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## The association between carotenoids and subjects with overweight or obesity: a systematic review and meta-analysis†

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**Background:** Excess body weight, including overweight and obesity, is one of the major factors influencing human health, and plays an important role in the global burden of disease. Carotenoids serve as precursors of vitamin A-related retinoids, and are considered to have potential effects on many diseases. However, the influence of carotenoids on people with excess body weight is unclear. **Methods:** This meta-analysis was conducted to assess the effects of carotenoids on overweight or obese subjects utilizing the available evidence. We searched PubMed, Medline, Cochrane Library, Web of Science and EMBASE databases up to September 2020. Random effects models were used to calculate the standard mean differences (SMDs) and odds ratios (ORs) with their 95% confidence intervals (95% CIs). **Results:** A total of seven randomized controlled trials and eight observational studies met the inclusion criteria and contained 28 944 subjects and data on multiple carotenoid subgroups, including lycopene, astaxanthin, cryptoxanthin,  $\alpha$ -carotene, and  $\beta$ -carotene. In all included Randomized Controlled Trial (RCT), the intervention duration was 20 days at the shortest and 16 weeks at the longest, and the range of intervention doses was 1.2–60 mg d<sup>-1</sup>. Our study found that the insufficiency of serum carotenoids was a risk factor for overweight and obesity (OR = 1.73, 95% CI [1.57, 1.91],  $p < 0.001$ ). Moreover, carotenoid supplementation was significantly associated with body weight reductions (SMD = -2.34 kg, 95% CI [-3.80, -0.87] kg,  $p < 0.001$ ), body mass index decrease (BMI, SMD = -0.95 kg cm<sup>-2</sup>, 95% CI [-1.88, -0.01] kg cm<sup>-2</sup>,  $p < 0.001$ ) and waist circumference losses (WC, SMD = -1.84 cm, 95% CI [-3.14, -0.54] cm,  $p < 0.001$ ). **Conclusion:** In summary, the carotenoids show promising effects in overweight or obese subjects. Additional data from large clinical trials are needed.

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### 1. Introduction

Excess weight, including overweight and obesity, was once considered one of the disease problems in developed countries. However, the prevalence of obesity has doubled in nearly half of the countries over the past few decades, and is increasing in most other countries.<sup>1</sup> As the World Health Organization reported, more than 2.55 billion adults ( $\geq 18$  years) with international standard definition were overweight (BMI  $\geq 25$  kg cm<sup>-2</sup>) or obese (BMI  $\geq 30$  kg cm<sup>-2</sup>) in 2016.<sup>2,3</sup>

Obesity is strongly associated with the development of cardiovascular disease, type 2 diabetes, and several types of cancer, diminishing the average human life expectancy and increasing the overall burden of disease worldwide.<sup>4</sup> A 5% reduction in the population's BMI levels by 2030 is estimated to decrease obesity-related direct medical expenditures by €495 million over the next 20 years.<sup>5</sup>

Carotenoids are fat-soluble pigments found in plants, fungi, bacteria, algae and human foods.<sup>6</sup> For example, green vegetables contain large amounts of lutein, lycopene is present in mature tomatoes, chili peppers include capsaicin, and crustaceans have high levels of astaxanthin. Moreover, multiple carotenoids, such as  $\beta$ -carotene, are commonly found in human serum. In addition,  $\alpha$ -carotene,  $\beta$ -cryptoxanthin, lycopene, lutein and zeaxanthin have been observed.<sup>7</sup> Notably, carotenoids are considered to have potential effects on many diseases. A positive connection between higher concentrations of carotenoids and a lower risk of chronic diseases has been illustrated by epidemiological studies, while  $\beta$ -carotene and

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lycopene are negatively related to the risk of cardiovascular disease.<sup>8</sup> Furthermore, fat-soluble carotenoids were shown to be present in lipid droplets within adipocytes and have effects on lipid absorption and transport,<sup>9</sup> indicating a correlation between carotenoids and excess body weight.<sup>10</sup>

However, the relationship between carotenoid concentrations and subjects with overweight or obesity has not been demonstrated in meta-analyses. Carotenoid interventions were reported to cause reductions in weight, BMI and other anthropometric measures in overweight and obese people.<sup>11,12</sup> Nevertheless, some studies have shown that carotenoid interventions have no significant effect on weight change in patients with excess body weight.<sup>13,14</sup> The effect of carotenoids on people with excess body weight is unclear. Consequently, we conducted a meta-analysis of all related observational studies and randomized controlled trials (RCTs) to evaluate the association between carotenoids and overweight or obese subjects.

## 2. Materials and methods

### 2.1 Registration

Our study protocol was registered with the International Prospective Register of Systematic Reviews (PROSPERO, <https://www.crd.york.ac.uk/PROSPERO/>); registration number: CRD42020211886.

### 2.2 Sources and methods of data retrieval

We searched the PubMed, Cochrane Library, Medline, Web of Science and EMBASE databases up to September 2020, limiting to English language and human subjects, and used the keywords carotenoids, beta-carotene, alpha-carotene, cryptoxanthin, cryptoxanthin, canthaxanthin, lutein, lycopene, zeaxanthin, overweight, obesity and obese to identify published literature assessing the role of carotenoids in overweight or obese populations. The literature search was limited to reports in English and with human subjects by using the search terms ((Body Weight[Mesh] OR Weight Loss[Mesh] OR Weight Gain [Mesh] OR Body Weight Changes[Mesh] OR Body Mass Index [Mesh] OR Obesity[Mesh] OR Overweight[Mesh] OR 'body mass index' OR fitness OR 'body fatness' OR 'weight change' OR 'weight variability' OR 'weight gain' OR weight loss OR obesity OR overweight OR 'body weight' OR adiposity OR 'fat mass' OR 'body fat' OR 'body size' OR 'body composition' OR 'central obesity') AND (carotenoids OR tetraterpenes OR 'tetraterpenes derivatives' OR Derivatives, tetraterpenes OR carotene OR caroline OR beta-carotene[Mesh] OR alpha-carotene OR beta-carotene OR cryptoxanthin OR retinoids[Mesh] OR canthaxanthin[Mesh] OR lutein[Mesh] OR lycopene OR zeaxanthin OR zeaxanthin[Mesh])).

### 2.3 Inclusion criteria

Two researchers independently evaluated all studies and extracted the final eligible literature. For disagreements,

Dr Cui, who is one of the co-authors and an expert in the field of nutrition, helped discuss and solve them (Fig. 1).

**2.3.1 Observational study.** The inclusion criteria were as follows: (1) excess body weight, including overweight and obesity, was defined on a local criterion. (2) The carotenoid concentration was measured by serum carotenoid concentration. (3) The results must include quantitative data with odds ratios (ORs) and their 95% confidence intervals (95% CIs) to evaluate the risk of insufficient carotenoids between subjects with excess body weight and the normal weight subjects. Studies that did not provide initial data, animal studies, duplicate literature, *in vitro* studies, reviews, or conference papers were excluded.

**2.3.2 Randomized controlled trials.** The inclusion criteria were as follows: (1) RCTs compared the carotenoid intervention and noncarotenoid intervention groups; (2) overweight or obesity was defined based on a local criterion; and (3) the outcomes were quantitative data that could be extracted or calculated.

Exclusion criteria were as follows: (1) single-arm studies or without a placebo or a mixture of carotenoid and antioxidant intervention or unquantifiable doses of carotenoid intervention; (2) patients with cancer, pregnancy and any other medication that could influence the carotenoid concentrations; and (3) nonhuman studies, reviews and conference literature.

### 2.4 Data abstraction

**2.4.1 Observational study.** All included studies were assessed, and the following data were extracted: (1) first author, nationality, publication year, numbers, mean age, BMI, and sex of individuals in the case/supplementation groups and controls; (2) the carotenoids type and subject type; and (3) the indicator to evaluate the risk for insufficient carotenoid concentration: ORs and their 95% CIs.

**2.4.2 Randomized controlled trials.** Studies were included if they provided the following criteria: (1) first author, nationality, publication year, numbers, mean age and sex of carotenoids intervention subjects and the controls; (2) the carotenoids type, subject type, intervention time and dose; and (3) the variations in the body weight, BMI, waist circumference (WC), total cholesterol (TC), low-density lipoprotein (LDL), high density lipoprotein cholesterol (HDL), and triglycerides (TG) in the carotenoids intervention and control subjects.

### 2.5 Risk of bias within individual studies

Cochrane Collaboration (RevMan version 5.3) software was used to estimate the risk of bias for RCTs. Moreover, the Newcastle–Ottawa scale (NOS), a scale for assessing the quality of published nonrandomized studies in meta-analyses,<sup>15</sup> was used to estimate the risk of bias (including selection, comparability, and exposure) for the observational studies.

