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# Evaluation of the formation and antifouling properties of a novel adsorptive homogeneous mixed matrix membrane with *in situ* generated Zr-based nanoparticles†

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*In situ* generation is a powerful technique used to prepare homogenous adsorptive mixed matrix membranes (MMMs) containing functional nanoparticles, although the mechanism of formation of the membranes is not yet clear and there have been few published evaluations of membrane fouling. We therefore used this method to prepare a novel homogeneous adsorptive Zr-based nanoparticle–polyethersulfone (PES) MMM and systematically studied the mechanism of membrane formation at the atomic level. As the amount of  $\text{ZrOCl}_2 \cdot 8\text{H}_2\text{O}$  in the casting solution increased, the phase inversion kinetics changed from instantaneous demixing due to the thermodynamic enhancement effect to a delayed demixing process caused by viscosity hindrance. The *in situ* generation of nanoparticles in these MMMs can be divided into three stages: the migration stage, the exfoliation stage and the stable stage. Our findings provide a fundamental understanding of the interface chemistry in the development of *in situ* generated MMMs. M2 showed a higher adsorption of As(v) than the pure PES membrane and could be reused after regeneration. The removal of As(v) from the M2 filtration system mainly took place *via* adsorption rather than size exclusion, as confirmed by EDS and experimental data. The presence of humic acid slightly inhibited the removal of As(v) during the filtration process as a result of the barrier effect caused by the formation of a filter cake *via* humic acid fouling. The filtration of a bovine serum albumin solution showed that the MMM with *in situ* generated nanoparticles had better antifouling properties than the PES membrane alone in multiple applications as a result of the enhanced hydrophilic surface.

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## 1. Introduction

A new class of mixed matrix membranes (MMMs) containing functional nanoparticles has recently attracted much attention in the field of membrane technology. These functionalized MMMs have unique adsorptive, catalytic or antifouling properties.<sup>1–3</sup> Adsorptive MMMs containing various types of nanoparticle (*e.g.* zinc oxide,<sup>4</sup> iron oxide,<sup>5</sup> titanium oxide,<sup>6</sup> Zr<sup>7</sup> and Ag<sup>8</sup>) have been developed on the laboratory scale. These studies have proved that adsorptive MMMs can be used to remove contaminants from water,<sup>9,10</sup> but the development of adsorptive MMMs by traditional methods such as physical blending or dip-

coating faces a number of challenges, including the agglomeration of nanoparticles within the MMM and the potential leakage of the nano-sorbent,<sup>11,12</sup> which could reduce the performance of the MMMs.

An *in situ* generation method has recently been developed to prepare homogeneous adsorptive MMMs. This method integrates the formation of the nanoparticles within the polymer matrix with phase inversion processes in one simple step in a coagulation bath. The resulting nanoparticles are well dispersed at the molecular level within the polymer matrix.<sup>13</sup> In addition, the interpenetrating networks formed between the nanoparticles and the polymeric membrane increase the compatibility between these two phases and improve the stability of the nanoparticles within the membrane.

We have successfully prepared several types of homogeneous adsorptive MMMs by an *in situ* generation method<sup>14,15</sup> and significantly improved both the distribution of the nanoparticles generated *in situ* and the compatibility between the nanoparticles and the polymeric membrane. We explored the mechanism of membrane formation in terms of the phase inversion process.<sup>14</sup> However, to our knowledge, there has been

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no previous study of the membrane formation mechanism from the viewpoint of the *in situ* generation of nanoparticles.

Membrane fouling is an important issue in the application of MMMs and can affect performance.<sup>16,17</sup> Natural organic pollutants easily adhere to the membrane surface, or even the inner channel of the membrane, as a result of the natural hydrophobicity of the membrane materials. This may cause a decrease in the water flux and the lifetime of the membrane.<sup>17,18</sup> Membrane fouling can also increase operating costs, energy consumption and the cost of maintenance.<sup>19,20</sup> However, there has so far been only limited work evaluating the effects of fouling on the properties of adsorptive MMMs.

We prepared a novel homogenous Zr-based nanoparticle-polyethersulfone (PES) adsorptive MMM via an *in situ* generation method for use in the removal of arsenic from water. Our previous work has shown that Zr-based sorbents have a high adsorption capacity for arsenic.<sup>21</sup> We investigated the properties of the membrane, including the morphology, the distribution of the *in situ* generated nanoparticles, the surface hydrophilicity, the porosity and the water flux. We also studied the mechanism of formation of the membrane in terms of the *in situ* formation of the nanoparticles and evaluated the adsorption of arsenic and the fouling performance of the membrane.

## 2. Material and methods

### 2.1. Materials

All the chemicals used in this study were of analytical grade. PES with a molecular weight of 28 000 Da was purchased from German BASF. Zirconium oxychloride octahydrate ( $\text{ZrOCl}_2 \cdot 8\text{H}_2\text{O}$ ) was purchased from Kai ma chemical (Tianjin) Co., Ltd. Bovine serum protein (BSA,  $M_w = 68\ 000$  Da) was purchased from Solarbio technology (Beijing) Co., Ltd. Humic acid (HA), di-sodium hydrogen arsenate heptahydrate ( $\text{Na}_2\text{HAsO}_4 \cdot 7\text{H}_2\text{O}$ ), dimethyl sulfoxide (DMSO), sulfuric acid ( $\text{H}_2\text{SO}_4$ ) and other chemicals were purchased from Chengdu Kelong Chemical Reagent Plant.

### 2.2. Preparation of Zr-based NPs/PES mixed matrix membrane

$\text{ZrOCl}_2 \cdot 8\text{H}_2\text{O}$  was used as the precursor of Zr-NPs, which was first dissolved in DMSO under ultrasonic vibration, and PES as the membrane polymer was then added into DMSO. The composition of casting solution was shown in Table 1.

The casting solution was shaken at 25 °C for 48 h to obtain a homogeneous solution. Then the uniform solution stayed for 24 h to remove the bubbles. After that, an appropriate amount of casting

solution was spread uniformly on the surface of clean and dry glass plate with a casting thickness of 150  $\mu\text{m}$  by a membrane scraper. After exposure to air for 10 s, the wet film was immersed into the coagulation bath with 1 wt%  $\text{H}_2\text{SO}_4$  for 12 h at 25 °C. Finally, the prepared membrane was immersed in deionized (DI) water for 24 h to remove residual solvent and unreacted chemicals, prior to air drying at room temperature for subsequent experiments. Additionally, some white fine particles appeared around the membrane surface during the phase inversion process, which were collected and washed by DI water for further analysis.

### 2.3. Characterization of membranes

**2.3.1. Characterization of generated particle.** The morphology of the *in situ* generated particles was observed by transmission electron microscopy (TEM) (F20, FEI, USA). The surface chemistry was studied by Fourier Transform Infrared spectroscopy using a FTIR spectrometer (PerkinElmer Spectrum Two, USA).

**2.3.2. Characterization of membranes.** The chemical composition of M2 was studied by X-ray photoelectron spectroscopy (XPS) (ESCALAB 250xi, Thermo Scientific, USA). Al K $\alpha$  excitation radiation (1486.6 eV) was used as the X-ray source. The spectra were calibrated with graphitic carbon at a binding energy (BE) of 284.8 eV as the reference. The XPS results were analyzed using a nonlinear least-square curve fitting program (XPSPEAK 41 software).

The morphology of the top surface and cross-section of the membranes were investigated by scanning electron microscope (SEM) (Quanta 250, FEI, USA). The cross-section samples of each membrane could be obtained by liquid nitrogen freezing crack method. The element distribution of *in situ* generated particles Zr-NPs in the membranes was analyzed by energy dispersive X-ray spectroscopy (EDS).

The cloud point of the casting solution was measured by a method described in the literature<sup>14</sup>. In details, DI water as non-solvent was continuously added into the casting solution in a glass bottle in a water bath with a constant temperature of 50 °C, until the casting solution turned irreversibly turbid. The amount of additional DI water at the end of titration was recorded. Each casting solution was measured for three times.

