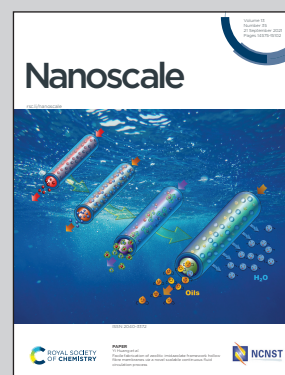


Showcasing collaborative research between Universities of Aveiro (Portugal), Bremen (Germany), Torino (Italy), Tartu (Estonia), and Aarhus (Denmark), from Gomes, Amorim, Pockrel, Mädler, Fasano, Chiavazzo, Asinari, Jänes, Tämm, Burk and Scott-Fordsmand researchers' laboratories.

Machine learning and materials modelling interpretation of *in vivo* toxicological response to TiO<sub>2</sub> nanoparticles library (UV and non-UV exposure)

Only by collaborative efforts, employing each laboratory with highly advanced tools, is it possible to understand the importance of nanomaterials' characteristics on toxicity. Generated ecotoxicity data from exposure to 11 Fe-TiO<sub>2</sub> NP libraries, described by 122 descriptors (measured and modelled), was explored using machine learning and modelling techniques. This dataset/-base represents test benches for developing holistic methodologies with broader applicability.

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## Machine learning and materials modelling interpretation of *in vivo* toxicological response to TiO<sub>2</sub> nanoparticles library (UV and non-UV exposure)<sup>†</sup>

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Assessing the risks of nanomaterials/nanoparticles (NMs/NPs) under various environmental conditions requires a more systematic approach, including the comparison of effects across many NMs with identified different but related characters/descriptors. Hence, there is an urgent need to provide coherent (eco)toxicological datasets containing comprehensive toxicity information relating to a diverse spectra of NPs characters. These datasets are test benches for developing holistic methodologies with broader applicability. In the present study we assessed the effects of a custom design Fe-doped TiO<sub>2</sub> NPs library, using the soil invertebrate *Enchytraeus crypticus* (Oligochaeta), via a 5-day pulse via aqueous exposure followed by a 21-days recovery period in soil (survival, reproduction assessment). Obviously, when testing TiO<sub>2</sub>, realistic conditions should include UV exposure. The 11 Fe–TiO<sub>2</sub> library contains NPs of size range between 5–27 nm with varying %Fe (enabling the photoactivation of TiO<sub>2</sub> at energy wavelengths in the visible-light range). The NPs were each described by 122 descriptors, being a mixture of measured and atomistic model descriptors. The data were explored using single and univariate statistical methods, combined with machine learning and multiscale modelling techniques. An iterative pruning process was adopted for identifying automatically the most significant descriptors. TiO<sub>2</sub> NPs toxicity decreased when combined with UV. Notably, the short-term water exposure induced lasting biological responses even after longer-term recovery in clean exposure. The correspondence with Fe-content correlated with the band-gap hence the reduction of UV oxidative stress. The inclusion of both measured and modelled materials data benefitted the explanation of the results, when combined with machine learning.

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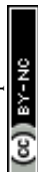
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<sup>†</sup>Electronic supplementary information (ESI) available: Tables S1–S6; Fig. S1 to S9; Movies S1 and S2. See DOI: 10.1039/d1nr03231c

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## 1. Introduction

Ecotoxicological studies with nanomaterials (NMs)/nanoparticles (NPs) are still mostly focused on testing one or few NMs at a time, with the few studies testing a range of materials dealing almost exclusively with *in vitro* embedded cells.<sup>1–3</sup> Obviously, this shows the need to explore a more systematic approach where advanced toxicity measures are compared across many NMs with highly identified characters/descriptors. Attempts have been made to apply various Quantitative Structure–Activity Relationship (QSAR) models to nanotoxicology data, *i.e.*, to relate a set of descriptors characterizing the NMs/NPs with their measured biological effects (*e.g.* ref. 4–7). Most of these studies deal with cells or unicellular organisms, although some studies deal with higher organisms.<sup>8–13</sup> Collectively, the *trans*-material studies that have been performed confirm the logic that NMs specific characters indeed are important for NM toxicity (*e.g.* ref. 14). The *trans*-material



studies are mainly (with few exceptions) based on correlating toxicity measures to a few commonly measurable descriptors, *i.e.* size (TEM/DLS based), zeta potential (usually in pure water), surface charge (few studies), and dissolution rate (for specific metal based materials). However, there is a wealth of other characters (or descriptors) available for the NM, *i.e.* either from directly measurable (*e.g.* energy bands), from simply calculable (*e.g.* particle number), or from more advanced atomistic modelling (*e.g.* atomic bond length) (*e.g.* ref. 6 and 15).

The novel descriptors can obviously enable a better explanation of the biological effects, however they will also require the use of more advanced data-analytical methods including atomistic and multiscale modelling, possibly supported by machine learning techniques to identify patterns otherwise hidden (*e.g.* ref. 16–19). To study the integration of more advanced NM descriptors with biological measures, the best approach (*i.e.* balancing between material diversity and explainable variation) would be to use materials that somehow have similar but yet distinct traits. A custom designed NM library offers this opportunity, to test the hypothesis relating effects to particles characters'. Among this, the TiO<sub>2</sub> NPs library (containing pure and Fe doped NPs) is a candidate which covers a wide spectrum of properties (*e.g.*, size, crystal structure, %Fe, band gap energy), while keeping others constant. That is done by doping the TiO<sub>2</sub> NPs with Fe, the band gap energy decreases, which enables the photoactivation of the TiO<sub>2</sub> at wavelength close to the visible light range, thus allowing a more effective use of TiO<sub>2</sub> photocatalytic properties (*i.e.*, under solar light). We here employ a library of such doped TiO<sub>2</sub> materials that have been extensively characterized. The characterizations include crystal structure (XRD), specific surface area (BET), transmission electron microscopic (TEM) imaging, NPs band gap energy (UV-visible spectroscopy), photo-oxidation capability (fluorimetric analysis) and reactive oxygen species (ROS) generation, hydroxyl radical generation (electron paramagnetic resonance (EPR)), hydrodynamic size and zeta potential measurements (DLS). Further, a similar Fe doped TiO<sub>2</sub> NPs library was tested *in vitro* (mammalian cell model, RAW 264.7 and leukemic HL60) and in unicellular models.<sup>20–22</sup> George *et al.*<sup>20</sup> observed an increase in cytotoxicity, accompanied by increased mitochondrial superoxide generation and decrease in mitochondrial membrane potential, under near-visible light, dependent on the increase in Fe content (1 to 10%). Huang *et al.*<sup>22</sup> showed that the effect was dependent on %Fe increase under light (light emitting diodes (LED) light). Yadav *et al.*<sup>21</sup> investigated, at a fluorescent light (with 10 times lower intensity in comparison to ref. 22), Fe-TiO<sub>2</sub> NPs, which enhanced photocatalytic inactivation of the bacteria *Escherichia coli* and *Staphylococcus aureus*.<sup>21</sup> As mentioned, similar studies *in vivo* whole organism are absent (*i.e.* multicellular).

In the present study, we investigate the *in vivo* toxicity across this Fe-doped TiO<sub>2</sub> NPs library using 11 TiO<sub>2</sub> materials. Since band-gap is a prominent feature for these TiO<sub>2</sub> materials, the effects of TiO<sub>2</sub> NPs were assessed under UV and

non-UV (fluorescent) light. These materials were tested using an important soil representative model worm species, *Enchytraeus crypticus* (Oligochaeta),<sup>23,24</sup> assessing survival and reproductive output. Enchytraeids are the most important organisms in many habitats, dominant both in biomass and abundance,<sup>25</sup> ranging between 10<sup>2</sup>–10<sup>5</sup> individuals per m<sup>2</sup>. The testing resulted in 22 *in vivo* concentration-response experiments, leading to 44 population measures. In addition, besides the material descriptors (also measured in this study) we include both simply calculable and advanced atomistic modelled material descriptors, reaching 122 NP related characters/descriptors for each NP.

