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## PAPER

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Size-resolved characterization of organic aerosol in the North China Plain: new insights from high resolution spectral analysis



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## Size-resolved characterization of organic aerosol in the North China Plain: new insights from high resolution spectral analysis†

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Organic aerosol (OA), a large fraction of fine particles, has a large impact on climate radiative forcing and human health, and the impact depends strongly on size distributions. Here we conducted size-resolved OA measurements using a high-resolution aerosol mass spectrometer at urban and rural sites in the North China Plain (NCP) in summer and winter. Our results showed substantially different size distributions of OA with the diameters peaking at ~550 nm in summer, and 420 nm and 350 nm at urban and rural sites, respectively, during wintertime. Positive matrix factorization (PMF) of size-resolved high-resolution mass spectra of OA resolved various OA factors at urban and rural sites. In particular, we found that the mass spectra of the same type of secondary OA (SOA) from bulk PMF analysis can be largely different across different sizes. Biomass burning OA (BBOA) and fossil-fuel-related OA (FFOA) showed broad size distributions peaking at 350 nm in winter at the rural site, where primary OA (POA = BBOA + FFOA) dominated OA across different sizes. Comparatively, secondary OA (SOA) in the NCP peaked at ~400–500 nm during wintertime, and ~500–650 nm in summer. SOA played an enhanced role during more severely polluted days with peak diameters shifting to larger sizes, while the changes in POA size distributions were small. The size-resolved oxygen-to-carbon (O/C) ratios were also determined and linked with the hygroscopicity parameter of OA ( $\kappa_{\text{OA}}$ ). The results showed that  $\kappa_{\text{OA}}$  increased substantially with particle size, with higher values in summer in Beijing ( $0.28 \pm 0.021$ ) than those during wintertime ( $0.17 \pm 0.019$  and  $0.12 \pm 0.018$ ). The size-resolved  $\kappa_{\text{OA}}$  would benefit a better prediction of cloud condensation nuclei than bulk  $\kappa_{\text{OA}}$  in future studies.

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### Environmental significance

The impact of organic aerosol (OA) on climate radiative forcing and human health depends strongly on its size distributions. However, our knowledge of size-resolved OA properties, particularly in a highly polluted environment in the North China Plain is very limited. In this work, we demonstrate large variations in the mass spectra and oxidation state of OA as a function of particle size by analyzing the size-resolved high-resolution mass spectra of OA at urban and rural sites in summer and winter. In particular, we found that the secondary OA factors from previous bulk analysis can be substantially different across different sizes. Positive matrix factorization elucidated the largely different size distributions of primary and secondary OA factors between urban and rural sites. We also demonstrate a clear increase in the hygroscopicity parameter ( $\kappa$ ) as the particle size increases. The estimated size-resolved  $\kappa$  of OA has significant implications to reduce uncertainties in predicting the number concentrations of cloud condensation nuclei.

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# 1 Introduction

Aerosol properties, *e.g.*, acidity, hygroscopicity and mixing state,<sup>1–3</sup> and their health and climatic effects depend strongly on particle sizes. Aerosol particle sizes change substantially in different chemical environments due to different sources and atmospheric processes, *e.g.*, new particle formation and growth, photochemical production and aqueous-phase reactions. As a result, size-resolved aerosol compositions including inorganic and organic species have been widely characterized worldwide during the past two decades. Among which, the Aerodyne aerosol mass spectrometer (AMS) is one of the unique instruments in quantitatively measuring real-time size-resolved non-refractory submicron aerosol species.<sup>4–7</sup> The results found that secondary inorganic aerosol (SIA, *e.g.*, sulfate, nitrate, and ammonium) often peaked in accumulation mode with relatively similar peak diameters, suggesting that they were likely internally mixed.<sup>7</sup> Comparatively, the size distributions of organic aerosol (OA) were mostly broader than those of SIA due to the contributions of primary emissions at small sizes. For example, OA showed a large increase in the size of 100–300 nm due to the impact of biomass burning at a rural site.<sup>8</sup> A considerable fraction of ultrafine mode (<100 nm) in OA was also found at night in Beijing due to the influences of local primary emissions.<sup>9</sup> The OA showed negligible seasonal variations in peak diameter (~500 nm) in Hong Kong,<sup>10</sup> while the peak diameter of OA in winter (~700 nm) was much larger than that in other seasons (~500–600 nm) in Beijing likely due to extreme haze episodes during wintertime.<sup>11</sup> Such a wide range in size distributions of OA was partly related to the different sources and chemical processing in different environments.

The size distributions of OA factors can be derived with various approaches. In early studies, the size distributions of primary OA (POA) and secondary OA (SOA) were estimated based on the tracer *m/z*-based method.<sup>12,13</sup> For example, the size distributions of SOA can be derived from that of *m/z* 44 (mainly CO<sub>2</sub><sup>+</sup>) that has been identified as an excellent tracer for SOA in previous studies.<sup>12,14</sup> The difference between OA and SOA was then defined as POA. In addition, the multiple linear regression (MLR) technique<sup>15–17</sup> and 3-vector decomposition and vector-matrix decomposition methods<sup>18</sup> were also used to derive the size distributions of OA factors. For example, Ulbrich *et al.*<sup>18</sup> found that the peak diameter of hydrocarbon-like OA (HOA) shifted to a larger size in a case with a large secondary aerosol formation event, implying the mix of HOA-containing particles with secondary species. Sun *et al.*<sup>19</sup> found that SOA showed a significant reduction in accumulation mode, while POA showed an increase below 400 nm during periods with strict emission controls in Beijing. We noticed that most previous studies<sup>7</sup> assume constant spectral profiles of OA factors for different sizes, which could introduce additional uncertainties in characterization of sources and processes of OA at different size ranges. Also, the size distributions of OA factors were mostly derived from unit mass resolution (UMR) mass spectra, and the changes in oxidation properties of OA factors as a function of size remain poorly understood. Previous studies

also found that the evolution of haze episodes was generally associated with increased oxygen-to-carbon (O/C) ratios and peak diameters at urban sites.<sup>19,20</sup> However, our understanding of the evolution of size-resolved OA compositions under different particle matter (PM) levels is still limited.

The water uptake capacity of aerosol as indicated by the hygroscopicity parameter ( $\kappa$ ) affects atmospheric radiative forcing, aerosol–cloud interactions and atmospheric multi-phase chemistry.<sup>2</sup> The  $\kappa$  was widely characterized by using a Hygroscopicity Tandem Differential Mobility Analyzer (HTDMA, denoted as  $\kappa_{\text{HTDMA}}$ ), and can also be estimated by the Zdanovskii–Stokes–Robinson (ZSR) mixing rule (denoted as  $\kappa_{\text{chem}}$ ). While the hygroscopicity of inorganic aerosol is well known, our understanding of  $\kappa$  of OA ( $\kappa_{\text{org}}$ ) is not complete due to the extremely complex OA compositions and aging processes. As a result, uncertainty remains in the estimation of the hygroscopicity parameter and number concentration of cloud condensation nuclei ( $N_{\text{CCN}}$ ). For example, Zhang *et al.*<sup>22</sup> found that  $N_{\text{CCN}}$  was overestimated by 45% due to the effect of primary emissions in autumn and winter in Beijing, while an underestimation of  $N_{\text{CCN}}$  (22%) was observed in an environment with dominant highly oxidized aerosol species at a rural site in the North China Plain (NCP) in summer. These discrepancies partly resulted from the  $\kappa$  calculated from the bulk chemical composition. Indeed, many studies characterized  $\kappa_{\text{chem}}$  by assuming constant  $\kappa$  values for OA, *e.g.*, 0.1 for SOA, 0 for POA<sup>23,24</sup> and 0.3 for water-soluble organic compounds (WSOCs).<sup>25,26</sup> However, the OA properties varied as a function of size, *e.g.*, oxidation degree indicated by  $f_{44}$  (fraction of *m/z* 44 in total OA) and O/C, resulting in size-dependence of  $\kappa$  of OA. Using size-resolved rather bulk chemical composition measurements would improve the estimation of hygroscopicity of OA and help to evaluate the impact of OA on CCN formation. Therefore, a more detailed investigation of size-resolved  $\kappa$  in the NCP in different seasons is essential.

