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Influence of A site cation on nonlinear band gap dependence of 2D Ruddlesden-Popper A₂Pb_{1-x}Sn_xI₄ perovskites†

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Ruddlesden-Popper phase (RPP) perovskites of the form $A1_{n-1}A2_2B_nX_{3n+1}$ show great promise in stable photovoltaic (PV) devices or as light emitting diodes (LEDs). In particular, n = 1, monolayer RPPs of the form A₂BX₄ have also shown great progress as the passivating layer for 3D perovskite PVs. We study the electronic behaviour of mixed B site $A_2Pb_{1-x}Sn_xI_4$ where A = PEA or MA to investigate if the size of the A site cation indirectly affects the nonlinear band gap dependence of a 2D monolayer RPP layer. Both perovskites show a nonlinear behaviour primarily due to the relative energy difference between the Sn 5s-I 5p antibonding states and the Pb 6s-I 5p antibonding states, though the extent of the nonlinearity is reduced relative to 3D bulk perovskites due to the reduced dimensionality of these 2D structures. We also discuss the influence on band gap nonlinearity due to the structural distortions induced by the differences between the A site cation. This research presents a strategy to the design of mixed solid state 2D perovskites by tuning the structural parameters as well as metal and halide composition.

1 Introduction

Halide perovskites have shown great promise for photovoltaic devices within the past decade, with efficiencies rising from 2.8% in their inception in 2009¹ to 25.5%.² Due to the remarkable properties of these materials, such as extremely high absorption coefficients, the devices can be very thin and solution processed, leading to a very low cost alternative to currently available technologies, or as an option to integrate perovskites into existing systems, such as silicon-perovskite tandem cells, 3-5 but at the current stages are still somewhat limited by stability and the small size of devices.^{6,7} The majority of research to date has focused on 3D perovskites of the form ABX_3 , where $A = CH_3NH_3$ (methylammonium (MA)), $CH_2(NH_2)_2$ (formamidinium (FA)) or Cs, with B = Pb or Sn and X = I, Br or Cl.⁸⁻¹² The majority of success is generally found in materials where some or all of the sites are mixed, such as the triple cation system, 13 as this combines the strong properties of each system, while suppressing each systems weaknesses.

Replacing Pb as the B site is also very important, as Pb is toxic and water soluble. Sn is a less toxic and much more environmentally friendly alternative, 14 which has shown good promise due to the similar chemical properties of Sn compared

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to Pb. The ionic radius of Sn²⁺ for a coordination number of 6, as found in perovskites is 1.04 Å, compared to 1.19 Å in the case of Pb²⁺ so is a favourable substitution. ¹⁵ Tin based perovskites are generally more unstable in experimental conditions, mostly due to a lower oxidation threshold in Sn2+ to Sn4+, leading to decomposition of the perovskite. 16 Mixed Pb-Sn perovskites have been shown to perform well, with a good combination of power conversion efficiencies and much higher stability than pure Sn systems. 17 They also have a large range for band gap tuning, giving a particular promise for low band gap materials due to the pronounced band gap bowing observed in these materials. 12,18

A method to improve on the stability for perovskites is to reduce the dimensionality of the system. Ruddlesden-Popper phase (RPP) perovskites have the general form $A1_{n-1}A2_2B_nX_{3n+1}$ where n is the number of BX_6 octahedra layers between each layer of organic cations, $^{19-21}$ this is shown in Fig. 1. When n = 1, the RPP perovskite becomes 2D and the simplified form of A₂BX₄ can be used to describe the material system, where A is a spacer cation, and the RPP perovskite has no internal cation, as there is only one octahedral layer. 2D RPP perovskites have an increased band gap relative to 3D perovskites, due to the reduced dimensionality of the system. $^{22-24}$ 2D (n = 1) and quasi-2D (2 $\leq n \lesssim$ 6) RPP perovskites have been used as toplayers in 2D/3D configurations, allowing not only for high efficiencies, but also high stability. 25,26

In a 2D RPP perovskite, the inorganic framework (BX₄) has a relatively high dielectric constant, for example $\epsilon_r \approx 6.1$ in

