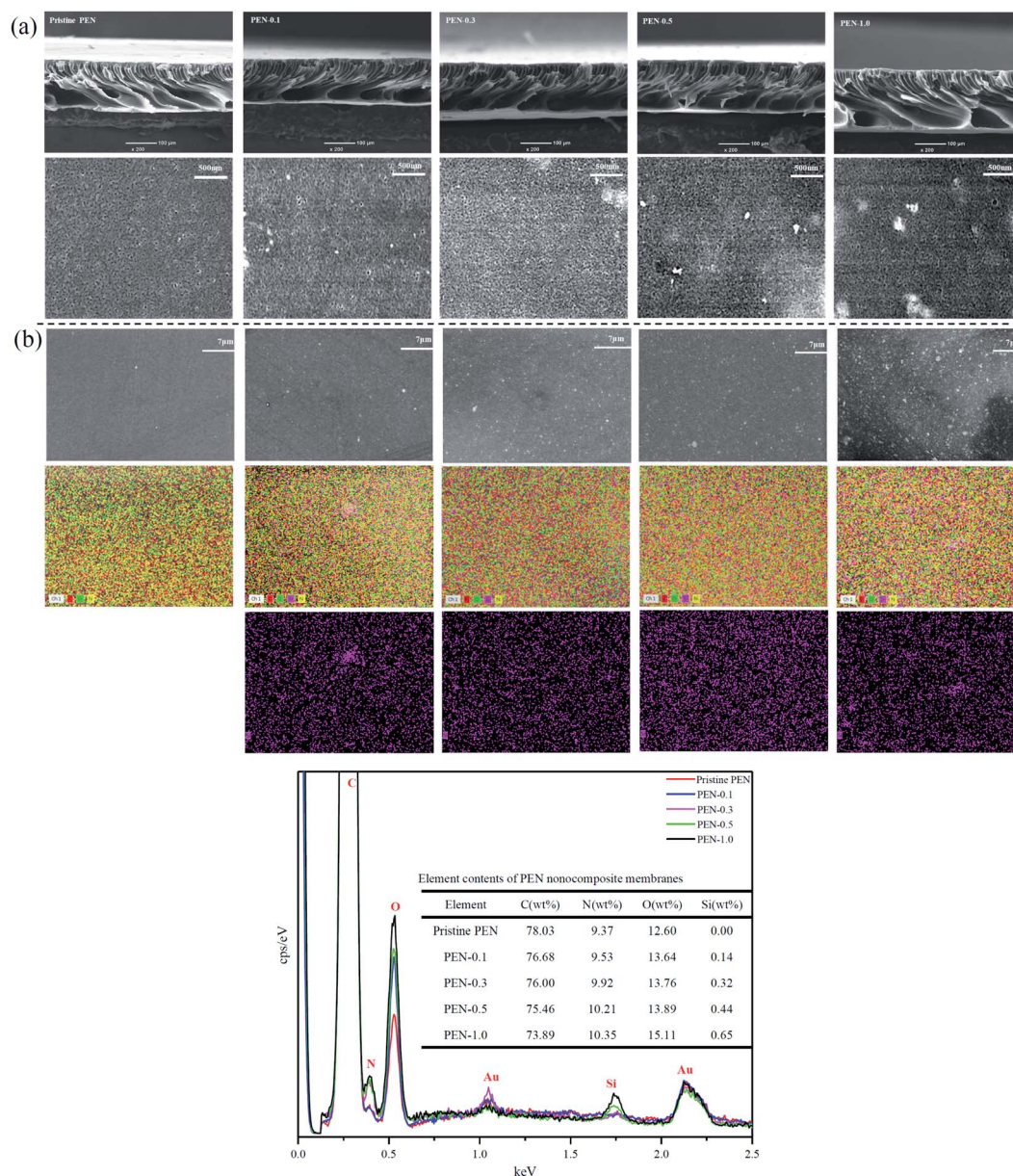


Fig. 6 (a) SEM images of cross-section and top surface (air-side) morphology; (b) EDX of the nanocomposite membranes.

