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The power of architecture – cage-shaped PEO and its application as a polymer electrolyte†

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Herein we report for the first time on the gram-scale synthesis of a four-arm cage-shaped poly(ethylene oxide) (PEO) and its pioneering application as polymer electrolyte. The well-suppressed crystallization by the cage architecture proves the great toolbox of polymer chemists to overcome crystallization issues in PEO-based polymer electrolytes.

Solid-state electrolytes (SSEs) are supposed to supersede organic liquid electrolytes because of their high mechanical strength, electrochemical stability, thermal tolerance, overall low toxicity and safety.¹ Among the different SSEs, polymer electrolytes (PEs) are of particular interest due to their intrinsic set of properties, including a high flexibility, thin-film forming ability, easy processability and wide electrochemical windows.² Within this material class, the first studied PE was reported nearly 45 years ago by P. Wright and was comprised of alkali metal ions and poly(ethylene oxide) (PEO).³ Although numerous reports of PEs displaying a wide variety of structures were reported over the past decades, the interest for PEO-based materials never decreased due to their remarkable flexibility, low glass transition temperature (T_g), electrochemical stability against lithium metal as well as unmatched solubility for conductive lithium salts.⁴ Furthermore, Bollor  s lithium metal polymer (LMP) battery technology, which was introduced to the market in 2011,⁵ is based on PEO and led to a fleet of

more than 8000 electric vehicles until today. Despite its market success, the fairly low ionic conductivities of PEO-based materials at lower temperatures, *i.e.* below its melting point (~ 65 °C (ref. 6)) constitute a major drawback to the commercialization on a larger scale.⁴ Considering that ion transport is expected to only occur through the free volume provided by an amorphous PEO phase,⁷ various approaches such as cross-linking,⁸ plasticizer implementation,⁹ blending with other polymers¹⁰ or additional composite manufacturing^{9a,d,11} were investigated in order to reduce crystallinity within PEO-based materials and thus increase the resulting ionic conductivity at more convenient working temperatures. In this context, also architectural variations of PEO have been studied with the aim to improving the Li^+ -ion conductivity. Herein, one of the most applied approaches features the grafting of PEO side chains onto a polymer backbone and thus enabling reduction of crystallization.¹² We recently highlighted the coupled influence of different parameters such as side chain length, grafting density and lithium bis(trifluoromethanesulfonyl)imide (LiTFSI) loading content on the thermal properties and the resulting ionic conductivity of such a series of *cage*-shaped PEO side chain copolymers, successfully showing an effective reduction of the degree of crystallinity within the PE due to the systematic architectural variations.¹³

Noteworthy, the interest in complex polymer architectures in materials science increased over the past decades.¹⁴ Among the different polymer architectures, cage polymers stand out particularly because of the absence of chain end-groups and their multi-cyclic structures, thus lowering their hydrodynamic radius in solution as well as their propensity to crystallize, which is of interest within the present study. However, the synthesis of covalently-closed cage polymers still remain a great challenge resulting in only a small number of reports so far and thus limiting their application. Pioneering studies in the last 20 years by the groups of Tezuka,¹⁵ Shea,¹⁶ Pan,¹⁷ Paik,¹⁸ and Satoh¹⁹ explored different approaches for the synthesis of cage polymers. Yet only in 2020, the first example of *cage*-shaped PEO synthesis was published by Matsushita and co-

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workers.²⁰ Recently, we reported on a novel cage polymer synthesized *via* a closing reaction based on an intramolecular tetramerization of end-functionalized *m*-azidoethylbenzene into [3₄]-triazolophane macrocycles,²¹ which was demonstrated for a series of four-arm cage-shaped poly(ϵ -caprolactone)s (ϵ -PCLs), all exhibiting a reduced crystallinity induced by the topological conversion in accordance with former reports of similar ϵ -PCL structures.^{19b}

