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## Improvement of the photochromism taking place on ZnO/MoO<sub>3</sub> combined material interfaces

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Recently, we discovered a huge photochromic effect for ZnO/MoO<sub>3</sub> powder mixtures compared with the corresponding single oxides. The former study focused on the coloring efficiency after UV-light irradiation and demonstrated that the pre-existing electrons in the conductive band of ZnO are of huge importance to the photochromism efficiency. Herein, the creation of the “self-closed Schottky barrier” at the solid–solid interfaces between the two oxides, associated with the full redox reaction at the origin of the photochromic properties, was modulated by (i) doping ZnO with Al<sup>3+</sup> ions, (ii) annealing the powder mixture under low p(O<sub>2</sub>) atmosphere, and (iii) synthesizing our own ZnO nanoparticles and MoO<sub>3</sub> particles using a polyol process. The characterization of the colouring effect under irradiation as well as the self-bleaching process allowed shedding light on this very complex photochromic mechanism. The coloring and bleaching efficiency (i.e. possibility to darken to more or less deep blue and to come back to the virgin material optical properties without any deterioration) depends upon multiple interconnected parameters controlling either the particle size and the interface areas between MoO<sub>3</sub> and ZnO oxides or the number of pre-electrons in conduction bands of ZnO and MoO<sub>3</sub> oxides. By correctly interpreting the ins and outs of the photochromism taking place at the created Schottky barriers in these binary ZnO/MoO<sub>3</sub> mixtures, the exchange of the electrons and the oxygen anions through this Schottky interface can be manipulated in order to optimize the huge photochromism phenomenon.

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## 1. Introduction

The photochromic material family can be divided into organic compounds, such as spiropyrans, azobenzenes, and diarylethenes,<sup>1–4</sup> and inorganic ones, encompassing transition metal oxides, metal halides and rare earth complexes.<sup>5–8</sup> For inorganic materials, photochromic phenomena are essentially linked to a photo-induced redox process, but commonly the photochromic contrast remains poor, while low power irradiation (equivalent to sun light irradiation in the UV region) is used. With respect to their potential applications, photochromic displays are considered as one of the most promising solutions to enhance light control and to reduce energy consumption in buildings through their coating as thin films on smart windows.<sup>9–11</sup>

Research on inorganic photochromic materials is intense and very recently, numerous inorganic photochromic powder

materials have been reported.<sup>12–15</sup> Inorganic photochromic materials most often correspond to transition metal oxides corresponding to large gap (between 2.5 and 3.5 eV) semiconductors such as MoO<sub>3</sub>,<sup>16–22</sup> Nb<sub>2</sub>O<sub>5</sub>,<sup>23,24</sup> V<sub>2</sub>O<sub>5</sub><sup>25,26</sup> and WO<sub>3</sub>.<sup>27–36</sup> MoO<sub>3</sub>, as a single oxide, exhibits the best photochromic effect in terms of contrast and kinetics. The mechanisms governing the photochromic effect in these transition metal oxides are complex and not fully understood yet, with even a few controversies remaining on this topic. However, it is largely accepted that the photochromic mechanism is induced by irradiation wavelengths allowing a transfer across the semi-conductor forbidden bandgap, with electrons from the valence band (VB) having enough energy to be excited to the conduction band (CB), thus creating an exciton pair. This occurs for light energy in the UV range for MoO<sub>3</sub>. Charge depletion can then take place when atmospheric water is oxidized by the VB holes.<sup>5</sup> Protons can thus be integrated into the crystal structure (bronze creation) and the remaining unpaired electrons are therefore trapped leading to the reduced Mo<sup>5+</sup> species. The blue color results from the intervalence charge transfer (IVCT) between Mo<sup>5+</sup> and Mo<sup>6+</sup> species, with the maximal energy located at the visible-infrared border.

Recently our team has demonstrated that manually ground 50/50 wt%, ZnO/MoO<sub>3</sub> mixtures exhibit a huge photochromic

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effect in comparison with the single  $\text{MoO}_3$  oxide. By analyzing the incidence of various  $\text{ZnO}$  oxide pre-treatments in different atmospheres (air, argon or argon-hydrogen), some drastic changes were observed. We evidenced that the creation of  $\text{ZnO}$  oxygen-poor surfaces is a key element to achieve an efficient Schottky barrier at the  $\text{ZnO}/\text{MoO}_3$  interface. Hence, the full mechanism was described as the succession of three main steps. First, the creation of photo-exciton pair in  $\text{ZnO}$  semi-conductor takes place. The oxygen anion holes on the  $\text{ZnO}$  surface act as reducing species of the  $\text{MoO}_3$  oxide. This oxide exhibits purely anionic surfaces, which is of high importance. Secondly, the oxygen transfers from  $\text{MoO}_3$  to  $\text{ZnO}$  surface are compensated by the injection of the depleted electrons from the  $\text{ZnO}$  CB to the  $\text{MoO}_3$  CB. In short, the as-reduced  $\text{MoO}_3$  oxide is colored from the IVCT between the  $\text{Mo}^{6+}$  ions and the newly occurring  $\text{Mo}^{5+}$  ions; on the other side of the barrier, the holes created in  $\text{ZnO}$  VB are recombined with the oxygen anions and/or electrons of the  $\text{MoO}_3$  surfaces (trapped in surface oxygen vacancies).

Beyond this important discovery in the field of photochromic materials, we developed in the present work several ways to act on the photochromic efficiency: high intensity of the coloring, high kinetics of coloring and possibility of bleaching in rather short times to address the potential reversibility of the properties. In the first stage, commercial and home-made (by using a polyol process)  $\text{ZnO}$  and  $\text{MoO}_3$  oxides with different morphologies and different pre-existing electrons inside their CBs are compared in terms of optical properties. This step involves the modulation of the oxygen anion/cation ratio and/or the introduction of aliovalent doping ions into the  $\text{ZnO}$  matrix. In the second stage of our protocol, the characterization of the coloring effect under UV irradiation is investigated for different mixtures obtained from various kinds of  $\text{ZnO}$  and  $\text{MoO}_3$  oxides. Finally, in the last stage, the self-bleaching efficiency and its kinetics with a certain speed, reflecting the ability to come back to the virgin material optical properties without any deterioration, are compared for the distinct above-mentioned situations.

## 2. Experimental

### 2.1. Synthetic procedures

$\text{ZnO}$  and  $\text{MoO}_3$  commercial samples are provided by Alfa Aesar: CAS-1314-13-2, D03Y023 and CAS-1313-27-5, E01S050.

**MoO<sub>3</sub> and (doped-)ZnO from polyol route preparation.** Following chemical reagents were purchased from Sigma Aldrich and used as received. Zinc(II) chloride (CAS-7646-85-7, 450111), aluminium(III) anhydrous chloride (CAS-7646-70-0, 449548) and  $(\text{NH}_4)_2\text{MoO}_4$ (VI) ammonium molybdate (CAS 12054-85-2, 09878) were used as zinc, aluminium and molybdenum sources, respectively. Diethylene glycol (DEG) is used as a solvent.  $\text{Zn}(\text{Cl})_2$  or  $(\text{NH}_4)_2\text{MoO}_4$  was added to 100 mL of DEG to reach a molar concentration of 0.1 mol L<sup>-1</sup>. For the preparation of Al-doped  $\text{ZnO}$ , aluminium chloride was added to the zinc solution in stoichiometric proportions considering the  $\text{Zn}_{0.97}\text{Al}_{0.03}\text{O}$  composition. The suspensions were heated at 180 °C with continuous stirring and refluxed for 3 h. At the end of

the reaction, solid precipitates were obtained. The precipitates were washed and centrifuged several times with ethanol to remove any trace of solvent and dried in an oven at 80 °C.