sedimentation and the particle size distribution change of nano-SiO<sub>2</sub> was measured and the results are shown in Fig. 5c and d. The nano-SiO<sub>2</sub> before and after zwitterionic modification can obtain a uniformly dispersed suspension after 30 minutes of ultrasound. After 8 h, the pristine nano-SiO<sub>2</sub> particle agglomerated and precipitated, while zwitterionic nano-SiO<sub>2</sub> still maintained good dispersion stability. Also, the average size of SiO<sub>2</sub> nanoparticles is reduced from the original 66 to 47 nm after modification. This can be explained that ionogenic pair of amino and carboxyl groups introduced by the zwitterionization of SiO<sub>2</sub> NPs reduced the absolute value of nanoparticles surface charge, leading to a better dispersion effect.<sup>49</sup> Therefore, zwitterionic modification of SiO<sub>2</sub> can improve its dispersibility in solution. The change of zeta potential before and after modification of SiO<sub>2</sub> nanoparticles at different pH was shown in Fig. 5e. Compared with the pristine

nano-SiO<sub>2</sub>, lysine modified nano-SiO<sub>2</sub> was positively charged but showed a negative charge in a high pH environment, which is the salient feature of zwitterionic materials.

### 3.2. Viscosity of the casting solution

The relationship between the suspension viscosity and zwitterionic nano-SiO<sub>2</sub> concentration has been listed in Table 1, the apparent viscosity of the casting solution gradually increased with increasing zwitterionic nano-SiO<sub>2</sub> content. The increasing viscosity of the casting solution can be mainly attributed to the interaction between the polymer molecular chains and the hydroxyl groups enriched on surface of the modified silica nanoparticles with high specific surface area and surface energy.<sup>52,53</sup>



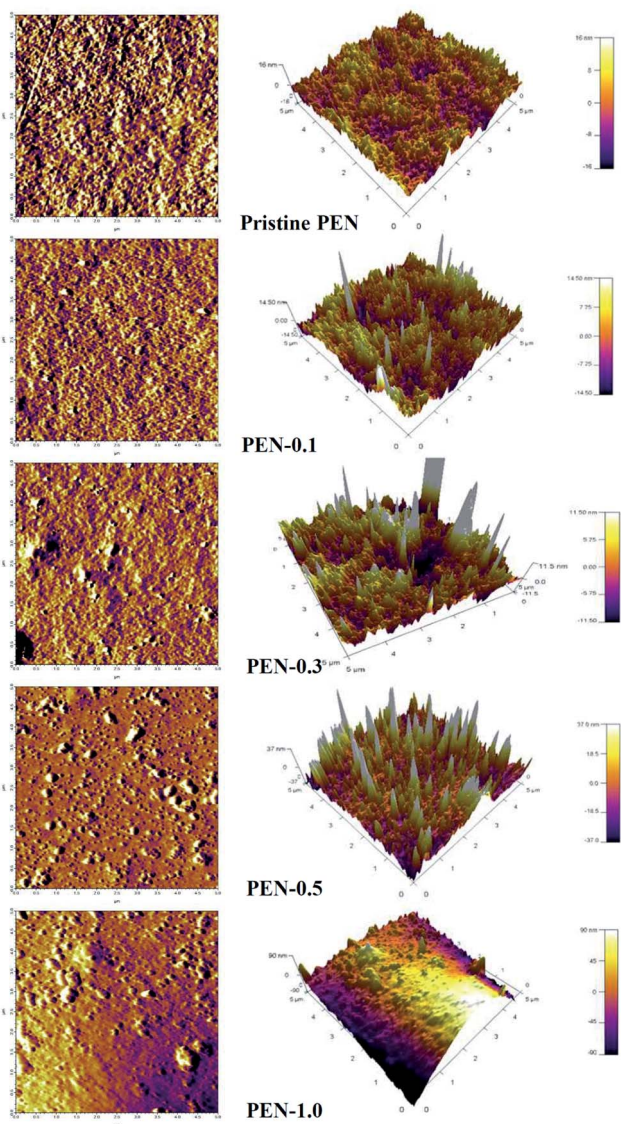


Fig. 7 AFM 2D and 3D images of PEN and zwitterionic nano-SiO<sub>2</sub>/PEN nanocomposite membranes.