### 2.6 Statistical analysis

Statistical analysis was performed using the statistical software RevMan version 5.3 and Stata version 12.0. The figures in the observational studies were collected to calculate the ORs and



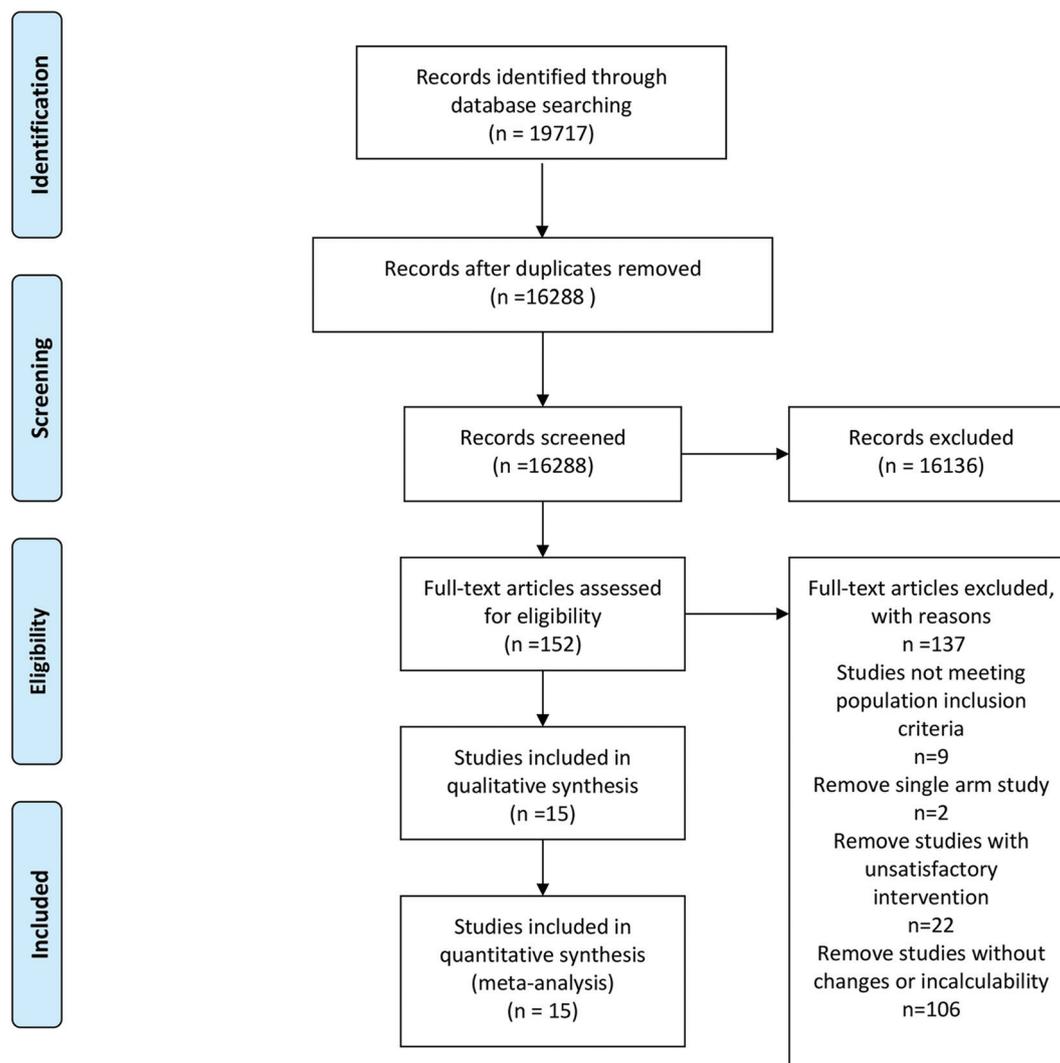


Fig. 1 Flow diagram of the literature search and selection.

95% CIs by the random effects model, while the data from all of the individual RCTs were used to calculate the standard mean difference (SMD) and 95% CIs using the random effects model. We calculated the standard deviations of the mean changes in the anthropometric and metabolic parameters that were unavailable from the original literature using the equations in the Cochrane Handbook. Correlation coefficients of the equations were evaluated from the data in the included literature that simultaneously provided baseline and endpoint values and variations.

$$\sqrt{SD_{\text{Baseline}}^2 + SD_{\text{Final}}^2 - 2 \times 0.98 \times SD_{\text{Baseline}} \times SD_{\text{Final}}}$$

Cochran's Q statistic and the  $I^2$  statistic were used to evaluate the statistical heterogeneity.  $p < 0.05$  was defined as significant for heterogeneity. Egger's test was used to calculate the publication bias. The potential publication bias was evaluated

via Egger's test, where the trim-and-fill method (sensitivity analysis) was used to correct outcomes and evaluate the impact of bias on the outcomes.

For the observational studies, the subgroup analyses were conducted based on the age (<18 years and  $\geq 18$  years), sex of the subjects (male, female and both of male and female), region (Asia, South America, North America and Oceania), population type (overweight and obesity) and carotenoid type (lycopene, astaxanthin, cryptoxanthin, zeaxanthin/lutein,  $\alpha$ -carotene,  $\beta$ -carotene, total carotenoids and lutein/zeaxanthin).

Additionally, we used subgroup analyses based on the intervention time ( $\leq 12$  weeks and  $> 12$  weeks), region (Asia and Europe), population type (overweight:  $25 \text{ kg cm}^{-2} \leq \text{BMI} < 30 \text{ kg cm}^{-2}$ , obesity:  $\text{BMI} > 30 \text{ kg cm}^{-2}$ , and both overweight and obesity:  $\text{BMI} > 30 \text{ kg cm}^{-2}$ ) and population sex (male, female and both of male and female) to evaluate the source of heterogeneity for the RCTs.



### 3. Results

Only 15 studies of the 19 717 relevant publications evaluated met the inclusion criteria, and contained a total of 28 944 subjects.<sup>11–14,16–26</sup> These 15 articles included 8 papers analysing differences in the serum carotenoid concentrations between subjects with excess body weight and the normal weight subjects,<sup>19–26</sup> and 7 papers (RCTs) evaluating the change in the anthropometric and blood liquid parameters in response to supplementation with carotenoids.<sup>11–14,16–18</sup> Detailed information and primary outcome parameters are presented in Tables 1 and 2, and the risk of bias within individual studies for RCTs is shown in Fig. S1 and Table S1.†

#### 3.1 Risk of low carotenoid concentrations

For the 8 papers analysing serum carotenoid concentrations to determine whether low carotenoid concentrations were a risk factor for obesity, 2 studies were conducted in Asia,<sup>23,25</sup> 2 studies were performed in North America,<sup>20,26</sup> 3 studies were performed in South America<sup>19,21</sup> and 1 study was performed in Oceania.<sup>24</sup> Three studies were conducted in the adults (age  $\geq 18$  years (ref. 23, 25 and 26)) and 4 studies focused on adolescents and children (age  $< 18$  years (ref. 19–22)), and the remaining 1 paper was not analysed because this article did not state the age of the subjects. The population was overweight in 5 studies<sup>19–21,24,26</sup> and obese in 5 studies.<sup>20,22–26</sup> Four studies were carried out among the male population,<sup>19,23,25,26</sup> 4 studies were undertaken with female subjects,<sup>23,25,26</sup> and the rest of the studies had both male and female subjects.<sup>20–22,24</sup> The studies were divided into 8 subgroups according to carotenoid species; the lycopene group contained 3 studies,<sup>23,25,26</sup> and the astaxanthin group included 1 study.<sup>23</sup> The type of carotenoid was cryptoxanthin in 3 studies,<sup>23,25,26</sup> zeaxanthin/lutein in 2 studies,<sup>23,25,26</sup>  $\alpha$ -carotene in 4 studies,<sup>20,23,25,26</sup>  $\beta$ -carotene in 5 studies,<sup>19,20,23,25,26</sup> total carotenoids in 3 studies<sup>21,22,24</sup> and lutein/zeaxanthin in 1 study.<sup>26</sup> The risk of bias within studies analysing whether low carotenoid concentrations were a risk factor by the NOS is demonstrated in Table S2.† Furthermore, the GRADE system was utilized to evaluate the quality of evidence (Table S3.†).

We performed a meta-analysis of the carotenoid concentration to evaluate whether low carotenoid concentrations were a risk factor in obese or overweight people. Low serum carotenoid levels were a risk factor for overweight or obese subjects compared to control subjects (OR = 1.73, 95% CI [1.57, 1.91],  $p < 0.001$ ) (Fig. 2). Moreover, publication bias was not observed in the serum carotenoid concentration, as shown by Egger's test (coefficient =  $-0.5664128$ ,  $t = -0.95$ ,  $p = 0.346$ ).