Despite being studied for 20 years, it is interesting to note that cage polymers hardly found any broader application so far. Their unique properties are indisputable, yet studies on cage polymers were so far rather limited to academic curiosities because they could commonly only be synthesized on the milligram scale. In order to overcome this current limitation and subsequently explore potential applications, the optimization of the cage polymer synthesis on a gram-scale is necessary. In this regard, we opted for a semi-batch process by adapting our recently developed synthetic protocol, allowing the production of sufficient amounts of polymer in a single reaction. Having all these considerations in mind, we planned to move our research interest to the synthesis of cage-shaped PEO in order (a) to demonstrate the universality of our intramolecular tetramerization process for the efficient synthesis of cage polymers, (b) to enable the synthesis of cage polymers at the gram-scale, and (c) to investigate the topological effect that a reduction in PEO crystallinity might induce on the ionic conductivity of PEO-based polymer electrolytes.

As starting material, a commercially available four-arm star-shaped PEO polymer (**PEO**_{star}), claiming a number average molar mass (M_n) of 5.0 kg mol⁻¹ and a dispersity (D) below 1.05 was purchased and carefully characterized prior the following synthesis. While the size-exclusion chromatography (SEC) confirmed the presence of a single Gaussian distribution with a low dispersity of $D = 1.04$, proton nuclear magnetic resonance (¹H-NMR) and Fourier-transform infrared spectroscopy (FT-IR) ensured the chemical purity of the material. In addition, ¹H-NMR spectroscopy allowed to determine M_n ,¹H-NMR of 5.7 kg mol⁻¹ from the integral ratio between the singlet located at 3.40 ppm corresponding to the central CH₂ hydrogens and the remaining CH₂ signals (Table 1, ESI II/1†). The functional end-group 3-azido-5-ethynylbenzoic acid was synthesized beforehand in accordance with our previous study.²¹ The **PEO**_{star} esterification was successfully conducted under mild conditions by EDC coupling, yielding end-functionalized star-shaped PEO (**PEO**_{end-func}) in 94% yield after purification by chromatography. Again, the quantitative end-

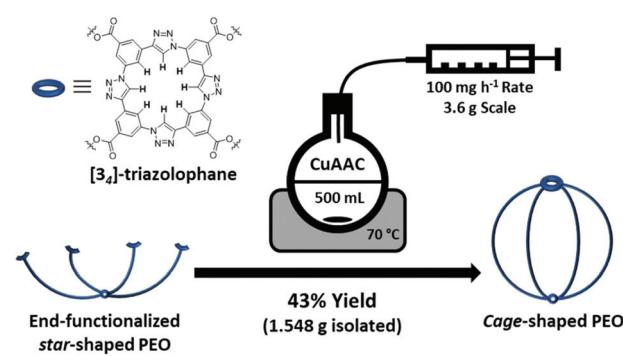
functionalization and the polymer purity were ensured by ¹H-NMR analysis. Thus, the three aromatic protons, the terminal alkyne proton at 3.19 ppm, as well as the four protons of the terminal ethylene oxide units located between 4.52 and 3.77 ppm were successfully assigned. Furthermore, a $M_{n,SEC}$ shift to higher molar mass was observed by SEC analysis (from 7.2 to 8.0 kg mol⁻¹) while retaining a single Gaussian distribution and a low dispersity of $D = 1.05$. Further, the introduction of the end-group functionalities was also proven by FT-IR with the azide double bond stretching and alkyne proton stretching signals located at 1724 cm⁻¹ and 2881 cm⁻¹, respectively, as well as the introduction of aromatic proton stretching signals (Table 1, ESI II/2†).

