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# Negative ion formation by neutral hydrogen atom grazing scattering from a LiF(100) surface

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We present a theoretical description of negative ion conversion of the grazing scattering of neutral hydrogen atoms on LiF(100) surface. Here, in addition to the capture of a valence band electron near a surface F<sup>-</sup> site by an incident H atom, the Coulomb repulsive barrier tunneling behavior is also considered to treat the detachment of the affinity electron from the formed H<sup>-</sup> ion to vacuum through its interaction with the surface F<sup>-</sup> site. With incorporation of the image-attraction-induced increase on the vertical component of the projectile energy and the collective dielectric screening effect of surrounding anions and cations on the charge of surface F<sup>-</sup> site which participates in the electron detachment, the image attractive interaction was revealed to obviously increase the electron detachment probability, in turn obviously decreasing the final negative ion yield. Moreover, the sub-eV order of the energy defect between the H<sup>-</sup> affinity level and the unoccupied image state induced by the potential field of the H<sup>-</sup> image charge in LiF leads to an additional H<sup>-</sup> destruction channel in which H<sup>-</sup> affinity electron transfers to the unoccupied image state without electron emission by a nearly resonant charge transfer manner. A clear picture of this electron loss process is also presented. Our present results well reproduce the experimental observation in the whole velocity range and the high fraction of H<sup>-</sup> ion yield as an alternative solution can be used on the implantation of ITER device.

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## 1. Introduction

Charged particle-surface interactions as an important field have been intensively researched over several decades. Most studies have focused on, for instance, ion- or electron-induced sputtering and desorption,<sup>1</sup> ion stimulated surface electron emission,<sup>2-4</sup> energy loss,<sup>5</sup> and ion induced surface nanostructures.<sup>6-9</sup> The charge state in scattered beams after projectile scattering from an insulator ionic-crystal surface has received much attention.<sup>10</sup> Specifically, the interesting phenomenon of an unexpectedly large fraction of negative ions yield by positive or neutral projectile grazing scattering from insulator alkali halide or oxide surfaces have been experimentally observed.<sup>11</sup> This large negative ion conversion yield and the related charge-transfer mechanism have received much attention because of the wide applications of negative ions in various fields, such as laser cooling,<sup>12-14</sup> using C<sup>-</sup> ions to facilitate nerve tissue growth,<sup>15</sup> using O<sub>2</sub><sup>-</sup> ions for indoor environment purification,<sup>16</sup> using H<sup>-</sup> ions as a probe for the subband electronic structures of nanosurfaces,<sup>17</sup> applying H<sup>-</sup>/D<sup>-</sup> ion beam implantation to

ITER devices,<sup>18</sup> using negative ions in astrophysics evolution studies,<sup>19</sup> designing negative ion sources<sup>20</sup> and constructing neutral particle detectors in space research.<sup>21</sup>

The negative ion conversion mechanism of atomic particle scattering at grazing angles on metal surfaces has already been comprehensively studied.<sup>22-25</sup> In this case, charge-exchange is dominated by electronic resonant transitions between states of metal surface and the affinity level of a projectile. When the projectile approaches the surface, its energy level is drastically shifted downward by the image potential, which increases with decreasing distance between the projectile and the surface. By this shift, the affinity level of the projectile was shifted below the Fermi level and an electron was captured from the metal states to the projectile during the approaching to the surface. In contrast, when the negative ion leaves away from the surface, its affinity level shifted above the Fermi level and couples with the unoccupied states of the metal, which makes the electron can be lost back to the surface of the metal. Different from metals, insulating ionic crystals have a wide band gap (typically within 6–14 eV (ref. 20)), and the image potential shifts on such surfaces are approximately 1–2 eV (ref. 26). Clearly, this relatively small shift of the projectile affinity level cannot move it to near the valence band level and leads to the valence band electron capture being impossible. However, most experimental results<sup>27</sup> have shown that the measured negative ion yield from neutral atom grazing scattering from the surface of a wide band

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gap material is unexpected large, which needs to be explained. In the study of negative ion conversion arising from neutral atoms grazing scattering from the surface of an AB-type ionic crystal, Borisov *et al.*<sup>28</sup> proposed that the Madelung potential produced by the constituent anions and cations of the insulating ionic crystal leads to a prominent downward shift of neutral projectiles' affinity level during their approach to the surface. In addition, the Coulomb interaction between the negative ion and the positively charged hole on the surface after an electron capture in the final state of the charge transfer reaction also affords a large reduction of the energy defect. Both the effects above can reduce the electron capture energy defect to several eV and result in a low velocity threshold for negative ion formation. While on the other hand, the neglect of the possible electron loss channels in theoretical model leads to the calculated negative ion yield tending to saturate with velocity, obviously contrary to the decrease observed in experiments at large velocity.

Recently, a simple model<sup>29–31</sup> that incorporates the electron detachment from a negative ion to vacuum by regarding it as a Coulomb barrier tunneling behavior caused by the Coulomb interaction between the formed negative ion and surface anions well reproduced the  $F^0$  and  $O^0$  experimental results in the whole velocity range. For the  $H^0$  case, an electron loss based on 3D wave-packet propagation was proposed.<sup>32</sup> This model includes three electron destruction channels: (1) the kinematically assisted electron loss to the conduction band, (2) the resonant coherent electron detachment induced by the periodic potential in front of the surface and (3) the electron loss in a binary-type collision with the surface anions. In these three channels, electrons will be mainly lost to vacuum. The energy spectrum of  $H^0$  atoms grazing scattering from a LiF(100) surface measured by Roncin *et al.*<sup>33</sup> shows a fraction of scattered H atoms without electron emission which reveals the existence of a  $H^-$  loss channel without electron emission to vacuum. Consequently, Winter *et al.*<sup>34</sup> considered the affinity electron loss from a  $H^-$  ion to the surface exciton state by the Landau–Zener model to explain this electron loss channel. In which they combined a constant detachment probability of 0.5 and several adjustable parameters, the calculation of their model matched the experimental result in the low velocity range ( $v < 0.2$  a.u.), but a large divergence between the results of experiment and their simulation appeared at high velocity. Therefore, a clear physical picture of electron loss needs to be revealed to reproduce the experimental negative ion yield in the whole velocity range.

In this work, a simple theoretical description of negative ion conversion from grazing scattering of neutral hydrogen atoms from a LiF(100) surface in the whole velocity range was presented. Here, in addition to the capture of a valence band electron near a surface  $F^-$  site by a neutral H projectile, the Coulomb barrier tunneling behavior of the detachment of the affinity electron from the formed  $H^-$  ion to vacuum during its interaction with the surface  $F^-$  site was considered. By including in the image attraction-induced increase in the vertical component of the projectile energy and the collective dielectric screening effect of surrounding anions and cations on the charge of surface  $F^-$  site that participates in the electron detachment, the image attractive interaction was revealed to increase the electron detachment

probability, in turn drastically decreasing the final  $H^-$  ion yield. Additionally, the calculated sub-eV order of energy defect between the  $H^-$  affinity level and the unoccupied image state induced by the potential field of the  $H^-$  image charge in LiF surface implies that the  $H^-$  destruction without electron emission was due to its affinity electron loss to the unoccupied image state by a nearly resonant charge transfer manner. A clear picture of this  $H^-$  destruction channel is also presented here.

The paper is arranged as follows. Section 2 is devoted to the presentation of a simple model description. Where the basic valence band electron capture energy defect, electron capture probability, and the electron loss channel of the Coulomb barrier tunneling detachment to vacuum during the formed negative ion interaction with a surface anion site are presented. For the negative ions detachment, corrections of the image potential attraction and the effective charge resulting from the collective dielectric screening effect of LiF crystal on the surface  $F^-$  site to the Coulomb barrier tunneling detachment to vacuum behavior are discussed in detail. In addition, a clear picture of unoccupied surface image state loss in a nearly resonant charge transfer (RCT) used to explain the  $H^-$  ions destruction without accompany electron emission is also presented. In Section 3, the simulation results are compared with available experimental results, and finally, the major conclusions are drawn in Section 4. Atomic units are used unless otherwise stated.