### 2.2. Characterization techniques

**Structural characterization.** The commercial  $\text{ZnO}$  and  $\text{MoO}_3$  oxides as well as the  $\text{ZnO}$ , Al-doped  $\text{ZnO}$  and  $\text{MoO}_3$  particles obtained using a polyol process were characterized by X-ray diffraction analysis (Pananalytical X'Pert Pro instrument,  $\text{Cu K}\alpha_1 = 1.54056 \text{ \AA}$ ,  $\text{K}\alpha_2 = 1.54439 \text{ \AA}$  and  $2\theta$  range from 8° to 80°).

**Morphological characterization.** The morphology of the powders was studied by scanning electron microscopy (Quanta 3D FEG, working at a 5 kV acceleration voltage).

**Optical properties.** Diffuse reflectance spectra were recorded at room temperature from 200 to 2500 nm on a Cary 5000 spectrophotometer using an integration sphere (spectral resolution: 1 nm and band length: 2 nm). Irradiation was performed using a UV Hg-lamp (Vilbert Lourmat 8.LC) at 365 nm. The sample is placed at 10 cm from 8 W tube: the power per surface unit received by the sample is equal to 5.6 W m<sup>-2</sup>, *i.e.* irradiance close to the UV-daylight irradiance in London.

## 3. Results and discussion

### 3.1. Schematic overview of the study

While a huge photochromic effect was evidenced in our earlier work<sup>37</sup> for  $\text{ZnO}/\text{MoO}_3$  powder mixture, even under low power UV-irradiation, thanks to the creation of the “self-closed Schottky barrier” at the solid-solid interfaces, an improvement of this effect in terms of its modulation may still be required. Indeed, although this combined material exhibits both an exceptional colouring efficiency in terms of intensity and reversibility, with bleaching occurring in dark conditions tuning this complex photochromic effect with respect to its intensity, cycling ability and colouring/bleaching speed would be of interest for broadening its application. To reach this objective, it is important to note that varying the  $\text{ZnO}$  and  $\text{MoO}_3$  morphologies or the surface chemistry using soft-chemistry synthesis routes or doping the  $\text{ZnO}$  with  $\text{Al}^{3+}$  cation to inject more electrons in the  $\text{ZnO}$  CB should favour the photo-redox mechanism. The different parameters, which are supposed to have an impact on the photochromic effect are schematized in Fig. 1. It is possible to consider at least five parameters, which could play a part and influence this phenomenon: (i) the irradiance of the lamp, (ii) the relative bandgap positioning of the two single oxides, which are in contact, (iii) the occurrence of free electrons, especially in  $\text{ZnO}$ , which is the electron donor, (iv) the interface area (Schottky barrier area) between  $\text{MoO}_3$  and  $\text{ZnO}$ , and (v) the surface chemistry (given that it was demonstrated that the  $\text{ZnO}$  surface must be oxygen deficient in order to work as an efficient oxygen anion acceptor).

The UV irradiance was fixed by keeping the same source and the same positioning for the sample and the lamp. Unfortunately, the four remaining parameters are clearly inter-correlated. For illustration, changing the synthesis route induces a modification of the morphology for the home-made metal oxide in such a way



### Photochromism on Schottky barrier

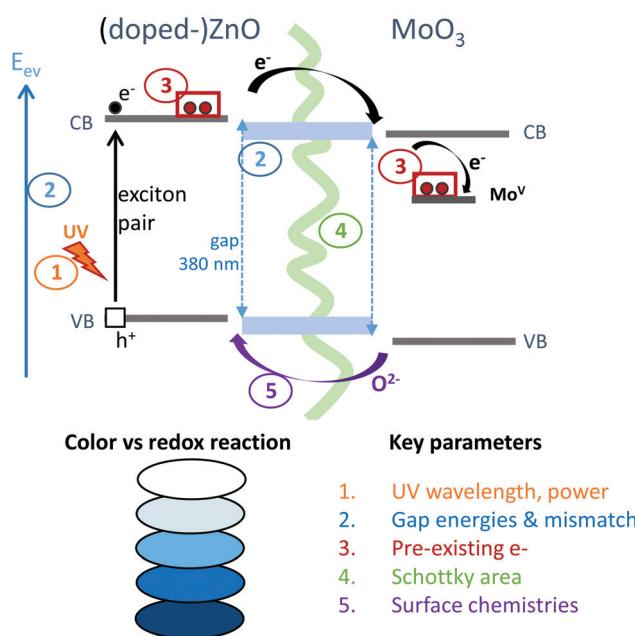


Fig. 1 Scheme of the different parameters, which could act on the photochromic (colouring) efficiency of the  $\text{ZnO}/\text{MoO}_3$  combined material.

that the contact areas between the two compounds can be greatly tuned. The surface chemistry can be impacted by the polyol route leading to the reductive behaviour of the polyol solvent to oxygen-deficient surfaces for metal oxides.<sup>38–40</sup> In turn, the defect surfaces act like intrinsic n-type defects and are associated with the occurrence of supernumerary free electrons in the CB. Finally, bandgap energies are thus also modified.

In the present paper, a comparison was performed between commercial  $\text{ZnO}/\text{MoO}_3$  mixtures and the same system involving instead oxides originating from our synthesis *via* the polyol route. This latter allows the preparation of nano-crystallites and the efficient doping with  $\text{Al}^{3+}$  of  $\text{ZnO}$ .<sup>38</sup> Such as-prepared compounds from the polyol route can furthermore be used as raw materials (precipitates recovered after washing) or after post-annealing treatments under poor oxygen partial pressure to obtain oxygen-deficient surfaces, at intermediate temperatures (500 °C for  $\text{ZnO}$  and 400 or 600 °C for  $\text{MoO}_3$ ). From the comparison of different mixtures, we will search for an interpretation of the photochromic efficiency evolution in view of distinguishing the key parameters.

On an applicative point of view, the aim is obviously to achieve a mixture with maximal photochromic efficiency. Therefore, the commercial and prepared  $\text{ZnO}$  and  $\text{MoO}_3$  oxides were first studied in view of specifically characterizing their structural, and morphological aspects along with their optical properties. The mixtures using different combinations were characterized for a second time, by comparing their colouring efficiency on one hand, along with their relative ability for the bleaching process under dark conditions on the other hand.

### 3.2. Structural, morphological and optical characterization of different $\text{ZnO}$ single oxides

Structural characterization: four  $\text{ZnO}$ -type samples were used in the following study: the commercial powder: called Z1, the three samples obtained using the polyol process, undoped and non-annealed  $\text{ZnO}$ : Z2, doped and post-annealed sample under argon with  $\text{ZnO}_{0.97}\text{Al}_{0.03}\text{O}$  composition: Z3, and doped and non-annealed  $\text{ZnO}_{0.97}\text{Al}_{0.03}\text{O}$ : Z4. Z1 and Z2 were already deeply investigated in a previous article.<sup>37,38</sup> Undoped and doped samples both exhibit X-ray diffractograms with all peaks being indexed in the Wurtzite system. The aluminium substitution for zinc does not produce any significant peak shift (in 2θ position) or change in peak intensity. We can simply observe the peak width reduction between non-annealed and annealed samples, obviously associated with a crystallite size increase, as illustrated in Fig. 2. Indeed, from these X-ray diffraction patterns, the crystallite average size, which can be roughly estimated by using the Debye-Scherrer law, is about 100 nm for the Z1 commercial powder, 7–8 nm for Z2 and Z4 non-annealed samples obtained using the polyol process and 25 nm for the Z3 annealed sample originating from the polyol process.

**Morphological characterization.** The morphologies of the four samples are illustrated from SEM investigations (Fig. 3).