The topological conversion of the star-shaped PEO into the cage-shaped PEO was adapted and expanded to the gram-scale in order to obtain a sufficient quantity of material. To do so, the synthetic closing step was performed in a semi-batch process guaranteeing a steady-state concentration of the reactive species throughout the reaction, thereby efficiently suppressing undesired intermolecular reactions that would yield a cross-linked material (Scheme 1). In detail, the topological conversion of the star-shaped **PEO**_{end-func} into its cage-shaped counterpart (**PEO**_{cage}) was achieved by copper(i)-catalyzed alkyne–azide cycloaddition (CuAAC) and isolated in 43% yield (*i.e.* 1.548 g) after subsequent chromatography column purification. The [3₄]-triazolophane structure formation was confirmed by ¹H-NMR spectroscopy, *via* the complete disappearance of the alkyne proton signal previously located at 3.19 ppm and the appearance of the triazole proton signal at 10.69 ppm as well as the downfield shifting and broadening of the three aromatic proton signals from 7.29–7.92 ppm to 8.89–9.24 ppm (Fig. 1a). According to the SEC analysis, the $M_{n,SEC}$ value of the **PEO**_{cage} decreased from 8.0 to 4.7 kg mol⁻¹ due to the topological conversion (Fig. 1b). Noteworthy, a single Gaussian curve shape was retained while maintaining a low dispersity of $D = 1.14$, indicating the absence of **PEO**_{end-func} or **PEO**_{star}. This is in accordance with the results of the FT-IR spectra, in which the alkyne proton stretching signal, the broadening of the aromatic protons stretching signals and the strong attenuation of the azide double bond

Table 1 Overview of the main characteristics PEO polymers by SEC and ¹H-NMR analysis

Entry	Polymer	M_n ^a /kg mol ⁻¹	M_n ^b /kg mol ⁻¹	D ^b	Yield/%
1	PEO _{star}	5.7	7.2	1.04	n/a
2	PEO _{end-func}	6.4	8.0	1.05	94
3	PEO _{cage}	6.4	4.7	1.14	43

^a Obtained by ¹H-NMR. ^b Obtained by SEC.



Scheme 1 Schematic summary of the gram-scale synthesis of **PEO**_{cage} by semi-batch CuAAC reaction.



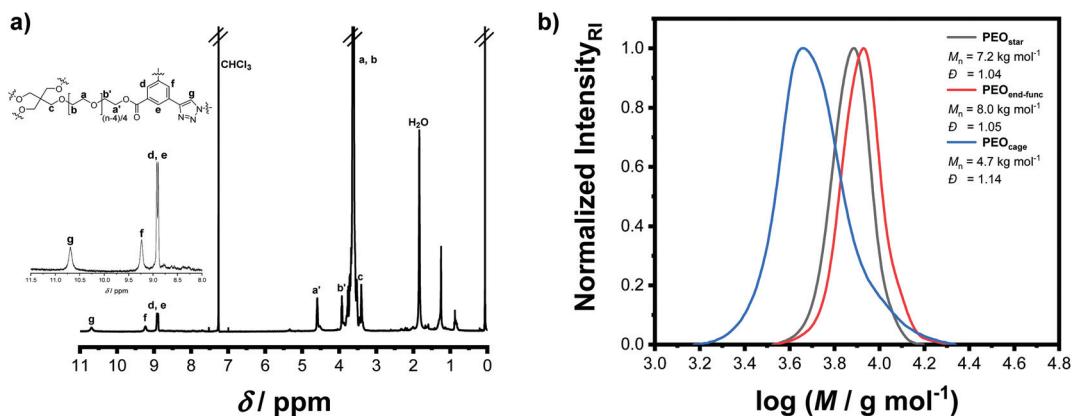


Fig. 1 (a) ^1H -NMR spectrum of the final PEOcage architecture. (b) SEC traces of PEOstar, PEOend-func and PEOcage.

stretching signal at 1723 cm^{-1} were also clearly observed (Table 1, ESI II/3†). Finally, PEOcage was characterized by ESI-MS analysis. It is worth noting that no polymer distribution could be recorded in positive mode, but was acquired in negative mode with chloride anions, as a result of the acidic extraction step during the purification process. Considering the high affinity of $[3_4]$ -triazolophane macrocycles and PEO toward chloride anions,²² the results previously obtained for cage-shaped ϵ -PCL,²¹ and the natural propensity of PEO to stabilize numerous ionic species, the presence of the main $[\text{M} + 2\text{Cl}]^{2-}$ distribution and of the additional minor distributions are very plausible (ESI II/4†).