## 2. Methods

### 2.1 The basic model and energy defect of electron capture

As shown in Fig. 1, the surface of an alkali-metal halide consists of alternating anions ( $Hal^-$ ) and cations ( $Alk^+$ ) located at lattice sites with charges of  $q_i = \pm 1$ . The valence band of alkali-metal halides originates from the  $Hal^-(np_{x,y,z})$  orbitals.<sup>35,36</sup> The charge transfer between an incident projectile and the surface mainly occurs at the surface  $Hal^-$  site; that is, when a neutral projectile  $A_{gas}^0$  scatters from an alkali-metal halide surface, electron capture occurs at the  $Hal^-$  site. This guarantees the validity of the binary interaction picture. Thus, the interaction between an incident projectile and an alkali-metal halide surface in grazing scattering can be simply regarded as a series of binary collision events between the projectile and surface halogen sites along its trajectory. The small incident angle

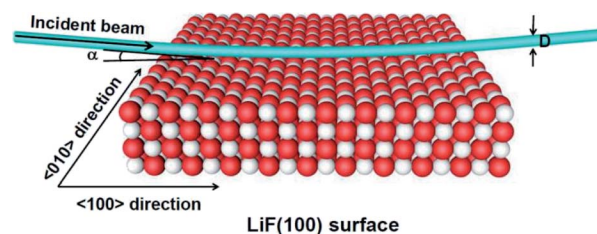
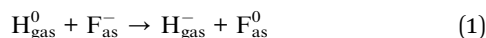


Fig. 1 Sketch of a projectile scattering from a LiF(100) surface, which consists of one active site and 899 surrounding ions of crystal organized in four parallel layers.<sup>30</sup> The direction of the incident beam is parallel to the  $\langle 100 \rangle$  channel of the surface plane.  $\alpha = 1^\circ$  is the incident angle and  $D$  is the diameter of the incident beam.



makes the projectile trajectory near the surface parallel to the surface plane. Hence, the actual trajectory of a projectile is approximated as piece wise parallel to the surface plane.<sup>20</sup>

In a single binary collision of the studied  $\text{H}^0$ -LiF(100) scattering, the electron capture process can be expressed by



The subscript 'as' in eqn (1) denotes the specific  $\text{F}^-$  that actually participates in the binary collision charge-transfer with H atom and was taken as the origin of the coordinates. All crystal sites except for the  $\text{F}_{\text{as}}^-$  are regarded as point charges in the energy defect calculation. The energy defect between the initial and final states plays a crucial role in the electron capture process and can be expressed as<sup>30,31</sup>

$$\Delta E(\mathbf{R}, \nu) = \Delta E_{\text{PC}}(\mathbf{R}) + U_{\text{image}}(Z, \nu) + P_{\text{ML}}(\mathbf{R}) \quad (2)$$

In eqn (2),  $\Delta E_{\text{PC}} = \varepsilon_{\text{F}_{\text{as}}^-} - \varepsilon_{\text{H}_{\text{gas}}^0} + \left\{ \sum_i \frac{q_i}{|\mathbf{r}_i|} - \sum_i \frac{q_i}{|\mathbf{R} - \mathbf{r}_i|} \right\}$  is the energy defect caused by non-polarized point charges (PC energy defect),<sup>28</sup> where  $\varepsilon_{\text{F}_{\text{as}}^-}$  and  $\varepsilon_{\text{H}_{\text{gas}}^0}$  are the binding energies and electron affinities of free  $\text{F}_{\text{as}}^-$  and  $\text{H}_{\text{gas}}^0$  ions,  $\varepsilon_{\text{F}_{\text{as}}^-} = 3.4$  eV (ref. 27) and  $\varepsilon_{\text{H}_{\text{gas}}^0} = 0.75$  eV (ref. 27). The parameters  $\mathbf{R} = \{X, Y, Z\}$  and  $\mathbf{r}_i$  are the position vectors of the projectile and all lattice sites except the active site. The two terms in the brackets are the Madelung potential induced by PCs at  $\text{F}_{\text{as}}^- (|\mathbf{R}| = 0)$  and  $\text{H}_{\text{gas}}^0$ . The system of {899 point charges +  $\text{F}_{\text{as}}^-$ } organized in four parallel layers was used here to guarantee that the accuracy of the calculated Madelung potential on the active surface  $\text{F}_{\text{as}}^-$  was better than  $5 \times 10^{-4}$  eV (ref. 20, 28 and 30).  $P_{\text{ML}}(\mathbf{R})$  is the Mott-Littleton (ML) polarization interaction,<sup>20,28,37</sup> which is created by the dipolar potential field produced by the formed negative ion and the hole on the surface in the final state of the electron capture reaction.  $U_{\text{image}}(Z, \nu)$  is the image interaction between the formed  $\text{H}^-$  and the image charge which produced by the field polarization of the  $\text{H}_{\text{gas}}^0$  to the LiF crystal in the final state of the electron capture reaction.<sup>38,39</sup>

For a projectile with charge  $Q$  and parallel velocity  $v_{\parallel} = \nu \sin \alpha$  flying above a dielectric surface, the related image potential is calculated in a surface response formalism as follows,<sup>40</sup>

$$U_{\text{image}}(Z, \nu) = -\frac{Q}{\pi v_{\parallel}} \int_0^{\infty} d\omega K_0 \left( \frac{2\omega Z}{v_{\parallel}} \right) \text{Re} \left( \frac{\varepsilon(\omega) - 1}{\varepsilon(\omega) + 1} \right) \quad (3)$$

where  $K_0$  is the second-type modified Bessel function of order 0.  $\text{Re} \left( \frac{\varepsilon(\omega) - 1}{\varepsilon(\omega) + 1} \right)$  is the real part of the surface response function with dielectric constant  $\varepsilon(\omega)$  induced by a two-oscillator model.<sup>41</sup> Fig. 3(a) shows the calculated 3D image potential as a function of the incident velocity  $\nu$  and the surface altitude  $Z$  of a  $\text{H}^-$  ion in front of a LiF(100) surface. Fig. 3(b) presents its projection on velocity  $\nu$  for fixed surface altitude respectively at  $Z = 3, 3.5, 4, 4.5, 5$  a.u. and the  $Z$  averaged result,  $\langle U_{\text{image}}(Z, \nu) \rangle_{Z \in [2.5, 5]}$  a.u. Fig. 3(c) displays its projection on surface altitude  $Z$  for fixed velocities respectively at  $\nu = 0.01, 0.05, 0.1$  a.u. and the  $\nu$  averaged

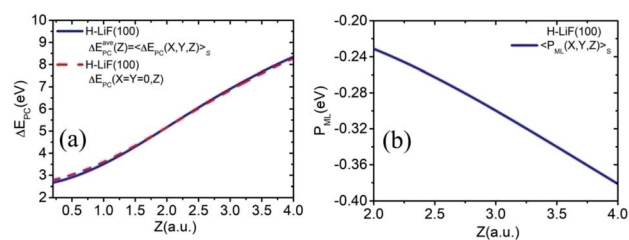


Fig. 2 (a)  $\Delta E_{\text{PC}}^{\text{ave}}(Z) = \langle \Delta E_{\text{PC}}(X, Y, Z) \rangle_S = \langle \Delta E_{\text{PC}}(X, Y, Z) \rangle_{S_0}$  and  $\Delta E_{\text{PC}}(X = Y = 0, Z)$  as functions of surface altitude  $Z$  for  $\text{H}^0$ -LiF(100) surface. (b) The average ML-polarization interaction  $\langle P_{\text{ML}}(X, Y, Z) \rangle_S$  as a function of surface altitude  $Z$  of  $\text{H}^0 \rightarrow \text{LiF}(100)$  collision system.

result,  $\langle U_{\text{image}}(Z, \nu) \rangle_{\nu \in [0.01, 0.9]} \text{ a.u.}$  It's observed  $|U_{\text{image}}(Z, \nu)|$  decrease with both surface altitude  $Z$  and velocity  $\nu$ .

