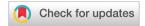
# **Green Chemistry**



### **CRITICAL REVIEW**

View Article Online



Cite this: Green Chem., 2021, 23.

Received 3rd October 2020, Accepted 10th February 2021 DOI: 10.1039/d0qc03334k

rsc.li/greenchem

## CO<sub>2</sub> valorisation towards alcohols by Cu-based electrocatalysts: challenges and perspectives†

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Developing efficient technologies to decrease CO<sub>2</sub> emissions and dealing with climate change issues are among the most critical challenges in worldwide research. This review discusses the most recent advances on the electrochemical transformation of CO2 to alcohols, mainly methanol, ethanol and n-propanol, as a promising way to produce renewable liquid fuels. The main focus is given to copperbased electrocatalyst with different structures (Cu nanoparticles, oxide-derived Cu, and Cu composites) because Cu is up to now the heterogeneous catalyst with the most relevant activity for producing valuable  $C_{1+}$  hydrocarbons and alcohols via  $CO_2$  co-electrolysis. Several factors that impact the reaction activity and selectivities, such as the catalyst morphology, composition, surface structure, electrolyte effects and the electrocatalytic cell design (including liquid-phase and catholyte-free systems) are considered and analysed. This review reports an overview of the state-of-the-art with the most recent investigation highlights. It aims to provide guidance on the best experimental practices, new research directions, and strategies to develop efficient electrocatalysts. An outlook about the main challenges to be still resolved for a future practical application of this technology is also provided, toward a future based on sustainability and independence from fossil fuels.

### Introduction

Since the industrial revolution, anthropogenic activities have impacted the planet's carbon cycle by the emissions of large amounts of greenhouse gases (GHGs), shifting the equilibrium of human history. The carbon cycle itself is resilient and has feedback cycles that would allow it to return to an equilibrium, but it would take thousands of years.<sup>1,2</sup> The increase of GHGs concentrations in the atmosphere involves substantial risks, like warming, glaciers melting, sea-level rise, rapid ecosystem changes, ocean acidification, and extreme weather events.<sup>3</sup> Thus, reducing the atmospheric burden of CO<sub>2</sub> (>410 ppm in 2019), which is the most prevalent and persistent GHG, is essential for limiting those risks. However, the continuous increase of CO2 emissions is caused by the increasing energy demand, that currently depends on 85% on the use and burning of fossil fuels.4 Hence, developing efficient technologies and new approaches to decrease CO2 emissions and deal with climate change issues are among the most important current challenges in worldwide research. 5 CO<sub>2</sub> capture and its utilization as raw material, to synthesize high added-value products, is a promising approach to Tackle Global Warming and introduce carbon into a circular economy loop.

CO<sub>2</sub> is a fully oxidized, chemically inert and very stable molecule from both thermodynamic ( $\Delta G^{\circ} = -400 \text{ kJ mol}^{-1}$ ) and kinetic points of view. Thus, its conversion to any organic molecule is difficult because it involves a change in the carbon oxidation state. In general, it is an endergonic and endothermic process; consequently, it requires substantial energy input. There are three different kinds of processes in which the CO<sub>2</sub> can be used: physical, chemical and biological; the first regards the technological utilization of CO2 without its transformation, while the others are related to its conversion. The chemical ones can be divided into mineralization, photochemical, electrochemical and thermochemical processes. The last two could be considered the most promising approaches for producing fuels and chemicals from CO2. However, optimized reaction conditions and catalysts with high activity and stability are still needed.6

Among the different possible products, alcohol production from CO<sub>2</sub> can lead to a faster transition towards a low C-based economy since they can be stored as liquids at ambient conditions and are compatible with the current energy infrastructure. Methanol and other high-octane alcohols ( $>C_2$ ), like ethanol and propanol, are of particular interest. They can be used as platform chemicals and are emerging as clean and

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<sup>†</sup>Electronic supplementary information (ESI) available. See DOI: 10.1039/ d0gc03334k

sustainable transportation fuels.<sup>7,8</sup> In particular, methanol has been proposed as an alternative energy carrier concerning the hydrogen economy, and is also an intermediate for some bulk chemicals. It could replace gasoline or diesel since it has a high-octane rating (22 MJ kg<sup>-1</sup> and almost half of the volumetric energy density of common fuels). Ethanol and *n*-propanol production by electrosynthesis are also of interest because these alcohols can be directly used as fuels in internal combustion engines.<sup>10</sup> Indeed, ethanol and n-propanol have an energy density (28 and 35 MJ kg<sup>-1</sup>, respectively) comparable to conventional fuels (i.e. 46.4 MJ kg<sup>-1</sup> for gasoline and 45.6 MJ kg<sup>-1</sup> for diesel). Currently, ethanol is extensively used as a fuel additive, but its direct use as fuel or blended with gasoline is increasing. 11 Instead, despite its attractive features, n-propanol is not often used as a direct fuel for petrol engines, but as a solvent12 and as a source of hydrogen in some types of a fuel

The use of alcohols could decrease the  $CO_2$  tailpipe emissions due to their lower carbon-to-hydrogen ratio and improved engine efficiency concerning gasoline and other fossil-based fuels. Besides, the use of  $CO_2$  as a raw material represents an eco-friendly an economic opportunity to produce  $C_{1+}$  alcohols since the  $CO_2$  cost (<80  $\in$  per ton) is much lower than that of the oil-cost ( $\sim500$  \$ per ton). Carbon can be reused and introduced into a circular economy loop, to penetrate the industrial sector (transport or/and chemicals), thus reducing  $CO_2$  emissions and the dependence of external energy suppliers. Hence, in perspective, these green fuels could replace fossil fuels and mitigate global warming at the same time.

Regarding the electrochemical pathway, renewable electricity can be directly used for the water splitting to generate the protons (H<sup>+</sup>) required for the CO<sub>2</sub> hydrogenation to use liquid fuels such as methanol, ethanol and n-propanol. However, the electrocatalytic CO2 reduction (EC CO2R) is often focused on simple 2e<sup>-</sup> reactions, such as CO<sup>17</sup> or formic acid<sup>18</sup> formation. Although syngas (CO and H<sub>2</sub>) production is valuable, because it can be used as fuel or feedstock for current technologies for chemicals production,2 the main disadvantage is its production at low-pressure, which cause high-compression costs of the final product. Consequently, the scientific community is currently addressing the more challenging reactions leading to produce alcohols and other hydrocarbons. The performance of CO2 transformation into fuels or chemicals at Cubased electrodes is among the best that has ever been achieved for CO<sub>2</sub> electroreduction to >C<sub>1+</sub> products. <sup>15</sup> Compared with other technologies for CO2 mitigation, such as carbon sequestration, the electrochemical CO2 conversion is an environmentally sustainable option that would allow closing the C-loop by generating useful products and storing renewable electricity sources. Besides, this process can be carried out under mild conditions like atmospheric temperature and pressure. 19 However, the EC CO<sub>2</sub>R involves complex and multistep mechanisms, including the adsorption and transformation of the reactants and intermediates at the surface of the electrocatalyst via shuttling of electrons (e<sup>-</sup>) and protons (H<sup>+</sup>). The mechanism depends on several factors such as the catalyst material, the kind of electrochemical cell, the electrode configurations and the reaction media (*i.e.* aqueous electrolyte, gaseous phase or aprotic solvents).

This work presents a critical review of the synthesis of alcohols through the electrochemical conversion of CO<sub>2</sub>. It discusses the most recent developments that would bring to an efficient and industrially relevant process. The EC CO2R is an interesting alternative considering both environmental and economic points of view. However, as aforementioned the conversion of CO<sub>2</sub> to alcohols is a very daring task. The main challenge for implementing this technology at industry level is finding the suitable electrocatalyst and optimized process conditions that would result in the selective production of a single product, with a high conversion and production rate. Herein, the more significant works that have been published for the EC CO<sub>2</sub>R in liquid- and solvent-free media will be discussed. The focus is on Cu-based electrode materials since cooper is the only catalyst that has produced alcohols and other hydrocarbons with a relevant activity. Moreover, after analysing the scientific works done so far, special attention will be placed on the CO<sub>2</sub> conversion in catholyte-free systems. Those are the most promising current configurations of electrochemical cells that could bring to a practical application of this technology in the short- to mid-term, to pursue a fossil-fuel-free world.<sup>20</sup> In this regard, it is believed that the current knowledge of thermocatalytic CO<sub>2</sub> conversion could be useful. It could provide a bridge for making faster progress in developing new efficient CO2R electrocatalysts. Therefore, a brief discussion on the most relevant achievements in CO<sub>2</sub> hydrogenation for methanol production at high temperatures and pressures is also pointed out.

# 2 CO<sub>2</sub> conversion: thermocatalytic *vs.* electrocatalytic routes

# 2.1 Useful knowledge from the thermocatalytic ${\rm CO_2}$ reduction

A considerable amount of literature has been published on thermocatalytic CO2 conversion, including the Sabatier and Fisher-Tropsch processes. This kind of technology is well known for producing synthetic fuels and can be easily scaledup at an industrial level.<sup>21-24</sup> In this process, hydrogen reacts with CO2 via hydrogenation reaction to generate methanol and water at high reaction temperatures and pressures (≥220 °C and  $\geq 2$  MPa H<sub>2</sub>), due to low kinetics under milder conditions. To be sustainable and independent from fossil fuels, the H<sub>2</sub> can be produced by water electrolysis with renewable energy.<sup>25–28</sup> Currently, industrial methanol production is made by syngas (H2/CO mixtures) hydrogenation, although the attempts to perform it with CO2 started from the early 1960s. It has been demonstrated that adding a small quantity of CO<sub>2</sub> to the syngas could improve the reaction yield.<sup>29</sup> Currently, the CO<sub>2</sub>-to-methanol conversion by the thermochemical process has reached an adequate development level. It is a promising

route to produce low-C fuels, although it has not already earned an industrial scale because of economic reasons. Since 2009, the methanol installed production capacity ( $\sim 100$  million tons per year) is rising around 10% annually, and only 2% utilize  $CO_2$  as feedstock.  $^{32,33}$ 

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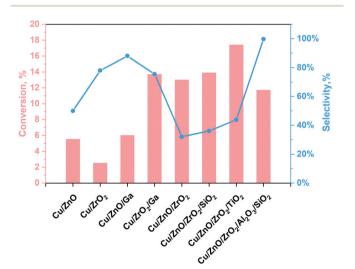
The main reactions involved in this process are three:  $CO_2$  and CO hydrogenation to methanol and the Reverse Water Gas Shift (RWGS) reaction, as reported in eqn (1), (2) and (3), respectively.<sup>34</sup>

$$CO_2 + 3H_2 \rightleftharpoons CH_3OH + H_2O \quad \Delta H_{r298 \text{ K}}^{\circ} = -49.16 \text{ kJ mol}^{-1}$$

$$CO + 2H_2 \rightleftharpoons CH_3OH \quad \Delta H_{r,298K}^{\circ} = -90.77 \text{ kJ mol}^{-1}$$
 (2)

$$CO_2 + H_2 \rightleftharpoons CO + H_2O \quad \Delta H_{r298K}^{\circ} = +41.21 \text{ kJ mol}^{-1}$$
 (3)

The main challenges of this process are still the low selectivity and conversion efficiency of the currently used catalyst, under mild conditions, being Cu-based materials the most promising ones. The yield towards methanol production of some relevant Cu-based materials reported in the literature are illustrated in Fig. 1 and Table S1 (see ESI†). As shown in Fig. 1, amphoteric metal oxides (i.e. SiO2, Al2O3, TiO2, ZnO, ZrO<sub>2</sub>)<sup>23,35-38</sup> and some promoters (i.e. Ga)<sup>22</sup> have been investigated as Cu supports for improving the performance of the catalyst. ZnO and ZrO2 are usually used as supports because they ensure a fair distribution of the active phase. In contrast, Al<sub>2</sub>O<sub>3</sub> is used as both support and promoter because it enhances the thermal stability and specific surface area of the catalyst. In addition, ZnO can preserve the catalyst from poisoning phenomena as it can absorb impurities present in the reagent mixture while keeping the active catalyst sites (normally Cu). Besides, catalyst-containing ZrO2 are more stable and have a high tolerance for water. 38,39 Several authors claim that carbon dioxide is absorbed on ZnO and ZrO2, while Cu adsorbs dissociated hydrogen. 35,36 On the other hand, SiO2 is



**Fig. 1** Outstanding results following TC reactions for methanol production from our work<sup>40</sup> with data taken from ref. 23 and 35–38.

a support that improves the performance of the catalyst by increasing its specific surface area, it also attributes basicity to the surface and increases the methanol selectivity. However, it has the disadvantage of a high water adsorption capacity that is a strong inhibitor for the process because it induces the reverse reaction in eqn (1) and (3). The effect of  $\text{TiO}_2$  can be attributed to the formation of a more significant number of basic sites and smaller crystals with a greater specific surface area. Instead, Ga is a promoter for the Cu catalyst, which allows the formation of  $\text{Cu}^{1+}$ , contributes to the methanol selectivity and gives good resistance to sintering phenomena. According to the literature, the optimal working conditions have been observed in the 220–270 °C and 2–8 MPa range of temperature and pressure, obtaining  $\text{CO}_2$  conversion values per pass in the reactor lower than 20%.