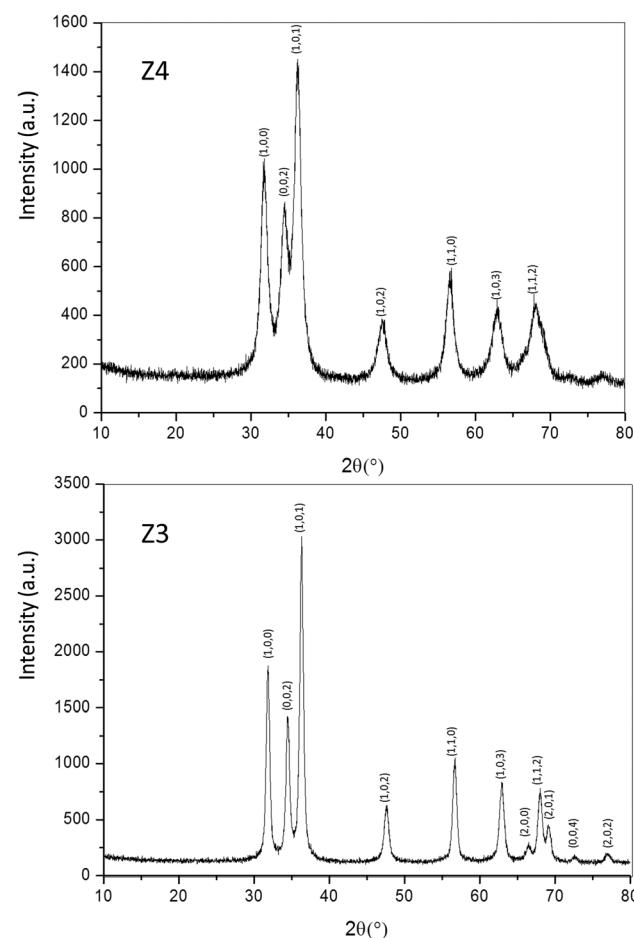


Fig. 2 X-ray diffraction patterns of Z4 and Z3 samples.



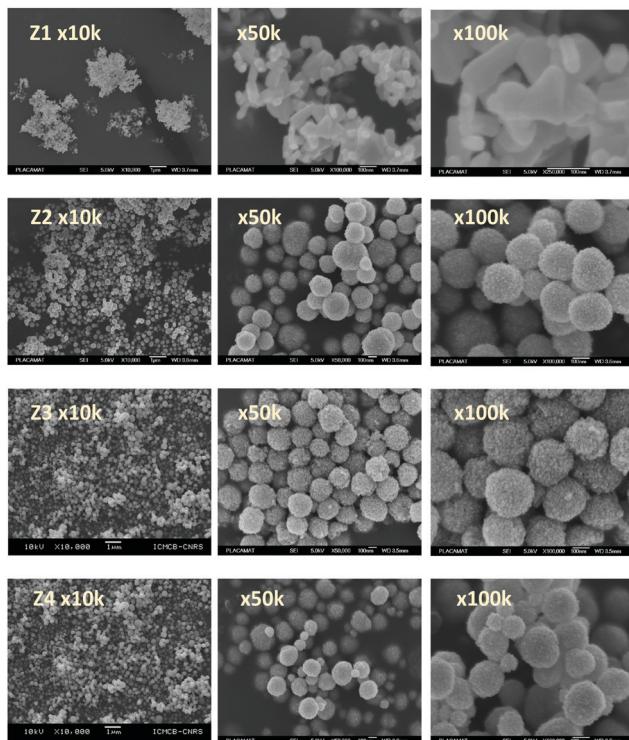


Fig. 3 SEM micrographs of Z1, Z2, Z3 and Z4 samples.

The Z1 commercial powder is constituted of sub-micrometric crystallites, with quite isotropic shapes but with a large particle size distribution width, ranging on one decade, with crystallite diameter ranging from 20 nm to about 200 nm. The whole set of Z2, Z3 and Z4 samples exhibits a similar morphology. ZnO-type particles are made of spherical aggregates of 10–20 nm primary crystallites. The nanocrystallites are thus packed to form spherical particles (aggregates) with a quite narrow distribution width and with an average size of about 200 nm. Thanks to this narrow size distribution of the polycrystalline aggregates and due to their perfectly spherical shapes, it can be noted that the powder forms a close-pack after the centrifugation steps. Iridescence phenomenon is then observed (with coloured reflections from purple to green, depending on the observation direction, not illustrated here). The highest magnification micrographs reveal that the spherical aggregates possess less rough surfaces for the non-annealed samples, Z2 and Z4, than that for the annealed sample, Z3. This is an indication of a sintering effect starting to occur, while a post-annealing treatment at 500 °C is applied; the spherical aggregates are thus presumed to be consolidated with bigger crystallites in Z3 by the post-annealing step. Furthermore, the annealing treatment allows the full evaporation of the polyol traces that can remain on the non-annealed aggregate surfaces.

**Optical properties.** The diffuse reflectance spectra and the Kubelka–Munk transforms ( $K/S = (1 - R)^2/2R$ , with  $R$  the reflectance) of the ZnO powder for the four studied samples (Fig. 4a and b) give indications on the efficiency observed in the case of the polyol synthesis route used here in order to obtain

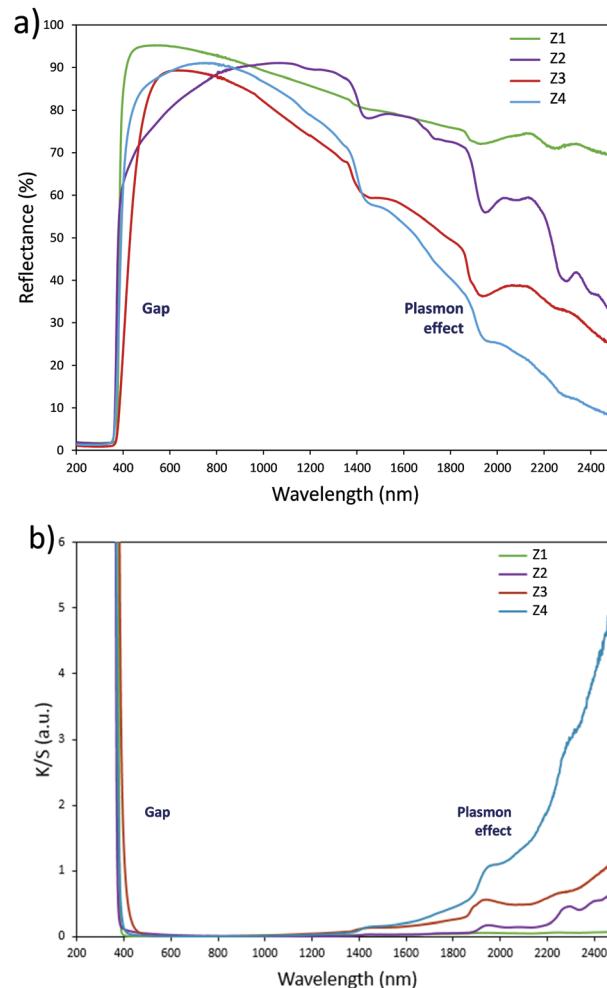


Fig. 4 (a) Reflectance spectra and (b)  $K/S$  Kubelka–Munk transforms of Z1, Z2, Z3 and Z4 samples.

infrared selective absorbers. Indeed, each compound is characterized by a near total UV absorption (over the ZnO bandgap), and less than 20% of absorption in the 400–800 nm visible range. On the contrary, in the 800–2500 nm near infrared region, the absorption efficiency is known to be linked to plasma frequency. Therefore, in this area the charge carrier concentration (free electron concentration inside the ZnO CB) is significantly varied between the different samples. The Z1 sample exhibits nearly no infrared absorption. The Z2 sample presents a  $K/S$  ratio of about 0.6 at 2500 nm. This last observation illustrates the fact that polyol synthesis leads to the preparation of oxygen-deficient surfaces (*i.e.* intrinsic n-type ZnO semiconductor). The Z4 sample displays a  $K/S$  ratio nearly equal to 5 at 2500 nm thus demonstrating that  $Al^{3+}$  doping is efficient to create a matrix with excess free electrons in comparison with the undoped sample (*i.e.* extrinsic n-type ZnO semi-conductor). The Z3 sample is characterized by an intermediate  $K/S$  ratio roughly equal to 1.0 at 2500 nm, thus indicating that post-annealing treatment, even performed under low oxygen partial pressure tends to reduce drastically the oxygen-deficiency of ZnO. This surface modification neutralizes the free electron activity. However,