The viscosity of each casting solution was measured by a viscometer (Fangrui NDJ-5S, Shanghai, China) at 25 °C at a rotation rate of 60 rpm.<sup>17</sup> Each casting solution was measured for three times to minimize the experimental error.

The phase inversion kinetics was studied by measuring the light transmittance. The experiments were carried out according to a method reported in other studies.<sup>14</sup> The light transmittance curves with respect to the time were recorded and plotted.

Table 1 Composition of casting solutions

Membrane	PES (wt%)	DMSO (wt%)	$\text{ZrOCl}_2 \cdot 8\text{H}_2\text{O}$ (wt%)	Zr content in designed membrane (wt%)
M0	16	84	0	0.00
M0.5	16	84	0.5	0.87
M1	16	84	1	1.72
M1.5	16	84	1.5	2.56
M2	16	84	2	3.38



The water contact angle was measured by the sessile drop method using a contact angle instrument (Fangrui JYC-2, Shanghai, China).<sup>22</sup> In order to reduce the experimental error, three contact angles were measured for each sample, and three samples of each membrane were tested.

The porosity of the membrane was determined by the gravimetric method.<sup>23</sup> The membrane sample was immersed in water for 24 h to get saturated. After removing the excessive water on the surface of the membrane with filter paper, the wet membrane was weighed and recorded. Then the wet membrane was dried at 25 °C for 12 h to get the weight of dried membrane. Three samples of each type of membrane were tested. The porosity of the membrane was calculated as follows:<sup>15</sup>

$$\text{Porosity (\%)} = \frac{(W_1 - W_2)/\rho_w}{(W_1 - W_2)/\rho_w + W_2/\rho_{\text{PES}}} \times 100\% \quad (1)$$

where  $W_1$  and  $W_2$  are the weights of wet and dried membranes (g), respectively.  $\rho_w$  and  $\rho_{\text{PES}}$  is the density of pure water (0.998 g cm<sup>-3</sup>) and PES (1.44 g cm<sup>-3</sup>), respectively.

The water flux (WF) was measured by a dead-end filtration cell (Model 8050, Millipore Corporation) with an effective filter area of 12.56 cm<sup>2</sup>. The WF was measured at steady-state flow under a trans-membrane pressure (TMP) of 4.35 psi (0.03 MPa). The WF was determined as follows:<sup>24</sup>

$$J = \frac{Q}{A \times \Delta t} \quad (2)$$

where  $J$  represent the WF (L m<sup>-2</sup> h<sup>-1</sup>), and  $Q$  is the volume of filtered water (L),  $\Delta t$  is the filtration time (h), and  $A$  is the effective area of membrane (m<sup>2</sup>).

## 2.4. Adsorption experiments

**2.4.1. Batch adsorption.** A stock arsenic solution with a concentration of 1000 mg L<sup>-1</sup> was prepared by dissolving Na<sub>2</sub>HAsO<sub>4</sub>·7H<sub>2</sub>O in DI water. The stock solution was diluted with DI water to prepare arsenic solutions with various initial concentrations for subsequent adsorption experiments. The nitric acid (HNO<sub>3</sub>) or sodium hydroxide (NaOH) solution was used for pH adjustment in the adsorption experiment. As(v) concentration was determined by an inductive coupled plasma optical emission spectrometer (ICP-OES, Perkin Elmer Optima 8000). As(v) adsorption capacity by membrane was calculated as follows:<sup>25</sup>

$$q_{\text{eq}} = \frac{(C_0 - C_{\text{eq}})V}{m} \quad (3)$$

where  $q_{\text{eq}}$  was the equilibrium adsorption amount (mg g<sup>-1</sup>),  $C_0$  was the initial concentration of As(v) (mg L<sup>-1</sup>) and  $C_{\text{eq}}$  was the equilibrium concentration of As(v) (mg L<sup>-1</sup>),  $V$  was the volume of the mixture (L),  $m$  is the weight of membrane (g).

In the adsorption isotherm experiment, 0.05 g different types of membranes were added into 50 mL of As(v) solutions with different initial concentration and shaken for 48 h. The pH was controlled as constant at pH 7.0 ± 0.1. Finally, the treated solutions were collected and filtered with 0.45 μm filters. The concentration of As(v) was measured by ICP-OES.

In the pH effect experiment, 0.05 g M2 was added to 50 mL As(v) solution with initial concentration of 5 mg L<sup>-1</sup> under the

pH ranging from 2.0–12.0. The other procedures were the same as the adsorption isotherm experiment. The concentration of Zr ions released into the final solutions was measured by ICP-OES.

The effects of co-existing substances such as anions (carbonate, silicate, phosphate and nitrate species) and nature organic matters (NOMs, represented by HA) on the adsorption performance were studied. The initial As(v) concentration was 5 mg L<sup>-1</sup>, and the concentrations of co-existing substances used in this study were prepared referred to their actual concentration levels in natural water. The other procedures were the same as the adsorption isotherm experiments.

The regeneration experiments were conducted with three adsorption–desorption cycles. In each cycle, the membrane was added into 200 mL As(v) solution with concentration of 20 mg L<sup>-1</sup> at constant pH 7.0 and shaken for 48 h. Thereafter, the used membrane was regenerated by 0.01 M NaOH solution followed by 0.01 M H<sub>2</sub>SO<sub>4</sub> solution for 12 h. The regenerated membrane was washed by DI water and dried for reuse in the next cycle.

**2.4.2. Dynamic filtration experiments.** The dynamic filtration experiment was conducted under a TMP of 0.005 MPa at room temperature using the same dead-end filtration cell (Model 8050, Millipore) and a solution reservoir (Model RC800, Millipore). The As(v) concentration in the feed solution was set as 50 μg L<sup>-1</sup> with neutral pH. The permeate was collected periodically for measurement. The concentrations of As(v) in the permeate were measured by an inductively coupled plasma mass spectrometer (ICP-MS, Agilent 7900).

To further study the effect of membrane structure on the filtration performance, the filtration of other substances, *i.e.* PEG, sulphate, and nitrate ions, were also studied in a long-term running under the same TMP. The concentrations of other substances were set as 1 mg L<sup>-1</sup>, which is much higher than that of As(v) filtration system. The concentration of sulphate and nitrate anions were measured by Ion Chromatography (IC) (ICS-5000+, Thermo, US). The concentration of PEG was measured at  $\lambda_{\text{max}} = 210$  nm by an UV-vis spectrophotometer (P4 UV-visible spectrophotometer, Mapada, China). And the concentrations of Zr ions released into the permeate were measured by ICP-MS.

In addition, regarding the wide existence of NOMs (represented by HA) in natural water, the effect of HA (5 mg L<sup>-1</sup>) on the filtration performance was also studied. The dynamic filtration experiment of 50 μg L<sup>-1</sup> As(v) and 5 mg/LHA solution was also performed by using M2. The other conditions were the same as the aforementioned. Moreover, to further investigate the filtration process, the morphology and element analysis of the used membrane were studied by SEM-EDS.

## 2.5. Membrane fouling experiments

In this study, to evaluate the membrane fouling performance, the BSA filtration experiments of M0 and M2 was conducted using the same dead-end filtration cell (Model 8050) and a solution reservoir (Model RC800, Millipore), with nitrogen gas as pressure gas in the feed side. The BSA filtration experiments were conducted for three cycles. In details, each membrane was pre-compressed with DI water at 0.05 MPa for 40 min to get



a steady flux, and then the pressure was reduced to the operating pressure of 0.03 MPa. The pure water flux was recorded as  $J_0$  until the flux was stable enough. Thereafter, 0.5 g L<sup>-1</sup> BSA in phosphate buffer saline (PBS) at pH 7.0 represented as simulated organic fouling solution was used as feed solution at the same operating pressure and the fluxes were recorded as  $J_1$ . After filtration of BSA solution, the used membrane was back washed with DI water for 3 h. The water flux of cleaned membrane was measured again at the same condition and recorded as  $J_2$  for the second cycle, and  $J_3$  for the third cycle, respectively. The above filtration process of DI water filtration-BSA filtration-back wash was repeated for twice under the same conditions. In order to evaluate the membrane fouling performance, the BSA rejection rate ( $R$ ) and the flux recovery rate (FRR) of the membrane were calculated. The concentrations of BSA in the feed solution and permeate solution were measured at 280 nm by the same UV-vis spectrophotometer, the rejection rate ( $R$ ) of BSA by membranes was determined as follows:<sup>24</sup>

$$R = \left(1 - \frac{C_p}{C_0}\right) \times 100\% \quad (4)$$

where  $R$  was rejection rate,  $C_p$  was the absorbance of BSA in the permeate,  $C_0$  was the absorbance of BSA in feed solution.