In this study, we demonstrate new results from high resolution spectral analysis of size-resolved OA properties at urban (Beijing) and rural (Gucheng) sites in summer and winter. The mass spectra of OA and the tracer ion contributions as a function of sizes are characterized. The OA factors which reflect the sources of different sizes are identified by positive matrix factorization (PMF), and the size-resolved OA compositions and hygroscopicity parameters are investigated.

## 2 Experimental methods

### 2.1 Sampling and instrumentation

The size-resolved OA was measured using an Aerodyne HR-AMS in the NCP in summer and winter seasons. The summer and winter campaigns in Beijing were both conducted at an urban site, *i.e.*, of Institute of Atmospheric Physics from 20 May to 23 June 2018, and from 20 November to 25 December 2018, respectively. A detailed description of the sampling site is given in Xu *et al.*<sup>13</sup> The measurements at Gucheng, a rural site located approximately 120 km southwest of Beijing in Hebei province, were conducted from 10 December 2019 to 13 January 2020. More details on the Gucheng site are given in Kuang *et al.*<sup>27</sup> The



setup and operation of the HR-AMS were similar to our previous study.<sup>28</sup> Briefly, after passing through a PM<sub>2.5</sub> cyclone, ambient aerosol particles with a diameter less than 2.5 μm were drawn into the sampling line, dried by a Nafion dryer, and then sampled using the HR-AMS. The HR-AMS was operated in V-mode by cycling between the mass spectrum and particle time-of-flight (PToF) modes every 10 s, and the time resolution was 3 min.

## 2.2 Data analysis and source apportionment

The HR-AMS data were analyzed by PIKA (v 1.62F <http://cires1.colorado.edu/jimenez-group/ToFAMSResources/ToFSoftware/index.html>, last access: 24 February 2021). The ionization efficiency (IE) was calibrated following the standard protocols.<sup>4</sup> The default relative ionization efficiency (RIE) of organics (1.4) was used in this study. The composition-dependent collection efficiency<sup>30</sup> was applied for the quantification of non-refractory submicron aerosol (NR-PM<sub>1</sub>) species, including organics, sulfate, nitrate, ammonium, and chloride. The size-resolved high-resolution mass spectra of OA were also analyzed to determine the size distributions of fragment ions. Due to the limited mass resolution of V-mode and relatively low signal to noise ratios of PToF mode, only *m/z*'s between 12 and 100 were analyzed. In addition, we found that the mass concentrations of OA below 100 nm detected by AMS in Beijing were generally low,<sup>9,11</sup> we thereby limited the size-resolved OA analysis in the range of 100–1000 nm which was further binned into 14 size ranges (Table S1†). The size-resolved elemental ratios of OA including O/C, hydrogen-to-carbon (H/C) and organic mass to organic carbon (OM/OC) ratios were estimated with the “Improved-Ambient (I-A)” method.<sup>31</sup> Note that the O/C estimated by the I-A method might have additional uncertainties for OA with *f*<sub>44</sub> < 4%. According to recent laboratory studies,<sup>32</sup> primary OA with a low oxidation state shows higher RIE than 1.4 used for the total OA in this study. Therefore, the POA concentrations might be overestimated due to the underestimated RIE, particularly for small particles with the dominance of POA. Consistently, the size-resolved POA/SOA ratios would be overestimated to a certain extent as well and the overestimation would be higher in smaller particle size ranges. Because of the potential overestimation of POA, the O/C and *f*<sub>44</sub> of bulk OA are likely underestimated considering the overall lower values of O/C and *f*<sub>44</sub> for POA than SOA. However, due to the challenges in accurate quantification of RIE of POA in field measurements, we used a universal RIE = 1.4 for both POA and SOA.

PMF (PET v 3.05C)<sup>33,34</sup> was performed to OA in each size bin to resolve OA factors. Similar to Ulbrich *et al.*,<sup>18</sup> we created the error matrices of size-resolved OA using eqn (1).<sup>35,36</sup>

$$U_{ij} = \begin{cases} \frac{5}{6} \text{LOD}_i & \text{if } C_j \leq \text{LOD}_i \\ \sqrt{u_i^2 \times C_j^2 + \text{LOD}_i^2} & \text{if } C_j > \text{LOD}_i \end{cases} \quad (1)$$

where *U*<sub>*ij*</sub> refers to the uncertainty for the *i*th species in the *j*th row. The particles at 100 nm during the periods with low OA mass loadings (1.6, 2.1 and 1.7 μg m<sup>-3</sup> in summer in Beijing,

winter in Beijing and Gucheng, respectively) were analyzed, and the 3 times standard deviations (3σ) of mass concentrations were used as the limits of detection (LOD) because of the absence of particle-free ambient measurements. The LOD values varied from 0.004 to 2.8 μg m<sup>-3</sup>, 0.004 to 2.8 μg m<sup>-3</sup> and 0.005 to 1.5 μg m<sup>-3</sup> in summer in Beijing, winter in Beijing and winter in Gucheng, respectively. Such a high upper boundary of the LOD could be reasonably attributed to the short duration in PToF mode and division of the signal into several size bins. The relative uncertainties (*u*) of 15% and 20% were applied to the particles in the range of 100–600 nm and 600–1000 nm, respectively, according to the transmission efficiency of the aerodynamic lens.<sup>37</sup> *C<sub>i</sub>* refers to the measured mass loading. Such estimation of relative uncertainties would introduce additional uncertainties in calculating error matrices. Before PMF analysis, the ions with signal-to-noise (S/N) < 0.2 were removed and those with 0.2 < S/N < 3 were down-weighted. The *Q/Q*<sub>expected</sub> values are lower than the ideal value of 1 across all sizes during three campaigns (Fig. S1†). Considering that OA composition in different sizes can be different, PMF analysis was first performed for OA in each size bin listed in Table S1,† and the OA factors were evaluated by comparing mass spectral profiles with previous studies and the correlations with external species. The fraction of residuals in each size bin varied from 4.1% to 12.0% in this study. PMF analysis was also performed for OA of all sizes assuming constant factor profiles across different sizes. In addition, PMF analysis of high-resolution mass spectra of bulk OA was performed, and the results are reported in Xu *et al.*<sup>29</sup>

Fig. S2 and S3† show the comparisons of OA composition between size-resolved PMF, size-all PMF, and bulk OA PMF. The fractions of SOA from size-resolved PMF were lower than those from size-all PMF in winter in both Beijing (30.6% vs. 39.9%) and Gucheng (44.8% vs. 54.9%), while they were comparable in Beijing in summer (78.5% vs. 79.2%). However, we noticed that the apportionment of two SOA factors (oxygenated OA (OOA1 and OOA2)) from the size-all and sized-resolved PMF analyses in Beijing summer has some differences. The concentration of OOA1 from size-resolved PMF was overall higher than that from size-all PMF across different sizes (Fig. S3†), while that of OOA2 was lower. We also compared the size-resolved PMF results with those from PMF analysis of bulk OA. The results are overall consistent although the SOA fractions have slight differences in summer and winter seasons. Considering that the mass spectra of OA in different sizes are unlikely the same, we focused on the discussions of size-resolved PMF results unless otherwise stated.