## 2. Results

### 2.1. Materials characterisation

TEM results confirmed the indistinguishable crystalline morphology of the pure and Fe-doped TiO<sub>2</sub> particles in the range of 9–20 nm, *i.e.*, Fe is homogeneously distributed within the crystalline TiO<sub>2</sub> matrix. The highly crystalline nature of these particles was also confirmed by HRTEM and XRD (Fig. 1, for further details see ref. 20).

Fe doping of TiO<sub>2</sub> has additional effects besides the anticipated band gap engineering: (1) the equivalent primary particle size (dBET) and the crystallite size (dXRD) decrease and (2) the anatase to rutile ratio decreases with an increase in Fe loading (0–10%), see Table 2. UV-visible spectra were recorded for pure and Fe-doped TiO<sub>2</sub> nanoparticles in order to demonstrate the lowering of the band gap energy after Fe doping. The band gap energy ( $E_g$ ) values for undoped and Fe-doped TiO<sub>2</sub> nanoparticles range from 3.3 to 2.8 eV (Table 2). DLS results showed a decrease of agglomerate size with the increasing Fe content (Table 2). The  $\zeta$ -potential measurement showed an increase in the negative surface charge in Fe-doped TiO<sub>2</sub> (Table 2). This indicates that the electrostatic repulsive force contributes to the reduction in the agglomeration size of Fe-doped TiO<sub>2</sub>.

### 2.2. Materials modelling

The calculated 86 all-atom full-particle nanodescriptors (Table S1†) describe the core and surface regions of the NPs. These descriptors cover total number of atoms (both Ti and Fe), NP size, surface area and volume of the particles, potential energy of the atoms in various regions, coordination numbers, lattice energies, length of force vectors and dipole moments.

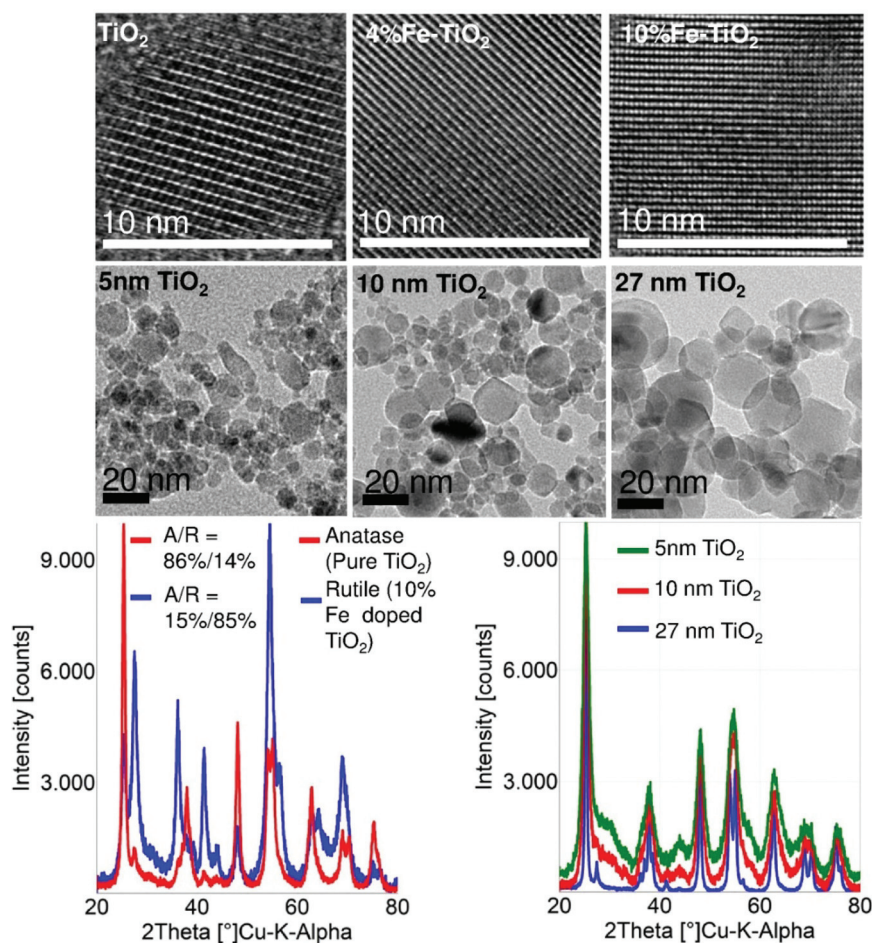
### 2.3. Exposure characterization

The exposure characterisation *via* DLS (Table S1†) shows that there is a high degree of agglomeration in the system, but it was not possible to identify a clear relationship between the particle descriptors and the hydrodynamic size distribution (DLS measure).

The zeta potential showed that with increasing concentrations there was an increase in stability *i.e.* a lower zeta







**Fig. 1** (upper panel) High resolution images of pure  $\text{TiO}_2$ , 4%Fe and 10%Fe doped  $\text{TiO}_2$ . The images show highly crystalline nature of the particles (middle panel): the spherical particle morphology of the differently sized  $\text{TiO}_2$  nanoparticles produced at different flame conditions (lower panel, left): XRD patterns of pure and 10%Fe doped  $\text{TiO}_2$ . The results show that the anatase to rutile ratio is reversed when  $\text{TiO}_2$  is doped with 10% of Fe (lower panel, right): the evidence of clear particle size changes through variation in the flame spray parameters. The narrow patterns of the XRD (blue curve) are an indication of larger particle size while the broad patterns (green) show that the particles are relatively small.

potential. There was generally lower zeta potential for non-UV exposure samples than for UV exposed samples.

#### 2.4. Biological measures (survival and reproduction)

The exposure in ISO water in controls had a survival >80% (controls non-UV and UV). The pH of the test media was  $6.7 \pm 0.1$  in all treatments, without significant change over the test duration.

Survival was not affected during the 5 days of exposure in ISO water for all treatments. For the subsequent 21 days in clean LUFA 2.2 soil, survival and reproduction varied with material and UV exposure (Fig. 2), from no apparent impact (e.g. non-UV 4%FeTiO<sub>2</sub>\_8 nm) to clear dose-response (e.g. non-UV 6%FeTiO<sub>2</sub>\_5 nm). When under UV exposure it showed several cases where the impact was reduced with increasing concentration.

**2.4.1. Simple correlation approach.** When extracting the concentration-response information, it is possible to relate the stable (i.e. concentration independent particle descriptors)

with the biological effect, i.e. this was done by calculating EC<sub>50</sub> from the curves. For instance, for non-UV, certain NMs caused no effect (10%FeTiO<sub>2</sub>\_5 nm, 8-4-2-1%FeTiO<sub>2</sub>), whereas other caused concentration dependent mortality and decreased reproduction (non-UV, 10%FeTiO<sub>2</sub>\_10 nm [1000] and 6%FeTiO<sub>2</sub>\_5 nm). For UV exposed organisms, the clear reproductive effect caused by UV alone (see concentration 0 in the figures) was alleviated by increasing  $\text{TiO}_2$  concentration (both for pure and Fe doped particles). By fixing the reproductive output at 1000 mg  $\text{TiO}_2 \text{ L}^{-1}$  as the 100% reproduction, then the EC<sub>50</sub>-recovery with increasing concentration can be calculated. Based on this it was observed that for exposure to the  $\text{TiO}_2$  materials alone (non-UV), there was a negative linear correlation between the zeta potential and the increase in reproductive output (EC<sub>50</sub> for Reproduction =  $-116 \times \text{zeta} + 4303$ ,  $R^2 = 0.89$ ,  $N = 5$ , if omitting the 2%FeTiO<sub>2</sub>; including the 2%FeTiO<sub>2</sub> included was  $R^2 = 0.56$ ). This may be because agglomeration is enhanced with UV, hence a more pronounced effect of zeta ( $\zeta$ ).<sup>26</sup>