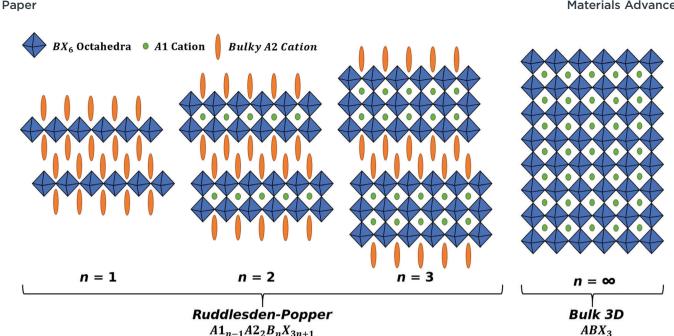


Fig. 1 RPP perovskites of the form $A1_{n-1}A2_2B_nX_{3n+1}$, visualised for n = 1, 2, 3 and ∞ .

(C₆H₅C₂H₄-NH₃)₂PbI₄, and is surrounded by a spacer cation with a much lower dielectric constant, for example $\varepsilon_r \approx 2.1$ in (C₆H₅C₂H₄-NH₃)₂PbI₄, this is also consistent for other RPP perovskites.²⁷ This leads to a phenomena called the dielectric confinement effect, leading to the 2D RPP perovskite having a high exciton binding energy of order hundreds of meV, and 320 meV for the example listed above. Different choices of spacer cations and inorganic frameworks can allow for tuning of this binding energy.²⁸ This high binding energy is significantly larger than mean thermal energy at room temperature (26 meV), so causes RPP devices to be excitonic. The high binding energies of RPP perovskites allow for great promise as light emitting diodes (LEDs), allowing for extremely efficient devices.^{29,30}

As 2D RPP perovskites have much larger band gaps than 3D bulk perovskites, the lower band gap given by replacement of Pb with Sn or Ge becomes even more valuable, due to shifting the band gap further into the visible regime, which makes them more effective light harvesters. Sn-based perovskites show low band gaps of 1.83 eV for n = 1 to 1.20 eV for $n = \infty$ in $(BA)_2(MA)_{n-1}Sn_nI_{3n+1}$. Mixing the B site of a perovskite has been widely used to partially replace lead, with a less toxic metal such as tin or germanium. This replacement has a notable effect on the band gap of the perovskite, which is comprised of a antibonding B s-5p valence band maximum, and a conduction band minimum, comprised an anti-bonding B cation s-5p valence band maximum (VBM) and a conduction band minimum (CBM) comprising mostly of B cation p orbitals. Due to the higher 5s level of Sn (-10.1 eV), relative to the 6s level of Pb (-11.6 eV), the VBM energy increases significantly. The conduction band minimum has a relatively shallower increase, due to the higher 5p energy level of Sn (-3.3 eV) relative to the 6p energy level of Pb (-3.1 eV), this causes a decreased band gap with increasing Sn content.32

Li et al. (2020) previously showed that a small inclusion of Sn in $PEA_2MA_{n-1}Pb_nI_{3n+1}$ increased broadband emission in n = 1 PEA_2PbI_4 by 23%, which reduced to <1% in n = 3 PEA_2 -MA₂Pb₃I₁₀. ³³ This increase in broadband emission is attributed to the structural distortions caused by the Sn defects causing a slight increase in VBM energy. In 3D bulk perovskites Goyal et al. (2018) investigated this effect for a 3D bulk perovskite of the form MAPb_{1-x}Sn_xI₃, finding a nonlinear dependence of band gap on increasing Sn content, which is attributed primarily to the relative energies of Pb and Sn states. 18 The bowing parameter, b, describes how nonlinear the band gap dependence is and is given by eqn (1).

$$E_{g}(x) = (1 - x)E_{g(x=0)} + xE_{g(x=1)} - bx(1 - x)$$
 (1)

The band gap nonlinearity for RPP solid solutions also varies for each mixed B site system, and appears especially dependant on the choice of A site, ranging from 0.351 to 0.918 eV. 22,34-36 The combination of these results is shown visually in the ESI.† In this study we examine the influence of the choice of A site cation on the nonlinearity of a n = 1 RPP perovskite, to distinguish between the effect of the relative energy levels of the components and the structural distortions induced by the choice of component. This allows for the design of different RPP materials with a tuneable bowing parameter and band gap.