The flux recovery rate (FRR) of the membrane was calculated as follows:<sup>26</sup>

$$\text{FRR} = \left(\frac{J_2}{J_0}\right) \times 100\% \quad (5)$$

where  $J_0$  was the pure water flux before pollution (L m<sup>-2</sup> h<sup>-1</sup>), and  $J_2$  was the pure water flux after the first backwash (L m<sup>-2</sup> h<sup>-1</sup>).

Generally, the membrane fouling is composed of two parts: reversible and irreversible fouling. The flux loss caused by reversible fouling ( $R_r$ ), irreversible fouling ( $R_{ir}$ ), and total fouling ( $R_t$ ) of BSA to the membrane can be determined by the following equations:<sup>24</sup>

$$R_r = \left(\frac{J_2 - J_1}{J_0}\right) \times 100\% \quad (6)$$

$$R_{ir} = \left(\frac{J_0 - J_2}{J_0}\right) \times 100\% \quad (7)$$

$$R_t = R_r + R_{ir} = \left(1 - \frac{J_1}{J_0}\right) \times 100\% \quad (8)$$

where  $J_0$  was the pure water flux before pollution (L m<sup>-2</sup> h<sup>-1</sup>),  $J_1$  was the BSA flux (L m<sup>-2</sup> h<sup>-1</sup>) and  $J_2$  was the pure water flux after the first backwash (L m<sup>-2</sup> h<sup>-1</sup>).

## 3. Results and discussion

### 3.1. Characterization of membrane

**3.1.1. Characterization of Zr-based nanoparticles.** Fig. 1a is a TEM image of the generated nanoparticles in the size range 40–90 nm and shows their irregular cylindrical shape. Fig. 1b shows the FTIR spectrum of the generated nanoparticles. The

broad, strong absorption band at 3200–3600 cm<sup>-1</sup> can be attributed to the O–H stretching vibration,<sup>27</sup> suggesting the existence of a –OH group on the surface of the generated nanoparticles. The peak at 1635 cm<sup>-1</sup> may represent the water of hydration, consistent with the band at 3200–3600 cm<sup>-1</sup>. The peaks at 1216, 1128 and 625 cm<sup>-1</sup> indicate the presence of SO<sub>4</sub><sup>2-</sup> on the surface of the nanoparticles.<sup>28</sup> The generated Zr-based nanoparticles therefore contain –OH and SO<sub>4</sub><sup>2-</sup> groups.

**3.1.2. Membrane composition.** The surface chemistry of M2 was studied by XPS to determine the chemical composition of the prepared MMMs. The wide scan spectrum in Fig. 2a shows that M2 contains the elements C, S, O and Zr, indicating the successful loading of Zr onto M2. The high-resolution spectrum of Zr 3d (Fig. 2b) shows two peaks at 184.8 and 182.4 eV, which are ascribed to Zr 3d<sub>3/2</sub> and Zr 3d<sub>5/2</sub>, respectively.<sup>7</sup> It can be also found that the Zr is uniformly distributed in PES matrix and quite matches with that of C and O in the EDS mapping of M2 (Fig. 3), further verifying the successful loading of Zr-based nanoparticles within the membrane.

### 3.2. Mechanism of membrane formation

#### 3.2.1. Phase inversion process

**3.2.1.1. Thermodynamics of casting solution.** The thermodynamics of the casting solution used in the phase inversion process have an important role in the phase demixing rate and therefore affect the structure of the membrane.<sup>29</sup> In general, the

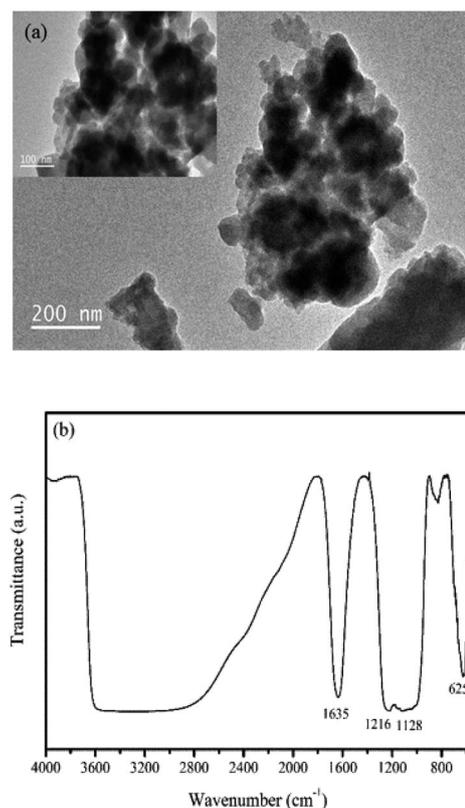


Fig. 1 (a) TEM image and (b) FTIR spectrum of the particles generated *in situ*.



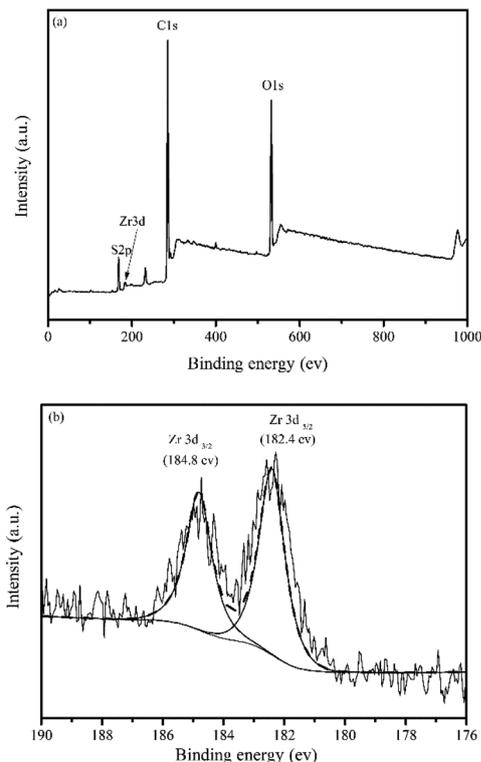


Fig. 2 XPS spectra of M2: (a) wide scan spectrum and (b) high-resolution spectrum of Zr 3d.

viscosity and cloud point are the two primary parameters used to describe the thermodynamics of the casting solution.<sup>30</sup> Fig. 4 shows that the addition of  $\text{ZrOCl}_2 \cdot 8\text{H}_2\text{O}$  to the casting solution dramatically changes these two parameters. More specifically,

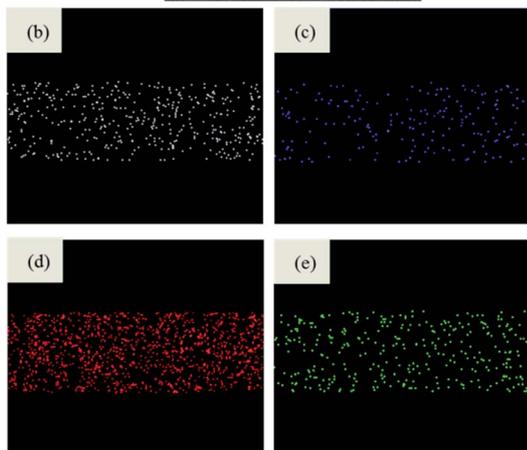
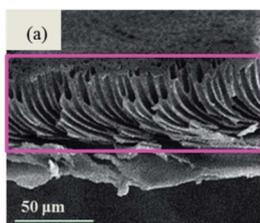