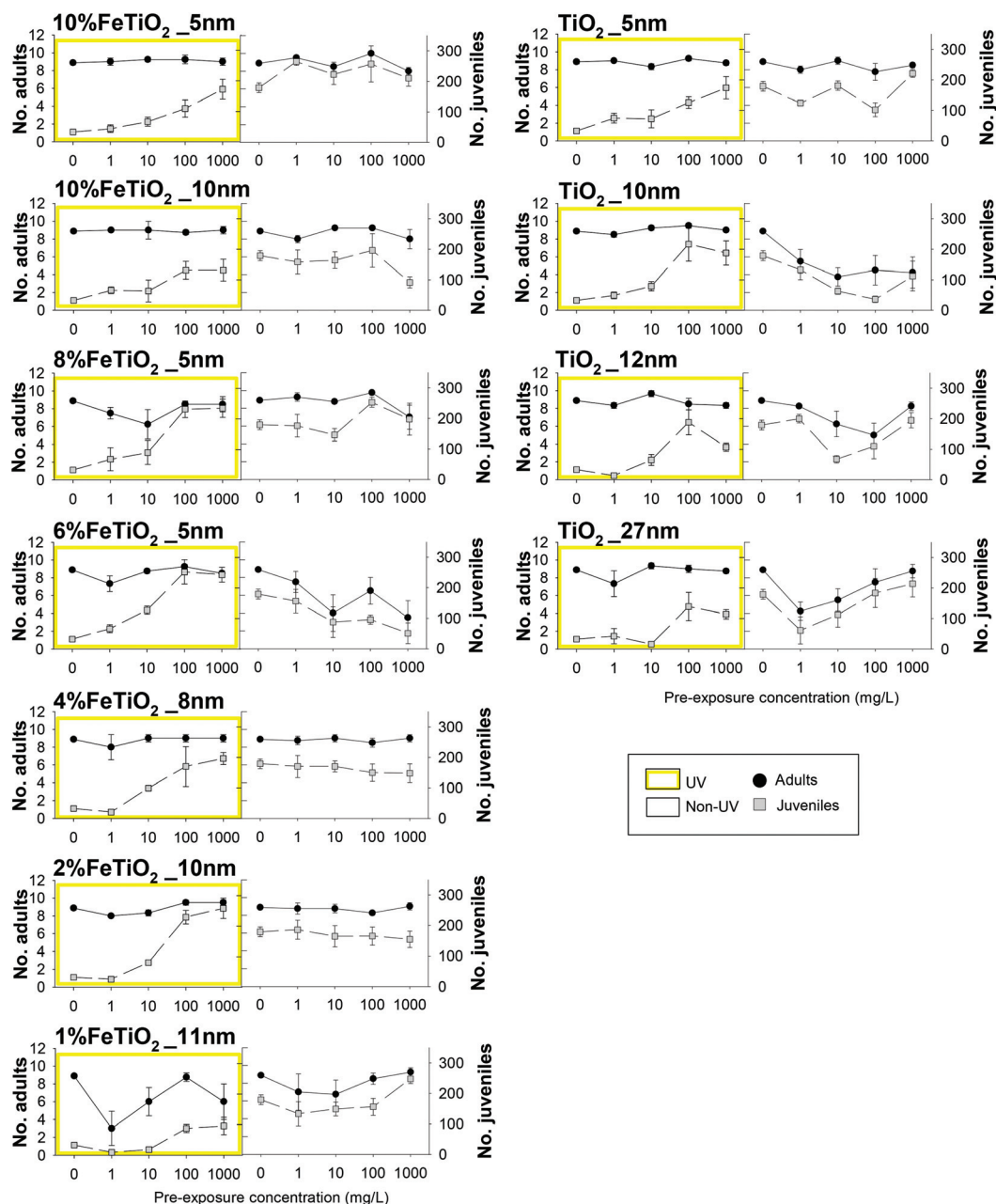


Fig. 2 Results in terms of survival and reproduction of *Enchytraeus crypticus*, after transfer to clean LUFA 2.2 soil for 21 days in a standard test. Organisms were pre-exposed via ISO water to  $\text{TiO}_2$  nanomaterials:  $\text{TiO}_2$ \_5, \_10, \_12, \_27 nm, 1–2–4–6–8% $\text{FeTiO}_2$  and 10% $\text{Fe/TiO}_2$ \_5, 10 nm, with UV (yellow) and without UV (non-UV, white) radiation. Results are expressed as average  $\pm$  standard error ( $n = 4$ ).

**2.4.2. Machine learning approach.** The multi-step data analysis method was used for identifying the descriptors for the biological response of  $\text{TiO}_2$  materials out of the list of variables potentially involved in this mechanism. Both datasets obtained by experiments with and without UV exposure were analysed in parallel.

Starting from the initial amount of  $N = 113$  variables ( $x_1, x_2, \dots, x_{113}$ ), the data cleaning process reduced this number to  $N = 105$  for both datasets. After that, the hierarchical clustering algorithm identified the variables showing the highest simi-

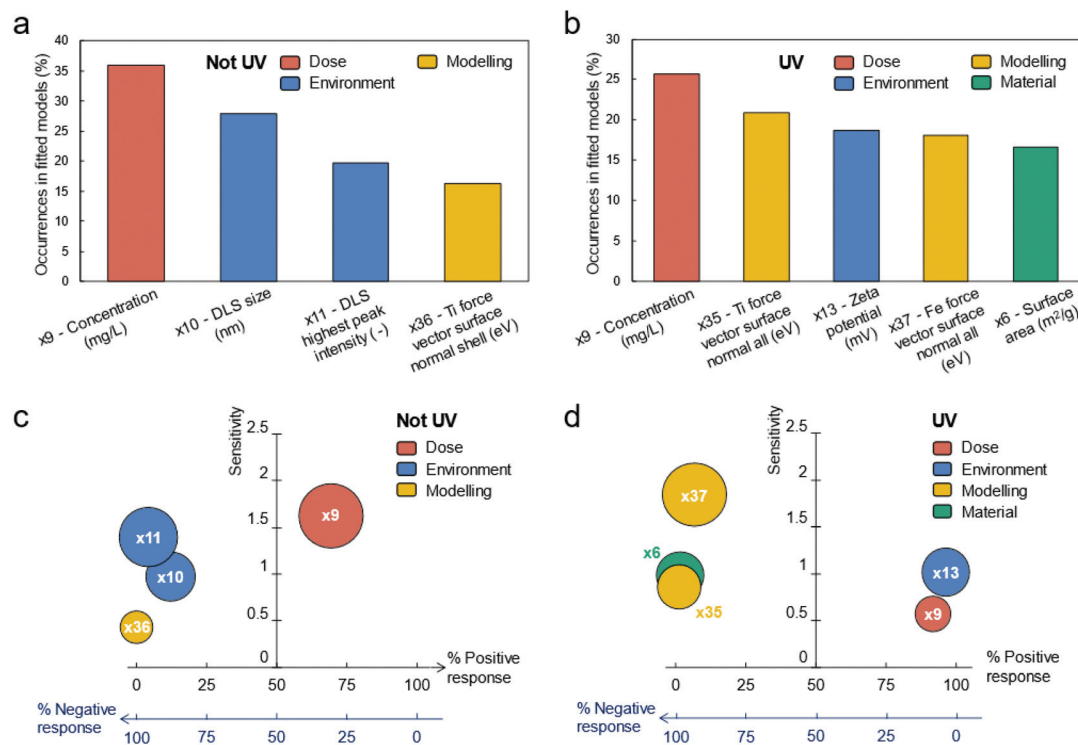
larity in terms of Spearman's correlation coefficient (see Fig. S4 and S5† for the non-UV and UV case, respectively), grouping them into clusters according to a pair-wise rationale. This algorithm has highlighted the presence of 39 clusters of similar (*i.e.*, correlated) variables for the non-UV experiments, while 40 for the UV ones. The clustering of variables operated by the algorithm is both quantitatively and qualitatively robust. From one side, the obtained high value of cophenetic correlation coefficient (0.72 for the non-UV and 0.74 for the UV case) and Spearman's correlation coefficients between the



pairs of variables within each cluster (0.63 or above for both non-UV and UV case, see Fig. S6 and S7,† respectively) are indicators of good clustering accuracy. From the other side, the clusters of variables listed in Tables S2 and S3† are also reasonable from a qualitative perspective, for instance: cluster #1 includes both the percent concentration of iron and titanium, which are complementary between each other; cluster #11 groups all the modelling variables related to the number of Ti and O atoms in the core and shell of particles, which clearly depend on the unit cell of crystal; cluster #14 groups the computed lattice energy of particles normalized by their radius, surface, or volume. Interestingly, the only different clusters in the two datasets are due to the Polydispersity Index (PDI) and average size of particle aggregates from DLS measures, whose values appear to be correlated between each other when solutions are not exposed to UV whereas they become uncorrelated under UV light.

