

## REVIEW

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2, 6901Advances in implant surface modifications to  
improve osseointegrationGuang Zhu,<sup>ab</sup> Guocheng Wang \*<sup>a</sup> and Jiao Jiao Li \*<sup>bc</sup>

Metallic biomaterials are widely used in implants to strengthen, repair, or replace damaged bone tissue, and their material characteristics have direct influences on short- and long-term implant performance. Of these, titanium and its alloys are the most widely applied due to their superior corrosion resistance, biocompatibility, and mechanical properties, such as in joint replacements, dental implants, and spinal fusion cages. However, Ti and Ti alloys are bioinert materials that have difficulty in binding directly to bone tissue after implantation, due to a lack of osteoconductive and osteoinductive properties. Bacterial adhesion and colonisation at the implantation site may also lead to infection-associated complications. The surface of the titanium implant directly interfaces with blood, cells, and tissues *in vivo*, and the surface properties can have profound influences on protein- and cell-based interactions that then promote or impede osseointegration. Therefore, the material surface morphology, chemistry and antibacterial function are key parameters in implant design, and contribute to determining the long-term success of the implant. In this review, we systematically present the latest advances in surface modification techniques for orthopaedic implants, including mechanical, physical, chemical, and biological modification. We also analyse and compare different surface modification approaches, including drug loading, metallic element doping, and bionic coatings, as well as topographical modifications such as nanotubes, nanopores and nanowires. Finally, we present a critical analysis and future perspectives on the use of surface modifications to improve the osseointegration and antibacterial properties of orthopaedic implants.

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## 1. Introduction

In recent decades, the worldwide demand for orthopaedic implants has continued to increase at an alarming rate. For Australia alone, the incidence rate of primary total hip arthroplasty (THA) is predicted to increase by 66% between 2013 and 2046 according to a conservative projection model.<sup>1</sup> In the US, the total number of hip and knee replacements has reached 7 million cases per year.<sup>2</sup> Revision surgeries due to peri-implant diseases or implant failure also increase the demand for orthopaedic implants. According to a cross-sectional analysis, one-quarter of patients and one-sixth of implants will develop peri-implantitis after 11 years.<sup>3</sup> A statement from the third EAO consensus conference 2012 stated that approximately one-fifth of patients will suffer from

peri-implantitis within 5 years of implant placement.<sup>4</sup> To meet this significant demand, a wide range of biomaterials have been used and are being developed for orthopaedic implants, including metallic, ceramic, and polymeric materials.<sup>5</sup> Metallic biomaterials have the advantages of high strength and toughness, easy processing, and good biocompatibility. Ceramic biomaterials have relatively poor mechanical properties, but they have stable chemical properties and are biocompatible or bioactive, leading to their primary use as implant coatings. Polymeric biomaterials generally have the advantages of biological aging resistance and high purity, but their mechanical properties are often insufficient for hard tissue replacement and the majority are biologically inert.

Among the clinically applied metallic biomaterials, titanium (Ti) and its alloys, such as Ti6Al4V, are the most popular candidates for bone implants due to their excellent mechanical properties (high mechanical strength, low density, immunity to corrosion) and superior biocompatibility, compared to conventional materials such as stainless steel 316L and cobalt-chromium (CoCr) alloys.<sup>6,7</sup> However, the direct use of Ti and its alloys as implant materials is subject to several limitations. Firstly, their inherent bioinertness leads to a high rate of implant failure as they lack the ability to form chemical bonds

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with surrounding tissues.<sup>8,9</sup> Ti forms only a physical bond with bone tissue, the stability of which is much lower than chemical osseous bonding, leading to a higher risk of implant loosening or failure during long-term use.<sup>10</sup> Secondly, Ti lacks natural antimicrobial properties, easily allowing bacterial colonisation during the early stages of implantation.<sup>11</sup> Following colonisation, the bacteria can grow to form a biofilm that interferes with the function of antibiotics and leads to subsequent infection.<sup>11</sup> The infection rate of implants is also influenced by the surgical site and procedure. For example, the chance of infection is 2–30% for transcutaneous fracture fixation pins, and 13% for bone supplementation.<sup>12</sup> The situation is further complicated by drug resistance in common pathogens such as *Escherichia coli* and *Staphylococcus aureus*, and antibiotic-resistant infections are increasing globally at an alarming rate.<sup>13</sup> Antibiotic-resistant bacteria are estimated to cause at least 2 million infections and 23,000 deaths per year in the US, incurring a cost of \$55–70 billion.<sup>14</sup> Moreover, patients with infections associated with orthopaedic implants are reported to have worse clinical outcomes when the infection is caused by antibiotic-resistant compared to antibiotic-sensitive bacteria.<sup>14</sup> Thirdly, the corrosion resistance of Ti and its alloys still needs further improvement, since ion release due to surface corrosion can lead to toxicity and bone loss.<sup>10,15</sup> Although a titanium oxide film is easily generated on the surface of Ti implants, which is relatively stable, ion release can still occur after this thin film is eroded off. For instance, corrosion in Ti6Al4V implants can lead to the release of Al and V, where Al is linked to brain dementia, and V is harmful to the surrounding cells and can cause metal sensitisation.<sup>16,17</sup>

To address the limitations of Ti and its alloys as implant materials, improvements in biomedical performance (such as interactions with bone-related cells) can be introduced by modifying their surface roughness and morphology, as well as chemical properties.<sup>18</sup> Osseointegration refers to the strong bond formed between an implant and osseous tissue, a concept first described by Branemark in the 1980s.<sup>19</sup> The ability of an implant to achieve osseointegration to a large extent determines its long-term success in the body. Since the implant surface is in direct contact with cells, tissues and blood *in vivo*, material surface properties play a significant role in osseointegration and hence implant performance. Therefore, surface modification of metal implants is an effective approach to improve bone cell adhesion, proliferation, and differentiation when fast healing is needed.<sup>20</sup> The purpose of surface modification is to simultaneously maintain the excellent mechanical properties and biocompatibility of the implant, while also optimising its antibacterial properties, corrosion resistance, and bioactivity. As such, surface modification is a primary and one of the most rapidly advancing areas in the development and optimisation of Ti and its alloys for biomedical applications.

The surface modification of materials can focus on several aspects, including the topographical structure, chemical composition, surface energy, hydrophilicity and hydrophobicity, and phosphate forming ability of materials.<sup>21,22</sup> The most common strategy is to design and prepare a bioactive coating to enhance implant osseointegration and associated biological

processes. Various bioactive implant coatings have been applied, such as hydroxyapatite (HA), other bio-ceramics, and bioactive oxides.<sup>23–25</sup> Among the existing bioactive oxide coatings, such as TiO<sub>2</sub>, ZrO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, CuO, ZnO and some other oxides, titanium dioxide has been widely used for modifying the surface of Ti alloy implants due to its excellent chemical stability, biocompatibility, and strong binding with the Ti alloy substrate.<sup>25–27</sup> Moreover, TiO<sub>2</sub> has a bactericidal effect due to its photocatalytic properties, indicating potential applications as an antibacterial implant coating.<sup>28</sup> Other interesting structures have been developed such as TiO<sub>2</sub> nanotubes, which may be applied for drug delivery, anti-inflammatory functions, and osteogenesis.<sup>29</sup> Interestingly, by adjusting the nanotube diameter (15, 60, or 120 nm) on the Ti surface, the blood clotting characteristics of the implant can be modulated to improve its immune response, rendering the implant anti-thrombotic and improving its ability to encourage osseointegration and bone regeneration.<sup>30</sup>

Other interesting developments in the area of surface modification for bone implants include designs that functionalise the surface with various abilities to promote osteogenesis, such as angiogenesis, production of reactive oxygen species (ROS), macrophage regulation, and antimicrobial effects.<sup>31–33</sup> Ti alloy surfaces with composite functions have been designed to facilitate implant osseointegration by responding to different biological environments.<sup>34,35</sup> Furthermore, the performance of Ti implant surfaces in patients with specific disease conditions, such as type II diabetes, and their improvement strategies have been studied.<sup>36</sup>

In this review, we present the latest developments in the surface modification of Ti and Ti alloy implants (Fig. 1), through research articles published mostly within the last five years. We have classified various surface modification approaches into four broad categories: mechanical modification, physical modification, chemical modification, and biological modification. Some modification methods combined features across categories, and were classified according to their primary concept. We acknowledge other recently published impactful reviews on the topic of surface modification for biomaterial implants, which have provided insightful perspectives into surface modification of Ti and its alloys without a specific focus on their biomedical applications,<sup>37</sup> or discussed surface modification to promote osseointegration without focusing on metal implant materials.<sup>38,39</sup> Other reviews have focused on specific aspects of surface modification in Ti and Ti alloys for encouraging bone growth, such as the surface corrosion properties,<sup>40,41</sup> biological resemblance to bone,<sup>42</sup> and antibacterial function.<sup>43</sup> Our review provides a comprehensive overview of the latest advances in surface modification methods of Ti and Ti alloy implants to improve their osseointegration, covering different mechanisms of modification and an extensive sample of the most recent studies.

## 2. Surface modification methods

### 2.1 Mechanical modification methods

Mechanical modification mainly involves relatively basic treatment methods such as grinding, polishing, sandblasting, and



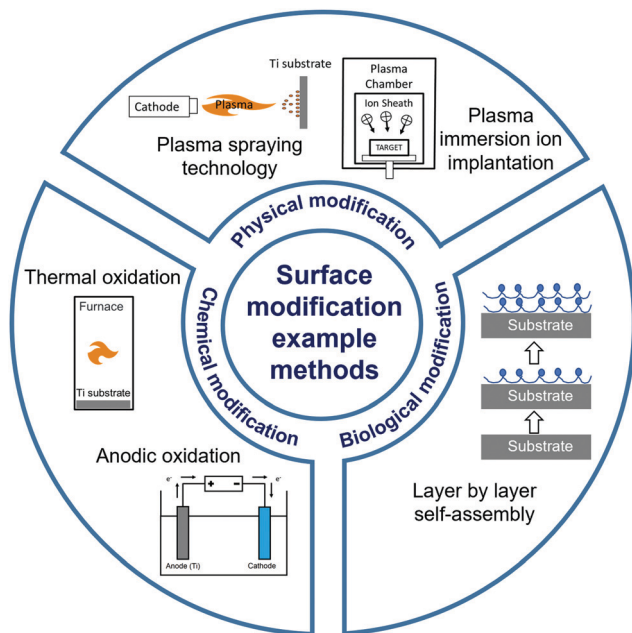


Fig. 1 Schematic overview of the categories and examples of surface modification methods discussed in this review.

vacuum annealing.<sup>41</sup> These methods are used to make the biomaterial surface smooth or rough, thereby modifying its properties including biological adhesion, surface hydrophilicity, bone tissue affinity, electrical potential energy, and surface tension. Mechanical modification can also be used to remove surface contamination and increase the binding strength of the substrate for subsequent treatment. For instance, Bacchelli *et al.*<sup>44</sup> compared the bone integration ability of Ti implants that were zirconia sandblasted (Zr-SL), with control, titanium oxide plasma sprayed (TPS), and alumina sandblasted (Al-SL) implants in sheep at 2, 4 and 12 weeks after insertion. The Zr-SL and Al-SL implants achieved similar performance, which promoted better peri-implant new bone formation compared to control and TPS. Barranco *et al.*<sup>45</sup> prepared and characterised polished, finely blasted and coarsely blasted Ti6Al4V surfaces. The blasting process was found to alter the surface morphology and chemical properties, leading to an increase in the capacitance values of the blasted samples, but did not change their corrosion behaviour. Günay-Bulutsuz *et al.*<sup>46</sup> produced ultrafine-grain (UG) commercial purity (CP) Ti from 99.5% pure Ti Grade 4 by equal angular channel pressing. Sandblasting the sample surfaces was found to produce a more favourable grain size and surface roughness, which promoted the attachment and proliferation of human gingival fibroblast cells. Recently, Lowe *et al.*<sup>47</sup> studied the biocompatibility of UG and coarse-grain (CG) CP Ti with or without polishing treatment, and concluded that the UG and polished Ti could enhance the proliferation of MC3T3-E1 pre-osteoblastic cells. The average grain boundary length per surface-attached cell was also identified as a new biophysical parameter that was correlated with cell proliferation. Shi *et al.*<sup>48</sup> designed a hydrothermal sterilisation (HS) method by placing Ti samples in pure water at 121 °C for 20 minutes,

followed by storing them in the same water until utilisation, which would reduce hydrocarbon contamination on Ti surfaces encountered during traditional sterilisation and storage. This method was found to produce super-hydrophilicity on the sandpaper-polished Ti surface, which improved the initial osteoblast response compared with autoclaving.

Mechanical modification is often used as a pre-treatment strategy to improve the outcomes of subsequent surface modification methods. Miao *et al.*<sup>49</sup> systematically evaluated the effects of Ti surface nanotopography on the behaviour of a range of human cells as well as bacteria, and suggested that changes in nanotopography could promote bone and soft tissue healing. A smooth Ti surface (Smooth) was first prepared by polishing, and then used to fabricate nano-rough (Nano) and micro-rough (Micro) surfaces by alkali-hydrothermal treatment and sandblasting and acid etching (SLA), respectively. The different surfaces influenced the osteogenic activity of MG-63 cells (Nano = Micro > Smooth), and the attachment ability of human gingival epithelial cells (Nano > Smooth > Micro) and human gingival fibroblasts (Nano = Micro > Smooth). Moreover, changes in nanotopography did not affect macrophage polarisation (Nano = Micro = Smooth), but did affect *Streptococcus mutans* attachment (Nano < Smooth < Micro). Wang *et al.*<sup>50</sup> pre-treated Ti6Al4V flakes with sandpaper of different meshes, followed by treatment in three groups: grinding, sandblasting and etching, or nanosecond laser (Fig. 2). When tested using rat bone marrow-derived mesenchymal stem cells (BMSCs), it was found that cell proliferation was not affected by surface roughness alone, since cells tended to exhibit higher proliferation on flatter surfaces with a larger surface area. The spread of the cell cytoskeleton was suggested to be influenced by the surface energy barrier, where samples with micro-groove arrays produced by nanosecond laser treatment induced cells to form a cytoskeleton with higher aspect ratio.