Some reports have recently provided an outlook of future opportunities for hydrogenation of CO<sub>2</sub> into C<sub>2+</sub> compounds. 41-43 As mentioned above, C2+ oxygenate products are desirable since they have the undoubted advantage of a higher energy density than methanol. However, no commercial implementation has yet been reached. The catalysts used for hydrogenating CO2 in this context are Cu, Fe, and Co-based materials or hybrid catalytic systems with these active sites but also containing noble metals and/or metal oxides with oxygen vacancies. Nonetheless, enhancement of this process by process conditions optimization and novel catalysts development remains an ongoing challenge due to high C-C coupling barriers. 38,44 It has been found that such C-C coupling mechanisms could happen via CO or CH3OH intermediates. The former involves the initial generation of CO via RWGS reaction and then the CO intermediate hydrogenation via Fisher-Tropsh-like synthesis (eqn (2)). This pathway proceeds through two reaction mechanisms, namely a redox process (hydrogen acts as a reductant, and does not participate in the formation of intermediates) and/or an associative route (decomposition of intermediates species derived from the association of hydrogen with CO<sub>2</sub>); while the later combines the CH<sub>3</sub>OH synthesis (eqn (1)) with the methanol-to-hydrocarbon process. 44 For the synthesis of methanol, many studies support the formation of formate species (HCOO\*) as the first hydrogenated specie in the CO2 reaction mechanism. Indeed, Grabow and Mavrikakis,45 have performed detailed DFT calculations for demonstrating that the CO2 hydrogenation goes through the formate route on commercial Cu/ZnO/Al2O3. They showed that the hydrogenation of formate (HCOO\*) leads to the formation of formic acid (HCOOH\*) rather than dioxymethylene (H<sub>2</sub>COO\*), which has been previously suggested. Then, HCOOH\* is further hydrogenated to form CH3O2\*, which is successively transformed to CH2O\* by splitting of its OH group. In the final step, the hydrogenation of CH2O\* would yield methoxy (CH<sub>3</sub>O\*) and, successively, to CH<sub>3</sub>OH (see Fig. 2). However, the activation mechanism and C-C coupling steps already require further research.

Even if the thermochemical route for hydrogenation of CO<sub>2</sub> is at an advanced level of development, it has some limitations as listed below:<sup>39,47</sup>

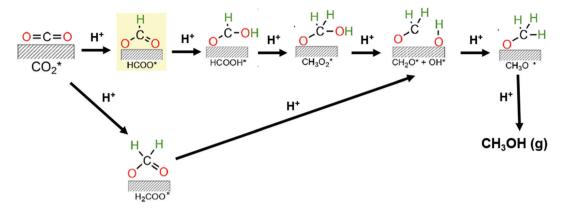


Fig. 2 Proposed reaction mechanisms for the CO<sub>2</sub> hydrogenation toward methanol. Adapted from ref. 45 and 46.

- The formation of valuable chemicals is carried out through multistep process units, commonly starting from fossil fuel-based feedstocks, which involve high operating costs and low energy efficiency.
- Most developed catalysts have a poor stability and/or can be poisoned because of their high H<sub>2</sub>O sensitivity.
- This process requires a high energy demand: high temperatures (>250 °C) and pressures (>20 bar), which leads to high operational costs and environmental impact. Nevertheless, the more renewable energy sources are employed in the process (e.g. hydrogen, electricity), the lower is the costs and environmental impact of this technology.

Notwithstanding the limitations, the knowledge advances made on this field could help to develop the EC CO<sub>2</sub>R process, to find new catalysts formulations or improved operative conditions, as it will be discussed later (see section 4).

#### 2.2 Challenges of the electrocatalytic CO2 reduction

Low temperature electrochemical CO2 conversion is an attractive way to improve the yield to alcohols like methanol or C<sub>2+</sub> products. However, it is an ongoing challenge due to the complexity of reaction mechanisms with multiple steps and the high C-C coupling barriers (as it will be discussed below in more detail). The main advantage of the EC CO2R process is the direct use of renewable electricity and water, as electrons (e<sup>-</sup>) and proton (H<sup>+</sup>) sources, respectively, to convert CO<sub>2</sub> into chemicals or fuels that are traditionally produced from petroleum. Thus, although the EC CO<sub>2</sub>R is at a lower development level than the conventional thermochemical processes, it can be beneficial in terms of economics and environmental impact, because milder reaction conditions can be used (i.e. room temperature and pressure). Besides, electricity could be obtained from renewable electricity sources to drive the process.

Nonetheless, further efforts are still needed to reach relevant electrocatalytic performances of EC  $\rm CO_2R$  systems towards high volumetric- and mass-energy density alcohols. If the total generated current density of the  $\rm CO_2$  co-electrolysis cell exceed a certain threshold (200–400 mA cm<sup>-2</sup>), contemporaneously, other critical parameters required to establish this technology

at a large scale should be achieved, such as a high Faradaic efficiency (FE >70%) and a low cathodic overpotential of <0.5 V. $^{49,50}$  As will be understood, the electrochemical  $\rm CO_2$  reduction is a multidisciplinary problem since several factors must work in synergy to drive the reaction in the desired direction, which represents a challenge for the development of this technology. As shown in Fig. 3, these factors involve the optimization of the reaction medium, operating conditions, electrochemical reactor and nature of the electrocatalyst.

Although the future for the CO<sub>2</sub>-based electrosynthesis processes is promising, most current research works have focused on catalysts development (*e.g.* metal electrodes, nano-particulate catalysts). Simultaneously, few studies related to the overall electrochemical system design and a direct comparison (with the same catalysts materials) between batch operations and continuous operations, different electrolytes, membranes, *etc.* When motivation is solely on catalyst selectivity, it can lose sight of the parameters mentioned above, which are required for scaling-up this technology at an industrial level.<sup>28</sup> As will be seen in the next sections, recently there are much more outstanding realistic and practical results achieved by electrochemical processes; as well as, a diversity of electrochemical reactors has been developed to achieve the scale-up of this process.<sup>51–53</sup>

# 2.3 Thermodynamics, kinetics and reaction mechanism aspects of the EC CO<sub>2</sub>R to alcohols

Carbon dioxide is a highly stable molecule with a free Gibbs formation energy ( $\Delta G^{\circ}$ , at standard conditions) of  $-400~\rm kJ$  mol $^{-1}$ . Therefore, a large amount of energy is needed to convert  $\rm CO_2$  into added-value products. Table 1 shows a list of the standard reduction potentials (at 1 bar, 25 °C, pH = 0) for EC  $\rm CO_2R$  to commonly reported electrochemical products.

As mentioned in Table 1, the reported reactions are related to the cathodic half-cell reactions. The overall water splitting and  $CO_2R$  reactions also involves the Oxygen Evolution Reaction (OER):  $2H_2O \rightarrow O_2 + 4e^- + 4H^+$ , which take place at the anode side ( $E^\circ = 1.23~V~\nu s.~NHE$ ). This means that the numbers of electrons and protons in each reaction (cathodic and anodic) must be equal. It is essential to mention that

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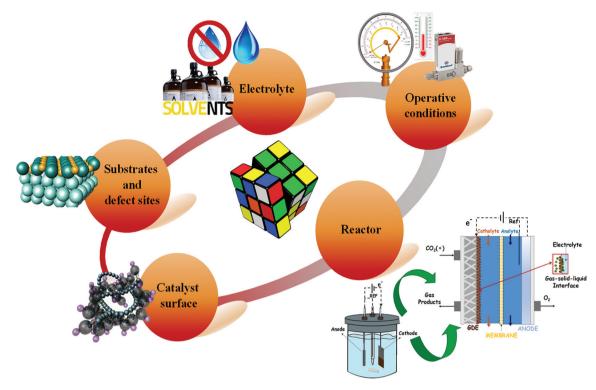


Fig. 3 Factors influencing the electrochemical CO<sub>2</sub> conversion performance

Table 1 CO<sub>2</sub> reduction products and corresponding standard reduction potential ( $E^{\circ}$ ) vs. Normal Hydrogen Electrode (NHE) at pH = 0

Cathodic half-cell reaction	$E^{\circ}$ , V vs. NHE at pH = $0^a$
$4H^+ + 4e^- \rightarrow 2H_2$	0.000
$CO_2 + 2H^+ + 2e^- \rightarrow CO + H_2O$	-0.105
$CO_2 + 2H^+ + 2e^- \rightarrow HCOOH$	-0.169
$CO_2 + 4H^+ + 4e^- \rightarrow HCHO + H_2O$	-0.141
$CO_2 + 6H^+ + 6e^- \rightarrow CH_3OH + H_2O$	0.017
$CO_2 + 8H^+ + 8e^- \rightarrow CH_4 + 2H_2O$	0.169
$2\text{CO}_2 + 10\text{H}^+ + 10\text{e}^- \rightleftarrows \text{CH}_3\text{CHO} + 3\text{H}_2\text{O}$	0.050
$2CO_2 + 12H^+ + 12e^- \rightleftharpoons C_2H_5OH + 3H_2O$	0.084
$2CO_2 + 12H^+ + 12e^- \rightarrow C_2H_4 + 4H_2O$	0.079
$2\text{CO}_2 + 14\text{H}^+ + 14\text{e}^- \rightarrow \text{C}_2\text{H}_6 + 4\text{H}_2\text{O}$	0.142
$3\text{CO}_2 + 16\text{H}^+ + 16\text{e}^- \rightleftarrows \text{CH}_3(\text{CO})\text{CH}_3 + 5\text{H}_2\text{O}$	-0.140
$3\text{CO}_2 + 18\text{H}^+ + 18\text{e}^- \rightarrow \text{C}_3\text{H}_7\text{OH} + 5\text{H}_2\text{O}$	0.099
$CO_2 + e^- \rightarrow CO_2^{\bullet-}$	$-1.486^{b}$

<sup>&</sup>lt;sup>a</sup> All of the EC CO<sub>2</sub>R standard potentials (E°) here reported were calculated *via* Gibbs free energy of reaction values taken from ref. 1. <sup>b</sup> The *E* ° value for the of the formation of the CO<sub>2</sub> - radical is the only exception, which was calculated from the Nernst equation with  $E^{\circ}$  (at pH = 7) = -1.90 V vs. NHE, as reported in ref. 54 and 55.

several research studies have reported the standard potentials for the common CO2 reduction products experimentally found.56-58 Nevertheless, they present variations from each other due to the use of different standard states (for instance, aprotic-instead of aqueous-solvents) and/or different potential scales.

In general, a more positive  $E^{\circ}$  indicates that the reaction is thermodynamically favourable, bearing in mind the theoretical

relation:  $\Delta G^{\circ} = -nFE^{\circ}$ , where n is the number of electrons transferred during the redox reaction, and F is the Faraday constant. Therefore, electroreduction products like alcohols, whose  $E^{\circ}$  is more positive (see Table 1), should actually be thermodynamically more favourable than CO or HCOOH. Nonetheless, CO or HCOOH are generally more easily obtained. This behaviour can be ascribed to the fast kinetics of the reactions with a lower number of exchanged protons and electrons (2H<sup>+</sup> and 2e<sup>-</sup>), as well as to the more complex mechanism of the reactions with a higher number of protoncoupled-electron-transfer (PCET) processes. In addition, early researches found that the rate-determining step (RDS) for most of the EC CO<sub>2</sub>R products is the formation of the CO<sub>2</sub>. radical. As evidenced in Table 1, the standard potential for producing this radical specie is relatively high with respect to the other products. Thus, the major causes of the usually reported high cell overpotentials (the difference between the theoretical thermodynamic potential for the desired product and the real applied potential) are: (i) the high energy needed for the formation of this RDS radical, (ii) the kinetic barriers of multi-PCET, (iii) ohmic losses due to ionic conductivity of the electrolyte, and (iv) mass transport restrictions in the electrodeelectrolyte interface.<sup>59</sup> For this reason, it is difficult to suppress the hydrogen evolution reaction (HER), which is kinetically more favourable.