To simplify the actually energy defect calculation of the valence band electron capture reaction, here according to ref. 30, the effective scale of a surface  $\text{F}_{\text{as}}^-$  is  $S = \{-a/4 \leq X \leq a/4, -a/4 \leq Y \leq a/4\}$  ( $a = 7.592$  a.u., the lattice constant of the LiF crystal<sup>27</sup>). In addition, considering the scale of the  $\text{H}^0$  projectile, the actual effective area of a single binary collision electron capture event should be  $S_0 = \{-(a/4 - r_{\text{H}_{\text{gas}}^0}) \leq X \leq (a/4 - r_{\text{H}_{\text{gas}}^0}), -(a/4 - r_{\text{H}_{\text{gas}}^0}) \leq Y \leq (a/4 - r_{\text{H}_{\text{gas}}^0})\}$ . Therefore, the real PC energy defect for a  $\text{F}_{\text{as}}^-$  of scale  $S$  is calculated in  $S_0$ . Because of the averaging of the PC energy defect on the  $(X, Y)$  plane,  $\Delta E_{\text{PC}}(\mathbf{R})_{S_0} = (1/S_0) \int \int_{S_0} \Delta E_{\text{PC}}(\mathbf{R}) dX dY$  is well

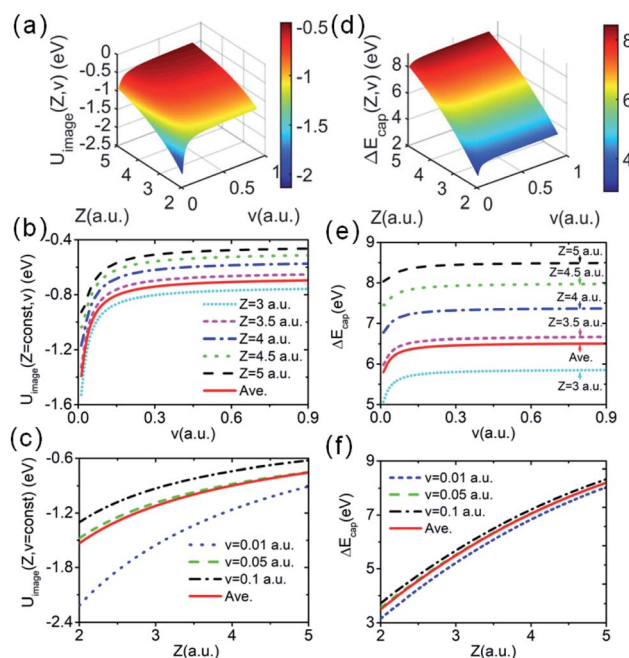


Fig. 3 (a) 3D plot of the image potential as a function of surface altitude  $Z$  and projectile velocity  $\nu$ . (b) Image potential versus velocity  $\nu$  at fixed surface altitudes of  $Z = 3, 3.5, 4, 4.5,$  and  $5$  a.u. and the results of  $U_{\text{image}}(Z, \nu)$  average over  $Z$ ,  $\langle U_{\text{image}}(Z, \nu) \rangle_{Z \in [2.5, 5]}$  a.u. (c) Image potential versus surface altitude  $Z$  at fixed velocities of  $\nu = 0.01, 0.05,$  and  $0.1$  a.u. and the  $\nu$  average result of  $\langle U_{\text{image}}(Z, \nu) \rangle_{\nu \in [0.01, 0.9]} \text{ a.u.}$  (d)–(f) show the tendency of the energy defect of electron capture for the same indicates as in (a)–(c).



approximated by the PC energy defect at  $X = Y = 0$  as shown in Fig. 2(a) (also see ref. 30 and 31 for other collision systems), one can use the  $\Delta E_{\text{PC}}(X = Y = 0, Z)$  to approximate the average PC energy defect in  $S$  here to calculate the localized valence band electron capture energy defect of  $\Delta E_{\text{ave}}(Z, \nu)$  near a surface  $F_{\text{as}}^-$ . Moreover, we have calculated the average ML polarization interaction  $\langle P_{\text{ML}}(\mathbf{R}) \rangle_S = (1/S) \int \int_S P_{\text{ML}}(\mathbf{R}) dXdY$ , as shown in Fig. 2(b). It's observed that  $\langle P_{\text{ML}}(\mathbf{R}) \rangle_S$  slightly decreases with surface altitude  $Z$  and within a range of  $\langle P_{\text{ML}}(\mathbf{R}) \rangle_S \in [-0.38, -0.23]$  eV.

Now, considering the actually averaged electron capture energy defect,  $\Delta E_{\text{ave}}(Z, \nu) = \langle \Delta E(\mathbf{R}, \nu) \rangle_S$ , eqn (2) changes to<sup>30,31</sup>

$$\Delta E_{\text{ave}}(Z, \nu) \approx \Delta E_{\text{PC}}(X = Y = 0, Z) + \langle P_{\text{ML}}(\mathbf{R}) \rangle_S + U_{\text{image}}(Z, \nu) \quad (4)$$

Fig. 3(d) displays a 3D plot of the energy defect as a function of both  $Z$  and  $\nu$ . Fig. 3(e) and (f) display the two-dimensional projections of the energy defect  $\Delta E_{\text{ave}}(Z, \nu)$  respectively on  $Z$  or  $\nu$  by fixing the other variable. Considering the PC energy defect  $\Delta E_{\text{PC}}(\mathbf{R})$  in eqn (2), the first term in the brackets is approximately 12.05 eV for the LiF crystal,<sup>42</sup> which is the main source of the large energy difference between the initial and final states. Additionally, for the case of single electron capture by a neutral atom, the second term in the brackets is approximately  $-\frac{1}{|\mathbf{R}|}$ , which drastically reduces the energy defect is the so-called "energy level confluence".<sup>28</sup> Moreover, in addition to the PC energy defect, the image potential and ML polarization could also reduce the energy defect. Due to the  $U_{\text{image}}(Z, \nu)$ , the energy defect was reduced by approximately 0.7 to 1.4 eV in the velocity range of  $0 \leq \nu \leq 0.9$  a.u. (see the red solid line in Fig. 3(b)). As shown in ref. 20, 28, and 30, the detailed expression of the ML polarization interaction is completely controlled by the charge state of the formed negative ions and the polarizations of the constituent cations and anions of the ionic crystal. This leads to the  $\langle P_{\text{ML}}(\mathbf{R}) \rangle_S$  of the  $\text{H}^- + (\text{LiF})^+$  system being the same as that in the  $\text{F}^- + (\text{LiF})^+$  case, roughly  $\langle P_{\text{ML}}(\mathbf{R}) \rangle_S \in [-0.38, -0.23]$  eV (ref. 30) as shown in Fig. 2(b) (also can be obtained from ref. 30). Thus, for the surface altitude range of  $Z \in [2.0, 5.0]$  a.u., which is regarded as the effective  $Z$  range of charge-transfer,<sup>30,31</sup> the  $U_{\text{image}}(Z, \nu)$ -induced reduction in the total energy defect is obviously larger than that induced by the ML polarization interaction. Noted here even though the reduction effect of  $|U_{\text{image}}(Z, \nu)| \in [0.7, 1.4]$  eV on  $\Delta E_{\text{ave}}(Z, \nu)$  ( $\Delta E_{\text{ave}}(Z, \nu) > 5$  eV within  $Z \in [2, 5]$  a.u. range, see Fig. 3(d) and (e)) is small, the sensitive dependence of the electron capture probability  $P_{\text{cap}}(Z, \nu)$  on  $\Delta E_{\text{ave}}(Z, \nu)$  leads to a considerable of  $U_{\text{image}}(Z, \nu)$  correction to  $P_{\text{cap}}(Z, \nu)$ .

## 2.2 Electron capture probability

For a neutral  $\text{H}^0$  projectile capturing a valence band electron from a  $F_{\text{as}}^-$  of a LiF(100) surface to its affinity level, the capture probability  $P_{\text{cap}}$  can be calculated by the Demkov model as follows,<sup>28,43</sup>

$$P_{\text{cap}}(Z, \nu) = \frac{1}{2} \text{sech}^2 \left( \frac{\pi \alpha (\Delta E_{\text{ave}}(Z, \nu) + \nu^2/2)}{2\nu} \right) \quad (5)$$

The prefactor  $1/2$  results from the averaging over the trajectories with different impact parameters.  $\alpha = \sqrt{2}/[\sqrt{(E_1 + E_M)} + \sqrt{E_2}]$  characterizes the exponential decay of the electron transfer interaction between the two collision participants, where  $E_1$  and  $E_2$  are the ionization potentials of the free  $\text{F}^-$  ion and  $\text{H}^-$  projectile, respectively.  $E_M = 12.05$  eV (ref. 27 and 42) represents the Madelung potential at the surface active  $F_{\text{as}}^-$  of the LiF crystal. The electron capture energy defect  $\Delta E_{\text{ave}}(Z, \nu)$ , as discussed in part 2.1 of Section 2, has been corrected by a translational factor  $\nu^2/2$ , which arises from the projectile motion with respect to  $F_{\text{as}}^-$ .