Fig. 3 EDS mapping of M2: (a) cross-sectional SEM image, (b) C Ka1, (c) O Ka1, (d) S Ka1 and (e) Zr La1.

the viscosity of the casting solution is significantly increased with increasing amounts of  $\text{ZrOCl}_2 \cdot 8\text{H}_2\text{O}$  in the casting solution, whereas the cloud point clearly decreases. These findings are consistent with previously reported work.<sup>14</sup> The dissolution of the additive  $\text{ZrOCl}_2 \cdot 8\text{H}_2\text{O}$ , which contains hydrophilic groups, in the DMSO solvent reduces the amount of DMSO available to dissolve PES. The degree of PES–solvent interaction is therefore decreased, increasing the viscosity of the casting solution.<sup>31</sup> The greater the amount of  $\text{ZrOCl}_2 \cdot 8\text{H}_2\text{O}$  added to the casting solution, the less DMSO is available for the dissolution of PES. As a result, the phase compatibility of  $\text{ZrOCl}_2 \cdot 8\text{H}_2\text{O}$ –PES–DMSO decreases, further reducing the thermodynamic stability of the casting solution. The viscosity increases from M0 to M2, whereas the cloud point slightly decreases. For example, the viscosity of M2 increases to about twice that of M0 (from 290 to 628 mPa s), whereas the corresponding cloud point shows relatively a small change from 3.6 to 2.8%.

**3.2.1.2. Phase inversion kinetics.** We evaluated the phase inversion kinetics of the membrane by measuring the changes in the transmittance of light. The transmittance of light in the membrane-forming process reflects the exchange rate between the solvent and non-solvent. Fig. 5 shows that the phase inversion process took longer to complete as the amount of Zr in the casting solution increased – for example, M2 required the longest time (about 8 s) to sufficiently complete the phase inversion process, whereas M0.5 only required about 3 s to

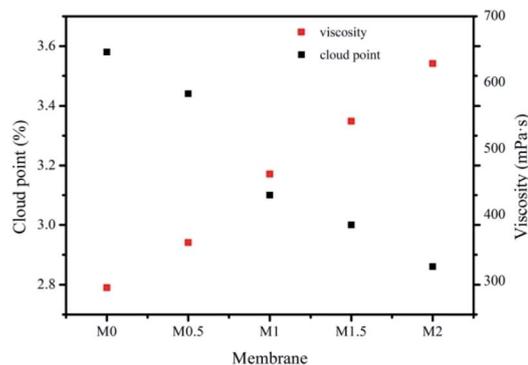


Fig. 4 Cloud point and viscosity of different casting solutions.

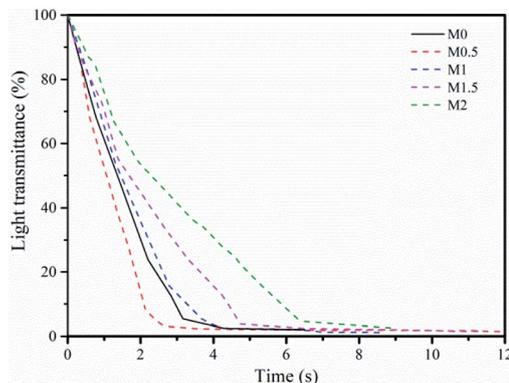


Fig. 5 Light transmittance curves of different casting solutions.



finish the phase transformation. The phase inversion process changed from instantaneous phase demixing for M0 to delayed phase demixing for M2.

This phenomenon can be attributed to the changes in viscosity and thermodynamic stability of the casting solutions. A high-viscosity casting solution can lead to a large difference in the concentration of solvent and non-solvent at the interface between the casting solution and the coagulation bath, which can slow the mutual diffusion rate of the solvent and non-solvent and inhibit the demixing process (the viscosity hindrance effect).<sup>32</sup> By contrast, the thermodynamic instability of the casting solution usually accelerates the exchange rate of the solvent and non-solvent, increasing the demixing rate (the thermodynamic enhancement effect).<sup>18</sup> As a consequence, the demixing rate is determined by the relative contributions of these two effects. When the glass plate was immersed into the coagulation bath, the thermodynamic instability led to a rapid exchange between the solvent outside the membrane surface and the non-solvent. In addition, the precursor of the Zr additive increased the hydrophilicity of the casting solution, resulting in an acceleration of the exchange rate. With continuous phase inversion, the inner solvent will migrate outwards to coagulation bath, with the rate of migration mainly determined by the rheological resistance. The significant reduction in the demixing rate after 2 s in M1.5 and M2.0 is therefore mainly a result of the viscosity hindrance effect, which prevents exchange between the solvent inside the membrane and the non-solvent. The exchange rate was increased for M0.5, but was little changed for M1.0, which had a lower viscosity than M2. The thermodynamic enhancement effect plays a dominant part in the phase inversion kinetics for lower loadings of the Zr precursor in the casting solution, whereas the viscosity hindrance effect has the primary role at higher loadings.

**3.2.2. *In situ* generation of Zr-based nanoparticles.** We investigated the reaction of Zr-based nanoparticles *in situ* in the coagulation bath to gain a better understanding of their mechanism of formation *in situ*. The concentration of Zr released from the casting solution was measured and the quality of Zr within the membrane was calculated (Fig. 6). The concentration of Zr detected in the coagulation bath increased quickly within the first 10 min for M0.5–M2 (Fig. 6a), followed by a relatively slow increase before reaching a steady state within 1 h.

The release of Zr can be divided into three stages. In stage 1, within the first 8 s, the Zr concentration in coagulation bath changes at a low rate. In particular, a very limited amount of Zr dissolved in DMSO (about 1.0–1.5% of the designed Zr content in the casting solution) migrates from the casting solution into the coagulation bath, accompanied by the formation of a nascent membrane *via* a phase inversion process. In this stage, the migration of Zr is mainly a result of the demixing process, in which the solvent DMSO is exchanged by the non-solvent, which migrates from the casting solution to the coagulation bath. This stage is referred to as the migration stage. In stage 2 from 0.13 min (*i.e.* 8 s) to 10 min, Zr is continuously released into the coagulation bath at a faster rate than in the first stage. As the nascent membrane is formed, the *in situ*

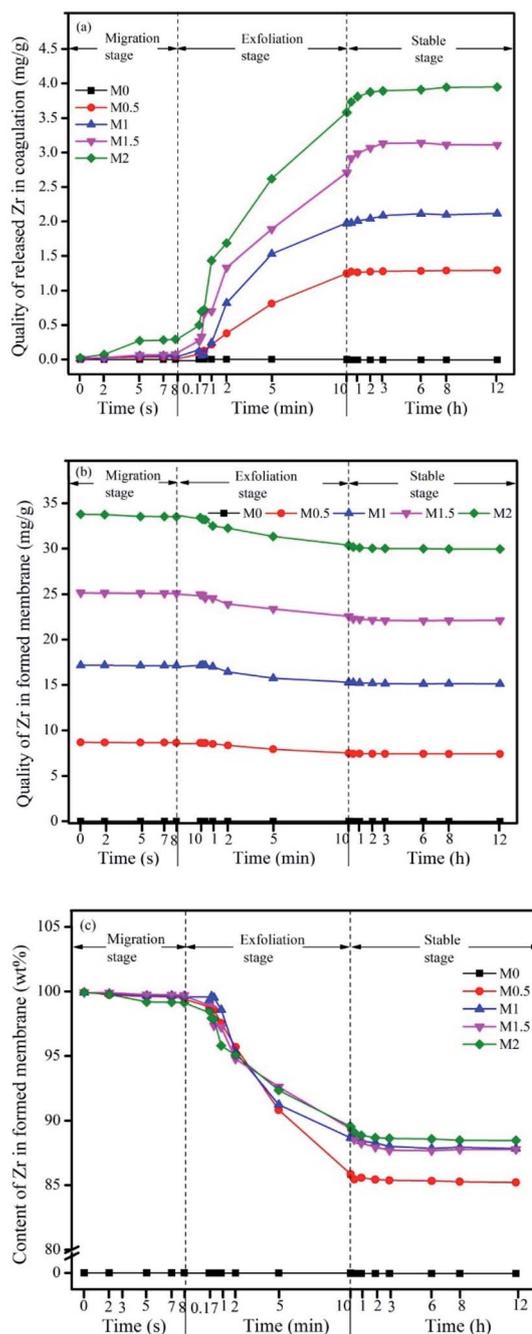


Fig. 6 *In situ* generation of the Zr nanoparticles: (a) quality of released Zr in coagulation bath; (b) quality of Zr in the formed membrane; and (c) the content of Zr in formed membrane plotted against time.