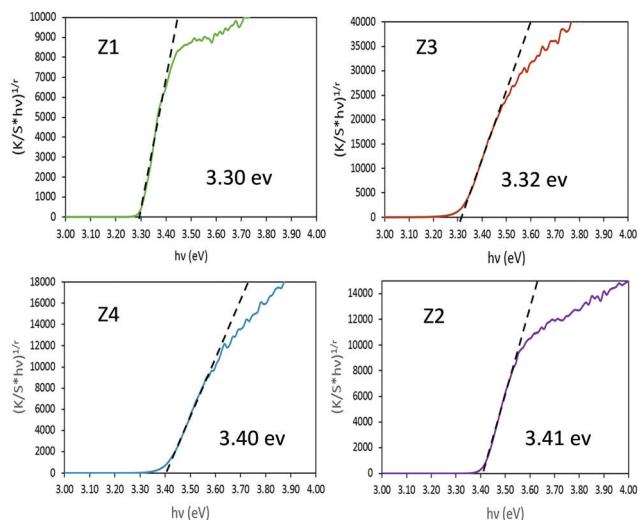


Fig. 5 Tauc plots of Z1, Z2, Z3 and Z4 samples.

the doped and post-annealed sample, Z3, still exhibits a higher charge carrier concentration than the undoped and non-annealed Z2 sample.

In order to extract the bandgap energy, with adequate accuracy from the optical measurements, Tauc plots, corresponding to  $(K/S \times hv)^{1/2}$  vs.  $hv$  are depicted in Fig. 5 for the four  $Zx$  ( $x = 1-4$ ) samples. By comparing the results observed for the four different zinc oxides, no strict correlation could be observed between the near-infrared absorption efficiency and the bandgap energy. However, one can notice that the crystallite size seems to play a crucial role in the bandgap energy tuning. Indeed, for samples with bigger crystallites (Z1 and Z3) a value of 3.3 eV is observed, while for the two other samples characterized by smaller crystallite size (Z2 and Z4) it amounts to 3.4 eV. The standard bandgap value given in the literature,<sup>41,42</sup> for ZnO in its Wurtzite crystalline form, is about 3.3 eV. Hence, a shift of the bandgap positioning (blue shift) is observed only for the two nanometric materials (originating from the polyol process and without the use of any post-annealing treatment). Otherwise, no clear effect of the  $Al^{3+}$  doping is visible in these materials.

### 3.3. Structural, morphological and optical characterization of different $MoO_3$ single oxides

**Structural characterization.** Three  $MoO_3$  samples were used in the following study: the commercial powder: M1 and the two samples obtained from the polyol process, respectively, annealed after synthesis at 400 °C (M2) and 600 °C (M3). All samples exhibit X-ray diffractograms compatible with a Wurtzite-type unit cell. First, the non-annealed sample (called M0 sample), exhibiting a very deep blue colour feature of an advanced  $Mo^{6+} \rightarrow Mo^{5+}$  reduction level, is amorphous (Fig. 6, top). Interestingly, it can be noted that the M2 and M3 X-ray patterns are characterized by a preferential orientation, especially visible for the M2 sample (Fig. 6, bottom). Indeed, the (h00) X-ray diffraction peaks are nearly non-observable being large with low intensities. The as-prepared  $MoO_3$  oxides are thus platelet shaped.

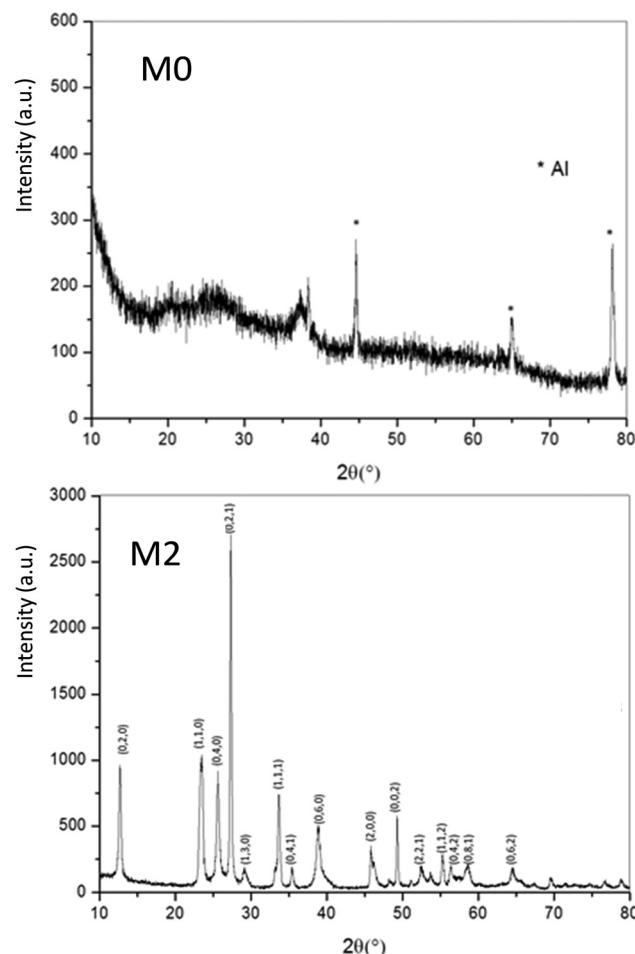


Fig. 6 X-ray diffraction patterns of M0 and M2 samples.

**Morphological characterization.** The morphologies of the three  $MoO_3$  samples are illustrated from scanning electron microscopy (SEM) investigations (Fig. 7). The M1 commercial powder is made of over-micrometric crystallites, with an anisotropic platelet shape. M2 and M3 samples also exhibit a platelet shape, with platelets presenting quite the same size ratio (thickness/face area), but with largely lower size. M2 sample, which was prepared using a post-annealing temperature of 400 °C, is characterized by platelets with a thickness of about 50 nm and a surface area lower than  $\mu m^2$ , whereas the M3 sample, obtained using 600 °C post-annealing treatment, shows thicker platelets (100 nm thick), with also larger platelet surfaces (about the  $\mu m^2$ ). Accordingly, the three  $MoO_3$  samples with typical size parameters of three different decades (10  $\mu m$ , 1 mm and 100 nm for M1, M3 and M2 samples, respectively), possess perfectly adequate morphology to investigate its impact on the photochromism of ZnO/ $MoO_3$  mixtures.

**Optical properties.** The Kubelka–Munk transforms of the 3  $MoO_3$  studied samples (Fig. 8) are firstly commented on. The Tauc plots for accurate bandgap extraction are shown for M1, M2 and M3 samples in Fig. 9.

