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Oxo-functionalised mesoionic NHC nickel complexes for selective electrocatalytic reduction of CO₂ to formate†

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Strategies for the conversion of CO₂ to valuable products are paramount for reducing the environmental risks associated with high levels of this greenhouse gas and offer unique opportunities for transforming waste into useful products. While catalysts based on nickel as an Earth-abundant metal for the sustainable reduction of CO₂ are known, the vast majority produce predominantly CO as a product. Here, efficient and selective CO₂ reduction to formate as a synthetically valuable product has been accomplished with novel nickel complexes containing a tailored C,O-bidentate chelating mesoionic carbene ligand. These nickel(II) complexes are easily accessible and show excellent catalytic activity for electrochemical H⁺ reduction to H₂ (from HOAc in MeCN), and CO₂ reduction (from CO₂-saturated MeOH/MeCN solution) with high faradaic efficiency to yield formate exclusively as an industrially and synthetically valuable product from CO₂. The most active catalyst precursor features the 4,6-di-*tert*-butyl substituted phenolate triazolylidene ligand, tolerates different proton donors including water, and reaches an unprecedented faradaic efficiency of 83% for formate production, constituting the most active and selective Ni-based system known to date for converting CO₂ into formate as an important commodity chemical.

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Introduction

The conversion of environmentally harmful CO₂ into synthetically and industrially valuable products has become a pressing challenge for mitigating the threats associated with increased CO₂ levels in the atmosphere.^{1–3} Among the various technologies developed for CO₂ fixation,^{4–6} electrochemical reduction is particularly attractive^{7,8} since it allows for direct transformation of CO₂ into synthetically or industrially valuable platform chemicals such as formate,^{9,10} alcohols,^{11–14} and unsaturated

hydrocarbons (*e.g.*, ethylene).^{15–17} In addition, electrochemical processes have the benefit to be fully sustainable, especially if they are powered by renewable energy sources (*e.g.*, solar, wind, or hydro). The key critical parameter is then the nature of the catalyst, which is preferably derived from Earth-abundant metals in order to provide a truly sustainable process.^{18,19}

While several complexes based on Earth-abundant Mn, Fe, and Ni metals have been known to catalyze the reduction of CO₂,^{20–25} the vast majority of these catalysts produce CO as a predominant product.^{26,27} Only two Ni systems have been reported to yield formate,²⁸ namely Sauvage's Ni(cyclam) system from over 30 years ago,^{29–32} and Fontecave's Ni(III) catalyst,³³ though selectivity is a major issue in both systems due to significant formation of CO. Formate formation is highly desirable as it constitutes a pathway to convert waste to a valuable product for synthesis, hydrogen storage, formic acid fuel cells, and for other industrial uses.

Inspired by the work of Kirchner and others on manganese pincer complexes,^{34–36} which demonstrated a key relevance of the metal-hydride intermediate to promote CO₂ insertion rather than direct CO₂ bonding, we became interested in exploiting the potential of triazolylidenes^{37,38} as a specific subclass of N-heterocyclic carbene (NHC) ligands^{39–41} for imparting such reactivity. In order to increase the robustness of the

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M-C_{NHC} bond and hence the reliability of the carbene as a spectator ligand to the nickel active site,⁴² oxygen chelating groups were introduced.⁴³ Here we show that this approach provides a set of new, tunable, and highly active catalysts for the electrochemical reduction of CO₂ to formate. Catalyst screening in half-cell measurements revealed that the most active system accomplishes unrivalled faradaic efficiency and outstanding selectivity towards formate, largely outperforming currently known catalyst systems based on nickel.

Results and discussion

The new phenolate-substituted triazolium salts **2a–c** were synthesized from 2-azidophenol **1** by [3 + 2] cycloaddition reaction through variation of the alkyne precursor (R = Ph, Bu, Mes; Scheme 1), followed by selective alkylation (>60% overall yield). Metalation was accomplished with NiCl₂ as a simple and cheap nickel precursor in the presence of K₂CO₃. The new bis-carbene nickel(II) complexes **3a–c** and **4** were obtained as yellow solids that are stable towards air and moisture for >2 months.

The ¹H NMR spectra of the complexes reveal the presence of only one isomer. While complexes **3a,b** and **4** feature the phenolate proton resonances in the expected aromatic region (Fig. S20†), the spectrum of complex **3c** is distinct with a markedly upfield shifted phenolate *ortho* proton ($\delta_{\text{H}} = 4.8$; Fig. S20†). This shift of more than 2 ppm suggests a *trans* arrangement of the two C,O-bidentate ligands, with the phenolate H_{ortho} influenced by ring current anisotropy of the mesityl group of the other ligand. No such effect has been observed in the NMR spectrum of complex **3b** featuring a phenyl-substituted triazolylidene, which suggests a *cis* configuration. These ligand arrangements were unambiguously confirmed by single crystal X-ray diffraction (Fig. 1). In the *trans* configuration of **3c**, the mesityl ring is essentially orthogonal to the carbene–Ni plane and close to H_{ortho} (H_{ortho}⋯arene 2.75 Å), which accounts for the ring anisotropy observed by NMR spectroscopy. The *cis* configuration of the other complexes places the carbene wingtip groups R in close proximity, which results in a substantial distortion from square-planar to tetrahedral (*cf.* $\tau_4 \geq 0.15$).⁴⁴ The distortion is larger for bulky

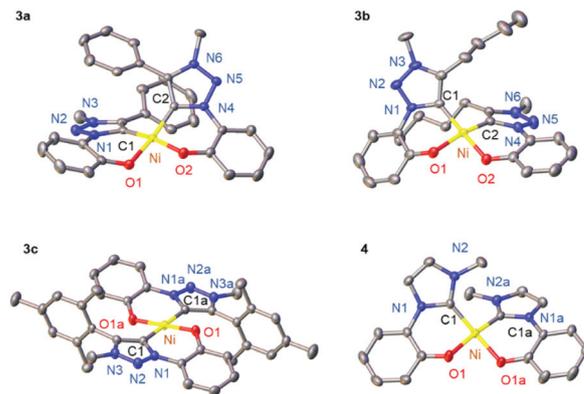
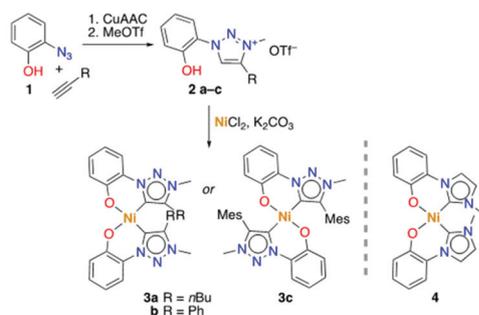