The representative variables nominated per each cluster are listed in Tables S4 and S5.† Then, those variables (39 for the non-UV and 40 for the UV case) have been pruned iteratively following the algorithm depicted in Fig. 5b. Several rounds of pruning were carried out, until one of the chosen stopping criteria was met (see Fig. S8 and ESI Movies S1 and S2† for a

dynamic overview of the process). This was achieved at the 7<sup>th</sup> round for the experiments without UV exposure and at the 6<sup>th</sup> round for the experiments with UV exposure. The variables remaining after the pruning process can be considered as significant descriptors of the biological mechanism to the tested TiO<sub>2</sub> particles. Notice that the descriptors of the toxicological responses for TiO<sub>2</sub> particles have been analysed separately for UV and non-UV exposure, since they can be governed by different biological pathways (due to interaction between UV and TiO<sub>2</sub>); however, multi-output model fitting<sup>27–32</sup> could be also used when more homogeneous mechanisms underlying the toxicological response are present in the dataset analysed. As reported in Fig. 3a, the four descriptors identified in case of no exposure to UV are (sorted by per cent occurrence in the best fitting functions found by the symbolic regressor): concentration; average size of particle aggregates from DLS measures; highest peak intensity from DLS measures; normal surface force vector of Ti atoms in the particle shell. The five descriptors of biological response experiments under the UV lights are listed instead in Fig. 3b, being: concentration; normal surface force vector of Ti atoms in the whole particle; zeta potential; normal surface force vector of Fe atoms in the whole particle; surface area of the suspension. Notably, the



**Fig. 3** Effect of descriptors on biological response. The process of variables pruning highlights the presence of (a) four descriptors of TiO<sub>2</sub> biological response without UV exposure, and (b) five under UV exposure. Their relative occurrence in the models fitted by the symbolic regressor is reported in the upper panels of this figure. The lower panels depict the sensitivity of the biological response of TiO<sub>2</sub> particles with these descriptors, for both (c) no exposure to UV, and (d) exposure to UV. Here, the “sensitivity” quantifies the average relative impact within the identified fitting models that a descriptor has on the biological response; whereas the “% positive response” describes the likelihood that increasing a descriptor will increase the biological response (*vice versa* for “% negative response”). For instance, “% positive response = 70% for the x<sub>9</sub> descriptor (not UV) means that – considering the explored fitting functions and values of x<sub>9</sub> between the minimum and maximum ones in the dataset – x<sub>9</sub> is directly proportional to the biological response 70% of the times (in the remaining 30% of cases, inverse or no proportionality is observed, instead).





effect of chemical composition of particles on biological response is better described by variables obtained from numerical computations rather than experimental ones, thus justifying the need for hybrid characterization/modelling datasets for describing biological mechanisms in a more comprehensive way.

As expected, Fig. 3a and b remark that the dose of particles is a common descriptor in both experimental conditions. Furthermore, the surface-to-volume of particles appears as an important aspect in both cases, with the important difference that the descriptors found for the non-UV case (*i.e.*, average size and highest peak intensity of particle aggregates by DLS measure) are affected by the surrounding environment (*e.g.*, pH, temperature, dissolved ions), while the one for the UV case (*i.e.*, surface area of dry particles by BET measure) is not.

The chemical composition of particles is also found to be another important descriptor in both experimental conditions, with only slight differences (normal surface force vector of Ti atoms in the particle shell *vs.* normal surface force vectors of Fe and Ti atoms in the whole particle). Instead, the zeta potential seems to affect the biological response only when UV light irradiates particles.

In Fig. 3c (non-UV) and 3d (UV), we report also the “sensitivity” and “% positive response” of descriptors on the biological response: the former quantifies the average relative impact within the identified fitting functions that a descriptor has on biological responses; the latter describes the likelihood that increasing a descriptor will increase the biological response as well. Again, the observed direct proportionality between concentration and biological response agrees with typical results in the literature. However, here the dose of particles has the highest sensitivity on biological response only for experiments without UV, while other descriptors seem to have a bigger impact in case of UV exposition. In this latter case, the response is more sensitive to chemical composition of particles, instead. Other interesting evidence from Fig. 3c and d are the inverse proportionality between the descriptors related to the surface-to-volume and chemical composition of particles and the biological response, and the direct proportionality between the zeta potential and biological response (UV case).

Finally, considering only the last extended fitting by the symbolic regressor, Fig. 4a shows that the best correlation between the descriptors and the biological response for non-UV exposure achieves a remarkable  $R^2 = 0.82$  with the following function:

$$y = (a_0 + x_9 \times x_{11}) / (a_1 + x_{11}) + (a_2 \times x_{11} + a_3 \times x_{10}^2 - a_4) / (a_5 + x_9 \times x_{11} + a_6 \times x_{10}^2 - a_7 \times x_{10}) - x_9 \times x_{36} - a_8 \times x_{10} \quad (1)$$

being  $a_0 = 7.60476 \times 10^{-1}$ ,  $a_1 = 5.06773 \times 10^{-1}$ ,  $a_2 = 7.49708 \times 10^{-3}$ ,  $a_3 = 7.06041 \times 10^{-3}$ ,  $a_4 = 6.58828 \times 10^{-3}$ ,  $a_5 = 1.54750 \times 10^{-2}$ ,  $a_6 = a_7 = 4.68459 \times 10^{-2}$ ,  $a_8 = 6.60635 \times 10^{-1}$  the best-fit coefficients,  $y$  the target biological response from experiments and  $x_i$  the considered descriptors (see Table S4†). Instead, the

best compromise (*i.e.*, elbow of Pareto front) between model complexity and accuracy for TiO<sub>2</sub> particles not exposed to UV shown in Fig. 4b obtains  $R^2 = 0.67$  with the following simpler expression:

$$y = b_0 + b_1 \times x_9 + b_2 / (x_{10} - b_3 - x_9) - x_9 \times x_{36} - b_4 \times x_{11} - b_5 \times x_{10} \quad (2)$$

being  $b_0 = 9.42000 \times 10^{-1}$ ,  $b_1 = 4.14696 \times 10^{-1}$ ,  $b_2 = 1.03536 \times 10^{-2}$ ,  $b_3 = 3.14177 \times 10^{-1}$ ,  $b_4 = 3.69600 \times 10^{-1}$  and  $b_5 = 4.57710 \times 10^{-1}$ . Even better accuracy has been noticed while fitting the biological response of TiO<sub>2</sub> particles exposed to UV, since the best correlation in Fig. 4c shows a remarkable  $R^2 = 0.94$  with the following correlation:

$$y = c_0 + (c_1 + c_2 \times x_9 + c_3 \times x_{13}^5) / (x_6 + x_{35} + x_6 \times x_{37} + x_{35} \times x_{37} + c_4 \times x_9 \times x_{35} \times x_{37} - x_{35} \times x_{13}^2) - c_5 \times x_{35} \quad (3)$$

being  $c_0 = 9.80620 \times 10^{-2}$ ,  $c_1 = 1.62718 \times 10^{-1}$ ,  $c_2 = c_4 = 6.66604 \times 10^{-1}$ ,  $c_3 = 4.95643 \times 10^{-1}$ ,  $c_5 = 1.62718 \times 10^{-1}$  and  $x_i$  the considered descriptors (see Table S5†). Also here the best compromise between model complexity and accuracy for TiO<sub>2</sub> particles exposed to UV shown in Fig. 4d obtains a lower  $R^2 = 0.89$  but a simpler expression:

$$y = (d_0 + d_1 \times x_9 + x_{13}^4) / (x_{35} + x_{37} + x_6 \times x_{13}) \quad (4)$$