Most of researchers often are focused in the electrocatalytic CO<sub>2</sub> reduction reaction with the simplest 2e<sup>-</sup> transfer, such as CO and formic acid (or formate salts) production, for which the best performance has been obtained in literature up to

now. In this regard, CO (or syngas) production in Ag-based Membrane Electrode Assembly (MEA) or Gas Diffusion Electrode (GDE) systems have achieved a FE of 70%-95% and current densities (j) of 50 to 300 mA cm<sup>-2</sup> with a good stability (>550 h). 17,57,60 On the other hand, formate has been produced in a Pd-Pb catalyst in an H-cell configuration, reaching 80% of FE but at a lower current density (11 mA cm<sup>-2</sup>) and stability (228 h).61 Regarding these products, attempts to demonstrate the CO<sub>2</sub> electroreduction technology been already done. Indeed, Avantium recently patented a catalyst composed of 1:1 weight ratio of bismuth to indium, which offers improved catalytic properties for the formation of formate from carbon dioxide, achieving FE = 95% up to 200 mA cm<sup>-2</sup>. From a current techno-economic point of view, these two products are economically viable products with net present values of \$13.5 million and \$39.4 million (for CO and formic acid, respectively).<sup>50</sup>

It is also important to mention that ethylene is the major product obtained in literature from CO2 electroreduction on Cu-based catalysts. This has been the main C2 product obtained with high FE yields (<70%) and with high stability of up to 150 h.62 Meanwhile, C2+ alcohols like ethanol and n-propanol can become more profitable if acceptable electrocatalytic performances are reached because they have a much higher market potential, since the capital and operating costs of ethylene under an optimistic case are relatively high due to the large amount of electricity needed per kg of product.

To render profitable the production of alcohols from EC CO<sub>2</sub>R, improved catalytic performances are needed. The catalyst should be active, selective and stable. Specifically, early DFT calculations have reported that there are 2 uphill reaction mechanisms that limit the activity and selectivity of a copper catalyst to alcohols (see Fig. 4). First, the formation of \*COOH through CO<sub>2</sub> activation and hydrogenation is the RDS, in this case, leading to the production of \*CO. Second, the hydrogenation of the so formed \*CO is the Selectivity Determining Step (SDS). 56,63-66 As shown in Fig. 4(a), there are two possible SDS: formation of \*CHO (path 1) or \*COH (path 2), being the generation of CH<sub>4</sub> more prone than methanol (through path 1), since it is more feasible the hydrogenation in solution than on the catalyst surface. This is due to the weak binding energy  $(E_{\rm B})$  of Cu for H and to the moderate  $E_{\rm B}$  of Cu for O or OH. <sup>65,67</sup> Therefore, it represents a challenge the tuning of the adjacent chemical environment around Cu atoms and of the binding strengths to the desired intermediates on the catalyst surface (e.g. weaken  $E_{\rm B}$  of OH groups), in order to favour the alcohol product without affecting the other steps in the catalytic system. Fig. 4(b) shows the reaction mechanism for the formation of ethanol and *n*-propanol, which share common intermediates along their reaction pathways, being the \*CO-CO coupling the RDS for the formation of this kind of oxygenates. The C-C coupling leads to the formation of \*CH<sub>2</sub>CHO and then to the SDS, that is, the direct conversion of the \*CH2CHO intermediate to ethylene (C2H4) or subsequent additional

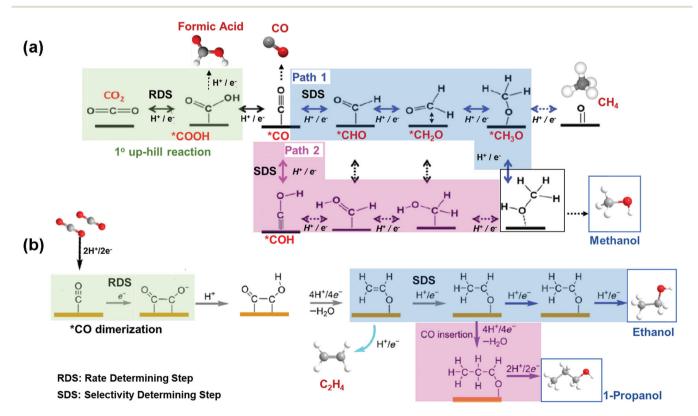


Fig. 4 Competing reaction pathways for CO<sub>2</sub>RR to (a) methanol vs. formic acid, CO and methane, and (b) C<sub>2+</sub> alcohols vs. ethylene. Adapted from ref. 63 and 56, respectively.

steps for its conversion to ethanol; while the energy needed to generate C<sub>2</sub>H<sub>4</sub> is lower than the energy required to produce ethanol. On the other hand, 1-propanol could be generated via CO insertion on stabilized  $C_2$  intermediates.

As shown above, the electrochemical reaction mechanism is essentially constituted by a shuttling of electrons and protons, but it also involves a more complex process requiring adsorption and transformation of the reactants, through intermediates, on the electrocatalyst surface. The process efficiency heavily depends on the reaction conditions, and the low solubility of CO<sub>2</sub> (~38 mM at 20 °C and ambient pressure in water) in the reaction medium is also a controlling factor of the effects on mass transfer limitations. Thus, the conversion of CO<sub>2</sub> in the gas phase would avoid the CO<sub>2</sub> solubility issue of the liquid phase systems and the necessity of using high pressures (to increase the CO<sub>2</sub> solubility in liquid electrolytes). It would also reduce downstream separation costs due to the high product concentrations and, in principle, should reduce side-reactions like H2 production. Nonetheless, although the gas-phase CO2 conversion has gained more attention in the past years, most of the scientific research reported until now on the electrochemical CO2 reduction has been done in aqueous environments. Sections 3.1 and 3.2 summarize the most recent works for the electrochemical CO2 reduction to alcohols in liquid and gas-phase systems, respectively. It will be reported how some of the challenges mentioned above have been addressed and what of them still remains unresolved. Strategies to exploit the opportunities of electrochemistry in

the conversion of CO2 and to overcome the weaknesses of this technology will be also pointed out in sections 4.

#### 3 Advances in knowledge of CO<sub>2</sub> conversion via electrocatalytic routes

#### 3.1 Electrochemical CO<sub>2</sub> reduction: liquid-phase electrolytic solution

The possibility of reducing CO2 to valuable products has been a rapidly expanding field of research in recent years. 68,69 Much work has been done on Cu, oxidized Cu and Cu-based materials to understand their activity selectivity and stability.<sup>70,71</sup> This is because Cu-based materials are generally considered the only ones that catalyse the electrochemical CO2 reduction to hydrocarbons and oxygenates in relevant quantities. However, high overpotentials, a low selectivity to a single product and competitive side reactions (like the HER) persist. The subsequent discussion of this review is focussed on Cu and Cu-based electrocatalysts, and their ability to catalyse the EC CO<sub>2</sub>R towards methanol, ethanol and n-propanol as liquid products.

Most of the results reported in the literature for alcohols production have been obtained in typical three-electrode systems as those shown in Fig. 5: (a) an undivided electrochemical cell, (b) an H-type cell, (c) a two compartments cell, or (d) a three compartments cell. Instead, in some of the works, the electrocatalysts (cathode and anode) have been

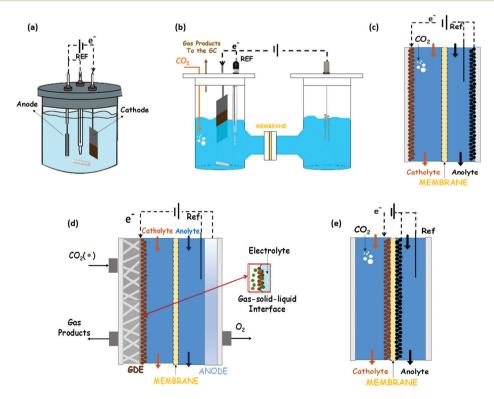


Fig. 5 Schematic concepts of (a) traditional 3-electrode cell, (b) H-type cell, (c) two compartments cell, (d) Gas Diffusion Electrode cell and (e) Membrane Electrode Assembly for electrochemical CO2 reduction reactions.

assembled with a membrane into a MEA, which is the best way to reduce the gap between these two electrodes, as reported in Fig. 5(e).

**3.1.1** Electrosynthesis of methanol. The most relevant data from literature for methanol generation obtained by using CO<sub>2</sub>-saturated electrolytes are shown in Fig. 6 and Table S2 (ESI†), which aims to provide a general overview of the developed catalysts performance.

As can be seen from Fig. 6, until now, the synthesized  $Pd_{83}Cu_{17}$  (see Fig. 6a) aerogel<sup>83</sup> and  $Cu_{1.63}Se(1/3)$  (see Fig. 6b) nanocatalyst  $(\sim 50 \text{ nm})^{73}$  are the only materials that have displayed contemporaneously a high current density (32 and 42 mA cm<sup>-2</sup>, respectively) and a high FE to methanol (80% and 78%, respectively). However, these great performances have been achieved with the use of expensive ionic liquids (i.e. [Bmim]BF4 or [Bmim]PF6) as cathodic electrolytes and CO<sub>2</sub> bubbling (<10 mL min<sup>-1</sup>) in both cases, while a 0.5 M H<sub>2</sub>SO<sub>4</sub> aqueous solution was used as the anodic electrolyte. It is also worth to notice that F-containing ionic liquids can also generate HF during the co-electrolysis process, which also could cause hazardous and safety issues in a large-scale

Yang H. et al. 74 achieved noticeable performance in this kind of cell by using stable and efficient Cu single atoms decorated through-hole carbon nanofibers (CuSAs/TCNFs), which were directly used as cathode without any binder and vehicle. The morphology of this electrocatalyst is shown in Fig. 7(c). They used a 0.1 M KHCO<sub>3</sub> cathodic electrolyte that was continuously purged with CO2 gas for carrying out the co-electrolysis reaction. The systematic fashion of the porous structure expose Cu single atoms on the surface and helps the diffusion

of CO2. The CuSAs/TCNFs membrane presented high stability for more than 50 h with the best current density (93 mA cm<sup>-2</sup>) obtained until now and 44% of FE for methanol production. Textural parameters such as morphology, porous structure and particle size (~50 nm) or nanowire (or -fibres) diameter (6-700 nm), as well as the possible cooperative effect between the metals (e.g. Pd and Cu; Cu and Se), made the difference in the catalytic performance of these three catalysts. Despite these results, the H-type electrochemical cells have not achieved relevant production rates yet.

Electrocatalysts immobilized on a porous and conductive substrate (typically carbon paper or carbon cloth as shown in Fig. 5(d)) have been studied to enhance mass transport within the cell and reduce ohmic losses and pursue high current densities to achieve industrially reasonable production rates. The immobilization of electrocatalysts on these substrates to make GDEs improves the performance of the process by increasing the current density for target chemical products, because of the increase of the CO<sub>2</sub> concentration on the catalyst surface. This kind of configuration was initially developed for water electrolysis and fuel cell systems. It provides a triple-phase boundary of CO2 gas, catalyst surface and electrolyte. Thus, this cell configuration (usually known as a gas-phase reactor, because of the gaseous CO2 reactant) has shown an outstanding performance in contrast to CO2-saturated electrolytic solution. 20,69 It is important to note that the MEA configuration (Fig. 5(e)) can also be prepared with only one of the electrocatalyst of the system (only the cathode or only the anode attached to the membrane). Indeed, in the GDE configuration shown in Fig. 5d the membrane form a MEA with the anodic electrocatalyst.

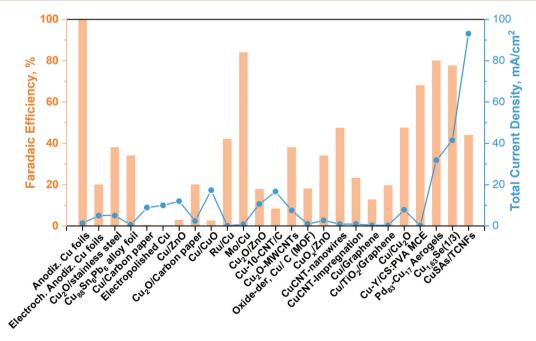


Fig. 6 Cu-Based electrocatalyst towards methanol production from CO<sub>2</sub> in a liquid electrolyte (batch conditions). Modified from our work<sup>40</sup> with updated data taken from ref. 71-83.

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(a)
Cu
Pd
20 nm
Cu
Cu
Cu
ADADF
C

Fig. 7 (a) TEM and EDX mapping images (scale bar: 20 nm) of  $Pd_{83}Cu_{17}$  aerogel. Reproduced from ref. 83 with permission of *Angew. Chem.* (b) TEM image of the  $Cu_{1.63}Se(1/3)$  nanocatalysts and the inset is the corresponding elemental mapping (scale bar = 100 nm). Reproduced from ref. 73 with permission of Nature Communications. (c) High resolution-TEM images of CuSAs/TCNFs; the inset of (c) shows the SAED pattern and EDX mapping of a single CuSAs/TCNFs nanofiber. Reproduced from ref. 74 with permission of American Chemical Society.