## 2.2 Physical modification methods

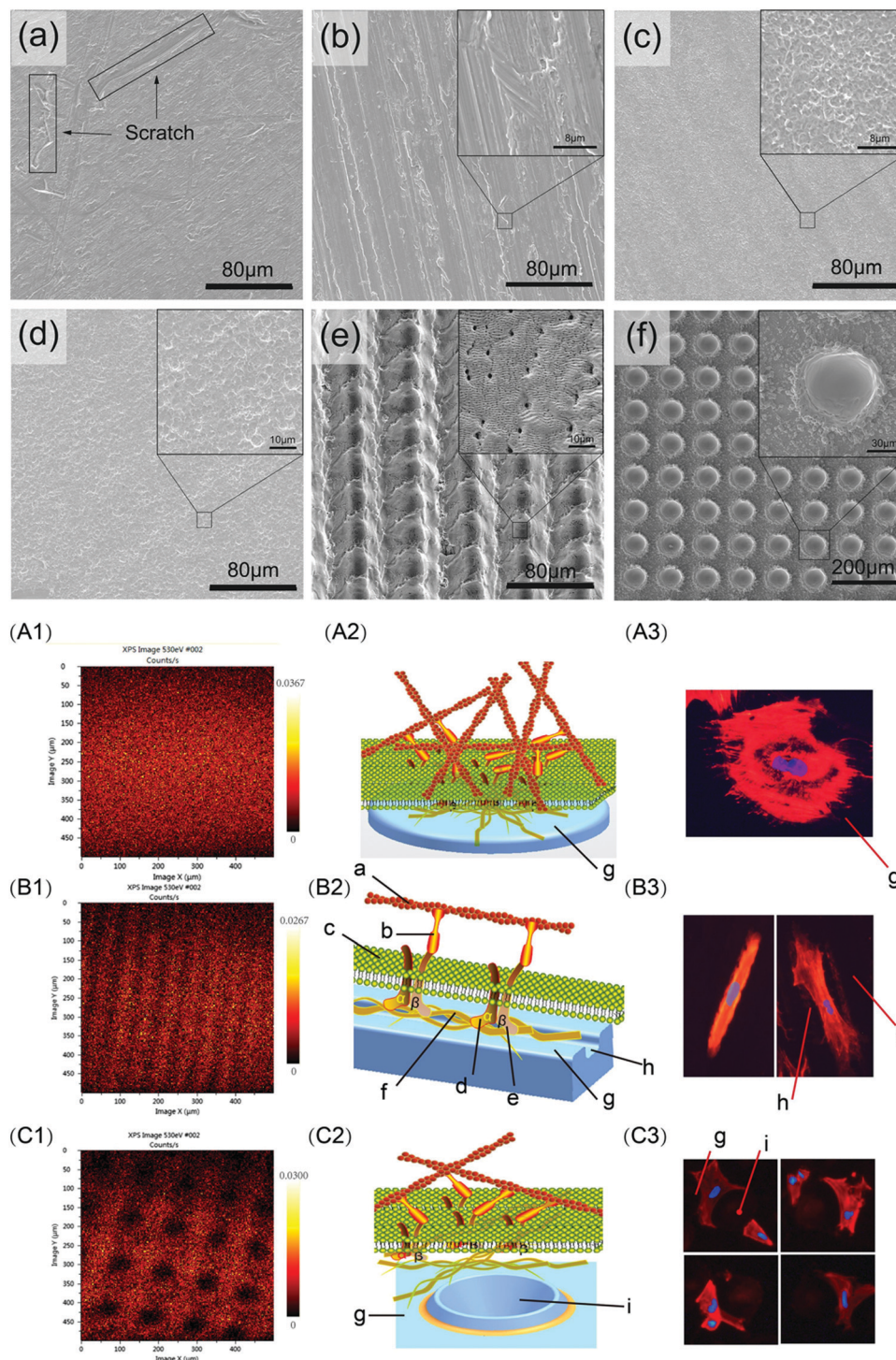
Physical modification methods typically involve no or only a minor chemical reaction during the whole surface modification process, and they include plasma spraying technology (PST), plasma immersion ion implantation (PIII), and laser cladding. Physical modification is typically used for the dry transformation of passive inert implants into smart implant surfaces that actively instruct the physiological environment towards bone tissue regeneration.<sup>21</sup>

**2.2.1 Plasma spraying technology (PST).** PST uses a plasma arc driven by a direct current as the heat source to ionise inert gas (plasma) and generate heat. The high-temperature plasma flame causes the spraying material, such as ceramics, metals, alloys, or other materials, to reach a molten or semi-molten state, which is then sprayed onto a pre-treated implant surface at high speed to form a firm coating.<sup>51</sup> As a surface coating technology, PST has the advantages of a fast deposition rate, large deposition thickness, and low cost.

Hydroxyapatite (HA) coatings, which are commonly used in clinical practice to improve osseointegration, are formed by spraying HA particles onto the implant surface at high temperature and







**Fig. 2** Different surface morphologies (top panel) produced by grinding (a and b), blasting and etching (c and d), and nanosecond laser (e and f), inducing differences in focal adhesion formation and cytoskeletal morphology of cells (bottom panel; A, B and C correspond to surfaces a, e and f respectively from the top panel).<sup>50</sup> Reprinted with permission. Copyright 2020, Elsevier.

cooling them rapidly.<sup>52</sup> However, the HA coating has some disadvantages, a primary one being that the coating binds easily to bone tissue but has a low bonding strength to the metal alloy substrate. When sprayed at high temperatures, HA is prone to structural changes or even degradation, and its coefficient of thermal expansion (CTE) differs greatly from that

of Ti and Ti alloys. These factors affect the bonding strength of the coating, which may lead to poor adhesion or delamination at the interface between the coating and the substrate, and even implant failure due to cracking and peeling of the coating.<sup>53</sup>

To better meet the requirements of clinical application, Fomin *et al.*<sup>54</sup> investigated induction pre-heating (from 200 to





1000 °C) to improve the structural and mechanical properties of HA coatings on Ti implants using PST. Pre-heating the sample in the temperature range of 400–600 °C produced uniform nanostructures on the surface. The modified HA coatings showed high hardness (0.9–1.2 GPa) and elastic modulus (7–16 GPa). However, the bonding force between the coating and the substrate was not tested. To improve the coating–substrate bonding force, post-heat treatment of the HA coating can be applied. As an example, Ullah *et al.*<sup>55</sup> synthesised (Sr, Zn)-HA powders by combining heat treatment with the hydrothermal method, and used PST to prepare Zn- and Sr-doped HA coatings on a Ti6Al4V substrate. Zn ions were used to provide antibacterial properties, and Sr ions were included to reduce the cytotoxicity of Zn. Post-heat treatment was performed on the Zn- and Sr-doped HA coatings at 500 and 600 °C for 3 hours. The results indicated that the (Sr, Zn)-HA coating post-treated at 500 °C had excellent mechanical properties, biocompatibility, and antibacterial properties. The coating post-treated at 600 °C showed cytotoxicity to MC3T3-E1 cells which limited its biomedical applications, but had remarkable mechanical and antibacterial properties. To reduce the mismatch in CTE between the metal alloy and the HA coating, Ke *et al.*<sup>56</sup> fabricated a gradient HA layer using laser engineered net shaping (LENS<sup>TM</sup>) between the Ti6Al4V substrate and the PST HA coating (Fig. 3A). It was found that this middle layer should have a small wt% of HA to reduce the CTE mismatch between the overlying coating and the underlying substrate, and increase the bonding force of the coating. The middle layer did not affect the surface roughness of the overlying coating. In addition, MgO and Ag<sub>2</sub>O were introduced into the overlying PST HA coating. Ag<sub>2</sub>O showed sustained antimicrobial activity against *E. coli* and *S. aureus*, while MgO had no significant effects on promoting bone formation. Other approaches for improving HA coating performance include producing composite coatings. Lahiri *et al.*<sup>57</sup> formed a HA–carbon nanotube (CNT) composite powder by spray drying, and plasma sprayed HA–4 wt % CNT powder on a Ti6Al4V substrate to prepare the coating. This HA–CNT composite

coating showed superior mechanical (higher wear resistance and less debris production) and biological (higher osteoblast activity and less cytotoxicity) properties compared to HA coating.

A drug-loaded coating can be applied to HA-coated Ti implants to further improve osseointegration. As an example, Sarkar and Bose<sup>58</sup> designed a top coating loaded with curcumin and vitamin K2, which was applied on HA-coated Ti6Al4V implants. The dual drug solution containing dissolved curcumin and vitamin K2 was added on the top surface of implants overnight in the dark to form a drug coating above the HA coating. The dual drug loaded samples reduced the *in vitro* proliferation of attached osteosarcoma cells, as well as the survival rate of unattached cells by 92–95% compared to the control group. In a rat distal femur model, the drug loaded implant promoted osseointegration after 5 days of implantation compared to the control group. Further studies to evaluate long-term osseointegration and bone repair in large animal models are warranted.

Sr has been widely employed in bone tissue engineering due to its ability to promote osteogenesis.<sup>59</sup> Xu *et al.*<sup>60</sup> produced TiO<sub>2</sub> coatings using PST with different SrO-doping percentages, and found that the 20% SrO–TiO<sub>2</sub> coating showed the best ability to promote the proliferation and differentiation of rat BMSCs. The Sr ions showed a continuous release behaviour as modulated by their Sr configuration, either as interstitial atoms in solid solution (Ti<sub>y</sub>Sr<sub>2–2y</sub>O<sub>2</sub>) or as strontium titanate (SrTiO<sub>3</sub>). Zhang *et al.*<sup>61</sup> prepared Sr-hardystonite (Sr-HT) ceramic (Ca<sub>2</sub>Zn-Si<sub>2</sub>O<sub>7</sub>) coatings on Ti6Al4V implants by PST, with hybrid micro-/nano-scale structures. Both the coating–implant and coating–bone tissue adhesive bond strength were found to be improved. *In vitro* and *in vivo* experiments indicated the bioactivity of the coating and its ability to promote rapid bone formation in a canine model. The hybrid micro-/nano-scale structures promoted the adhesion of canine BMSCs, while bioactive ion release from the Sr-HT coating regulated BMSC differentiation. Strontium zinc silicate (SZnS, Sr<sub>2</sub>ZnSi<sub>2</sub>O<sub>7</sub>) has a strong mineralisation ability and can be applied in coatings and

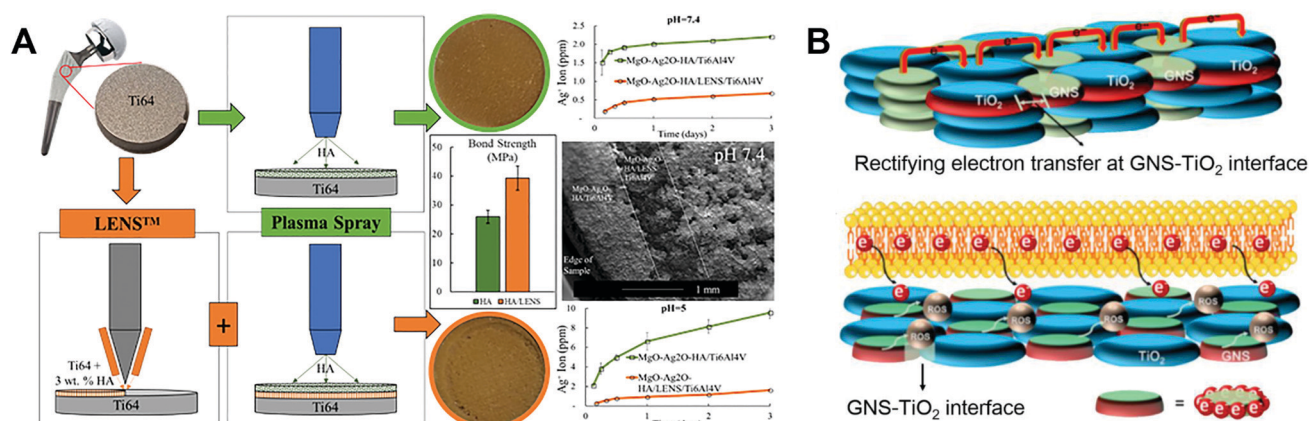


Fig. 3 Schematic illustration of (A) the fabrication process for a gradient HA layer by combining LENS<sup>TM</sup> and PST,<sup>56</sup> and (B) electron transfer mechanism leading to ROS generation in an antibacterial GNS–TiO<sub>2</sub> coating fabricated using PST.<sup>31</sup> Reprinted and adapted with permission. Copyright 2019 & 2020, Elsevier.



scaffolds.<sup>62,63</sup> Wang *et al.*<sup>62</sup> produced a SZnS coating on Ti alloy samples using PST, and applied a modified autoclave sterilisation process whereby samples were immersed in a buffered solution containing 4.2 mM  $\text{HCO}_3^{2-}$ , to form biomorphic strontium carbonate ( $\text{SrCO}_3$ ) on the coating surface. The subcellular topographical features of  $\text{SrCO}_3$  biomorphs were found to prevent the burst release of ions, promote osteoblast adhesion and collagen production, and upregulate their alkaline phosphatase (ALP) activity. SZnS preferentially releases Sr ions, leaving behind a silica-rich gel on the ceramic surface which results in an alkaline pH in the local area, thereby providing a growth condition for the biomorphic crystals.<sup>63</sup> The nano-structured mineralised surfaces were found to promote osteogenic differentiation and induce increased new bone formation in a rabbit model compared to non-mineralised surfaces.

The role of reactive oxygen species (ROS) in modulating biological activity has been increasingly studied and applied in biomaterial design.<sup>64</sup> Yang *et al.*<sup>31</sup> designed an antibacterial graphene nanosheet (GNS)- $\text{TiO}_2$  coating based on an electron transfer mechanism, which was fabricated on Ti alloy samples using PST. The combination of unpaired  $\pi$  electrons of GNS and Ti atoms increased the electrical conductivity of the  $\text{TiO}_2$  surface. A bactericidal effect resulted from enhanced electron transfer from the bacterial cell membrane to the coating surface, and enrichment of electrons at the interface between the bacterial cell and the GNS- $\text{TiO}_2$  coating. Specifically, an “electron sink” is created at the GNS and  $\text{TiO}_2$  interfaces, which can attract and store electrons extruded from bacterial cells due to Schottky barrier effects. Within the coating, the holes between  $\text{TiO}_2$  are surrounded by electrons, leading to ROS generation from oxidation reactions at the interface between bacteria and  $\text{TiO}_2$ , resulting in a strong bactericidal effect (Fig. 3B).