As a wide band-gap semi-conductor (like ZnO-type single oxides), the  $MoO_3$  shows a near total UV absorption whatever its



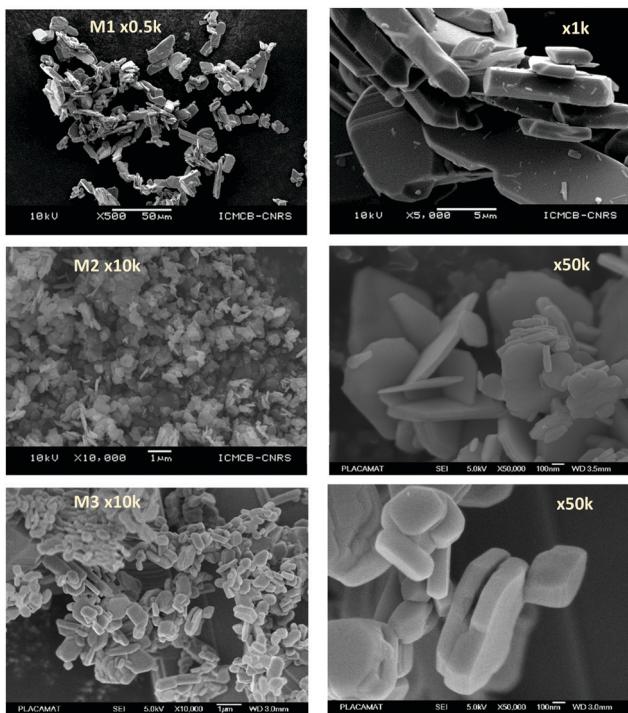


Fig. 7 SEM micrographs of M1, M2 and M3 samples.

morphology. The M1 and M3 samples exhibit pale yellow coloration, whereas the M2 sample presents a bluish colour, which is due to the occurrence of  $\text{Mo}^{5+}$  ions. The coloration is without any doubt linked to the IVCT between  $\text{Mo}^{5+}$  and  $\text{Mo}^{6+}$  neighbouring cations,  $\text{Mo}^{5+}$  acting like the color centre as well described in the introduction part.<sup>16–22</sup>

It has been already noticed that the raw precipitate obtained in the polyol solvent is deep blue and amorphous. It can thus reasonably be proposed that the origin of the  $\text{Mo}^{5+}$ -rich composition in the M2 sample may result from a low temperature synthesis process, allowing it to maintain a high surface/volume ratio. Indeed, the  $\text{Mo}^{5+}$  ions are apparently stabilized thanks to

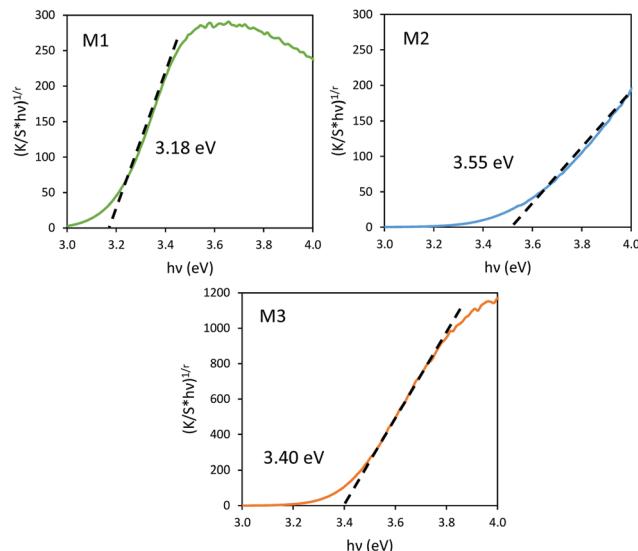


Fig. 9 Tauc plots of M1, M2 and M3 samples.

the peeling off concerning the surface oxygen anions from the reductive action of the polyol solvent.  $\text{Mo}^{5+}$  concentration and surface/volume ratio in the  $\text{MoO}_3$  oxides are therefore positively correlated. The Tauc plots depicted show a bandgap position having a straightforward relationship with the particle size, as it was already demonstrated for the ZnO-type oxide series (the range of bandgap values lying in between 3.18 eV and 3.55 eV, for the M1 largest crystallite sample and the M3 smallest crystallite sample, respectively). An intermediate value is obtained for the M2 sample. Since chemical composition and crystallite size are interconnected as oxygen-deficient surfaces are obtained from the polyol route, it is difficult to conclude on the main parameter really governing the bandgap energy.

### 3.4. Photochromic properties (colouring mode) of $\text{ZnO}-\text{MoO}_3$ mixtures

**Morphological characterization.** The morphologies of the six combined materials on which we will focus our discussion are presented in Fig. 10: Z1/M1, Z2/M1, Z3/M1 and Z4/M1 mixtures, *i.e.* the four different zinc oxide type samples combined with the  $\text{MoO}_3$  commercial powder. Such comparison will enable one to evaluate the impact of the ZnO-type morphology and electronic properties on the photochromic efficiency of the mixture. Additionally, the Z1/M2 sample will be examined with respect to the Z1/M1 in order to check the impact of the  $\text{MoO}_3$  morphology on the photochromism. Finally, a further sample – Z4/M2 – turns out to be the optimal mixture. From the consideration of SEM micrographs for Z1/M1 and Z1/M2, it is straightforward to see that Z1 powder, even obtained through a simple mechanical co-grinding with  $\text{MoO}_3$  powder in an agate mortar, presents a well-dispersed distribution of each crystallite and as-pasted on the  $\text{MoO}_3$  platelets. However, the polyol ZnO-type oxides exhibit only partially broken polycrystalline spheres and quite low interface areas with the  $\text{MoO}_3$  grains. The worst situation is observed for the Z3/M1 mixture for which the

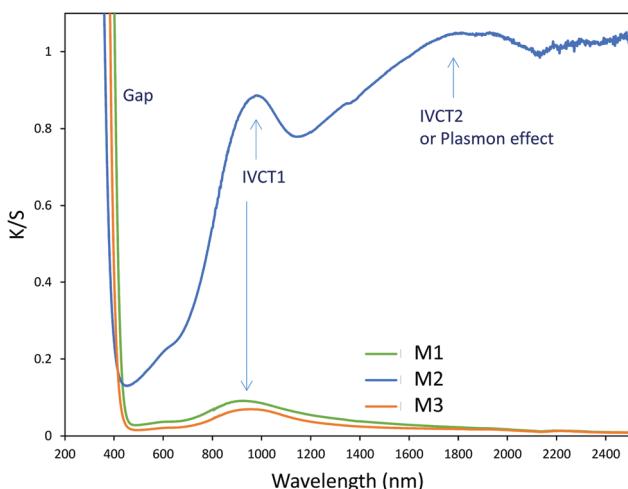


Fig. 8 K/S Kubelka-Munk transforms of M1, M2 and M3 samples.



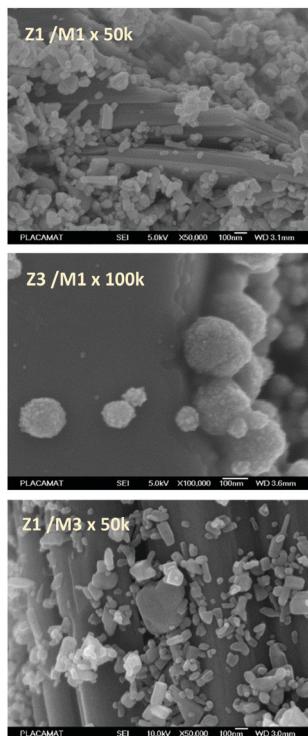


Fig. 10 SEM micrographs of the 6 reference "combined materials".

polycrystalline ZnO spheres seem to have preserved their integrity during the grinding whereas, on the opposite, the Z4 spherical aggregates are largely destroyed during the co-grinding with the M2 samples. Thus, the post-annealing treatment of the ZnO-type oxide as in the case of the Z3 sample seems to reinforce the mechanical cohesion of the spherical aggregates. This is surely due to partial sintering associated with intra-granular densification. This acts to the detriment of the creation of large Schottky barrier areas. On the contrary, the use of submicronic  $\text{MoO}_3$  platelets is beneficial (especially for the M2 sample, and to a lower extent for the M3 sample). This is linked to the fact that the crystallite blocks are more adequate in size and work by cutting and shattering the ZnO-type oxide aggregates, thus acting in favour of the creation of large  $\text{MoO}_3/\text{ZnO}$  interface areas.

**Colouring efficiency under UV irradiation.** The colouring efficiency (in terms of the evolution amplitude of the absorption coefficient in UV-NIR range and also in terms of colouring speed) of the four first samples, combining M1 sample with the four studied ZnO-type oxides has been studied by recording the evolution vs. the UV-light irradiation time of the reflectance ( $R$ ) spectra of the material in the 400–2500 nm wavelength range. Reflectance spectra have then been transformed into Kubelka-Munk ( $K/S$ ) absorbance spectra, which are reported in Fig. 11. The latter exhibit an intensity of the absorption phenomenon, which is proportional to the associated chromophore concentration and are so used for the kinetic analysis.