Within this context, Albo J. et al.84 developed Cu2O and Cu<sub>2</sub>O/ZnO GDEs to produce methanol from liquid-phase EC CO<sub>2</sub>R by using a filter-press electrochemical cell. The goal was to reduce mass transfer limitations founded in their previous work,<sup>75</sup> where a two-compartments configuration such as that shown in Fig. 5(c) was used. The use of a three-phases system significantly increased the catalysts performance by reducing mass transfer limitation issues. The maximum achieved faradaic efficiency (at -10 mA cm<sup>-2</sup>) to methanol was of 42% and 28% for their Cu<sub>2</sub>O and Cu<sub>2</sub>O/ZnO-GDE electrodes, respectively, by supplying a CO<sub>2</sub> gas flow at 200 mL min<sup>-1</sup> through the GDE. The electrolyte solution (0.5 M KHCO<sub>3</sub>) was previously saturated with CO2. They stated that the inclusion of ZnO might increase the catalytic activity since it is known to strengthen and stabilize Cu and CO2 link in the hydrogenation reaction, improving the selectivity to alcohols. 75,85-88 This is related to the thermocatalytic CO2 hydrogenation mechanism with analogous catalysts. However, it was detected the deactivation of the catalysts surface that they allege to be probably linked to the detachment of the catalytic particles during operation, rather than be due to catalyst poisoning. Indeed, the Cu<sub>2</sub>O/ZnO-GDE electrode was stable over 20 h, where peeling off the catalyst from the carbon paper was observed in a lower quantity compared to the Cu<sub>2</sub>O-GDE surface. Finally, they carried out an evaluation of some key parameters on the EC CO<sub>2</sub>R: (i) FEs did not show an increment at higher current densities (ranging from 5 to 40 mA cm<sup>-2</sup>); the FE to methanol displayed the maximum values at 10 mA cm<sup>-2</sup>; (ii) a raise in the electrolyte flow rate did not produce a significant change on the methanol production rate; (iii) there was an optimal CO<sub>2</sub> gas flow rate to avoid the deactivation of the catalyst (detachment of particles from carbon paper).

On the other hand, some researchers have paid great attention to metal-organic frameworks (MOF), due to their high surface area and unique structure. In this regard, Albo J. et al.89 tested different Cu(II) and Bi(III)-based metal-organic framework blends (HKUST-1 and CAU-17, respectively) to study the synergic effect of Cu and Bi in the electroreduction of CO2 in a filter-press configuration cell with a CO2 flow rate of 200 mL min<sup>-1</sup>. These materials have been deposited on a carbon paper to form GDEs, whereas the anode used was a platinized titanium electrode. The continuous electroreduction was carried out in a 0.5 M KHCO3 electrolyte. Their results denoted an alcohols selectivity dependence on both current densities and bismuth content: the maximum FE to alcohols was of 36.9% (8.6% for methanol and 28.3% for ethanol), achieved for a blend with a bismuth content of 12% (CuBi<sub>12</sub>) and at  $j = 20 \text{ mA cm}^{-2}$ . Moreover, they found out that the reaction is more selective towards methanol at 10 mA cm<sup>-2</sup>, while ethanol is the dominant product at 20 mA cm<sup>-2</sup>.

3.1.2 Electrosynthesis of ethanol and n-propanol. As aforementioned, reduction products, such as ethanol and n-propanol will be economically viable only if high production rates are achieved. <sup>50</sup> According to this, scientific researches and electrocatalysts that have achieved relevant rates to these products will be discussed below, in order to show and evaluate the process conditions and different strategies that have led to improved reaction performances. Fig. 8 shows the most relevant results obtained in the literature until now in a continuous-flow electrochemical  $CO_2$  reduction system.

Among the best results for  $C_{2+}$  alcohols production, there is an example of a photo-electrocatalytic material for the  $CO_2$  reduction. Indeed, Homayoni H. *et al.*<sup>103</sup> showed that solar illumination of a hybrid  $CuO/Cu_2O$  nanorod arrays supported on Cu foil (photocathode) can be used to photo-generate alcohols in a continuous-flow photoelectrochemical (PEC) microreactor. A proton exchange membrane separated the cathode and anode compartments in the flow cell, and the supporting electrolyte was 0.1 M sodium bicarbonate. The performance of this Cu-based photocathode was >5 times higher than in several batch systems. The primary products were methanol (FE 4%), ethanol (FE 52%) and isopropanol (FE 40%) with a total photocurrent density of 20 mA cm<sup>-2</sup> for 2 h. The high surface-area-to-volume ratio resulting from the narrow reaction

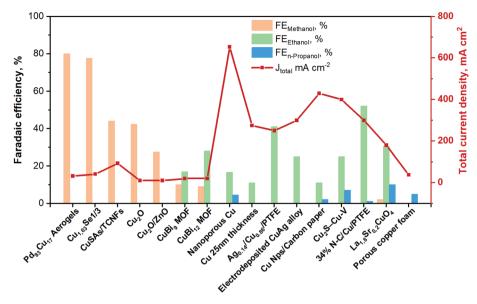


Fig. 8 Faradaic efficiencies and total current densities of the best liquid-phase EC CO<sub>2</sub>R electrocatalysts towards ethanol and n-propanol production in contonuous-flow system. Authors with data taken from ref. 62, 84 and 89-102.

channels resulted in an enhanced photocurrent and faradaic efficiency. If the utilized incident light was sunlight, this process would represent a sustainable route to combine renewable energy with CO2 storage in these fuels/chemicals. However, current Cu<sub>2</sub>O-based photoelectrocatalysts materials for EC CO2R still suffer from poor stability (mainly due to the catalysts transformation and the reduction of Cu<sup>1+</sup> to Cu<sup>0</sup>). Further efforts are needed to enhance and maintain their current densities to a level with practical significance.

Regarding the use of multiple-metallic materials, Cu-based perovskite catalysts of the type: A<sub>1.8</sub>A'<sub>0.2</sub>CuO<sub>4</sub> (A = La, Pr, and Gd; A' = Sr and Th) outstands, among others. 100 It is important to know that multiple- and bi-metallic materials have been explored in order to improve the selectivity of the reaction for a specific product. These electrocatalysts were deposited on a GDE and tested in 0.5 M KOH aqueous solution under ambient conditions. The cumulative faradaic efficiencies of methanol, ethanol and n-propanol reached 40% in La<sub>1.8</sub>Sr<sub>0.2</sub>CuO<sub>4</sub> at a constant j of 180 mA cm<sup>-2</sup>. The preparation of bimetallic system has also been a key tactic to improve the catalytic performance of the EC CO<sub>2</sub>R process.<sup>99</sup> Indeed, one of the best performing Cu-based catalyst systems is a CuAgwire alloy (containing 6% Ag) electrodeposited on carbon paper (GDE). It has attained a high CO2 reduction with a total current density of 300 mA cm<sup>-2</sup> and a FE of around 25% to ethanol in an electrochemical flow cell reactor. The electrolyte was 1 M KOH, and the CO<sub>2</sub> flow rate was 7 mL min<sup>-1</sup>. In that research work, in situ Raman analysis indicated that the high formation of C<sub>2+</sub> products is due to two main reasons. First, the stabilization of the Cu<sup>1+</sup> overlayer. Second, the presence of high local CO (as a \*CO intermediate) owing to the added Ag.98

Similarly, Y. Li and co-workers<sup>97</sup> have also shown that adding a second metal with a weaker bonding ability to carbon than Cu (i.e., Ag sites in Cu(111)) led to a shift sideways the ethylene pathway, thus increasing the selectivity for ethanol. Therefore, their Ag<sub>0.14</sub>/Cu<sub>0.86</sub> catalyst deposited on a polytetrafluoroethylene (PTFE) substrate achieved a FE of 41% towards ethanol with a total current density of 250 mA cm<sup>-2</sup> at -0.67 V vs. RHE. The electrolyte used was 1 M KOH and an anion exchange membrane for separating the electrodes in a flow-cell system. The X-ray absorption near edge structure (XANES) analysis showed that under applied negative potential, only Cu<sup>0</sup> is observed, where mostly CO<sub>2</sub> activation occurs. Taking DFT calculations and in situ Raman analysis, they concluded that the Ag/Cu alloy catalyst destabilize the ethylene reaction path favouring ethanol formation. Chen C. et al. 104 stated that adding Ag to a Cu-Ag tandem catalyst, a COenriched local environment is generated. These conditions can enhance C2+ formation from CO2. The tandem strategy consisted in the increase of the production rate of CO from CO<sub>2</sub> on Ag and its subsequent coupling on Cu.

It has been demonstrated that with Cu oxide-derived materials, the interface between Cu<sup>1+</sup> and Cu<sup>0</sup> contributes to dimerization of \*CO, consequently to the production of C2+ products. However, oxidized  $Cu^{\delta+}$  species are not stable at high negative applied potentials, which represents a challenge for the performance of the process. 105 Therefore, to stabilize these species, Chen C. et al. 92 proposed incorporating a heteroatom like boron (B) into the surface of the Cu-based catalyst to affect the electronic configuration of adjacent atoms and reduce the barrier of the \*CO dimerization. The FE towards ethanol reached 20%, with a high current density of 33.4 mA cm<sup>-2</sup> at low potentials in 0.1 M KHCO3 electrolyte (H-type cell configuration).

In this framework, different researchers have investigated the cooperative effect of highly textured N-doped materials. In a just-published work, Wang X. et al. 90 reported a 34% nitroCritical Review

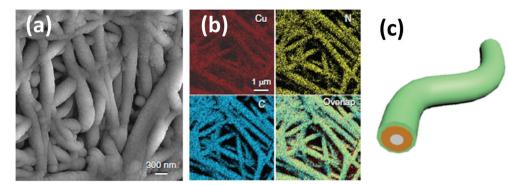


Fig. 9 Structural and compositional analyses of the 34% N–C/Cu catalyst on PTFE. (a) Low-magnification SEM image of the 34% N–C/Cu catalyst on PTFE. (b) EDX elemental mapping of Cu, N and C for the 34% N–C/Cu catalyst on PTFE. (c) Scheme of the cross-sectional structure of a N C/Cu/PTFE nanofibre. The white, orange and green layers represent PTFE, Cu and N–C, respectively. Reproduced from ref. 90 with permission of nature energy.

gen-doped carbon (N-C) layer on a Cu surface supported on PTFE (see Fig. 9) with an increased selectivity to ethanol, reaching 52% of FE at a total current density of around 300 mA cm<sup>-2</sup>. They demonstrated through DFT calculations that the improved performance of this material is due to the suppression of the deoxygenation process, because of the strong electron-donating ability of the confining N-C layer. Thus, C-O bond-breaking from the key intermediate: HOCCH\* is prevented. They also registered in situ Raman spectra to explore the interactions between the adsorption of carbonaceous intermediates and the active catalytic surface. The results indicated that the potential for \*CO formation on the nitrogen-doped carbon layer on a Cu surface is lower than that on bare Cu. The electrochemical measurements were carried out in a flow cell (threeelectrode system) with three compartments. An anion exchange membrane was used to separate the cathodic and anodic chambers containing 1 M KOH. CO2 gas was continuously supplied to the gas chamber located at the backside of the cathode at the rate of 50 mL min<sup>-1</sup>. Moreover, Chen C. et al. 95 have synthesized a composite composed of N-doped graphene quantum dots (NGQ) on CuO-derived Cu nanorods (NGQ/Cu-nr). This dual active sites catalyst achieved a FE of ~43% for ethanol and  $\sim$ 0.7% for *n*-propanol with a total current density of  $\sim$ 280 mA cm<sup>-2</sup>. Theoretical studies reveal that this catalyst can stabilize the CH<sub>2</sub>CHO intermediate for enhancing the production of alcohols through carbon protonation. Moreover, through the implementation of situ Raman spectroscopy, it was demonstrated that adsorbed \*CO on Cu was not changed by the presence of N-doped graphene. Therefore, the improvement in alcohols production is due to a combined effect between NGQ and Cu-nr substrate. In another work, Song Y. et al., 106 proposed a metal-free porous and conductive matrix containing N-pyridinic groups with micro/mesopores for improving mass transport of reactants and products. The high e density in N-pyridinic groups was exploited as an enhancer of the CO\* dimerization, enabling the efficient production of ethanol with a high FE of 77% at -0.56 V vs. RHE, but the absolute current density obtained was very low (0.5 mA cm<sup>-2</sup>), although it was relatively constant during the test duration of 24 h.

Xu H. *et al.*<sup>93</sup> have also used carbon as conductive support to prepare well-dispersed Cu atoms by an amalgamated Cu–Li method. They stated that the initial high dispersion of the active site was the responsible for achieving a CO<sub>2</sub>-to-ethanol FE of ~90% (1.23 mA cm<sup>-2</sup>) at -0.7 V *versus* RHE and with a Cu nominal loading of 0.4 wt% (Cu/C-0.4). XANES analysis reveals that Cu<sup>0</sup> with a minor component of Cu<sup>1+</sup> was present during the testing. Moreover, operando X-ray absorption spectroscopy analysis demonstrated a dynamic and reversible transformation from atomically dispersed Cu atoms to Cu<sub>n</sub> clusters (n = 3 and 4) active sites under the reaction conditions. The electrochemical measurements were carried out in a three-electrodes electrochemical cell using a rotating disk electrode (RDE) as a working electrode.