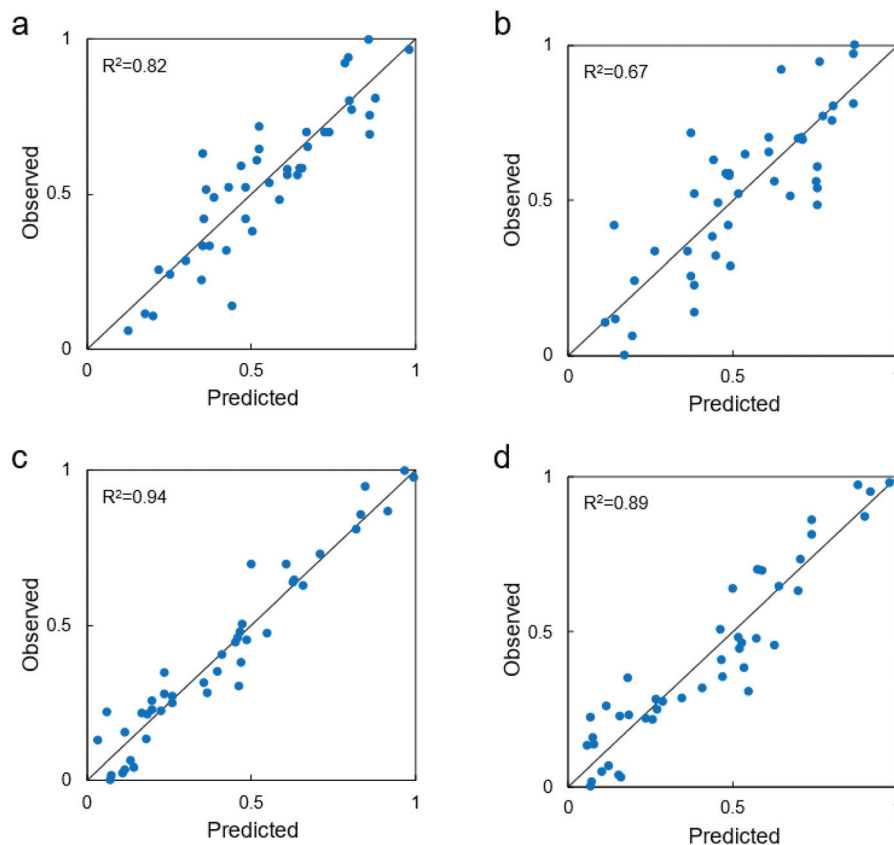
being  $d_0 = 8.97434 \times 10^{-2}$  and  $d_1 = 5.78572 \times 10^{-1}$ .

### 3. Discussion

The study shows that the biological response of TiO<sub>2</sub> depends on the Fe doping when under UV light exposure, even though the organisms recovered after a longer-term period in clean soil without UV. We saw a clear advantage of including both measured and modelled materials related descriptors when performing extensive (90 *in vivo* exposure concentrations (460 replicates) of 26 days) ecotoxicological experiments with nanomaterials, as both contributed to describe the results. However, including a high number (113 descriptors in this case) of material descriptors generates a high number of linked data, which requires a structured multi-step data analytical approach, *e.g.* through machine learning as done here. This results in a pruning process, hence the selected descriptors represent other correlated descriptors which also explain the observed biological responses. Notably, the effect of chemical composition of particles on biological response was better described by variables obtained from numerical computations rather than experimental ones, thus justifying the need for hybrid characterization/modelling datasets for describing biological mechanisms in a more comprehensive way.

For nanomaterials the primary core size has commonly been observed as important for toxicity.<sup>33,34</sup> However, this was not the case here but instead the hydrodynamic diameter cor-





**Fig. 4** Best model correlations with the identified descriptors: experimental observations vs. model predictions (values are normalized by min–max approach, each dot represent one tested configuration). (a) Fitting performance of the most complex, most accurate function for TiO<sub>2</sub> particles not exposed to UV (see eqn (1)). (b) Fitting performance of the best compromise (*i.e.*, elbow of Pareto front) between model complexity and accuracy for TiO<sub>2</sub> particles not exposed to UV (see eqn (2)). (c) Fitting performance of the most complex, most accurate function for TiO<sub>2</sub> particles exposed to UV (see eqn (3)). (d) Fitting performance of the best compromise (*i.e.*, elbow of Pareto front) between model complexity and accuracy for TiO<sub>2</sub> particles exposed to UV (see eqn (4)). The definitions of the reported variables  $x_1, \dots, x_{39}$  are reported in the Tables S4 (no exposure to UV) and S5 (exposure to UV).†

related with biological impact (the primary size did not correlate with hydrodynamic size) which is in line with previous studies by Roohi *et al.*<sup>35</sup> who also showed that smaller hydrodynamic size related to higher bio-distribution. The zeta potential had a significant impact under UV exposure, which is supported by Wang *et al.*<sup>26</sup> who showed UV-induced increase of the zeta potential. It is worth noticing that Wang *et al.*<sup>26</sup> observed a pH reduction when UV-radiating water containing humic acid, hence such a pH change if severe would also affect an organism in our experiment. We did not observe a pH change, and we had no added organic material during the UV exposure, so this is unlikely.

For the actual nanoparticles, the normal surface force vector of Ti/Fe atoms in the shell (modelled data) correlated with the biological impact. This descriptor reflects the stability of TiO<sub>2</sub> on NP surface, with more positive value (difference from zero) indicating higher biological response. This surface stability was especially important under UV exposure, where a negative biological response was associated with this descriptor. This relationship with the surface vectors could be

explained through a link to oxidative stress, as a correlation between the surface vector and the band gap was observed, similar to the large number of oxide materials investigated *in vitro* and *in vivo*.<sup>36</sup> Band-gap correlates with oxidative stress.<sup>20</sup> Total particle surface area also correlated with the UV-exposure, also in agreement with the band-gap correlation under UV exposure.

There was an inverse proportionality between the descriptors related to the surface-to-volume and chemical composition of particles and the biological response, and a direct proportionality between the zeta potential and biological response (UV exposure).

UV pre-exposure alone caused a high effect on organisms' reproduction (*i.e.* significant decrease in reproduction compared to non-UV controls), but without mortality (both during pre and post-exposure period). The explanation for this is probably that the organisms are thin (diameter 200–300 μm (ref. 37)) and transparent, hence the UV could have caused detrimental effects on gametes *e.g.* through ROS production, while the adult as a whole would not die immediately.<sup>38</sup> A pre-





vious study using similar exposure design<sup>39</sup> to UV-B showed also a reproduction inhibition in *E. crypticus*. Hence, both UV-A and UV-B radiation cause an impact to enchytraeids.

The atoms on the TiO<sub>2</sub> terminating crystal surface experience certain forces (differences in surface energy when Ti or O are terminating element). Such difference may cause variation in the surface energies, which are significantly influenced by two forces: normal force perpendicular to shear force (acting tangentially over an area). The UV application to the TiO<sub>2</sub> nanoparticles forces atomic displacement along a certain Müller direction [1 0 1] which may bring collective changes in the sample.<sup>40</sup> The number of atomic displacement of Ti in Fe doped TiO<sub>2</sub> also varies due to different surface termination (some Fe atoms might also be on the surface), which in turn reflects the biological outcome. The combination TiO<sub>2</sub> NPs and UV seemed to have an antagonistic or protective role against the UV effects, in particular with increasing concentrations, *i.e.* reduction in UV effect with increasing TiO<sub>2</sub> concentration. Since the degree of protection decreased with hydrodynamic size, one explanation could be that the higher agglomeration rate caused a deposition in the bottom of the vessel, hence less dispersed in the water column resulting in less UV absorption in the water column. The higher the TiO<sub>2</sub> concentration the more will be absorbed in the water column, with the flattening out of the curves between 10–100 mg L<sup>-1</sup> for some materials because 10 mg L<sup>-1</sup> was simply a high enough concentration to induce total protection (probably close to total UV absorption). We also observed in the stereomicroscope (see Fig. S9†) that TiO<sub>2</sub> NPs attach to the organisms' dermis and this can reduce the direct UV exposure of the organisms. The binding at the organisms' surface is most likely also zeta potential related, but we could not verify this since we could not quantify the attached NPs.