Fig. 1 ORTEP diagram of Ni complexes **3a–c** and **4**; 50% probability level thermal ellipsoids; hydrogen atoms and co-crystallized solvent molecules (H₂O for **3a**, CHCl₃ for **4**) omitted for clarity; a denotes symmetry-related atoms.

wingtip groups (Bu, Ph, in **3a,b**, respectively) than with methyl substituents (**4**), and negligible in the *trans* complex **3c** ($\tau_4 < 0.01$; Table S7†).

All four nickel complexes **3a–c**, **4** display (quasi)reversible redox processes around +0.7 V and –2.0 V, tentatively attributed to Ni^{II}/Ni^{III} and Ni^{II}/Ni^I transitions, respectively (Fig. 2, S21 and S22† potentials vs. Ag/AgCl).³³ Comparison of the redox potentials consistently indicates that triazolylidenes are stronger donor ligands than imidazolylidene,⁴⁵ and that the wingtip group R directly affects the electron density on the nickel center with oxidation potentials increasing along the series R = Bu ($E_{1/2} = 0.59$) < R = Mes ($E_{1/2} = 0.64$) < R = Ph ($E_{1/2} = 0.69$; Table 1).

The electrocatalytic performance of complexes **3a–c**, **4** was first investigated in H⁺ reduction. A significant cathodic current was observed when acetic acid (AcOH) was present as proton donor in a MeCN solution of the Ni complex. Increasing the AcOH concentration from 5 to 400 eq. with respect to the Ni complex led to an enhanced current density,



Scheme 1 Synthesis of Ni^{II} bis(carbene) complexes **3** and **4**.

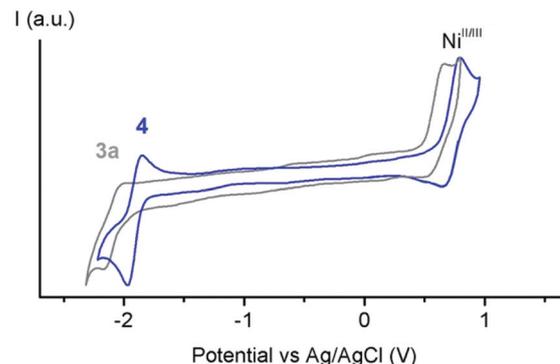


Fig. 2 Cyclic voltammograms of the Ni(II) complexes **3a** (grey) and **4** (blue); both scans 1 mM in MeCN with 0.1 M (Bu₄N)PF₆ as supporting electrolyte, 250 mV s⁻¹ scan rate, Fc⁺/Fc as internal standard with $E_{1/2} = 0.36$ V vs. Ag/AgCl).



Table 1 Redox potentials and catalytic H⁺ electroreduction rates for complexes **3** and **4**^a

| Entry | Complex | E_{pc}^b | $E_{1/2}(Ni^{II/III})^b$ | $k_{obs}^c [s^{-1}]$ |
|-------|-----------|-------------|--------------------------|----------------------|
| 1 | 3a | -2.16 | 0.59 (130) | 440 |
| 2 | 3b | -2.09 | 0.69 (110) | 300 |
| 3 | 3c | -2.12 | 0.64 (120) | 10 |
| 4 | 4 | -1.92 (110) | 0.75 (120) | 200 |

^a All values in V vs. Ag/AgCl; 1 mM MeCN solution of Ni complex with (Bu₄N)PF₆ as supporting electrolyte, 250 mV s⁻¹ scan rate, Fc⁺/Fc as internal standard with $E_{1/2} = 0.36$ V vs. Ag/AgCl. ^b Cathodic peak potential E_{pc} for Ni^{II}/Ni^I reduction and half-wave potential $E_{1/2}$ (in parentheses $\Delta E_p = E_{pa} - E_{pc}$ in mV for Ni^{II}/Ni^I redox process) ^c Catalytic conditions; 1 mM complex in MeCN, AcOH (0.8 M), (Bu₄N)PF₆ as supporting electrolyte, k_{obs} determined by foot of the wave analysis (see ESI for details†).

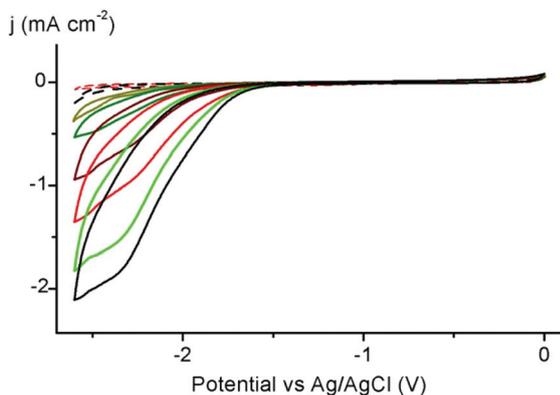


Fig. 3 Electrocatalytic reduction of H⁺ in MeCN as solvent (1 mM of complex **3a**, (Bu₄N)PF₆ as supporting electrolyte), scan rate 250 mV s⁻¹, HOAc as proton source Fc⁺/Fc used as internal standard ($E_{1/2} = 0.36$ V vs. Ag/AgCl); red dashed line: degassed solution of complex **3a** (1 mM) under Ar; black dashed line: degassed solution of AcOH (0.1 M) in MeCN under Ar; olive to black solid lines: complex **3a** (1 mM) in presence of increasing amounts of AcOH (5, 10, 40, 100, 200 and 400 mM, respectively) in MeCN solution).