Regarding the use of porous PTFE layers, Luo M. *et al.* <sup>94</sup> have synthesized and investigated a hybrid cerium hydroxide-doped-Cu/PTFE sample (denoted Ce(OH) $_x$ /Cu/PTFE), which allows a tuning of the adsorption of hydrogen on Cu. The CO $_2$ RR performance was assessed in a flow cell set-up with 1 M KOH solution as electrolyte. *In situ* Raman measurements were performed to reveal the reaction intermediates during CO $_2$  reduction. The results revealed that the hydroxide modification did not influence the adsorption of carbonaceous species. Instead, the surperficial H $_{ad}$  favoured the formation of ethanol by tackling the Cu–C bind of the \*HCCOH intermediate, reaching a FE of 43% for ethanol at an operating current density of 300 mA cm $^{-2}$ .

Some recent studies have shown Cu-based materials with other non-metal dopants different than nitrogen, such as sulphur (e.g. Cu<sub>2</sub>S–Cu–V). Computational calculations suggested that S-enriched Cu and surface vacancies can shift the selectivity away from ethylene towards multi-carbon alcohols. The Cu<sub>2</sub>S–Cu–V nanoparticles with a copper surface shell and a copper sulfide core (see Fig. 10) achieved a total current density of 400 mA cm<sup>-2</sup>, 25% FE for ethanol, and 7% for n-propanol. The tests were performed in a flow-cell system using a gas-diffusion electrode (1 M KOH electrolyte and a CO<sub>2</sub> flow rate of 50 mL min<sup>-1</sup>).

Cu nanoparticles have also attracted great attention because of their high surface to volume ratio. 107 For instance,

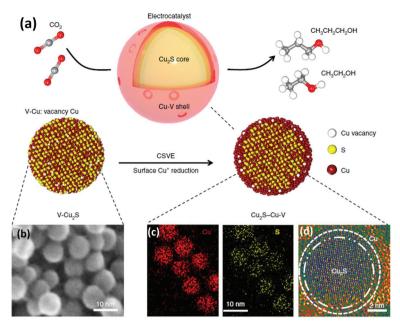


Fig. 10 Catalyst design and structural characterization. (a) Schematic illustration of Cu<sub>2</sub>S-Cu-V electrocatalyst design for production of multi-carbon alcohols from CO<sub>2</sub> reduction. (b) TEM showing the uniform size. (d) EDS mapping showing the homogeneous distribution of Cu and S. (d) high-resolution TEM. Reproduced from ref. 91 with permission of nature catalysis.

Dinh C. et al. 62 have studied the CO2 reduction reaction activities of Cu nanoparticles (<50 nm) deposited by drop-casting in a GDE and tested in a flow cell reactor under too alkaline conditions (10 M KOH) at 50 mL min<sup>-1</sup> of CO<sub>2</sub>. Using a catalyst thickness of 25 nm, they obtained 11% FE for ethanol at a total current density of 275 mA cm<sup>-2</sup>. The high pH conditions promoted an improved diffusion of CO<sub>2</sub> across the gas-liquid interface reducing ohmic overpotentials. On the other hand, in situ X-ray absorption spectroscopy (XAS) revealed that Cu becomes oxidized when immersed in the alkaline electrolyte. Under the reaction conditions, the surface is primarily Cu<sup>0</sup> and remains consistent over the range of potentials and concentrations of interest. Ma S. et al. 102 also synthesized Cu nanoparticles with excellent textural characteristics (high surface roughness), which were used to convert CO2 (at 7 mL min<sup>-1</sup>) in an alkaline flow electrolyser (GDE configuration) in 0.1 M KOH. They reported high conversion of CO2 to ethanol (11% FE) at a total current density of 430 mA cm<sup>-2</sup>.

Offering improvements, Lv J. et al. <sup>96</sup> synthesized nanoporous Cu catalyst by in situ electrochemical reduction of porous CuO and carried out CO<sub>2</sub> co-electrolysis with tests in a three-compartments GDE-microcell with a gas flow of 10 ml min<sup>-1</sup>. Different polymeric and liquid electrolytes were tested: an anion exchange membrane was used with KOH and KHCO<sub>3</sub> electrolytes, and a proton exchange membrane was used with KCl and K<sub>2</sub>SO<sub>4</sub> electrolytes, maintaining constant the K<sup>+</sup> concentration at 1 M. This configuration permitted for CO<sub>2</sub> to be abundantly fed to the catalyst surface, at the electrode–electrolyte interface. It allowed the investigation of CO<sub>2</sub> electrolysis at very high current densities, achieving an overall current density of 653 mA cm<sup>-2</sup>, with 17% FE ethanol and 4.5% FE

n-propanol, at an applied potential of -0.67 V  $\nu s$ . RHE. As for the previously presented works, the results reported in this investigation revealed that the production of long-chain of chemicals or fuels ( $i.e. \ge C_2$ ) during the electrocatalytic reduction of  $CO_2$  is favoured at high local pH values.

Production of n-propanol from CO<sub>2</sub> is very promising considering its higher energy density (35 MJ kg<sup>-1</sup>) than other alcohols (e.g. methanol 22 MJ kg<sup>-1</sup> and ethanol 28 MJ kg<sup>-1</sup>). Still, it is a challenging product to pursue. In this case, the development of highly selective electrocatalysts is a prerequisite for suppressing ethylene formation and leading CO insertion (as shown in Fig. 4b). Many efforts have been made to produce n-propanol on copper surfaces, but most of them have been inefficient because of the simultaneous formation of many other compounds. For example, Hori Y. et al. 108 reported that n-propanol was produced by CO2 reduction on Cu(100) surfaces of Cu single-crystal, achieving a  $FE_{n-propanol} = 1.5\%$  and  $FE_{ethanol} = 9.7\%$  with a total current density of 5 mA cm<sup>-2</sup> in a traditional undivided 3-electrode cell with 0.1 M KHCO3 as the electrolyte solution. They stated that the Cu(100) surface features favoured the stabilization and subsequent coupling of carbon reaction intermediates. In a more recent work, Kim D. et al. 109 prepared a densely packed Cu nanoparticle (6.7 nm) ensembles, which re-arranged during catalysis into cube-like particles (×22.5 = 47.7 µg of Cu nanoparticles loaded carbon paper). They reported *n*-propanol production with a FE of 5.9% at -0.81 V vs. RHE (FE<sub>ethanol</sub> = 13.3%) and a total current density of 12.7 mA cm<sup>-2</sup> by using a two compartments configuration separated by an anion exchange membrane with 0.1 M KHCO3. Ren D. et al. 110 prepared Cu nanocrystals with defect sites by electroreduction of a Cu<sub>2</sub>O/Cu(OH)<sub>2</sub> films and

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*n*-propanol (FE = 11%) was detected at -0.95 V vs. RHE, with a total current density of around 16.4 mA cm<sup>-2</sup>. They used a two-compartments cell containing 0.1 M KHCO<sub>3</sub> both anode and cathode sides, which were separated by an anion-exchange membrane. CO2 was bubbled into the electrolyte at a rate of 20 cm<sup>3</sup> min<sup>-1</sup> during the 60 minutes experiment. In a subsequent work, Geioushy R. et al. 111 continued their attention to the production of *n*-propanol synthetizing Graphene (GN)/ ZnO/Cu<sub>2</sub>O composites. They evidenced that there is a synergic effect of ZnO/Cu<sub>2</sub>O, as ZnO is proposed to stabilize Cu<sup>1+</sup> (ref. 75, 78 and 85-87) and that Graphene acts as a good support material that contributes to enhance the selectivity towards C<sub>3</sub> products. Electrocatalyst with a ZnO/Cu<sub>2</sub>O weight ratio of 2:1 obtained a FE for *n*-propanol of 30%. That is the highest value reported in the literature up to now for this product. It was obtained at −0.9 V versus Ag/AgCl with a total current density of 8 mA cm<sup>-2</sup>. Their electrochemical measurements were performed in a two-compartment cell separated by a glass frit, and CO<sub>2</sub> was bubbled continuously in the electrolyte (0.5 M NaHCO<sub>3</sub>) during the reduction process. N-doped graphene has also been used to support Cu nanoparticles (8 ± 4 nm) coated on TiO2 nano-blocks. A 0.2 M KI aqueous solution was used as the electrolyte in the cathode (GDE-cell configuration) and anode compartments. This electrocatalyst showed a low faradaic efficiency towards n-propanol (3.30%) but a very high FE for the electroreduction of CO2 into methanol and ethanol (19.5% and 43.6%, respectively) that are remarkable values in view of producing an alcohol mixture.80 On the other hand, a polycrystalline Cu foil modified with an electrochemically deposited film of aryl-phenylpyridinium reached 11.8% of FE to

n-propanol with a total current density of 1.1 mA cm<sup>-2</sup> at

−1.1 V vs. RHE. A CO<sub>2</sub>-saturated electrolyte (0.1 M KHCO<sub>3</sub>) was used in a two-compartments cell with an anion exchange membrane. A very recent study reported the use of highly porous urea-modified copper foam catalysts for the production of *n*-propanol with a FE up to 4.93% at −0.83 V vs. RHE and with a stable j of 38 mA cm<sup>-2</sup> for up to 2 hours. They used a custom-made H-cell with 0.1 M KHCO<sub>3</sub> and a proton exchange membrane to separate the electrodes. After electrolysis, a rearrangement of the catalyst into a dendritic morphology was observed. <sup>101</sup>

It is worth to mention that, in the work reporting the best-performing copper-based catalysts, high alcohols selectivity have been obtained by using alkaline electrolytes (mainly, KHCO $_3$  or KOH). The best cell configuration has been a continuous flow cell (using or not a GDE). Indeed, the choice of the electrolyte has strong effects on the performance of the electrocatalytic CO $_2$  reduction (current density, selectivity and stability). <sup>96,113–116</sup> Besides, it influences the production of H $_2$  from water electrolysis. Also, in this case, suppressing the side competitive HER has been observed to be a key factor to improve the EC CO $_2$ R performance.

To summarize, Fig. 11 brings together the best scientific works (in batch and in continuous-flow) that have been discussed above. It demonstrates a great diversity of Cu-based electrocatalysts that have been studied in the presence of liquid electrolyte solutions and provides knowledge about the performances for methanol, ethanol and n-propanol production that has been achieved with such systems to the present date. As can be seen, the best methanol performance has been  $\sim 40\%$  of FE with a partial current density of about 30 mA cm $^{-2}$ . Instead, the best ethanol performance has been a

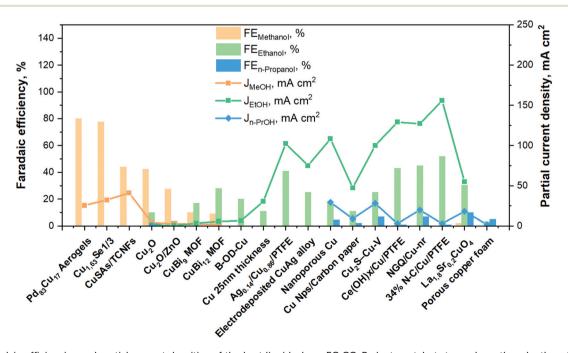


Fig. 11 Faradaic efficiencies and partial current densities of the best liquid-phase EC  $CO_2R$  electrocatalysts towards methanol, ethanol and n-propanol production. Authors with data taken from ref. 62, 73, 74, 83, 84, 90–98, 100–102 and 124.

FE <50% and a partial current density of around 150 mA cm<sup>-2</sup>. In contrast, in the case of n-propanol the best FE reached are <10% with a  $J_{n-PrOH}$  <30 mA cm<sup>-2</sup>. The whole products distribution is listed in Table S3 (ESI†). From this Table, it is possible to appreciate that the selectivity towards products more reduced than C<sub>1</sub> (i.e. ethanol) appears to have a correlation with the formation of CO. It seems that the catalyst should be active enough for the CO formation, but should also have a high binding energy towards the formation of \*CO intermediate for producing  $C_{2+}$  products. As mentioned in section 2.3, the catalysts have to reduce the barrier of the \*CO dimerization to enhance the production of ethanol and n-propanol. In fact, the liquid-phase EC CO<sub>2</sub>R electrocatalysts with the highest FE towards ethanol (>40%) present the lowest FE towards CO (<3%). Other works aiming to produce ethanol and *n*-propanol (although with lower performances) are listed in Table S4 (ESI†) to provide a broader literature overview. These latter works include the use of polycrystalline electrodes, 108,115 the change of the catalytic layer thickness/roughness and modifithe Cu morphology in a systematic fashion, 99,109,110,117-119 the use of graphene and metal oxide as supports. 111,120-123

It is possible to affirm that the presence of the liquid electrolyte influences the CO<sub>2</sub> electroreduction process because it can be useful to regulate the local pH and, consequently, the selectivity of the reaction. However, the following fundamental questions still remain: Does the liquid electrolyte reduces the activation barrier for CO<sub>2</sub>R or does it only resolve the problem of the competition between CO<sub>2</sub>RR and the thermodynamically preferred HER? Moreover, it is also important to consider another engineering/practical questions like: What could be the shortcomings of recovering the reaction products from the liquid electrolyte? How much energy has to be used for it?