**2.2.2 Plasma immersion ion implantation (PIII).** The PIII method produces a plasma in a specially designed vacuum chamber with different plasma sources, and injects the ion beam into an implant. The ion beam interacts physically and chemically with the atoms or molecules in the implant. The energy of the injected ions is gradually lost and the ions stay within the implant, causing changes in its surface composition, structure, and performance. Zhao *et al.*<sup>65</sup> doped Si ions into  $\text{TiO}_2$  nanotubes on Ti implant surfaces by PIII. *In vitro* and *in vivo* experiments showed that the modified surface enhanced the production of osteogenesis-related proteins (ALP, Runx2), calcium deposition, and implant-tissue interface bonding strength.

A number of studies have implanted silver into Ti substrates as an inorganic antibacterial agent to combat periprosthetic infection. The bactericidal effect of Ag nanoparticles (NPs) doped in Ti surfaces is found to be independent of the release of silver ions.<sup>66,67</sup> Qin *et al.*<sup>66</sup> immobilised Ag NPs on the surface of Ti implants by PIII to endow the implants with antibacterial activity. The Ag NPs showed no obvious cytotoxicity, reduced biofilm formation of *Staphylococcus epidermidis* by inhibiting bacterial adhesion and *icaAD* gene transcription, and were effective against several cycles of bacterial attack *in vitro*.

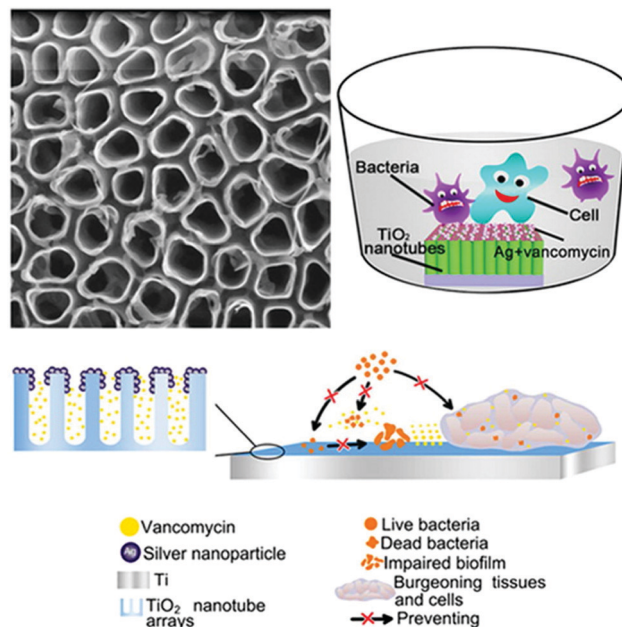


Fig. 4 A  $\text{TiO}_2$  nanotube coating with embedded Ag NPs as an inorganic bactericide and vancomycin as an organic antibacterial agent, fabricated using AO and PIII.<sup>67</sup> Reprinted with permission. Copyright 2016, American Chemical Society.

The coating also showed antibacterial effects in a rat tibia osteomyelitis model. The mechanism of antibacterial activity was found to be not related to silver release. Wang *et al.*<sup>67</sup> combined Ag NPs as an inorganic bactericide with vancomycin as an organic antibacterial agent to design a surface with both contact-killing and release-killing antimicrobial capabilities, as well as cell-assisting functions (Fig. 4). The Ag NPs were embedded in  $\text{TiO}_2$  nanotubes by anodic oxidation (AO) and PIII, and vancomycin was then incorporated into the nanotubes by vacuum extraction and lyophilisation. The hybrid surface showed antibacterial and anti-biofilm properties against mobile and attached methicillin-resistant *S. aureus* (MRSA) ST239 *in vitro* and in a rabbit model of periprosthetic joint infection, without appreciable silver ion release.

Wang *et al.*<sup>68</sup> studied the antibacterial behaviour and the underlying mechanism of Ag-NPs embedded in a Ti substrate by PIII, and found that antibacterial function relied on the conductivity of the substrate. This study showed that electron transfer between Ag-NPs and Ti or between the Ag-NP doped Ti surface and bacteria could produce ROS and induce oxidative stress. Intracellular and extracellular oxidative stress can induce physiological changes in bacterial cells and lead to cell death. Cao *et al.*<sup>69</sup> doped size-tunable Ag NPs into a plasma sprayed titanium oxide coating (TOC) by PIII. Large Ag NPs (5–25 nm) were found to induce enhanced oxidation reactions on TOC compared to small NPs (~4 nm), resulting in improved antibacterial activity of the TOC against *S. aureus* and *E. coli*. The mechanism by which extracellular electron transfer stimulates the bactericidal effect of Ag doped TOC in the dark was also investigated. The Ag NPs induced “bacterial charging” by storing extracellular electrons transferred from bacterial





membranes, inducing valence-band hole ( $h^+$ ) accumulation and leading to oxidation reactions in the dark on the TOC surface. Zhao *et al.*<sup>70</sup> co-doped Mg and Ag into Ti substrates by PIII, utilising the synergistic effect of multiple functional elements to improve both antibacterial and osteogenic properties. The Mg/Ag-doped group showed the best osteogenic activity compared to Mg-doped and Ag-doped groups. The mechanism is thought to be related to the galvanic effects between Mg and Ag NPs, which promoted Mg release but reduced silver release.

**2.2.3 Laser cladding.** Laser cladding is a coating technique whereby a laser beam heating source is used to melt the precursor powder, and the melted material is overlaid on the substrate to form a strong interfacial bond. Laser cladding has great advantages for the preparation of bioceramic coatings, giving them high bond strength together with good toughness and surface structure.

CaO–SiO<sub>2</sub> coatings on Ti implants prepared by laser cladding, containing different phases including CaTiO<sub>3</sub>,  $\alpha$ -Ca<sub>2</sub>(SiO<sub>4</sub>), SiO<sub>2</sub>, TiO<sub>2</sub> and CaO, can promote osteogenic activity *in vivo* after implantation. Li *et al.*<sup>71</sup> added Na<sub>2</sub>O and ZnO into the CaO–SiO<sub>2</sub> precursor to prepare bioceramic coatings by laser cladding on a Ti substrate (Fig. 5). At temperatures above 1200 °C, CaTiO<sub>3</sub> was easily formed in the CaO–SiO<sub>2</sub> coating in comparison to CaSiO<sub>3</sub>. The effect of Na<sub>2</sub>O and ZnO on the microstructure and properties of CaO–SiO<sub>2</sub> coatings was studied. The addition of Na<sub>2</sub>O was found to have little effect on the

coating, but ZnO refined the microstructure of the coating and reduced its wear resistance and average hardness. The same researchers also explored the use of MgO to improve CaO–SiO<sub>2</sub> coatings.<sup>72</sup> The CaO–SiO<sub>2</sub>–MgO coating system produced by laser cladding showed a refined surface microstructure, and slightly lower average hardness compared with the CaO–SiO<sub>2</sub> control. An increase in MgO content led to a decrease in wear resistance. *In vitro* and *in vivo* tests indicated good biocompatibility of the coating, which did not cause haemolysis reactions or toxicity to cells and living animals. The modified CaO–SiO<sub>2</sub> coatings in these studies were shown to induce apatite formation, but no further *in vitro* or *in vivo* experiments were performed to test their ability in promoting osseointegration.

## 2.3 Chemical modification methods

Chemical modification methods usually involve a violent chemical reaction, which for a Ti substrate occurs at the interface of the metal surface and the imposed media (solution or gas phase). The reaction is often accompanied by luminescence, heating, redox reaction, and so on. Chemical modification methods including sandblasting and acid etching, thermal oxidation, hydrothermal treatment, anodic oxidation, and micro arc oxidation have been widely employed for preparing surfaces with special topographical structures and/or complex compositions.<sup>37</sup>

**2.3.1 Sandblasting and acid etching (SLA).** SLA is a two-step surface modification method, whereby the first step is to

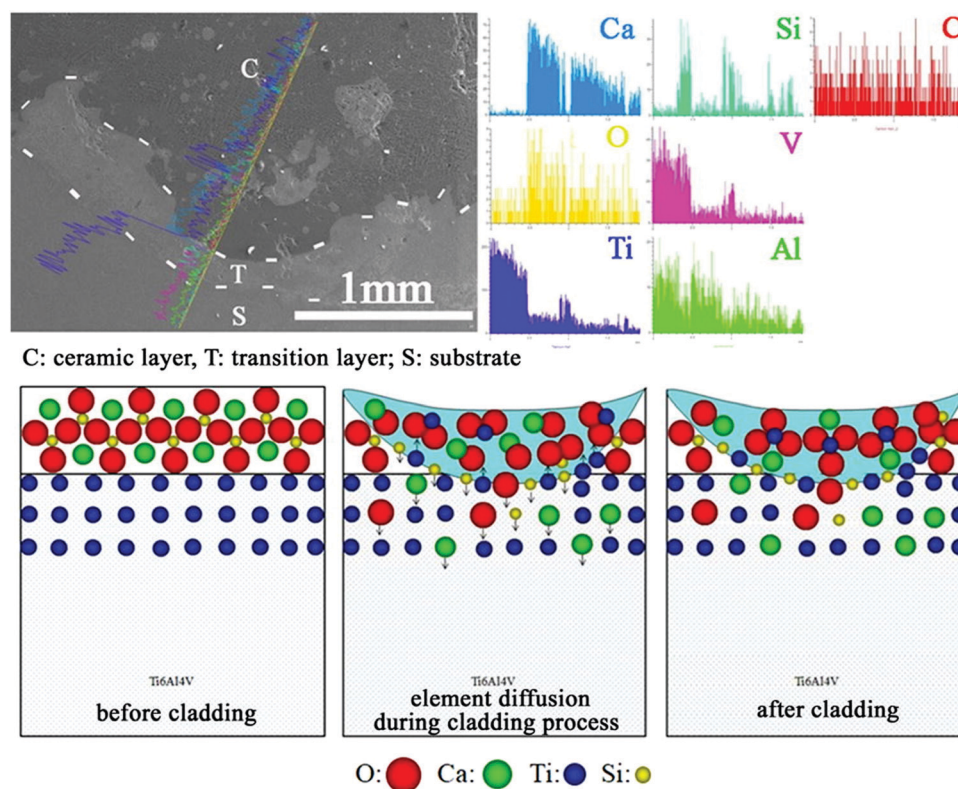


Fig. 5 Schematic illustration of the cross-sectional morphology and line analyses of CaO–SiO<sub>2</sub> coatings formed by laser cladding, and the mechanism of surface formation.<sup>71</sup> Reprinted with permission. Copyright 2021, Elsevier.



obtain a primary rough structure of 10–100  $\mu\text{m}$  by sandblasting, using a high-speed jet beam formed by compressed air to spray different sized particles onto the implant surface. Common materials used for sandblasting include quartz sand, HA, alumina,  $\text{TiO}_2$ , and iron oxide particles. The second step is to obtain a secondary rough structure of 1–3  $\mu\text{m}$  by acid etching the Ti implant surface at high temperature using acids such as HCl,  $\text{H}_2\text{SO}_4$ ,  $\text{H}_3\text{PO}_4$ ,  $\text{HNO}_3$ , or a mixture of these. SLA is classified as chemical modification since it mainly relies on acid etching to regulate the surface micro-morphology.

Raines *et al.*<sup>73</sup> prepared SLA and modified SLA (modSLA; SLA without exposure to air to retain high surface free energy) surfaces, which were cultured with primary human osteoblasts, MG63 cells, and MG63 cells silenced for  $\alpha_2$  integrin. Analysis of secreted levels of vascular endothelial growth factor-A (VEGF-A), basic fibroblast growth factor (FGF-2), epidermal growth factor (EGF), and angiopoietin-1 (Ang-1) by these cells indicated that the secretion of angiogenic growth factors by osteoblastic cells was modulated by Ti surface micro-topography and surface energy, which was at least partially mediated by  $\alpha_2\beta_1$  integrin signalling. Boyan *et al.*<sup>74</sup> used SLA or plasma spraying to produce microrough Ti surfaces with an average roughness of 4–7  $\mu\text{m}$ , and demonstrated through an *in vitro* model that microscale roughness could create a microenvironment for osteoblasts to form new bone. Gittens *et al.*<sup>75</sup> combined SLA with thermal oxidation to prepare surfaces with micro-/sub-micro-scale roughness and nanoscale features. The micro-/sub-micro-scale surface roughness was found to facilitate osteoblast adhesion, while the nanoscale features promoted osteoblast proliferation and differentiation. Interestingly, greatly enhanced osteoblast differentiation was seen on

surfaces with both microscale roughness and a high density of nanoscale features, indicating a possible synergistic effect due to mimicking the layered complexity of bone. On sample surfaces without microscale roughness, the nanoscale features alone did not induce appreciable increases in osteoblast differentiation markers. In another study, Zhang *et al.*<sup>76</sup> modified Ti surfaces using SLA followed by further alkali or hydrogen peroxide treatment, and then heat treatment. Both types of surfaces promoted the osteogenic activity of MC3T3-E1 cells, and also showed good osseointegration in a canine mandibular defect model.

SLA-treated Ti surfaces have also been studied in the context of certain diseases or conditions. For instance, Lee *et al.*<sup>36</sup> produced hydrophilic nanostructured Ti surfaces by modifying with SLA and then storing in the absence of air to induce spontaneous formation of surface features (Fig. 6). This surface was found to promote osseous healing under type II diabetic conditions. When implanted into bone defects in a diabetic rat model, Ti implants with hydrophilic nanostructured surfaces promoted osteogenesis, together with the expression of anti-inflammatory proteins including AnxA1, S100-A8 and S100-A9. Surfaces cultured with macrophages *in vitro* reduced pro-inflammatory cytokine secretion and promoted M2 phenotype differentiation. As a strategy for combating infection, Liu *et al.*<sup>77</sup> prepared a Ti-Cu alloy and modified the surface by SLA treatment. Compared to a mechanically polished surface, the SLA-treated surface was found to release more Cu ions, possess better antibacterial properties against *S. aureus*, and improve the osteogenic activity of MC3T3-E1 cells.