generated Zr-based nanoparticles or unreacted Zr ions on the top surface and on the outer surface of the inner pores of the membrane tend to move into the hydrophilic coagulation bath from the interface between the hydrophilic nanoparticles and the hydrophobic polymer. The second stage is therefore referred to as the exfoliation stage. In the third stage, the amount of Zr released in the coagulation bath increases slowly and reaches a stable state within 1 h for all membranes. The *in situ* generation of Zr-based nanoparticles is therefore complete within 1 h. This last stage is referred to as the stable stage. These three



stages can be used to describe the *in situ* generation of nanoparticles in terms of the *in situ* reaction in the coagulation bath and provide a new way of understanding the mechanism of formation of *in situ* generated MMMs.

The *in situ* generation of nanoparticles requires a longer time to complete than the phase inversion process. At least 1 h is required for the completion of both processes in the coagulation bath.

As the loading of the Zr precursor in the casting solution increases, the amount of Zr released also increases, although the quality of the Zr remaining within the membrane (Fig. 6b) increases from M0.5 to M2 (*i.e.* 7.40–29.94 mg g<sup>-1</sup>-membrane).

Fig. 6c shows that the corresponding amount of Zr remaining in all the mixed membranes can reach >85%. In particular, the amount of Zr remaining in the membrane for M0.5 is about 85% of the designed amount, whereas the Zr content in the other membranes remains relatively stable at about 88%, despite the increased quality of Zr from M1 to M2. M2 (88.53%) have the highest content of *in situ* generated nanoparticles in the formed membrane.

**3.2.3. Membrane structure and properties.** We observed the morphology of the cross-section and top surface of the prepared membranes using SEM. Fig. 7 shows that the cross-sections of all the membranes have a typical asymmetrical structure consisting of a top skin-like layer and a supporting layer with thick finger-like macro-voids. The top surface of the MMMs is smooth and flat without any clear difference between the pure PES membrane and the MMMs. However, the cross-sections show significant differences between membranes with different contents of *in situ* generated nanoparticles. With the increase in ZrOCl<sub>2</sub> · 8H<sub>2</sub>O in the casting solution, the thickness of the top skin-layer was decreased from 3.190 μm to 2.315 μm for M0 and M5, respectively. Whereas for M1 to M2, the thickness of separation layer gradually increased from 2.925 to 3.504. At the same time, the finger-like macro-voids in the support layer become slightly longer and broader for M0.5 than for M0, whereas the structure of the macro-voids changes to narrow and short and the formation of macro-voids for M1–M2 seems to be suppressed relative to M0 and M0.5, especially for M2, the finger-like macro-voids near the membrane bottom changes to irregular voids with some spongy area around. As a consequence, the porosity of the membranes first increases from M0 to M0.5 (Table 2) and then slightly decreases from M1 to M2. This trend corresponds to the changes in the porous structure of the membranes.

The water contact angle is widely used to evaluate the hydrophilicity of membranes. In general, a smaller contact angle indicates a better surface hydrophilicity.<sup>33</sup> The contact angles of the prepared membranes decreases as the amount of nanoparticles inside increases (Table 2), indicating that the hydrophilicity of the membrane can be improved by the presence of the *in situ* generated nanoparticles. As the structure of the top surface is similar for different membranes, it is the surface composition that has a key role in determining the hydrophilicity of the surface.<sup>34</sup> The amount of Zr-based nanoparticles within the membranes increases from M0 to M2, which results in more hydrophilic components becoming available on the surface of the membrane.

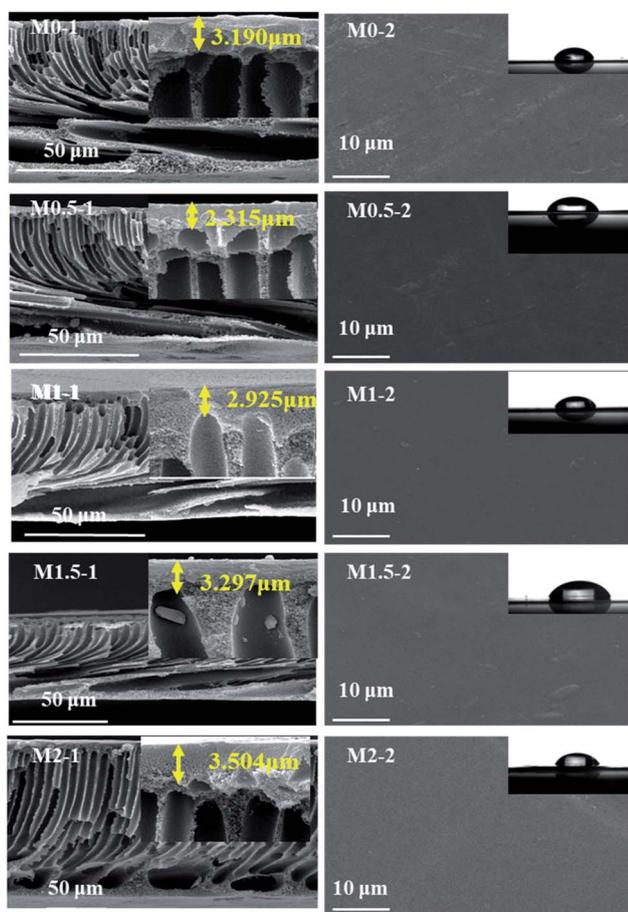


Fig. 7 SEM images of cross-section (left) and top surface (right) of M0–M2. Insets: enlarged images of top thin layers (left) and photographs of the water contact angle (right) of each type of membrane.

Table 2 Characteristics of the membranes

Membrane	Porosity (%)	Water contact angle (°)	Water flux (L m <sup>-2</sup> h <sup>-1</sup> )	Zr content in formed membrane (wt%)
M0	72.21 ± 0.02	67.54 ± 1.98	67.63 ± 5.39	0.00
M0.5	78.64 ± 0.02	62.44 ± 2.24	91.18 ± 5.08	0.74
M1	77.06 ± 0.02	60.72 ± 2.05	117.15 ± 8.72	1.51
M1.5	76.88 ± 0.02	57.60 ± 2.12	132.04 ± 2.67	2.21
M2	75.51 ± 0.03	54.35 ± 2.31	127.6 ± 4.65	2.99