## 2.4 Estimation of the hygroscopicity parameter

The hygroscopicity parameter (*κ*<sub>chem</sub>) of aerosol can be estimated using the ZSR rule and chemical composition data (eqn (2)):

$$\kappa_{\text{chem}} = \sum_i \varepsilon_i \kappa_i \quad (2)$$

where the *ε<sub>i</sub>* and *κ<sub>i</sub>* are the volume fraction and hygroscopicity parameter of the *i*th component, respectively. In this study, the



$\kappa$  of ammonium sulfate and ammonium nitrate was 0.48 and 0.58, respectively,<sup>38</sup> while  $\kappa_{\text{org}}$  in each size was estimated based on the relationship between  $\kappa_{\text{org}}$  and  $f_{44}$  (fraction of  $m/z$  44 in OA), *i.e.*,  $\kappa_{\text{org}} = 2.10 \times f_{44} - 0.11$ .<sup>39</sup>

## 3 Results and discussion

### 3.1 Characterization of OA size distributions

Fig. 1 presents the average size distributions of OA,  $\text{CO}_2^+$  and  $\text{C}_4\text{H}_9^+$  during three campaigns. The peak diameter of total OA in summer ( $\sim 550$  nm) was larger than that in winter ( $\sim 420$  nm) in Beijing mainly due to the stronger secondary formation and relatively weaker primary emissions in summer. This is further supported by the larger peak diameter of  $\text{CO}_2^+$ , a marker ion indicative of oxygenated OA,<sup>12</sup> in summer ( $\sim 600$  nm) than winter ( $\sim 480$  nm). Comparatively, the peak diameter of OA was  $\sim 350$  nm in Gucheng, which was smaller than that in Beijing during wintertime. Previous studies often showed large peak diameters of OA at rural sites than urban areas due to aging processes during the transport.<sup>40,41</sup> Such differences between this study and previous study were mainly caused by large emissions of POA, *e.g.*, coal combustion and biomass burning during wintertime in rural areas in the NCP. For example, Sun *et al.*<sup>42</sup> found that POA on average accounted for  $\sim 80\%$  of the total OA at the Gucheng site in winter 2018, which was much higher than that reported in Beijing.<sup>9</sup> This is also consistent with much higher  $\text{C}_4\text{H}_9^+$  signal intensity, a marker ion for primary emissions,<sup>14</sup> at the Gucheng site than Beijing.

Fig. 1 also presents the average high-resolution mass spectra (HRMS) of OA at three different size ranges, *i.e.*, 100–250 nm, 250–600 nm, and 600–1000 nm. It can be seen that the HRMS of OA showed substantial differences among different size ranges,

in particular, OA in winter showed clear changes from primary to secondary OA as the particle size increases. For example, the OA spectrum of 100–250 nm in winter was characterized by the typical hydrocarbon ion series of  $\text{C}_n\text{H}_{2n-1}^+$  and  $\text{C}_n\text{H}_{2n+1}^+$  (*e.g.*,  $\text{C}_3\text{H}_7^+$ ,  $\text{C}_4\text{H}_9^+$  and  $\text{C}_5\text{H}_{11}^+$ ), highlighting the large influences of primary emissions on small particles. Moreover, the pronounced  $m/z$  60 (mainly  $\text{C}_2\text{H}_4\text{O}_2^+$ ) and 73 (mainly  $\text{C}_3\text{H}_5\text{O}_2^+$ ) in the spectrum were observed, particularly at the rural site, suggesting the influences of biomass burning emissions.<sup>43</sup> As the particle size increases, both O/C and  $f_{44}$  showed considerable increases, for instance, O/C increased from 0.68 to 1.0 in summer, and from 0.37–0.45 to 0.72 in winter as particle sizes changed from 100–250 nm to 600–1000 nm. Overall, our results highlight the very different OA properties in different sizes with more oxidized particles in larger sizes.<sup>44</sup>

Fig. 2 shows the variations of fractions of fragment ions as a function of size. The  $f_{\text{CO}_2^+}$  showed continuous increases while those of  $\text{C}_4\text{H}_7^+$  and  $\text{C}_4\text{H}_9^+$  decreased correspondingly with the increase of particle sizes, suggesting that OA becomes more oxidized at larger sizes. Note that marked increases (decreases) in  $f_{\text{CO}_2^+}$  ( $f_{\text{C}_4\text{H}_7^+}$  and  $f_{\text{C}_4\text{H}_9^+}$ ) as the particle size increases were found in winter in Beijing above  $\sim 600$  nm, implying the change of sources at large sizes likely due to the influence of regional transport. However, the changes in  $f_{\text{C}_2\text{H}_3\text{O}^+}$  as a function of particle size were relatively small in winter although a clear decrease was observed in summer. These results might indicate that oxidation of freshly oxidized SOA ( $\text{C}_2\text{H}_3\text{O}^+$  as a marker ion) to form more oxidized SOA was more significant in summer than winter at larger sizes. We also noticed small changes in  $f_{\text{C}_2\text{H}_4\text{O}_2^+}$  across different sizes, yet it was ubiquitously above 0.3%, a value indicative of biomass burning,<sup>44</sup> in winter ( $\sim 0.5\%$  in Beijing, and 0.5–1.2% at Gucheng), indicating that biomass

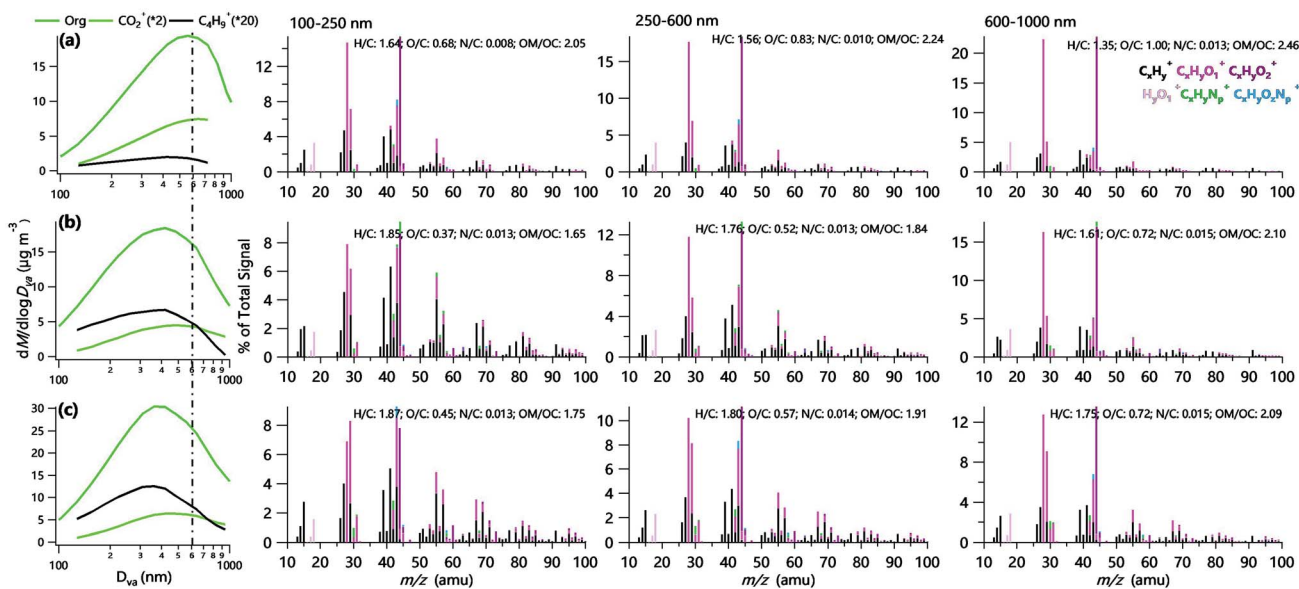


Fig. 1 Average size distributions of Org,  $\text{CO}_2^+$  and  $\text{C}_4\text{H}_9^+$  in (a) summer and (b) winter in Beijing, and (c) winter in Gucheng (left panel). The region on the left side of the dashed line (100–600 nm in this study) generally has a 100% lens transmission efficiency, while it decreases rapidly outside the region.<sup>4</sup> The right three panels present average high-resolution mass spectra of OA at 100–250 nm, 250–600 nm and 600–1000 nm, respectively during three campaigns. Also shown are elemental ratios including H/C, O/C, N/C, and OM/OC.