**2.3.2 Thermal oxidation.** Thermal oxidation is a relatively simple, convenient, and cost effective method that modifies the

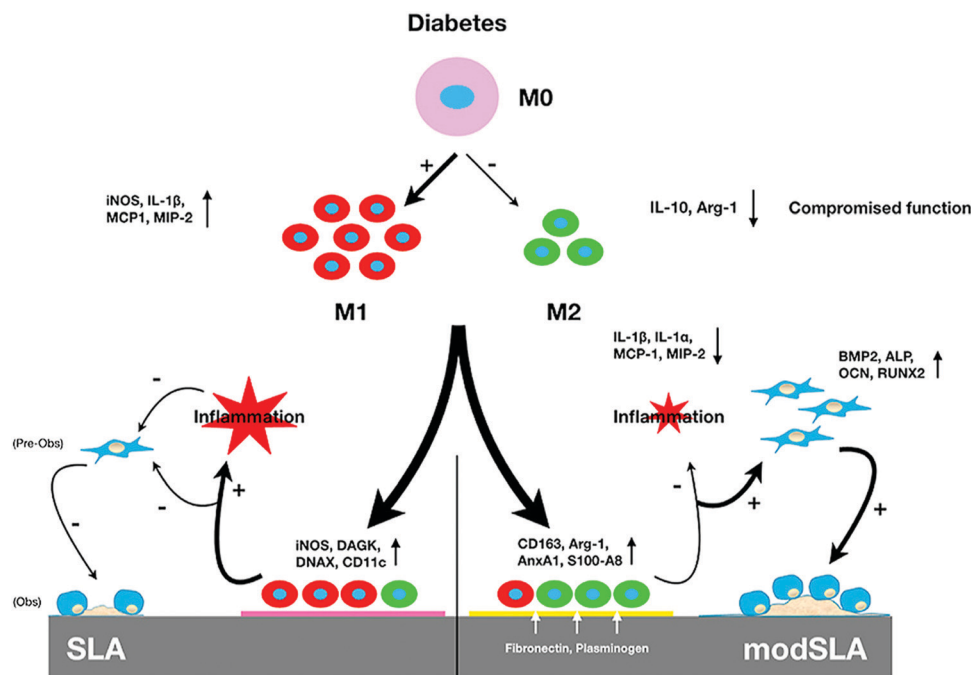


Fig. 6 Schematic illustration of the mechanism by which modified hydrophilic nanostructured surfaces produced using SLA can modulate inflammation and promote osseous healing under type II diabetic conditions.<sup>36</sup> Reprinted with permission. Copyright 2021, Elsevier.



natural inert oxide film on Ti surfaces. The main parameters regulating the oxidation process are temperature and time. In general, thermal oxidation treatment of titanium oxide layers requires a moderate temperature and time to produce good mechanical and chemical properties. At high temperatures (above 800 °C) and long oxidation times, oxide debonding occurs on the implant surface, while at low temperatures and short oxidation times, the oxide layer cannot reach the required thickness to be useful in biomedical applications.

Scarano *et al.*<sup>78</sup> conducted oxidation treatment on Ti implants at 802 °C for 1 min in the air, where the temperature was chosen as it caused an increase in crystalline anatase, and the short time was chosen to improve the mechanical properties. Compared to control implants without oxidation treatment, the treated implants showed better bioactivity and bone-implant contact in a rabbit femoral defect model. Wang *et al.*<sup>79</sup> designed a thermal oxidation method to produce micro-/nano-scale features on the modified Ti surface. The Ti substrate was thermally treated at 450 °C for 6 hours, which enhanced the osteogenic differentiation of rat BMSCs and promoted *in vivo* osseointegration in the rabbit femur. Longhitano *et al.*<sup>80,81</sup> tested

the effects of different thermal oxidation temperatures (from 650 to 1050 °C) on Ti6Al4V samples made by additive manufacturing (Fig. 7A). The main differences in surface microstructure between different heating temperatures were found to be the nucleation and growth of  $\alpha$  and  $\beta$  phases. Jenkins *et al.*<sup>82</sup> prepared TiO<sub>2</sub> nanopillars on Ti6Al4V samples through a thermal oxidation reaction at 850 °C in acetone vapour. Interestingly, contrary to previous studies speculating that the antimicrobial properties of a nanopillar surface morphology are based on mechanical damage, this study showed that nanopillars caused deformation and penetration of the cell envelope in Gram-positive and Gram-negative bacteria (Fig. 7B). They could inhibit bacterial cell division and trigger production of ROS, but did not rupture or lyse the bacteria. These results suggested that the antibacterial activity of Ti surface nanopillars might be mediated by oxidative stress rather than inducing bacterial lysis and death.

Heat treatment can be used as a pre- or post-modification method for other technologies to improve the effect of surface modification. For instance, Gu *et al.*<sup>83</sup> used a thermal treatment of 160 °C for 2 hours, which enhanced the adhesive bond strength of graphene on a Ti substrate without affecting the

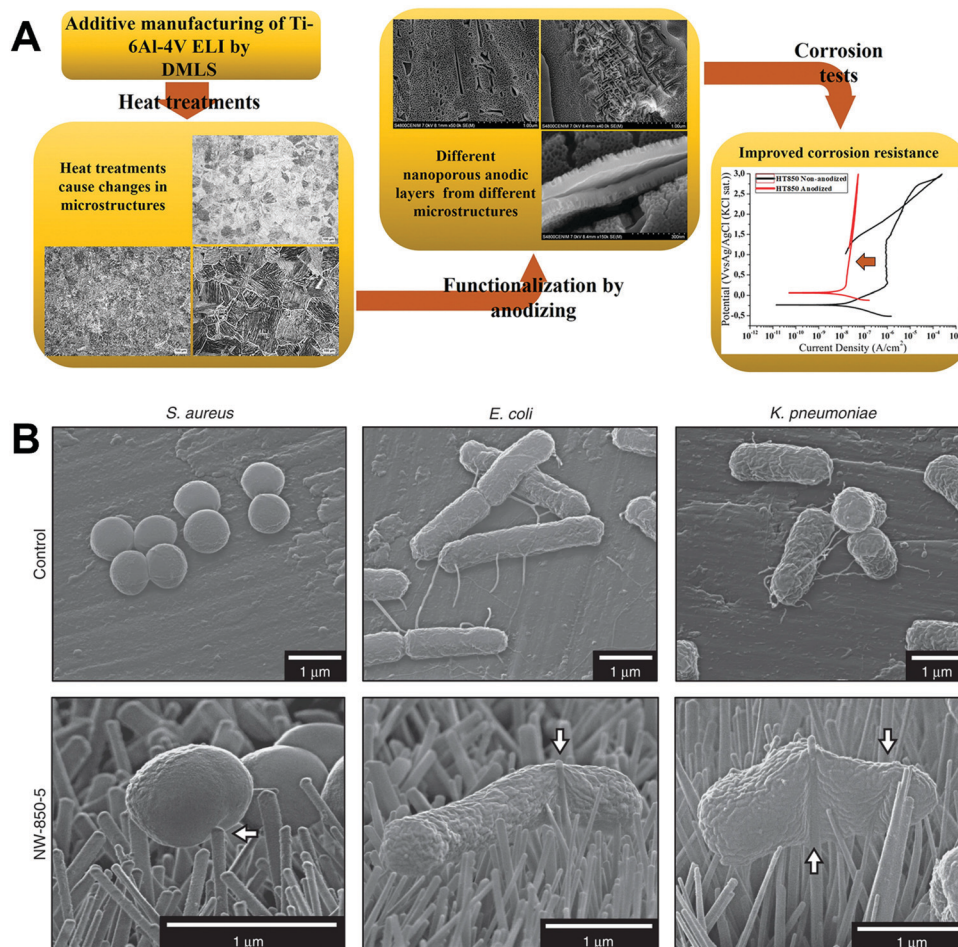


Fig. 7 (A) Use of thermal oxidation to fabricate surface microstructures on Ti6Al4V samples made by additive manufacturing (direct metal laser sintering, DMLS).<sup>81</sup> (B) Morphology of different bacteria on TiO<sub>2</sub> nanopillars compared to flat Ti6Al4V surfaces.<sup>82</sup> White arrows = nanopillar-induced envelope deformation. Reprinted with permission. (A) Copyright 2018, Elsevier; (B) Copyright 2020, Springer Nature.



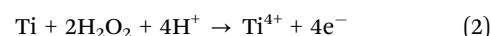
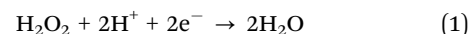
favourable ability of the graphene coating to induce osteogenic activity in human bone marrow and adipose tissue derived stem cells. To improve the stability of plasma sprayed HA coatings, Bose *et al.*<sup>84</sup> applied a thermal treatment on sprayed Ti6Al4V samples at 800 °C for 10 min. The treatment increased coating crystallinity from 64 to 75% and adhesion strength from 26 to 31 MPa, while decreasing the HA dissolution rate. This method could be useful for reducing the chance of HA coating delamination from the metal implant due to poor interfacial bonding.

**2.3.3 Hydrothermal treatment.** Hydrothermal treatment is a widely used method for modifying Ti and its alloys and involves a chemical reaction in water in a sealed pressure vessel, such that the reaction proceeds under high temperature and high pressure conditions. The hydrothermal reaction conditions covered in this section are summarised in Table 1. After hydrothermal treatment, the Ti surface can be modified by changing the nanotopography, wettability, and even composition through element doping.

A number of studies have used hydrothermal treatment to dope Sr in orthopaedic implant coatings, since Sr can promote bone formation and inhibit bone resorption.<sup>85–87</sup> Dang *et al.*<sup>85</sup> used electrochemical anodisation and hydrothermal treatment to produce Sr-doped Ti nanotubes on Ti implant surfaces. The surface prepared using an anodisation voltage of 10 V and a hydrothermal treatment time of 3 hours showed the best ability to support *in vivo* bone formation. In an orthotopic rat model, implants with this surface achieved the best osseointegration and bone-implant contact ratio compared to other surfaces. The ability of the surface to induce rapid *in vivo* bone formation was thought to be due to the synergistic effect of surface micro-/nano-morphology and element release from the coating. Zhang *et al.*<sup>86</sup> synthesised a Ti surface with Sr and Ca co-doped TiO<sub>2</sub> nanotubes (M-SrCaNT) by applying a modified anodisation and hydrothermal treatment. In this study, half of the Sr in Sr-incorporated TiO<sub>2</sub> nanotubes (M-SrNT) was replaced by Ca

to obtain M-SrCaNT, which did not change the surface micro-/nano-structure. M-SrCaNT showed better ability to promote osteogenic activities (gene expression, extracellular matrix synthesis and mineralisation) in MC3T3-E1 cells than M-SrNT and unmodified TiO<sub>2</sub> nanotubes. However, *in vivo* experiments were not performed to verify the ability of the coating to support osseointegration. Geng *et al.*<sup>87</sup> prepared a snail-inspired Sr-doped HA coating on Ti by electrochemical corrosion, ultrasonic treatment, and hydrothermal treatment. *In vitro* and *in vivo* experiments showed that this coating effectively promoted the proliferation and differentiation of osteoblasts while inhibiting the formation of osteoclasts, as shown through the expression of relevant genes (ITG  $\alpha 5\beta 1$ , Col-I, OCN, RANKL). In a rabbit model, the biomimetically structured surface with pore spaces was beneficial for bone formation and allowed implant–bone mechanical interlocking. The bioactive HA composition was thought to promote bone formation, while the doped Sr ions inhibited bone resorption.

Phosphate has gained an increasing number of biomedical applications in recent years, and its formation on Ti surfaces by hydrothermal treatment has been explored. Titanium phosphate (TiP) coatings with a variety of morphologies have been prepared and studied. For example, Jiang *et al.*<sup>88</sup> produced three hybrid coatings containing TiP and TiO<sub>2</sub>, named micro/nanoclump Ti (P-C-Ti), micro/nanograss Ti (P-G-Ti), and micro/nanorod Ti (P-R-Ti) (Fig. 8A). The preparation method mainly involved a reaction between Ti, H<sub>2</sub>O<sub>2</sub>, and H<sub>3</sub>PO<sub>4</sub>. Ti<sup>4+</sup> ions were produced as shown in eqn (1) and (2):



Further reaction could form Ti(O<sub>2</sub>)(OH)<sub>*n*–2</sub><sup>(4–*n*)+</sup> (pH < 1) or Ti<sub>2</sub>O<sub>5</sub>(OH)<sub>*x*</sub><sup>2–*x*</sup> (pH > 1, *x* = 1–6). Then, the deposition of

Table 1 Summary of hydrothermal reaction conditions

Reactants	Hydrothermal reaction conditions	Outcomes	Ref.
40 mL of 0.02 M Sr(OH) <sub>2</sub> solution in a 60 mL Teflon-lined autoclave	200 °C, 1 h or 3 h	Sr ions were doped into the TiO <sub>2</sub> nanotube layer. Anodisation voltage of 10 V and treatment time of 3 h showed the best bio-compatibility and osteogenic properties	85
10 mL of 0.005 M Sr(OH) <sub>2</sub> and saturated Ca(OH) <sub>2</sub> solution in a 40 mL Teflon-lined autoclave	200 °C, 2 h	Co-doping of Sr and Ca into the TiO <sub>2</sub> nanotube layer accelerated extracellular matrix mineralisation	86
<i>m</i> (Sr(NO <sub>3</sub> ) <sub>2</sub> ): <i>m</i> (Na <sub>3</sub> PO <sub>4</sub> ) = 10:6	150 °C, 24 h	Sr-incorporated bioactive apatite coating	87
5 wt% H <sub>3</sub> PO <sub>4</sub> , 8 wt% H <sub>2</sub> O <sub>2</sub>	0.15 MPa pressure, 120 °C, 24 h	Micro/nanograss Ti surface	88
2 wt% H <sub>3</sub> PO <sub>4</sub> , 14 wt% H <sub>2</sub> O <sub>2</sub>		Micro/nanoclump Ti surface	
9 wt% H <sub>3</sub> PO <sub>4</sub> , 7 wt% H <sub>2</sub> O <sub>2</sub>		Micro/nanorod Ti surface	
<i>m</i> (H <sub>3</sub> PO <sub>4</sub> ): <i>m</i> (H <sub>2</sub> O <sub>2</sub> ) = 1:9	220 °C, 24 h	Step 1: prepared Ti surface with nanofibrous biomimetic topography	89
Modified Ti, 0.2 g mL <sup>–1</sup> CaCl <sub>2</sub>	120 °C, 8 h	Step 2: introduced Ca into the modified Ti surface	
Ca(OH) <sub>2</sub> aqueous solution	0.5 MPa pressure, 200 °C, 5 h	Ca was introduced into the Ti surface to induce apatite formation	90
100 mL of 1 M KOH	150 °C, treatment times: 0.5, 1, 2, 3, 4, 5, 6, 24 and 60 h	All samples annealed at 450 °C for 4 h after treatment. Bactericidal nanosheet pattern was prepared on the Ti surface	91 and 92
75 mL of 1 M NaOH	200 °C, 4 h	All samples annealed at 300 °C for 1 h. Porous surfaces formed	93
50 mL of 5 M NaOH	60 °C, 24 h		
75 mL of 1 M NaOH	200 °C, 2.5 h	After annealing, samples were immersed in 50 mL of 0.6 M HCl solution for 1 h and annealed at 600 °C for 2 h. Granular surfaces formed	