indicative of catalytic H⁺ reduction (Fig. 3). Extraction of k_{obs} by foot-of-the-wave analysis (FOWA)^{46,47} reveals a direct influence of the steric and electronic properties of the ligand in promoting catalytic reduction (Table S8†). Specifically, the *trans* arrangement imposed by the very bulky mesityl wingtip groups is strongly deactivating, while all the *cis*-complexes are active. Moreover, the activity of the *cis*-complexes directly correlates with the ligand donor properties deduced from CV data: the alkyl-substituted triazolylidene induces more than a twice higher active than the analogous imidazolylidene ($k_{obs} = 440$ s⁻¹ for **3a** vs. 200 s⁻¹ for **4**).⁴⁸

Prompted by the promising catalytic activities, complexes **3a–c**, **4** were evaluated as catalyst precursors for electrochemical reduction of CO₂. Initial experiments with a 1 mM MeCN solution of the nickel complex **3a** under a CO₂ atmosphere reveal a significant enhancement of the cathodic current upon complex reduction, indicative of CO₂ transformation (Fig. 4). For complex **4** the reversibility of the Ni^{II}/Ni^I

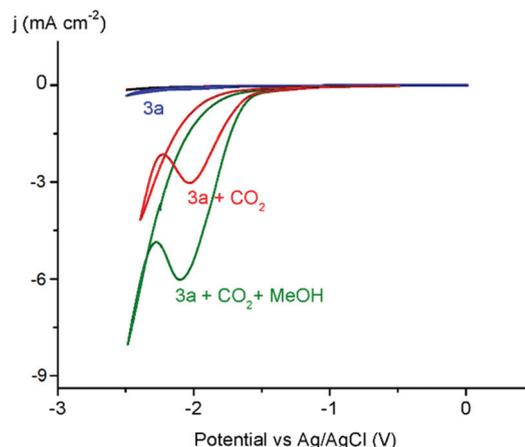


Fig. 4 Electrocatalytic reduction of CO₂ in MeCN with complex **3a** (0.1 M (Bu₄N)PF₆ as supporting electrolyte, 250 mV s⁻¹ scan rate, glassy carbon working electrode); black line: CO₂-saturated MeCN solution; blue line: degassed solution of complex **3a** (1 mM) under Ar; red line: complex **3a** (1 mM) in CO₂-saturated MeCN solution; green line: complex **3a** (1 mM) in CO₂-saturated MeCN solution with 40 eq. MeOH.

reduction was lost upon saturation with CO₂ gas. All the complexes were active in the process, with only the *trans* isomer **3c** induces low catalytic current, suggesting lower activity. The catalytic current enhances further when the reaction was performed in the presence of MeOH (40 eq. with respect to the Ni complex), suggesting a beneficial role of proton sources.⁴⁹ Trifluoroethanol and phenol show similar effects, though the current increase is largest when using MeOH (Fig. 4). Blank measurements indicate no catalytic current with CO₂-saturated solutions in the absence of the Ni complex, or when the complex is reduced in the presence of methanol yet without CO₂ (Fig. S34†).

The robustness of the catalytically active species over time was investigated by chronoamperometry at -1.9 V vs. Ag/AgCl in MeOH/MeCN 1 : 50 v : v (Fig. S26–S29†). The observed catalytic current is constant over 2 h, suggesting no significant degradation during that time. More extended reaction reveals a gradual decrease of activity. Comparison of the different complexes reveals catalytic activity for CO₂ reduction follows the same trends observed for H⁺ reduction, with highest rates for the nickel complex with the strongest donating triazolylidene ligand (**3a**, $k_{obs} = 280$ s⁻¹), which is essentially twice as fast as the corresponding imidazolylidene analogue **4** ($k_{obs} = 150$ s⁻¹; Table 2). Again, the *cis* ligand arrangement is essential for ensuing catalytic activity as the nickel complex **3c** with the ligands in *trans* configuration is almost inactive ($k_{obs} = 10$ s⁻¹), and enhanced electron density at the nickel center increases catalytic activity (**3a** > **3b** > **4**).

Product identification focused first on gas-phase analysis of volatiles products as most hitherto known Ni catalysts for CO₂ reduction produce CO.^{10,11} Remarkably, only traces of H₂ were detected in the gas phase, and CO quantities were below the detection limit (less than 2% faradaic efficiency after 4 h, Table 2). Analysis of the solution phase by ion-exchange



Table 2 Faradaic efficiencies (FE) and catalytic rates for CO₂ conversion with complexes **3a–c** and **4**^a

| Entry | Complex | FE _{HCOO⁻} (4 h) [%] | FE _{HCOO⁻} (8 h) [%] | FE _{H₂+CO} (4 h) [%] | <i>k</i> _{obs} ^b [s ⁻¹] |
|-------|-----------|--|--|--|---|
| 1 | 3a | 54 | 68 | 3 | 280 |
| 2 | 3b | 43 | 47 | 4 | 220 |
| 3 | 3c | 10 | 10 | 2 | 10 |
| 4 | 4 | 25 | 25 | 3 | 150 |

^a General conditions: 1 mM complex, at -1.9 V vs. Ag/AgCl, glassy carbon working electrode and Pt foil as counter electrode (see ESI for details[†]) in MeOH/MeCN 1 : 50 v : v with 0.1 M (Bu₄N)PF₆ as supporting electrolyte. ^b Determined from foot of the wave data treatment (see ESI for details[†]).

chromatography (IC), HPLC and NMR measurements identified formate as the principal product of CO₂ reduction with complexes **3a–c**, **4**. Complex **3a** does not display only the highest activity but also imparts the highest selectivity. Optimization of reaction times affords faradaic efficiencies up to 70% for formate formation, one of the highest known so far for homogeneous Ni-based electrocatalysts.^{10,11}

Ligand tailoring has been used to further improve the catalytic activity of these nickel complexes, in particular through introduction of electron-donating substituents on the phenolate. Substitution of the 4,6-positions moreover avoids undesired radical reactions of the phenolate, which may infer from one-electron-reduction upon catalyst activation. To this end the 4,6-Me₂-phenol-triazolium salt **5a** was nickelated *via* the procedure established for the synthesis of **3a**, while the *t*Bu analogue **5b** required *n*BuLi as a stronger base to accomplish nickel complexation (Fig. 5a). The new *O,C*-bidentate chelated trz Ni(II) complexes **6a** and **6b** showed NMR characteristic reminiscent of those of **3a,b**, indicating the formation of the *cis*-isomers exclusively. This structural assignment was further

confirmed by single crystal X-ray diffraction studies of complexes **6a,b** (Fig. 5b). The distortion from square planar geometry in these complexes is slightly less than in the parent complex **3a** with τ_4 values of 0.15 and 0.18 for **6a** and **6b**, respectively (*cf.* 0.21 for **3a**, Table S7[†]).