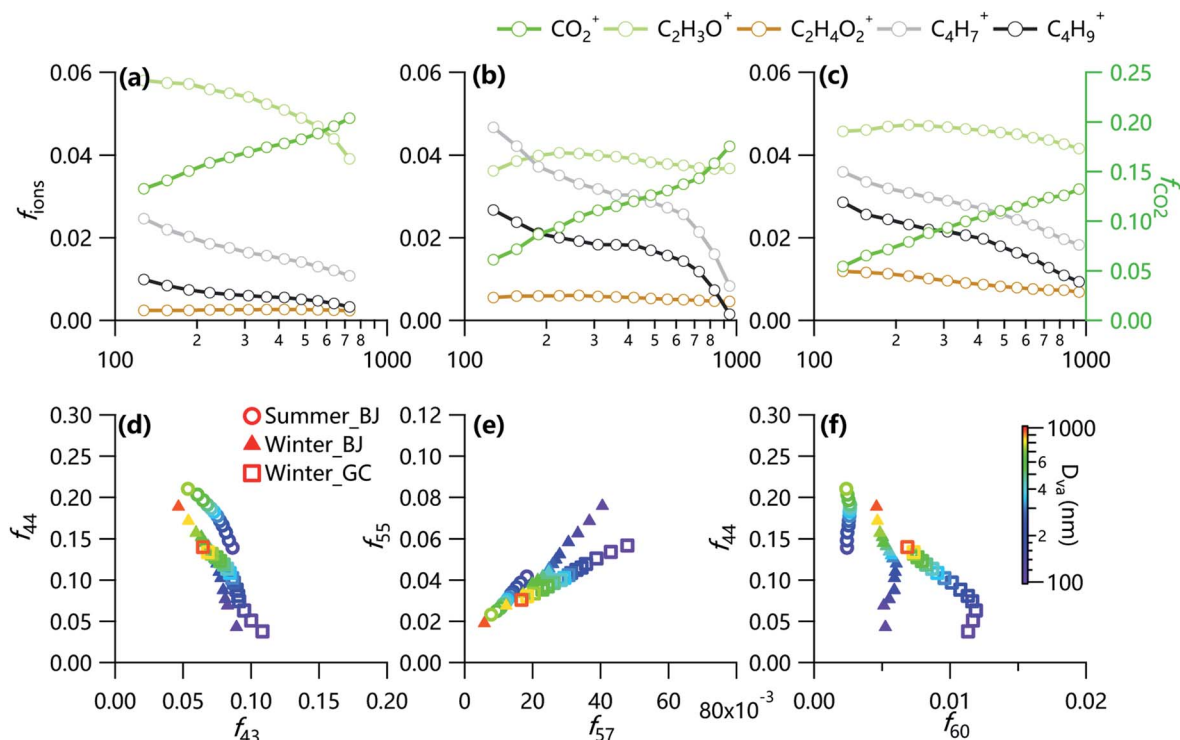


Fig. 2 Variations of fractions of  $\text{CO}_2^+$ ,  $\text{C}_2\text{H}_3\text{O}^+$ ,  $\text{C}_2\text{H}_4\text{O}_2^+$ ,  $\text{C}_4\text{H}_7^+$  and  $\text{C}_4\text{H}_9^+$  as a function of particle sizes in (a) summer and (b) winter in Beijing, and (c) winter in Gucheng. The below panel shows scatter plots of (d)  $f_{44}$  vs.  $f_{43}$ , (e)  $f_{55}$  vs.  $f_{57}$  and (f)  $f_{44}$  vs.  $f_{60}$  color coded by aerodynamic diameters during three campaigns.

burning had influenced OA of all sizes, and BBOA at the rural site was more fresh than the urban site. However, the evolutionary patterns of  $f_{44}$  vs.  $f_{60}$  were different between Beijing and Gucheng. While the increase in  $f_{44}$  with increasing particle size was associated with the decrease in  $f_{60}$  at Gucheng site,  $f_{60}$  remained relatively stable for particles of all sizes despite the increase in  $f_{44}$  in Beijing during wintertime. These results might indicate a more significant aging of fresh biomass burning emissions at the rural site while that in Beijing was already aged during the transport. In addition, the difference of  $f_{44}/f_{60}$  between Beijing and Gucheng tended to be slight with increasing particle size, which could be partly attributed to the aging processes. Fig. 2e shows a linear decrease of  $f_{55}/f_{57}$  with increasing particle size, implying the fairly stable ratios of  $f_{55}/f_{57}$  = across different sizes, and they were much higher in summer than winter, and urban than rural sites. For example,  $f_{55}/f_{57}$  varied from 2.3 to 2.6 in summer, and 1.8–1.9 in winter in Beijing, both of which are close to that of cooking OA identified in previous studies at the same site,<sup>9,13</sup> demonstrating the impact of cooking emissions on OA in urban areas. However,  $f_{55}/f_{57}$  was much lower at the rural site (1.3–1.5) due to the negligible influence of cooking emissions.<sup>29</sup>

Fig. 3 shows the diurnal evolution of size-resolved O/C ratios and oxidation states during three campaigns. The O/C ratios and oxidation state of OA showed overall increasing trends across all sizes in the afternoon in both summer and winter, indicating the formation of oxidized SOA through photochemical processing. Another reason was associated with the

decreased primary emissions in the day, leading to much higher fractions of SOA in the total OA, and hence a higher oxidation state of bulk OA.<sup>9,42,45</sup> Consistently, the lower O/C ratios at nighttime were mainly associated with enhanced primary emissions, e.g., coal combustion and biomass burning. Note that the O/C ratio of OA showed considerable and rapid increases at small sizes (<200 nm) between 9:00 and 10:00 in winter, suggesting an important role of photochemical processing in the formation of SOA at small sizes. This was further supported by decreasing  $\text{NO}_3/\text{SO}_4$  (Fig. S4†) as a function of size in winter because  $\text{NO}_3$  was found to be dominant from photochemical production in winter, while  $\text{SO}_4$  was more from aqueous-phase processing.<sup>46,47</sup> Previous studies found that photochemical aqueous-phase reactions played an important role in SOA formation at Gucheng which might also be one of the reasons for the increases in O/C ratios in early morning.<sup>27</sup> Comparatively, O/C ratios above ~0.6 evolved downwards from ~500 nm to 200 nm were also observed in summer in Beijing, further demonstrating the photochemical formation of oxidized OA in the day. Also, the O/C ratios in summer were ubiquitously higher than those in winter across different sizes, consistent with higher SOA fractions in OA in summer than winter. We also observed high O/C ratios above 500 nm in early morning in winter at the Gucheng site rather in Beijing. The diurnal cycles and evolution of H/C ratios were on the opposite of O/C ratios. Overall, the changes in size-resolved O/C and H/C ratios elucidate very different OA properties in different seasons and sites.