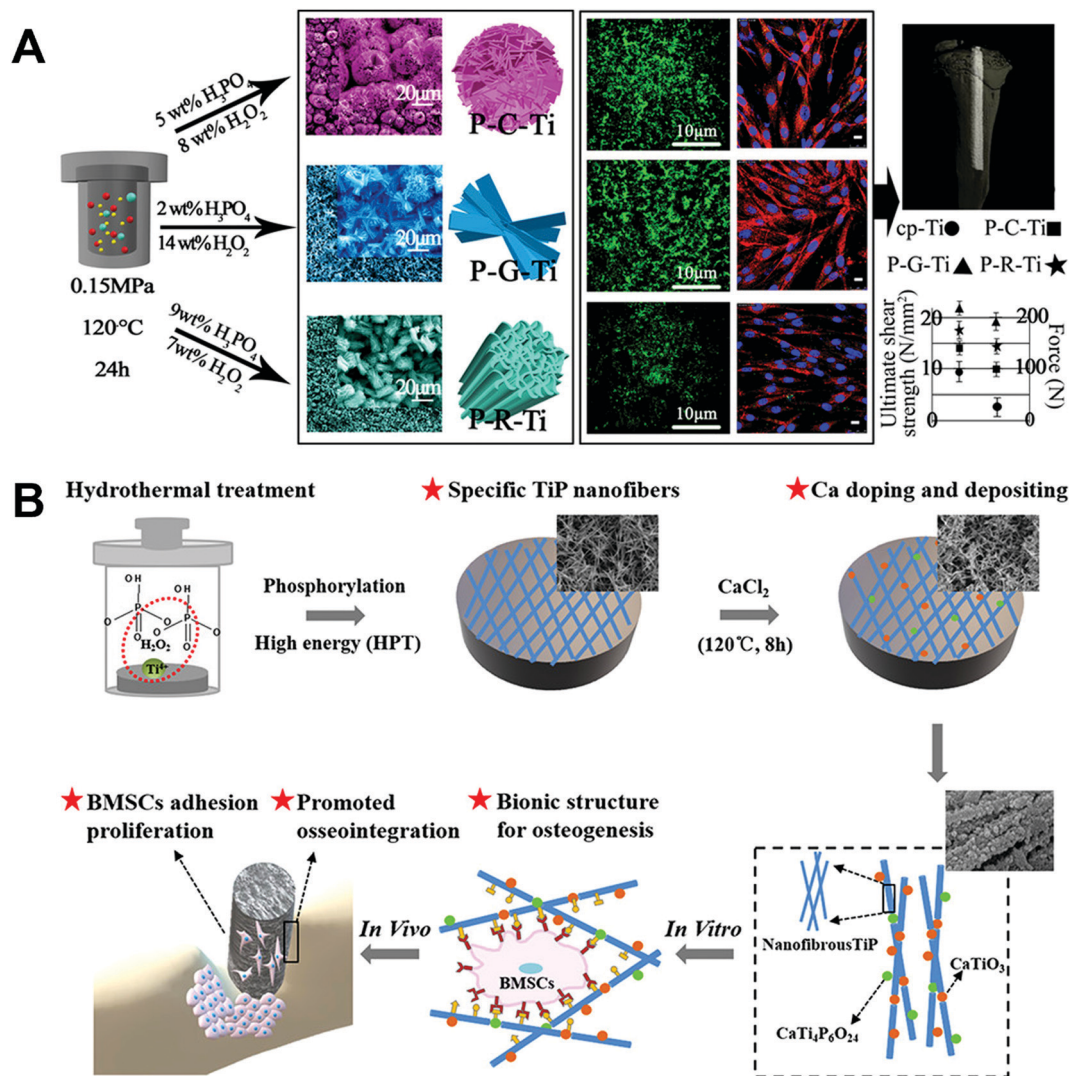
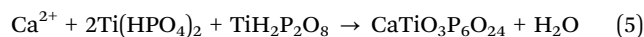
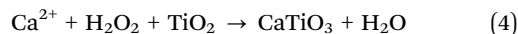
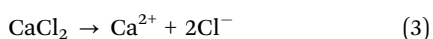


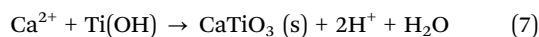
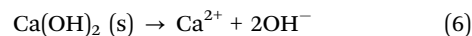
Fig. 8 Use of hydrothermal treatment on Ti implants to fabricate (A) three unique micro-/nano-structured surface coatings involving TiP,<sup>88</sup> and (B) bioinspired nanofibrous Ca-doped TiP coating.<sup>89</sup> Reprinted with permission. Copyright 2018 & 2020, American Chemical Society.

amorphous or low crystallinity TiP was produced by a reaction between the oxidised substrate and phosphate or hydrogen phosphate ions. During the treatment process,  $\text{H}_3\text{PO}_4/\text{H}_2\text{O}_2$  concentrations were adjusted to control the coating morphology and composition (see Table 1). *In vitro* and *in vivo* experiments indicated that P-G-Ti allowed the best protein adsorption and rat BMSC viability, adhesion, and differentiation, as well as produced the highest tissue-implant interfacial bonding strength in rat tibia.

Cai *et al.*<sup>89</sup> fabricated a bioinspired nanofibrous Ca-doped TiP coating on Ti by a two-step hydrothermal treatment method (Fig. 8B). In step one, the Ti sample was immersed in an aqueous solution ( $m(\text{H}_3\text{PO}_4):m(\text{H}_2\text{O}_2) = 1:9$ ) at 220 °C for 24 hours to form TiP. In step two, 0.2 g mL<sup>-1</sup>  $\text{CaCl}_2$  solutions were applied to dope Ca into the Ti surface at 120 °C for 8 h. The main reaction process is shown in eqn (3), (4) and (5):



*In vitro* tests using rat BMSCs and *in vivo* assessment in the rat tibia showed an improved ability of the Ca-doped TiP coating to encourage osteogenic behaviour and induce osseointegration in the early implantation state together with higher interfacial bonding strength, compared to the control TiP coating. In another coating containing Ca, Ansar *et al.*<sup>90</sup> introduced Ca into the Ti surface using  $\text{Ca}(\text{OH})_2$  through a one-step hydrothermal treatment method.  $\text{CaO}$  and  $\text{CaTiO}_3$  were detected in the coating, where the reaction for  $\text{CaTiO}_3$  formation is shown in eqn (6) and (7):



This is a simple, quick, and low-cost method for preparing a bioactive coating on Ti that has the ability to

induce apatite formation, but the coating has no special morphology.

The optimisation of antimicrobial properties is crucial for implant surface modification, and a number of studies have used hydrothermal treatment for this purpose. Wandiyanto *et al.*<sup>91</sup> prepared a Ti surface coating with sharp-edged (about 10 nm) TiO<sub>2</sub> nanosheets to confer bactericidal properties. The nanosheet surface with different edge lengths was made by adjusting the hydrothermal treatment time, from 0.5 to 60 hours. The surface produced by 6 hours of treatment showed the best antibacterial performance, eliminating 90 ± 9% of *S. aureus* and ~100% of *P. aeruginosa* cells contacting the coating. However, biocompatibility and bone integration experiments were not performed in this study. Following on from this, Clainche *et al.*<sup>92</sup> tested two types of antibacterial coatings that combined both osteogenic and antibiotic activity, comparing hydrothermal treatment with plasma etching as the fabrication methods. The coating produced by hydrothermal treatment has been described by Wandiyanto *et al.*<sup>91</sup> to contain sharp nanosheet protrusions to kill bacteria by cutting the cell membrane, while the other coating produced by plasma etching has been described by Linklater *et al.*<sup>94</sup> to contain a microscale two-layer hierarchical topography to both reduce bacterial attachment and rupture bacteria that contact the surface. *In vitro* experiments showed that both coatings could selectively destroy bacterial cells, since they promoted the adhesion and differentiation of human adipose-derived stem cells (ASCs) and supported their osteogenesis. Further *in vivo* testing is necessary to confirm these observations.

Thrombosis is one of the reasons for bone implant failure. To address this problem, Manivasagam and Popat<sup>93</sup> prepared Ti and Ti6Al4V surfaces with different morphologies using hydrothermal treatment, by adjusting the solution concentration, reaction temperature, and treatment time. A porous surface prepared on Ti was found to be the most haemocompatible compared to all other surfaces, which was produced by immersing the sample in 50 mL of 5 M NaOH solution, hydrothermal treatment at 60 °C for 24 hours, and annealing

at 300 °C for 1 hour. The porous surface could modulate fibrinogen adsorption, cell adhesion, platelet activation, and whole blood clotting *in vitro*. This may lead to increased blood compatibility, reduced thrombosis and improved osteogenesis *in vivo*, although animal experiments were not performed in this study.

Microwave hydrothermal treatment (MWHT) is a method for producing nanoscale structures on alloy surfaces, where the reaction rate of organic and inorganic synthesis in hydrothermal treatment is increased by microwave heating. Compared with traditional hydrothermal treatment technology, MWHT is characterised by low temperature and high speed. Cheng *et al.*<sup>95</sup> examined several MWHT surfaces prepared on two substrates (microrough and smooth Ti surfaces) in three solutions (distilled water, aqueous H<sub>2</sub>O<sub>2</sub> solutions (1–2.5 M), and aqueous NH<sub>4</sub>OH solutions (1–2.5 M)) at 200 °C for 1 hour. Microrough Ti surfaces subjected to MWHT with distilled water or NH<sub>4</sub>OH solutions produced anatase titania nano-protuberances with average diameters of 23–60 nm, while MWHT with H<sub>2</sub>O<sub>2</sub> solutions produced rutile-bearing titania nano-protuberances with average diameters of 22–51 nm. Interestingly, while these nanoscale modifications improved the hydrophilicity of the microrough Ti surface, they had no significant effects on osteogenic differentiation by MG63 cells and normal human osteoblasts compared to microrough Ti controls not treated by MWHT.

**2.3.4 Anodic oxidation (AO).** AO is a commonly used surface modification method that can form oxide films on the surface of metals through a discharge oxidation process. As indicated by the technical name ‘anodic oxidation’, the metal to be oxidised is fixed on the anode of the electrolytic cell. By applying a specific voltage, the positive and negative ions in the electrolyte diffuse to the cathode and anode under the action of the electric field, and redox reactions occur. The oxide film on the metal surface undergoes a repeated alternating process of formation and disappearance, leading to the final formation of a special surface morphology – uniform micro/nanoscale pore or tube arrays. As shown in Table 2, changing operating

Table 2 Summary of anodic oxidation conditions

Electrolyte composition	Work potential (V)	Time (min)	Thickness (μm)	Average roughness (nm)	Water contact angle (°)	Nanotube inner diameter (nm)	Ref.
0.5 wt% NH <sub>4</sub> F, 5 vol% H <sub>2</sub> O, ethylene glycol (EG)	0	60	0	10.67	79.2 ± 0.2	—	100
	20		0.21	29.36	122.0 ± 0.1	—	
	40		1.90	38.75	142.4 ± 0.1	—	
	60		1.81	56.35	130.7 ± 0.1	—	
	80		1.81	132.11	142.1 ± 0.1	—	
	100		1.92	111.97	103.8 ± 1.0	—	
1 M lactic acid, 0.1 M NH <sub>4</sub> F, 1.5 wt% H <sub>2</sub> O, EG	160	15	79	—	—	—	101
	60	10	1.30 ± 0.09	—	44.6 ± 1	100	
		20	—	—	43.1 ± 1	75	
		30	—	—	61.8 ± 1	65	
		40	—	—	40.8 ± 1	70	
		50	—	—	29.4 ± 1	75	
0.25 wt% NH <sub>4</sub> F, 2 wt% H <sub>2</sub> O, EG	15	60	—	—	15.9 ± 1	50	103
		60	—	15.62 ± 1.85	39.2 ± 4.4	40–50	
		60	0.045	—	—	30	
		40	0.04	—	—	80	
		40	0.04	—	—	80	
		40	0.04	—	—	80	



parameters such as work potential, treatment time, and electrolyte composition can alter the properties of the metal oxides produced and hence control the results of surface modification, including roughness, topography, hydrophobicity, corrosion resistance, and other properties of the metal substrate. Compared to other chemical surface modification methods, the AO technique is relatively simple and low in cost. It has been widely used to prepare surfaces with TiO<sub>2</sub> nanotubes, particularly the so-called high aspect ratio (HAR) nanotube coatings with desired 50–300 μm thickness.<sup>96</sup> The necessary conditions for producing nanotube arrays on Ti surfaces are the presence of both F<sup>−</sup> ions and low pH, causing changes in nanoscale morphology as a function of anodisation time from non-porous oxide, to pit formation, pore growth, and finally nanotube arrays.<sup>97</sup> Nanoscale surface morphology of implants is known to be a significant driver for bone ingrowth, as seen in early studies where osteoblasts showed increased adhesion and higher amounts of calcium-containing mineral deposition on nanophase metallic surfaces.<sup>98,99</sup> In the natural state, the TiO<sub>2</sub> formed on the surface of Ti implants is bioinert, while after AO treatment this evolves into bioactive rutile and anatase phases.