The electrochemical properties of complexes **6a,b** are comparable to those of complexes **3a–c**, featuring a quasi-reversible oxidation at 0.49 and 0.45 V vs. Ag/AgCl, respectively, and an irreversible reduction below -2.0 V (Fig. S21 and 22[†]). The incorporation of donating groups on the phenolate lowers the redox potentials by about 90 mV (R = Me, **6a**) and 150 mV (R = *t*Bu, **6b**) relative to the parent phenolate complex **3a** (Table 3). These potential shifts indicate enhanced electron density at the metal center and efficient electronic tailoring of the nickel center by ligand modifications.

In these *cis*-triazolylidene Ni complexes, lower reduction potentials are correlated with higher electrocatalytic CO₂ reduction activity (*cf.* Table 2) Indeed, the stronger donor ligands in complexes **6a,b** and the associated low reduction potential improved the catalytic performance. Foot of the wave data analysis revealed a higher *k*_{obs} for CO₂ reduction for **6a** than for the parent complex **3a** (300 vs. 280 s⁻¹; Table 3), and more significantly for **6b** with the lowest reduction potential (*k*_{obs} = 370 s⁻¹). Moreover, the robustness of the catalytically active species is demonstrated by a constant catalytic current over the first 2 h (Fig. 6 and S31[†]). Formate was identified as exclusive product of CO₂ reduction also with complexes **6a,b** with only traces of H₂ and CO as side products. Complex **6b** revealed the highest FE of 83% for formate formation and represents a new benchmark for Ni in this transformation. Moreover, these results demonstrate that ligand tailoring on a molecular level through incorporation of electron-donating substituents provides an efficient strategy for catalyst optimization for this CO₂ reduction and leads in this case to a marked increase of the FE to unprecedented 83%.

While this work is focused on catalyst optimization for the CO₂ reduction half-reaction, obviously also the availability of protons is elementary as the efficiency of the CO₂ to formate transformation is associated with a coupled electron/proton transfer. Variation of the proton source has been accomplished

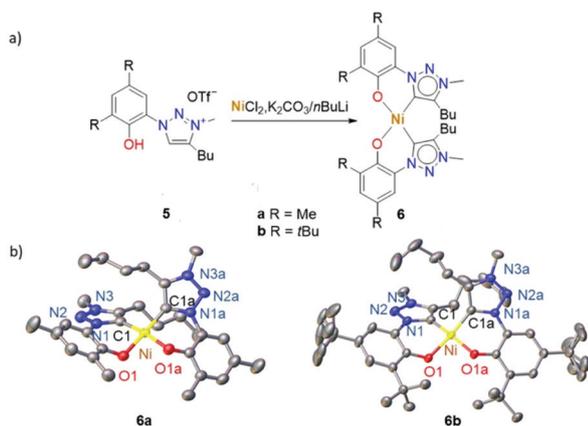


Fig. 5 (a) Synthesis of complexes **6a,b**; (b) ORTEP diagrams of Ni complexes **6a,b** (50% probability thermal ellipsoids, hydrogen atoms omitted for clarity, a denotes symmetry-related atoms). One *t*Bu group in **6b** is disordered about 2 conformations. Selected bond lengths (Å) for **6a**: Ni–C1 = 1.862(2), Ni–O1 = 1.887(1). Selected bond lengths (Å) for **6b**: Ni–C1 = 1.836(1), Ni–O1 = 1.899(1).

Table 3 Faradaic efficiencies (FE) and catalytic rates for CO₂ conversion with complexes **6a,b**^a

| Entry | Complex | <i>E</i> _{pc} ^b [V] | FE _{HCOO⁻} (8 h) [%] | FE _{H₂+CO} (4 h) [%] | <i>k</i> _{obs} ^c [s ⁻¹] |
|-------|-----------|---|--|--|---|
| 1 | 6a | -2.23 | 74 | 4 | 300 |
| 2 | 6b | -2.31 | 83 | 3 | 370 |

^a General conditions: 1 mM complex, at -1.9 V vs. Ag/AgCl, glassy carbon working electrode and Pt foil as counter electrode (see ESI for details[†]) in MeOH/MeCN 1 : 50 v : v with 0.1 M (Bu₄N)PF₆ as supporting electrolyte. ^b Cathodic peak potential *E*_{pc} for Ni^{II}/Ni^I reduction in V vs. Ag/AgCl; 1 mM MeCN solution of Ni complex with (Bu₄N)PF₆ as supporting electrolyte, 250 mV s⁻¹ scan rate, Fc⁺/Fc as internal standard with *E*_{1/2} = 0.36 V vs. Ag/AgCl. ^c Determined from foot of the wave data treatment (see ESI for details[†]).