In addition, we performed subgroup analysis based on the age, sex, region, population type, and carotenoid type. Studies were subdivided into two groups: the adults (age  $\geq 18$  years) and the minors (age  $< 18$  years). For both groups, the risk of insufficient serum carotenoid concentration was higher in the subjects with excess weight than the normal. Moreover, the subgroup analysis was conducted to determine if there were differences in the effects of the region: Asia, South America,

North America and Oceania. The risk of insufficient serum carotenoid concentration for the subjects with excess weight was higher than that for the normal weight subjects in the Asia group and the North America group. Based on the gender distribution of the subjects included, all studies were divided into three categories: male, female, and both male and female. The risk of low serum carotenoid concentrations was higher in all of the groups than in the controls. Moreover, studies were classified into two groups (overweight and obese) based on the BMI of the subjects. For the two groups, we observed a statistically significant difference between the two groups compared to the control group. In addition, the types of carotenoids in the included studies were lycopene, astaxanthin, cryptoxanthin, zeaxanthin/lutein,  $\alpha$ -carotene,  $\beta$ -carotene, total carotenoids and lutein/zeaxanthin. The risk of low serum carotenoid concentrations was higher than that of the controls in all of the groups, except the astaxanthin group and total carotenoid group. In summary, subgroup analyses indicated that region and carotenoid type may contribute to the heterogeneity of the results (Table 3).

#### 3.2 Effect of carotenoid supplementation

A total of 7 studies evaluated the change in anthropometric and blood liquid parameters, involving 498 samples, with 255 interventions and 243 controls. We evaluated variations in weight, BMI, WC, fat ratio, TC, TGs, LDL, and HDL in six, five, five, two, two, four, three and two papers, respectively. The detailed figures are presented in Table 4. Furthermore, to assess the quality of evidence, we used the GRADE system (Table 4). The meta-analysis demonstrated that carotenoid interventions in people who are overweight or obese might contribute to their weight reduction (SMD =  $-2.34$  kg, 95% CI [ $-3.80$ ,  $-0.87$ ] kg,  $p < 0.001$ , Fig. 3). Carotenoid intervention resulted in a significantly larger reduction in BMI (SMD =  $-0.95$  kg  $\text{cm}^{-2}$ , 95% CI [ $-1.88$ ,  $-0.01$ ] kg  $\text{cm}^{-2}$ ,  $p < 0.001$ , Fig. 4), WC (SMD =  $-1.84$  cm, 95% CI [ $-3.14$ ,  $-0.54$ ] cm,  $p < 0.001$ , Fig. 5) and TC (SMD =  $-2.095$  mg  $\text{dL}^{-1}$ , 95% CI [ $-3.201$ ,  $-0.989$ ],  $p < 0.001$ , Table 5). Additionally, statistically significant differences in the variation in HDL were also observed (SMD =  $0.757$  mg  $\text{dL}^{-1}$ , 95% CI [0.101, 1.413],  $p = 0.024$ , Table 5). Nevertheless, the data included in this meta-analysis did not show any significant effect of carotenoids on the fat ratio, or LDL and TG concentrations (Table 5). The details of the subgroup analysis for the carotenoid intervention and the changes in the anthropometric and lipid parameters in overweight or obese individuals are summarized in Table S4.† Population weight may be the source of heterogeneity for the body weight, BMI, and WC. Intervention time, region and population sex may be the sources of heterogeneity for TGs.

The publication bias outcomes of the included studies are presented in Table S5.† Publication biases were observed in body weight and LDL ( $P < 0.05$ ). However, there was no significant difference in SMD before and after trimming and filling. Therefore, the influence of publication bias was considered slight and the results were stable (Table S5.†).



Table 1 Detailed information and primary outcome parameters of the observational studies