In 1999, Zwilling *et al.*<sup>104</sup> first prepared a layer of TiO<sub>2</sub> nanotubes on a Ti substrate in chromic acid electrolytes containing hydrogen fluoride at low voltage, which was approximately 500 nm thick. At the time, the prepared TiO<sub>2</sub> nanotubes did not have a tightly organised structure and the sidewall was not uniform. Moreover, it was found that the thickness of the TiO<sub>2</sub> nanotube layer did not increase with treatment time, possibly due to an equilibrium state of oxide growth and chemical dissolution. Since then, the use of AO to prepare TiO<sub>2</sub> nanotubes has attracted extensive attention.<sup>29,104</sup> Durdu *et al.*<sup>100</sup> recently prepared a well-organised hydrophobic TiO<sub>2</sub> nanotube coating with high crystallinity as well as homogeneous morphology and elemental distribution, by combining the AO method at different voltages with post-heat treatment. The post-heat treatment was found to not affect the morphological changes in the coating. Ti samples with the TiO<sub>2</sub> nanotube coated surface produced at 20 V showed better mechanical properties when compared with uncoated controls and other coatings. Increases in the voltage value resulted in higher coating hydrophilicity, as well as changes in morphology from well-ordered to random organisation of nanotubes. In a study by Alijani *et al.*,<sup>101</sup> an accurate optimisation of AO conditions was first successfully realised at room temperature to produce HAR nanotubes. The surface could be produced in a short time without the traditional high temperature requirement, by adding lactic acid in the electrolyte to prevent dielectric breakdown and enable anodisation at higher potentials. This method produced a high growth rate for the nanotube layer of up to 5.266 μm min<sup>−1</sup>. Torres-Avila *et al.*<sup>102</sup> tested the impact of different oxidation times (10 to 60 min) on TiO<sub>2</sub> nanotubes produced on Ti6Al4V using AO at a constant work potential of 60 V. The oxidation time was found to significantly affect the inner diameter of nanotubes, which was directly correlated with cell proliferation. Nanotubes with

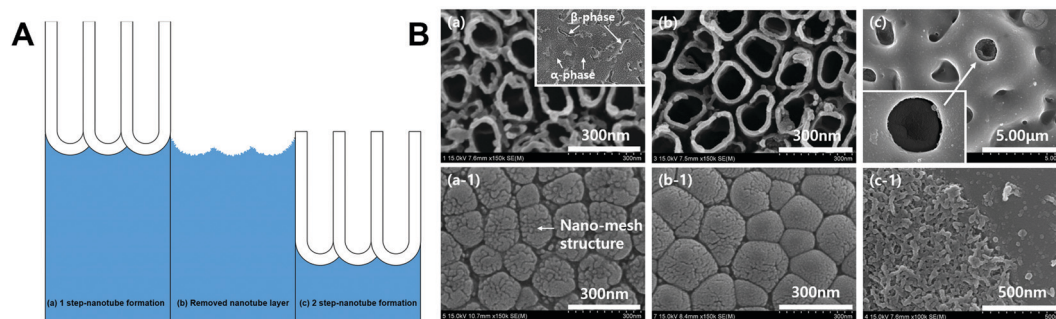
an inner diameter of 60 nm allowed the highest proliferation of a human osteoblast cell line.

AO can be applied to modulate surface charge, which is important since electrical stimulation can promote osteogenesis through biological interactions, such as with naturally charged biomolecules. This has been explored for ceramic biomaterials such as hydroxyapatite.<sup>105</sup> A convergence of surface chemistry, surface charge, topography, and hydrophilicity is necessary for designing and modifying the biomaterial surface to target specific applications. For instance, Bandyopadhyay *et al.*<sup>106</sup> used an ethylene glycol-based electrolyte containing 1 vol% HF to produce TiO<sub>2</sub> nanotubes on Ti surfaces by AO, at a potential difference of 40 V for 1 hour followed by charge storage using electrothermal polarisation. These electrically polarised TiO<sub>2</sub> nanotube surfaces enhanced the proliferation and osteogenic differentiation of human foetal osteoblasts by improving the surface hydrophilicity and bioactivity compared to untreated implants, and also promoted early-stage osseointegration in a rat distal femur model. Another recent application of AO was in exploring the responses of ASCs to nanostructured surfaces. As an example, Cowden *et al.*<sup>107</sup> fabricated TiO<sub>2</sub> nanotube surfaces by AO, and found that the ASCs increased their proliferation and osteogenic differentiation on the modified surfaces in a manner that was dependent on the size of nanotubes, suggesting a possible application in modulating cell responses directly through orthopaedic implant coatings.

Under certain AO conditions, nanowires can form on top of TiO<sub>2</sub> nanotubes, and their formation is mainly affected by voltage and treatment time. Hsu *et al.*<sup>108</sup> proposed a 'strings of through holes' model to explain the mechanism of nanowire formation, consisting of four stages: (1) dissolving the nanotube wall near the upper end, causing thinning of the tube wall thickness; (2) forming strings of holes in the top section of the nanotube wall; (3) splitting into nanowires; and (4) folding and further thinning of nanowires. AO can also be an effective technique for inducing HA growth on treated surfaces. Nguyen *et al.*<sup>109</sup> used an electrolyte containing calcium acetate, calcium glycerophosphate hydrate, and different concentrations of Na<sub>2</sub>SO<sub>4</sub> to incorporate Ca and P into the oxide film of Ti6Al4V by AO. These Ca-containing films were bioactive and dissolved when immersed in simulated body fluid (SBF), enhancing the degree of supersaturation of the SBF solution and inducing the growth of HA on the sample surface. Lim and Cloe<sup>110</sup> developed another method for inducing bioactive apatite formation on an AO-treated Ti alloy, through a two-step loop process. The first step forms TiO<sub>2</sub> nanotubes on the Ti6Al4V surface using AO, and the second step removes the nanotube layer by ultrasonic degradation, followed by plasma electrolytic oxidation (PEO) treatment in an electrolyte containing bioactive ions (Ca, P ± Sr, Mn, Mg, Zn, Si) to form bioactive apatite (Fig. 9A). This process was looped several times. As the number of loops increased, the diameter and size of nanotubes increased while the distance between nanotubes decreased, forming a mesh-shaped surface with increased surface roughness and bonding strength. After the third loop, the treated surface showed bioactive apatite formation with anatase and hydroxyapatite phases (Fig. 9B).







**Fig. 9** (A) A two-step loop process to form bioactive apatite on the surface of Ti6Al4V and (B) SEM images of the surface morphology after loops one (left) and three (middle, right; the right images depict PEO in an electrolyte containing all bioactive ions (Ca, P, Sr, Mn, Mg, Zn, Si)).<sup>110</sup> Reprinted with permission. Copyright 2019, Elsevier.

AO treatment can be additionally used to optimise the surface of Ti6Al4V implants produced by additive manufacturing techniques, such as selective laser melting and electron beam melting. These manufacturing methods for titanium implants are being increasingly explored as they couple good biocompatibility, corrosion resistance and strength with high implant customisability.<sup>111</sup> However, to be practically useful for biomedical applications, certain shortcomings need to be overcome such as high porosity and poor surface finish. Methods such as electrically assisted ultrasonic nanocrystal surface modification (EA-UNSM)<sup>112</sup> may be useful for this purpose. Alternatively, as reported by Ren *et al.*,<sup>103</sup> a layered micro/nano structure can be produced on 3D-printed Ti6Al4V implant surfaces by combining ultrasonic acid etching with AO. This surface promoted the proliferation and osteogenic differentiation of MC3T3-E1 cells by enhancing the surface hydrophilicity and bioactivity compared with the untreated implant, and also increased bone formation and osseointegration in a rat femoral defect model.

**2.3.5 Micro arc oxidation.** Micro arc oxidation (MAO), also known as PEO, is an upgrade of AO and an effective and convenient surface modification method for producing firmly adherent oxide films on the surface of metals such as Al, Mg, Ti, and their alloys. The surface formed through MAO is porous and relatively rough, with high hardness and corrosion resistance. The composition of the electrolyte has a significant influence on coating formation through MAO. For instance, Wang *et al.*<sup>113</sup> formed bioactive ceramic coatings on Ti6Al4V in two different electrolytes, and found that MAO coatings prepared from a silicate electrolyte grew rapidly and corroded faster in SBF, while those prepared from a calcium phosphate electrolyte showed a great surface microstructure and corrosion resistance. Li *et al.*<sup>114</sup> used a duplex coating process where MAO was used to fabricate the TiO<sub>2</sub> coating, followed by further modification by electrochemical treatment in alkaline solution (MAO-AK). The MAO-AK coating showed changes in nano-morphology compared to the MAO coating but retained a similar micro-morphology (Fig. 10). Both coatings were found to significantly enhance cell adhesion and osteogenic differentiation by mediating the integrin  $\beta$ 1 signalling pathway. When implanted into the canine femur, the MAO-AK coating

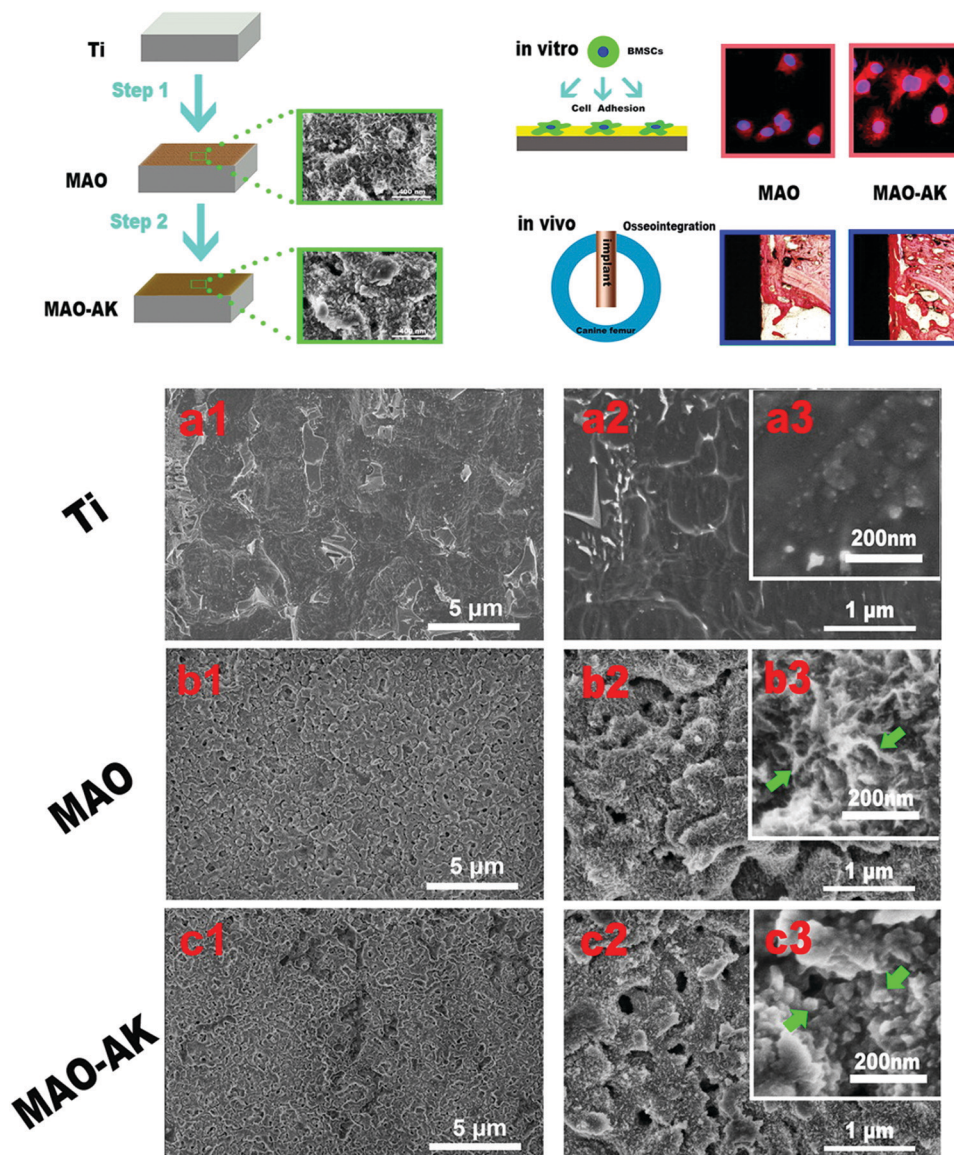
showed a significantly higher bone formation speed and bone-implant contact ratio.

Metal ions such as Al, V, Co, Cr and Mo in implant materials can have a negative effect on cell viability, and such effects are often closely related to their concentration.<sup>115</sup> A possible approach for increasing the biological activity of the Ti6Al4V alloy is to inhibit the release of Al and V from the implant. Guan *et al.*<sup>17</sup> presented a method to form a porous oxide coating on Ti6Al4V through MAO that reduces the Al and V content of the coating, by using a Na<sub>2</sub>B<sub>4</sub>O<sub>7</sub> electrolyte added with KOH. It was found that an increased concentration of KOH in the electrolyte led to better removal of Al and V. The modified MAO coating showed superhydrophilicity and excellent cytocompatibility with MC3T3 cells.