Fig. 4(a) presents a 3D plot of the valence band electron capture probability  $P_{\text{cap}}(Z, \nu)$  as a function of surface altitude  $Z$  and projectile velocity  $\nu$ . Fig. 4(b) displays the electron capture probability  $P_{\text{cap}}$  as a function of projectile velocity  $\nu$  for fixed surface altitudes of  $Z = 3, 3.5, 4$  a.u. Considering the generally effective electron capture surface altitude range of  $Z \in [2, 5]$  a.u.<sup>30,44</sup> for a neutral atom under grazing scattering from an insulator surface with keV projectile energy, the  $Z$  averaged probability of  $\langle P_{\text{cap}}(Z, \nu) \rangle_{Z \in [2, 5]$  a.u. as a function of velocity  $\nu$  is also shown. The electron capture probability increases with projectile velocity  $\nu$  and decreases with surface altitude  $Z$ . The averaged probability  $\langle P_{\text{cap}}(Z, \nu) \rangle_{Z \in [2, 5]$  a.u. is located between the electron capture probabilities of the  $Z = 3.0$  a.u. and  $Z = 3.5$  a.u. cases, which is in reasonable agreement with the conclusion that electron transfer mainly occurs near the trajectory turning point (within the  $Z \in [2, 5]$  a.u. range) of the projectile trajectory.<sup>27</sup> The large velocity threshold ( $\nu_{\text{Th}} = 0.15$  a.u.) compared with the  $\text{F-LiF}(100)$  ( $\nu_{\text{Th}} = 0.05$  a.u.<sup>30</sup> and  $\nu_{\text{Th}} = 0.06$  a.u.<sup>40</sup>) and  $\text{O-LiF}(100)$  ( $\nu_{\text{Th}} = 0.1$  a.u.) cases<sup>27,29</sup> is due to the relatively large valence band electron capture energy defect for our present  $\text{H-LiF}(100)$  collision system (see Fig. 3(d)–(f)).

## 2.3 Electron loss models

### 2.3.1 Coulomb repulsive barrier tunneling detachment.

In ref. 32, Borisov and Gauyacq proved that short-range binary-type  $\text{H}^- - \text{F}_{\text{site}}^-$  are responsible for electron detachment and result in electron emission into the vacuum. Therefore, here we consider the loosely bounded affinity electron of the formed  $\text{H}^-$  ions tunneling the Coulomb repulsive barrier to vacuum level during the interaction with a surface  $\text{F}_{\text{site}}^-$ . Consider the two conditions of the treatment of: (1)  $\text{F}_{\text{site}}^-$  was fixed at the LiF(100) surface and could be viewed to have an infinite mass and (2) the effective interaction distance was due to the projectile vertical

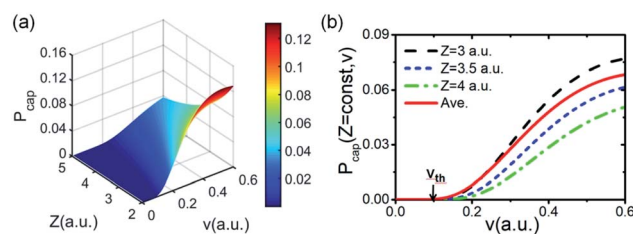


Fig. 4 (a) Electron capture probability  $P_{\text{cap}}(Z, \nu)$  as a function of surface altitude  $Z$  and velocity  $\nu$ . (b)  $P_{\text{cap}}(Z, \nu)$  as a function of  $\nu$  for fixed  $Z$  respectively at  $Z = 3, 3.5$  and  $4$  a.u. and the result of  $P_{\text{cap}}(Z, \nu)$  averaged over  $Z$  within  $Z \in [2, 5]$  a.u. range,  $\langle P_{\text{cap}}(Z, \nu) \rangle_{Z \in [2, 5]$  a.u.



energy component  $E_{\perp}$  with the projectile atomic mass  $M$  and incidence angle  $\alpha$  relative to the LiF(100) surface plane. These two conditions led to a complete equivalence between the present  $H_{\text{gas}}^{-} - F_{\text{site}}^{-}$  and the gas-phase  $e^{-} - H^{-}$  collision for the tunneling behaviors of the affinity electron, in which the effective projectile electron with energy of  $E_{\perp}$  and  $H^{-}$  is fixed. Therefore, as in ref. 45, the detachment probability of the formed negative ions can be expressed as,<sup>30,31</sup>

$$P_{\text{det}}(E_{\perp}) = \exp \left[ -\frac{2\sqrt{2}}{\sqrt{E_{\perp}}} \left( \arctan \sqrt{\frac{I}{E_{\perp}}} - \frac{\sqrt{IE_{\perp}}}{I + E_{\perp}} \right) \right] \quad (6)$$

$E_{\perp}$  is the vertical component of projectile energy, and  $I = 0.75$  eV is the electron affinity of a free  $H^{-}$  ion.

The image attractive potential of a  $H^{-}$  ion  $U_{\text{image}}(Z, \nu)$  increases the projectile vertical energy, *i.e.*, the actually vertical energy can be corrected by  $E'_{\perp} = E_{\perp} + |U_{\text{image}}(Z, \nu)|$ . This correction to the vertical energy changes the detachment probability  $P_{\text{det}}$ . Fig. 5 displays this change using the image potential, where Fig. 5(a) and (b) show 3D plots of  $P_{\text{det}}$  as a function of  $Z$  and  $\nu$  without and with include the  $U_{\text{image}}(Z, \nu)$  correction. Fig. 5(c) shows the difference between  $E_{\perp}$  (black dashed line) and  $E'_{\perp} = E_{\perp} + |U_{\text{image}}(Z, \nu)|$  (red solid line). Fig. 5(d) shows the difference in the detachment probability with (Wi. image) (red solid line) and without (Wo. image) (black dashed line) include the  $\langle U_{\text{image}}(Z, \nu) \rangle_{Z \in [2,5] \text{ a.u.}}$  correction to  $E_{\perp}$ . For the calculated probability neglecting  $\langle U_{\text{image}}(Z, \nu) \rangle_{Z \in [2,5] \text{ a.u.}}$ ,  $E_{\perp}$  quadratically increases with velocity from zero, and the threshold at which the probability rapidly increases is approximately  $\nu_{\text{th}} = 0.3$  a.u. (see the blue arrow in Fig. 5(d)). For the case of considering the  $\langle U_{\text{image}}(Z, \nu) \rangle_{Z \in [2,5] \text{ a.u.}}$  correction, the vertical energy at  $\nu = 0.1$  a.u. is even larger than that at  $\nu = 0.3$  a.u. without considering it (see Fig. 5(c)). This result consequently leads to a considerable detachment probability, especially for  $\nu < 0.3$  a.u. range. Considers the low velocity threshold of the electron capture probability, the

modified detachment probability may obviously affect the negative ion fraction at low velocity compared without considering the  $U_{\text{image}}(Z, \nu)$  correction (detail discussion see part 3).

The probability of Coulomb repulsive barrier tunneling detachment relates to the Coulomb interaction between the negative projectile and the active site  $F_{\text{as}}^{-}$ . Due to the screening of the field of surrounding crystal sites, the effective charge of the  $F_{\text{as}}^{-}$  is reduced to  $q_{\text{eff}} = -0.86$  in the LiF crystal.<sup>46</sup> This reduction leads to the Coulomb repulsive barrier  $V(R) = \frac{1}{R}$  decreasing to  $V(R) = \frac{0.86}{R}$  and increases the detachment probability  $P_{\text{det}}$  (that is, multiply the exponent of eqn (6) by  $|q_{\text{eff}}| = 0.86$ ), as observed by comparing  $P_{\text{det}}$  with (Wi. effective charge) (red solid line) and without (Wo. effective charge) (black dashed line) considering the effective charge in Fig. 6.

**2.3.2 The picture of electron loss to the unoccupied image state.** Roncin *et al.* experimentally confirmed that a fraction of formed  $H^{-}$  ions were destroyed without electron emission in H-LiF(100) scattering.<sup>33</sup> Thereafter, the electron loss from a  $H^{-}$  ion to a surface exciton state  $F^{-*}$  has been suggested.<sup>33</sup> That is, after a  $H^{-}$  projectile capturing a valence band electron near a surface  $F_{\text{as}}^{-}$ , the Coulomb attraction between the created hole and  $H^{-}$  raises the  $H^{-} + F^0$  level. Consequently, when a  $H^{-}$  ion leaves the LiF surface, the quasi-molecular  $H^{-} + F^0$  level is brought to near the unoccupied level of the exciton state, and electron transfer occurs. Subsequently, the transition probability of this exciton state loss was determined using the Landau-Zener model,<sup>34</sup> where the “parallel” diabatic potential curves and a small energy defect between the initial and final states in the transition region were assumed.