As shown in our previous paper,<sup>33</sup> the associated kinetics can be fitted considering a sigmoid curve:

$$K/S(\text{sum}) = A_1 + (A_2 - A_1)/(1 + \exp(t_0 - t/k)), \quad (1)$$

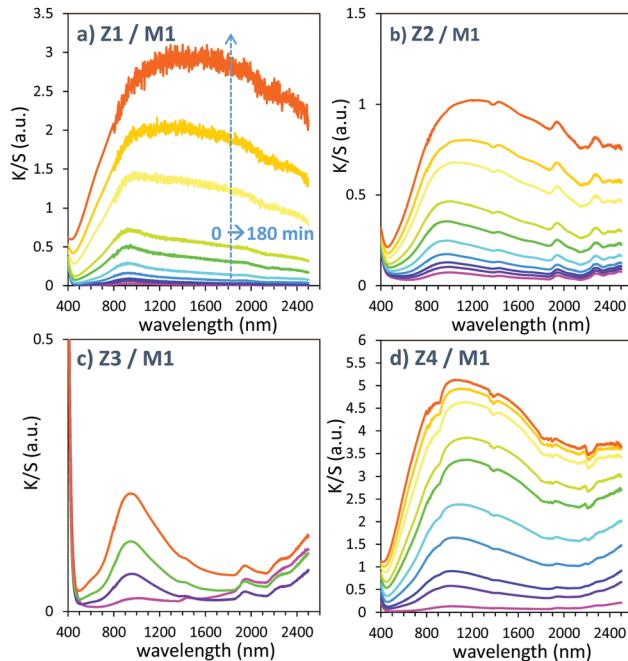


Fig. 11 Photochromism overview (colouring mode) of the first 4 combined materials (using  $\text{MoO}_3$  commercial sample: M1).

with  $K/S$  sum calculated as the integral of the  $K/S$  value curve on the 400–2500 nm range and the key parameter being  $A_2$ , the ending  $K/S$  asymptotic point, which quantifies the photochromic (colouring) efficiency ( $A_1$  is the virtual  $K/S$  starting point). Plots of the  $K/S$  sum evolution vs. irradiation time are reported in Fig. 12.

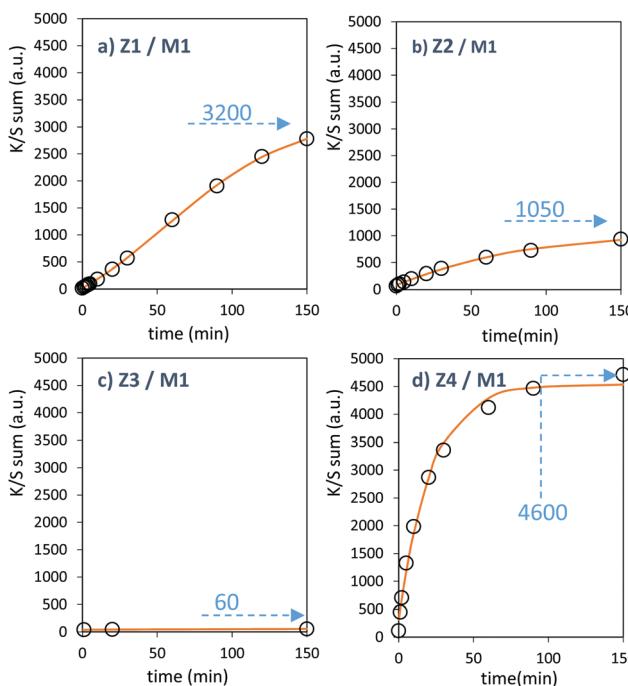


Fig. 12 Photochromic kinetic plots (colouring mode) of the first 4 combined materials.



The powder mixture colouring efficiencies seem important in comparison with other systems proposed in the literature, typically, a quite deep blue colour is already obtained after few minutes of irradiation (one can note that results remain difficult to directly compare, since in studies of photochromic materials, the power of the source light excitation received by the sample in  $\text{W m}^{-2}$  is quite never specified). In growing order: from the sample with the poorest colouring efficiency up to the most efficient sample, we can classify the Z3/M1, Z2/M1, Z1/M1 and Z4/M1 mixtures. With such rising ordering, the  $A_2$  parameters are, respectively, 60, 1050, 3200 and 4600, illustrating the great variation of the performance behaviour of the four samples.

In terms of colouring speeds, no significant differences are observed from one sample to the other, asymptotic point being reached with similar irradiation time, of about 2–3 hours. On the contrary, the large differences in the colouring efficiency between the 4 first combined materials show that one can act on the photochromism taking place on the  $\text{ZnO}/\text{MoO}_3$  Schottky barriers. While polyol synthesis of  $\text{ZnO}$  is not associated with doping, it does not represent any benefit in comparison with the  $\text{ZnO}$  commercial powder (from the comparison between Z1/M1 and Z2/M1).

This result is in good agreement with the observation of low interface areas observed, thanks to the SEM investigations. However, despite the non-optimal morphology reached from the polyol process, the possibility to dope the  $\text{ZnO}$  represents a great advantage (from the comparison of Z4/M1 with Z1/M1). Supernumerary electrons in  $\text{ZnO}$  CB created by aliovalent dopant insertion is thus of primary importance. This is the proof that the photochromism actually proceeds from the injection of free electrons from  $\text{ZnO}$  into the  $\text{MoO}_3$  compound. Nonetheless, the post-annealing treatment of the Al-doped  $\text{ZnO}$  spheres obtained using the polyol route, even if it has previously been shown that the charge carrier concentration is still high, destroys the colouring possibility. This killing effect can be caused by the hardening of the  $\text{ZnO}$  polycrystalline spheres, thus avoiding the possibility to create sufficient contact areas between  $\text{ZnO}$  and  $\text{MoO}_3$  oxides. Moreover, with the post-annealing, the decrease of the surface oxygen deficiency of the  $\text{ZnO}$  oxide, also associated with the slight decrease of the charge carrier concentration as previously diagnosed, evidenced from absorption spectra, especially from the gap evolution extracted from the Tauc Plots (Fig. 5), can also be detrimental to the photochromism from the decrease of the oxygen anion transfer probability from the  $\text{MoO}_3$  surface to the  $\text{ZnO}$  one.

The two last combined materials: Z1/M3 and Z4/M2 have been finally analysed with same methodology. Their  $K/S$  transforms are reported in Fig. 13 and the plots of the  $K/S$  sum evolution *vs.* irradiation time are reported in Fig. 14.

Once again, in terms of colouring speeds, no significant differences are observed with previous studies, asymptotic point still being reached with an irradiation time of about 2–3 hours. Nevertheless, it can be observed that the colouring speed of the Z1/M3 mixture is a bit lower than the one characterizing the Z4/M2 mixture.

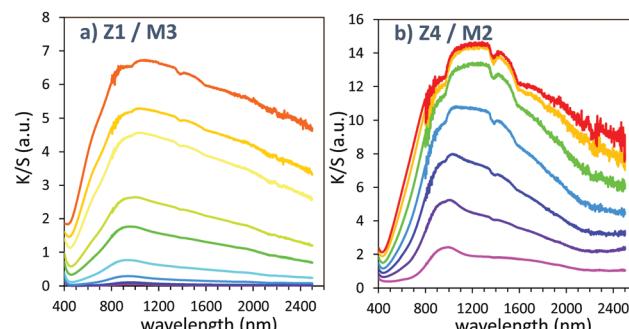


Fig. 13 Photochromism overview (coloring mode) of the 2 last combined materials (using  $\text{MoO}_3$  oxide from the polyol route).