To further improve the performance of MAO coatings on Ti substrates, some elements that support bone formation or have antibacterial properties have been incorporated into the coating.<sup>34,116–119</sup> Approaches to include bioactive elements such as Si, Sr, and Mg have been effective, but antibacterial elements such as Zn, Cu, and Ag cannot be easily fixed on the implant surface using MAO, which does not allow the coating to confer a lasting antibacterial effect. Zhao *et al.*<sup>116</sup> used MAO to prepare TiO<sub>2</sub> microporous coatings with Si uniformly distributed on the coating surface, and found that Si doping did not change the surface morphology, roughness, or phase composition. The Si-incorporated surface increased *in vitro* osteoblast adhesion, spreading, proliferation, and differentiation, as well as early stage *in vivo* osseointegration in a rabbit model. Antibacterial properties were not tested in this study. Li *et al.*<sup>117</sup> used MAO to prepare porous TiO<sub>2</sub> coatings doped with Ca and Sr, by adding CaO and SrO in tetraborate electrolytes. The resulting coatings were superhydrophilic and highly biocompatible, and allowed easy release of Ca and Sr into aqueous solution. When tested using human BMSCs, the coating doped with Ca and Sr showed significantly higher cell proliferation compared to that doped with only Ca or Sr. Another group doped different concentrations of Mg into TiO<sub>2</sub> coatings by MAO, and showed that the electrolyte with 2 g L<sup>-1</sup> magnesium acetate produced coatings with the best ability to encourage rat BMSC adhesion, proliferation, and osteogenic differentiation.<sup>120</sup>

Strategies to incorporate antibacterial elements include a two-step method for preparing an Ag-doped TiO<sub>2</sub> surface,





**Fig. 10** Changes in the nano-morphology of Ti samples, treated or not with MAO and post-modified or not with electrochemical treatment (MAO-AK).<sup>114</sup> Green arrows: b3 = petal-like nanostructures; c3 = granular nanostructures. Reprinted with permission. Copyright 2016, American Chemical Society.

described by Durdu *et al.*<sup>118</sup> This method first used thermal evaporation-physical vapor deposition (TE-PVD) to deposit a thin Ag layer on the Ti surface, followed by coating the surface using MAO in sodium silicate and potassium hydroxide. Energy dispersive spectroscopy (EDS) analysis indicated that Ag was released from the inner Ag layer through the surface pores, and was localised only around the region of the pores. Compared to surfaces treated only with MAO, the Ag-doped MAO surface significantly reduced the active colony ratio of *E. coli* and *S. aureus* (both by >50%), as well as increased surface wettability and *in vitro* apatite formation. However, cytotoxicity or biocompatibility tests were not reported. Ye *et al.*<sup>119</sup> used MAO to produce Zn-doped TiO<sub>2</sub> coatings with excellent bactericidal activity and osseointegration ability. The coating had a bilayer structure, where the amorphous outer layer

consisted of Ti, O, and Zn with Zn doped in the form of weakened Zn–O bonds, and the inner layer was partially crystallised with nanocrystalline TiO<sub>2</sub> and Zn<sub>2</sub>TiO<sub>4</sub> with an embedded amorphous matrix. The weakened Zn–O bonds were found to be the key factor controlling Zn<sup>2+</sup> release and ROS production. When produced at higher voltage (530 V compared to 300 or 400 V), enhanced ROS formation on the MAO coating led to bacterial cell wall breakage, coupled with enhanced Zn<sup>2+</sup> release and extracellular H<sub>2</sub>O<sub>2</sub> formation causing increased intracellular ROS levels, which acted in parallel to result in bacterial death. However, coatings with excessive Zn concentration were seen to induce excessive ROS levels and lead to bone cell apoptosis. The coating produced at 400 V showed the best balance of performance, which promoted osseointegration in rat tibiae that were uninfected or infected with *S. aureus*.

HA is a popular implant coating material due to its high biocompatibility and stable chemical properties, and its incorporation onto Ti implants using MAO has been explored. Studies have reported that an MAO coating decorated with HA nanostructures could provide a suitable microenvironment for promoting bone formation, immunomodulation and angiogenesis, which was termed “osteo-immunomodulation”.<sup>32,33</sup> In the first study, Bai *et al.*<sup>32</sup> prepared a microporous HA-modified TiO<sub>2</sub> coating using MAO, followed by annealing at different temperatures to tune the physical and chemical properties of the coating. Annealing at 650 °C was found to produce the most favourable coating properties, including a hybrid micro-nano morphology, superhydrophilicity, and HA NPs with high crystallinity. *In vitro* tests using osteoblasts and endothelial cells and *in vivo* implantation in a rabbit model demonstrated the ability of this coating to promote bone formation and angiogenesis, as well as modulate the immune response in macrophages. In the second study, Bai *et al.*<sup>33</sup> prepared two types of microporous surfaces which were respectively decorated with HA NPs and nanorods. MAO was used followed by steam-hydrothermal treatment (SHT), whereby the nanostructure of HA was altered by adjusting the SHT processing time. *In vitro* and *in vivo* experiments indicated that MAO surfaces decorated with HA NPs were conducive to osteo-immunomodulation, bone formation, and angiogenesis, while those decorated with HA nanorods did not show the same effects. The key signalling pathways involved were thought to be related to TGF- $\beta$ , OPG/RANKL, and VEGF. In another approach to form a HA-doped coating on a Ti substrate using MAO, Qaid *et al.*<sup>121</sup> synthesised phase pure HA from calcined eggshells, and added different concentrations of this into a tri-sodium orthophosphate (Na<sub>3</sub>PO<sub>4</sub>·12H<sub>2</sub>O) based electrolyte solution in a one-step MAO process. The MAO coating produced using a 1.5 g L<sup>-1</sup> concentration of eggshell-derived HA was found to give the best adhesive strength and corrosion resistance. Tests for biocompatibility or biological activity of the coating were not performed.

## 2.4 Biological modification methods

Biological modification methods involve fixing specific bioactive molecules such as proteins, peptides, and enzymes on the implant surface. After implantation in the body, the biologically modified implant surface induces the adsorption of different proteins from the internal environment to form a protein layer. These bioactive layers can provide active sites for a variety of biological responses involving cellular receptors, leading to downstream effects such as cell adhesion, migration, proliferation, differentiation, and apoptosis, which can contribute to tissue formation, osseointegration, and other biological processes. Compared to physical and chemical modification methods, biological surface modification is more direct and efficient. However, the preservation time of bioactive molecules on the implant surface is typically limited by a set of environmental conditions including air, temperature, humidity, light, and pH, which increase the product cost and restrict the

large-scale manufacturing and use of implants with biologically modified surfaces.

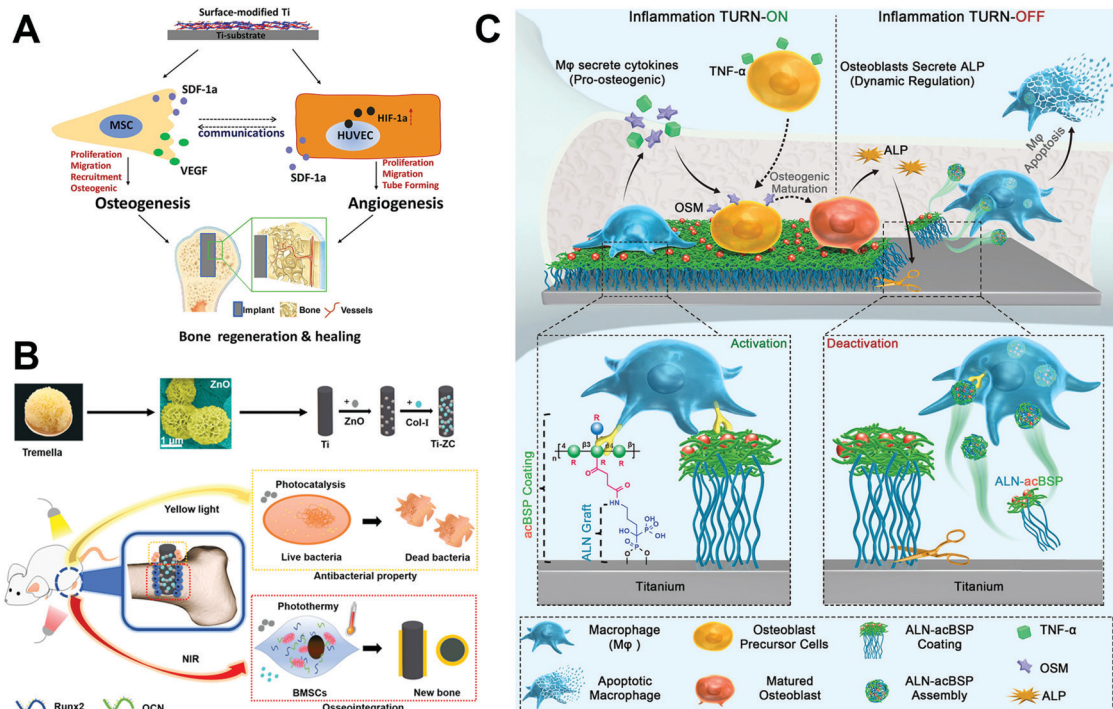
**2.4.1 Layer by layer self-assembly.** Self-assembly is a technique in which the basic structural units spontaneously form an ordered structure. Layer by layer self-assembly (LBL) is a method used for preparing thin polyelectrolyte multilayers by alternating the deposition of oppositely charged polyelectrolytes on a charged substrate, which can be applied as an implant coating strategy. Gregurec *et al.*<sup>122</sup> produced a bioinspired coating onto a TiO<sub>2</sub> layer by LBL, in the form of a self-assembled and chemically crosslinked biopolymer film composed of alginate and collagen. The coating displayed a fibrillar morphology with fibre diameter and length mimicking that of the bone extracellular matrix, which enhanced the adhesion of MC3T3-E1 cells compared to the unmodified TiO<sub>2</sub> surface. He *et al.*<sup>123</sup> prepared a micro-structured surface on Ti samples using a double acid etching method, followed by LBL to create a gelatin-chitosan multilayer coating loaded with SEW2871 (a macrophage recruitment agent). This coating was effective in inducing the recruitment of macrophages, as well as enhancing their anti-inflammatory response and reducing pro-inflammatory response. This immunomodulation effect improved the *in vitro* osteogenic differentiation of rat BMSCs and *in vivo* osseointegration in the rat femur.

Implant coatings with antioxidant properties may help with bone healing after implant surgery by reducing oxidative stress from excess ROS production. Chen *et al.*<sup>124</sup> constructed a multilayer coating with antioxidant activity on a Ti substrate, consisting of chitosan-catechol, gelatin, and HA nanofibres. This coating effectively protected osteoblasts from oxidative damage, by regulating gene expression related to cell adhesion molecules (integrin  $\alpha_v$ ,  $\beta_3$ , CDH11, CDH2), as well as by regulating cell adhesion and anti-apoptotic proteins (*p*-MYPT1, *p*-FAK, *p*-Akt, Bcl-2). The coating also promoted *in vitro* osteoblast differentiation and early bone healing *in vivo* in a rabbit model. Building on this work, the same group used the multilayer coating as a platform to investigate the coupling between osteogenesis and angiogenesis during bone healing.<sup>125</sup> The coating was found to mediate *in vitro* communication between co-cultured rat ASCs and human umbilical vein endothelial cells (HUVECs) through cell-matrix interactions that enhanced their paracrine effects (Fig. 11A). When grown in co-culture in the presence of the multilayer coating, both ASCs and HUVECs increased their transmigration, as well as gene or protein expression of angiogenic factors such as SDF-1 and VEGF, compared to cells grown on tissue culture plastic or uncoated Ti. There was some evidence of bone formation when Ti samples with the multilayer coating were implanted into rats subcutaneously or in the femur, but the process of *in vivo* osseointegration requires further investigation.

**2.4.2 Other biological modification methods.** Inspired by the natural composition, structure, and physiological characteristics of bone, a range of modification methods have emerged for creating bioinspired surfaces to encourage implant osseointegration and new bone formation.<sup>42</sup> Various methods have been used to immobilise bioactive molecules such as







**Fig. 11** Schematic illustration of (A) a multilayer biological coating on a Ti substrate produced by LBL that mediates coupling of osteogenesis and angiogenesis to promote bone healing,<sup>125</sup> (B) a tremella-like coating that could respond to yellow light and near infrared light to provide antibacterial and osteogenic functions,<sup>126</sup> and (C) a bio-responsive, "bridge-burning" coating to turn on and turn off macrophage activity on implants to provide osteo-immunomodulation and help bone formation.<sup>34</sup> Reprinted with permission. (A) Copyright 2018, Elsevier; (B) Copyright 2020, American Chemical Society; (C) Copyright 2020, John Wiley and Sons.

proteins, enzymes, peptides, glycans, and polydopamine on metal implant surfaces to achieve remarkable biological effects.<sup>34,35,126–129</sup> Some studies have attempted to fabricate biological coatings with both antibacterial and osteogenic functions. Zhao *et al.*<sup>126</sup> designed a tremella-like coating, inspired by the phenomenon that tremella can absorb nutrients in the cooking liquid after vesiculation. Tremella-like ZnO was synthesised and applied together with collagen type I to form a coating on Ti implant surfaces (Fig. 11B). The coating could respond to yellow light irradiation, showing photocatalytic performance and providing antibacterial activity against *S. aureus* and *E. coli*. Under near infrared (NIR) light, the coating showed photothermal performance and promoted the osteogenic behaviour of rat BMSCs, as well as implant osseointegration in a rat model. This coating has potential to be applied for the treatment of peri-implant diseases, but its long-term stability and toxicity require further evaluation. Through a different approach, Chen *et al.*<sup>127</sup> immobilised an innovative fusion peptide containing an HHC36 antimicrobial sequence and a QK angiogenic sequence on the Ti implant surface, through a Cu(I)-catalyzed azide-alkyne cycloaddition process promoted by sodium borohydride. The coated implant could simultaneously enhance angiogenic behaviour in HUVECs and osteogenic activity in human BMSCs, as well as kill 96–99% of various bacterial strains (*S. aureus*, *E. coli*, *P. aeruginosa*, and MRSA) *in vitro*. When tested in rabbits, the coated implant could kill 99.63% of *S. aureus* in the infection

model, and it simultaneously promoted vascularisation and osseointegration in the non-infection model.

The concept of "osteo-immunomodulation" has gained increasing understanding and recognition of its importance in bone healing, with emerging strategies aimed at biomaterial modifications that modulate the host immune environment to provide anti-inflammatory and anti-infective properties.<sup>130</sup>

Recent studies have focused on producing new coatings to regulate macrophage activity on implant surfaces. Wang *et al.*<sup>34</sup> designed a bio-responsive, endogenously triggered, "bridge-burning" coating to regulate macrophage activity on the Ti surface, containing acetyl glucosaminide (acBSP) as a macrophage-activating glycan covalently crosslinked by alendronate (ALN) as a macrophage-killing bisphosphonate (Fig. 11C). The specific "bridge-burning" process used by the coating to regulate biological response is divided into two steps: (1) After implantation, the acBSP activates host macrophages to secrete pro-osteogenic cytokines, "turning on" to promote bone differentiation. (2) An increasing number of mature bone cells release ALP, cleaving the ALN-acBSP complexes from the implant surface which are preferentially internalised by inflammatory macrophages. This "turning off" induces macrophage apoptosis to suppress inflammation and promote healing. When tested in a rat osteoporosis model, the coated implant significantly enhanced bone-implant integration (88.4% higher contact ratio) compared to uncoated Ti. In another study, Shi *et al.*<sup>35</sup> used a biologically derived

component as an implant coating. Zymosan, a fungal component, was covalently grafted onto Ti implants to target and regulate macrophage activity. This polysaccharide coating could activate host macrophages through toll-like receptors (TLR) to produce osteogenic and angiogenic factors. *In vivo* testing in the rat femur indicated that a medium dose of zymosan in the coating ( $0.1 \mu\text{g mm}^{-2}$ ) could significantly improve osseointegration, without inducing severe inflammation as occurred with the high dose group.