Table 2 Structural parameters of pristine PEN and nanocomposite membranes

Membranes	Structure parameters				
	$R_q$ (nm)	$R_a$ (nm)	EWC (%)	$\epsilon$ (%)	$r_m$ (nm)
Pristine PEN	3.6	2.9	73.7	66.5	42.7
PEN-0.1	5.0	3.9	75.2	68.5	45.6
PEN-0.3	9.7	5.1	79.6	72.8	47.6
PEN-0.5	14.1	9.9	83.0	76.1	52.1
PEN-1.0	45.0	35.3	81.8	69.1	45.5

### 3.3. Membrane morphology and structure characterization

The cross-sectional and top surface morphologies of the pristine PEN and PEN-SiO<sub>2</sub> nanocomposite membranes were observed by Fig. 6a. A typical asymmetric structure with a dense skin and a finger-like cavity of the sublayer could be observed

from the cross-section morphology in both pristine PEN membrane and silica hybrid membranes. The result showed that when the addition of SiO<sub>2</sub> was  $\leq 1$  wt%, there was no significant effect on the structure of membrane sublayer. The observed behavior was consistent with the results reported in the literature.<sup>54,55</sup> In a higher magnification surface electron micrograph, the micropores on the prepared nanocomposite membrane surface were clearly visible and evenly distributed, thus playing the role of sieving for permeation separation. Actually, when the addition of nanoparticles reached 1 wt%, the agglomeration of nanoparticles on the membrane surface was more obvious, and the defects were more likely to be observed at the edges of the agglomerated nanoparticles. This could be interpreted in accordance with the previous literature as the interface tension between organic phase and inorganic phase caused by the contraction of organic phase during phase separation process, could only be alleviated by the formation of the interface pores.<sup>56</sup> As shown in Fig. 6b, the EDX test confirmed the existence of silicon (Si) element in the PEN/SiO<sub>2</sub> nanocomposite membranes, and quantitatively proved that the SiO<sub>2</sub> NPs contents on the membrane surface increased with the increase of the initial addition of nanoparticles.

AFM analysis was carried out to further investigate the surface topology and roughness of the membranes. Generally, membranes with a rougher surface were prone to fouling more easily as their surfaces had more bulges and valleys which provided deposition sites for foulants.<sup>57</sup> Fig. 7 represented the surface 2D and 3D AFM images of the pristine PEN and nanocomposite membranes. High peak with brighter areas corresponded to nodule, while the pore or low valley was characterized by the dark regions. The surface roughness explained in terms of the root-mean-square roughness ( $R_q$ ) and mean roughness ( $R_a$ ) were listed in Table 2, The pristine PEN membrane had the smoothest surface, and the surface roughness increased with the increasing zwitterionic nano-SiO<sub>2</sub> present on top layer. Moreover, with the agglomeration of nanoparticles became more obvious, the roughness of the membranes surface increased.

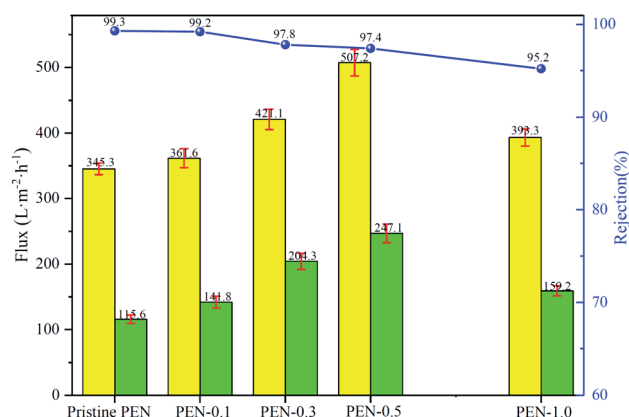


Fig. 8 Effect of zwitterionic nano-SiO<sub>2</sub> content on Flux and BSA rejection.