Author	Region	Year	N		Gender (M/F)		Gender		BMI (kg cm <sup>-2</sup> )		OR (95%CI)	
			Case	Control	Case	Control	Male	Female	Case	Control	Case	Control
Rebecca <i>et al.</i> [β car-M]	Brazil	2019	40	49	40/0	49/0	89	0	—	—	1.46 (1.2, 1.8)	1
Rebecca <i>et al.</i> [β car-F]	Brazil	2019	44	105	0/44	0/105	0	149	—	—	1.19 (1, 1.4)	1
Inong R. <i>et al.</i> [α car-2]	U.S.A.	2014	413 <sup>a</sup>	587 <sup>a</sup>	237/230 <sup>a</sup>	283/364 <sup>a</sup>	537	617	—	—	1.2 (0.68, 2.08)	1
Inong R. <i>et al.</i> [β car-2]	U.S.A.	2014	413 <sup>a</sup>	587 <sup>a</sup>	237/230 <sup>a</sup>	283/364 <sup>a</sup>	537	617	—	—	1.75 (1.12, 2.7)	1
Inong R. <i>et al.</i> [α car-1]	U.S.A.	2014	413 <sup>a</sup>	587 <sup>a</sup>	237/230 <sup>a</sup>	283/364 <sup>a</sup>	537	617	—	—	2.17 (1.39, 3.45)	1
Inong R. <i>et al.</i> [β car-1]	U.S.A.	2014	413 <sup>a</sup>	587 <sup>a</sup>	237/230 <sup>a</sup>	283/364 <sup>a</sup>	537	617	—	—	2.86 (1.89, 4.35)	1
Inong R. <i>et al.</i> [β car-2]	U.S.A.	2014	413 <sup>a</sup>	587 <sup>a</sup>	237/230 <sup>a</sup>	283/364 <sup>a</sup>	537	617	—	—	1.59 (0.93, 2.78)	1
Inong R. <i>et al.</i> [β car-1]	U.S.A.	2014	413 <sup>a</sup>	587 <sup>a</sup>	237/230 <sup>a</sup>	283/364 <sup>a</sup>	537	617	—	—	4.17 (2.63, 6.25)	1
Luciane <i>et al.</i> [car]	Brazil	2007	72	399	34/38	217/182	251	220	17.24 ± 2.93	17.92 ± 3.83	2.51 (1.43, 4.39)	1
Roseli <i>et al.</i> [car]	Brazil	2005	23	23	—	—	24	22	3.36 ± 2.16 <sup>b</sup>	0.58 ± 0.74 <sup>b</sup>	0.08 (0.01, 0.71)	1
Koji <i>et al.</i> [LYC-M]	Japan	2006	55	137	55/0	137/0	192	0	26.97 ± 1.6	22.27 ± 1.9	0.64 (0.29, 1.37)	1
Koji <i>et al.</i> [ASTA-M]	Japan	2006	55	137	55/0	137/0	192	0	26.97 ± 1.6	22.27 ± 1.9	1.03 (0.5, 2.1)	1
Koji <i>et al.</i> [CRY-M]	Japan	2006	55	137	55/0	137/0	192	0	26.97 ± 1.6	22.27 ± 1.9	0.89 (0.41, 1.9)	1
Koji <i>et al.</i> [zea/lut-M]	Japan	2006	55	137	55/0	137/0	192	0	26.97 ± 1.6	22.27 ± 1.9	1.55 (0.74, 3.24)	1
Koji <i>et al.</i> [α car-M]	Japan	2006	55	137	55/0	137/0	192	0	26.97 ± 1.6	22.27 ± 1.9	1.13 (0.55, 2.3)	1
Koji <i>et al.</i> [β car-M]	Japan	2006	55	137	55/0	137/0	192	0	26.97 ± 1.6	22.27 ± 1.9	0.83 (0.36, 1.84)	1
Koji <i>et al.</i> [LYC-F]	Japan	2006	119	279	0/119	0/279	0	398	27.07 ± 1.8	21.97 ± 2.0	1.15 (0.71, 1.88)	1
Koji <i>et al.</i> [ASTA-F]	Japan	2006	119	279	0/119	0/279	0	398	27.07 ± 1.8	21.97 ± 2.0	1.52 (0.94, 2.44)	1
Koji <i>et al.</i> [CRY-F]	Japan	2006	119	279	0/119	0/279	0	398	27.07 ± 1.8	21.97 ± 2.0	1.74 (1.07, 2.84)	1
Koji <i>et al.</i> [zea/lut-F]	Japan	2006	119	279	0/119	0/279	0	398	27.07 ± 1.8	21.97 ± 2.0	1.31 (0.79, 2.16)	1
Koji <i>et al.</i> [α car-F]	Japan	2006	119	279	0/119	0/279	0	398	27.07 ± 1.8	21.97 ± 2.0	1.38 (0.84, 2.25)	1
Koji <i>et al.</i> [β car-F]	Japan	2006	119	279	0/119	0/279	0	398	27.07 ± 1.8	21.97 ± 2.0	1.4 (0.85, 2.31)	1
Allison <i>et al.</i> [car-2]	Australia	2011	—	—	—	—	280	617	27.0 (23.5–30.9) <sup>c</sup>	27.8 (23.5–32.1) <sup>d</sup>	0.76 (0.32, 1.79)	1
Allison <i>et al.</i> [car-1]	Australia	2011	—	—	—	—	280	617	27.0 (23.5–30.9) <sup>c</sup>	27.8 (23.5–32.1) <sup>d</sup>	1.43 (0.55, 3.7)	1
Koji <i>et al.</i> [CRY-M]	Japan	2003	50	108	50/0	108/0	158	0	27.7 ± 2.4	22.0 ± 2.0	2.13 (0.81, 5.56)	1
Koji <i>et al.</i> [zea/lut-M]	Japan	2003	50	108	50/0	108/0	158	0	27.7 ± 2.4	22.0 ± 2.0	1.69 (0.67, 4.35)	1
Koji <i>et al.</i> [α car-M]	Japan	2003	50	108	50/0	108/0	158	0	27.7 ± 2.4	22.0 ± 2.0	4.35 (1.54, 12.5)	1
Koji <i>et al.</i> [β car-M]	Japan	2003	50	108	50/0	108/0	158	0	27.7 ± 2.4	22.0 ± 2.0	3.03 (1.09, 8.33)	1
Koji <i>et al.</i> [LYC-F]	Japan	2003	52	106	0/52	0/106	0	158	22.1 ± 2.0	27.6 ± 1.9	2.33 (0.93, 5.88)	1
Koji <i>et al.</i> [CRY-F]	Japan	2003	52	106	0/52	0/106	0	158	22.1 ± 2.0	27.6 ± 1.9	1.92 (0.76, 4.76)	1
Koji <i>et al.</i> [zea/lut-F]	Japan	2003	52	106	0/52	0/106	0	158	22.1 ± 2.0	27.6 ± 1.9	1.64 (0.65, 4)	1
Koji <i>et al.</i> [α car-F]	Japan	2003	52	106	0/52	0/106	0	158	22.1 ± 2.0	27.6 ± 1.9	2.86 (1.14, 7.14)	1
Koji <i>et al.</i> [β car-F]	Japan	2003	52	106	0/52	0/106	0	158	22.1 ± 2.0	27.6 ± 1.9	2.56 (1.01, 6.67)	1
Joel E. <i>et al.</i> [α car-F-pre-1]	U.S.A.	2006	1320	1980	0/1320	0/1980	0	3300	22.71 (1.22) <sup>e</sup>	55.18 (1.40) <sup>e</sup>	4.44 (3.37, 5.84)	1
Joel E. <i>et al.</i> [α car-F-pr6-2]	U.S.A.	2006	1212	1980	0/1212	0/1980	0	3192	22.10 (1.10) <sup>e</sup>	55.18 (1.40) <sup>e</sup>	1.82 (1.39, 2.38)	1
Joel E. <i>et al.</i> [α car-F-post-1]	U.S.A.	2006	1267	1239	0/1267	0/1239	0	2506	30.13 (1.05) <sup>e</sup>	36.88 (1.31) <sup>e</sup>	2.75 (2.03, 3.72)	1
Joel E. <i>et al.</i> [α car-F-post-2]	U.S.A.	2006	1365	1239	0/1365	0/1239	0	2604	32.99 (1.04) <sup>e</sup>	36.88 (1.31) <sup>e</sup>	1.47 (1.47, 1.91)	1
Joel E. <i>et al.</i> [α car-M-y-2]	U.S.A.	2006	1244	2346	1244/0	2346/0	3590	0	19.37 (0.71) <sup>e</sup>	41.57 (1.04) <sup>e</sup>	2.67 (2.01, 3.56)	1
Joel E. <i>et al.</i> [α car-M-y-1]	U.S.A.	2006	2285	2346	2285/0	2346/0	4631	0	39.06 (0.90) <sup>e</sup>	41.57 (1.04) <sup>e</sup>	1.25 (1.01, 1.55)	1
Joel E. <i>et al.</i> [α car-M-o-2]	U.S.A.	2006	363	716	363/0	716/0	1079	0	21.51 (1.55) <sup>e</sup>	33.47 (1.74) <sup>e</sup>	1.52 (0.98, 2.35)	1
Joel E. <i>et al.</i> [α car-M-o-1]	U.S.A.	2006	854	716	854/0	716/0	1570	0	45.02 (1.82) <sup>e</sup>	33.47 (1.74) <sup>e</sup>	1.39 (1.02, 1.89)	1
Joel E. <i>et al.</i> [β car-F-pre-1]	U.S.A.	2006	1320	1980	0/1320	0/1980	0	3300	22.71 (1.22) <sup>e</sup>	55.18 (1.40) <sup>e</sup>	6.16 (4.35, 8.74)	1
Joel E. [β car-F-pre-2]	U.S.A.	2006	1212	1980	0/1212	0/1980	0	3192	22.10 (1.10) <sup>e</sup>	55.18 (1.40) <sup>e</sup>	2.05 (1.56, 2.69)	1
Joel E. <i>et al.</i> [β car-F-post-1]	U.S.A.	2006	1267	1239	0/1267	0/1239	0	2506	30.13 (1.05) <sup>e</sup>	36.88 (1.31) <sup>e</sup>	2.93 (1.99, 4.32)	1
Joel E. <i>et al.</i> [β car-F-post-2]	U.S.A.	2006	1365	1239	0/1365	0/1239	0	2604	32.99 (1.04) <sup>e</sup>	36.88 (1.31) <sup>e</sup>	1.72 (1.2, 2.48)	1
Joel E. <i>et al.</i> [β car-M-y-2]	U.S.A.	2006	1244	2346	1244/0	2346/0	3590	0	19.37 (0.71) <sup>e</sup>	41.57 (1.04) <sup>e</sup>	2.71 (2, 3.69)	1
Joel E. <i>et al.</i> [β car-M-y-1]	U.S.A.	2006	2285	2346	2285/0	2346/0	4631	0	39.06 (0.90) <sup>e</sup>	41.57 (1.04) <sup>e</sup>	1.4 (1.14, 1.72)	1
Joel E. <i>et al.</i> [β car-M-o-2]	U.S.A.	2006	363	716	363/0	716/0	1079	0	21.51 (1.55) <sup>e</sup>	33.47 (1.74) <sup>e</sup>	2.06 (1.22, 3.48)	1
Joel E. <i>et al.</i> [β car-M-o-1]	U.S.A.	2006	854	716	854/0	716/0	1570	0	45.02 (1.82) <sup>e</sup>	33.47 (1.74) <sup>e</sup>	1.68 (1.15, 2.46)	1





Table 1 (Contd.)