The last questions are critical since alcohols must reach >99% of purity to be commercialized. Therefore, the processes for products purification from the output of the electrochemical cell play a key role in the overall cost of the full alcohols production chain. Distillation units are commonly used for alcohols/water mixtures separation, which usually requires a high investment, but also energy consumption and can highly influence the total energy demand and operative costs of the electrochemical technology. From our recently published work on technoeconomical and life cycle assessment of scaled-up methanol production by both electrocatalytic and thermocatalytic CO2 reduction to methanol, it came out that in the case of EC CO2R in aqueous media, considering a FE of 90% (at 100 mA cm<sup>-2</sup>) and a methanol productivity in the range of 1 to 10<sup>6</sup> kg h<sup>-1</sup>, the distillation columns and vessels constitute at least the 37.4% of the plant capital cost and the utilities account for about 79.8% of the operative costs.48 Moreover, due to the low solubility of CO<sub>2</sub> in water, high pressures or costly ionic liquids are required to increase the performance. Therefore, at the moment, the liquid phase electrochemical conversion of CO2 could have low possibilities for fast achieving a practical success. This open the way to new

developments for the implementation of different cell configurations like the solvent-less EC CO<sub>2</sub>R, which currently are at a proof-of-concept stage.<sup>40</sup>

3.1.3 Study of local reaction environment. Nowadays, the study of the local chemical reaction environment by modelling and simulating the CO2 reduction reaction at the electrocatalyst surface is a key piece of the puzzle to understand its selectivity and activity. Recent studies suggest that accurate simulations based on multiphysics models coupled to ab initio calculations (e.g. DFT) would allow a more precise prediction of the reaction mechanism, including the C-C coupling paths. 90,125-127 For instance, Veenstra F. et al., 125 demonstrated that the reaction mechanisms to produce ethylene, ethanol and propanol depend on the CO<sub>2</sub> concentration at the catalyst surface and on the local pH. From this research, selectivity maps were proposed suggesting similarities or partially shared mechanistic pathways for these products, as already proposed by other theoretical studies (see Fig. 4b). 56,63 It has been demonstrated that a high local pH allows the C-C coupling at low overpotential versus RHE. 116,128 According to this, by tuning the electrocatalyst in a systematic fashion it is also possible to control the selectivity to the desired reaction; for example, the higher is the roughness of the electrocatalyst the higher is the local current density, which in turns leads to a very high local pH that favours C-C coupling mechanisms. This conclusion is in agreement with the experimental results; indeed, the best performance for ethanol production has been reached by using basic electrolytes like KOH (vedi supra).

# 3.2 Electrochemical CO<sub>2</sub> reduction: catholyte-free configuration

A recent approach that overcomes some of the weaknesses mentioned above exploits a catholyte-free electrocatalytic cell design, which was firstly reported by Centi G. et al. 129–132 The use of this kind of system for the  $\mathrm{CO}_2$  reduction to alcohols is attractive because it avoids problems of solubility of  $\mathrm{CO}_2$  in the liquid phase electrolytes and it is not necessary to use expensive and energy-intensive processes to recover the products from the liquid phase (such as distillation processes). Another advantage of this kind of configuration is the possibility to operate under mild temperatures ( $T < 150~\mathrm{^oC}$ ) that can enhance the reaction kinetics and reduce mass transport limitations in the system.

Very few reports directly use gaseous or humidified  $CO_2$  electrolysis, which emphasizes the challenge of controlling electrolyte-free electrochemical reactions. A complete overview of this kind of system is provided. This represents a strategy for increasing the current densities obtained until now. Fig. 12 shows the two more commonly used electrocatalytic cells in this kind of systems. The solvent-less electrocatalytic cell configuration consists of two chambers separated by a proton exchange membrane (Nafion in most cases). Gaseous  $CO_2$  ( $H_2O$ -humidified or not) is fed to the cathode, and the anode chamber is filled with a liquid electrolyte solution (or with  $H_2O$ -humidified  $N_2$ ) for providing the protons needed for the reduction reaction. The  $H^+$  then diffuse from the anode

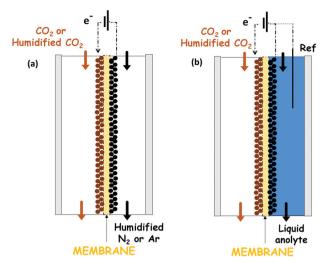


Fig. 12 Representation of the most popular catholyte-free configuration, in continuous flow, for the electrochemical CO2 reduction: (a) solvent-less cell configuration, (b) liquid anolyte cell configuration.

through the membrane to the cathode electrode (see Fig. 12a). Usually, the O<sub>2</sub> evolution reaction is carried out at the anode over noble metal catalysts (i.e. Pt or IrO<sub>2</sub>).

To provide an overview about the applicability of this kind of systems for the production of oxygenate fuels like alcohols, the best total current densities and relative FE (relative = excluding the FE of hydrogen) are gathered in Fig. 13. More detailed information is also provided in Table S5 in the ESI.†

Furthermore, the total products distribution obtained with the best catholyte-free EC CO2R electrocatalysts is given in The electrocatalysts tested up to now for the CO2 reduction in these systems are based on metal nanoparticles, i.e. Fe, Pt, 129-132,141 Co131 and Cu131 (with 10-20 wt% of the metal and about 0.5 mg cm<sup>-2</sup>), supported on carbon nanotubes (CNTs). In most of these systems the FE towards H2 is very high (>80%) and, therefore, the FE for the CO<sub>2</sub> conversion is reported as a relative FE (i.e. selectivity values for CO2 conversion without considering the HER). In the case of Fe/CNTs electrocatalyst, the best relative FE of CO2 conversion for ethanol and methanol reached ~70% and 21%, respectively, measured at a constant current of -1.41 mA cm<sup>-2</sup> and 60 °C (on an electrode of about 3 cm in diameter). On the contrary, the electrocatalyst based on Pt forms isopropanol as the main product, with a relative FE of 34%. The Pt-based electrocatalysts have been also able to form C<sub>1+</sub> products after the addition of a CO<sub>2</sub>-capturing component (a MOF), because of an enhanced surface concentration of CO<sub>2</sub>, being methanol the main product (60% at -5 mA cm<sup>-2</sup>). Conversely, Cu/CNTs and Co/CNTs generated lower amounts of hydrocarbons/organics. It is important to mention that the main disadvantage of the use of CNTs as electrocatalyst support is the difficulty in controlling the localization of the metal nanoparticles at the inner or outer surface of the CNTs. In regard to CNT as support of Cu-based catalyst, Jiménez C. et al. 142 prepared a Cu/CNT catalyst (particle size between 2 and 5 nm) using supercritical fluid deposition. It was assembled into a polymer-exchange-membrane (PEM)-type electrochemical cell. In this work, CO has been the main reac-

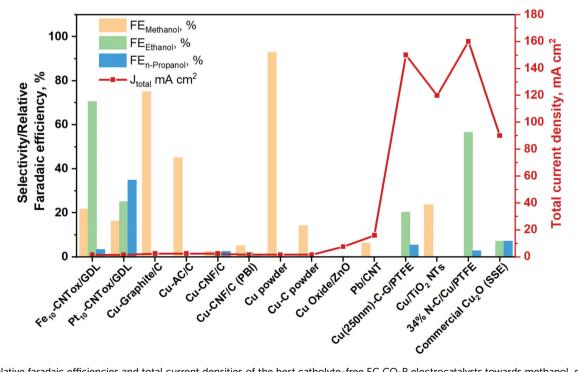


Fig. 13 Relative faradaic efficiencies and total current densities of the best catholyte-free EC CO<sub>2</sub>R electrocatalysts towards methanol, ethanol and n-propanol production. Authors with data taken from ref. 131 and 133-140.

tion product, followed by small amounts of formic acid and methane.

A few years ago, Gutiérrez-Guerra N. et al.  $^{136}$  developed MEAs consisting of different Cu-based cathodic catalysts. Sterion was used as a proton exchange membrane and  $IrO_2$  as the anode of the cell. The gas-phase electrocatalytic conversion of  $CO_2$  was carried out at temperatures of up to 90 °C. They found that the electrocatalytic activity and selectivity of the catalyst is widely influenced by the nature of the carbon support (*i.e.* carbon nanofiber (CNF), graphite (G) and activated carbon (AC)). Thus, the Cu–G electrode of 12.56 cm² reached the best  $CO_2$  conversion selectivity (relative FE) of 60 to 75% at -0.8 to -2.4 mA cm $^{-2}$ , respectively, towards methanol.

On the other hand, the Cu–AC and Cu–CNF electrodes were able to catalyse the reaction mainly towards acetaldehyde, both achieving around 60% of selectivity. In particular, the Cu–G cathodic electrode presented a higher particle size value (96 nm) than the other cathodic-catalysts: Cu–CNF and Cu–AC. The Cu–AC showed the lowest particle size value (40 nm), resulting in high catalytic activity and high dispersion of Cu particles on the high surface area of AC support, despite its lower electrical conductivity.

The frequently used proton exchange membranes (like Nafion or Sterion) cannot operate at temperatures higher than 90 °C. In this regard, in a subsequent work, Gutiérrez-Guerra N. et al. 137 used H<sub>3</sub>PO<sub>4</sub>-doped polybenzimidazole polymer electrolyte membrane (PBI) to carry out the gas EC CO<sub>2</sub>R at a temperature of up to 110 °C, hence improving the kinetics of the Cu cathodic catalyst supported on CNFs. They exploited again IrO2 as the anodic catalyst because of its superior ability towards the OER. It is worth noting that in this case the main product obtained was acetaldehyde, with a relative FE of 85% and a current density of around -0.8 mA cm<sup>-2</sup>, similar to in their previous work. By increasing the applied current density (-1.6 mA cm<sup>-2</sup>) the selectivity of the reaction shifted to lighter and more saturated compounds, presumably due to an increase in protons transfer through the membrane. This Cu-CNF cathodic catalyst produced acetaldehyde, methyl formate, CO, methanol and ethanol with relative FEs of about 70, 20, 3, 3 and 2%, respectively. However, as in the other cases, HER was the main cathodic process. A year later, Gutiérrez-Guerra N. et al. 143 tested Cu, and Cu-C materials sputtered on carbon paper in the same electrocatalytic cell. Their results revealed an increase in the production rate of methanol, acetaldehyde and methane by increasing the current densities from 0.8 to 2.4 mA cm<sup>-2</sup>. Moreover, pure Cu electrocatalyst presented a lower CuO/Cu ratio in the bulk than the Cu-C electrode, as well as lower particles size and more exposed planes and defects. Thus, the authors stated that the higher activity for methanol production using pure Cu is ascribed to these features. Furthermore, they demonstrated that an increase in temperature enhanced the reaction kinetics and in turns the CO<sub>2</sub> consumption. The obtained FE towards hydrocarbons and oxygenates in this kind of systems are below 10%, since the HER was favoured being H2 the main product. Within this

context, by using Pb nanoparticles on carbon nanotubes under similar conditions, García J. *et al.*<sup>138</sup> observed that low temperatures (60 °C) favoured formic acid production. However, methanol production rate increases with temperature (up to reach 40 at 80 °C), whereas it does not seem to be affected by changes in the current density or the CO<sub>2</sub> flowrate.

Pérez-Rodríguez S. et al. 144 evaluated the electrochemical reduction of CO<sub>2</sub> in a fuel cell (FC)-type reactor by using a Nafion 117 membrane. Fe and Pt deposited in commercial carbon black as support (Vulcan XC-72R) were used as cathodic catalysts. Their performance was evaluated in both acid media (0.5 M H<sub>2</sub>SO<sub>4</sub>) and in the gas phase, while pure H<sub>2</sub> is used in the anode side. The relative humidity was fixed at 50% for both anode and cathode, and lower currents (e.g. -0.02 mA mg<sup>-1</sup>) were obtained in gas phase than in the liquid acid phase (e.g. -0.04 mA mg<sup>-1</sup>). The low performance of these materials under gas-phase conditions was ascribed to the suppression of the HER with respect to the acidic media. As expected, Pt-based electrodes catalysed mainly the formation of molecular hydrogen. In contrast, a Fe-based working electrode promotes the CO2 reduction to hydrocarbons and alcohols, according to the products detected by differential electrochemical mass spectrometry (DEMS) from CO2 reduction in the liquid phase (FEs not reported).