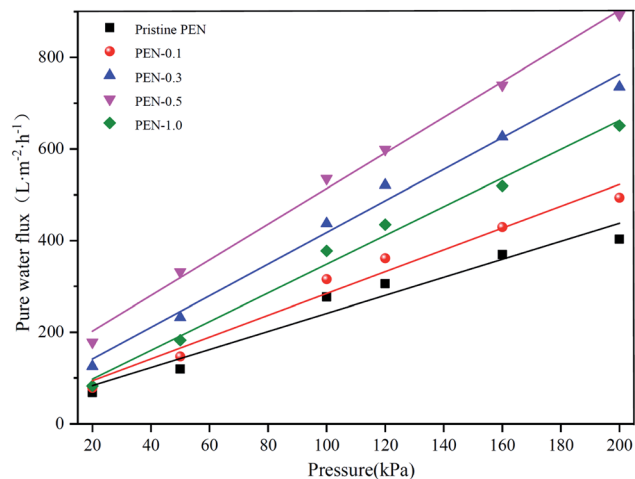


Fig. 9 Effect of zwitterionic nano-SiO<sub>2</sub> content on membrane  $P_m$ .

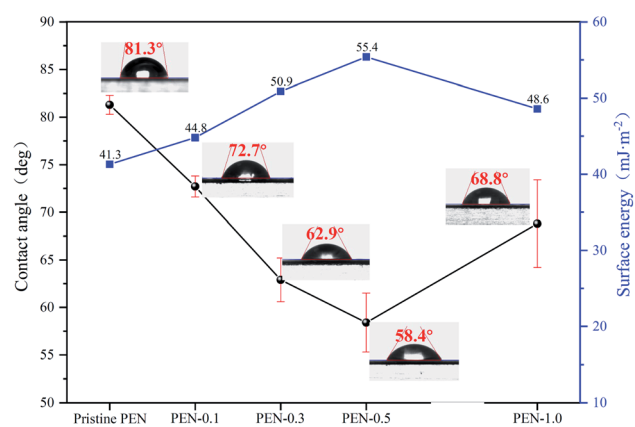


Fig. 10 Water contact angle and surface energy of pristine PEN and nanocomposite membranes.

EWC is an important parameter to reflect the ability of the membrane to hold moisture, which is related to  $\epsilon$  and mainly determined by the macrovoids in the membrane.<sup>58</sup> As shown in Table 2, the incorporation of zwitterionic nano-SiO<sub>2</sub> in the polymeric membrane matrix promoted the increase of EWC,  $\epsilon$  and  $r_m$  for the nanocomposite membranes in comparison with pristine PEN membrane. When the zwitterionic nano-SiO<sub>2</sub> content varied from 0 to 0.5 wt%, the EWC,  $\epsilon$  and  $r_m$  of prepared membranes increased from 73.7 to 83.0%, 66.5 to 76.1% and 42.4 to 52.1 nm, respectively. The increase in porosity of the nanocomposite membranes could be attributed to two aspects. Firstly, the incorporation of zwitterionic nano-SiO<sub>2</sub> could increase the thermodynamic instability of the casting solution which accelerated the exchange rate of solvent and non-solvent and promoted the formation of macrovoids during the phase inversion process;<sup>59,60</sup> secondly, the presence of zwitterionic nano-SiO<sub>2</sub> in polymer continuous phase of the nanocomposite membranes increased the structural heterogeneity of those membranes which led to the formation of more macrovoids to accommodate more water.<sup>61,62</sup> However, with the further

increase of zwitterionic nano-SiO<sub>2</sub> content to 1 wt%, the agglomeration of nanoparticles weakened its effect on the thermodynamic instability of the casting solution, and the slower exchange rate of solvent and non-solvent eventually led to the PEN-1.0 with reduced EWC,  $\epsilon$  and  $r_m$ , respectively.