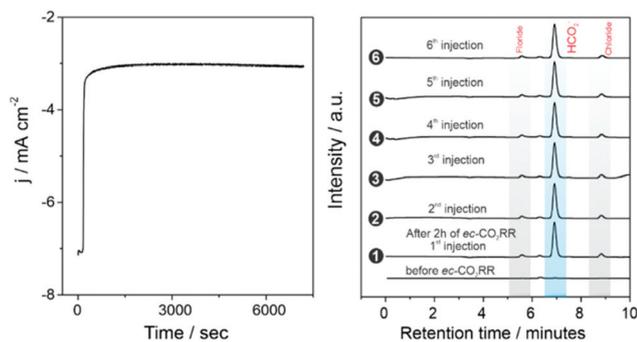


Fig. 6 Electrolysis experiment performed with 1 mM complex **6b**, at -1.9 V vs. Ag/AgCl, using glassy carbon working electrode, in a CO_2 saturated solution of MeOH/MeCN 1:50 v:v with 0.1 M $(\text{Bu}_4\text{N})\text{PF}_6$ as supporting electrolyte (left) and product analysis data on six different injections after 2 h by ion exchange chromatography (right).

by varying the additive to the aprotic solvent (MeCN) from MeOH to different proton donors including PhOH, *i*PrOH, $\text{CF}_3\text{CH}_2\text{OH}$ (TFE), and H_2O (Fig. 7 and S32†). All these proton sources are mediating CO_2 reduction, yet with variable efficiency. Notably, water as the cleanest proton source is tolerated and affords considerable yields of formic acid. Best performance was achieved with TFE, reaching FEs up to 82% within 2 h. These data indicate that formate is exclusively formed at the cathode and not anodically, *e.g.* by partial MeOH oxidation, and that MeOH is not required to achieve high formate yields. Moreover, the broad tolerance of a variety of proton sources provides ample opportunities for optimizing also the oxidation half-reaction for designing an efficient full cell electrolyzer.

Total FE values below 100% suggest parasitic side reactions and catalyst deactivation, which might be triggered by several factors, including acidification of the reaction medium due to the generated formate, (*cf.* H^+ reduction with high HOAc concentrations above). This limitation should be easily mitigated,

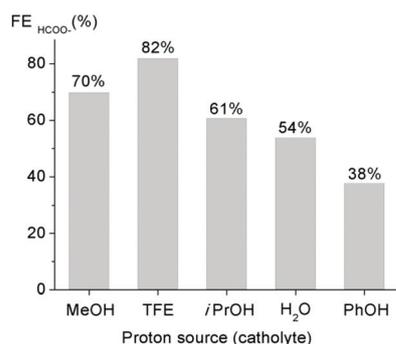


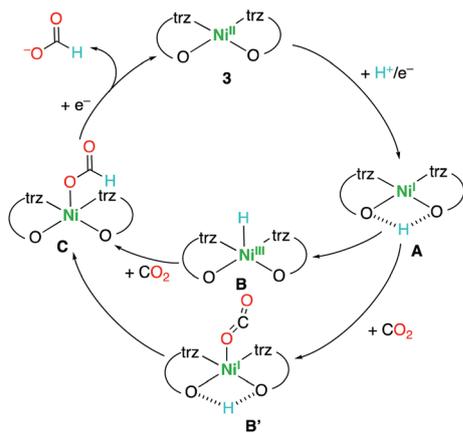
Fig. 7 Comparison of the faradaic efficiencies (FE) for formate in a CO_2 -saturated solution containing 1 mM complex **6b** with 0.5 M of different proton donors (in MeCN containing 0.1 M $(\text{Bu}_4\text{N})\text{PF}_6$ as supporting electrolyte). Electrocatalytic CO_2 reduction was performed at -1.9 V vs. Ag/AgCl for 2 h using glassy carbon working electrode; the formate yield was quantified by ion exchange chromatography (Fig. S32†).

for example, by using a flow reactor. Catalyst deactivation during CO_2 electroreduction was evidenced by a combination of high-resolution scanning electron microscopy (HR-SEM), energy dispersive X-ray spectroscopy (EDX) and X-ray diffraction (XRD) analyses with an electrode containing complex **6b** after 2 h of operation at -1.9 V vs. Ag/AgCl. Post-electrolytic HR-SEM and XRD analyses identified Ni oxide nanoparticles on the working electrode surface, while EDX suggests formation of films that are too thin for detection (Fig. S37†). Specifically, XRD revealed trace 2θ intensities that are characteristic of NiO and Ni_2O_3 on the electrode surface (Fig. S38†). Nickel oxide formation may be rationalized by partial reduction of the complexed nickel to Ni^0 under the cathodic potential applied during electrolysis, which induces complex decomposition and formation of Ni nanoparticles. These electrochemically generated Ni nanoparticles were probably transformed to Ni oxides ($\text{NiO}/\text{Ni}_2\text{O}_3$) only when the electrode was exposed to air after the experiment. Such partial complex degradation thus provides a plausible rationale for the gradual loss of activity during extended reaction times and may account for the uncompensated charge from chronoamperometric experiments as some cathodic charge is involved in the *in situ* reduction of Ni^{2+} to Ni^0 during the CO_2 reduction process. It must be noted, however, that Ni nanoparticles are unable to catalyze CO_2 reduction and only induce H_2 formation (HER), independent of size and shape of these nanoparticles.⁵⁰ Therefore the highly selective reduction of CO_2 to formate as observed here is confidently attributed to the distinct catalytic activity of the molecularly defined NHC Ni complexes.

The production of formate as valuable product from CO_2 reduction is very rare for nickel-based catalysts, as most Ni systems produce CO.⁹ The best complex of the series, complex **6b** shows high faradaic efficiency and exquisite formate vs. CO selectivity. The two other known Ni systems generating formate are less efficient in comparison and produce considerable amounts of CO as by-product (Table S11†).^{10,11} The triazolylidene system presented here offers unique potential, due to the intrinsically high selectivity towards formate production, and because the catalytic rate can be further optimized due to the correlation of rational ligand design and reduction potentials with catalytic activity. Moreover, a variety of proton sources are tolerated, including water. The largest drawback is probably the high overpotential required in comparison to the Kubiak-Sauvage electrocatalyst ($\Delta E = 0.5$ V).¹¹

The poor catalytic activity of the trans isomer points to a key role of the complex geometry. Either, the distortion from square-planar in the *cis* complexes may facilitate the formation of a penta-coordinate nickel(i) intermediate, or more likely, the *cis*-arrangement of the two oxygen donors produces a proton acceptor pocket, especially after one-electron reduction to either a formal nickel(i) complex or a phenoxide radical anion.^{51,52} Proton chelation by the two oxygen units is therefore suggested to form the reduced complex **A** (Scheme 2), presumably through a proton-coupled electron transfer (PCET). This intermediate facilitates the formation of a nickel(III)