For non-UV treatments, TiO<sub>2</sub> induced an effect response pattern for two exposures – 6% Fe doped and the 10 nm TiO<sub>2</sub>, for the remaining there was little change with increasing concentration. So even though agglomeration must have also occurred here, as was in the UV treatment, it did not seem to relate to possible effects. Higher effect of lower concentrations of NMs has been reported before *e.g.* for Ag and Ni<sup>41–43</sup> and this highlights the importance to adapt the dose–response paradigm for NMs. Yadav *et al.*<sup>21</sup> studied the antibacterial

activity of Fe doped and pure TiO<sub>2</sub> NPs under fluorescent light and showed that increase in Fe (from 1 to 3%) increased the mortality rates of the bacteria *Escherichia coli* and *Staphylococcus aureus*. The differences in the crystal structure of the TiO<sub>2</sub> NPs tested (100% anatase in ref. 21 *versus* a combination of anatase and rutile in our study) must account for the observed differences, this of course besides the test organisms (unicellular bacteria *versus* a multicellular oligochaeta) and modes of action.

## 4. Conclusions

Doping of TiO<sub>2</sub> with Fe changed the biological response of organisms especially under UV exposure. Notably, the short-term water exposure induced lasting biological responses even after longer-term recovery in clean exposure. The correspondence with Fe-content correlated with the band-gap hence the reduction of UV oxidative stress. When performing a high number of extensive *in vivo* test across materials, the inclusion of both measured and modelled materials data benefitted the explanation of the results, when combined with machine learning. This inclusion may produce large datasets with the opportunity of embedding different features of materials but, in order to dig out a significant explanation, systematic pruning is essential for identifying automatically the most significant descriptors and consequently the key phenomena.

## 5. Experimental

### 5.1. Material synthesis

The different sizes and respective iron doped TiO<sub>2</sub> nanoparticle libraries were obtained using versatile flame spray pyrolysis adapting controlled precursor chemistry and solvent combinations (see Table 1).

The TiO<sub>2</sub> based particles were obtained by using metalorganic precursor such as titanium(IV) isopropoxide (Strem Chemical, 99.9% pure) with (for doping) and without (for pure and differently sized TiO<sub>2</sub>) Fe–naphthenate (12% Fe by metal, Strem, 99.9% pure). For the synthesis of doped particles, titanium(IV) isopropoxide (50 mL) was separately mixed with

**Table 1** Precursor solvent combinations and flame parameters used for designing Fe doped TiO<sub>2</sub> nanoparticle library

Ti-isopropoxide in xylene (mL) (0.5 M by Ti)	Fe–naphthenate in xylene (mL) (0.5 M by Fe)	Precursor flow rate (mL min <sup>-1</sup> )	CH <sub>4</sub> + O <sub>2</sub> (L min <sup>-1</sup> )	Dispersion O <sub>2</sub> (L min <sup>-1</sup> )	Nanoparticles
50	0	5	1.5 + 3.2	5.0	Pure TiO <sub>2</sub>
50	0.43	5	1.5 + 3.2	5.0	1%Fe/TiO <sub>2</sub>
50	0.86	5	1.5 + 3.2	5.0	2%Fe/TiO <sub>2</sub>
50	1.72	5	1.5 + 3.2	5.0	4%Fe/TiO <sub>2</sub>
50	2.58	5	1.5 + 3.2	5.0	6%Fe/TiO <sub>2</sub>
50	3.44	5	1.5 + 3.2	5.0	8%Fe/TiO <sub>2</sub>
50	4.3	5	1.5 + 3.2	5.0	10%Fe/TiO <sub>2</sub>
50	—	4	1.5 + 3.2	7	5 nm TiO <sub>2</sub>
50	—	5	1.5 + 3.2	5	10 nm TiO <sub>2</sub>
50	—	7	1.5 + 3.2	3	27 nm TiO <sub>2</sub>



0.6–6.5 mL of Fe–naphthenate (0.5 M by metal) for 1–10 wt% of Fe-doped TiO<sub>2</sub> nanoparticles. All the precursors were diluted with xylene (99.95%, Strem) to keep the metal to 0.5 M.

Combustion of the dispersed droplets is initiated by the co-delivery of CH<sub>4</sub> and O<sub>2</sub> (1.5 L min<sup>-1</sup>, 3.2 L min<sup>-1</sup>) to form a flame.<sup>44–46</sup> The flame parameters shown in the Table 1 for the Fe doped particles gives rise to the primary particle size of ~10 nm. For the synthesis of particles with different sizes, the flame and spray parameters were varied. The parameters for obtaining various TiO<sub>2</sub> based primary particle sizes are explained as follows: (1) for the preparation of standard particles (~10 nm), the liquid precursor was delivered at the rate of 5 mL min<sup>-1</sup> to the flame nozzle and was atomized using 5 min<sup>-1</sup> O<sub>2</sub> at a constant pressure drop of 1.5 bar at the nozzle tip; (2) for synthesizing 5 nm NPs, the precursor was fed in the flame through the nozzle at the rate of 4 mL min<sup>-1</sup> with oxygen flow rate of 7 L min<sup>-1</sup>; (3) precursor and O<sub>2</sub> flow rates with 7 mL min<sup>-1</sup> and 3 L min<sup>-1</sup>, respectively was used to obtain 27 nm particles. The constant premixed gas flow (CH<sub>4</sub> = 1.5 L min<sup>-1</sup> + O<sub>2</sub> = 3.2 L min<sup>-1</sup>) and pressure drop of 1.5 bar at the nozzle tip was maintained for all the experiments during spray combustion. The particles were formed by reaction, nucleation, surface growth, coagulation, and coalescence in the flame environment.<sup>47,48</sup> The particles were collected from the 257 mm glass filter placed above the flame at a distance of 60 cm.

## 5.2. Materials characterisation – measurements

The NPs tested were fully characterized (Table 2) as also described in George *et al.*<sup>20</sup> X-ray diffraction (XRD) measurements were done using a PANalytical X'Pert MPD PRO diffracting system, and the determination of the average crystallite sizes (dXRD) was achieved by the line-broadening analysis. Specific surface areas were determined by nitrogen adsorption–desorption measurements (BET), carried out at 77 K using a NOVA system. The primary particle size was derived using the equation  $d_{\text{BET}} = 6/\rho\text{SA}$ , where  $d_{\text{BET}}$ ,  $\rho$ , and SA are defined as the average diameter of a spherical particle, theoretical density, and the measured specific surface area. High resolution transmission electron microscopy images (HRTEM)

were obtained with a FEI Titan 80/300 microscope. The NPs samples were dispersed in absolute ethanol and ultrasonified for 1 h, and then applied on a TEM grid and let to evaporate before imaging. The band gap energy of the NPs was determined by UV-visible measurements using a SHIMADZU UV-vis 2101 PC spectrophotometer in reflection mode. The UV absorbance spectra were used to evaluate the band gap of TiO<sub>2</sub> and Fe-doped TiO<sub>2</sub> nanoparticles by plotting  $[F(R_{\alpha}) \times h\nu]^{1/2}$  against  $h\nu$ , where  $h\nu$  is the energy of the incident photon and  $F(R_{\alpha})$  is the reflection in Kubelka–Munk function. The linear part of the curve was extrapolated to zero reflectance and the band gap energy was derived. Particle size distribution (by dynamic light scattering – DLS) and  $\zeta$ -potential of the nanoparticles in water (5 mg L<sup>-1</sup>) were assessed using a ZetaSizer Nano (Malvern Instruments, Westborough, MA) in the backscattering mode.

