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Lanthanide–tetrapyrrole complexes: synthesis, redox chemistry, photophysical properties, and photonic applications

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Tetrapyrrole derivatives such as porphyrins, phthalocyanines, naphthalocyanines, and porpholactones, are highly stable macrocyclic compounds that play important roles in many phenomena linked to the development of life. Their complexes with lanthanides are known for more than 60 years and present breath-taking properties such as a range of easily accessible redox states leading to photo- and electrochromism, paramagnetism, large non-linear optical parameters, and remarkable light emission in the visible and near-infrared (NIR) ranges. They are at the centre of many applications with an increasing focus on their ability to generate singlet oxygen for photodynamic therapy coupled with bioimaging and biosensing properties. This review first describes the synthetic paths leading to lanthanide–tetrapyrrole complexes together with their structures. The initial synthetic protocols were plagued by low yields and long reaction times; they have now been replaced with much more efficient and faster routes, thanks to the stunning advances in synthetic organic chemistry, so that quite complex multinuclear edifices are presently routinely obtained. Aspects such as redox properties, sensitization of NIR-emitting lanthanide ions, and non-linear optical properties are then presented. The spectacular improvements in the quantum yield and brightness of Yb^{III}-containing tetrapyrrole complexes achieved in the past five years are representative of the vitality of the field and open welcome opportunities for the bio-applications described in the last section. Perspectives for the field are vast and exciting as new derivatizations of the macrocycles may lead to sensitization of other Ln^{III} NIR-emitting ions with luminescence in the NIR-II and NIR-III biological windows, while conjugation with peptides and aptamers opens the way for lanthanide–tetrapyrrole theranostics.

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1 Introduction

Tetrapyrrole derivatives such as porphyrins or phthalocyanines are highly chemically stable macrocycles and considered as essential constituents in the emergence of life. Porphyrins are known since the seminal work of Hoppe-Seyler in the 1870s, the founder of physiological chemistry¹ who studied various body fluids, including blood, and measured the electronic spectra of haemoglobin and chlorophyll, among others. The simplest compound is porphine (H₂Por, Scheme 1) that does not occur in nature, but some substituted porphines do, such as protoporphyrin IX (H₂ProP9, Scheme 1), a precursor to haem and

haemoglobin.² Common synthetic mimics of protoporphyrin IX used in coordination chemistry of transition metal ions and lanthanides are octaethylporphyrin (H₂OEP), tetrabenzoporphyrin (H₂TBP), and tetraphenylporphyrin (H₂TPP) (Scheme 1). The highly delocalized π -system of porphyrins (highlighted in red in Scheme 1) confers them vivid colours and a wide variety of genuine optical, electric, and magnetic properties with applications ranging from catalysis to electronic materials, photonics, biomedical analysis, imaging, and therapy.^{3–5} Partial hydrogenation of H₂Por results in highly photosensitive macrocycles termed chlorins (H₂cl; bacteriochlorin H₂Bcl; isobacteriochlorin H₂iBcl, see Scheme 1) that are commonly used as singlet oxygen generators in photodynamic therapy (PDT) of cancer.^{6,7} When the methine bridges between the pyrrole moieties of porphyrins are replaced with methylene bridges, the corresponding hydrogenated macrocycles are named porphyrinogens (H₄Pg). These compounds occur in living organisms, substituted with various side chains, and are reaction intermediates in the biosynthesis of several

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strategic life-indispensable molecules such as haemoglobins, cytochromes, or chlorophylls; a typical example is protoporphyrin IX ($H_4ProPg9$, Scheme 1), the precursor to protoporphyrin IX. The *meso*-octasubstituted porphyrinogens constitute a class of macrocycles known since the 19th century, called calix[4]pyrroles ($H_4Cx[4]Py$), and were put in front of the chemical scene in the 1990s by Floriani *et al.*⁸ and Sessler *et al.*,⁹ mainly in view of their host-guest properties and small-molecule activation capability.

Contrary to porphyrinogens that are usually colourless due to the lack of a delocalized π -electron system, phthalocyanines (H_2Pc) are intensively coloured derivatives of tetraazaporphyrin

(H_2N_4Por , Scheme 1), in which the methine bridges are replaced with imine nitrogens, with the pyrrole units being additionally fused with benzene rings. Such intensively blue-green-coloured synthetic macrocycles were apparently isolated in 1907 from a mixture resulting from the reaction of acetic anhydride with phthalamide¹¹ and found to be identical to a product discovered by Posner in 1897 upon heating *o*-cyanobenzamide at an elevated temperature.¹² These compounds can also be thought of as having an aza-annulene core. The metal derivatives of tetraazaporphyrin are not natural products, but due to their extended π -electron system, they have many applications as pigments—in particular the



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Chen Xie received a MSc from Hong Kong Baptist University in 2017 and is now a PhD candidate in the research group of Prof. Ka-Leung (Gary) Wong. His current research focuses on the design and preparation of lanthanide-based complexes and the investigation of their photophysical properties and biological applications.