Scheme 2 Proposed mechanism for CO₂ electroreduction with complexes **3** (and **6**).

hydride intermediate **B** for CO₂ insertion and generation of the formate complex **C**, which is then readily reduced to release the formate product. Formation of the nickel hydride intermediate is presumed to be key for the product selectivity,^{34–36,53–55} as most known nickel catalysts for CO₂ reduction bind CO₂ directly and therefore produce CO rather than formate.^{20,29–32,54–59} Alternatively, the proton scavenging may localize the negative charge on the oxygen, which facilitates the formation of the η¹-OCO Ni adduct **B'** as another potentially critical intermediate for formate formation.⁶⁰ Attempts to characterize the putative nickel(I) intermediate by spectroelectrochemistry were not successful, as a solution of complex **3a** or **4** did not produce any EPR signal after electrolysis at –2.0 V vs. Ag/AgCl, presumably because the nickel(I) species is not sufficiently stable in the absence of substrates. Nonetheless, this mechanistic proposal provides a rationale for the strong divergence of catalytic activity of the *cis* vs. *trans* complexes.

Conclusions

In summary, we have synthesized and characterized a new class of bis-carbene nickel(II) complexes containing *C,O*-bidentate chelating phenolate-NHC ligands. These complexes are active in the selective electroreduction of CO₂ to formate, reaching up to 83% faradaic efficiency, which is the highest value reported for a Ni-based electrocatalyst to date. Tailoring of the complexes substantially affects activity, with the *cis* isomers outperforming the *trans* analogue and a more nucleophilic nickel center achieving higher activities. The exquisite selectivity towards formate combined with the tolerance of a variety of proton sources hold great promises for optimizing also the anodic half-reaction and to develop a whole cell system for process operation. The unique aspects of this new class of complexes together with the viability of ligand modification, will provide new perspectives towards the design of

novel electrocatalytic systems suitable for small molecules activation.

Experimental section

General

2-Azidophenol **1**, 1-(2-phenol)-imidazole **7** and 2-amino-4,6-di-*tert*-butylphenol were synthesized following procedures reported in literature.^{61–63} The synthesis of all triazolium salt ligand precursors (**2a–c**, **5a–b**) is detailed in the ESI.† All other reagents were commercially available and used as received. Unless specified otherwise, NMR spectra were recorded at 25 °C with Bruker spectrometers operating at 300 or 400 MHz (¹H NMR), and 100 MHz (¹³C NMR), respectively. Chemical shifts (δ in ppm, coupling constants *J* in Hz) were referenced to residual solvent signals (¹H, ¹³C). Assignments are based on homo- and heteronuclear shift correlation spectroscopy. The purity of bulk samples of the complexes has been established by NMR spectroscopy, and by elemental analysis, which were performed at the University of Bern Microanalytic Laboratory by using a Thermo Scientific Flash 2000 CHNS–O elemental analyzer. Residual solvent was confirmed by NMR spectroscopy and also by X-ray structure determinations. High-resolution mass spectrometry was carried out with a Thermo Scientific LTQ Orbitrap XL (ESI-TOF).

General procedure for synthesis of the nickel complexes **3a–c**, **4** and **6a**

The triazolium salt (0.5 mmol), K₂CO₃ (1 mmol) and NiCl₂ (0.3 mmol) were suspended in dry MeCN under N₂ atmosphere. The mixture was stirred at reflux temperature for 16 h and filtered through Celite (5 g). The filtrate was evaporated to dryness and the residue was extracted with CH₂Cl₂ and dried *in vacuo*. The residual powder was purified by column chromatography (Al₂O₃ basic; CH₃CN/CH₂Cl₂ 1:1). Single crystals suitable for X-ray diffraction analysis were obtained by slow diffusion of pentane into a CH₂Cl₂ solution of the complex.

Synthesis of *cis*-[Ni(trz^{Bu}OPh)₂] (**3a**)

According to the general procedure, reaction of **2a** (200 mg, 0.52 mmol), NiCl₂ (44 mg, 0.3 mmol), and K₂CO₃ (140 mg, 1 mmol) in MeCN (10 mL) afford **3a** as a yellow crystalline powder (90 mg, 66%). ¹H NMR (400 MHz, CD₂Cl₂): δ 7.68 (d, *J* = 8.2 Hz, 1H, H_{PhO}), 7.14 (t, *J* = 8.2 Hz, 1H, H_{PhO}), 7.01 (d, *J* = 8.2 Hz, 1H, H_{PhO}), 6.56 (t, *J* = 8.2 Hz, 1H, H_{PhO}), 3.98 (s, 3H, CH₃-N), 2.40–2.22 (m, 1H, C_{trz}CH₂), 2.03–1.83 (m, 2H, C_{trz}CH₂CH₂), 1.58–1.41 (m, 1H, C_{trz}CH₂), 1.38–1.17 (m, 2H, CH₂CH₃), 0.73 (t, *J* = 6.5 Hz, 3H, CH₃). ¹³C{¹H} NMR (101 MHz, CD₂Cl₂): δ 159.92 (C–O), 146.40 (C_{trz}-Bu), 141.66 (C_{trz}-Ni), 129.73 (C_{PhO}-N), 127.41 (C_{PhO}-H), 122.35 (C_{PhO}-H), 119.60 (C_{PhO}-H), 113.16 (C_{PhO}-H), 36.44 (CH₃-N), 31.97 (C_{trz}CH₂), 24.85 (C_{trz}CH₂CH₂), 22.78 (CH₂CH₃), 13.53 (CH₃). HR-MS (ESI): calcd for C₂₆H₃₂O₂N₆Ni [M + Na]⁺ *m/z* = 518.1935 (found 518.1916). Anal. calcd for C₂₆H₃₂O₂N₆Ni (519.28) × 0.5%



CH₂Cl₂: C, 56.34; H, 5.89; N, 14.85. Found: C, 56.33; H, 5.67; N, 14.74.