2 Computational details

The structural and electronic properties for MA₂Pb_{1-x}Sn_xI₄ and PEA2Pb1-xSnxI4 are calculated from first principles using non-collinear density functional theory (DFT) employing the Perdew-Burke-Ernzerhof (PBE) generalized gradient approximation³⁷ implemented in the Vienna ab initio simulation program (VASP)38-41 and follows the same techniques used in our previous

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work, 22 where calculations with and without spin-orbit coupling (SoC) were shown to not contribute to the band gap bowing significantly.^{22,42-44} The structural analysis is completed using Atomic Similations Environment (ASE)⁴⁵ and post-processed using MatPlotLib, SciPy, Numpy and Seaborn. 46-49 Band structure plot fitting was performed in Python with the use of SciPy and NumPy. The resulting PDOS calculations are plotted using a Python script, which is available in the ESI.†

Initial position inputs for both MA2PbI4 and PEA2PbI4 are taken from Gebhardt et al.50 and is shown for PEA2PbI4 in the ESI,† for a triclinic P1 configuration, which was shown to have the lowest total energy and replicate the electronic properties well. The simplified unit cell makes it an ideal environment for examining the effects of mixing the B site cation. The unit cell of each calculation contains four sites for the B site ion; in this study we will study the permutations of Pb and Sn. The number of permutations can be described by eqn (2), where N is the total number of sites (in this case 4), m is the number of Sn ions used.

$$P = \frac{N!}{m! \ (N - m)!} \tag{2}$$

For a generic n = 1 RPP with a mixed B site of form $A_2B1_{1-x}B2_xX_4$, the permutations are shown in Fig. 2 where B1 and B2 can occupy positions 1, 2, 3 and 4 in each of the four octahedra around the unit cell. This results in one permutation for A2PbI4, four for $A_2Pb_{0.75}Sn_{0.25}I_4$, six for $A_2Pb_{0.5}Sn_{0.5}I_4$, four for $A_2Pb_{0.25}Sn_{0.75}I_4$ and one for A₂SnI₄. In the specific case of MA₂Pb_{0.5}Sn_{0.5}I₄ and PEA₂Pb_{0.5}Sn_{0.5}I₄, the permutations are denoted by the label 'Columns' or 'Battenberg', depending on the short range ordering of Pb and Sn ions in the four B ion sites.

3 Results and discussion

The band gap (eV) as a function of Sn content have been calculated with SoC for MA2Pb1-xSnxI4 and PEA2Pb1-xSnxI4 is shown in Fig. 3 and shows a bowing constant b of 0.40 eV and 0.35 eV, respectively; the band gaps have also been calculated without SoC and show a bowing constant b of 0.43 eV and 0.38 eV, respectively. The difference in band gap and bowing between the two structures can be attributed to the structural distortion induced by each A site cation. There is a significant difference in the calculated band gap of the x = 0.5 compositions between the 'Columns' and 'Battenberg' permutations, which is due to the decreased dispersion of the 'Battenberg' permutation due to the increased mean short range ordering of Pb and Sn pairs, where the 'Columns' structures have been previously shown to be slightly lower in energy, possibly suggesting behaviour similar to halide segregation or a preference to forming into 'Column' like structures during formation.²²

Whilst the B and X sites directly tune the band edges, the choice of A site cation influences the structural properties of the octahedra, which in turn, indirectly affects the band gap properties of the material. As shown in Fig. 5, in MA2PbxSnxI4, we calculate both larger Pb-I and Sn-I bond lengths relative to PEA₂Pb_xSn_xI₄, with Pb-I bond angles being consistently larger than Sn-I bond angles by around 0.05 Å due to the relatively smaller ionic radius of Sn relative to Pb. On the other hand in MA₂Pb_xSn_xI₄, we calculate both a smaller Pb-I-Pb and Sn-I-Sn bond angle relative to PEA2PbxSnxI4. Increasing the B-X bond lengths and B-X-B bond angles result in a lower overlap between the B and X site orbitals, which cause a lower dispersion in the VBM and CBM, increasing the band gap as Sn content increases. The bond angles in PEA₂Pb_xSn_xI₄ also have a lower variation

