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# Easy and fast *in situ* functionalization of exfoliated 2D black phosphorus with gold nanoparticles†

Salvatore Moschetto, (10 \*\*a Andrea Ienco, (10 b Gabriele Manca, (10 \*b Manuel Serrano-Ruiz, (10 b Maurizio Peruzzini, (10 b Alessio Mezzi, (10 c Marco Brucale, (10 a Margherita Bolognesi (10 \*a and Stefano Toffanin (10 a

Heterostructures of single- and few-layer black phosphorus (2D bP) functionalized with gold nanoparticles (Au NPs) have been recently reported in the literature, exploiting their intriguing properties and biocompatibility for catalytic, therapeutical and diagnostic applications. However, a deeper insight on the structural and electronic properties at the interface of the 2D bP/Au NP heterostructure is still lacking. In this work, 2D bP is functionalized with Au nanoparticles (NPs) through *in situ* deposition–precipitation heterogeneous reaction. The smallest realized Au NPs have a diameter around 10 nm as revealed by atomic-force and scanning electron microscopy, and are partially positively charged as revealed by X-ray Photoelectron Spectroscopy (XPS). XPS, UV-vis and Raman spectroscopy, supported by density functional theory (DFT) calculations, confirmed that while the structural and electronic properties of 2D bP are overall preserved, a soft-pairing between P atoms at the surface of 2D bP and Au atoms at the surface of Au NPs occurs, leading to a partial charge transfer at the 2D bP/Au interface, with a positive charge being localized on the Au atoms directly bonded to 2D bP. DFT calculations also predicted a band gap lowering, by 0.8 eV, for phosphorene functionalized with a tetranuclear Au cluster. Larger effects are expected as the Au cluster nuclearity (and coverage) increases.

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## Introduction

Single- and few-layer black phosphorus (2D bP) is a promising novel 2D material that can be easily obtained by either micromechanical cleavage (Scotch tape method<sup>1,2</sup>) or liquid exfoliation<sup>3-10</sup> of bulk bP, the most stable allotrope of elemental phosphorus at room temperature. Since its discovery, this new P-based 2D material has attracted enormous interest. In fact, it is endowed with a direct and tunable band gap ranging from 0.3 eV (bulk) up to 2.2 eV (monolayer) and a high carrier mobility (up to 6000 cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>), which prompted its application towards the production of nanoelectronic devices, such as field effect transistors (FETs). And the material has to be protected in order to prevent its degradation toward oxygen and atmospheric moisture, although in recent

years also some oxidized forms of 2D bP have attracted attention on them.  $^{19}$ 

Additional intriguing properties can be obtained through the deposition of metal atoms, molecular fragments or nanoparticles on the surface, which may cause interesting structural and electronic variations.<sup>20</sup> A systematic study through first-principles calculations on the binding energy, geometry, magnetic moment, and electronic structure of several metal adatoms adsorbed on 2D bP has been carried out, predicting that the immobilization of transition metal atoms on the surface of 2D bP is feasible, preserving its structural integrity.21-28 More recently, a detailed guideline has been provided for an efficient covalent functionalization of the exfoliated bP with transition metal fragments in different coordination modes taking into account all the possible electronic/ steric features.29 An alternative way for the achievement of covalent functionalization could follow from the selective transfer of chalcogenide atoms (O, S) from suitable sources to the 2D bP material, in order to anchor the metal complexes and/or nanoparticles.30

On the other hand, many experimental studies report on the functionalization of 2D bP with metal nanoparticles (NPs) for a variety of applications including energy generation<sup>31</sup> and conversion,<sup>32</sup> sensing<sup>33</sup> or catalysis.<sup>34</sup> Much of the experimental work on heterostructures made of 2D bP and NPs deals

<sup>&</sup>lt;sup>a</sup>National Research Council – Institute for the Study of Nanostructured Materials (CNR-ISMN), Via P. Gobetti, 101, 40129 Bologna, Italy.