Synthesis of *cis*-[Ni(trz^{Ph}OPh)₂] (3b)

According to the general procedure starting from **2b** (200 mg, 0.50 mmol), NiCl₂ (42 mg, 0.3 mmol), and K₂CO₃ (140 mg, 1 mmol) in MeCN (10 mL) afforded complex **3b** as a yellow crystalline powder (108 mg, 77%). ¹H NMR (400 MHz, CD₂Cl₂): δ 7.70 (d, *J* = 8.5 Hz, 2H, H_{Ph}), 7.58 (d, *J* = 8.5 Hz, 1H, H_{PhO}), 7.32–7.25 (m, 3H, H_{Ph}), 7.20 (d, *J* = 8.5 Hz, 1H, H_{PhO}), 7.10 (t, *J* = 8.5 Hz, 1H, H_{PhO}), 6.60 (t, *J* = 8.5 Hz, 1H, H_{PhO}), 3.7 (s, 3H, N-CH₃). ¹³C{¹H} NMR (101 MHz, CD₂Cl₂): δ 159.37 (C–O), 145.07 (C_{trz}-Ph), 144.41 (C_{trz}-Ni), 130.15 (C_{Ph}-H), 129.67 (C_{Ph}-H), 129.40 (C_{PhO}-N), 127.44 (C_{Ph}-H), 127.11 (C_{PhO}-H), 126.88 (C_{Ph}-Trz), 122.70 (C_{PhO}-H), 119.29 (C_{PhO}-H), 113.55 (C_{PhO}-H), 37.28 (N-CH₃). HR-MS (ESI): calcd for C₃₀H₂₄N₆NiO₂ [M + Na]⁺ *m/z* = 581.1206 (found 581.1205). Anal. calcd for C₃₀H₂₄N₆NiO₂ (559.26): C, 64.43; H, 4.33; N, 15.03. Found: C, 64.29; H, 4.08; N, 15.15.

Synthesis of *trans*-[Ni(trz^{Mes}OPh)₂] (3c)

The reaction of triazolium salt **2c** (200 mg, 0.45 mmol), NiCl₂ (44 mg, 0.34 mmol) and K₂CO₃ (124 mg, 0.9 mmol) in MeCN (10 mL) according to the general procedure yielded complex **3c** as a yellow crystalline powder (51 mg, 35%). ¹H NMR (400 MHz, CD₂Cl₂): δ 7.80 (d, *J* = 8.5 Hz, 1H, H_{PhO}), 7.02 (s, 2H, H_{Mes}), 6.64 (t, *J* = 8.5 Hz, 1H, H_{PhO}), 6.35 (t, *J* = 8.5 Hz, 1H, H_{PhO}), 4.97 (d, *J* = 8.5 Hz, 1H, H_{PhO}), 3.67 (s, 3H, CH₃-N), 2.39 (s, 3H, CH₃-Mes), 2.25 (s, 6H, CH₃-Mes). ¹³C{¹H} NMR (101 MHz, CD₂Cl₂): δ 157.79 (C–O), 148.98 (C_{PhO}-H), 144.33 (C_{trz}-Mes), 138.72 (C_{trz}-Ni), 137.93 (C_{PhO}-N), 128.40 (C_{Mes}-H), 127.59 (C_{PhO}-H), 127.343 (C_{Mes}-CH₃), 125.79 (C_{PhO}-H), 122.91 (C_{trz}-Mes), 118.23 (C_{PhO}-H), 112.71 (C_{Mes}-CH₃), 35.32 (CH₃-N), 21.02 (CH₃-Mes), 20.32 (CH₃-Mes). HR-MS (ESI): calcd for C₃₆H₃₆N₆NaNiO₂ [M + Na]⁺ *m/z* = 665.2130 (found 665.2145). Anal. calcd for C₃₆H₃₆N₆NiO₂ (643.42): C, 67.20; H, 5.64; N, 13.06. Found: C, 67.00; H, 5.98; N, 12.95.

Synthesis of *cis*-[Ni(imi^{Me}OPh)₂] (4)

According to the general procedure, reaction of 1-(2-phenol)-3-methyl-imidazolium iodide **9** (200 mg, 0.61 mmol), NiCl₂ (42 mg, 0.3 mmol), and K₂CO₃ (200 mg, 1.4 mmol) in MeCN (10 mL) and purification by column chromatography (Al₂O₃; CH₃CN/CH₂Cl₂ 1:2) gave complex **4** as a yellow crystalline powder (90 mg, 70%). ¹H NMR (400 MHz, CD₂Cl₂): δ 7.33–7.12 (m, 3H, 2H_{PhO} + 1H_{imi}), 7.03 (t, *J* = 8.5 Hz, 1H, H_{PhO}), 6.92 (s, 1H, H_{imi}), 6.61 (t, *J* = 8.5 Hz, 1H, H_{PhO}), 3.19 (s, 3H, CH₃-N). ¹³C{¹H} NMR (101 MHz, CD₂Cl₂): δ 159.22 (C–O), 157.62 (C_{imi}-Ni), 127.77 (C_{PhO}-N), 127.62 (C_{PhO}-H), 124.06 (C_{imi}-H), 122.42 (C_{PhO}-H), 118.23 (C_{imi}-H), 117.57 (C_{PhO}-H), 113.64 (C_{PhO}-H), 37.01 (CH₃-N). HR-MS (ESI): calcd for C₂₀H₁₇N₄NaNiO₂ [M + Na]⁺ *m/z* = 426.0597 (found 426.0603). Anal. calcd for C₂₀H₁₇N₄NiO₂ (404.08): C, 59.45; H, 4.24; N, 13.87. Found: C, 59.81; H, 3.95; N, 14.01.