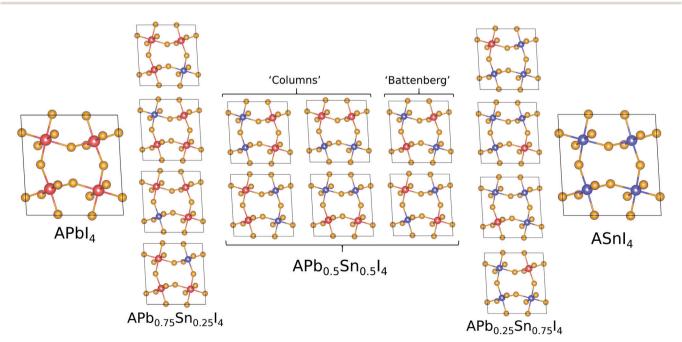


Fig. 2 Configuration of $A_2Pb_xSn_xX_4$ where x = 0, 0.25, 0.5, 0.75 and 1 and A = MA, PEA, where Pb is shown as red, Sn as blue and I as purple. When x = 0.5, we distinguish the difference between the short range ordering of Pb and Sn atoms with the labels 'Columns' and 'Battenberg'.

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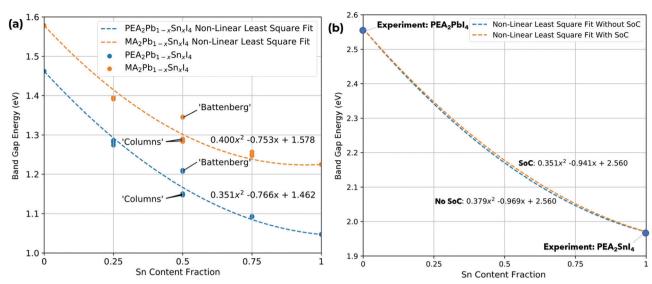


Fig. 3 Variation of (a) band gap as a function of Sn content (%) for $MA_2Pb_{1-x}Sn_xl_4$ and $PEA_2Pb_{1-x}Sn_xl_4$ using PBE + SoC. The bowing parameter is 0.400 eV and 0.352 eV, respectively, and (b) band gap as a function of Sn content (%) for $PEA_2Pb_{1-x}Sn_xl_4$ with and without SoC with fixed experimental band gap values for PEA_2Pbl_4 and PEA_2Snl_4 . Since PEA_2Pbl_4 and PEA_2Snl_4 .

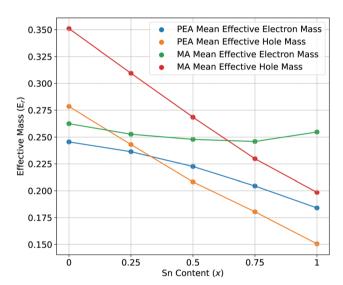


Fig. 4 The mean effective electron and hole masses for $PEA_2Pb_{1-x}Sn_xI_4$ and $MA_2Pb_{1-x}Sn_xI_4$ as a function of increasing Sn content.

between permutation, indicating a smaller difference between in-flexed and out-flexed octahedral bond angles. Overall, these B-X-B bond angles, due to the lack of internal A-site cation compared to 3D bulk perovskites, show significant tilting, this, alongside the reduced dimensionality of 2D perovskites, contributes to the high band gaps observed in this class of 2D RPP perovskites.

All band structure calculations for both $MA_2Pb_{1-x}Sn_xI_4$ and $PEA_2Pb_{1-x}Sn_xI_4$ are direct at the Γ point and show no Rashba splitting, which is consistent with reports for odd layered Ruddlesden–Popper perovskites. ^{50,54} A selection of band structures for each composition of MA_2PbI_4 , MA_2SnI_4 , PEA_2PbI_4 and PEA_2SnI_4 with SoC is shown in Fig. 6, and all remaining band

structures for all 16 permutations of both $MA_2Pb_{1-x}Sn_xI_4$ and $PEA_2Pb_{1-x}Sn_xI_4$, with and without SoC, are available in the ESI.† The inclusion of SoC is shown decrease the calculated band gap and significantly alter the charge carrier effective masses. Therefore, whilst SoC is not vital in describing the band gap bowing, it is vital for gathering certain accurate electronic properties. The composition of the valence band maximum is shared between Pb 6s–I 5p antibonding or Sn 5s–I 5p respectively, with the conduction band minimum consists of Pb 6p or Sn 5p states, which are shown by the projected colour of the band structure in Fig. 6, with the key given in the top left. This behaviour is consistent with literature for these structures, and does not deviate significantly for different compositions of increasing Sn content. 22,223,55