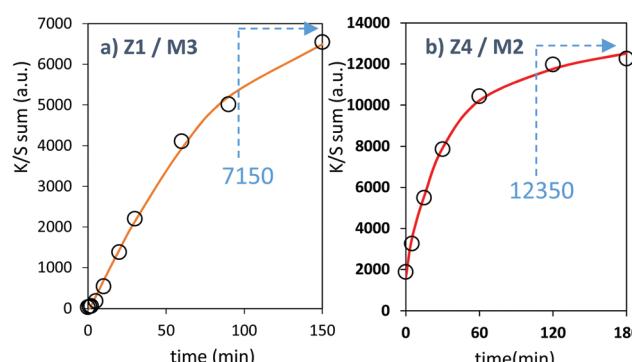


Fig. 14 Photochromism kinetic plot (coloring mode) of the 2 last combined materials.

The colouring efficiencies are the highest reached up to now, with  $A_2$  equal to 7150 and 12350 for Z1/M3 and Z4/M2 mixtures, respectively. Using a  $\text{MoO}_3$  oxide with reduced dimension, prepared from the polyol process, is also a good idea (from the comparison between Z1/M1 and Z2/M3 materials). Especially, the combination of the most performing  $\text{ZnO}$ -type oxide with the smallest  $\text{MoO}_3$  oxide (expected to create the largest interface areas) leads to positive synergies (the Z4/M2 sample is nearly two times more efficient than the second-best mixture).

From the previous study, it can be concluded that to optimize the photochromic behaviour taking place at the Schottky interface between  $\text{ZnO}$ -type and  $\text{MoO}_3$  compounds, one has to: (i) use  $\text{ZnO}$ -type oxide with oxygen-deficient surfaces and supernumerary electrons, pre-injected in its CB, thanks to reductive treatments and/or aliovalent doping, and (ii) mix two oxides with crystallite size as small as possible to favour the creation of large interface areas.

Elsewhere, it can be noted that the shape of the absorption band is modified using samples with different chemical composition and/or morphology. A comparison of the absorption band envelops, obtained after 60 minutes of irradiation on the Z1/M1 and Z4/M2 combined materials, is reported in Fig. 15. The absorption band was fitted as the summation of three Gaussian contributions according to the following relation for each Gaussian curve:

$$y = A \times \exp \left\{ -1/2 \times [(x - x_c)/w]^2 \right\}, \quad (2)$$



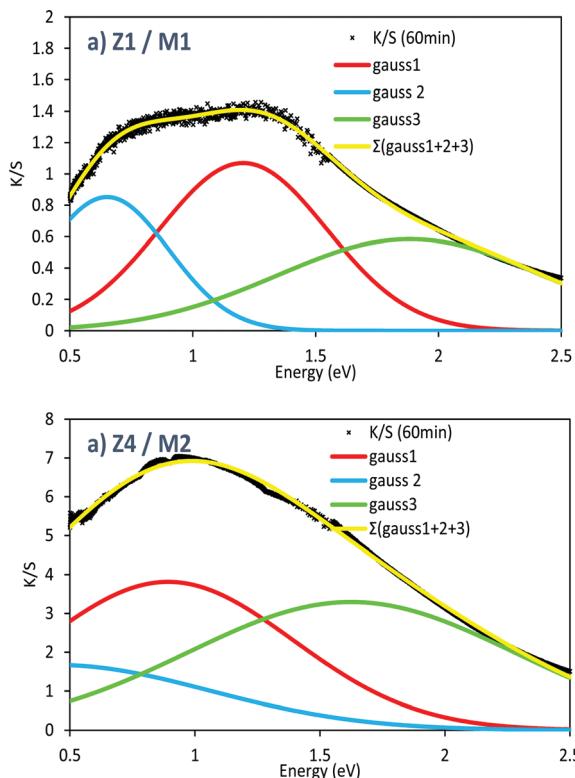


Fig. 15 Comparison of the envelop parameters of the absorbance spectra after an irradiation of 60 min for the Z1/M1 and Z4/M2 mixtures.

where  $y$  is the absorbance ( $K/S$  coefficient),  $A$  is the amplitude of the Gaussian curve in absorbance unit,  $x$  the energy in eV,  $x_c$  the position of the Gaussian curve in energy, and  $w$  the width of the Gaussian curve in energy. The parameters of three partially convoluted Gaussian curves (intensity, position and width) are reported in Table 1. The interpretation of such behaviour remains unclear.

To obtain satisfying simulation, *i.e.* a fit of the experimental absorbance envelop with a low reliability factor, three Gaussian curves, partially convoluted must be used. The 3 Gaussians have the following positions (see Table 1): the main one (Gaussian curve #1) is centred at about 0.8–1.2 eV; this main peak is surrounded by two satellite signals, located, respectively, at lower energy, around 0.2–0.5 eV, and at higher energy, around 1.6–1.9 eV.

The consideration of 3 Gaussians is difficult to definitively establish a conclusion. Based on our own studies of the

Table 1 Parameters extracted from the comparison of the envelop parameters of the absorbance spectra after 60 min of irradiation for the Z1/M1 and Z4/M2 mixtures

	Gauss 1	Gauss 2	Gauss 3
Z1/M1			
$K/S$ intensity (a.u.)	1.07	0.85	0.585
Width ( $w$ ) (eV)	0.34	0.25	0.54
Position ( $x_c$ ) (eV)	1.20	0.25	1.88
Z4/M2			
$K/S$ intensity (a.u.)	3.81	1.67	$\approx 3.3$
Width ( $w$ ) (eV)	0.50	0.61	$\approx 0.7$
Position ( $x_c$ ) (eV)	0.89	0.44	$\approx 1.6$

photochromism dealing with  $WO_3$  nanoparticles,<sup>36</sup> which have demonstrated a quite similar absorption envelop, the absorption can come from polaronic transfers ( $Mo^{5+} \rightarrow Mo^{6+}$  inter-valence charge transfers), but also from the formation of an electronic gas (plasmonic band linked to Drude's theory) in the  $MoO_3$  oxide, while the charge carrier concentration is sufficiently high. Indeed, for  $WO_3$  particles<sup>36</sup> in which, per analogy with  $MoO_3$ , the  $W^{5+}$ – $W^{6+}$  oxidation state mixture, produced from light photo-reduction, is also at the origin of the coloration, it was shown combining EPR and XPS spectroscopy that polaronic transfer can occur beyond a critical  $W^{5+}$  concentration. Thus, the appearance of the high energy component (band located at 1.5–2 eV), could potentially be ascribed to the plasmonic effect related to the appearance of free electrons in the  $MoO_3$  CB. In comparison with the Z1/M1 mixture, the very high amplitude of this band in the Z4/M2 combined materials (for which the M2 single oxide already contains from the starting point some  $Mo^{5+}$  ions in significant concentration) tends to support this hypothesis. The existence of the other two sub-bands can be then linked to the occurrence of two different polaronic transfers, associated with bulk and surface phenomena.

**Bleaching properties.** Bleaching properties refer herein to self-bleaching properties, without any help from heating, new irradiation under another wavelength range and no use of an oxidizer such as ozone or hydrogen peroxide, *etc.* They were studied on the Z1/M1 used as the “reference” sample and Z4/M2 since this latter exhibits the optimal colouring properties. Lastly, the bleaching properties were recorded after different irradiation times (0 min, 5 min, and 1 hour) and it was demonstrated that the irradiation time before letting the compound mixtures relax under dark condition constitutes a key parameter.

For illustration, using a UV-light irradiation time equal to 5 min, the  $K/S$  curve evolution in 400–2500 nm for relaxing time varying between 0 and 30 hours are represented in Fig. 16.

Bleaching efficiency is obtained by plotting the  $K/S$  sum evolution (after normalization for the starting point) *vs.* the relaxation time as it can be seen in Fig. 17.