E-mail: salvatore.moschetto@ismn.cnr.it, margherita.bolognesi@cnr.it <sup>b</sup>National Research Council – Institute for the Chemistry of OrganoMetallic

Compounds (CNR-ICCOM), Via Madonna del Piano 10, 50019 Sesto Fiorentino, Italy. E-mail: gabriele.manca@iccom.cnr.it

<sup>&</sup>lt;sup>c</sup>National Research Council - Institute for the Study of Nanostructured Materials (CNR-ISMN), Via salaria km 29.3, 00015 Monterotondo Stazione (Rome), Italy † Electronic supplementary information (ESI) available. See DOI: 10.1039/d1dt02123k

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with gold Au NPs generally addressing the biocompatibility of such systems,<sup>35</sup> together with the properties of singlet oxygen generation ability and localized surface plasmon resonance (LSPR), for diagnostic or therapeutic<sup>36</sup> or sensing applications.<sup>37,38</sup> Other works deal with the synthesis of 2D bP/Au NP heterostructures and their catalytic applications.<sup>39</sup> The efficiency of the system has been attributed to the coupling of the intrinsic catalytic activity of both 2D bP<sup>40</sup> and Au NPs and to the prevented aggregation of NPs (typically resulting in inhibited catalytic performance), thus a synergic effect can be modulated through an accurate engineering of the heterostructure.<sup>39,41</sup>

However, in all the experimental works dealing with the decoration of 2D bP with Au NPs very little attention has been devoted to the detailed analysis of the structural and electronic properties at the interface of such heterostructure. In particular, the size control and the growth process of the metal clusters on top of the 2D bP surface is a key step for their application in different technological fields. 42–44

This work aims at studying 2D bP functionalized in situ with Au NPs through a deposition-precipitation solid-liquid heterogeneous reaction starting from an Au(1) precursor, which upon reduction reaction may form small nanoparticles. In fact, it is known that a smaller size of Au NPs enhances their catalytic properties due to the larger surface area. 45,46 In this view, we looked throughout in situ functionalization to obtain nm-sized NPs (diameter of ~10 nm) and to eliminate any stabilizing agent that could interfere with the interaction with 2D bP. Moreover, the in situ functionalization provides a strong intimacy of contact between 2D bP and the metal-precursor, leading well-distributed and homogenous morphology at the nanoscale, which is optimal for investigating the 2D bP/Au interface. Spectroscopic (UV-vis absorption spectroscopy, X-ray photoelectron Spectroscopy, or XPS) and morphological characterization (atomic force microscopy, or AFM), supported by density functional theory (DFT) calculations, are herein reported to provide a deeper understanding on the cross-correlated electronic, structural and morphological characteristics of the 2D bP/Au NPs heterostructure.

# Experimental

#### **Materials**

Bulk bP was synthesized following Lange's procedure.  $^{47}$  Chloro (dimethylsulfide)gold(I), [(Me<sub>2</sub>S)AuCl], and solvents (isopropanol, acetone, anhydrous chloroform) were purchased from Sigma-Aldrich. Si/SiO<sub>2</sub> (with thermally grown SiO<sub>2</sub>, thickness 300 nm) and quartz substrates were washed with two cycles of acetone and two of isopropanol in ultrasonic bath before use.

#### Samples preparation

We employed the micromechanical cleavage method with blue tape to exfoliate bulk bP to obtain few layers of 2D bP onto Si/SiO<sub>2</sub> substrates or quartz. The substrates resulted covered on  $\approx 4\%$  of their total area by 2D bP flakes with mean lateral size

of 1  $\mu$ m and thickness ranging from 50 nm down to few nm. <sup>48</sup> Quartz/2D bP and Si/SiO<sub>2</sub>/2D bP samples were functionalized with gold nanoclusters by dipping them in a  $10^{-3}$  M solution of [(Me<sub>2</sub>S)AuCl] in anhydrous CHCl<sub>3</sub>. The functionalization was carried out under an inert atmosphere of N<sub>2</sub>, in the glove box, in the dark and at ambient temperature. Immersion times of 3, 18 and 72 hours were tested. Samples were finally gently rinsed with degassed and anhydrous chloroform.

#### Samples characterization

Micro-Raman. Micro-Raman spectra were recorded by using a Renishaw micro-Raman 1000 system exciting with a laser diode at 488 nm. The laser beam was focused through a  $50 \times 000$  objective.