The mean effective electron and hole masses for PEA2 Pb_{1-x}Sn_xI₄ and MA₂Pb_{1-x}Sn_xI₄ as a function of increasing Sn content are shown in Fig. 4 are and a full description is shown in the ESI.† There is a decrease in both effective hole and electron masses for $PEA_2Pb_{1-x}Sn_xI_4$ with increasing x. In contrast, the effective electron mass of MA2Pb1-xSnxI4 stays constant while the hole effective mass decreases. There is a decrease in effective electron mass when comparing the use of SoC to without, as shown by the conduction band dispersion of band structures without use of SoC in the ESI,† to a value close to the effective hole mass; this has also been observed for Cs₂PbI₄ in Liao et al.⁵⁶ In a mixed Pb-Sn system, balanced electron and hole mobilities are shown for x = 0.5; which could cause longer exciton recombination lifetimes in the active device layer. When not including SoC, the conduction band minimum shows a high effective electron mass going from Γ -Y and a low effective electron mass going from Γ -X or vice versa. Whereas when including SoC, the effective masses are similar along both directions, but vary due to the difference

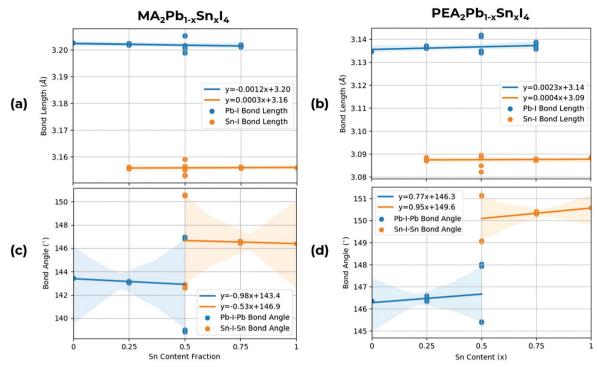


Fig. 5 Structural analysis showing mean Pb-I and Sn-I bond lengths for (a) $MA_2Pb_xSn_xI_4$, (b) $PEA_2Pb_xSn_xI_4^{22}$ and mean Pb-I-Pb and Sn-I-Sn bond angles for (c) $MA_2Pb_xSn_xI_4$ and (d) $PEA_2Pb_xSn_xI_4$. 2 A line of best fit is shown with a confidence interval supplied by Seaborn, 49 shown by the shaded area. For the Pb-I-Pb and Sn-I-Sn bond angles at x = 0.5, the difference is when the Sn atoms are along the a or b direction, flexing in or out of the unit cell.

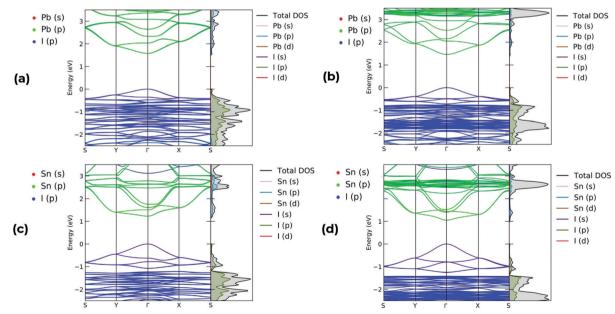


Fig. 6 Electronic band structures for (a) MA₂PbI₄, (b) PEA₂PbI₄, (c) MA₂SnI₄ and (d) PEA₂SnI₄.

in B-X-B angles caused by the different ionic radius of Pb and Sn. The effective masses can be visually understood by the curvature of the band edges shown in Fig. 6; thus the inclusion of SoC is extremely important for understanding the behaviour of the CBM in $PEA_2Pb_{1-x}Sn_xI_4$ and $MA_2Pb_{1-x}Sn_xI_4$.