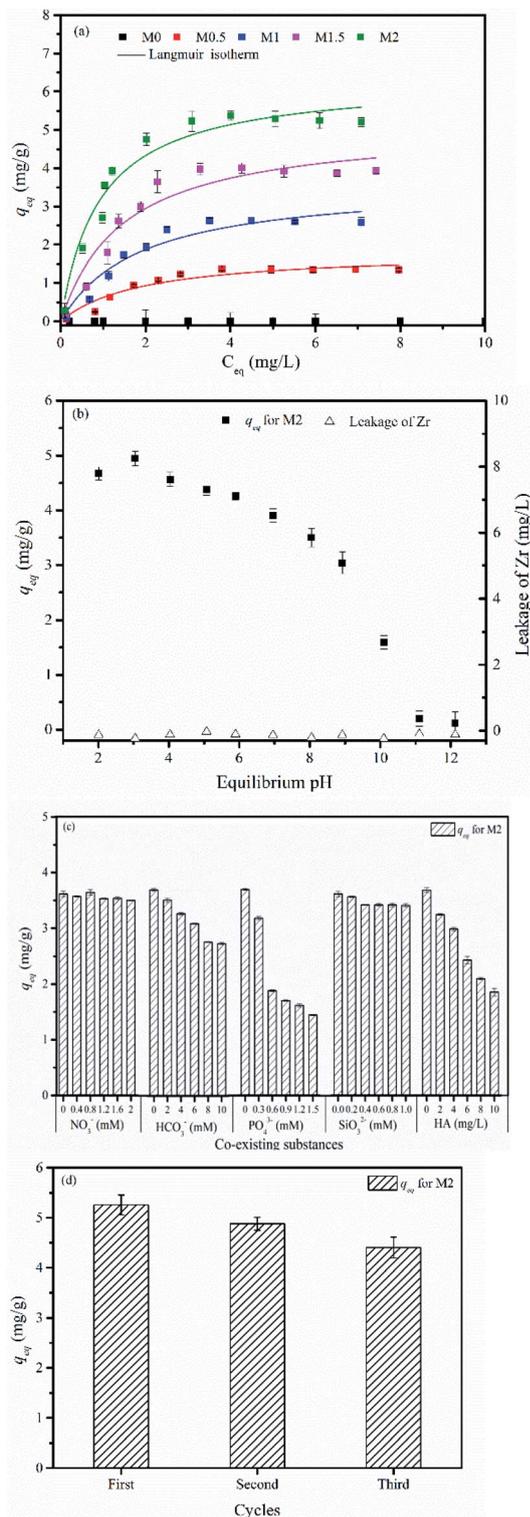


Fig. 8 Adsorption of As(v) onto the Zr-based nanoparticle–PES membrane: (a) adsorption isotherm and modelling curves, (b) effect of pH, (c) effect of co-substances and (d) regeneration of M2. Experimental conditions: membrane dosage =  $1 \text{ g L}^{-1}$ ,  $[\text{As}]_0 = 5 \text{ mg L}^{-1}$  for (b and c),  $\text{pH} = 7 \pm 0.1$  and  $T = 20 \pm 1^\circ \text{C}$ .

The pure water flux of the MMMs increases significantly with the increase in the amount of  $\text{ZrOCl}_2 \cdot 8\text{H}_2\text{O}$  in the casting solution from M0.5 to M1.5 and decreases slightly for M2, all of

which are higher than M0 (Table 2). Although the porosity of the MMMs slightly decreases from M1 to M2, the hydrophilic *in situ* generated Zr-based nanoparticles within the membrane greatly improve the hydrophilicity of the MMMs, leading to an enhanced permeability to water.

**3.2.4. Relationship between membrane formation process and membrane structure.** The changes in the membrane structure and properties are mainly associated with the formation process. It has been well documented that the phase demixing process has a large effect on the morphology of the membrane.<sup>35,36</sup> Instantaneous demixing can facilitate the formation of a finger-like macro-void structure, whereas delayed demixing suppresses the formation of macro-voids and may lead to a sponge-like structure.<sup>37</sup> The more rapid demixing process seen with M0.5 than with M0 favours the formation of thin top layer and large finger-like macro-voids. The changes in morphology between M0.5 and M0 are consistent with the resulting demixing rate and the corresponding porosity increases from M0 to M0.5. The delayed demixing process for M1–M2 suppresses the formation of macro-voids and facilitates the formation of spongy structure, leading to a decrease in porosity. The membrane morphology and porosity can therefore be tuned by controlling the phase inversion process.

The Zr content in the membrane matrix increases as the amount of loading increases, which increases the surface hydrophilicity of the membrane. The morphology of the top surface is very similar for different membranes and the surface hydrophilicity is mainly affected by the surface composition. The change in surface hydrophilicity is related to the amount of Zr remaining in the membrane. The trend in the resulting water permeability also corresponds to the amount of Zr remaining in the membrane. These results suggest that the *in situ* generation of nanoparticles process also has an important role in controlling the properties of the membrane, including the surface composition, surface hydrophilicity and permeability to water.

The structure and properties of the membrane can therefore be well controlled by adjusting the phase inversion process and the *in situ* generation of nanoparticles.

### 3.3. Adsorption performance

**3.3.1. Adsorption isotherm.** We carried out adsorption isotherm experiments using different membranes to evaluate their capacity to adsorb As(v). Fig. 8a shows that the adsorption capacity of the MMMs is higher than that of the pure membrane. The maximum experimental adsorption capacity for As(v) was  $5.248 \text{ mg g}^{-1}$  for M2.0, which has the highest content of Zr-based nanoparticles in the membrane. It is well known that the adsorption capacity of nanocomposite membranes is closely related to the loading of the adsorbent in the membrane matrix.

We used Langmuir and Freundlich isotherm models to describe the adsorption isotherm. The Langmuir equation can be expressed as:<sup>38</sup>

$$q_{\text{eq}} = \frac{q_{\text{max}} b C_{\text{eq}}}{1 + b C_{\text{eq}}} \quad (9)$$



Table 3 Langmuir and Freundlich constants for the adsorption of As(v) onto different membranes

Membrane	Langmuir isotherm				Freundlich isotherm			
	$q_{\max}$ (mg g <sup>-1</sup> )	$B$ (L mg <sup>-1</sup> )	$r^2$	$\chi^2$	$K_f$	$n$	$r^2$	$\chi^2$
M0.5	1.832	0.517	0.917	0.145	0.647	2.364	0.820	0.350
M1	3.622	0.535	0.927	0.063	1.270	2.049	0.828	0.041
M1.5	5.124	0.675	0.922	0.139	2.059	2.544	0.808	0.496
M2	6.327	1.084	0.951	0.018	3.120	3.012	0.827	0.148

and the Freundlich equation can be expressed as:<sup>38</sup>

$$q_{\text{eq}} = K_f C_{\text{eq}}^{\frac{1}{n}} \quad (10)$$

where  $q_{\max}$  is the total content of adsorption sites (*i.e.* the maximum adsorption capacity, mg g<sup>-1</sup>),  $C_{\text{eq}}$  is the equilibrium concentration (mg L<sup>-1</sup>),  $b$  is the adsorption reaction constant (L mg<sup>-1</sup>),  $q_{\text{eq}}$  is the adsorption capacity (mg g<sup>-1</sup>) and  $K_f$  and  $n$  are empirical constants.

Table 3 summarizes the parameters of the Langmuir and Freundlich isotherm models. The Langmuir model describes the adsorption data well, with a correlation coefficient ( $r^2$ ) of 0.917–0.951 and a  $\chi^2$  value of 0.019–0.146. The fitting curves for the Freundlich model deviate from the experimental data, with an  $r^2$  of 0.808–0.828 and a  $\chi^2$  value of 0.041–0.496. The Langmuir isotherm model therefore provides a better description of the experimental data than the Freundlich isotherm model. This indicates that the adsorption of As(v) on the mixed membrane can be controlled by a monolayer adsorption process.

The trend in the change in the  $b$  value is contrast to the trend in the change in the contact angle, so that a lower contact angle is measured with higher values of  $b$ . An increased load of *in situ* generated Zr-based nanoparticles within the membranes leads to a lower contact angle, which can result in a more hydrophilic membrane surface and a stronger adsorption of As(v). Based on the Langmuir isotherm model, the maximum adsorption capacity of M2 was 6.327 mg g<sup>-1</sup>. M2 was therefore selected for subsequent experiments because it shows the highest content of Zr-based nanoparticles and the highest capacity for the adsorption of As(v).

**3.3.2. Effect of pH.** Fig. 8b shows that the adsorption of As(v) is strongly dependent on pH. The adsorption capacity increases sharply at pH < 3.0, slightly decreases as the pH increases from 4 to 9 and then dramatically decreases at pH > 10. The maximum adsorption capacity is 4.945 mg g<sup>-1</sup> at a pH of about 3.0. The decrease in As(v) uptake under extremely alkaline conditions may be due to competition between OH<sup>-</sup> and As(v) anions for the adsorptive sites.