### 3.4. Membrane separation performance

Fig. 8 presents the PWF, permeation flux and BSA rejection ratio of prepared membrane. A trade-off between flux and selectivity of composite membranes have been found. With the addition of zwitterionic nano-SiO<sub>2</sub> from 0 to 0.5 wt%, the PWF and permeation flux of the prepared composite membrane gradually increased, while the corresponding BSA rejection ratio gradually decreased. This exactly coincided with the tendency of porosity and  $r_m$  of the composite membrane surface from small to large. These results demonstrated that the increase in porosity and  $r_m$  of composite membrane with 0–0.5 wt% zwitterionic nano-SiO<sub>2</sub> content could attract water molecules inside the membrane matrix and promoted the passage of water molecules through the membrane to increase the permeability.<sup>63</sup> However, further increasing zwitterionic nano-SiO<sub>2</sub> content to 1 wt%, both the flux and selectivity of prepared composite membrane decreased. This could be explained by the fact that the particle agglomeration caused by excessive addition of nanoparticles might cause the blockage of the membrane pores, and ultimately led to the reducing of separation performance.<sup>64</sup> An optimal performance of PWF (507.2 L m<sup>-2</sup> h<sup>-1</sup>), permeation flux (247.1 L m<sup>-2</sup> h<sup>-1</sup>) and BSA rejection ratio (97.4%) was obtained when the addition of zwitterionic nano-SiO<sub>2</sub> reached 0.5 wt%.

The effect of the added zwitterionic nano-SiO<sub>2</sub> content on  $P_m$  of the prepared nanocomposite membrane was shown in Fig. 9 and the specific  $P_m$  value was calculated by eqn (7). With the incorporation of zwitterionic nano-SiO<sub>2</sub> increased from 0 to 0.5 wt%, the  $P_m$  of the nanocomposite membrane increased from 1.97 to 3.89, which mean the permeability enhanced with increasing the concentration of zwitterionic nano-SiO<sub>2</sub>. This improvement in permeability could be attributed to the higher

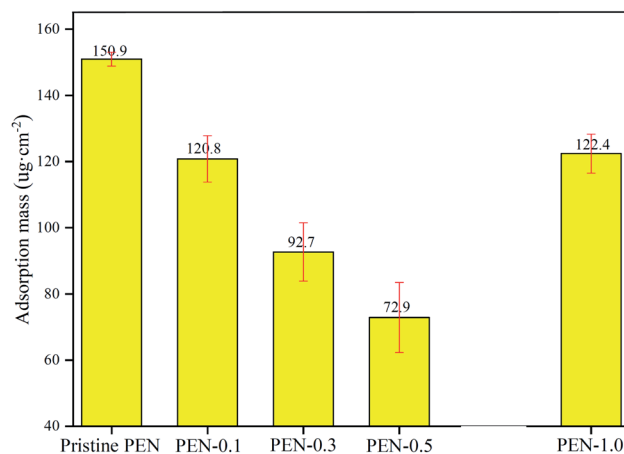


Fig. 11 Static adsorption of BSA solution of pristine PEN and nanocomposite membranes.



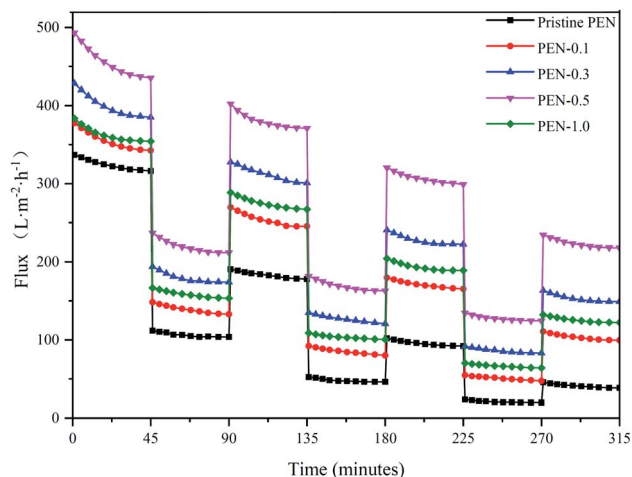


Fig. 12 Time-dependent fluctuation of pure water flux and BSA solution flux in three cycles.