Lately, Merino-Garcia I. et al. 145,146 also tried to control electrolyte-free electrochemical CO2 reduction reactions. They performed gas-phase CO2 electroreduction in two-compartment cells by using commercial Cu nanoparticles (25 nm, 40-60 nm and 60-80 nm) on porous carbon paper as a support, which was used as working electrode assembled with a Nafion membrane. Ethylene and methane were the main obtained hydrocarbons. The best performance to ethylene that has been reached in a gas-phase CO2 electrolyser was obtained in this study (FE = 92.8% at 7.5 mA cm<sup>-2</sup>) by using the Cu25 (Cu nanoparticles of 25 nm) catalyst. They studied the effect of the humidified CO2 feed stream, which did not improve the CH4 productivity and selectivity, but a high H+ transport capacity through the Nafion membrane was evidenced. Subsequently, on the basis of the literature, 84 they addressed the dispersion of Cu oxides on ZnO in a half-MEA configuration, working with a 0.1 M KHCO3 aqueous analyte. The results showed a synergic effect between Cu oxides and ZnO, demonstrated by a lower Tafel slope. They obtained for both Cu oxides/ZnO-GDE and Cu25-GDE a similar FE to ethylene (i.e. ~91-92% at 7.5 mA cm<sup>-2</sup>, respectively), being more stable the catalyst containing ZnO as support.147

Metal oxide electrocatalysts have the merits of being promising materials due to their high selectivity and high energy efficiency in liquid phase electrolytes. Hossain S. et al. 140 carried out the electrocatalytic  $\rm CO_2$  conversion to methanol with a 10% Cu-based catalyst supported on  $\rm TiO_2$  nanotubes. Pt-Ru/C was used on the anode side with liquid water. They achieved the highest total current density (120 mA cm<sup>-2</sup>) that has been achieved with methanol production in recent years in a MEA cell configuration, by using a solid polymer electrolyte membrane and a  $\rm CO_2$  flow rate of 20 mL min<sup>-1</sup>. 14

Nevertheless, the maximum achieved FE to methanol was only of 4%. The role of TiO<sub>2</sub> can be on enhancing the CO<sub>2</sub> adsorption and stabilization of CO radical, improving the electrocatalyst surface area and the reaction stability. 148

On the other hand, Gabardo C. et al. 139 prepared and tested Cu nanoparticles (250 nm) sputtered on a porous PTFE support (the electrocatalyst was then airbrushed with carbon nanoparticles and graphite). The electrochemical CO<sub>2</sub> reduction in the gas phase was conducted in a MEA configuration by using an anion exchange membrane and an IrO2/Ti anode immersed in 0.1 M KHCO<sub>3</sub> (see Fig. 14). This MEA coelectrolyser operates at industrially relevant current densities, while simultaneously achieving a high selectivity toward multicarbon products (accumulative FE of 80% towards C2+ products). The authors demonstrated that increasing the operating temperature (up to 40 °C) and decreasing the CO2 flow rate, concentrated liquid (4wt% ethanol) and gas product (30% ethylene) streams can be obtained. A stable total current density >200 mA cm<sup>-2</sup> was achieved at -3.9 V for more than 24 h, which is two orders of magnitude greater than the previous results discussed above.

Inspired by solid-state batteries, Xia C. et al. 135 have proposed a solid-state electrolyte (SSE) for a CO2 reduction reaction system. This work aimed to produce HCOOH using a twodimensional Bi catalyst. However, an electrolyte-free oxygenate solution was also obtained using commercial Cu2O nanoparticles. This solution contained 4.6 mM ethanol, 3.4 mM *n*-propanol, among other liquid products. They implemented an in operando technique (XAS) in order to study the electronic structure of the Bi catalyst under electrochemical CO<sub>2</sub> reduction conditions. The in situ tests were carried out in a traditional H-cell filled with CO2-saturated 0.5 M KHCO3 electrolyte. The results indicated that the active phase was metallic Bi under the negative applied potentials.

In a previously discussed work, 90 the 34% N-doped C layer on a Cu surface supported on PTFE was also tested in a MEA catholyte-less system. IrOx/Ti was used as the anode in 0.2 M KHCO<sub>3</sub>. After operating the MEA system under a full-cell voltage of -3.67 V for 15 h, with a total current density of about 160 mA cm<sup>-2</sup>, the system retained its ethanol FE of 52%. These results demonstrate the importance of controlling the hydrophobicity of the electrocatalyst surface (provided in this case by the PTFE electrode support) to improve the triplephase boundary favouring the CO<sub>2</sub>R at high rates against the HER.

The catholyte-free electrochemical conversion of CO2 system is an attractive alternative to avoid the drawbacks of the liquid-electrolyte based systems. However, further efforts are needed to improve its performance. From the Table S6 (ESI†), it can seen that there is a correlation between CO and ethanol faradaic efficiency, as for the liquid-phase systems. The electrocatalysts with a lower FE to CO (and provably higher \*CO binding energy) are those able to enhance C-C coupling for producing ethanol. However, the reaction mechanisms of EC CO<sub>2</sub>R in the gas phase are not totally similar to those of the same catalysts working in liquid electrolytes, in which most of the investigations have been done. Indeed, Cu, which is the most promising material reported in the literature to give rise to C-C bond formation in EC CO<sub>2</sub>R in the liquid phase, does not show such good behaviour under gas-phase conditions. In this regard, Genovese C. et al. 131 have hypothesized that CO<sub>2</sub> dissociates to CO and chemisorbed O, which induces oxidation of the catalyst surface decreasing its activity. Therefore, the further conversion of CO intermediate may also proceed

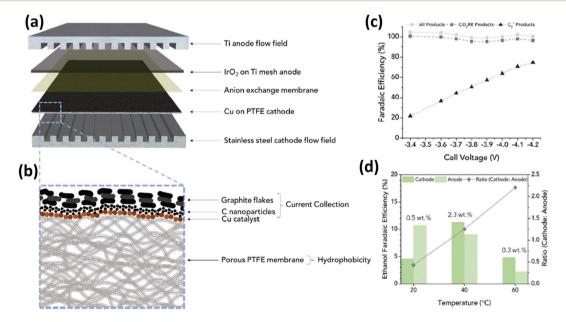


Fig. 14 Exploded view of MEA layers inside the electrolyzer (a) where the hatched line box is the Cu on PTFE cathode with the cross-section structure is displayed in (b); (c) faradaic efficiencies of all products (H<sub>2</sub> and EC CO<sub>2</sub>R) and C<sub>2+</sub> products and (d) amounts and ratios of FE<sub>ethanol</sub> that are recovered from the cathode and anode streams at each temperature. Reproduced from ref. 139 with permission of Joule.

through C–O break dissociation, instead of through CO chemisorption/hydrogenation. On the contrary to metallic Cu, it has been seen that  ${\rm Cu}^{1^+}$  and  ${\rm Cu}^0$  species improve the selectivity to alcohols in the gas phase.  $^{136,143}$ 

Finally, it is worthy of notice that there is only one catalyst (34% N-C/Cu/PTFE) able to operate at a relevant current density, while simultaneously achieving a high relative FE towards ethanol, with a good stability of 15 h (ref. 90) (see Fig. 12). This catalyst also showed the best performance for alcohols in liquid catholyte solutions, highlighting the fundamental role of the catalyst material on the  $\text{CO}_2$  reduction selectivity.

From the current state-of-the-art (SoA) of both catholyte and catholyte-free electrochemical conversion of  $\rm CO_2$  to alcohols, we understood that the major bottlenecks for the practical implementation of the electrochemical conversion of  $\rm CO_2$  are:

- There are few examples of electrocatalysts showing a high FE for single alcohol whereas operating at the same time at relevant current densities, and their long-term stability has not been already proved.
- High overpotentials are usually required (cell potentials >3 V).
- The best alcohols production performance has been achieved in liquid-phase electrolyte configurations, which entails high costs for downstream products separation.
- The competing HER is still predominant in almost all the catholyte-free electrocatalytic systems.

# 4 Investigation highlights and future perspectives

Among the different metal catalysts reported for the electrochemical CO2 conversion, Cu-based materials are the most promising, abundant, cheap and selective for the production of alcohols (see Fig. 11 and 13). What is more, novel catalysts designs composed of copper with another metal (e.g. alloys, intermetallic compounds)73,83,99,100,124 or metal oxides (as support)75,78,80,84,111,121 have got more attention. It could be due to their possible cooperative and synergistic effect, which could improve the overall performance of the process. The tuning of the material morphology, particle size, textural features and exposed facets are key parameters that usually confer enhanced catalytic activity. In this regard, recent studies have developed porous electrode morphologies to enhance mass transport and, consequently, catalytic activity. 96,98,101,106 A large specific surface area and a high dispersion of active sites are essential to increase the catalyst activity. For this reason, catalysts nanostructuring have been widely studied. 149 Nevertheless, attention has to be paid to the final size of the metal particles, since it is a determining aspect for the productivity and selectivity to fuels or chemicals. It is generally observed that hydrocarbons and multicarbon oxygenates are favoured on Cu particles higher than 15 nm, whereas CO and  $H_2$  are favoured on smaller ones (<3-6 nm). The

different selectivity between the particle sizes could be related to the presence or not of specific crystal orientation surface, corners, edges and defects in the electrocatalysts that facilitates the HER and \*CO desorption from the catalyst surface. 116,154 It is also well known that the Cu crystalline orientation play a key role in determining the products selectivity. 155 From theoretical calculations, the ideal electrocatalyst surface for alcohols (i.e. CH<sub>3</sub>OH) production must have an optimal CO binding energy (-0.67 eV), a weak H adsorption energy to suppress the HER, and weak binding energy for OH species. One fundamental problem of pure metal catalysts is the scaling relations among the adsorption energies of different CO<sub>2</sub>R intermediates. 156 Recently, few examples of Cu alloying (e.g. Cu-Au NPs, oxide-derived Cu<sub>4</sub>Zn NPs) have been proposed for tuning the EC CO<sub>2</sub>R activity and selectivity bringing a double gain by overpotential reduction and selectivity enhancement. 63,99,157

The role of Cu-facets on the EC  $\rm CO_2R$  reaction mechanism and selectivity has been widely investigated. There are several literature works on experimental and theoretical investigations reviewing these aspects. <sup>15,56,158</sup> Therefore, it is out of the scope of this work. Though, it is worth to mention that generally:  $\rm Cu(100)$  and stepped (211) facets favour C–C coupling via \*CO dimerization and further hydrogenation to ethylene or ethanol, as compared to  $\rm Cu(111)$ ;  $\rm Cu(110)$  and  $\rm Cu(511)$  promote the production of ethanol, acetate and acetaldehyde, which has been confirmed by DFT simulation. <sup>15,56</sup> In the same way, DFT models have also confirmed that the use of concentrated hydroxides (OH $^-$ ) could further decrease the energy barrier for CO dimerization, thus promoting the  $\rm C_{2+}$  products. <sup>56</sup>

Regarding the oxidation state of copper, to date, Cu<sup>0</sup> and Cup (and/or their interfaces) have been identified in several studies as the copper active sites, but it is still under debate. 159-163 It has been reported that Cu1+ or a mix between Cu<sup>1+</sup> and Cu<sup>2+</sup> promotes methanol production. 105,150,164 On the other hand, mechanistic studies of Cu oxide-derived materials revealed that the interface between Cu1+ and Cu0 contributes to the dimerization of \*CO species on the electrode surface to induce C-C coupling and generate C2+ products, like ethanol and *n*-propanol. It is believed that the interface between Cu1+ and Cu0 leads to electrostatic interactions with the adsorbed intermediates since the C atom of \*CO at Cu<sup>1+</sup> is positively charged, whereas that of \*CO at Cu<sup>0</sup> is negatively charged. 105 In addition, Cu-doping with heteroatoms like B also induces the formation and stabilization of Cu1+/Cu interfaces, because Cu atoms adjacent to B atoms are more positively charged, and this has been demonstrated to increase the energy barrier of the RDS: \*CO + \*H → \*CHO and reduce the barrier of the \*CO dimerization, inducing a high activity for EC CO<sub>2</sub>R towards C<sub>2</sub> products. 92,160 Within this context, new strategies for N- or S-doped copper 80,90,91,106,165 have been exploited to study the synergistic effect between generated defects and interfaces. In this regard, as previously discussed, systematic in situ and in operando characterizations have been developed and used in some research works to identify and

monitor copper sites under reaction actives conditions. 62,71,78,90,93-95,97,98,135,166 However, the stability of Cup<sup>+</sup> is of special concern under electrochemical reduction conditions; e.g. time-resolved in situ soft X-ray absorption spectroscopy studies demonstrated that the initial transition step from Cu<sup>2+</sup> to Cu<sup>+</sup> is very quick, while the further electrochemical reduction of Cu<sup>+</sup> to Cu<sup>0</sup> is much slower. The active sites changes also induce a restructuration of the catalyst morphology, as has been observed by liquid cell transmission electron microscopy under operando electrochemical conditions. 168 Thus, the synthesis of electrocatalyst with stable Cu□<sup>+</sup> sites still remains a challenge.<sup>56</sup> As it has been observed for the Cu-oxides/ZnO systems, 75,78,84,87,111,147 we believe that the dispersion of Cu<sup>-†</sup> species into other metal oxide substrates (TiO2, Al2O3, ZrO2, etc.)16,49 can be a promising strategy for this purpose, which can take inspiration from the different catalytic systems developed for the thermocatalytic CO2 hydrogenation (see section 2.1). For instance, high selectivity to methanol was achieved by this process using Cu/Zn/Ga/SiO<sub>2</sub> (99.5%) and Au/Zn/ZrO2 (100%) catalysts, by using pressurized H<sub>2</sub> at mild temperatures (270 °C-220 °C, respectively).<sup>38</sup> Therefore, ignoring the electrons source and exploiting the electrochemically produced H<sup>+</sup> and H<sub>2</sub> species, the CO<sub>2</sub> reduction reaction can take place according to similar reaction mechanisms and can follow similar kinetic laws than the thermocatalytic CO2 hydrogenation, at properly tuned operative conditions. 169 Thus, this can help in making faster progress in the development of new electrocatalysts materials.