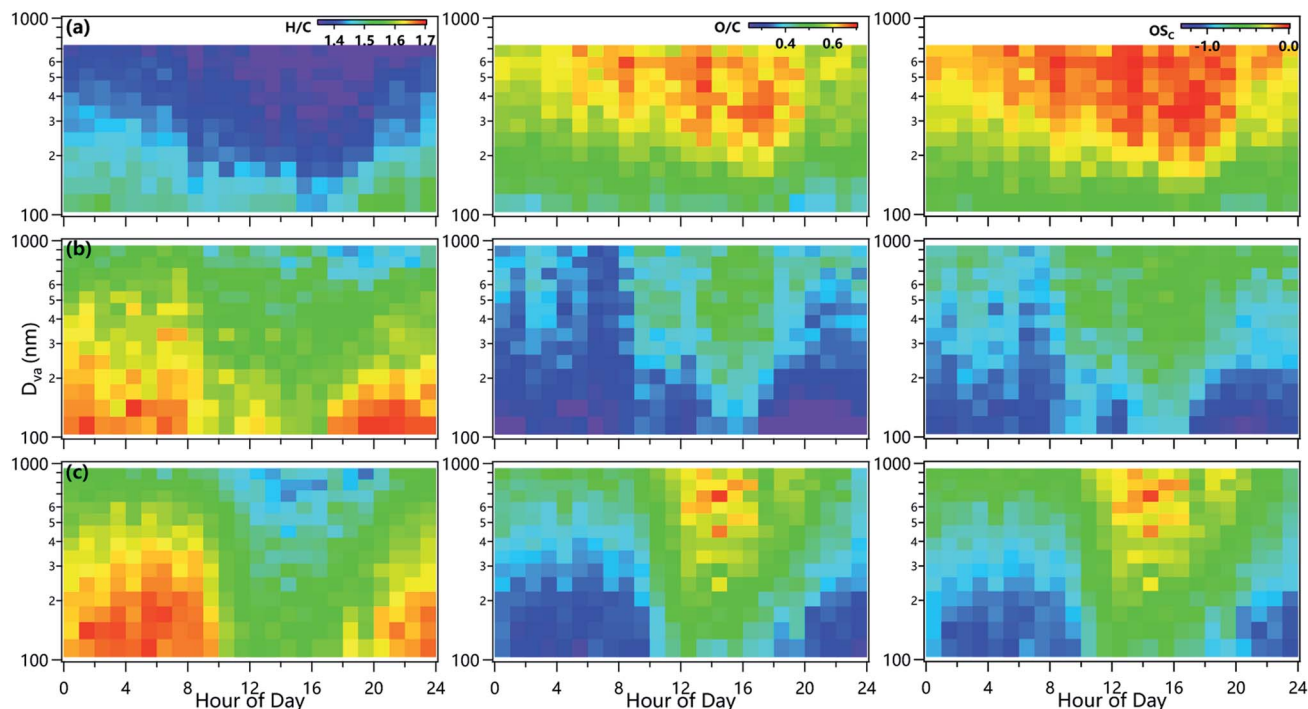


Fig. 3 Average diurnal variations of the size distributions of H/C (left), O/C (middle) and oxidation state (right) in (a) summer and (b) winter in Beijing, and (c) winter in Gucheng.

### 3.2 Size distributions of OA factors

Two factors including a POA and an oxygenated organic aerosol (OOA) were identified below 300 nm, while three factors (POA, OOA1, OOA2) were identified in the range of 300–800 nm in summer in Beijing. Comparatively, three OA factors were resolved during winter across all sizes, including OOA, oxidized POA (OPOA) and POA in Beijing, and OOA, biomass burning OA (BBOA) and fossil-fuel-related OA (FFOA) at Gucheng. The reconstructed size distributions agreed well with those of measured (Fig. S5<sup>†</sup>). The high-resolution mass spectra of OA factors for each size during three campaigns are shown in Fig. S6–S8.<sup>†</sup>

The mass spectrum of OOA1 in summer was characterized by the relatively high signals of  $C_2H_3O^+$  and  $CO_2^+$ , and the O/C of OOA1 varied from 0.89 to 1.07 across different sizes. As shown in Fig. 4, OOA1 showed an enhancement in the afternoon in summer, indicating that photochemical processing played an important role in the formation of OOA1, consistent with the behaviors of OA (Fig. S9<sup>†</sup>). This was further supported by the tight correlation between OOA1 and bulk LO-OOA (Fig. S10<sup>†</sup>), a factor related to photochemical processing<sup>48</sup> in summer. Comparatively, OOA2 showed a peak value in the morning, in agreement with the elevated RH in summer in Beijing. Such diurnal variations suggested that OOA2 is likely related to aqueous-phase processing, which was supported by the high  $CHO^+$  in the spectrum (Fig. S6<sup>†</sup>), a marker ion for aqueous-phase processing.<sup>49</sup> As shown in Fig. 5, OOA2 peaked at  $\sim 500$  nm in summer in Beijing, which was in the range of SOA in previous observations (400–550 nm).<sup>16,17,19</sup> We noticed that

the fraction of OOA2 decreased from  $\sim 26\%$  at 300 nm to 16% at 800 nm, while OOA1 was elevated from  $\sim 54\%$  to  $\sim 70\%$  with the increase of particle size. One explanation was that the freshly formed OOA1 condensed on preexisting particles more readily than OOA2. Note that the OOA1 also showed a dominant contribution in small size, suggesting the stronger role of photochemical processing in the formation of small particles. This was further supported by the fact that OOA2 cannot be resolved in small sizes. These results indicated the different size distributions of OA formed from photochemical and aqueous-phase processing in summer.

The size-resolved SOA in Gucheng and Beijing during wintertime correlated well with secondary inorganic aerosol (SIA), bulk SOA and  $C_xH_yO_z^+$  ions, e.g.,  $C_2H_3O^+$  and  $CO_2^+$  (Fig. S10<sup>†</sup>). Similar to the elevated SOA fraction as a function of size in summer, SOA also showed a rising trend with the increase of particle size in winter, implying the important role of secondary formation at larger sizes. As indicated in Fig. 5, the O/C of SOA increased from 0.38 to 1.02 and from 0.71 to 1.34 as particle size increased from 100 nm to 1000 nm in Beijing and Gucheng during wintertime, respectively, indicating that aging processes facilitated the formation of large particles, consistent with the fact that large accumulation mode particles are generally more aged than smaller particles.<sup>12</sup> Our results highlight that the OA composition varied largely at different sizes which is also supported by the changes in  $f_{44}/f_{43}$ ,  $f_{55}/f_{57}$  and  $f_{44}/f_{60}$  for OA factors as a function of size (Fig. S11<sup>†</sup>). The peak diameters of SOA during wintertime ( $\sim 400$ – $500$  nm) in Beijing and Gucheng were smaller than that in summer in Beijing likely due to weaker secondary formation in winter although some



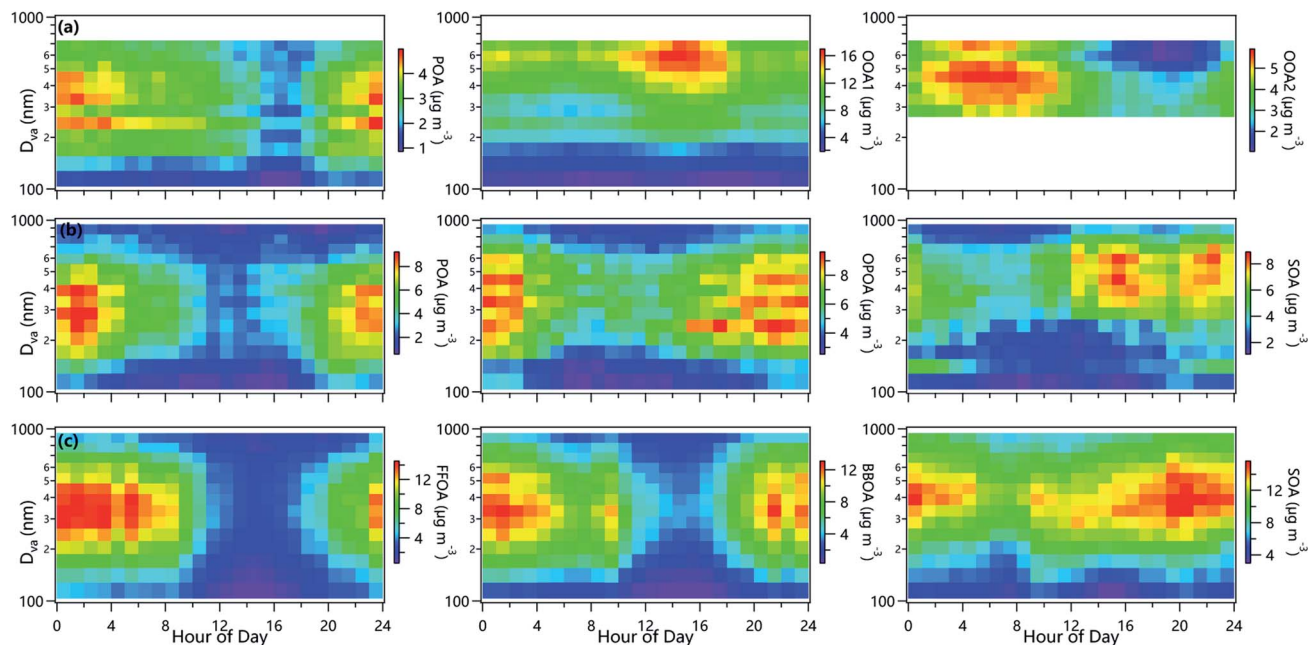


Fig. 4 Average diurnal variations of the size distributions of OA factors in (a) summer and (b) winter in Beijing, and (c) winter in Gucheng.