**UV-Vis absorption.** The absorption spectra of quartz/2D bP substrates functionalized with Au NPs were recorded with a JASCO V-550 spectrophotometer.

Atomic force microscopy (AFM). Atomic force microscopy images were recorded on a Multimode 8 microscope equipped with a Nanoscope V controller and a type JV piezoelectric scanner (Bruker, USA). Samples were scanned in PeakForce mode with SNL-A probes (Bruker, USA) under dry nitrogen. Background correction and grain size analysis were performed with Gwyddion 2.48 (http://gwyddion.net/).<sup>49</sup>

Scanning electron microscopy (SEM). The morphology of the Au nanoclusters of the 2D bP/Au NP heterostructure on Si/SiO<sub>2</sub> substrates was also investigated with: (i) a scanning electron microscope FEG LEO 1530, working with an acceleration voltage of 5 kV, equipped with energy dispersive X-ray spectrometer (EDS), Oxford SDD Inca system; and a scanning electron microscope (SEM) Zeiss EVO LS 10 working with an acceleration voltage of 20 kV equipped with EDS Bruker SDD Quantax system. FEG-SEM micrographs were collected after depositing the solid samples on a stub provided with a double sided adhesive conductive carbon tape and coated by evaporation with 10 nm of carbon. We performed the elemental mapping on the sample by EDS supported by the above described SEM, LEO 1530 FEG and Zeiss EVO LS 10.

X-ray photoelectron spectroscopy (XPS). XPS measurements were carried out by using a ESCALAB 250Xi spectrometer (Thermo Fisher Scientific - UK) equipped with a monochromatic Al Kα source and six-channeltron as detection system. The apparatus works in a UHV system, where the base pressure of the analysis chamber during the experiments was approximately  $1 \times 10^{-10}$  mbar. The analyzed area of the sample was varied in the range of 100-900 µm, by means an electromagnetic lens system. The spectra were collected operating at constant pass energy CAE = 50 eV. In order to minimize bP oxidation, the sample was manipulated and mounted on the holder for XPS analysis under N2 atmosphere in a glove box. The BE scale was calibrated positioning the C 1s peak from adventitious carbon at BE = 285.0 eV. The accuracy of the measurements was  $\pm 0.1$  eV. The spectroscopic data were acquired and processed by using the Avantage v.5 software.

Computational methods. All the calculations were performed within CRYSTAL17 software package<sup>50</sup> at B3LYP-DFT

level of theory<sup>51</sup> by considering a 32 phosphorus atom super-

cell. All the structures have been optimized as minima for both the atomic positions and the lattice parameters. The TZVP basis set was used for the phosphorus atoms while pseudo-potential was employed for the inner electrons of the Au center(s). 52,53 A list of all the optimized structural and energy parameters is available in the ESI.†29

### Results and discussion

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For the 2D bP functionalization with Au NPs, we choose the direct addition of an Au(1) complex solution on the surface of bP. The precursor complex, [(Me<sub>2</sub>S)AuCl], with one Cl<sup>-</sup> ligand and a labile dimethylsulfide ligand, easily reduces to gold NPs when added to a suspension of few layers 2D bP in CHCl<sub>3</sub>. We expressly avoided to use Au NPs stabilized with organic surfactants to avoid covering of the 2D bP surface by the surfactant through non-covalent interactions, 48 as it occurs also for graphene, 54,55 which could hinder the P-Au interaction. The solid-liquid heterogeneous reaction was carried out for a range of reaction times (see Experimental section), in order to find the best compromise between a good substrate coverage and minimum size of the NPs.