Author	Region	Year	N		Gender (M/F)		Gender		BMI (kg cm <sup>-2</sup> )		OR (95%CI)	
			Case	Control	Case	Control	Male	Female	Case	Control	Case	Control
Joel E. <i>et al.</i> [CRY-F-pre-1]	U.S.A.	2006	1320	1980	0/1320	0/1980	0	3300	22.71 (1.22) <sup>e</sup>	55.18 (1.40) <sup>e</sup>	4.21 (3, 5.92)	1
Joel E. <i>et al.</i> [CRY-F-post-1]	U.S.A.	2006	1267	1239	0/1267	0/1239	0	2506	30.13 (1.05) <sup>e</sup>	36.88 (1.31) <sup>e</sup>	2.47 (1.86, 3.27)	1
Joel E. <i>et al.</i> [CRY-F-post-2]	U.S.A.	2006	1365	1239	0/1365	0/1239	0	2604	32.99 (1.04) <sup>e</sup>	36.88 (1.31) <sup>e</sup>	1.4 (1.09, 1.79)	1
Joel E. <i>et al.</i> [CRY-M-y-2]	U.S.A.	2006	1244	2346	1244/0	2346/0	3590	0	19.37 (0.71) <sup>e</sup>	41.57 (1.04) <sup>e</sup>	2.4 (1.69, 3.41)	1
Joel E. <i>et al.</i> [CRY-M-y-1]	U.S.A.	2006	2285	2346	2285/0	2346/0	4631	0	39.06 (0.90) <sup>e</sup>	41.57 (1.04) <sup>e</sup>	1.18 (0.96, 1.46)	1
Joel E. <i>et al.</i> [CRY-M-o-2]	U.S.A.	2006	363	716	363/0	716/0	1079	0	21.51 (1.55) <sup>e</sup>	33.47 (1.74) <sup>e</sup>	1.43 (0.83, 2.44)	1
Joel E. <i>et al.</i> [CRY-M-o-1]	U.S.A.	2006	854	716	854/0	716/0	1570	0	45.02 (1.82) <sup>e</sup>	33.47 (1.74) <sup>e</sup>	1.19 (0.77, 1.83)	1
Joel E. <i>et al.</i> [LYC-F-pre-1]	U.S.A.	2006	1320	1980	0/1320	0/1980	0	3300	22.71 (1.22) <sup>e</sup>	55.18 (1.40) <sup>e</sup>	2.07 (1.48, 2.88)	1
Joel E. <i>et al.</i> [LYC-F-pre-2]	U.S.A.	2006	1212	1980	0/1212	0/1980	0	3192	22.10 (1.10) <sup>e</sup>	55.18 (1.40) <sup>e</sup>	1.39 (1, 1.93)	1
Joel E. <i>et al.</i> [LYC-F-post-1]	U.S.A.	2006	1267	1239	0/1267	0/1239	0	2506	30.13 (1.05) <sup>e</sup>	36.88 (1.31) <sup>e</sup>	1.83 (1.27, 2.62)	1
Joel E. <i>et al.</i> [LYC-F-post-2]	U.S.A.	2006	1365	1239	0/1365	0/1239	0	2604	32.99 (1.04) <sup>e</sup>	36.88 (1.31) <sup>e</sup>	1.37 (1.08, 1.74)	1
Joel E. <i>et al.</i> [LYC-M-y-2]	U.S.A.	2006	1244	2346	1244/0	2346/0	3590	0	19.37 (0.71) <sup>e</sup>	41.57 (1.04) <sup>e</sup>	1.27 (0.99, 1.63)	1
Joel E. <i>et al.</i> [LYC-M-y-1]	U.S.A.	2006	2285	2346	2285/0	2346/0	4631	0	39.06 (0.90) <sup>e</sup>	41.57 (1.04) <sup>e</sup>	0.98 (0.77, 1.24)	1
Joel E. <i>et al.</i> [LYC-M-o-2]	U.S.A.	2006	363	716	363/0	716/0	1079	0	21.51 (1.55) <sup>e</sup>	33.47 (1.74) <sup>e</sup>	0.77 (0.49, 1.2)	1
Joel E. <i>et al.</i> [LYC-M-o-1]	U.S.A.	2006	854	716	854/0	716/0	1570	0	45.02 (1.82) <sup>e</sup>	33.47 (1.74) <sup>e</sup>	1.06 (0.71, 1.58)	1
Joel E. <i>et al.</i> [lut/zea-F-pre-1]	U.S.A.	2006	1320	1980	0/1320	0/1980	0	3300	22.71 (1.22) <sup>e</sup>	55.18 (1.40) <sup>e</sup>	3.7 (2.66, 5.16)	1
Joel E. <i>et al.</i> [lut/zea-F-pre-2]	U.S.A.	2006	1212	1980	0/1212	0/1980	0	3192	22.10 (1.10) <sup>e</sup>	55.18 (1.40) <sup>e</sup>	1.74 (1.29, 2.35)	1
Joel E. <i>et al.</i> [lut/zea-F-post-1]	U.S.A.	2006	1267	1239	0/1267	0/1239	0	2506	30.13 (1.05) <sup>e</sup>	36.88 (1.31) <sup>e</sup>	2.49 (1.85, 3.37)	1
Joel E. <i>et al.</i> [lut/zea-F-post-2]	U.S.A.	2006	1365	1239	0/1365	0/1239	0	2604	32.99 (1.04) <sup>e</sup>	36.88 (1.31) <sup>e</sup>	1.46 (1.06, 2.02)	1
Joel E. <i>et al.</i> [lut/zea-M-y-2]	U.S.A.	2006	1244	2346	1244/0	2346/0	3590	0	19.37 (0.71) <sup>e</sup>	41.57 (1.04) <sup>e</sup>	1.81 (1.37, 2.4)	1
Joel E. <i>et al.</i> [lut/zea-M-y-1]	U.S.A.	2006	2285	2346	2285/0	2346/0	4631	0	39.06 (0.90) <sup>e</sup>	41.57 (1.04) <sup>e</sup>	1 (0.75, 1.32)	1
Joel E. <i>et al.</i> [lut/zea-M-o-2]	U.S.A.	2006	363	716	363/0	716/0	1079	0	21.51 (1.55) <sup>e</sup>	33.47 (1.74) <sup>e</sup>	1.85 (1.2, 2.86)	1
Joel E. <i>et al.</i> [lut/zea-M-o-1]	U.S.A.	2006	854	716	854/0	716/0	1570	0	45.02 (1.82) <sup>e</sup>	33.47 (1.74) <sup>e</sup>	1.68 (1.17, 2.42)	1

Data were shown as the means ± S.D.; α car, α-carotene; ASTA, astaxanthin; β car, β-carotene; CRY, cryptoxanthin; car, total carotenoids; lut/zea, lutein/zeaxanthin; zea/lut, zeaxanthin/lutein; F, female; M, male; pre, premenopausal; post, postmenopausal; o, old subjects (≥65 years); y, young subjects (19 ≤ 65 years); 1, obese; 2, overweight. <sup>a</sup> Some basic data of the literature are missing. <sup>b</sup> Weight/height z-score (Obesity ZWH ≥2). <sup>c</sup> Men. <sup>d</sup> Women. <sup>e</sup> Data shown as: % (SE).

Table 2 Detailed information and primary outcome parameters of RCTs at the baseline

Author	Region	Year	Intervention		N	Study	Gender (M/F)		Age (years)		BMI (kg cm <sup>-2</sup> )		Weight (kg)		WC (cm)		TG (mg dl <sup>-1</sup> )			
			Dose (mg)	Time (week)			Control	SG	Control	SG	Control	SG	Control	SG	Control	SG	Control	SG	Control	SG
Ryo <i>et al.</i>	Japan	2018	9	48.9 ± 1.38 <sup>a</sup>	41	39	35/6	50.8 ± 1.39 <sup>a</sup>	27.19 ± 0.24	27.09 ± 0.22	77.81 ± 1.00 <sup>a</sup>	75.48 ± 1.14 <sup>a</sup>	89.02 ± 0.91 <sup>a</sup>	87.89 ± 0.83 <sup>a</sup>	131.8 ± 12.6 <sup>a</sup>	131.8 ± 12.6 <sup>a</sup>	141.1 ± 13.9 <sup>a</sup>	141.1 ± 13.9 <sup>a</sup>		
Zohre <i>et al.</i>	Iran	2015	60	20–30	40	35	0/40	20–30	28.22 ± 0.35	28.28 ± 0.29	71.82 ± 1.31 <sup>a</sup>	72.39 ± 1.19 <sup>a</sup>	—	—	—	—	—	—		
Hye <i>et al.</i>	South Korea	2011	20	31.1 ± 9.4	14	13	12/2	30.1 ± 9.5	28.1 ± 2.4	26.3 ± 1.3	83.6 ± 9.4	77.1 ± 10.8	97.1 ± 6.3	92.1 ± 6.2	110.6 ± 51.5	110.6 ± 51.5	113.4 ± 40.5	113.4 ± 40.5		
Fatemeh <i>et al.</i>	Iran	2020	6	38.1 ± 7.6	23	23	11/12	35.6 ± 9.1	33.2 ± 3.3	33.1 ± 3.9	93.8 ± 13.8	95.5 ± 17.0	103.6 ± 8.1	104.4 ± 11.9	—	—	—	—		
Akira <i>et al.</i> -trial1	Japan	2018	1.2	41 ± 9	13	10	13/0	44 ± 9	28.2 ± 2.9	28.2 ± 2.7	83.0 ± 10.1	83.5 ± 9.7	—	—	—	—	—	—		
Akira <i>et al.</i> -trial2	Japan	2018	2	44 ± 11	46	45	46/0	43 ± 10	26.9 ± 1.9	27.4 ± 1.8	80.5 ± 9.6	81.0 ± 7.8	94.4 ± 5.6	94.5 ± 5.7	—	—	—	—		
M. Abidow <i>et al.</i> -NAFLD	Russia	2010	2.4	36.1 ± 2.1 <sup>a</sup>	36	36	0/36	37.4 ± 2.8 <sup>a</sup>	>30	>30	94.1 ± 2.1	93.5 ± 2.4	110.6 ± 1.6	109.2 ± 1.4	195 ± 19	195 ± 19	191 ± 15	191 ± 15		
M. Abidow <i>et al.</i> -NLF	Russia	2010	2.4	34.7 ± 3.5 <sup>a</sup>	19	19	0/19	34.7 ± 3.2 <sup>a</sup>	>30	>30	94.5 ± 2.1	93.9 ± 1.4	103.1 ± 1.7	102.2 ± 1.4	177 ± 12	177 ± 12	174 ± 12	174 ± 12		
Maria <i>et al.</i>	Denmark	2019	7	56.2 ± 5.9	6	6	4/2	56.1 ± 5.8	32.7 ± 3.3	33.8 ± 3.5	—	—	—	—	136 ± 13.8	136 ± 13.8	136 ± 13.8	136 ± 13.8		

Data were shown as mean ± S.D. <sup>a</sup> Mean ± S.E.; SG, supplementation groups; BMI, body mass index; WC, waist circumference; TG, triglyceride; NAFLD, non-alcoholic fatty liver disease; NLF, normal liver fat.