Now with sufficient material in hand, the thermal properties of the cage-shaped PEO were characterized by differential scanning calorimetry (DSC) and compared to the star-shaped PEO. As PEO-based materials are commonly comprised of both crystalline and amorphous domains in variable ratios, their semi-crystalline nature can be characterized by their melting temperature (T_m) and glass transition temperature (T_g) as well as the related enthalpies.²³ Within this study, PEO-based PEs are of primary interest, which should preferably exhibit a completely amorphous phase, i.e. a non-existing crys-

tallization, in order to enable ionic conductivity in the created free volume.²⁴ Additionally, a low T_g ensures a maximal chain mobility and an optimal ion transport by segmental motion.²⁵ The predominant crystalline nature of PEOstar was highlighted by the prominent T_m located at $47.5\text{ }^\circ\text{C}$, the high fusion enthalpy $\Delta H^\circ_{\text{fus}}$ of 121.0 J g^{-1} and the absence of a noticeable T_g (Fig. 2a, Table 2). In comparison, literature values for high molar mass linear PEO are reported as $T_m \sim 65\text{ }^\circ\text{C}$, $\Delta H^\circ_{\text{fus}} = 196.4\text{ J g}^{-1}$,²⁶ indicating an influence of the PEO architecture on the crystallization, yet not suppressing it completely. A further suppression of the crystallization was

Table 2 Overview of the thermal properties obtained by DSC analysis for PEOstar and PEOcage and their related PEs

Entry	Polymer/PE	$[\text{Li}^+]:[\text{EO}]$ ratio	$T_m/\text{ }^\circ\text{C}$	$\Delta H^\circ_{\text{fus}}/\text{J g}^{-1}$	$T_g/\text{ }^\circ\text{C}$
1	PEOstar	n/a	47.5	121.0	n/a
2	PEstar1:20	1 : 20	35.0	38.6	-42.5
3	PEstar1:25	1 : 25	39.0	61.6	-44.2
4	PEOcage	n/a	30.3	51.6	-45.9
5	PEcage1:20	1 : 20	n/a	n/a	-40.8
6	PEcage1:25	1 : 25	n/a	n/a	-41.3

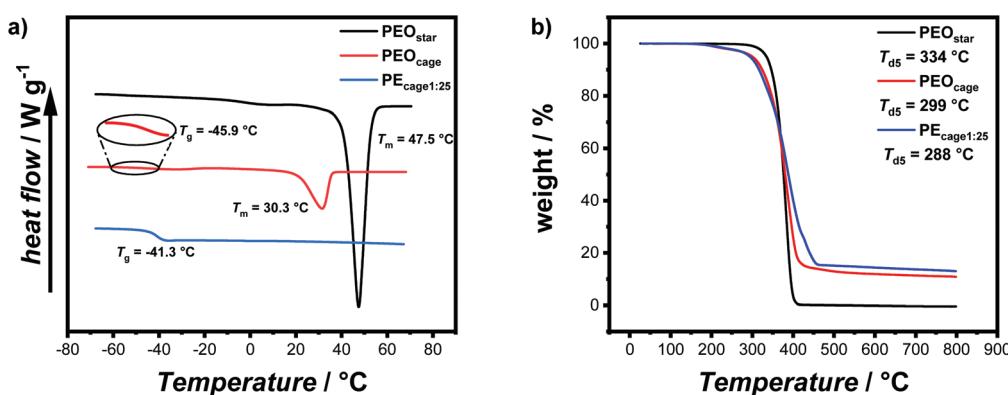


Fig. 2 (a) DSC thermogram of PEOstar, PEOcage and PEcage1:25 samples, showing the effect of architecture change and lithium salt addition. (b) TGA thermogram displaying the degradation profile of PEOstar, PEOcage and PEcage1:25. Subscripted numbers correspond to $[\text{LiTFSI}]:[\text{EO}]$ ratio.