#### Spectroscopic characterization

Raman spectroscopy was carried out on pristine 2D bP and on Au-functionalized samples (Fig. 1). Both spectra show the three typical Raman peaks of bP at 360, 435 and 460 cm<sup>-1</sup>, attributed to the  $A_{g}^{1}$ ,  $B_{2g}$ , and  $A_{g}^{2}$  vibrational modes, respectively. 56-58 This confirms that the orthorhombic crystalline structure of 2D bP is maintained after Au-functionalization, at least in the atomic-thin layers of 2D bP under the functionalized surface. In fact, as reported in the literature, covalent surface functionalization of 2D bP causes only a slight weakening of all bP Raman features (in particular of the A<sup>1</sup><sub>g</sub> mode) due to the partial disruption of intra-layer phosphorus bonding.<sup>59</sup>

UV-vis spectroscopy on pristine 2D bP and Au-functionalized samples on quartz (incubation time 3 h, Fig. 2) resulted in broad and featureless absorption spectra for both samples,

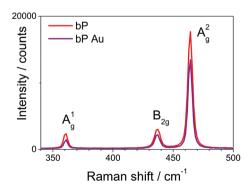


Fig. 1 Raman spectra of pristine 2D bP (red) and Au-functionalized 2D bP (purple) on Si/SiO<sub>2</sub> substrates.

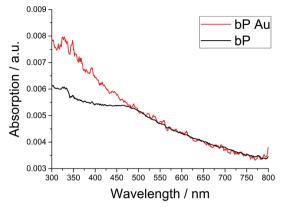


Fig. 2 Absorption spectra of mechanically exfoliated pristine bP (black) and bP functionalized with gold NPs (red) on quartz substrates.

typical of bP. The expected peak showing plasmonic effects, (at about 520 nm, typical of Au NPs with size <10 nm as described in the following morphological characterization), 32 is not present. This may be either ascribed to: (i) a low coverage of Au nanoclusters (ii) quenching by 2D bP, or, (iii) a combination of both factors. These assumptions are supported by literature, where it is supposed that the realization of 2D bPanchored Au nanoclusters follows a kinetically controlled process,60 and quenching effects occur also for graphene and other 2D materials. 61,62 Forming Au nanoclusters on the surface of 2D bP under experimental conditions featuring a low ratio between the Au precursor and the 2D bP (down to 2.5%) might enhance the collision probability between Au(1) atoms from the precursor and P centers from 2D bP, enabling an easier study of the heterostructure due to the large fraction of Au-P binding sites. However, we cannot exclude a-priori that the increase of the absorption spectrum of the 2D bP/Aufunctionalized sample in the 400-475 nm spectral range is due to scattering effects.

As a surface-sensitive mass spectroscopic technique, XPS was used to shed light on the elemental composition, the stoichiometry, the chemical and electronic state of the elements present in our heterostructure systems. The XPS analysis of bulk bP, from which the 2D bP was exfoliated, evidenced the presence of elemental phosphorus characterized by the typical spin-orbit doublet P2p3/2-P2p1/2, separated by 0.9 eV, and positioned at BE = 130.0 eV (see Fig. S1 and Table S1 in the ESI†).

In the case of Au-functionalized sample (incubation time 3 h), the XPS measurements were performed on different areas of the sample. Since the lateral resolution of the XPS equipment is approximately of 20 µm, it was not possible to focus the X-ray beam on a single 2D bP flake, which, on average, presents typically shorter lateral dimension (see the optical microscopy images in Fig. S2 in the ESI†). However, it was possible to distinguish between two categories of regions, depending on their elemental composition, namely regions "A" and "B" respectively (see Table 1). In regions A, signals from Au and P atoms were found, while in regions B, signals Table 1 Binding energy (BE) and atomic concentration (atomic %), acquired in two different areas of the 2D bP flakes on the Si/SiO2 substrate functionalized with Au NPs

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Signal	Peak BE (eV)	Atomic %	Bond
Region A			
Au4f <sub>7/2</sub>	84.3	0.3	$Au^+$
C1s - 1	285.0	9.9	C-C
C1s - 2	287.3	1.6	C-O
O1s	533.0	54.4	$SiO_2$
P2p	129.9	2.3	P
Si2p	103.6	31.6	$SiO_2$
Region B			
Au4f <sub>7/2</sub> – 1	85.2	0.23	$Au^+$
Au4f <sub>7/2</sub> - 2	87.7	0.21	Au <sup>3+</sup>
C1s - 1	285.0	11.5	C-C
C1s - 2	286.7	2.7	C-O
C1s - 3	289.1	1.0	-COOH
Cl2p <sub>3/2</sub>	199.2	1.2	Cl <sup>-</sup>
N1s	400.9	0.9	Ammonium
O1s	533.2	48.4	$SiO_2$
Si2p – 1	103.9	32.7	$SiO_2$
Si2p – 2	101.5	1.2	Si-O

from Au and Cl atoms were found. Both kind of regions were characterized also by signals from Si and O atoms, deriving from the silicon/silicon oxide substrate, and C atoms, ascribed to surface contamination with organic impurities or scotch tape residuals from the mechanical exfoliation process.