We also investigated the release of Zr-based nanoparticles after adsorption. Fig. 8b indicates that no Zr ion is detected at any pH. This suggests that no leakage of nanoparticles occurs during the adsorption process, further confirming the stability of the *in situ* generated nanoparticles in the membrane matrix.

**3.3.3. Effects of coexisting substances.** We investigated the effects of coexisting substances, such as bicarbonate, silicate, phosphate and nitrate, with humic acid represented as NOM,

on the removal As(v) by M2. Fig. 8c shows that the presence of nitrate and silicate hardly affects the uptake of As(v), whereas the presence of bicarbonate and phosphate greatly decreases the adsorption of As(v). Bicarbonate and phosphate can form metal complexes with Zr-based sorbents and therefore compete with As(v) for the available adsorption sites.<sup>39,40</sup> The presence of humic acid in the concentration range 2–10 mg L<sup>-1</sup> reduces the adsorption of As(v) by 11.97–49.59%. This is because the humic acid is adsorbed onto the surface of the hydrophobic membrane and blocks the adsorption sites. Although the hydrophilicity of the M2 membrane is higher than that of M0, the membrane can still adsorb humic acid, resulting in fouling of the membrane. The adsorption of As(v) is clearly reduced by the presence of humic acid.

**3.3.4. Regeneration performance.** Reusability is an important factor in the practical application of MMMs. We performed several cycles of adsorption–desorption experiments using M2. Fig. 8d shows that the adsorption capacity of cycles 2 and 3 reached 92.8 and 90.2%, respectively, of that of cycle 1, suggesting that M2 can be used effectively multiple times.

**3.3.5. Filtration performance.** Fig. 9 shows the results of the filtration performance of the MMMs. For a single As(v) feed solution, the concentration of As(v) in the permeate is extremely low within the first 2400 bed volume and sharply increases to the breakthrough point at a bed volume of 3585. SEM–EDS analysis shows that elemental As was detected on the top surface and cross-section of M2 (Fig. 10). Meanwhile, as shown in Fig. S1,<sup>†</sup> the mean pore size of the M2 is 0.37  $\mu\text{m}$ , and the pore size ranges from 100 to 11 000 Å, which is much higher than the size of As(v) anions. These findings suggest that the

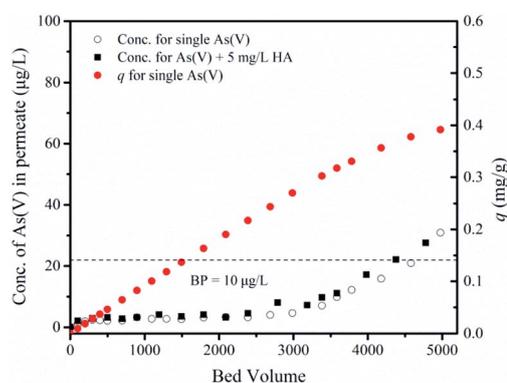


Fig. 9 Dynamic filtration of As(v) by M2. Experimental conditions: TMP = 0.005 MPa, pH = 7.0 ± 0.1, T = 20 ± 1 °C, As(v) = 50 µg L<sup>-1</sup> and humic acid = 5 mg L<sup>-1</sup>.



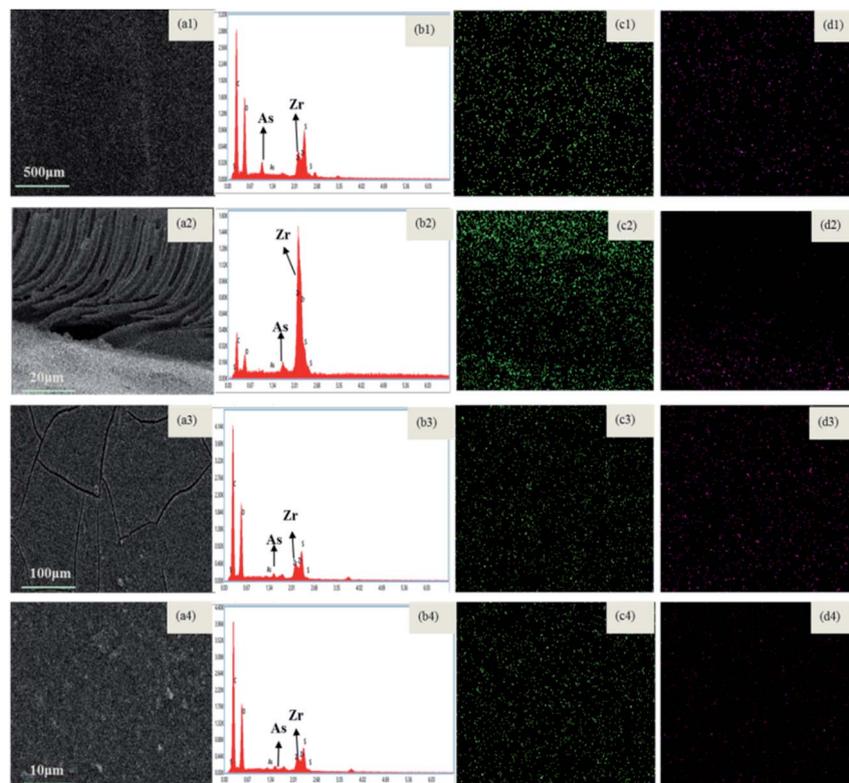


Fig. 10 SEM-EDS mapping of M2 after dynamic filtration experiment: (a) SEM image, (b) EDS spectrum, (c) Zr La and (d) As La. (1) Top surface of single As(v) system, (2) cross-section of single As(v) system and (3) low-resolution image of top surface and (4) high-resolution image of top surface of As(v) and humic acid ( $5 \text{ mg L}^{-1}$ ).

removal of As(v) by M2 should be mainly attributed to adsorption rather than size exclusion effect. To further distinguish both contributions, the effect of membrane structure on the filtration performance was also studied by using other substances (such as PEG, and sulphate and nitration anions), which has no interaction with Zr-NPs. The results shown in Fig. S2† demonstrate that almost all of these substances can go through the membrane matrix and enter into the permeate, indicating that the top thin layer and inner pores have little rejection effect on these substances. This result further confirms that the removal of As(v) should be ascribed to the adsorption effect. More importantly, the long-term filtration was operated without occurrence of Zr leakage, suggesting that the M2 possesses a long-term stability under the filtration conditions.

The presence of  $5 \text{ mg L}^{-1}$  humic acid in a mixed binary solution of As(v)–humic acid slightly decreases the filtration performance. The volume of the permeate with a concentration of As(v) below the breakthrough point reaches 3284 bed volume, which is 8.34% less than that for the As(v)-only results. The presence of humic acid in the batch experiment greatly reduces the adsorption of As(v). The presence of humic acid in the filtration process affects the removal of As(v) *via* two mechanisms: (1) humic acid can adhere to the membrane matrix and block the sites available for adsorption;<sup>41</sup> or (2) the humic acid rejected by size sieving could form a filter cake layer on the membrane surface (Fig. 10). The signal of elemental As is also detected on the surface of the filter cake layer. This indicates that the filter cake layer can act as a barrier to prevent As(v) ions from flowing across from the top surface of the membrane to the base of the membrane, enhancing the removal of As ions.