observed after the topological conversion into **PEO_{cage}**, with T_m and $\Delta H^\circ_{\text{fus}}$ reduced to 30.3 °C and 51.6 J g⁻¹, respectively, and a clearly detectable T_g of -45.9 °C (Fig. 2a, Table 2). These values correspond to a reduction of crystalline domains of 58% or 74% in comparison to **PEO_{star}** or pure PEO,²⁸ respectively and represent an impressive reduction of crystallinity induced *via* a topological conversion into a *cage*-shaped architecture. In comparison, the reduction of crystallinity observed in a previously reported *comb*-shaped polymer architecture, consisting of comparable 24 and 54 ethylene oxide (EO) repeating units per side chain, was only 51% and 39%, respectively, relative to pure PEO.¹³ Furthermore, the thermal properties of different PEs prepared from **PEO_{cage}** (**PE_{cage}**) as well as **PEO_{star}** by addition of Li⁺-salt (**PE_{star}**) for comparison were examined considering that the addition of LiTFSI salt impacts the crystallinity substantially due to its plasticizing character. While lithium-salt loadings of [Li⁺]:[EO] of 1:20 and 1:25 completely suppressed crystallization of the **PEO_{cage}**, these loading values were not sufficient to suppress the crystallization for the comparable **PE_{star}** samples with $\Delta H^\circ_{\text{fus}} = 38.6 \text{ J g}^{-1}$ and 61.6 J g⁻¹ for [Li⁺]:[EO] of 1:20 and 1:25, respectively, as shown in Table 2. Notably, usually significantly higher LiTFSI loadings comprised of [Li⁺]:[EO] between 1:6–1:12 are necessary to ensure a completely amorphous PEO.²⁸ Moreover, in both **PE_{star}** and **PE_{cage}** samples, the T_g values decreased when the [Li⁺]:[EO] ratio was reduced from 1:20 to 1:25, due to a lower amount of *quasi-ionic cross-linking* between the PEO chain segments.^{13,29} On the contrary, higher T_g values were systematically observed for **PE_{cage}** in comparison with **PE_{star}** arising from the architecture-induced restriction of the polymers segmental motion. Nonetheless, the thermal characterization showed quite impressively that the topological conversion into a cage-based architecture not only reduces crystallinity, but also allows for low LiTFSI loadings. In addition, the thermal stabilities of **PEO_{star}**, **PEO_{cage}** and **PE_{cage1:25}** were examined by thermogravimetric analysis (TGA) measurements.

All materials showed a good thermal stability up to over 280 °C with a decomposition temperature at 5% weight loss T_{d5} of around 334 °C for **PEO_{star}**, 299 °C for **PEO_{cage}** and 288 °C for **PE_{cage1:25}** (Fig. 2b). Here, the presence of thermally more labile ester moieties might induce a small reduction in thermal stability when comparing **PEO_{star}** with both other samples. Further, the remaining char above 500 °C of ~12% (for **PEO_{cage}**) and ~15% (for **PE_{cage1:25}**), could be correlated to the theoretical content of [3₄]-triazolophane within **PEO_{cage}** (11.8%) and the remaining lithium species within **PE_{cage1:25}**.