The P 2p peak detected in the A regions was positioned at BE = 130.0 eV, characteristic for elemental P, as it was found in the pristine bP reference sample. Therefore, it can be attributed to 2D bP<sup>63</sup> (Fig. 3). In addition, the Au 4f<sub>7/2</sub> peak was positioned at BE = 84.4 ÷ 84.8 eV and it was assigned to Au arranged in NPs. As it is well known, the typical Au4f<sub>7/2</sub> peak of bulk gold (Au<sup>0</sup>) is positioned at BE = 84.0 eV. Thus, the observed chemical shift strongly suggests an electronic interaction occurring between Au atoms and the 2D bP surface.

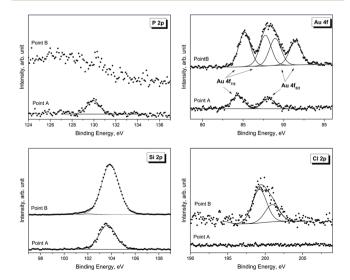


Fig. 3 XPS spectra, acquired in regions A and B of samples of 2D bP flakes functionalized with Au NPs on a Si/SiO2 substrate.

In the B regions, where 2D bP was absent (no P 2p signal was detected), the Au 4f signal showed two doublets Au4f<sub>7/2</sub>-Au4f<sub>5/2</sub>, assigned to two different electronic configurations of Au centers (i.e. Au<sup>+</sup> and Au<sup>3+</sup>).<sup>64</sup> In the same point, also the Cl 2p signal was detected at peak position with BE = 199.2 eV. This value is characteristic for Cl-, most probably due to the presence of Cl<sup>-</sup> counteranions necessary to electronically stabilize Au<sup>+</sup> and Au<sup>3+</sup> atoms on the NPs surface in the absence of 2D bP.

#### Morphological characterization

Topographical AFM investigation of bare 2D bP before functionalization confirmed the expected planar and relatively featureless morphology (Fig. 4a) with occasional sharp steps of several Å (the smallest measuring 6.6 ± 1.0 Å, see Fig. 4e), suggesting the good quality of the 2D bP flakes prepared through the micromechanical exfoliation method. Controlled oxidation of 2D bP in air led initially to the formation on the surface of raised features similar to bubbles, which progressively grew in size upon further oxidation (see Fig. S3†). The oxidation bubbles were clearly discernible from the undamaged substrate via the Peak Force phase contrast, which attests a substantial difference between the nanomechanical characteristics of pristine bP and oxidized zones.

Fig. 4b, c and d show the surface morphology of 2D bP flakes incubated with [(CH<sub>3</sub>)<sub>2</sub>S]AuCl for respectively 3, 18 and 72 h. In all cases, the incubation process did not induce appreciable oxidation damage on bP (as it is observed instead for 2D bP in air, see Fig. S3†) thus the Au NPs coverage is preserved on the 2D bP (see for comparison bare 2D bP in Fig. S2b in the ESI†). The sample incubated for 3 h is largely decorated by isolated spherical Au NPs with an average size of = 10.2  $\pm$  3.5 nm (Fig. 4f). Longer incubation times led to the formation of larger Au NPs clusters in addition to those observed on the sample incubated for 3 h. At 18 h, the average diameter of these larger aggregates is  $27.0 \pm 10.4$  nm (Fig. 4g), while at 72 h the distribution further broadens to include aggregates up to ~100 nm in diameter (Fig. 4h). All the larger Au clusters appear to be constituted by smaller grains having a diameter of ~10 nm (see insets of Fig. 4c and d).