Likewise, the nature of the conductive support plays an important role in enhancing the catalytic activity at the gassolid interphase and, thus, the productivity of the EC CO2R. Therefore, carbonaceous materials are preferred due to their high-adjustable surface state and good conductivity; they have also been tested for their intrinsic catalytic activity. Different investigations have employed carbon nanotubes, carbon nanofibers, graphite, graphene and activated carbon. By using carbon-based electrodes, it is possible to exploit the confinement effect<sup>170</sup> inside their nanopores to create a virtual higher pressure of the reactant  $(CO_2)$  at the electrocatalyst surface. In turns, the higher surface CO2 concentration could enhance the formation of the C-C bond. 171 Moreover, doping carbonaceous materials with heteroatoms of different electronegativities helps to stop its charge neutrality, inducing a redistribution and creating active sites. This method can significantly modify the microstructure of the C-based material, increasing its surface area and structural defects, thus defining the acid/base and hydrophilic/hydrophobic character of the carbon surface. This can determine the CO2 adsorption behaviour and the catalytic and electrochemical properties. For instance, CNTcatalysts functionalized with oxygen (i.e. CNTox) containing carbonyl groups demonstrated a higher selectivity than the non-doped CNT for the formation of ethanol. 129-132,141 However, the yield achieved at present with pure C-based catalysts is very low, as can be seen in Fig. 13.

Different types of electrocatalytic reactors have been used for the EC CO<sub>2</sub>R (see Fig. 5 and 12). The obtained results have

demonstrated that the use of a continuous-flow electrocatalytic cell with a suitable design have multiple benefits in comparison with batch systems for scaling-up this process. For instance, the use of a GDE cell has the effect of increasing the current density during the electrochemical reactions by enabling prolonged contact between CO<sub>2</sub> and catalytic sites.<sup>69</sup> Nevertheless, one disadvantage of this kind of setup is that, when operating with liquid electrolytes as catholyte, the continuous flow removes the products or intermediates from the electrode surface, leading to relatively short residence times. This also depends on the cell design and size, but it severely influences both the faradaic efficiency and products distribution. 107 A further key parameter to improve the performance of the EC process is the proper selection of the membrane material, to optimize both mass/charge transport and lifetime. Nonetheless, no matter which type of ion-selective membrane is used (e.g. anionic or cationic), the liquid products can crossover from the catholyte to the anolyte, being then re-oxidized. Moreover, the volatile alcohol products such as ethanol have also experienced evaporation through the gas diffusion layer. 139,172 Within this context, bipolar membranes (BPM) is an alternative to avoid the products crossover, but they can promote higher overpotentials. BPM consist of anion- and cation exchange membranes that are laminated together, typically with a catalyst that promotes the auto dissociation of water at the interface. Besides, BPM-based electrolysis cells can maintain constant pH on the two sides by the selective transport of H<sup>+</sup> and OH<sup>-</sup> ions to the cathode and anode, respectively, which could be beneficial for the long-term stability of the electrochemical CO<sub>2</sub> conversion system.

A GDE system is able to reduce/eliminate the concentration polarization in the bulk electrolyte (as it occurs in H-type cells). These kinds of systems have reached industrially-relevant total current densities, although the selectivity towards a single product is not satisfactory so far (see Fig. 11). Therefore, the high costs required for the separation of alcohol products from the catholyte outlet stream makes this a still not suitable option to establish this technology at an industrial level. Another promising alternative consists in a typical PEM or AEM (anion exchange membrane) electrolyser design using a MEA. The most common design is composed of a cathode compartment with only gas (or humidified gas) and an anode compartment with a liquid phase anolyte. However, as shown in Fig. 12, electrolyte-free (or zero-gap) designs with humidified N2 or Ar in the anode have also been studied. The MEAbased design stands up between the alternatives to produce more concentrated products, avoiding mixtures with solvents difficult to separate like water, and lowering ohmic losses that are associated to high applied potentials and operative costs. It also does not need to work at high temperatures (as in the case of solid oxide electrolysers). Nevertheless, further efforts are also needed to increase the production rates using MEAs in EC CO<sub>2</sub>R electrolysers (see Fig. 13).

It is important to highlight that the greatest efforts for electrocatalyst development have been focussed on achieving a high selectivity. It means that required conditions an efficient

CO<sub>2</sub> reduction can lose sight, thus obtaining materials that are not stable to operate for more than 24 hours (e.g. for ethylene production<sup>139</sup>). Activity degradation is a consequence of the electrocatalyst instability. In this regard, the formation and deposition of carbonates at the surface of the catalyst has been found to be responsible for EC CO<sub>2</sub>R activity decay when using liquid electrolytes (e.g. in KHCO<sub>3</sub>). It reduces the hydrophobicity and blocks the catalyst layer surface, eventually hindering the CO2 flow through the GDL to the catalyst surface and the electrolyte in the case of GDE cells. If cathodic electrodes are not suitably porous, the proper diffusion of gaseous products back through the GDE represents a major challenge (mainly when the cell is operated at high current densities), since bubbles accumulation could also contribute to the physical catalyst degradation/detachment over time. 57,96 Therefore, the stability of electrocatalysts is a predominant obstacle to be overcome for a large-scale industrial application. In this framework, efforts have been made to evaluate the stability of GDEbased systems. Those strategies include different methods for the catalyst layer deposition, the study of the effect of the binder (e.g. Nafion) loading in the catalytic ink, and non-conventional gas diffusion layers substrate (e.g. PTFE mats). It has been proved that a proper catalyst deposition method is airbrushing, 75,171,173 since it minimizes the agglomeration of the catalyst in the carbon support facilitating the formation of well-defined electrodes. Additionally, PTFE porous layer seems to be less susceptive to degradation over time since the stable hydrophobic layer gas diffusion prevents electrode flooding.<sup>62,90,97,139</sup>

The conversion of CO<sub>2</sub> to any organic molecule requires substantial energy input to overcome the substantial thermodynamic and kinetic barriers. Most of the reported works have been done at ambient temperature and pressure. However, in order to overcome those barriers and increase the reaction rate, in some works of EC CO2R in gas phase the cells were tested in the range 90-110 °C. 137,143

The local reaction environment is another crucial factor. Some studies report that a moderate CO<sub>2</sub> concentration close to the catalyst surface could lead to an optimum amount of \*CO2 and \*CO, which may be ideal for C-C coupling towards multicarbon products (i.e. ethanol, n-propanol), while a low concentration of these species favours the competitive HER, and a high CO<sub>2</sub> concentration could promote C<sub>1</sub> products (i.e. methanol). 125,171 In the same way, the reaction pathways towards C2+ products is favoured at relatively high local pH, which is promoted by the presence of OH ions as mentioned above. 56,116,128 Accordingly, more attention should be paid to modelling and computational calculations for predicting the reaction environment that promotes the selective conversion of CO<sub>2</sub> into the desired products. In addition, the implementation of in situ/operando characterization techniques can provide key insights concerning the catalyst surface (i.e. struccomposition, oxidation ture, reaction intermediates).150

Fig. 15 demonstrates that the faradaic efficiencies and partial current densities for ethanol, *n*-propanol and methanol

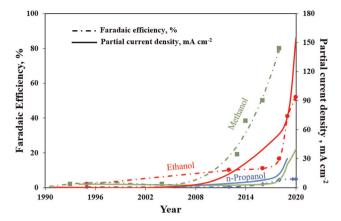


Fig. 15 Highest reported faradaic efficiencies (dashed line) and partial current densities (continuous line) for ethanol (red circles), n-propanol (blue diamonds) and methanol (green) over the past three decades.

have increased steadily over the past 30 years. Partial current densities for ethanol have also increased to ~150 mA cm<sup>-2</sup> as a result of the implementation of GDE or/and MEA cell configurations, which overcome the CO2 solubility issues in aqueous electrolytes. Although the highest faradaic efficiencies have been reached for methanol, the partial current densities are far from an industrial application. In the case of n-propanol, the FE and partial current densities are the less performing results. However, it has been found from a general technoeconomic analysis that the production of ethanol and *n*-propanol from EC CO<sub>2</sub>R is promising under optimistic conditions (e.g. 300 mA cm<sup>-2</sup> and 0.5 V overpotential at 70% faradaic efficiency). At the same time, methanol is the least favourite product from that study since it was the only product with a negative Net Present Value (NPV) using an optimistic case assumption. That is probably because the market value of methanol (0.58\$ per kg) is so low that profitability is impossible regardless of process performance, besides its capital and operating costs.<sup>50</sup> However, we recently demonstrated that the methanol production at industrial scale (including downstream processing units) is economically competitive in a EC CO<sub>2</sub>R system achieving 90% of FE and a total of 100 mA cm<sup>-2</sup>, at productivities greater than 3.3 kg h<sup>-1</sup>, if an effective allocation of the product in a real market scenario is considered.<sup>48</sup> However, to make this process more sustainable than the current methods used to produce methanol the current density must achieve at least 200 mA cm<sup>-2</sup>, which results in a reduction of 68% of the carbon footprint of this process (reaching up to 2.72 kgCO<sub>2</sub> eq. per kgCH<sub>3</sub>OH).

#### Conclusions 5

The management of GHGs emissions is one of the most challenging environmental problems to face in the current century. A feasible option to reduce CO<sub>2</sub> content in the atmosphere and tackle the environmental problem from GHGs emitted by human activities is to transform it into valuable fuels or

chemicals by the electrocatalytic reduction of CO<sub>2</sub>. This paper reviewed the recent considerable progress in electrochemical CO<sub>2</sub> reduction to methanol, ethanol and n-propanol on Cubased catalysts and the key strategies used to tune its activity and selectivity electrolyte effect, cell configuration, engineering

and surface modification of electrocatalysts.

Critical Review

From the current state-of-the-art of both catholyte and catholyte-free systems, we realize that the morphology of the nanostructured and composite electrocatalysts (doped or not) did not show high selectivity for a single product (alcohol) while operating at a high current density. The implementation of GDE or/and MEA cell configurations allow tackling the CO2 solubility issues in aqueous electrolytes, reaching high production rates. Although the solvent-less EC CO<sub>2</sub>R cell design is a promising approach to producing alcohols not dissolved in liquid electrolytes, and increased dominance of the H2 evolution side reaction in almost all the current works limits the practical application of those systems. Thus, until now, the best EC CO2R performance towards alcohols production has been achieved in liquid-phase electrocatalytic configuration, which entails high costs for downstream products separation.

Electrochemical CO<sub>2</sub> reduction processes still need further experimental analysis and theoretical efforts to: (i) improve the design of the electrocatalytic cell, (ii) optimize the catalytic sites activity, selectivity and stability, and (iii) engineering the electrocatalyst, to enhance the charge and mass transport within the system, for reducing ohmic losses and pursuing high current densities (>200 mA cm<sup>-2</sup>) towards industrially relevant rates. Even if many challenges remain, it is assumed that with further investigation, the perspective of implementing CO2 electrolysis for producing fossil-free fuels and chemicals at an industry level could be realised soon.

#### **Abbreviations**

AC Activated carbon

**AEM** Anion exchange membrane

BEBinding energy Carbon nanofiber CNF CNT Carbon nanotubes  $CO_2R$ CO<sub>2</sub> reduction

Cu

**DEMS** Differential electrochemical mass spectrometry

DFT Density functional theory  $E^{\circ}$ Standard reduction potential

EC Electrochemical

Energy-dispersive X-ray spectroscopy EDX

**EtOH** Ethanol FC Fuel cell G Graphite

**GDE** Gas diffusion electrode

**GHG** Greenhouse gas GN Graphene

HER Hydrogen evolution reaction **MEA** Membrane electrode assembly MeOH Methanol

MOF Metal-organic framework NHE Normal hydrogen electrode

NPV Net present value

OER Oxygen evolution reaction

PBI Polybenzimidazole polymer electrolyte membrane

PCET Proton-coupled-electron-transfer

PEC Photoelectrochemical

PEM Polymer exchange membrane

PrOH Propanol

PTFE Polytetrafluoroethylene (Teflon)

RDS Rate determining step REReference electrode **RWGS** Reverse water gas shift

SAED Selected area (electron) diffraction SDS

Selectivity determining step **SEM** Scanning electroscope microscope

SI Supporting information

CO and H2 Syngas Thermochemical TC

TEM Transmission electron microscopy

TRL Technology readiness level

WE Working electrode

#### Conflicts of interest

There are no conflicts to declare.

### Acknowledgements

This work has been performed with the financial support of Eni SpA and the R&D Program Energy Transition (Cattura e Utilizzo CO2), the European Union's Horizon 2020 Research and Innovation Action program under the Project SunCoChem (Grant Agreement No 862192) and the ERC@Polito CO2 Synthesis project granted by Politecnico di Torino.

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