## 4. Discussion

In our research, in addition to the international standards to define overweight and obesity, two definitions by local standards were used. First, overweight was defined as BMI for AGE > Z-score +1 and ≤+2 and obese as BMI for AGE > Z-score +2. Second, BMI-for-age percentiles ≥85th–95th percentiles were considered as overweight and BMI-for-age percentiles ≥95th percentiles were considered obese. Studies have found that obese people, both adults and children, have low blood carotenoid concentrations.<sup>22,27,28</sup> Similar to these studies, our meta-analysis showed that the low serum carotenoids levels are associated with obesity and are a risk factor for obesity. This finding might be due to retinoids, known as the intermediate products of vitamin A metabolism,<sup>29</sup> mainly retinol, retinal and retinoic acid, which can exert antiobesity effects.<sup>30,31</sup> Retinoids are considered to block the formation of adipocytes, decrease fat accumulation, and be involved in the inflammatory response. The possible mechanisms of retinoids against obesity are mainly as follows: (1) Retinoids blocked the formation of adipocytes. Retinoic acid-liganded RARs (retinoic acid receptors) block the transcription of CCAAT/enhancer-binding protein-α (C/EBPα), which is required for adipogenesis.<sup>32</sup> Moreover, these molecules block the induction of peroxisome proliferator-activated receptor γ (PPARγ), a key transcription factor needed for fat accrual in adipocytes.<sup>33</sup> (2) Retinoids decreased fat accumulation. White adipose tissue (WAT) stores excess energy as triglycerides, while brown adipose tissue (BAT) specializes in the dissipation of fat through the production of heat.<sup>34</sup> According to previous reports, there is a phenomenon named WAT-TO-BAT remodeling or browning of white fat,<sup>35</sup> which is represented by brite or beige adipocytes appearing in WAT depots in the mammalian body under conditions of thermogenic activity, leading to fat breakdown.<sup>36,37</sup> In this process, UCP1 (uncoupling protein-1), the key protein needed for uncoupling mitochondrial respiration, plays an important role, resulting in reduced fat accumulation in WAT.<sup>38</sup> Furthermore, retinoic acid increases the gene expression of UCP1 through the mediation of RARs.<sup>36,39</sup> (3) Retinoids are involved in the inflammatory response. Increased macrophage infiltration of adipose tissue plays a critical role in metabolic disease development, while retinoic acid was found to significantly diminish NF-κB (nuclear factor kappa-B) activation and decrease macrophage infiltration of the epididymal fat.<sup>40,41</sup> Carotenoids, such as β-carotenoids, are considered to be the precursors of retinoids in some research,<sup>7</sup> indicating that when carotenoid levels in the body decrease, the synthesis of retinoids is reduced, thus affecting the body's ability to prevent obesity. Similarly, according to the result of subgroup analysis with carotenoid type, we observed that compared to that of the normal weight population, the OR of low β-carotenoids in the obese or overweight population was higher than that of the other types. Furthermore, a decrease in heterogeneity was noted in this subgroup analysis. In summary, we found that low serum carotenoid status is a risk factor for obesity. Therefore,



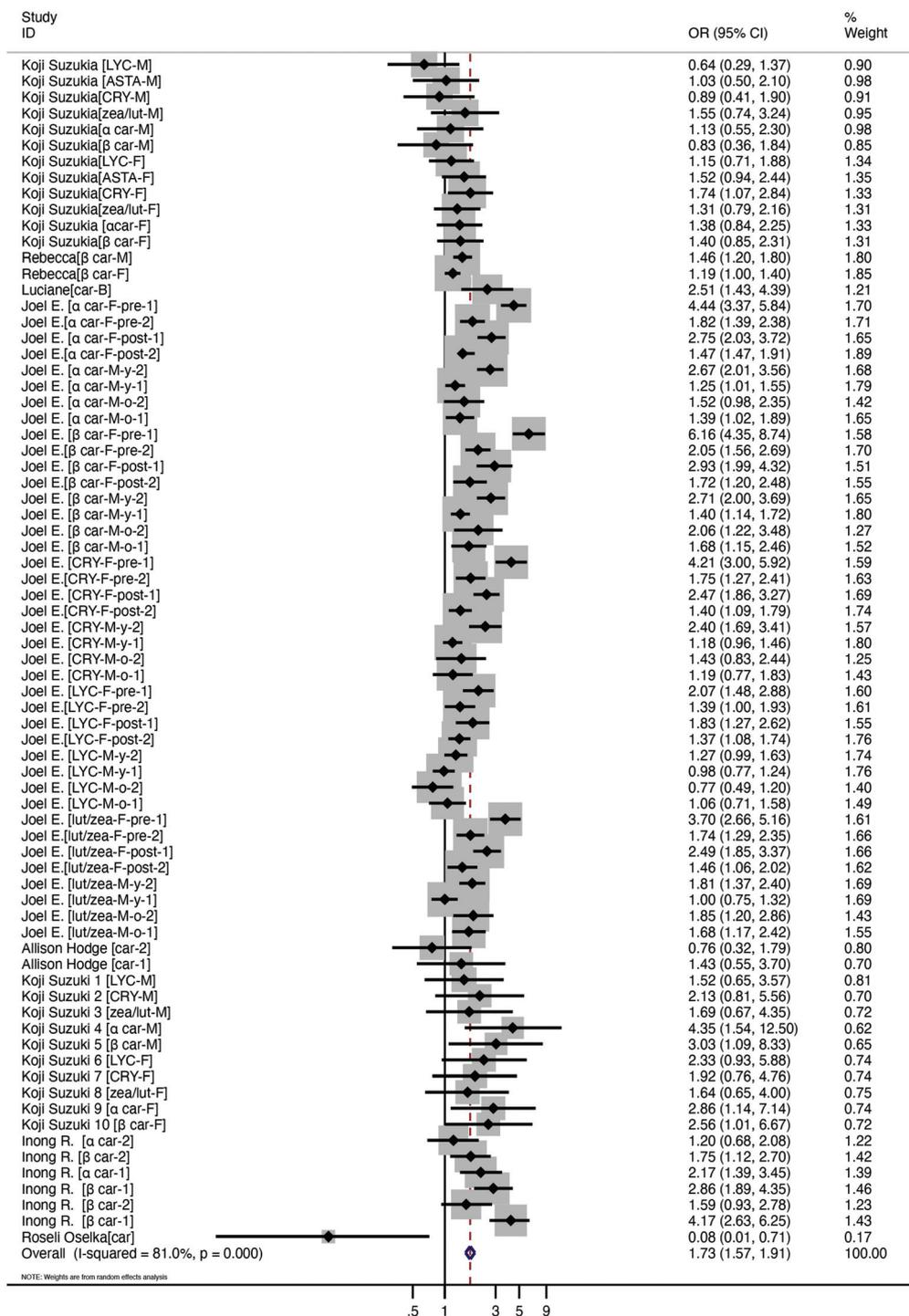


Fig. 2 Meta-analysis results of the serum carotenoid concentration in the subjects with obesity or overweight vs. the control subjects.

carotenoid supplementation should be considered for obese individuals.

Available animal and human studies have identified a positive role for carotenoids in weight loss.<sup>11,42</sup> Such findings are analogous to the conclusions of our study. We concluded that the overweight and obese population with carotenoid intervention had a significant decrease in anthropometric measures

(weight, WC and BMI), compared to the control population. A critical mechanism contributing to these beneficial effects appears to be the “abnormal immune response” in obesity. Calder *et al.* proposed that the obesity is highly correlated with low-grade inflammation,<sup>43</sup> in which adipose tissue releases many inflammatory mediators.<sup>44,45</sup> When carotenoids are sufficient due to the intervention, the circulating concen-

Table 3 Subgroup analyses for observational studies

Grouped by	No. of studies	OR (95% CI), <i>P</i>	<i>I</i> <sup>2</sup> (%), <i>P</i>
<b>Age</b>			
≥18	62	1.733 (1.553, 1.932), <0.001	81.4, <0.001
<18	10	1.821 (1.359, 2.442), <0.001	82.2, <0.001
<b>Gender</b>			
Male	32	1.449 (1.280, 1.640), <0.001	68.5, <0.001
Female	32	1.995 (1.711, 2.327), <0.001	85.2, <0.001
Male and female	10	1.829 (1.295, 2.583), 0.001	71.2, <0.001
<b>Region</b>			
Asia	22	1.457 (1.250, 1.698), <0.001	6.9, 0.368
South America	4	1.413 (0.988, 2.021), 0.058	77.1, 0.004
North America	46	1.850 (1.636, 2.091), <0.001	86.2, <0.001
Oceania	2	1.005 (0.531, 1.905), 0.987	0, 0.334
<b>BMI</b>			
Overweight	27	1.621 (1.465, 1.795), <0.001	84.7, <0.001
Obesity	47	1.800 (1.532, 2.114), <0.001	64.6, <0.001
<b>Type</b>			
LYC	12	1.278 (1.070, 1.525), 0.007	60.7, 0.003
ASTA	2	1.349 (0.907, 2.007), 0.139	0, 0.376
CRY	12	1.766 (1.365, 2.284), <0.001	81, <0.001
zea/lut	4	1.459 (1.027, 2.071), 0.035	0, 0.948
α car	14	1.902 (1.504, 2.404), <0.001	85.4, <0.001
β car	18	2.050 (1.639, 2.564), <0.001	85.9, <0.001
car	4	1.024 (0.393, 2.669), 0.962	74.6, 0.008
lut/zea	8	1.835 (1.394, 2.415), <0.001	83.0, <0.001

BMI, body mass index; LYC, lycopene; ASTA, astaxanthin; CRY, cryptoxanthin; zea/lut, zeaxanthin/lutein; α car, α-carotene; β car, β-carotene; car, total carotenoids; lut/zea, lutein/zeaxanthin.