Here, a detailed calculation of the energy defect from the  $H^{-} + F^0$  to  $H^0 + F^{-*}$  system is presented. The energy of the initial state can be expressed by

$$E_{(H^{-}+F^0)} = E_{H^{-}} + E_{F^0} + \frac{1}{2} \sum_{i \neq j} \frac{q_i q_j}{|\mathbf{r}_i - \mathbf{r}_j|} + \sum_i \frac{(-1)q_i}{|\mathbf{R} - \mathbf{r}_i|} + \frac{(-1)(+1)}{|\mathbf{R}|} + U_{\text{image}}(Z, \nu) + P_{\text{ML}}(\mathbf{R}) \quad (7)$$

The field of the crystal with one neutralized site can be represented as the field of a perfect crystal plus one additional

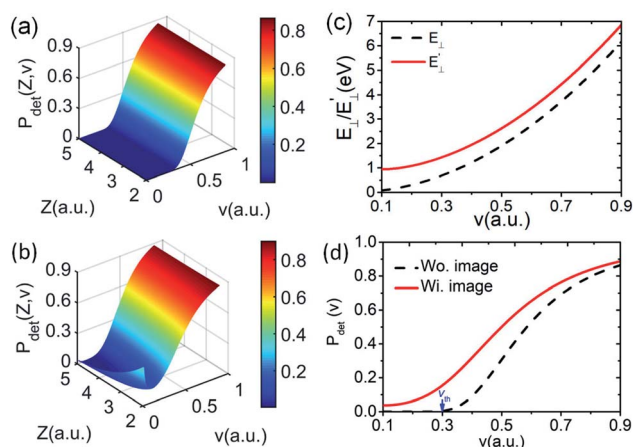


Fig. 5 (a) and (b) 3D plots of the detachment probability without and with included the image potential, respectively, as a function of surface altitude  $Z$  and velocity  $\nu$ . (c) Vertical energy versus velocity  $\nu$  with ( $E'_{\perp}$ ) (red solid line) and without ( $E_{\perp}$ ) (black dashed line) considering the image potential. (d) Detachment probability versus velocity  $\nu$  with (Wi. image) (red solid line) and without (Wo. image) (black dashed line) considering the image potential.

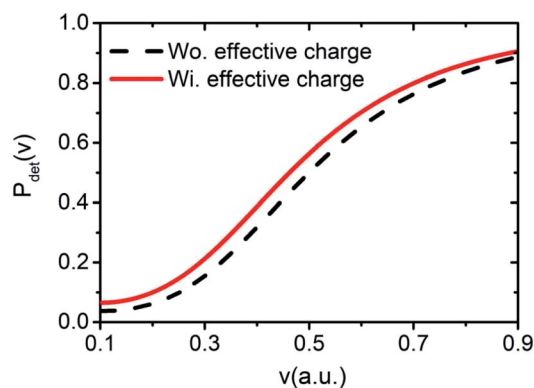


Fig. 6 Detachment probability as a function of velocity  $\nu$  with (Wi. effective charge) (red solid line) and without (Wo. effective charge) (black dashed line) included the influence of the effective charge.



positive charge localized at position  $\mathbf{r}_0 = 0$  (ref. 40)  $\mathbf{R}$  is the position vector of the projectile. The first and second terms are the total energies of free  $\text{H}^-$  and  $\text{F}^0$ , respectively. The third term represents the interaction energy between PCs ( $q_i = \pm 1$ ) of all the crystal sites. The fourth term is the electrostatic interaction of a  $\text{H}^-$  projectile with an undisturbed neutral LiF crystal. Due to the neutrality of the LiF crystal, this term is small and amounts to only approximately 1 eV.<sup>33</sup> The fifth term is the Coulomb attractive interaction between a  $\text{H}^-$  ion and a hole located at the  $\mathbf{r}_0 = 0$  position.  $U_{\text{image}}(Z, \nu)$  is the image interaction between a  $\text{H}^-$  projectile and its own polarization image charge in the LiF crystal.  $P_{\text{ML}}(\mathbf{R})$  is the ML polarization interaction produced by the potential of the dipole formed by the  $\text{H}^-$  ion and the hole created by electron capture.

Similarly, the expression of the final state is

$$E_{(\text{H}^0 + \text{F}^{-*})} = E_{\text{H}^0} + E_{\text{F}^{-*}} + \frac{1}{2} \sum_{i \neq j} \frac{q_i q_j}{|\mathbf{r}_i - \mathbf{r}_j|} \quad (8)$$

The first term corresponds to the total energy of a free  $\text{H}^0$  atom. The second term represents the total energy of a neutralized  $\text{F}^0$  that produced by one valence band electron capture plus the total energy of a surface exciton state  $\text{F}^{-*}$  of LiF crystal. The energy defect can be written as

$$\Delta E_{\text{loss}}^{\text{ES}} = \varepsilon_{\text{H}^-} - \varepsilon_{\text{F}^{-*}} + \sum_i \frac{q_i}{|\mathbf{R} - \mathbf{r}_i|} + \frac{1}{|\mathbf{R}|} - U_{\text{image}}(Z, \nu) - P_{\text{ML}}(\mathbf{R}) \quad (9)$$

where  $\varepsilon_{\text{H}^-} = E_{\text{H}^0} - E_{\text{H}^-} = 0.75$  eV (ref. 27) and  $\varepsilon_{\text{F}^{-*}} = E_{\text{F}^0} - E_{\text{F}^{-*}} \approx 1$  eV ( $\varepsilon_{\text{F}^{-*}} = 1.1 \pm 0.5$  eV (ref. 33)) represent the binding energies of a free  $\text{H}^-$  ion and the exciton state  $\text{F}^{-*}$  of the LiF crystal, respectively. Fig. 7(a) shows the energy defect averaged over the  $S$  area as a function of  $Z$  and  $\nu$ . Fig. 7(c) shows the energy defect averaged over  $\nu$ ,  $\Delta E_{\text{loss}}^{\text{ES}}(Z) = \langle \Delta E_{\text{loss}}^{\text{ES}}(Z, \nu) \rangle_{\nu \in [0.01, 0.9]}$  a.u. (red dashed line), as a function of  $Z$ . As mentioned in part 2.1 of Section 2,  $|U_{\text{image}}(Z, \nu)| \in [0.7, 1.4]$  eV,  $\langle P_{\text{ML}}(\mathbf{R}) \rangle_S \in [-0.38, -0.23]$  eV, and  $\sum_i \frac{q_i}{|\mathbf{R} - \mathbf{r}_i|}$  resulting from the electrostatic

interaction is on the order of 1 eV.<sup>40</sup> Thus, the large energy defect ( $\Delta E_{\text{loss}}^{\text{ES}} \geq 6$  eV) shown in Fig. 7(a) and (c) mainly results from the Coulomb attractive interaction between the  $\text{H}^-$  ion and the hole located at the active site in the initial state, *i.e.*, the fifth term  $-1/|\mathbf{R}|$  in eqn (7). That is this term results in a  $+1/|\mathbf{R}|$  term in  $\Delta E_{\text{loss}}^{\text{ES}}$  of eqn (9) which drastically increase with the decreasing of surface altitude  $Z$ , in turn leads to an unexpectedly large energy defect between the initial and final states within the effective charge-transfer surface altitude range of  $Z \in [2, 5]$  a.u. and finally inhibits the electron loss to the surface exciton state of  $\text{F}^{-*}$ .

Additionally, one can simply estimate the strength of electron-transfer interaction  $V_{\text{transfer}}(R)$  of the exciton state  $\text{F}^{-*}$  loss process of  $\text{H}^- \rightarrow \text{F}^{-*}$  by  $V_{\text{transfer}}(R) = \varepsilon_{\text{H}^-}^{1/2} - \varepsilon_{\text{F}^{-*}}^{1/2} R^* \exp(-0.86R^*)$ <sup>47</sup> where  $R^* = (\sqrt{2\varepsilon_{\text{H}^-}} + \sqrt{2\varepsilon_{\text{F}^{-*}}})R/2$ ,  $\varepsilon_{\text{H}^-} = 0.75$  eV and  $\varepsilon_{\text{F}^{-*}} = (1.1 \pm 0.5)$  eV are the binding energy of  $\text{H}^-$  and  $\text{F}^{-*}$ , respectively. Compare with the typical electron-transfer interaction strength  $V_{\text{transfer}}(R) > 1$  eV (ref. 20, 27, 28, and 36) the excessively small calculated electron-transfer interaction of  $V_{\text{transfer}}(R_c) < 0.4$  eV of  $\text{H}^- \rightarrow \text{F}^{-*}$  process at a large value of the energy levels crossing point  $R_c \approx 8.6$  a.u.<sup>48</sup> also makes the electron loss to the surface exciton state  $\text{F}^{-*}$  impossible.