The particles were also characterized in the media, this in all exposure concentrations and both under UV and non-UV treatment. This characterization included DLS, zeta, *etc.* (please see Table S1†). This characterization was performed in the aquatic exposure and not in the soil media, that was technically impossible or – for the part that was possible (*i.e.* following extraction) – highly uncertain.

## 5.3. Material characterisation – modelling

The particles were characterized by atomistic modelling, as described by Tamm *et al.*<sup>15</sup> and Burk *et al.*,<sup>6</sup> generating 86 NP descriptors for each material. The calculations were carried out using Lennard–Jones potential<sup>49,50</sup> version of the conjugate gradient approach. The core and shell region is determined by Kneedle method,<sup>51</sup> where it is assumed that shell region starts, where the change in the corresponding value is the highest. Thus, the descriptors of the core atoms are quite similar to the ones that could be obtained for perfect crystal structure. The calculated descriptors included core/shell distribution of atoms, coordination distances, lattice energies, *etc.* (see Table S1†).

## 5.4. Biological test species

The test species *Enchytraeus crypticus*, Westheide and Graefe,<sup>37</sup> was used. Individuals were cultured in Petri dishes containing

**Table 2** Summary of the basic characteristics of the tested TiO<sub>2</sub> materials, as custom made and fully characterised.<sup>20</sup> TEM: transmission electron microscopy; BET: Brunauer, Emmett and Teller, SA: surface area, E: energy, UV: ultra-violet

TiO <sub>2</sub> materials	TEM size (nm)	Crystal structure (%)	BET (nm)	SA (m <sup>2</sup> g <sup>-1</sup> )	Band gap $E_g$ (eV)	UV absorbance (wavelength)
TiO <sub>2</sub> _12 nm	12	86% anatase–14% rutile	10.5	145	3.3	360
1%FeTiO <sub>2</sub> _11 nm	11	81% anatase–19% rutile	9	157	3.2	382
2%FeTiO <sub>2</sub> _10 nm	10	69% anatase–31% rutile	7.6	160	3.15	380
4%FeTiO <sub>2</sub> _8 nm	8	44% anatase–56% rutile	7.5	161	3.1	390
6%FeTiO <sub>2</sub> _5 nm	5	31% anatase–69% rutile	7	163	3.0	412
8%FeTiO <sub>2</sub> _5 nm	5	19% anatase–81% rutile	6	167	2.9	425
10%FeTiO <sub>2</sub> _5 nm	5	14% anatase–86% rutile	6.1	165	2.8	440
10%FeTiO <sub>2</sub> _10 nm	5	14% anatase–86% rutile	10	122	2.8	440
TiO <sub>2</sub> _10 nm	10	87% anatase–13% rutile	10	112	3.3	375
TiO <sub>2</sub> _5 nm	5	86% anatase–14% rutile	5	275	3.2	388
TiO <sub>2</sub> _27 nm	27	70% anatase–30% rutile	27	54	3.3	440



agar medium, consisting of a sterilized mixture of four different salt solutions (2 mM  $\text{CaCl}_2 \cdot 2\text{H}_2\text{O}$ , 1 mM  $\text{MgSO}_4$ , 0.08 mM KCl, and 0.75 mM  $\text{NaHCO}_3$ ) and a Bacti-Agar medium (Agar No. 1, Oxoid, Lancashire, UK). The cultures were kept under controlled conditions, at  $19 \pm 1$  °C and photoperiod 16 : 8 h light : dark. Organisms were fed on ground and autoclaved oats twice a week.

### 5.5. Test media

The exposure to the test materials was performed in reconstituted ISO test water<sup>52</sup> containing: 2 mM of  $\text{CaCl}_2 \cdot 2\text{H}_2\text{O}$ , 0.5 mM of  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ , 0.77 mM of  $\text{NaHCO}_3$  and 0.077 mM of KCl in ultra-pure water.

The post-exposure (clean media) was done in the natural soil LUFA 2.2 (Speyer, Germany). The main characteristics can be described as follows: pH (0.01 M  $\text{CaCl}_2$ , ratio 1 : 5 w/v) = 5.5, organic matter = 1.77 meq per 100 g, CEC (cation exchange capacity) = 10.1%, WHC (water holding capacity) = 41.8% grain size distribution of 7.3% clay, 13.8% silt, and 78.9% sand. For the test, the soil was moistened with distilled water up to 50% of its WHC.

### 5.6. Experimental procedures

**5.6.1. Spiking procedure.** The 11  $\text{TiO}_2$  NPs (Table 2) were tested, and the stock suspensions were prepared as  $5 \text{ g L}^{-1}$  in ultra-pure water and sonicated for 20 minutes (80% pulse on time, 50 W, 45 kJ; Branson Sonifier 250) in an ice bath. After the sonication step, the stock suspensions were diluted in ISO water (test media) to  $1000 \text{ mg L}^{-1}$  and then serially diluted (in same media, ISO water) to 100, 10 and  $1 \text{ mg L}^{-1}$  being then added into the well plates (replicates). The test started within 24 hours.

The various treatments will be further referred to as  $\text{NM\_size nm\_}[concentration (\text{mg L}^{-1})] + \text{UV}$ , e.g.  $1\% \text{FeTiO}_2\text{-11 nm\_}[10] + \text{UV}$ .

**5.6.2. UV exposure procedure.** Exposure to  $\text{TiO}_2$  materials was also done under simultaneous UV(A) radiation. UV was provided by a UVP XX-15L Longwave UV lamp (UVP LLC, CA, USA) peak emission at 365 nm, during 60 min on a daily basis. The daily intensity of UV radiation (280–400 nm) was  $4426 \pm 409 \text{ mW m}^{-2}$ , corresponding to an average daily dose of  $15934 \text{ J m}^{-2}$ . The emission spectra of the UV lamp used is shown on ESI, Fig. S1.† The wavelengths emitted by this lamp cover the wavelengths absorbed by the NPs (Table 2) and respective excitation as intended.

**5.6.3. Biological measures (survival and reproduction).** Survival was accessed daily, over a 5 days exposure period to the  $\text{TiO}_2$  materials, in ISO water as described in ref. 39 based in Römbke and Knacker.<sup>53</sup> In short, 5 adults with similar size and developed clitellum were selected and exposed in 24 well plates (each well corresponds to a replicate) containing non-spiked (controls) or spiked ISO water. The test ran at  $20 \pm 1$  °C and 16 : 8 hours of photoperiod, and was performed under two different scenarios: (1) standard laboratory illumination (fluorescent lamp, emission spectra on ESI, Fig. S2†) and (2) UV radiation, with ten replicates per condition.

After the 5-day pulse exposure to  $\text{TiO}_2$  (UV and no UV), the surviving adults were transferred to a clean post-exposure period in LUFA 2.2 soil. The procedure followed the ERT guideline<sup>24</sup> (i.e. 21 days exposure) where the surviving organisms from each test condition were pooled in groups of 10 and introduced on test vessels with soil. Four replicates per pre-exposure condition were performed. The test ran under the same conditions. At the end of the test, the organisms were fixed with ethanol and stained with Bengal rose (1% in ethanol). After 24 h, the soil samples were sieved through meshes with a decreasing pore size (1.6, 0.5, and 0.3 mm) to separate the enchytraeids from most of the soil and facilitate counting. Adult and juvenile organisms were counted using a stereo microscope and survival and reproduction were assessed.

### 5.7. Data analysis

Simple, significant differences between the control and each treatment were investigated based on Analysis of Variance (ANOVA) with Dunnett's test for multiple comparisons ( $p < 0.05$ ) (using Sigma Plot, 11.0). Effect concentrations (ECx) were calculated, for survival and reproduction (21 days' post-exposure), modelling data to logistic or threshold sigmoid 2 parameter regression models (for details see Table S6†) (using Toxicity Relationship Analysis Program (TRAP 1.30)).