Considering all these observations, we can assess that the chosen functionalization procedure is effective in producing nm-sized NPs with a high affinity towards the 2D bP surface, in perfect accordance with the optical, Raman and XPS spectroscopic characterizations.

The morphology and size distribution of Au nanoclusters on the 2D bP surface were further confirmed by SEM results (Fig. S4†).

## Computational studies

In order to shed light on the absorption sites, energy and bonding nature of the Au nanoclusters on 2D bP evidenced by spectroscopic and microscopic investigations, a detailed Density Functional Theory (DFT) computational study was carried out. The optimization of the single layer of the black phosphorus (monolayer 2D bP, or phosphorene) reproduced

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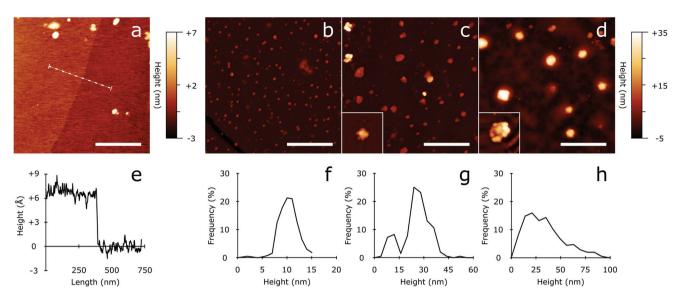


Fig. 4 (a-d): representative AFM micrographs of bP flakes immediately after mechanical cleavage (a) and after incubation with  $[(Me_2S)AuCl]$  for 3 h (b), 18 h (c) and 72 h (d). All scale bars are 500 nm. Insets of panel (c) and (d) show 4× magnifications of the larger Au clusters found on the corresponding sample. (e): height profile of a typical step found on pristine bP measured along the dashed line shown in panel (a). (f-h): maximum vertical size distributions of Au NPs and clusters with respect to the BP plane in samples incubated for 3 h (f), 18 h (g) and 72 h (h).

the experimental structure with two in-plane short P-P distances of 2.24 Å and one longer out of plane of 2.29 Å with a calculated channel width of 3.63 Å.

The absorption of a single Au(0) atom on the phosphorene surface revealed a non-symmetric interaction between Au and three P centres, with one shorter (2.36 Å) and two longer (2.55 Å) bond lengths, caused by different reciprocal orientations of the lone pairs of P atoms.<sup>29</sup> The absorption energy ( $E_{\rm abs}$ ) was calculated as large as -2.5 eV. This  $E_{\rm abs}$  value is somewhat overestimated comparing to the one reported in the literature (-1.61 eV) due to the employment of different hybrid functionals (B3LYP rather than PBE0), whereas from a structural point of view the two results are in perfect agreement.<sup>21</sup> In any case as shown in Fig. 5, the absorption of the metal atom causes a distortion of the structure, never put in evidence by the precedent investigation where the attention was focused

only 0.03 Å larger compared to the naked phosphorene layer.

The Mulliken analysis revealed a +0.35 charge for the Au atom without any significant variations between the three involved P atoms. Recent publications revealed the oxidation of an adatom upon the interaction with the phosphorene surface for the case of a single copper atom, which donates its unpaired electron into the conduction band of the material. Our calculations highlighted an electronic population for the Au center of 0.49 e<sup>-</sup> less than the expected value for an Au atom at 0 oxidation state, suggesting a somewhat degree of

only on the out of-plane P-P distance rather than on the width

of the channels. In this regards, our calculations revealed

channels wider by around 0.25 Å compared to the naked phos-

phorene (3.63 Å), a trend similar to what already obtained for

the predicted functionalization of the phosphorene with AuCl

fragment although the asymmetry in the Au-P bond is more

pronounced.<sup>29</sup> Otherwise in our calculations, the variation of

the out-of-plane P-P distance (between P1-P4 atoms, Fig. 5) is

On this basis, the adsorption on the 2D bP surface of the simplest seed of an Au nanocluster, namely two bonded Au atoms (Au1–Au2), was computed. For the Au1–Au2 assembly, two different arrangements on the 2D bP surface were investigated, as reported in Fig. 6.

electron donation of the metal towards the phosphorene layer.