It should be kept in mind that an effective electron loss channel of  $\text{H}^-$  ions have to satisfy two essential conditions of within the projectile approaching surface altitude range of  $Z \in [2, 5]$  a.u., the energy defect of electron-transfer between the initial and final states is small enough and the interaction strength of the electron-transfer is large enough. Clearly, as detailed discussed above, the large energy defect ( $\Delta E_{\text{loss}}^{\text{ES}} \geq 6$  eV) and small electron-transfer interaction ( $V_{\text{transfer}} < 0.4$  eV) both make the surface exciton state  $\text{F}^{-*}$  loss of  $\text{H}^- \rightarrow \text{F}^{-*}$  unable to occur.

An unoccupied image state (IS) was theoretically confirmed to exist near a LiF crystal surface.<sup>49,50</sup> The image attractive potential created by an electron in front of a dielectric surface may cause an unoccupied image state that can trap an electron close to the vacuum level. Since the image potential is approximately the same at a fixed surface altitude over the whole surface, the wave function of the electron in this state can be seen as nonlocal. Here, for a  $\text{H}^-$  ion in front of a LiF crystal surface, the field of  $\text{H}^-$  polarization of the LiF crystal produces a polarization image charge in the LiF crystal; in turn, an unoccupied image state is induced by this polarization image charge near the vacuum level. If one considers the loosely bound affinity electron of a  $\text{H}^-$  ion transitioning to this unoccupied image state, then the energy of the initial state is

$$E_{\text{H}^- + \text{F}^-} = E_{\text{H}^-} + E_{\text{F}^-} + \frac{1}{2} \sum_{i \neq j} \frac{q_i q_j}{|\mathbf{r}_i - \mathbf{r}_j|} - \sum_i \frac{q_i}{|\mathbf{r}_i|} - \sum_i \frac{q_i}{|\mathbf{R} - \mathbf{r}_i|} + U_{\text{image}}(Z, \nu) \quad (10)$$

The first two terms denote the total energies of free  $\text{H}^-$  and  $\text{F}^-$  ions, respectively. The final state is

$$E_{\text{H}^0 + \text{F}_{\text{IS}}^-} = E_{\text{H}^0} + E_{\text{IS}} + E_{\text{F}^-} + \frac{1}{2} \sum_{i \neq j} \frac{q_i q_j}{|\mathbf{r}_i - \mathbf{r}_j|} - \sum_i \frac{q_i}{|\mathbf{r}_i|} \quad (11)$$

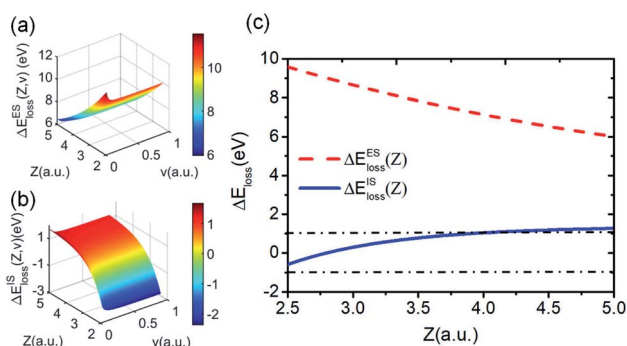


Fig. 7 (a) 3D plot of the energy defect from  $\text{H}^- + \text{F}^0$  to  $\text{H}^0 + \text{F}^{-*}$  as a function of surface altitude  $Z$  and velocity  $\nu$ . (b) 3D plot of energy defect from  $\text{H}^- + \text{F}^-$  to  $\text{H}^0 + \text{F}_{\text{IS}}^-$  as a function of  $Z$  and  $\nu$ . (c) The average results of energy defect over  $\nu$ ,  $\Delta E_{\text{loss}}^{\text{ES}}(Z) = \langle \Delta E_{\text{loss}}^{\text{ES}}(Z, \nu) \rangle_{\nu \in [0.01, 0.9]}$  a.u. (red dashed line) and  $\Delta E_{\text{loss}}^{\text{IS}}(Z) = \langle \Delta E_{\text{loss}}^{\text{IS}}(Z, \nu) \rangle_{\nu \in [0.01, 0.9]}$  a.u. (blue solid line) versus  $Z$  for both loss channels. The black dash dotted lines denote the energy defect of  $\Delta E_{\text{loss}}^{\text{IS}}(Z) = \pm 1$  eV.



$E_{\text{IS}} = 0.3$  eV (ref. 49) is the binding energy of the unoccupied image potential state induced by a  $\text{H}^-$  ion in front of a LiF surface. The corresponding energy defect is expressed by

$$\Delta E_{\text{loss}}^{\text{IS}}(Z, \nu) = \varepsilon_{\text{H}^-} - \varepsilon_{\text{IS}} + \sum_i \frac{q_i}{|\mathbf{R} - \mathbf{r}_i|} - U_{\text{image}}(Z, \nu) \quad (12)$$

The 3D plot of  $\Delta E_{\text{loss}}^{\text{IS}}(Z, \nu)$  as a function of  $Z$  and  $\nu$  is shown in Fig. 7(b). Fig. 7(c) displays the  $\nu$  averaged energy defect,  $\Delta E_{\text{loss}}^{\text{IS}}(Z) = \langle \Delta E_{\text{loss}}^{\text{IS}}(Z, \nu) \rangle_{\nu \in [0.01, 0.9]}$  a.u. (blue solid line) as a function of surface altitude  $Z$ . Even though  $\Delta E_{\text{loss}}^{\text{IS}}(Z)$  exhibits a small increase with  $Z$ , compare with the region of  $\Delta E_{\text{loss}}^{\text{IS}}(Z) = \pm 1$  eV defined by the black dotted-dashed lines, the average energy defect is approximate remains near sub-eV order within  $Z \in [2, 5]$  a.u. range. Additionally, considering (1) the turning point of the projectile trajectory of  $\text{H}^0 \rightarrow \text{LiF}(100)$  is in the range of  $Z \in [2, 5]$  a.u. and negative ions are mainly formed near the trajectory turning point. Therefore,  $Z \in [2, 5]$  a.u. is the effective surface altitude range of  $\text{H}^-$  formation, that is within which, the requirement of electron-transfer interaction of the IS loss  $\text{H}^- \rightarrow \text{IS}$  is strong enough is naturally satisfied. (2) The wave function of the  $\text{H}^-$  ion can obvious overlap with the widely distributed unoccupied IS wave function, which also implies the electron-transfer interaction is sufficiently strong. Therefore, the  $\text{H}^-$  ion can lose its affinity electron to the IS by a nearly RCT manner.

As shown in Fig. 8(a), after an electron capture, the affinity level of a  $\text{H}^-$  ion overlaps with the nonlocal image state induced by a  $\text{H}^-$  ion. This state has a loose wave function in the  $Z$  direction<sup>49</sup> (see Fig. 8(a)). Consequently, the electron can transfer to this unoccupied image state.

Fig. 8(a) shows the electron transfer from a  $\text{H}^-$  ion to the unoccupied image state. Fig. 8(b) presents a diagram of the relative energy levels of the  $\text{H}^-$  ion, surface unoccupied image state and energy band structure of the LiF crystal. Additionally, the loss of the affinity electron of a  $\text{H}^-$  ion to the unoccupied image state IS *via* a nearly RCT process is schematically displayed by the black dashed arrow.

In contrast to the unexpectedly large energy defect ( $\Delta E_{\text{loss}}^{\text{ES}} \geq 6$  eV, see Fig. 7(a) and (c)) and excessively small electron-transfer interaction ( $V_{\text{transfer}} < 0.4$  eV) of  $\text{F}^{-*}$  state electron loss inhibits

the electron loss to the surface  $\text{F}^{-*}$  state, the sub-eV order of the energy defect ( $\Delta E_{\text{loss}}^{\text{IS}} < 1$  eV, see Fig. 7(b) and (c)) and sufficiently strong electron-transfer interaction lead to the electron loss to the unoccupied IS state by a nearly RCT manner possible.