The mean distance between pairs of Pb or Sn atoms in both $A_2Pb_{0.75}Sn_{0.25}I_4$ and $A_2Pb_{0.25}Sn_{0.75}I_4$ is similar for different permutations, but is significantly lower for $A_2Pb_{0.5}Sn_{0.5}I_4$ 'Battenberg' than $A_2Pb_{0.5}Sn_{0.5}I_4$ 'Columns'. The effect of the short range ordering is most notably observed in $PEA_2Pb_{0.5}Sn_{0.5}I_4$ when SoC is not used; as shown in Fig. 3 the band gap varies by

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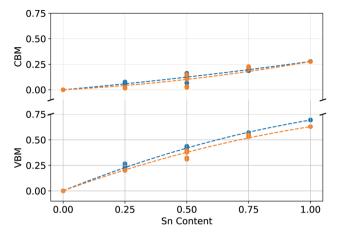


Fig. 7 VBM and CBM position as a function of Sn content for (blue) $PEA_2Pb_{1-x}Sn_xI_4$ and (orange) $MA_2Pb_{1-x}Sn_xI_4$

over 0.1 eV. In the case where the short range ordered atoms are repeated in the x direction, a CBM band edge is shared by Pb and Sn along the Γ -X direction, and is of Sn character along Y- Γ ; this is shown in the ESI.† Whereas in the case that the short range ordered atoms are repeated in the γ direction, the CBM band edge is mixed along $Y-\Gamma$, with the Sn state occupying slightly lower energies along Γ -X. This is due to the Pb and Sn atoms aligning along either y or x direction for the Y and Xpoints specifically, with either direction having a different B-X-B bond angles, flexing towards the centre of the unit cell and away from the centre. The case of the 'Battenberg' structure shows mixed Pb-Sn character on both sides of the CBM, as shown in the ESI,† and has a band gap larger than either 'Columns' permutation. As shown in Fig. 6, this behaviour is not observed in the case of using SoC. In terms of characterisation, the inclusion of SoC is a more complete method. This effect is important to consider, as the method of not including SoC is often chosen to study RPP systems as it is much less computationally expensive and gives band gaps closer to experimental data for lower order exchange-correlation functionals. This is due to the cancelling out of the overestimation

due to exchange-correlation functional and the band gap decrease given by SoC; this is described in Rappe et al. and Zhao et al. 50,54 The lack of dependence of band gap on short range ordering may be due to the increased CBM dispersion when using SoC.

As DFT calculations can miscalculate the band gap of perovskite materials, a method to calibrate the results to experimental data is to fix the boundary conditions for x = 0 and x = 1 in Fig. 3 to the experimental values of PEA₂ $PbI_4 = 2.56 \text{ eV}^{51,52}$ and $PEA_2SnI_4 = 1.97 \text{ eV}.^{53}$ This was described in Goyal et al. 18 and is shown in Fig. 3(b) for PEA₂Pb_{1-x}Sn_xI₄. This also shows that whilst SoC does affect the linear term due to the atomic number (Z) of Pb and Sn. The SoC term scales roughly with Z^2 , causing a larger band gap difference in PEA₂PbI₄ compared to PEA₂SnI₄. Based on these results, SoC is not the root cause of the non-linear term; so a chemical route to non-linearity is studied by analysing the partial density of states.

The band gap (eV) as a function of Sn content (%) for all 16 permutations of PEA₂Pb_{1-x}Sn_xI₄, with and without SoC, is shown in the ESI,† showing the difference between PBE and PBE + SoC; inclusion of SoC reduces the band gap in both 2D RPP perovskites, particularly for increased Pb content. We note that the bowing parameter is relatively similar with or without SoC, with MA2Pb1-xSnxI4 being 0.40 eV and 0.43 eV and PEA₂Pb_{1-r}Sn_rI₄ being 0.35 eV and 0.38 eV, respectively. The choice of A site has a small effect on the nonlinearity of the system, suggesting the structural behaviour of the octahedra is not the primary source of nonlinearity, but does contribute, which is likely primarily due to the increased B-X in $MA_2Pb_{1-x}Sn_xI_4$, causing an increased band gap, alongside the decreased B-X-B bond angles. This is consistent with results from Goyal et al. (2018)18 for 3D bulk perovskites.