Two key findings, never demonstrated to date in the area of inorganic photochromic compounds, were discovered in this

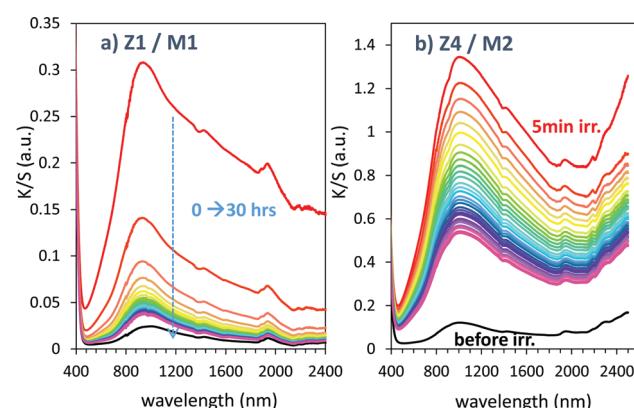


Fig. 16 Bleaching under dark condition of the Z1/M1 and Z4/M2 mixtures previously irradiated during 5 min.



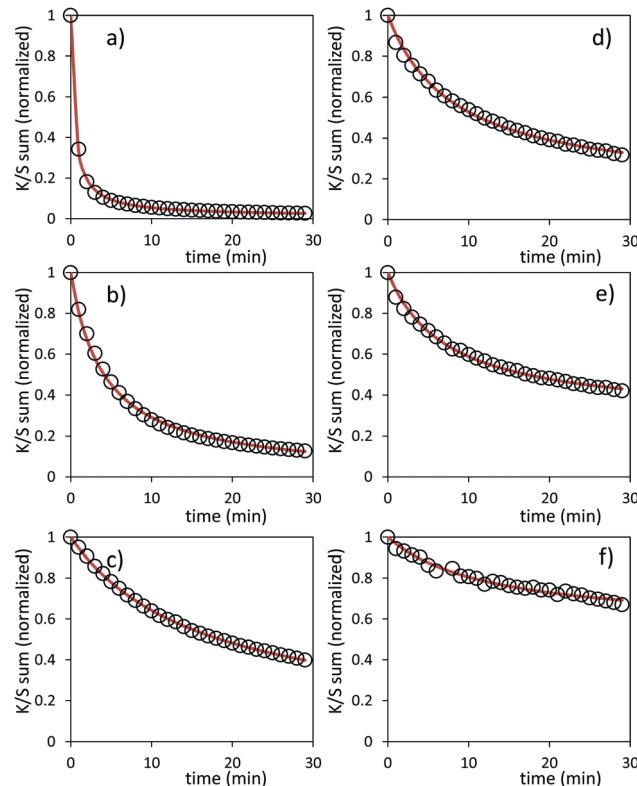


Fig. 17 Bleaching under dark condition for the Z1/M1 mixture, respectively, after 5 min (a), 20 min (b) and 1 hour (c) of irradiation, and for the Z4/M2 mixture, respectively, after 5 min (d), 20 min (e) and 1 hour (f) of irradiation.

investigation. First, a self-bleaching phenomenon is always observable; this shall pave the road towards new applications including reversible photochromic materials in displays, smart windows, smart textiles, *etc.* On the other hand, our study clearly evidences that the ability of the as-prepared combined materials for the self-bleaching is directly linked to (i) the colouring stage reached after irradiation, and (ii) the single compound, which are used with clear differences that can be noticed between the use of commercial single oxide of powders and the as-prepared counterparts from the polyol route. For both cases of Z/M mixtures, we have tested the self-bleaching kinetics and have evidenced that it can be well fitted using a second order decay equation *vs.* relaxing time *t* in the following way:

$$K/S \text{ sum} = (1 - A) + A/(1 + t/k), \quad (3)$$

with *A* representing the fraction of the material concerned by the self-bleaching, or in other words, the asymptotic point after long relaxing time is  $1 - A$ ; and *k* is the speed parameter, taken here as the characteristic 1/2 lifetime.

*A* and *k* parameters extracted from the fits of the self-bleaching curves are graphically compared for both Z/M mixtures in Fig. 18. For both mixtures, the *A* parameter decreases *vs.* the UV-light pre-irradiation time. This means that the deeper the blue colour reached from the UV irradiation, the less reversible the photochromic behaviour. Concerning

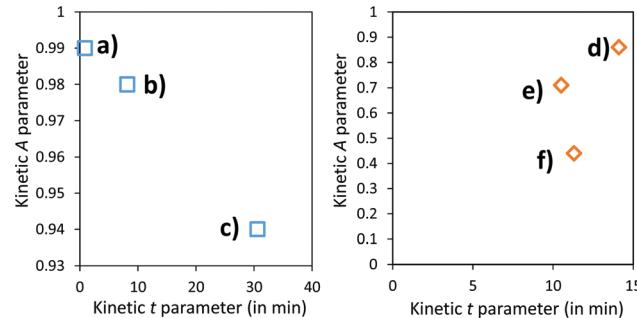


Fig. 18 Kinetic parameters, extracted from the bleaching phenomenon for the Z1/M1 mixture, respectively, after 5 min (a), 20 min (b) and 1 hour (c) of irradiation, and for the Z4/M2 mixture, respectively, after 5 min (d), 20 min (e) and 1 hour (f) of irradiation.

the occurrence of a complex absorption band envelop made of several IVCTs ascribed to bulk or surface charge transfer in  $\text{MoO}_3$  oxides, it seems that long irradiation/deep colouring generates non-reversible  $\text{Mo}^{5+}$  species, *i.e.* trapped into the material bulk. From the previous colouring process investigations made on the two mixtures for which the self-bleaching properties are compared, it can be seen that an irradiation during 5 min of the Z4/M2 produced quite a similar increase in *K/S* absorbance compared to 60 min for the Z1/M1 combined material (Fig. 14b and 12a, respectively), thus leading to similar self-bleaching efficiency (*A*) and speed (*k*) parameters.

Accordingly, a direct correlation between the visible/near infrared absorption reached by UV-light irradiation and the self-bleaching properties, *i.e.* with respect to a mechanism poorly depending on single oxide parameter chemical compositions or morphologies, seems to operate in a satisfactory manner. Nevertheless, for the Z1/M1 mixture, self-bleaching efficiency (*A*) and self-bleaching time (*k*) evolve in a monotonic way: the less reversible the self-bleaching, the longer the time to self-bleach the reversible photochromic part of the material. However, for the Z4/M2 mixture such a logical correlation does not appear. Practically, the fatigue resistance of our systems will depend mainly on the intensity of the light-irradiation they received. For the Z1/M1 materials engaged in cycles with a dose of UV-light exposure below what they received in 5 min. with the UV-lamp used here, and with a time of bleaching beyond 20 min., no fatigue will occur. However, for longer exposure times, very long rest without any exposure will be necessary for a full-bleaching in order to “reinitiate” the compounds before the next cycle.

## Conclusions

The huge photochromic effect for  $\text{ZnO}/\text{MoO}_3$  powder mixtures, compared with the corresponding single oxides and even other oxide/oxide or more commonly oxide/metal composite reported in the literature, was herein improved thanks to a deep understanding of the photo-redox mechanism taking place on the created Schottky barrier. Indeed, the coloring efficiency of our oxide/oxide system was increased thanks to the pre-existing electrons in the conductive band of  $\text{ZnO}$  created thanks to



allovalent doping (with aluminium III species) from a polyol synthesis route and/or the ZnO particle preparation in a reducing solvent (diethylene glycol). Furthermore, the ZnO NPs obtained without any post-annealing treatment show the best properties because of their very large surface area, which is furthermore oxygen deficient from the reducing properties of the polyol used for synthesis. The characterization of the colouring effect under irradiation as well as the self-bleaching process allowed shedding light on this complex photochromic mechanism. The coloring and bleaching efficiencies show strong interdependence, and to solve the issue regarding the deterioration of the photochromic bleaching speed and bleaching efficiency when the photochromic coloring is too much pronounced will be of a great importance in further studies to apply such materials in large scale application as smart windows or UV reversible sensors.

## Conflicts of interest

There are no conflicts to declare.

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