porosity with addition of zwitterionic nano-SiO<sub>2</sub> in the polymer matrix. When modified SiO<sub>2</sub> nanoparticles content further increased to 1.0 wt%,  $P_m$  decreased to 3.14 instead, which mean that an excessive filler content would lead to a particle agglomeration, blocking the membrane pores that prevented the passage of water flow.<sup>65,66</sup>

### 3.5. Membrane hydrophilicity and anti-fouling performance

The water contact angle (WCA) and surface energy ( $\gamma$ ) tests were used to evaluate the hydrophilicity and wettability of membranes. Generally, membranes with lower WCA or higher  $\gamma$  have more hydrophilic membrane surfaces.<sup>67,68</sup> Fig. 10 showed that pristine PEN had highest WCA of 81.3° and lowest  $\gamma$  of 41.3 mJ m<sup>-2</sup>, while with the gradual addition of zwitterionic nano-SiO<sub>2</sub> to 0.5 wt%, the WCA decreased to 58.4° and  $\gamma$  increased to 55.4 mJ m<sup>-2</sup>. This result indicated that the addition of zwitterionic nano-SiO<sub>2</sub> effectively improved the hydrophilicity of nanocomposite membrane surface. Hydroxyl functional group rich in the SiO<sub>2</sub> nanoparticle surface could bind more water molecules through hydrogen bonding, thereby increasing the

wettability of the nanocomposite membrane.<sup>63</sup> When the content of zwitterionic nano-SiO<sub>2</sub> reached 1.0 wt%, the uneven distribution of nanoparticles on membrane surface caused the hydrophilicity of PEN-1.0 decreased.

The static fouling adsorption experiment results of pristine and nanocomposite PEN membranes were shown in Fig. 11. All PEN MMMs modified with zwitterionic SiO<sub>2</sub> NPs nanocomposites exhibited lower BSA adsorption capacity than pristine PEN membrane (150.9  $\mu\text{g cm}^{-2}$ ). The presence of zwitterionic SiO<sub>2</sub> NPs on the surface of PEN nanocomposite membranes were beneficial to the formation of a tight hydration layer on the membrane surface, thereby further weakened the specific adsorption of BSA on the membrane surface.<sup>69</sup> BSA adsorption amount of PEN-0.5 was only 72.9  $\mu\text{g cm}^{-2}$ , which was the lowest among all PEN MMMs.

It is well known that membrane fouling will reduce the membrane permeability and shorten the usage time.<sup>70</sup> The time dependent flux of pristine PEN and nanocomposite membranes were conducted to evaluate the effect of adding zwitterionic nano-SiO<sub>2</sub> on antifouling performance, and the results were shown in Fig. 12. A significant flux drop occurred when the pure water feed was replaced by BSA solution due to larger size contaminants sticking to and blocking the pore of membrane surface.<sup>71</sup> After the membrane was thoroughly washed and backwashed, the PWF was measured again in order to determine the restoration ability and contribution of  $R_f$  and  $R_{ir}$  in  $R_t$  of each membrane. The PWF of all membranes could not be fully recovered due to the irreversible fouling.<sup>72</sup>

As shown in Fig. 13a, the antifouling effect of nanocomposite membrane was significantly improved compared to pristine PEN. With the increase in modified nanoparticles content, the nanocomposite membranes had higher FRR,  $R_f$  and lower  $R_t$ ,  $R_{ir}$ , especially PEN-0.5 has an FRR of up to 85.3%. This could be explained as the content of hydroxyl groups on the membrane surface increased with the addition of zwitterions nano-SiO<sub>2</sub>, making it easier to bind water molecules through hydrogen bonding by forming a hydrated layer on the membrane surface and effectively inhibit the adhesion of BSA on the membrane surface to reduce the total pollution degree.<sup>73,74</sup> Simultaneously, under the same cleaning conditions, the contamination of