Dopamine is the most abundant catecholamine neurotransmitter in the brain, which regulates various physiological functions of the central nervous system. Polydopamine (PDA) is a mussel-derived biomimetic material that forms by self-polymerisation from dopamine in a weakly alkaline environment. In 2007, inspired by the composition of adhesive proteins in mussels, Lee *et al.*<sup>128</sup> first reported that PDA could be coated on almost any solid material surface. Since then, coatings containing PDA have been widely explored and applied in various biomaterial modifications. PDA has several advantages as a biological coating material: (1) PDA can enhance the biocompatibility and hydrophilicity of the implant surface to promote adhesion and spreading of diverse cell types, including chondrocytes, endothelial cells, and BMSCs, even on superhydrophobic surfaces.<sup>131–133</sup> (2) PDA can enhance the osteogenic differentiation of mesenchymal stem cells (MSCs), by increasing their expression levels of osteogenic genes<sup>134</sup> or by promoting their calcium mineralisation through simulating the biological environment of the bone extracellular matrix.<sup>135</sup> Recently, Wang *et al.*<sup>129</sup> fabricated PDA coatings on polyetheretherketone (PEEK), Ti6Al4V and HA as representative polymer, metal and ceramic biomaterials, by immersing samples in dopamine solution at 37 °C for 24 hours. The PDA coating was found to significantly improve the hydrophilicity of the material surface, and directly enhance the adhesion, proliferation and osteogenic differentiation of rat BMSCs through FAK and p38 signalling pathways. An *in vivo* study in the rat femur using coated and uncoated PEEK as representative implant materials confirmed that the PDA coating significantly enhanced osseointegration and accelerated new bone formation.

### 3. Perspectives and outlook

Traditional Ti and Ti alloy implants have a low probability of long-term clinical success and may have a short service life. Secondary or even multiple surgical revisions may be required due to implant failure, particularly in younger patients.<sup>136</sup> Surface modification technology is evolving as an interdisciplinary field and a primary means for improving Ti implant osseointegration. Modification strategies could be inspired by a variety of bone-related structures and processes, including the inorganic and organic phases of bone, and its immune system and vasculature.<sup>42</sup> The outcomes of modification could involve improvements in surface hydrophilicity, antibacterial activity, antithrombotic property, corrosion resistance, durability,

biocompatibility, and even bioactivity. In this review, we introduced a range of surface modification methods for Ti implants from the recent literature, categorised them according to the primary mechanism for coating formation, and critically analysed their coating effects and applications. This knowledge synthesis is important for providing inspiration for further research to translate as well as extend the applications of surface modified implant materials in biomedical applications.

Each type of surface modification method discussed in this review has a different set of characteristics, which are associated with certain advantages and disadvantages as summarised in Table 3. It can be seen that some factors are present for all modification methods that may limit their ability to be adapted for large-scale manufacturing or clinical application. Research is being undertaken in this field to develop new coating techniques that may avoid or reduce the limitations of existing approaches. For instance, Song *et al.*<sup>137</sup> recently developed an alkalinity-activated solid-state dewetting technique (AAD), producing quasi-periodic nanoscale pimple-like  $\text{TiO}_{2-x}$  structures on the Ti6Al4V surface that cannot be formed through existing surface treatments. The modified surface showed strong interfacial effects including increased implant surface energy and hydrophilicity, and anti-inflammatory and pro-regenerative functions by modulating the cross-talk between osteoblasts and macrophages. The coated implants demonstrated a strong ability to induce osseointegration and osteo-immunomodulation in a rat tibial defect model.

Presently, it is challenging to combine the desirable characteristics of wear resistance, corrosion resistance, biocompatibility or bioactivity, and antibacterial activity in biomedical implants solely through surface modification technology. A primary future goal of this research area is to develop new multilayer composite coatings for biomedical implants with a combination of desirable properties, which can serve stably for a long period of time in the biological environment, by integrating surface modification with other technologies. As described earlier in this review, the combination of modification methods, such as thermal oxidation and AO, with additive manufacturing may provide new strategies for overcoming technological barriers.<sup>103,111,112</sup> Some of the early work on surface modification using additive manufacturing led to the development of porous Ti<sup>146,147</sup> or Ta<sup>148,149</sup> coatings with 3D interconnected porosity reaching 70%. With the assistance of computer-aided design (CAD) and surface optimisation technology, 3D-printed bone implants can now be fabricated which simultaneously possess gradient porous structures and functional surfaces, thereby greatly increasing their ability for osseointegration.<sup>150,151</sup> As an example, Mitra *et al.*<sup>152</sup> successfully fabricated Ti–tantalum (Ta) alloy implants with multiscale structural variations by 3D printing. With combined micro-porosity and nanoscale surface features, the Ti–Ta alloys showed superior biological performance compared with commercially pure Ti, and had great potential to be developed further as part of the next generation of implant materials. Reflecting the current status of research, this review focused on



Table 3 Comparison of different surface modification methods

Method	Advantages	Disadvantages
PST <sup>54–56</sup>	<ul style="list-style-type: none"> <li>– Good quality coating</li> <li>– Simple spraying operation</li> <li>– Wide selection of materials</li> <li>– High production efficiency</li> <li>– Low cost</li> </ul>	<ul style="list-style-type: none"> <li>– Molten particles may produce oxidation during spraying, forming uneven particles which damage the coating quality</li> <li>– Coatings have a certain porosity and may be prone to delamination</li> <li>– Poor bond strength of coating particles to the substrate may lead to dissemination of wear particles, causing histopathological response and possible implant failure</li> </ul>
PIII <sup>65,70</sup>	<ul style="list-style-type: none"> <li>– Good biocompatibility</li> <li>– Versatile and less hazardous process due to low temperature</li> <li>– Thin injection layer does not affect material properties</li> </ul>	<ul style="list-style-type: none"> <li>– Limited in achieving large-scale industrial applications</li> <li>– Chance of implanting undesired impurities in the plasma</li> <li>– May be difficult to achieve uniform ion implantation over a large area, or monitor precise dosage</li> </ul>
Laser cladding <sup>71,72,138</sup>	<ul style="list-style-type: none"> <li>– Can prepare coatings with a fine grained and compact structure</li> <li>– High bonding strength of the coating to the substrate</li> <li>– Wide selection of the cladding layer and substrates</li> <li>– Use of laser is clean and pollution-free, and easy to realise automation</li> </ul>	<ul style="list-style-type: none"> <li>– This technology has been rarely applied in clinical practice due to its short history of development, currently mostly applied in laboratory settings</li> <li>– Expensive equipment and complex process may limit its large-scale application</li> </ul>
SLA <sup>139,140</sup>	<ul style="list-style-type: none"> <li>– Can obtain surface micropores of different sizes</li> <li>– Technology is economical, convenient, and mature</li> </ul>	<ul style="list-style-type: none"> <li>– Little control over surface structure</li> <li>– Produces an irregular surface with great fluctuations in roughness values</li> </ul>
Thermal oxidation <sup>78,80,81,141</sup>	<ul style="list-style-type: none"> <li>– Coating can improve the mechanical properties of the substrate</li> <li>– Can be used to enhance the bonding strength between coating and substrate</li> <li>– A simple, convenient, and cost-effective method</li> </ul>	<ul style="list-style-type: none"> <li>– Oxide debonding occurs at high temperatures or oxidation times</li> <li>– Cracks can occur due to thermal stress and associated forces</li> <li>– Low compactness of the surface</li> <li>– Process has poor control</li> </ul>
Hydrothermal treatment <sup>142–144</sup>	<ul style="list-style-type: none"> <li>– Can be used to dope various elements into the surface</li> <li>– Crystalline surface structure can be directly obtained without high temperature sintering</li> <li>– High bonding strength between coating and substrate</li> </ul>	<ul style="list-style-type: none"> <li>– Lack of in-depth research on factors influencing crystal nucleation and growth</li> <li>– High cost due to requirements for high temperature and pressure conditions</li> <li>– Poor reproducibility and difficulty in realising mass production</li> </ul>
AO <sup>102,145</sup>	<ul style="list-style-type: none"> <li>– Can be used to prepare ordered TiO<sub>2</sub> tube structures or oxide films on material surface with good mechanical properties and corrosion resistance</li> <li>– Effective and simple electrochemical process with low cost</li> </ul>	<ul style="list-style-type: none"> <li>– Process produces substances that are harmful to the body and the environment</li> <li>– Change in processing conditions has a great influence on the result of surface modification, requiring strict control of conditions including temperature, current density, voltage, and electrolyte composition, hence making large-scale production more difficult</li> <li>– Coating has high surface energy, which may easily attract contaminants</li> </ul>
MAO <sup>31,116</sup>	<ul style="list-style-type: none"> <li>– Can be used to produce porous coatings with high hardness and corrosion resistance, that are firmly adherent on the substrate</li> <li>– Can be used for <i>in situ</i> coating formation</li> </ul>	<ul style="list-style-type: none"> <li>– Power supply consumes a large amount of energy</li> <li>– Lack of in-depth understanding of the ion film-forming reaction in the electrolyte, as well as factors influencing gradient film design</li> <li>– Ability of the technology to allow rapid large-scale preparation of stable implant coatings has not been confirmed</li> </ul>
LBL <sup>124,125</sup>	<ul style="list-style-type: none"> <li>– Widely used technique for preparing multilayered biological coatings</li> <li>– Applicable to a wide range of substrates and provides controllable coating thickness</li> <li>– Simple preparation process and low preparation temperature</li> <li>– Strong bonding force between coating and substrate</li> </ul>	<ul style="list-style-type: none"> <li>– Process is time-consuming and has low production efficiency, often requiring hours to days to make a batch of samples depending on the number of layers</li> <li>– Repetitive deposition limits the number of coating layers and hence coating thickness, which may not be suitable for certain applications</li> <li>– Repetitive deposition may cause cross-contamination of different stock materials used for coating fabrication</li> </ul>





surface modifications in the two-dimensional plane, while modifications of three-dimensional Ti scaffold surfaces have been rarely reported. In this emerging area, Rifai *et al.*<sup>153</sup> prepared a polycrystalline diamond coating (PCD) on three-dimensional Ti6Al4V scaffold implants by additive manufacturing and chemical vapour deposition. This implant was found to significantly resist bacterial attachment and improve osseointegration, providing new inspiration for patient-specific implant design.

In addition to the modification methods discussed in this review, micro-printing techniques that involve a combination of physical and chemical mechanisms can be used to produce micro-patterns on implant surfaces, constructing an ordered microenvironment for cell growth. By combining geometric micro-patterns with controllable size and chemical action, it is possible to achieve greater control over cell behaviour and produce specific cell morphologies and structures, such as spheroids.<sup>154</sup> Using the same principles, the generation of precisely controlled surface micro-patterns could enable implants to perform advanced functions. For instance, Gilabert-Chirivella *et al.*<sup>155</sup> etched surface microgrooves on a Ti implant using a modified 3D printer, and fixed chitosan to the bottom of the grooves without the need for covalent bonding, endowing the implant with antibacterial properties and with the ability to support preferential pre-osteoblast adhesion. Other techniques, including lithography, soft etching, electrochemical micro-machining, and laser etching, can be used to produce fine micro-patterns at smaller length scales. Among these, micro-contact printing is a low cost technique that provides high reliability and versatility, and has been widely applied in constructing cell culture platforms, biosensing platforms, and devices for other biological applications,<sup>156</sup> and it could be a useful technique to consider for implant coatings.

Recent research has seen an emergence of studies that combine physical, chemical and biological modification approaches to endow implant surfaces with complex biological functions. For instance, Li *et al.*<sup>157</sup> prepared a micro-nanostructured HA coating on Ti by MAO, and then loaded chitosan as an antibacterial agent through dip-coating to improve the surface biological and antibacterial properties. Drug loading has also been applied to Ti surfaces modified using various techniques to provide antibacterial function, involving mostly broad-spectrum antibiotics such as gentamicin, cephalothin, simvastatin, vancomycin, and tobramycin.<sup>158–162</sup> Nevertheless, implant surfaces with a biological component still face a number of challenges in their translation to clinical applications, including considerations around implant stability, reliability, disinfection, and storage. Further research is required to optimise such implant designs and gain a better understanding of the coating mechanisms and effects, active ingredients, toxicity limits, and possible biological interactions. As an example, multifunctional PDA-based coatings are now being increasingly studied to address infection and aseptic loosening of orthopaedic implants.<sup>163</sup>

Surface modification of Ti and its alloys can greatly improve the osseointegration and antibacterial properties of biomedical

implants, bearing great significance to the orthopaedics field and the health and well-being of patients. A variety of modification techniques can contribute to modulating the implant–bone interface and lead to better outcomes of osseointegration and/or osteogenesis.<sup>164</sup> Methods such as PST, SLA, and AO so far remain the most popular surface modification strategies since they do not require much expensive, customised, or highly specialised equipment, are controllable and reproducible, and allow efficient production for scale-up manufacturing.<sup>165</sup> For new implant coating techniques to be considered for clinical translation, their performance needs to be first verified in large animal models with clinically relevant skeletal defects and similar mechanisms and time frames of bone healing as humans. This, together with the costs of industrial upscaling and the cost-effectiveness of the coating method itself, constitutes a rate-limiting step for the majority of techniques in development. For these reasons, only a small number of new coating technologies have reached clinical trials and commercialisation, while most have remained in the preclinical phase.<sup>165</sup> Other studies have focused on developing and optimising the process of new techniques, such as more refined nanolithography, rather than undertaking extensive *in vitro* and/or *in vivo* investigations to understand the biological effects of the coating, or the mechanisms driving cell and tissue responses to the coating.<sup>166–168</sup> Looking into the future, it is of great scientific significance and practical value to study and combine various surface modification approaches, as well as to conduct physiologically relevant testing, for developing the next generation of orthopaedic implant coatings with the combined functions of inducing successful bone healing while preventing adverse reactions at the implant site.

## Conflicts of interest

There are no conflicts to declare.

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