Table 4 The summary of findings (SoF) with the GRADE system

Carotenoid intervention compared to no carotenoid intervention for subjects with overweight or obesity

**Population:** Subjects with overweight or obese

**Settings:** Two studies were conducted in Europe, five studies were conducted in Asia

**Intervention:** Carotenoid intervention

**Comparison:** No carotenoid intervention

Outcomes	SMD (95%CI) <sup>a</sup>	No. of participants (studies)	Quality of the evidence comments (GRADE)
Body weight (kg)	-2.340 (-3.800, -0.870)	486 (6RCTs)	⊕⊕⊕⊕Moderate <sup>b</sup>
WC (cm)	-1.840 (-3.140, -0.540)	388 (5RCTs)	⊕⊕⊕⊖Low <sup>b,c</sup>
BMI (kg m <sup>-2</sup> )	-0.950 (-1.880, -0.010)	342 (5RCTs)	⊕⊕⊕⊕Moderate <sup>b</sup>
Fat ratio (%)	-0.754 (-1.762, 0.254)	171 (2RCTs)	⊕⊕⊕⊕Moderate <sup>b</sup>
TG (mg dL <sup>-1</sup> )	-2.095 (-3.201, -0.989)	263 (4RCTs)	⊕⊕⊕⊕Moderate <sup>b</sup>
TC (mg dL <sup>-1</sup> )	-2.095 (-3.201, -0.989)	119 (3RCTs)	⊕⊕⊕⊕Moderate <sup>b</sup>
LDL (mg dL <sup>-1</sup> )	-1.300 (-3.225, 0.625)	119 (3RCTs)	⊕⊕⊕⊕Moderate <sup>b</sup>
HDL (mg dL <sup>-1</sup> )	0.757 (0.101, 1.413)	199 (3RCTs)	⊕⊕⊕⊕Moderate <sup>b</sup>

GRADE working group grades of evidence

**High quality:** We are very confident that the true effect lies close to that of the estimate of the effect

**Moderate quality:** We are moderately confident in the effect estimate: the true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different

**Low quality:** Our confidence in the effect estimate is limited: the true effect may be substantially different from the estimate of the effect

**Very low quality:** We have very little confidence in the effect estimate: the true effect is likely to be substantially different from the estimate of effect

SMD: standard mean deviation; CI: confidence interval; RCT: randomized controlled trial; WC: waist circumference; BMI: body mass index; TG: triglycerides; TC: total cholesterol; LDL: low density lipoprotein; HDL: high density lipoprotein. <sup>a</sup> Results for variations of treatments compared with controls. <sup>b</sup> Bias risk: downgraded by one level, as most of the included literature did not perform the Blind method allocation scheme hiding. <sup>c</sup> Inconsistency: downgraded by one level, as a high heterogeneity existed and its source was not completely clear.

trations of inflammatory markers declined, which improves the state of obesity. Additionally, Thomas-Valdés and Bohn revealed a very powerful connection between oxidative stress

and obesity. Oxidative stress triggers obesity by several mechanisms, such as stimulating the deposition of WAT, enhancing the proliferation and differentiation of preadipocytes and



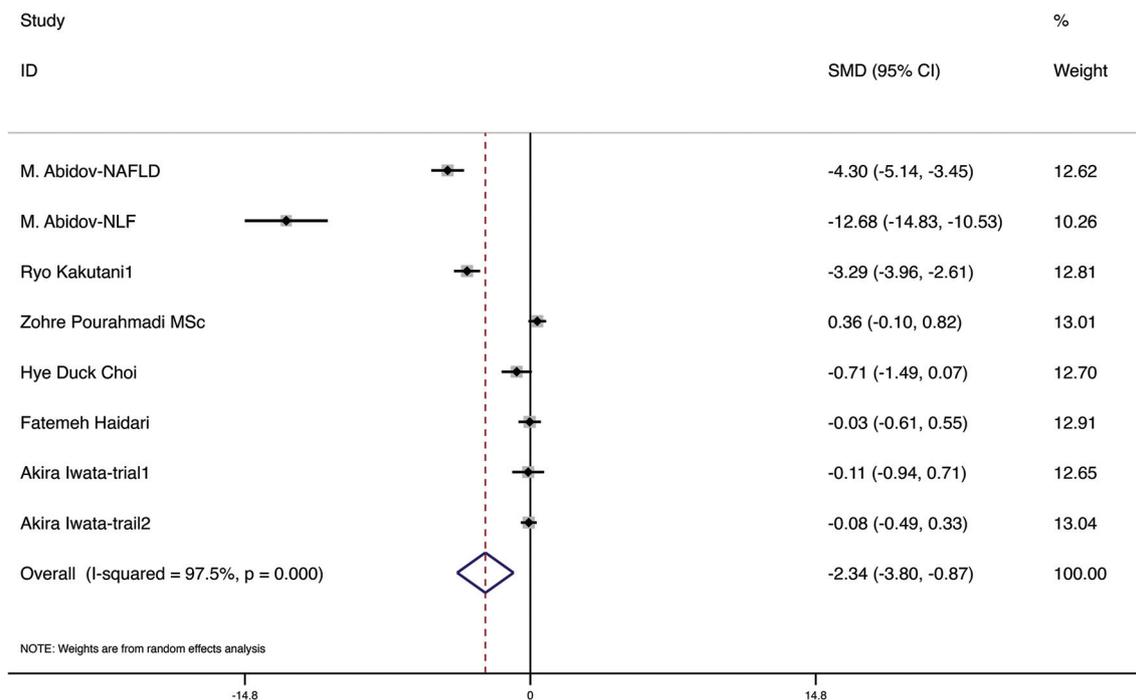


Fig. 3 Meta-analysis results of carotenoid supplementation for body weight in overweight or obese subjects.

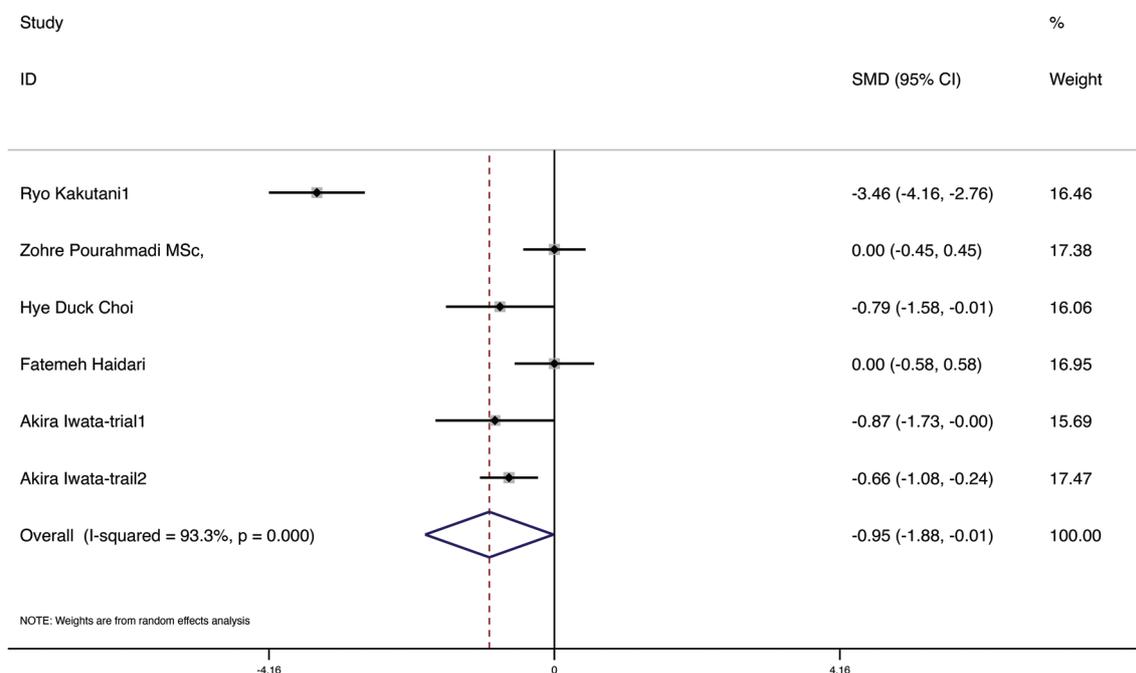


Fig. 4 Meta-analysis results of carotenoid supplementation for BMI in overweight or obese subjects.

increasing the size of mature adipocytes.<sup>46</sup> Carotenoids are essential in regulating oxidative metabolism and reducing cellular differentiation to treat obesity.<sup>47</sup> Moreover, the association between the nuclear receptor superfamily and carotenoids may provide a mechanism for the antiobesity effect of carotenoids. Carotenoids, such as  $\beta$ -carotenoids, can be con-

verted to retinoic acids<sup>48</sup> in their all-trans or 9-*cis* configuration, which are highly-potent activators of the retinoic acid receptors (RARs) and the retinoid-X receptors (RXRs).<sup>49</sup> Among them, all-trans retinoic acid (atRA) is known to have an inhibitory effect on adipogenesis.<sup>48</sup> Several mechanisms could explain the inhibition of adipogenesis by atRA. One mecha-