organic acids from aqueous-phase processes during the severe hazes in winter can have large diameters.<sup>50</sup> This is consistent with the higher fraction of SOA of the same size and higher O/C (0.68 vs. 0.42–0.54) in summer than that in winter.

The mass spectra of POA across different sizes showed similar features of primary emissions in both summer and winter, which were dominated by  $C_nH_{2n-1}^+$  and  $C_nH_{2n+1}^+$  (e.g.,  $C_3H_7^+$ ,  $C_4H_9^+$ ,  $C_4H_9^+$ ), and the size-resolved H/C ratios were in the range of

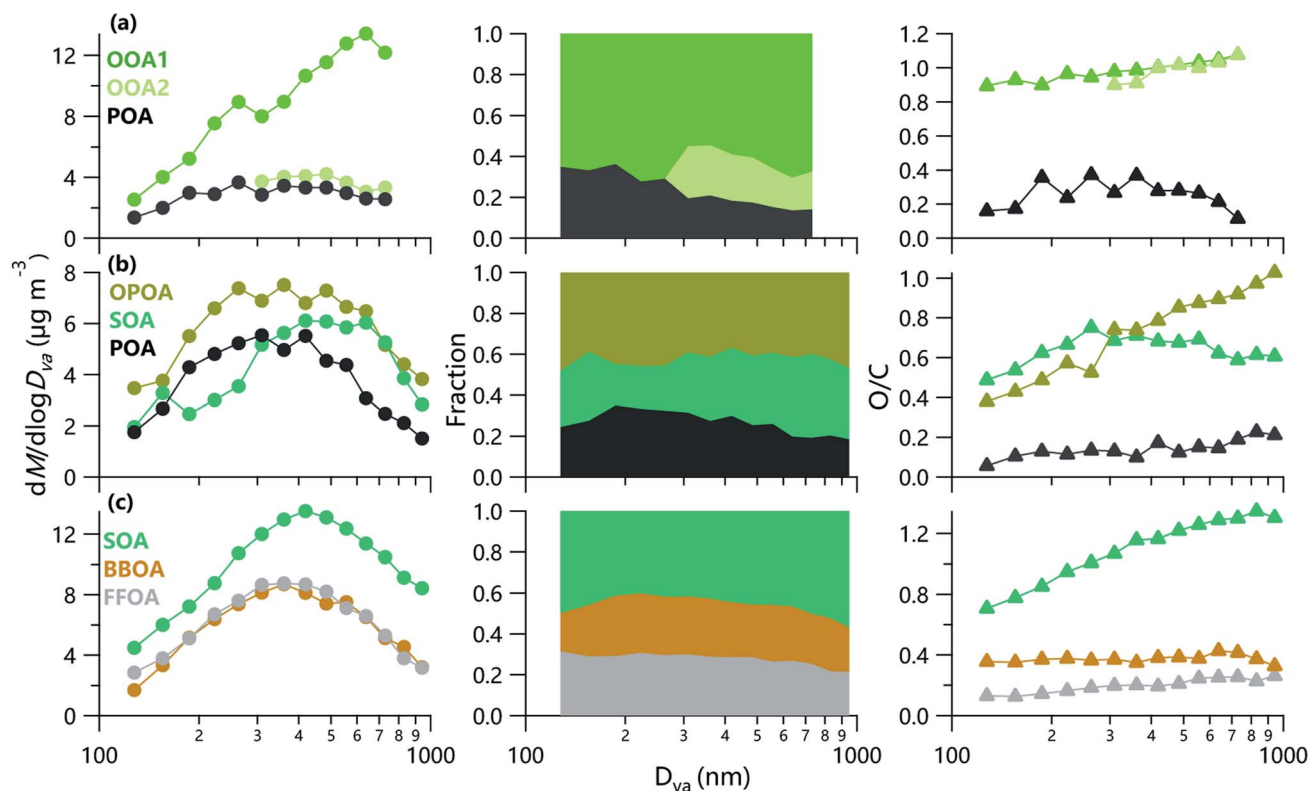


Fig. 5 Average size distributions (left), fractional contributions (middle) and O/C (right) ratios of OA factors in (a) summer and (b) winter in Beijing, and (c) winter in Gucheng.





those of POA in the NCP.<sup>7,11,51</sup> Also, POA was generally well correlated with species typically from primary emissions, *e.g.*, BC, NO<sub>x</sub> and CO. The peak diameter of POA (~300 nm) in summer in Beijing was slightly larger than that in previous studies (~200 nm).<sup>16–18</sup> Comparatively, the POA size in winter was even larger than that in summer peaking at ~350 nm in winter in Beijing. One reason was due to the influences of additional primary emissions, *e.g.*, biomass burning and fossil fuel combustion. The OPOA identified in winter in Beijing showed a relatively high fraction of C<sub>x</sub>H<sub>y</sub><sup>+</sup> and H/C (1.52–1.75), and also a relatively high correlation with primary species or fragment ions, *e.g.*, C<sub>2</sub>H<sub>4</sub>O<sub>2</sub><sup>+</sup>, C<sub>3</sub>H<sub>5</sub>O<sub>2</sub><sup>+</sup>, C<sub>8</sub>H<sub>5</sub><sup>+</sup>, bulk FFOA, bulk OPOA, BC and Chl (Fig. S10†). The broad size distributions of OPOA indicated that OPOA here may not represent only fresh POA. For example, OPOA was affected by the aging process and/or coated by other species during transport, which was supported by the moderate O/C (0.49–0.75) of OPOA. Different from POA that decreased from 35% at 200 nm to 19% at 1000 nm in winter in Beijing, the fraction of OPOA remained relatively constant across different sizes likely related to aging processes at larger size, which was supported by the elevated O/C as a function of size. Comparatively, the  $f_{55}/f_{57}$  in POA in summer was around 2 below 300 nm, while it showed an obvious decrease above 300 nm likely due to the variations of POA compounds, for instance,  $f_{55}/f_{57}$  in COA is greater than 2, while that in BBOA and FFOA is less than 2.<sup>52</sup>

BBOA identified in Gucheng in each size bin showed pronounced signals of C<sub>2</sub>H<sub>4</sub>O<sub>2</sub><sup>+</sup> and C<sub>3</sub>H<sub>5</sub>O<sub>2</sub><sup>+</sup>, two marker ions for biomass burning<sup>44,53</sup> and tight correlations with bulk BBOA, C<sub>2</sub>H<sub>4</sub>O<sub>2</sub><sup>+</sup> and C<sub>3</sub>H<sub>5</sub>O<sub>2</sub><sup>+</sup>. BBOA showed much similar size distributions with FFOA in Gucheng, which peaked at ~350 nm. This peak diameter is slightly larger than that in Mexico City (~300 nm)<sup>48</sup> and Fresno (200 nm)<sup>16</sup> likely due to the differences in fuels and aerosols mixing state. The O/C of BBOA across different sizes was comparable to those reported in previous campaigns,<sup>7</sup> but slightly higher than those of primary emissions (0.28–0.35)<sup>52</sup> even at small size (100 nm). Such results might suggest that BBOA at 100 nm in winter in Gucheng already showed the characteristics of oxidation. Also, the O/C of FFOA showed a remarkable increase from 0.13 to 0.26, indicating more aged FFOA in larger size. Indeed, the  $f_{44}/f_{60}$  in both FFOA and BBOA during wintertime in Gucheng showed clear enhancements as a function of size (Fig. S11†), likely due to the fact that BBOA is mixed with FFOA, yet cannot be completely separated.