The probability  $P_{\text{RCT}}$  of electron loss to the unoccupied image state IS can be simply expressed as<sup>51</sup>

$$P_{\text{RCT}}^{\text{H}^- \rightarrow \text{IS}}(\nu) = 1 - \exp\left(-\frac{\beta_{\text{RCT}}}{\nu}\right) \quad (13)$$

Here,  $\nu \approx \nu_{\parallel} = \nu \cos \alpha$  ( $\alpha = 1^\circ$ ).  $\beta_{\text{RCT}}$  is proportional to the coupling strength between the  $\text{H}^-$  ion and the unoccupied IS. Because the coupling strength between the initial and final states decreases with surface altitude  $Z$ ,  $P_{\text{RCT}}^{\text{H}^- \rightarrow \text{IS}}(\nu)$  decreases with  $Z$ . It should be noted here that although the formal  $\text{H}^-$  has been transferred to a  $\text{H}^0$  atom in the final state, the active electron is still in the image potential state induced by the electron itself, that is the electron in the final state was trapped in the bounded image potential state induced by itself.

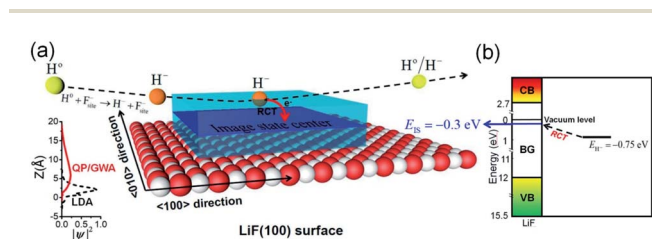
### 3. Results and discussion

Incorporating the probabilities of electron capture and loss to both vacuum and the IS state, the negative ion fraction  $P(N)$  can be calculated. Here, the iteration is similar to the method used in ref. 34,  $P(N)$  is calculated by iteration of the effective collision number  $N_{\text{eff}}$  with initial ( $N_{\text{eff}} = 0$ ) neutral  $\text{H}^0$  fraction of  $n_0 = 1$  and the  $\text{H}^-$  fraction of  $n_{\text{min}} = 0$ ,

$$n'_{\text{min}} = n_0 P_{\text{cap}}(\nu) [1 - P_{\text{det}}(\nu)] [1 - P_{\text{RCT}}^{\text{H}^- \rightarrow \text{IS}}(\nu)] + n_{\text{min}} [1 - P_{\text{det}}(\nu)] [1 - P_{\text{RCT}}^{\text{H}^- \rightarrow \text{IS}}(\nu)] \quad (14)$$

$$n'_0 = 1 - n'_{\text{min}} \quad (15)$$

Where  $n'_{\text{min}}$  and  $n'_0$  are respectively the negative ions and neutral atoms fraction after a binary collision.  $P_{\text{cap}}(\nu)$  and  $P_{\text{det}}(\nu)$  in eqn (14) are averaged for the typical effective surface altitude range of,  $Z \in [2, 5]$  a.u. (ref. 30 and 31) and  $P_{\text{RCT}}^{\text{H}^- \rightarrow \text{IS}}(\nu)$  is the probability of electron loss from a  $\text{H}^-$  ion to the unoccupied image state IS. The trajectory of a projectile is determined by the effective surface scattering potential between projectile and surface and the vertical component of projectile energy. But for a dielectric surface, the trajectories and turning points calculated by classical binary interaction potential, such as ZBL, OCB, TFMF and TFML models and *ab initio* calculation are quite different since the large discrepancy of the potential curves *via* the altitude from surface calculated by these methods.<sup>20</sup> Thus an accurate trajectory is hard to obtain. While considering (1) the turn point  $Z_{\text{min}}$  of projectile trajectory is approximate in the surface altitude range of  $Z \in [2, 5]$  a.u. at incident angle  $\alpha = 1^\circ$  for  $\text{H}^0$  projectiles with energy of  $E_p \in [0.25, 25]$  keV (ref. 28) studied here (the plausible of the choosing of this surface altitude range can be seen by: (i) the  $Z \in [2, 3]$  a.u. range, measured from the last atomic plane, is representative of the turning point of the trajectory in grazing-angle experiments with a perpendicular energy in the eV range;<sup>26,32,33</sup> (ii) the range



**Fig. 8** (a) Sketch of the electron transfer from  $\text{H}^-$  to the surface image state *via* a nearly RCT process. The translucent blue space with a central plane represents the distribution of the nonlocal unoccupied image state on the surface. The diagram on the left shows the broadening of the unoccupied image state in  $Z$  direction.<sup>49</sup> (b) Diagram of the energy levels of a  $\text{H}^-$  ion in front of a LiF(100) surface and also the  $\text{H}^- \rightarrow \text{IS}$  state electron-transfer by a nearly RCT process (see the black dashed arrow).



of projectile trajectory turning point of F–MgO(100)<sup>20</sup> and F–LiF(100)/KI(100)<sup>28</sup> collision systems are respectively  $Z \in [1.8, 3]$  a.u. and  $Z \in [2, 4]$  a.u., and (iii) the final charge fraction is determined at surface altitude of  $Z \approx 5$  a.u. for H–LiF collision system<sup>32</sup>). (2) The negative ions are mainly formed near the turn point  $Z_{\min}$  of a projectile trajectory.<sup>27</sup> (3) For grazing scattering geometry, at small projectile-surface altitude where most of the charge-transfer takes place, the projectile  $H^0$  moves almost parallel to the surface.<sup>28</sup> One can expect that the projectile moves along a trajectory parallel to the surface during its binary encounter with a surface active site  $F_{\text{as}}^-$ . (4) The energy defect of an valence band electron capture of an incident  $H^0$  atom near a surface  $F_{\text{site}}$  are a series of  $Z_i$  dependent  $\Delta E_{\text{cap}}(Z_i, \nu)$ . An effective electron-capture energy defect exists and can be obtained by  $\overline{\Delta E_{\text{cap}}(\nu)} = \langle \Delta E_{\text{cap}}(Z, \nu) \rangle_{Z \in [2,5] \text{ a.u.}}$ , this specific  $\overline{\Delta E_{\text{cap}}(\nu)}$  and the corresponding electron-capture probability of  $\overline{P(\nu)} = \langle P(\nu, Z) \rangle_{Z \in [2,5] \text{ a.u.}}$  actually represent the effective electron-capture energy defect and electron-capture probability in the negative-ion formation surface altitude range of  $Z \in [2,5]$  a.u. Therefore, similar to our previous treatment,<sup>30,31</sup> both the electron capture energy defect and electron capture probability were averaged over this surface altitude range here.

Considering the obtained effective collision number range of  $N_{\text{eff}} = 5.3 \rightarrow 7.8$  for the H–LiF collision system<sup>48</sup> and  $N_{\text{eff}} = 10$  used to fit the energy spectrum for grazing scattering of H atoms of 600 eV on a LiF(100) surface,<sup>33</sup> the results of the negative ion fraction calculated by iteration of eqn (14) with  $N_{\text{eff}} = 6, 8$ , and 10 and the available experimental data<sup>34</sup> are displayed in Fig. 9(a). In addition, considering that (i) the simple cubic structure of the LiF crystal makes the LiF(100) surface the same as the LiF(001) surface, the experimentally measured  $H^-$  ion yield of the  $H^0 \rightarrow \text{LiF}(100)$  collision system under a grazing incidence ( $\alpha \approx 1^\circ$ ) is the same as that of the  $H^0 \rightarrow \text{LiF}(001)$  case. (ii) For the  $H^+ \rightarrow \text{LiF}(100)$  and  $H^0 \rightarrow \text{LiF}(100)$  collision systems, the energy level of  $H^+(1s)$  is  $E_{H^+(1s)} = -13.6$  eV,<sup>52</sup> clearly lower than the energy level of the valence band top ( $E_{\text{VB}}^{\text{TOP}} \approx -12.05$  eV (ref. 27 and 42) of the LiF crystal. Therefore, the conversion probability of one valence band electron capture by resonant charge transfer for  $H^+ \rightarrow H^0$  neutralization is nearly 100%. This leads to the  $H^+$  incidence is completely equivalent to that of  $H^0$  incidence under the same incident geometry ( $\alpha \approx 1^\circ$ ) for the LiF(100) surface, and the experimental  $H^-$  ion yields of the two cases are almost the same. Here, both the experimental negative ion yields of  $H^+ \rightarrow \text{LiF}(100)$  (dark solid yellow triangles)<sup>44</sup> and  $H^0 \rightarrow \text{LiF}(001)$  (black solid spheres)<sup>34</sup> collision systems were also presented in Fig. 9(a) for comparison. For the results with different  $N_{\text{eff}}$ , the discrepancy mainly lies in the velocity range of  $\nu \in [0.2, 0.4]$  a.u. When the velocity increases to above  $\nu = 0.4$  a.u., the discrepancy nearly disappear. Our present model calculation results well reproduced the experimental data in the whole velocity range, as displayed by the red solid line in Fig. 9(a). For comparison, the previous theoretical result<sup>34</sup> which considered the electron loss to the surface exciton state by the Landau–Zener model with an adjustable parameter of  $\beta = 0.21$  ( $\beta = 0.22$  (ref. 48)) and a constant detachment probability of  $P_{\text{det}} = 0.5$  was presented by the black dashed line in Fig. 9(a). The calculated negative ion fraction monotonically