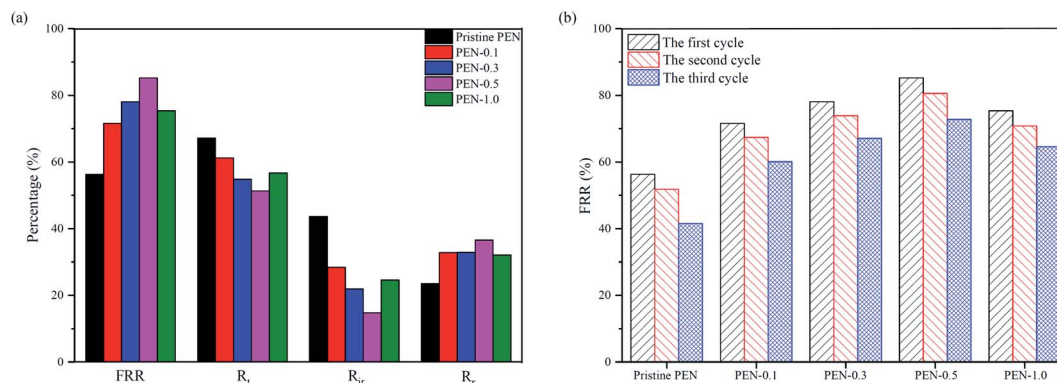


Fig. 13 (a) Anti-fouling parameters in the first cycle and (b) the FRR of the membranes in three cycles.



Table 3 Pure water flux, BSA rejection ratio and FRR comparison of nanocomposite UF membranes

Hybrid membrane	Operating pressure (bar)	PWF (L m <sup>-2</sup> h <sup>-1</sup> )	Rejection (%)	FRR (%)	Ref.
PVDF/TiO <sub>2</sub>	1	103.5	85.6	96.9	Moghadam <i>et al.</i> <sup>78</sup>
PES/PHEMA@SiO <sub>2</sub>	2	141.7	95.0	84.4	Zhu <i>et al.</i> <sup>79</sup>
PSf/ONC	1	307	97.6	89	Khan <i>et al.</i> <sup>80</sup>
PVDF-OMW-GO	1	203	81.4	80.4	Zhang <i>et al.</i> <sup>81</sup>
PSf/SiO <sub>2</sub> -GO	1	375	98	72	Wu <i>et al.</i> <sup>82</sup>
PES/HANTs-DA-TA	1	439	97	77.9	Mu <i>et al.</i> <sup>55</sup>
PSf/TiO <sub>2</sub> -PDA	2	428	89	80	Wu <i>et al.</i> <sup>83</sup>
PEN-0.5	1	507.2	97.4	85.3	This work

Table 4 Mechanical strength of pristine PEN and nanocomposite membranes

Membranes	Breaking strength (mPa)	Elongation at break (%)
Pristine PEN	5.42 ± 0.27	23.60 ± 1.50
PEN-0.1	6.03 ± 0.15	27.22 ± 2.09
PEN-0.3	6.67 ± 0.22	28.74 ± 1.95
PEN-0.5	5.60 ± 0.12	28.96 ± 1.06
PEN-1.0	4.53 ± 0.11	20.38 ± 0.42

membrane surface with hydrated layer was easier washed away and the FRR increased.<sup>75</sup> When the content of nanoparticles continued to increase to 1.0 wt%, the hydrophobic modification effect was reduced due to the agglomeration of nanoparticles on the membrane surface. The pure water flux recovery under three cycles was shown in Fig. 13b. The FRR of all membranes showed a downward trend with increasing the cycles, namely FRR<sub>1st</sub> > FRR<sub>2st</sub> > FRR<sub>3st</sub>. As the cycles increased, the interaction between the membrane surface protein and protein in the solution became stronger. The protein in the solution was more likely to directly interact with the membrane surface protein to deposit on the membrane surface. The total pollution degree of the membrane surface increased, the cleaning difficulty increased, and the flux recovery rate further decreased.<sup>76,77</sup>