### 3.3 OA size distributions under different PM levels

Fig. 6 shows the variations of size distributions of OA factors at three different PM levels (the total mass concentrations of NR-PM<sub>1</sub> species). A summary of frequencies of three different PM

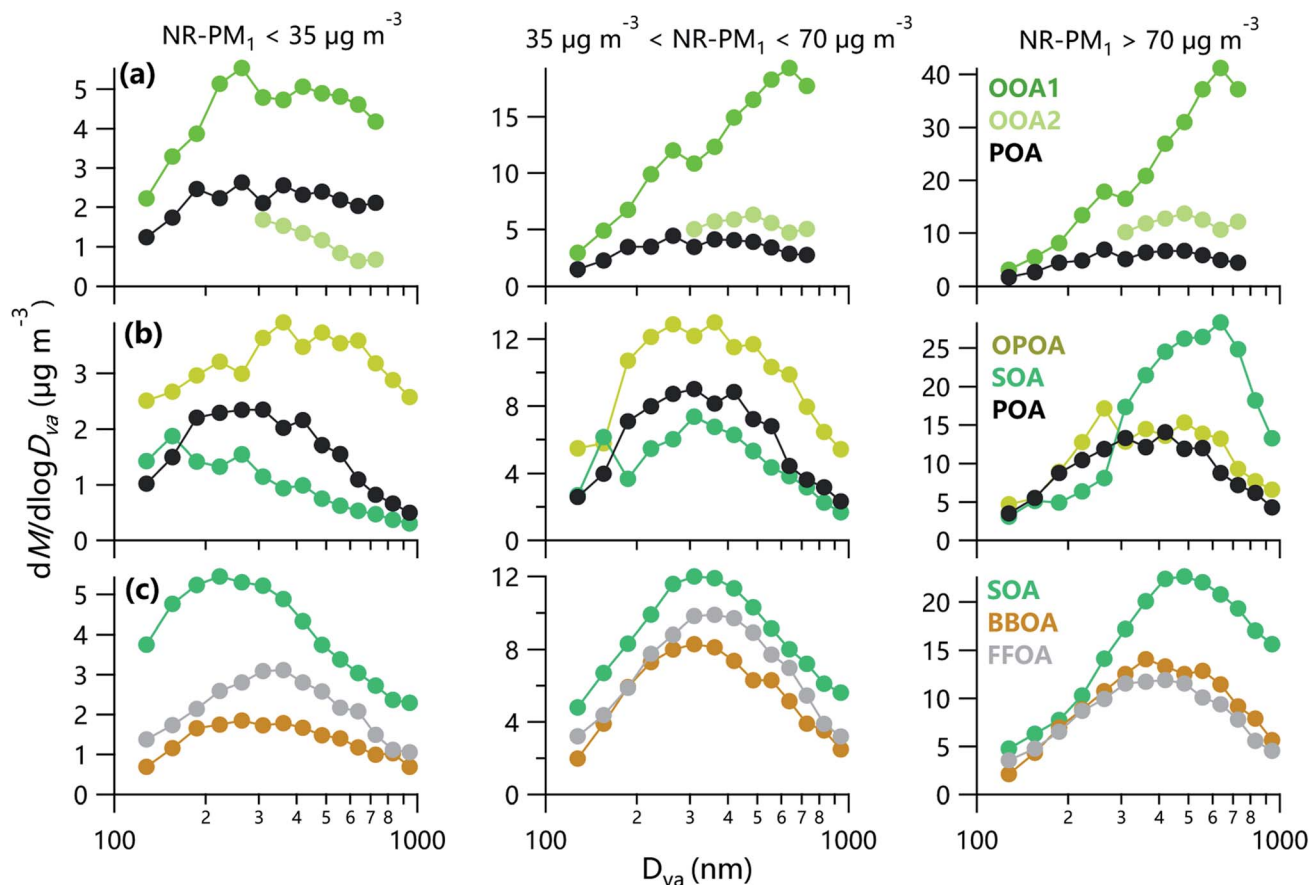


Fig. 6 Average size distributions of OA factors at different pollution levels: NR-PM<sub>1</sub> < 35 µg m<sup>-3</sup> (left), 35 µg m<sup>-3</sup> < NR-PM<sub>1</sub> < 70 µg m<sup>-3</sup> (middle) and NR-PM<sub>1</sub> > 70 µg m<sup>-3</sup> (right) in (a) summer and (b) winter in Beijing, and (c) winter in Gucheng.



levels is shown in Table S2.† Similar to previous studies,<sup>13,20</sup> the peak diameters of SOA factors shifted to larger sizes with elevated PM levels during three campaigns. This was partly related to the hygroscopic growth of aerosol particles at high RH due to ubiquitously increased PM levels as a function of RH. In addition, the aging processes during the transport in the atmosphere is another reason for the increased size at high PM levels because highly polluted periods were often found to be largely influenced by regional transport.<sup>54,55</sup> As shown in Fig. S12,† the differences of OA loading between relatively clean days and moderately polluted conditions ( $35 \mu\text{g m}^{-3} < \text{NR-PM}_{10} < 70 \mu\text{g m}^{-3}$ ) peaked at  $\sim 300$  nm, which was smaller than that between relatively cleaner days and seriously ( $\text{NR-PM}_{10} > 70 \mu\text{g m}^{-3}$ ) polluted conditions ( $\sim 480$  nm), highlighting that particles with relatively small sizes play an important role in the formation of moderate pollution compared to severe pollution. Comparatively, the increase in OA loading in both moderately and seriously polluted conditions in summer was attributed to particles with a peak diameter of  $\sim 630$  nm, highlighting the role of secondary formation during polluted periods in summer. It is interesting to note that the contribution of OOA1 to the increase in OA loading above 300 nm showed an obvious enhancement as a function of size in polluted conditions, yet not in OOA2 in summer in Beijing. Results here indicate that the photochemical processing played an important role in larger size particle in processes of pollution formation in summer in Beijing.

As indicated in Fig. 6, the peak diameters of POA in summer showed negligible changes with elevated PM levels, while POA shifted from  $\sim 300$  nm on relatively clean days to  $\sim 400$  nm on polluted days during wintertime in Beijing. These results are likely due to the variations of POA compositions with the increase of PM levels in winter in Beijing. For example, the elevated contribution of BBOA with a relatively larger size and decreased fraction of COA (a factor showing a negligible signal above 300 nm) with the elevated PM was found in winter in Beijing.<sup>9</sup> In addition, BBOA and FFOA in Gucheng also showed increased peak diameters with the elevated PM, which were mainly due to the aging of BBOA and FFOA in polluted

conditions. The peak diameter of OOA1 shifted from 550 nm on relatively clean days to  $\sim 700$  nm on moderately polluted days, consistent with the behaviors of OOA2 which shifted from 300 nm to 500 nm. Nevertheless, OOA1 and OOA2 showed negligible changes in peak diameters from moderately to severely polluted days in summer, suggesting similar atmospheric processes from moderate to severe pollution in summer in Beijing. Similar OA factor contributions in moderate and severe pollution (Fig. S13†) further support our conclusion above. These behaviors were possible because regional transport was the major factor driving the formation of polluted days with  $\text{NR-PM}_{10} > 35 \mu\text{g m}^{-3}$  in summer. In contrast, the peak diameter of SOA gradually increased from 300 nm on moderately polluted days to  $\sim 650$  nm ( $\sim 400$  nm) on highly polluted days in Beijing (Gucheng) during wintertime, indicating the change of driving factor from moderate to severe pollution in winter in the NCP. For example, Sun *et al.*<sup>56</sup> focused on four polluted episodes during 10–14 January 2013 and found the four main factors (*e.g.* stagnant meteorological conditions, coal combustion, secondary production, and regional transport) driving the evolution of pollution in Beijing during wintertime. Such conclusions were further supported by the altered OA compositions in moderately and seriously polluted conditions in winter (Fig. S13†). In fact, despite the dramatic changes of chemical compositions with the elevated size in moderately and seriously polluted conditions, comparable OA compositions were found below 300 nm. Results here suggest that the OA compositions remain relatively constant in small size with the increase of PM levels.