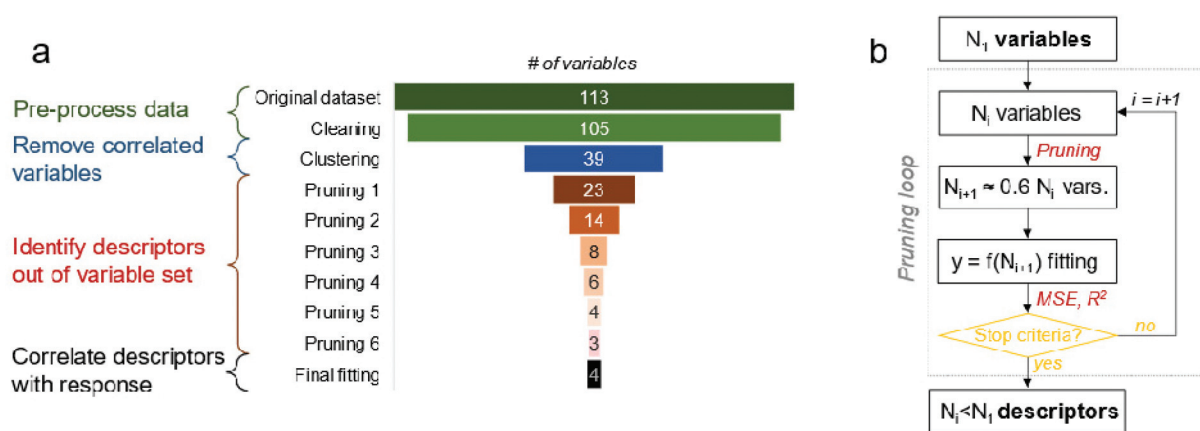
Exploratory approaches previously employed in the literature include various forms of regression analysis, principal component analysis, and machine learning techniques (SAS Enterprise Guide 7.13 2016, IML studio 14.2 SAS 2013–2014). Here a novel multi-step method for identifying the descriptors for the biological response to  $\text{TiO}_2$  materials has been developed and used for both non-UV and UV exposure tests.<sup>54</sup>

Starting from the initial amount of  $N = 113$  variables (equivalent to "descriptors") ( $x_1, x_2, \dots, x_{113}$ ) available from both computational and experimental characterization of  $\text{TiO}_2$  materials, our data analysis protocol aims at progressively prune the redundant or less significant variables for the biological response ( $y$ ) observed in the experiments, thus eventually highlighting a limited yet important set of descriptors.<sup>55</sup> The complexity of the biological and chemical processes involved in the biological mechanism and the numerous variables initially available may lead to overfitting.<sup>56</sup> Hence, the employed data analysis protocol was developed over four successive steps (schematically depicted in Fig. 5a) and make use of statistical and machine learning approaches: (i) pre-process data; (ii) remove correlated variables; (iii) identify the descriptors out of the variable set by means of an iterative pruning process; (iv) correlate the descriptors with biological response.

As previously described, the biological response to  $\text{TiO}_2$  particles has been assessed *in vivo*, with and without UV exposure, yielding 44 biological data points. Such  $\text{TiO}_2$  particles have been experimentally characterized, thus obtaining the values of several variables describing the dose (i.e., concentration), material (e.g., size, chemical composition, etc.) and surrounding environment (e.g., zeta potential) during tests. This list has then been enriched with variables computed by numerical







**Fig. 5** Overview of the data analysis protocol. (a) Variables available to predict the biological response to the tested TiO<sub>2</sub> materials in the different steps of data analysis. The indicated number of variables per each step refer to the non-UV exposure, but similar results have been obtained also for the UV one. (b) Algorithm adopted for pruning the initial variables (numerosity:  $N_1$ ) down to the limited list of relevant descriptors for the biological response to TiO<sub>2</sub> materials.

modelling. Hence, two  $44 \times 114$  data matrices are available at the starting point: 44 experimental results, including with and without UV exposure, each one described by 113 variables (dose, material, environment, and modelling ones) and 1 biological response. First, the initial datasets were cleaned, by removing variables with missing data and keeping only the average value of variables, not their standard deviation.

Second, redundant variables have been identified and clustered together, to achieve a shorter list of variables with low degree of correlation. To this purpose, the hierarchical clustering algorithm has been employed,<sup>57</sup> considering the Spearman's correlation coefficient as the metric to quantify the similarity between each pair of variables. Following this criterion, pairs of similar variables have been linked hierarchically and grouped into clusters with pair-wise similarity until the stopping criteria is met (*i.e.*, inconsistency coefficient equal to 0.8, which corresponds to roughly 1-sigma confidence level). Finally, a representative variable per each cluster is nominated, with preference to variables typically considered in the toxicity literature (although, for our purposes, any of the variable in the cluster is equivalent to the other).

Third, the uncorrelated  $N_1$  variables obtained after the clustering step were pruned iteratively to eventually sort the most significant descriptors of the biological response. As illustrated in Fig. 5b, a symbolic regression algorithm is used at each  $i$ -th pruning step to identify the most accurate and compact functions ( $f$ ) relating the available variables ( $x_1, x_2, \dots, x_{N_i}$ ) with the biological response ( $y$ ), namely  $y = f(x_1, x_2, \dots, x_{N_i})$ . These  $f$  functions are provided by the symbolic regressor as a Pareto front, where their complexity is compared with the resulting fitting accuracy (*e.g.*, see Fig. S3a and S3b†). Clearly, the fitting equation with the highest complexity tends to be the most accurate one, while the elbow of Pareto front can be considered as the best compromise between fitting accuracy and equation complexity. Then, the  $N_i$  variables are ranked based on their occurrence in the suitable  $f$  functions lying on the

Pareto front: only the best ranked 40% of variables are kept, while the remaining ones pruned. This process is repeated until one of the chosen stopping criteria is met, either a 10% decrease in the coefficient of determination ( $R^2$ ) or a 20% increase in the Mean Squared Error (MSE) between the best fitted functions in two successive pruning steps. In detail, the symbolic regression algorithm implemented in the Eureqa software has been used.<sup>58</sup> To mitigate the risk of relaxing the solution towards a local minimum, different parametrizations of the minimization algorithm have been employed and fitting results averaged. In detail, two sets of building blocks for the explored fitting equations (rational polynomial functions; rational polynomial, exponential/logarithmic and square root functions) and three target error metrics (maximize  $R^2$ ; minimize absolute error; maximize a hybrid correlation/error index) have been considered, thus leading to six different repetitions of the fitting procedure per each pruning step. The symbolic regression has been iterated until a stable solution is observed, typically after 2–50 million generations (*e.g.*, see Fig. S3c and S3d†). To ease the convergence of the minimization algorithm, the processed data have been preliminary normalized *via* a min–max approach per each independent/dependent variable.

Only variables that survived to the pruning process are finally assumed as the relevant descriptors for the biological response to the tested TiO<sub>2</sub> materials. The iterations of the symbolic regressor are continued up to about 100 million to refine the accuracy of the minimization process while considering only these descriptors as variables. Based on this last fitting procedure, the sensitivity between each descriptor and the biological response is assessed for both UV and non-UV exposure. For the sake of completeness, we have also performed a final fitting by means of other different supervised machine learning algorithms (based on neural networks, decision trees, elastic net regularization or ridge regression, among others). The gradient boosted greedy trees regressor



with least-squares loss achieves the highest coefficient of determination  $R^2 = 0.52$  among the tested algorithms (non-UV exposure dataset), being anyway worse than the fitting by the symbolic regression algorithm proposed in our work ( $R^2 = 0.82$ ).

## Author contributions

SILG: Formal analysis, investigation, methodology, writing – original draft; MJBA Conceptualization, funding acquisition, resources, supervision, writing – original draft. SP, LM: Investigation, methodology. MF, EC, PA: Data curation, formal analysis, writing – original draft; JJ, KT, JB: Data curation, formal analysis, writing – original draft; JJSF: Data curation, formal analysis, conceptualization, funding acquisition, resources, supervision, writing – original draft. All authors writing – review & editing.

## Conflicts of interest

The authors declare no conflict of interest.

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