The optimization revealed that the most stable conformation is the one shown in Fig. 6b, with  $E_{\rm abs}$  energy of -2.4 eV, more stable by 0.7 eV compared to the conformation parallel to the channel (Fig. 6a). From a structural viewpoint, the Au center in the channel (Au1) is now bonded to a P1 with a bond length of 2.28 Å and to the other Au center (Au2), with a metal-to-metal distance of 2.53 Å and P1-Au1-Au2 angle of  $162^{\circ}$ . The other two Au1-P bond distances elongate by 0.4 Å,

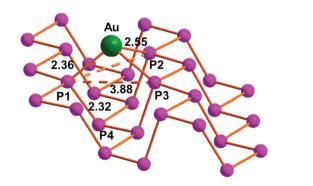


Fig. 5 Optimized structure of the black phosphorus monolayer after the absorption of a single gold atom.

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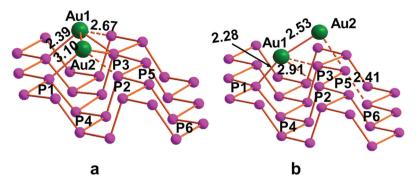


Fig. 6 Optimized different configurations for the Au<sub>1</sub>-Au<sub>2</sub> moiety on phosphorene: (a) parallel to the channel and (b) over the channel with a leaning structure (one gold on the channel and bonded to another Au, interacting with a fourth phosphorus of the surface), respectively.

as compared to the single Au atom on phosphorene, reaching a limit value of 2.91 Å. Differently from Au1, the Au2 center only weakly interacts with the surface through a single P atom being the Au2-P5 distance 2.76 Å. Such an interaction in any case causes a structural deformation since the P5-P6 bond results elongated up to 2.41 vs. 2.31 Å, similarly to the recent chalcogen atom transfer from a molecule of stibine chalcogenide to phosphorene.30

The absorption of the Au<sub>2</sub> cluster on the phosphorene causes a less pronounced deformation being the ditch larger by only 0.18 Å. Concerning the charge distribution, also in this case a charge transfer is observed, especially from the Au1 center, which seems to be partially oxidized being its population 0.36 e less than the atomic Au(0), while the Au2 remains very close to the Au(0) electronic configuration. The effect of the elongation of the P5-P6 bond is due to a somewhat donation of electron population from Au to the P-P  $\sigma^*$ level being the P5-P6 overlap population halved than the pristine naked phosphorene.

In a scale-up approach, the interaction between a cluster made of four Au atoms (Au1,2,3,4) with the 2D bP surface was computed. Five alternative arrangements of the Au1, Au2, Au3, Au4 moiety were investigated, *i.e.* along, orthogonal or outside the channels. Some configuration were discharged since causing a partial distortion/disruption of the phosphorene crystallinity, which sharply contrasts with XPS results. The

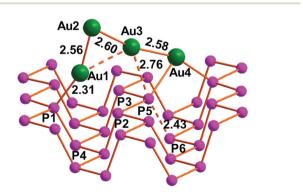


Fig. 7 Optimized structure of phosphorene surface with Au<sub>4</sub> cluster.

most stable configuration is the one shown in Fig. 7, featuring an adsorption energy as large as -3.7 eV. In this configuration, two Au atoms (Au1 and Au4) interact with two P ones (P1 and P5, respectively) with a short distance of 2.31 and 2.37 Å. The possible interaction of Au1 with other P atoms has to be discarded in view of their long distances (around 3.00 Å). While Au2 is only bounded to its neighboring Au1 and Au3 centers, an additional weak interaction is revealed between the gold center (Au3 or Au4) and the underlying phosphorene (bond lengths of 2.76 Å and 2.68 Å respectively). Interestingly, Au2, Au3 and Au4 centers attain an almost linear coordination with an angle of ca. 160°. As occurs for the binuclear Au1-Au2 also in this case an elongation of P5-P6 bond by ca. 0.14 Å is observed due to a somewhat degree of electron donation from the Au assembly into the  $\sigma^*$  level.