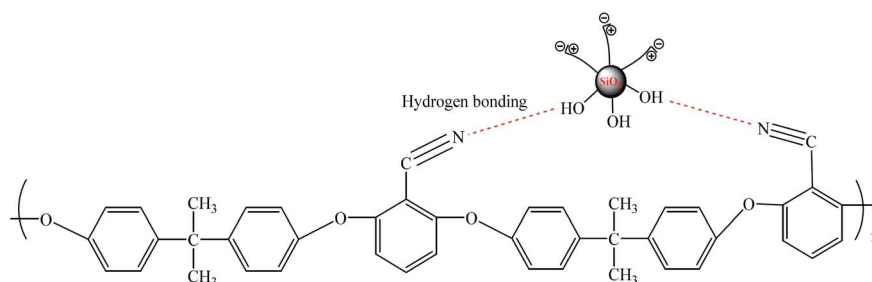
As listed in Table 3, the overall performance of PEN hybrid membrane with optimum zwitterionic SiO<sub>2</sub> NPs content (0.5 wt%) was compared with other inorganic nanocomposite membranes published. The PEN-0.5 showed better performance in terms of pure water flux, rejection of BSA and flux recovery ratio. We think that zwitterionic SiO<sub>2</sub> NPs was a promising nanofiller used to prepared antifouling PEN ultrafiltration membranes.

### 3.6. Membrane mechanical properties

The mechanical strength of pressure driven UF membrane was crucial to ensure long-term stable operation under pressure. The mechanical performance parameters of pristine PEN and nanocomposite membranes were listed in Table 4. Compared with pristine PEN, when the addition of zwitterionic nanoparticles did not exceed 0.3 wt%, the breaking strength of the prepared nanocomposite membranes were significantly improved, and the change of breaking elongation was small. The improved mechanical strength of nanocomposite membranes could be attributed to the both synergistic effect of the good dispersion stability of nano-SiO<sub>2</sub> in the membrane matrix and the hydrogen bond between the hydroxyl group of silica surface and the PEN molecular chain as shown in Fig. 14. With further increasing nanoparticles content, the mechanical properties of nanocomposite membranes decreased significantly. This could be caused by the easier formation of stress concentration points in the membrane matrix under the action of external forces by the agglomeration of nanoparticles.

## 4. Conclusions

In this study, zwitterionic nano-SiO<sub>2</sub> was successfully prepared and used as filler to prepare PEN/zwitterionic nano-SiO<sub>2</sub> composite membranes by immersion precipitation phase inversion method. The effect of zwitterionic nano-SiO<sub>2</sub> addition amount on the structure and performance of PEN/zwitterionic nano-SiO<sub>2</sub> composite membrane was studied. With the optimum addition amount of zwitterionic nano-SiO<sub>2</sub> of 0.5 wt%, the prepared PEN/zwitterionic nano-SiO<sub>2</sub> composite membrane PEN-0.5 showed PWF of 507.2 L m<sup>-2</sup> h<sup>-1</sup>, permeation flux of 247.1 L m<sup>-2</sup> h<sup>-1</sup> and BSA rejection ratio of 97.4%.

Fig. 14 The schematic diagram of the hydrogen bond interaction between zwitterionic SiO<sub>2</sub> NPs and PEN molecular chain.

Compared with pristine PEN, the porosity, EWC of PEN-0.5 had been significantly improved, the WCA decreased from 81.3° to 58.4°, meanwhile the surface energy increased from 41.3 to 55.4 mJ m<sup>-2</sup>. In addition, PEN-0.5 exhibited a static adsorption capacity of 72.9 μg cm<sup>-2</sup> which was less than half of pristine PEN, and the FRR under dynamic antifouling experiment was as high as 85.3%. The excellent antifouling performance of PEN/zwitterionic nano-SiO<sub>2</sub> nanocomposite membranes indicates that the blending modification with zwitterionic nano-SiO<sub>2</sub> is an effective and convenient method to enhance the permeability and antifouling performance of PEN membranes.

## Conflicts of interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Acknowledgements

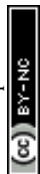
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