increases with velocity and finally tends to saturate at large velocities. In contrast, the actual experimental negative ion yield decreases. This large difference mainly results from the assumption of a constant detachment probability of  $P_{\text{det}} = 0.5$  for the whole velocity range. The comparison between the present results and previous results clearly implies that the loss of  $H^-$  affinity electrons through Coulomb barrier tunneling to vacuum plays a key role in the destruction of high velocity negative ions. Moreover, due to the rapid decrease in the RCT loss probability  $P_{\text{RCT}}^{H^- \rightarrow \text{IS}}(\nu)$  with  $\nu$  for the  $H^-$  affinity electrons loss to the unoccupied surface image state IS (with  $\beta_{\text{RCT}} = 0.02$ ), as shown in Fig. 9(b), the unoccupied image state loss without accompanying electron emission is only efficient in the low velocity range of  $\nu \leq 0.45$  a.u., which can be seen by comparing the results with (red solid line) and without (violet short-dashed line) include the electron loss to the unoccupied image state in Fig. 9(a). The value of parameter  $\beta_{\text{RCT}}$  here relies on an accurate electron-transfer coupling strength, which requires a complicated quantum chemical calculation that is beyond the main scope of our present work and temporarily treated as an adjustable parameter in our present simulation.

To demonstrate the influence of  $U_{\text{image}}(Z, \nu)$  and  $q = q_{\text{eff}}$  on the final negative ion yield, the results with or without include one of these two effects were obtained for a typical effective collision number of  $N_{\text{eff}} = 10$ , as displayed by the various lines in Fig. 9(a). The following is observed: (1) by comparing the results with ( $q_{\text{eff}} = -0.86$ ) (red solid line) and without ( $q = -1$ ) (cyan dashed-dotted line) considered the effective charge, the smaller charge of the active site ( $F_{\text{site}}^-$ ) caused by the dielectric screening of the crystal environment is shown to lead to a reduction in the Coulomb tunneling barrier, in turn increasing  $P_{\text{det}}$  relative to the  $q = -1$  case (see Fig. 6). This causes a reduction to the final negative ion yield. (2) A

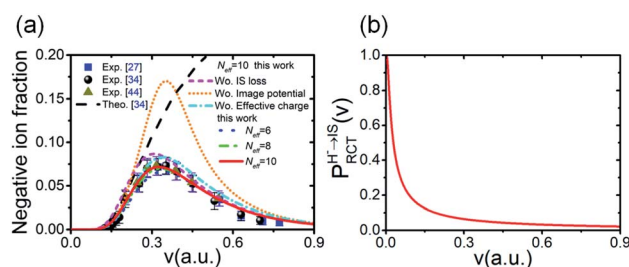


Fig. 9 (a) Comparison of the negative ion fraction versus velocity calculated by the present approach (blue dotted, green dash-dot-dotted and red solid lines for  $N_{\text{eff}} = 6, 8$  and 10, respectively) and the experimentally measured negative ion yields of the  $H^0$ –LiF(100) surface (blue solid squares),<sup>27</sup>  $H^0$ –LiF(001) surface (black solid spheres),<sup>34</sup> and  $H^+$ –LiF(100) surface (dark-yellow solid triangles)<sup>44</sup> for scattering with a grazing incidence angle of  $\alpha \approx 1^\circ$ . A previous theoretical calculation is shown as a black dashed line.<sup>34</sup> The results that respectively without include (Wo.) the change in  $P_{\text{det}}(\nu)$  due to the image potential correction to  $E_{\perp}$  (Wo. Image potential) (orange short-dotted line), the change in  $P_{\text{det}}(\nu)$  due to the effective charge (Wo. effective charge) (cyan dash-dotted line) and the electron loss to the unoccupied image state IS (Wo IS loss) (violet short-dashed line) are also shown. (b) Probability of electron loss to the unoccupied image state IS  $P_{\text{RCT}}^{H^- \rightarrow \text{IS}}(\nu)$  versus velocity  $\nu$  for parameter  $\beta_{\text{RCT}} = 0.02$ .





comparison between the results with (red solid line) and without (orange short-dotted line) include the increase in the projectile's vertical energy  $E_{\perp}$  due to the image potential attractive clearly shows that  $U_{\text{image}}(Z, \nu)$  can drastically reduce the final negative ion yield. This phenomenon obviously results from the large  $U_{\text{image}}(Z, \nu)$ -induced an increasing in  $E_{\perp}$  (see Fig. 5(c)) and, consequently, the large increase in  $P_{\text{det}}$  (see the comparison of Fig. 5(a), (b) and (d)). Here, the maximum difference of the final negative yields between with and without include  $U_{\text{image}}$  at  $\nu \approx 0.4$  a.u. shown in Fig. 9(a) results from the maximum  $P_{\text{det}}(\nu)$  difference between with and without considering  $U_{\text{image}}$  at the same  $\nu$  (see Fig. 5(d)). Above  $\nu = 0.4$  a.u., this negative ion yields' difference rapidly decrease with  $\nu$  which can be understood by the decrease of  $|U_{\text{image}}|$  with  $\nu$  leads to an obvious decreasing of the difference of  $P_{\text{det}}(\nu)$  with  $\nu$  between with and without include  $U_{\text{image}}$  (see Fig. 5(d)). Moreover, the calculated results at  $N_{\text{eff}} = 6, 8, 10$  imply that the negative ion yield is not sensitive to a change in the effective collision number of  $\Delta N_{\text{eff}} = 2$ .

## 4. Conclusions

A simple model of the negative ion conversion produced by neutral  $\text{H}^0$  atom grazing scattering from a LiF(100) surface in the whole velocity range was presented. The mechanisms that dominate this process are as follows: (1) electron capture from the valence band near a surface  $\text{F}_{\text{site}}^-$  to the affinity level of the neutral  $\text{H}^0$  projectile. This is attributed to the Coulomb attraction between the  $\text{H}^-$  ion and the hole created by electron capture together with the ML-polarization and the image interactions in the final state which significantly reduce the energy defect of valence band electron capture reaction and make the electron capture possible; (2) Coulomb repulsive barrier tunneling detachment of the  $\text{H}^-$  ion's affinity electron to vacuum during the interaction with the surface  $\text{F}_{\text{site}}^-$  along its trajectory. Here, by comparing the collective dielectric screening effect of surrounding anions and cations on the charge of the surface  $\text{F}_{\text{as}}^-$  that participates in the electron detachment process and the correction of the image attraction-induced increase to  $E_{\perp}$ , the image attractive interaction was revealed to obviously increase the electron detachment probability, in turn drastically reducing the final negative ion yield. This implies the possibility of achieving higher negative ion yield from neutral H atoms in grazing scattering by choosing an ionic-crystal target which has lower image interaction. According to our recent study,<sup>53</sup> this can be achieved by using an ionic crystal with a small value of optical limit dielectric constant. Compare with the magnitude of  $\text{H}^-$  formation on metal surfaces,<sup>54,55</sup> the large order of magnitude of  $\text{H}^-$  ions yield here provides a potential utility in the ion implantation of fusion ITER devices<sup>18,56</sup> and the use of  $\text{H}^-$  ion to probe the subband electronic structures of nanosurfaces.<sup>17</sup>

Moreover, detailed calculations revealed that the unexpectedly large energy defect and excessively small electron-transfer interaction inhibiting electron loss to the generally accepted surface exciton state, in contrast the sub-eV order of the energy defect and sufficiently strong electron-transfer interaction leads

to the electron loss to the unoccupied image state by a nearly RCT process possible, which is efficient at low velocity and corresponds to a fraction of  $\text{H}^-$  destruction without electron emission. Noted that only the physical picture of this  $\text{H}^-$  destruction channel was presented here. An accurate coupling strength dependence of the parameter  $\beta_{\text{RCT}}$  for this  $\text{H}^-$  loss channel requires resorting to a full quantum chemical calculation, which is beyond the main scope of our present work but provides a clear direction for its improvement in future. Moreover, in the follow-up work the effect of surface reconstruction and electron-phonon coupling on the negative ion conversion will be quantitatively investigated. The present study is much more limited, we hope this work will stimulate further theoretical and experimental studies on this topic.

## Conflicts of interest

There are no conflicts to declare.

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