From calculations, also a slight positive charge (+0.2) localized on the Au1 and Au4 atoms directly bonded to the P centers of phosphorene was revealed. This, together with the observation of the positive overlap population of the Au-P interactions, is in agreement with XPS measurements, indicating that Au NPs and nanoclusters present a fraction of Au atoms (most probably the superficial ones) in an oxidation state higher than 0.

Although the simulated cluster is far from being comparable, in dimensions, with the Au NPs experimentally obtained, the computed system was proven to be a good model for extrapolating energetic and structural information on the experimental one.

From an energetic point of view, simulations confirmed the propensity of 2D bP to bind the Au NPs by fixing a fraction of Au atoms at their periphery through a covalent bond. From a structural viewpoint, simulations also confirmed that the 2D bP crystalline structure is perfectly preserved after the adsorption of Au NPs. Finally, a partial charge transfer between P and Au atoms is revealed, with a positive charge being localized on the Au atoms directly bonded to the 2D bP. This may also explain the quenching of the plasmonic band of the Au NPs in the 2D bP/Au NPs heterostructure, as shown by absorption spectroscopy.

The adsorption of gold nanoclusters causes some significant electronic variation in particular concerning the band

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gap. In this respect, the naked phosphorene has an estimated direct band gap of 2.20 eV29 while the more is the metal content in the nanocluster and the smaller becomes the band gap. Such a behavior could be reasonably traced back to the presence of filled d orbitals of the metal at the top of the valence band. In a different way, the conduction band, mainly formed by the  $\sigma^*$  P-P bond, is only slightly influenced in view of limited metal back-donation into empty P-P σ\* bonding. Thus, the band gap becomes progressively smaller as the nuclearity of the particle increases. In this regards, the band gap starting from the 2.20 eV for the naked phosphorene reaches the lowest limit of 1.41 eV for phosphorene functionalized with the tetranuclear gold cluster. The greater is the size of the gold cluster and the smaller becomes the bandgap. The great contribution from the gold atoms at the top of the valence band is mainly attributed to gold-gold bond mediated by a significant contribution from the phosphorus, as shown by the Density of States (DOS) in ESI, Fig. S5 and S6† for the material functionalized with Au<sub>2</sub> and Au<sub>4</sub> moieties, respectively.

# Conclusions

This work aimed at deeply investigating the electronic, structural and morphological properties of a heterostructure formed by 2D bP and Au NPs. The Au NPs, formed in situ through a solid-liquid heterogeneous reaction, have nanometric size (diameter ~10 nm, ~27 nm and ~100 nm) as evidenced by AFM, and do not present plasmonic features due to quenching by 2D bP. In the heterostructure, 2D bP fully preserves its crystalline structure beneath the interface with Au NPs, as evidenced by Raman spectroscopy. The formation of the heterostructure involves the soft-pairing/coordination between P atoms from the surface of 2D bP to the Au atoms on the surface of the Au NPs. A partial charge transfer at the 2D bP/Au interface occurs, with a positive charge being localized on the Au atoms directly bonded to 2D bP, as predicted by calculations and confirmed by XPS experiments. Calculations finally predict, for Au-functionalized 2D bP, a band gap lowering which is more pronounced as the nuclearity of the Au clusters increases: i.e. a band gap decrease by 0.8 eV is calculated for phosphorene functionalized with a tetranuclear Au cluster. We expect that for a further increase of the cluster size, up to nanoparticles with >100 atoms, the more efficient is the charge transfer, as well as the smaller is the band gap of phosphorene. The results here presented give a theoretical insight and an overall deeper understanding of the interface in the heterostructures based on 2D bP/Au NPs, already widely used for optical and electrochemical sensing<sup>66</sup> applications, or in plasmonic- or photonic- based biological applications.<sup>67</sup> On the other hand, the specific heterostructure here designed and studied, obtained through an easy and in situ method leading to a "stabilizer-free" surface and optimal nanostructuring, holds promise for improved performance in specific applications, such as electro-, photo- or photoelectro-chemical catalysis, within others.

# Conflicts of interest

There are no conflicts to declare.

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