Last but not least, the ionic conductivity of both **PE_{cage}** samples were measured within a temperature range of 70 °C to 0 °C *via* electrochemical impedance spectroscopy (EIS) and compared to the values obtained from **PE_{star}** and a linear PEO-based electrolyte (**PE_{linear}** with 5 Mg mol⁻¹) (Fig. 3). In accordance with the observation by DSC analysis, the complete crystallization suppression of the **PE_{cage}** samples led to a typical Vogel–Tammann–Fulcher behavior regarding their ionic conductivity. In addition, **PE_{cage1:25}** performed slightly better than **PE_{cage1:20}** over the whole temperature range, as predicted by

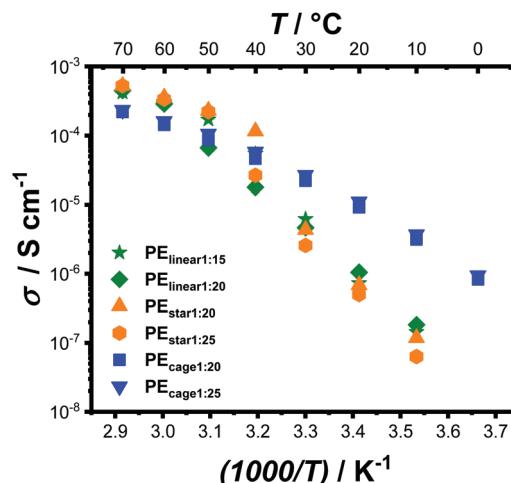


Fig. 3 Temperature-dependent ionic conductivity of **PE_{cage}** compared to **PE_{star}** and **PE_{linear}** samples with different LiTFSI loading ratios. Subscripted numbers correspond to [LiTFSI] : [EO] ratio.

the difference in T_g of 0.5 °C noticed between their respective DSC thermograms resulting from the lower LiTFSI salt loading, which reduced the *quasi-ionic cross-linking* and thus increased the segmental motion. Instead, EIS analyses of **PE_{star}** and **PE_{linear}** showed the known and eminent drop in ionic conductivity as soon as PEO crystallization occurred in the range from 50 °C to 30 °C depending of the respective topology and on the LiTFSI content. The somewhat higher chain mobility of **PE_{star}** and **PE_{linear}** above their melting points resulted in a slightly higher ionic conductivity than the **PE_{cage}**. Yet, **PE_{cage}** exhibited a superior ionic conductivity below 40 °C, resulting in ionic conductivity values of $1 \times 10^{-5} \text{ S cm}^{-1}$ at 20 °C, outperforming the **PE_{star}** and **PE_{linear}** by a factor of 10. Nonetheless, it has to be stated that these ionic conductivities are still relatively low from a practical point of view, though, they clearly show the capability of architectural approaches taken by polymer chemists.

Conclusions

In conclusion, the gram-scale synthesis of a four-arm *cage*-shaped PEO was successfully accomplished, opening up the possibility for applicational studies. For this, **PEO_{cage}** was investigated as a potential polymer electrolyte for lithium-ion batteries. In this regard, addition of a reduced amount of lithium-salt to the polymer electrolyte resulted in purely amorphous samples with superior ionic conductivity below 40 °C. Notably, the ionic conductivity gap recorded at 20 °C exceeded the values of the polymer electrolyte control samples by 10 times. Beyond being a significant step ahead in the research of applications for cage polymers, the present study clearly underlines the importance of topology and architecture when designing applications of polymer materials. Lastly, the exciting opportunities offered by architectural approaches might



contribute to the conception of next generation polymer electrolytes to advance electric energy storage.

Author contributions

A. J. Butzelaar: conceptualization, investigation, writing – original draft. M. Gauthier-Jaques: conceptualization, investigation, writing – original draft. K. L. Liu: investigation. Gunther Brunklaus: writing – review & editing, supervision, funding acquisition. Martin Winter: supervision, funding acquisition. Patrick Theato: conceptualization, writing – review & editing, supervision, funding acquisition. A. J. Butzelaar and M. Gauthier-Jaques contributed equally to this work.

Conflicts of interest

There are no conflicts to declare.

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