Synthesis of *cis*-[Ni(trz^{Bu}OPh(Me)₂)] (6a)

According to the general procedure, triazolium salt **5a** (200 mg, 0.5 mmol), K₂CO₃ (140 mg, 1.0 mmol) and NiCl₂ (42 mg, 0.3 mmol) were suspended in dry MeCN (10 mL). The residual powder was purified by column chromatography (Al₂O₃ basic; CH₃CN/CH₂Cl₂ 1:1) to afford complex **6a** as a bright yellow crystalline solid (100 mg, 71%). ¹H NMR (400 MHz, CD₂Cl₂): δ 7.27 (s, 1H, H_{Ph}), 6.82 (s, 1H, H_{Ph}), 3.88 (s, 3H, N-CH₃), 2.18 (s, 3H, CH₃), 2.16 (s, 3H, CH₃), 1.91 (s, b, 1H, CH-Pr), 1.81 (s, b, 1H, CH-Pr), 1.56 (s, b, 1H, CH-Et), 1.43 (s, b, 1H, CH-Et), 1.23–1.14 (m, 2H, CH₂-CH₃), 0.66 (t, *J* = 4 Hz, CH₃). ¹³C{¹H} NMR (101 MHz, CD₂Cl₂): δ 156.33 (C–O), 146.28 (C_{trz}-Ph), 142.68 (C_{trz}-Ni), 131.26 (C_{Ph}-H), 130.67 (C_{Ph}-H), 126.21 (C_{PhO}-N), 121.10 (C_{Ph}-H), 117.13 (C_{Ph}-H), 36.47 (CH₃-N), 32.25 (CH₂-Pr), 24.99 (CH₃), 22.98 (CH₂-Et), 20.74 (CH₃), 17.15 (CH₂-CH₃), 13.72 (CH₃). HR-MS (ESI): calcd for C₃₀H₄₀N₆NiO₂ [M + H]⁺ *m/z* = 575.2639 (found 575.2638). Anal. calcd for C₃₀H₄₀N₆NiO₂ (575.38): C, 62.62; H, 7.01; N, 14.61. Found: C, 62.33; H, 7.12; N, 14.54.

Synthesis of *cis*-[Ni(trz^{Bu}OPh(tBu)₂)] (6b)

Triazolium salt **5b** (300 mg, 0.61 mmol) was dissolved in 10 mL of THF in a Schlenk tube under inert atmosphere and the solution stirred at –78 °C for 5 min. After that time a 2.5 M solution of BuLi in hexane (0.56 mL, 1.40 mmol) was added, and the reaction mixture stirred for 30 min and then cannulated to another Schlenk tube containing NiCl₂ (44 mg, 0.34 mmol) suspended in 5 mL of THF. The reaction mixture was stirred for 16 h at room temperature. After that time, the reaction was quenched, and the solvent removed under vacuum. DCM (30 mL) was added to the solid and the suspension was filtrated through a short Celite pad. The solvent was removed to leave a bright orange solid, which was then purified by column chromatography (basic Alox CH₃CN/DCM 1:5) to obtain complex **6b** as a dark yellow powder (280 mg, 62%). ¹H NMR (400 MHz, CD₂Cl₂): δ 7.44 (d, *J* = 2.1 Hz, 1H, H_{PhO}), 7.30 (d, *J* = 2.1 Hz, 1H, H_{PhO}), 4.23 (s, 3H, CH₃), 2.34 (t, *J* = 6.0 Hz, 2H, CH₂-C₃H₇), 1.67 (s, b, 1H, CH₂-C₂H₅), 1.47 (s, b, 1H, CH₂-C₂H₅), 1.37–1.29 (m, 10H, (CH₃)₃-C + CH₂-CH₃), 1.28–1.24 (m, 10H, (CH₃)₃-C + CH₂-CH₃) 0.76 (t, 3H, *J* = 6.0 Hz, CH₃). ¹³C{¹H} NMR (100 MHz, CD₂Cl₂): δ 154.24 (C–O), 145.50 (C_{Ph}), 141.85 (C_{Ph}), 138.89 (C_{Trz}-Ni), 128.53 (C_{Ph}-H), 126.22 (C_{Ph}-N), 122.42 (C_{Trz}-Bu), 121.58 (C_{Ph}-H), 38.89 (C(CH₃)₃), 36.19 (C(CH₃)₃), 34.73 (CH₃-N), 31.70 (CH₃), 29.23 (CH₃), 28.52 (CH₂-C₃H₇), 23.49 (CH₂-C₂H₅), 23.25 (CH₂-CH₃), 13.77 (–CH₃). HR-MS (ESI): calcd for C₄₂H₆₄N₆NiO₂ [M + H]⁺ *m/z* = 743.4517 (found 743.4495). Anal. calcd for C₄₂H₆₄N₆NiO₂ (743.70): C, 67.83; H, 8.67; N, 11.30. Found: C, 67.76; H, 8.88; N, 11.35.

Crystal structure determinations. Suitable crystals of **3a–c**, **4** and **6a–b** were mounted in air at ambient conditions and measured on an Oxford Diffraction SuperNova area-detector diffractometer at *T* = 173(2) K by using mirror optics monochromated MoK_α radiation (*λ* = 0.71073 Å) and Al filtered.⁶⁴ Data reduction was performed by using the CrysAlisPro



program.⁶⁵ The intensities were corrected for Lorentz and polarization effects, and an absorption correction based on the multi-scan method by using SCALE3 ABSPACK in CrysAlisPro was applied. The structures were solved by direct methods by using SHELXT, and all non-hydrogen atoms were refined anisotropically.⁶⁶ All hydrogen atoms were placed in geometrically calculated positions and refined by using a riding model with each hydrogen atom assigned a fixed isotropic displacement parameter (1.2U_{eq} of its parent atom, 1.5U_{eq} for the methyl groups). Structures were refined on F² by using full-matrix least-squares procedures. The weighting schemes were based on counting statistics and included a factor to downweight the intense reflections. All calculations were performed by using the SHELXL-2014 program.⁶⁷ Further crystallographic details are compiled in Tables S1–6 in the ESI.† Crystallographic data for all structures have been deposited with the Cambridge Crystallographic Data Centre (CCDC) as supplementary publication numbers 2004183 (3a), 2004182 (3b), 2004184 (3c), and 2004181 (4), 2050371 (6a), 2050372 (6b).†

Conflicts of interest

The authors declare no competing financial interest.

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