### 3.4 Size-resolved hygroscopicity of OA

Fig. 7 shows the variations of estimated  $\kappa_{\text{chem}}$  and  $\kappa_{\text{org}}$  as a function of particle size. Both  $\kappa_{\text{chem}}$  and  $\kappa_{\text{org}}$  during three campaigns showed increasing trends with the increase of particle size mainly due to the elevated contributions of SIA and SOA, consistent with the results from previous studies.<sup>2</sup> The  $\kappa_{\text{org}}$  varied from 0.03 to 0.18 at Gucheng in winter, which was comparable to that (0.08) observed in winter of 2018 at the same

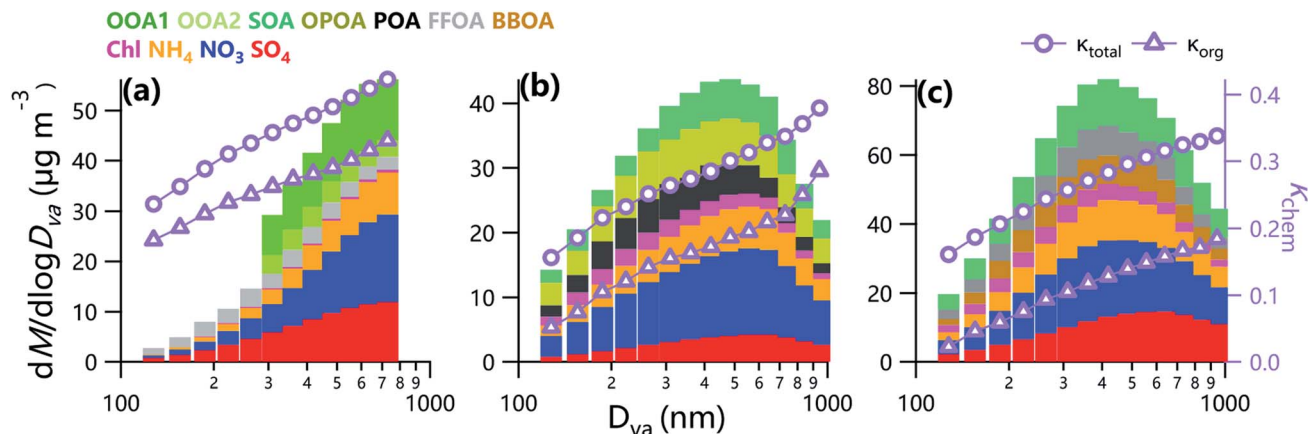


Fig. 7 Average size distributions of  $\kappa_{\text{chem}}$  and  $\kappa_{\text{org}}$ , and the contributions of NR-PM<sub>10</sub> species (SO<sub>4</sub>, NO<sub>3</sub>, NH<sub>4</sub>, Chl, and OA factors) in (a) summer and (b) winter in Beijing, and (c) winter in Gucheng.



site.<sup>57</sup> Note that the  $\kappa_{\text{org}}$  at Gucheng was lower than that in Beijing during wintertime at the same size, which was attributed to the higher contributions of POA. As indicated in Fig. S2,† the fraction of FFOA, a hydrophobic factor,<sup>58</sup> at Gucheng was higher than that in Beijing (32.7% vs. 19.6%), although the fraction of bulk SOA in Beijing was lower than that in Gucheng (41.9% vs. 48.6%). The other cause of such differences is the different functional groups during two campaigns,<sup>59</sup> which was supported by the differences in  $f_{44}/f_{43}$ ,  $f_{55}/f_{57}$  and  $f_{44}/f_{60}$  as shown in Fig. 2. Results here indicate that the OA is more hygroscopic in urban than that at the rural site during wintertime in the NCP at the same size.

As shown in Fig. 7, the  $\kappa_{\text{org}}$  in summer was higher than that in winter in the NCP at the same size due to the dominant contribution of SOA, implying enhanced ability of water uptake in summer in the NCP. These results are consistent with those from HTDMA measurements in Beijing,<sup>21</sup> but contradict with previous results from off-line measurements which showed higher hygroscopicity of OA in winter in Beijing.<sup>26</sup> Such differences can be partly attributed to the differences in water-soluble particulate matter and total particles in two seasons. For example, previous studies found that the fraction of water-soluble OA (69%) in summer was higher than that in winter (47%) in Beijing.<sup>60</sup>

While the  $\kappa_{\text{chem}}$  in summer in Beijing (0.24–0.37) was overall comparable to that observed in summer of 2009,<sup>25</sup> the values above 400 nm were higher than that in 2009. In addition to the variations of precursors and meteorological conditions in different environments, such differences of hygroscopicity between the two studies at large size can be partly attributed to the constant  $\kappa_{\text{org}}$  of 0.3 used in summer of 2009. It is recommended that future studies of hygroscopicity should consider the size distributions of the hygroscopicity parameter.

## 4 Conclusions

We analyzed the size-resolved high-resolution mass spectra of OA that were measured at an urban and rural site in summer and winter in the NCP. Our results showed large variations in mass spectra and oxidation state of OA as a function of particle size. For example, the O/C ratio increased with the increase of particle size at both sites, suggesting more oxidized OA at large sizes. In particular, the O/C below 200 nm in the afternoon in winter showed an obvious enhancement suggesting the role of photochemical processing in the formation of small particles. PMF analysis of size-resolved HRMS of OA identified various POA and SOA factors in Beijing and Gucheng. We found that the differences in the properties of POA and SOA factors at different sizes can be substantial. For example, POA species (e.g. OPOA, FFOA) showed elevated O/C ratios as a function of size highlight the aging of POA at a large size. These results highlight the variations of physical and chemical properties of OA factors at different sizes. SOA peaked at 400–500 nm during wintertime, which was smaller than that in summer due to the weaker secondary formation in winter. Comparatively, the peak diameters of BBOA and FFOA in winter in Gucheng were comparable (~350 nm), which were larger than that of POA related to the

traffic and cooking emissions. Significant changes of the variations in OA compositions as a function of size were found at different levels of pollution during wintertime in both urban and rural sites indicating the different roles of POA and SOA in haze formation in the NCP. We further estimated  $\kappa_{\text{OA}}$  using the size-resolved oxidation state of OA, and the results showed clear increases in  $\kappa_{\text{OA}}$  as a function of size with higher values in summer than winter. Therefore, the size-resolved  $\kappa_{\text{OA}}$  will help reduce the uncertainties in closure studies of CCN that often assume a constant  $\kappa$  value for OA.

## Data availability

The data in this study are available from the authors upon request (sunyele@mail.iap.ac.cn).

## Author contributions

YS and WeX designed the research. WeX, CC, YQ, CX, NM and WaX conducted the measurements. WeX, CC and YC analyzed the data. CC, YC, PF, ZW, PL, JZ and NLN reviewed and commented on the paper. WeX and YS wrote the paper.

## Conflicts of interest

There are no conflicts to declare.

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