To understand the effect of the relative difference in energies of the Pb and Sn states, we have calculated the PDOS for each structure, with the full dataset shown in the ESI.† In Fig. 8 we show the VBM and CBM composition of (a) MA₂Pb_{0.5}Sn_{0.5}I₄ 'Columns', (b) MA₂Pb_{0.5}Sn_{0.5}I₄ 'Battenberg', (c) PEA₂Pb_{0.5}Sn_{0.5}I₄ 'Columns' and (d) PEA2Pb0.5Sn0.5I4 'Battenberg'. In the VBM,

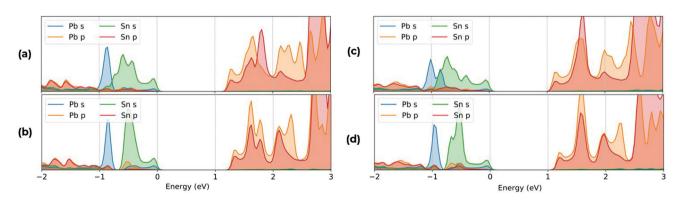


Fig. 8 Plot of PDOS relative to the calculated Fermi energy highlighting the VBM and CBM composition for (a) MA2Pb0.5Sn0.5l4 'Columns', (b) $MA_2Pb_{0.5}Sn_{0.5}I_4$ 'Battenberg', (c) $PEA_2Pb_{0.5}Sn_{0.5}I_4$ 'Columns'²² and (d) $PEA_2Pb_{0.5}Sn_{0.5}I_4$ 'Battenberg'. ²² The VBM consists mostly of I 5p, Sn 5s and Pb 6s, whereas the CBM consists mostly of Pb 6p and Sn 5p states. The Sn 5s states occupy higher energy than the Pb 6s states in the VBM, contributing to the decrease in band gap

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there is a notable difference between the relative energies of the Pb and Sn states, which is due to the higher Sn 5s state relative to the Pb 6s atomic energy states, which anti-bond with the I 5p states. Due to the reduced dispersion of the Pb and Sn states, there is an increased band gap in the 'Battenberg' permutations relative to the 'Columns' permutation, as discussed in Fig. 3.

Analysing the relative position of the VBM and CBM relative to a deep lying reference state is shown in Fig. 7. The VBM increases at a larger rate than the CBM with increasing Sn content, which aligns well to the relative Pb 6s and 6p energy levels to the Sn 5s and 5p levels, as the Pb 6s and Sn 5s states are closer in energy than Pb 6p and Sn 5p. This confirms that the nonlinearity of the band gap is primarily a function of the combination of energy of B site states, which primarily drives a VBM increase, whilst the structural influence of the A site cation is the difference between the two systems, causing a lesser change to the nonlinearity of the band gap as a function of increasing Sn content.

4 Conclusions

The band gap as a function of increasing Sn content in MA₂Pb_{1-x}Sn_xI₄ and PEA₂Pb_{1-x}Sn_xI₄ was compared using PBE + SoC level DFT calculations and both materials showed a nonlinear band gap dependence with increasing Sn content, with a band gap bowing of 0.400 eV and 0.352 eV respectively. The primary origin of the nonlinearity in both systems is shown to be largely due to the relative energies of the VBM states, due to the higher energy of the Sn 5s states compared to Pb 6s. The difference in nonlinearity between the two systems is attributed to the structural distortions induced by the A site cation, which increase the B-X bond lengths and decrease the B-X-B bond angles in $MA_2Pb_{1-x}Sn_xI_4$ relative to $PEA_2Pb_{1-x}Sn_xI_4$, which cause an increased band gap as well as bowing parameter. The short range ordering of Pb and Sn atoms is also discussed, especially with relation to the use of SoC; the calculated band gap is shown to be dependant on this, due to the increased dispersion of Pb and Sn states in the 'Columns' permutations relative to 'Battenberg' permutations. This research underlines understanding of mixed solid solution 2D RPP perovskites, and paves the way for improved future compositional engineering, as the combination of the VBM states as well as the structural distortion of the metal-halide octahedras can be varied to tune both the band gap and the bowing parameter, allowing for the opportunity of a low band gap RPP structure for photovoltaic applications or a high band gap RPP structure for blue LED applications.

Conflicts of interest

There are no conflicts to declare.

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