Table 4 Antifouling evaluation parameters of M0 and M2

Antifouling evaluation parameters	M0			M2		
	First cycle	Second cycle	Third cycle	First cycle	Second cycle	Third cycle
$R$ (%)	53.6	32.7	30.8	78.1	69.0	58.2
FRR (%)	59.5	49.8	46.3	76.4	64.2	61.9
$R_t$ (%)	58.2	62.8	68.6	44.1	58.9	65.5
$R_{ir}$ (%)	40.5	50.2	53.7	23.6	35.8	38.0
$R_r$ (%)	17.7	12.6	14.9	20.5	23.1	27.5



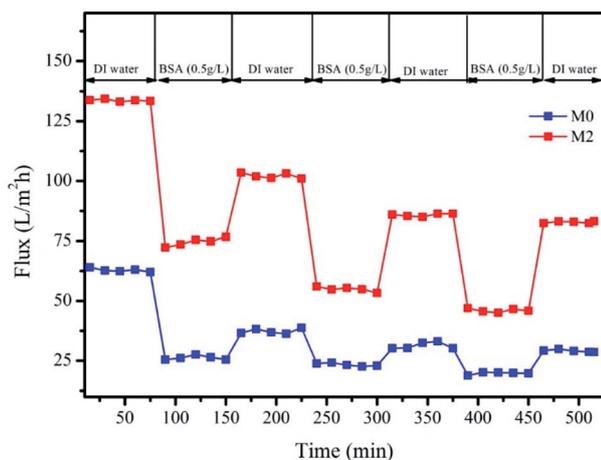


Fig. 11 Flux variation of M0 and M2 during two cycles of filtration BSA solution.

The slight reduction in the removal of As(v) in the presence of humic acid can be mainly ascribed to the barrier effect of the humic acid filter cake.

### 3.4. Antifouling performance

Membrane fouling is a common problem and can reduce the permeability of the membrane, decrease selectivity, increase energy consumption and shorten the life of the membrane.<sup>42</sup>

We used a bovine serum albumin (BSA) solution to represent organic matter in the filtration process and investigated the antifouling ability of M0 and M2. The hydrophilicity of the membrane generally affects its antifouling ability. The stronger the hydrophilicity of the membrane, the higher its antifouling ability.<sup>1</sup> Table 2 shows that the contact angle of M0 is 67.54° and

that of M2 is 54.35°, suggesting that M2 has a higher hydrophilicity and anti-pollution properties than M0. Table 4 shows the results of three cycles of BSA rejection rate ( $R$ ) experiments with M0 and M2. The value of  $R$  of M2 was higher than that of M0 in all cycles. For example, the  $R$  of BSA was 78.1% in the first cycle of M2 and 69.0% in the second cycle of M2, which is about 1.46 and 2.11 times of the  $R$  in the corresponding cycles for M0. This suggests that the addition of Zr-based nanoparticles could increase the anti-pollution effect of the membrane due to the increase in the hydrophilicity of the membrane surface and pore walls. Compared with the first cycle, the  $R$  of BSA for M0 dramatically decreased from 53.6 to 32.7% in the second cycle, whereas the  $R$  for M2 slightly decreased by 9.1%. This suggests that the MMM with *in situ* generated nanoparticles may have better antifouling properties than the PES membrane alone in multiple applications.

The flux recovery rate is also an important parameter in the evaluation of antifouling properties.<sup>34</sup> In general, a higher flux recovery rate suggests a better antifouling performance. Fig. 11 shows the flux curves of M0 and M2. The flux of M0 and M2 is relatively stable in the first cycle of deionized water filtration. However, after each cycle of BSA filtration, the flux of M2 and M0 decreased significantly, which can be attributed to the deposition and adsorption of protein on the membrane surface as a result of the formation of the filter cake during the filtration of BSA.<sup>19,37</sup> Table 4 shows that, after the first backwash, the values of FRR of M0 and M2 are 59.8 and 76.4%, respectively, whereas after the 3<sup>rd</sup> backwash, the FRR values of M0 and M2 decrease to 46.3% and 61.9%, respectively. The flux recovery of M2 is higher than that of M0, which is related to the hydrophilicity of the membrane. The generated hydrophilic Zr-based nanoparticles therefore improve the hydrophilicity of the PES, which immobilizes the water around the PES matrix, forming

Table 5 Comparison of adsorption and antifouling performances for As(v) removal by different membranes

Membranes	Content of additive		$C_e$ of As(v) (mg L <sup>-1</sup> )	$q_{\max}$ based on membrane dosage (mg g <sup>-1</sup> )	BSA rejection <sup>a</sup> (%)	FRR <sup>a</sup> (%)	Reference
	pH	in membrane (%)					
Cu nanoparticles intercalated in carbon nanotube membranes	7.0	2.31	0.09	0.084	—	—	10
PVDF/zirconia (0.5) blend flat sheet membrane	3–4	0.5	40	4.5	—	—	46
Iron oxyhydroxides in an adsorption-submerged membrane	8.1	44.5	0.01	6.2	—	—	47
Polyethersulfone mixed matrix membranes embedded with Zr-incorporated SBA-15 materials	7.6	6.2	—	—	56.8	60.0	1
Polyethersulfone ultrafiltration membrane modified with a CuO/ZnO nanocomposite	7.0	0.2	—	—	99	50.1	45
Mixed matrix polyethersulfone membranes blended with magnetic nanoparticles	7.0	0.1	—	—	—	65–70	16
Self-assembly of TiO <sub>2</sub> nanoparticles/PES ultrafiltration membrane	7.4	2.0	—	—	95.5 ± 0.9	67.6	24
<i>In situ</i> generated Zr-based nanoparticles/PES mixed matrix membrane	7.0	2.99	8.0	6.327	78.1	76.4	This study

<sup>a</sup> Represents the data obtained from 1st cycle of BSA filtration.



a hydration layer on the surface of the membrane.<sup>43</sup> This water layer acts as a barrier to prevent protein from attaching to the mixed membrane. The hydrophobic PES membrane tends to adsorb proteins, which act as a barrier and cause a significant low flow recovery.

To further analyse the antifouling properties of the membranes, we analysed the compositions of the membrane fouling products, including both reversible and irreversible fouling products (Table 4). In the two filtration cycles, although the reversible fouling ( $R_r$ ) of M2 increases compared with M0, the irreversible ( $R_{ir}$ ) and corresponding total ( $R_t$ ) fouling continuously decreases. This is because the hydrated layer formed around the *in situ* generated membrane can prevent the protein molecules from forming a solid bond with the membrane material, thereby reducing pollution by organic matter. This further verifies that M2 has a higher antifouling ability than M0.

The M2 membrane has a comparable FRR to other previously reported antifouling PES membranes under similar measurement conditions (76.4% versus 62.5–80.8%).<sup>24,25,44,45</sup> This indicates that the antifouling ability of the pure PES membrane can be improved by the addition of *in situ* generated hydrophilic nanoparticles. Furthermore, the present membrane M2 also displays comparable adsorption capacity to other reported adsorptive membranes, regarding its loading amount of NPs and relatively easy one-step preparation process as shown in Table 5.

## 4. Conclusions

We developed a novel Zr-based nanoparticle–PES adsorptive MMM using an *in situ* generation method. The *in situ* generation of nanoparticles was investigated to explore the mechanism for the formation of the membrane. We also explored the antifouling performance of the MMM to evaluate its use in practical applications. The XPS and EDS results showed that the Zr-based nanoparticles generated *in situ* were homogeneously distributed within the PES membrane. The phase inversion process varied from an instantaneous demixing process caused by an increase in thermostability to a delayed demixing process caused by viscosity hindrance. The resultant structure of the MMMs changed from a larger finger-like macro-void structure (M0.5 and M1) to a suppressed finger-like macro-void structure (M2) with increasing amounts of *in situ* generated nanoparticles.

The release of Zr in the coagulation batch suggested that the *in situ* generation process can be divided into three stages: a migration, an exfoliation and a stable stage. M2 had an enhanced hydrophilicity, water flux, adsorption and antifouling performance over the PES membrane, including a higher adsorption of As, a higher rejection of BSA and higher FRR values after water backwashing. M2 can effectively remove As, mainly by adsorption effects, during filtration processes. Even in the presence of humic acid, M2 can maintain a high efficiency for the removal of As *via* adsorption and size exclusion effects. These findings provide a new insight into the mechanism of formation of *in situ* generated nanoparticle–polymer MMMs and a comprehensive evaluation of adsorptive MMMs for practical water treatment systems.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

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