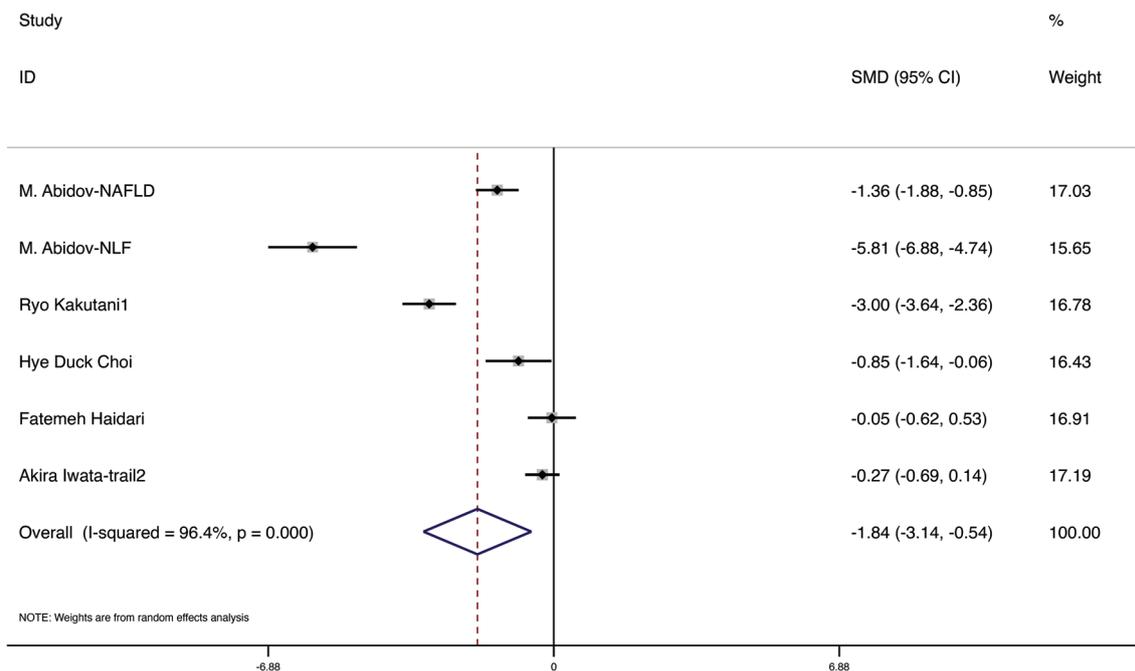


Fig. 5 Meta-analysis results of carotenoid supplementation for WC in subjects with overweight or obesity.

Table 5 Meta-analysis results of the fat ratio, HDL, LDL, TC and TG

Factors	Numbers of studies	SMD (95%CI), <i>P</i>	<i>I</i> <sup>2</sup> (%), <i>P</i>
Fat ratio (%)	2	-0.754 (-1.762, 0.254), 0.143	90.1, 0.001
HDL (mg dL <sup>-1</sup> )	2	0.757 (0.101, 1.413), 0.024	0, 0.465
LDL (mg dL <sup>-1</sup> )	3	-1.300 (-3.225, 0.625), 0.186	90.7, <0.001
TC (mg dL <sup>-1</sup> )	2	-2.095 (-3.201, -0.989), <0.001	72, 0.059
TG (mg dL <sup>-1</sup> )	4	-1.875 (-4.382, 0.632), 0.143	96.9, <0.001

SMD: standard mean difference; LDL, low density lipoprotein; HDL, high density lipoprotein; TC, total cholesterol; TG, triglyceride.

nism involves atRA inhibition of the function of the early adipogenic transcription factor CCAAT/enhancer-binding protein- $\beta$  (C/EBP $\beta$ ) in the adipogenic program through RAR-dependent induction of the C/EBP $\beta$  inhibitory protein Smad3.<sup>50</sup> In addition, atRA uses the RAR pathway in preadipocytes to generate specific proteins that inhibit adipogenesis.<sup>51</sup> Moreover, atRA could be an effective signal for the transcription of the prolipolytic substance UCP1 gene, inducing the expression of UCP1 through the mediation of RXRs.<sup>52,53</sup> For these reasons, carotenoids have the potential to influence the regulation of obesity and related metabolic parameters.

Lipid metabolic disorders are intimately connected to the development of cardiovascular disease. High-density lipoprotein (HDL) is considered a protective indicator of cardiovascular events,<sup>54,55</sup> while high levels of serum total cholesterol (TC) are regarded as a risk factor.<sup>56</sup> Our meta-analysis identified a significant increase in HDL and a decrease in TC parameters in the carotenoid intervention group compared to the control group, indicating that carotenoids can prevent cardiovascular disease. Under low-grade inflammation in patients with hyperli-

pidaemia, inflammatory factors (Serum Amyloid A Protein, SAA) change HDL into a nonfunctional type by replacing apolipoprotein AI in HDL.<sup>57</sup> Nonfunctional HDL is considered to be incapable of catabolizing excess lipids, struggling to maintain the normal serum TC levels and increasing the risk of cardiovascular disease. However, carotenoids have the ability to reverse this phenomenon by reducing HDL3-associated SAA, transforming HDL<sub>2&3</sub>-associated enzymes PON-1 (paraoxonase-1) and LCAT (lecithin: cholesterol acyltransferase), and ultimately releasing HDL from SAA.<sup>58</sup> Additionally, carotenoids can regulate insulin resistance or insufficient secretion, which is one of the reasons why obesity contributes to abnormal lipid metabolism.<sup>59</sup> This deficiency probably results from activation of the NF- $\kappa$ B transcription factor by TNF- $\alpha$  (tumour necrosis factor- $\alpha$ ), and carotenoids could decrease the reactivation. In conclusion, carotenoids play an important role in multiple stages of the lipid metabolic process.<sup>60</sup> Considering the correlation between dyslipidaemia and coronary heart disease, the effective intervention of carotenoids in dyslipidaemia discovered in this study is estimable to some extent.



In accordance with the outcomes of the subgroup meta-analysis, the anthropometric parameters in the female group, including body weight and TGs, were significantly reduced in terms of SMD values compared to those of the female and male group. The mechanism might be related to oestrogen, a potent steroid hormone with higher concentrations in females from adolescence to menopause than in males.<sup>61</sup> An animal experiment conducted by Clegg exploring the association between sex hormones and leptin sensitivity has demonstrated that oestrogen increases the expression of UCP1 mRNA, ultimately leading to an increase in brown adipocyte breakdown and a reduction in body weight.<sup>62</sup> We conjectured that the significant decrease in the TG concentrations in the female population after carotenoid intervention was linked to leptin. Leptin, a protein hormone secreted primarily by white adipose tissue, ameliorates elevated blood lipids produced by insulin resistance in obese patients. Carotenoids can increase leptin expression by acting on WAT mRNA, while oestrogens modulate the expression of leptin-specific receptors to increase leptin sensitivity.<sup>62–64</sup> This finding may offer an explanation for the better intervention effect of carotenoids in women who have more oestrogen. Moreover, the studies with  $\leq 12$  weeks did not show statistically significant changes between the intervention and control groups based on the outcomes of the subgroup analysis. The reason might be the lack of guidelines for carotenoid supplementation during the intervention time. We could only refer to the most common intervention duration of the supplemental trial included in this meta-analysis, which was 12 weeks. Simultaneously, developing guidelines for carotenoid supplementation in obese patients is urgently recommended.

This meta-analysis has some limitations. Some of the papers did not offer the sex distribution of the study population, so we could not include them in the subgroup analysis based on sex. Furthermore, most of the results were highly heterogeneous. This finding might be attributed to differences in subjects and geography, as well as the type of results. Most importantly, RCTs as reliable evidence of effectiveness, have not been widely conducted in studies of carotenoid supplementation in obese patients. The limited sample size of the included RCTs may bias the true effect of carotenoid supplementation on the obese population from the findings of this study.

## 5. Conclusion

Our study suggested that low serum carotenoids levels are a risk factor for overweight or obese subjects compared to normal weight individuals. Furthermore, carotenoid intervention showed a promising effect in overweight or obese subjects on anthropometric and lipid metabolic parameters by significantly reducing the body weight, BMI, WC and TC, and raising the HDL. Considering the limitations of this study, additional data from large clinical trials are needed.

## Author contributions

NY, SMY and YPG designed the research; NY, SY and HW conducted the research; NY, HW, XTL and LW analyzed the data; NY, SY, YPG and WYH wrote the paper; NY had primary responsibility for the final content. All authors read and agreed with the final manuscript.

## Conflicts of interest

The authors declare no conflicts of interest.

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