Wai-Sum Lo

Wai Sum Lo obtained a BSc in Applied Chemistry from Hong Kong Baptist University in 2010. In 2016, he received a PhD in Inorganic Chemistry from The Hong Kong Polytechnic University (PolyU), where his thesis focused on the synthesis and energy transfer studies of luminescent lanthanide complexes. After completing his postdoctoral research at PolyU on the photophysical studies of lanthanide complexes with potential

bio-applications, he has started working as a Research Fellow at the same institute with fervent interest in understanding circularly polarized luminescence from lanthanide materials.



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research interests are focused on coordination, macrocyclic, and supramolecular chemistry of rare earths with main emphasis on the development of lanthanide-based luminescent materials and bioprobes.



important phthalocyanine blue, [Cu(Pc)], electrochromic materials, redox catalysts, agents for photodynamic treatment of cancer or flow-injection analysis.¹³ Fusion of H₂N₄Por with anthracene rings results in naphthalocyanine (H₂Nc, Scheme 1) which made the highlights in 2012 when scientists used it to visualize charge distribution in a single molecule by a combination of advanced microscopy techniques.¹⁴

From a historical perspective, lanthanide complexes with porphyrins were first reported by Horrocks *et al.*, [Ln(TPP)L] (L is a β -diketonate, often acetylacetonate, acac⁻), in the search for efficient magnetic resonance dipolar probes,¹⁵ while in the same year Tsvirko *et al.* demonstrated energy transfer from TPP to Yb^{III} and reported a quantum yield in solution of 0.5% for the metal-centred NIR luminescence.¹⁶ These 1:1 complexes are usually prepared by reacting [Ln(acac)₃(H₂O)_n] with the ligand in trichlorobenzene. By starting with [Ce(acac)₃(H₂O)₃] and prolonging the refluxing time, Buchler *et al.* surprisingly isolated in 1983 the first neutral and diamagnetic bis(porphyrinato) complex [Ce^{IV}(TPP)₂], followed by [Pr^{III}H(TPP)₂].¹⁷ Then, the triple-decker complex [Ce^{III}₂(OEP)₃] was obtained simultaneously with [Ce^{IV}(OEP)₂] by a competitive condensation/autoxidation reaction.¹⁸ Regarding phthalocyanines, they were introduced as pigments on the market in the 1930s, consisting of either un-metalated H₂Pc (blue-green) or [Cu(Pc)] (blue). When the benzene rings are fully chlorinated the corresponding Cu^{II} pigment is green; many colour variations can be obtained by modifying the ring substituents. When it comes to lanthanides, the first tetrapyrrole “sandwich” complexes were isolated by Kirin *et al.* in the 1960s, [LnH(Pc)₂] (Ln = Pr, Nd, Er, Lu),¹⁹ who subsequently demonstrated their

electrochromism. In fact the green Ln^{III}-phthalocyanine sandwich complexes have a radical nature, which was established by EPR spectroscopy for the Lu^{III} compound: one macrocycle is a di-anion but the other is a radical mono-anion so that the correct chemical formula is [Ln(Pc²⁻)(HPc^{-•})],²⁰ the structure responsible for their particular properties.²¹

The diameters of lanthanide ions are larger than the cavity diameter of Por and Pc ligands so that the metal centres in the complexes lie above the planar macrocycles. The main stoichiometries and structures encountered in the coordination of lanthanides with tetrapyrrole ligands are illustrated in Fig. 1. The 1:1 and 1:2 (Ln:L) geometries are the commonest, followed by triple deckers ideally suited for the study of magnetic interactions since the Ln–Ln distance is rather short (~0.35 nm); although less observed, the 2:2 stoichiometry also yields interesting f–f assemblies.^{22–24} More elaborate structures such as quadruple-decker 2:4 complexes²⁵ in which two double-decker units are linked by a diethoxybenzyl unit²⁶ have also been explored, as well as 4:5 bimetallic 4d–4f quintuple deckers.²⁷

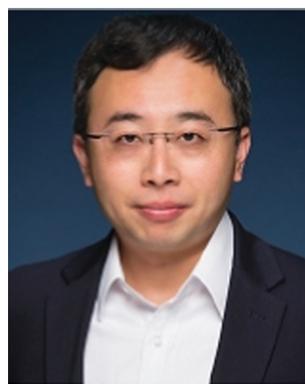
Although lanthanide tetrapyrrole complexes are regularly mentioned in review articles dealing with one or another aspect of 4f-element coordination chemistry,²⁸ supramolecular chemistry,^{29,30} photo- and spectro-chemistry,^{31–34} liquid crystals,³⁵ analytical^{10,36} or bio-applications,^{4,37} non-linear optical properties,³⁸ and magnetism,³⁹ the field itself has seen a few comprehensive reviews during the past 20 years, since the publication of the Porphyrin Handbook.⁴⁰ In addition, most of these reviews are focused onto a particular class of compounds, mononuclear complexes,^{41,42} sandwich complexes,⁴³ porphyrin complexes,⁴¹ or phthalocyanine complexes.²¹



Wai-Kwok Wong

Prof. Wai-Kwok Wong, a native of Hong Kong, received his PhD degree in Chemistry from the University of Wisconsin, Madison in 1978. He then spent a year at UCLA as a post-doctoral researcher with Prof. John Gladysz before moving on to work with the late Prof. Sir Geoffrey Wilkinson at Imperial College, London in 1979. He returned to Hong Kong in 1984 and took up a lecturer position at the Hong Kong Polytechnic University (then Hong Kong

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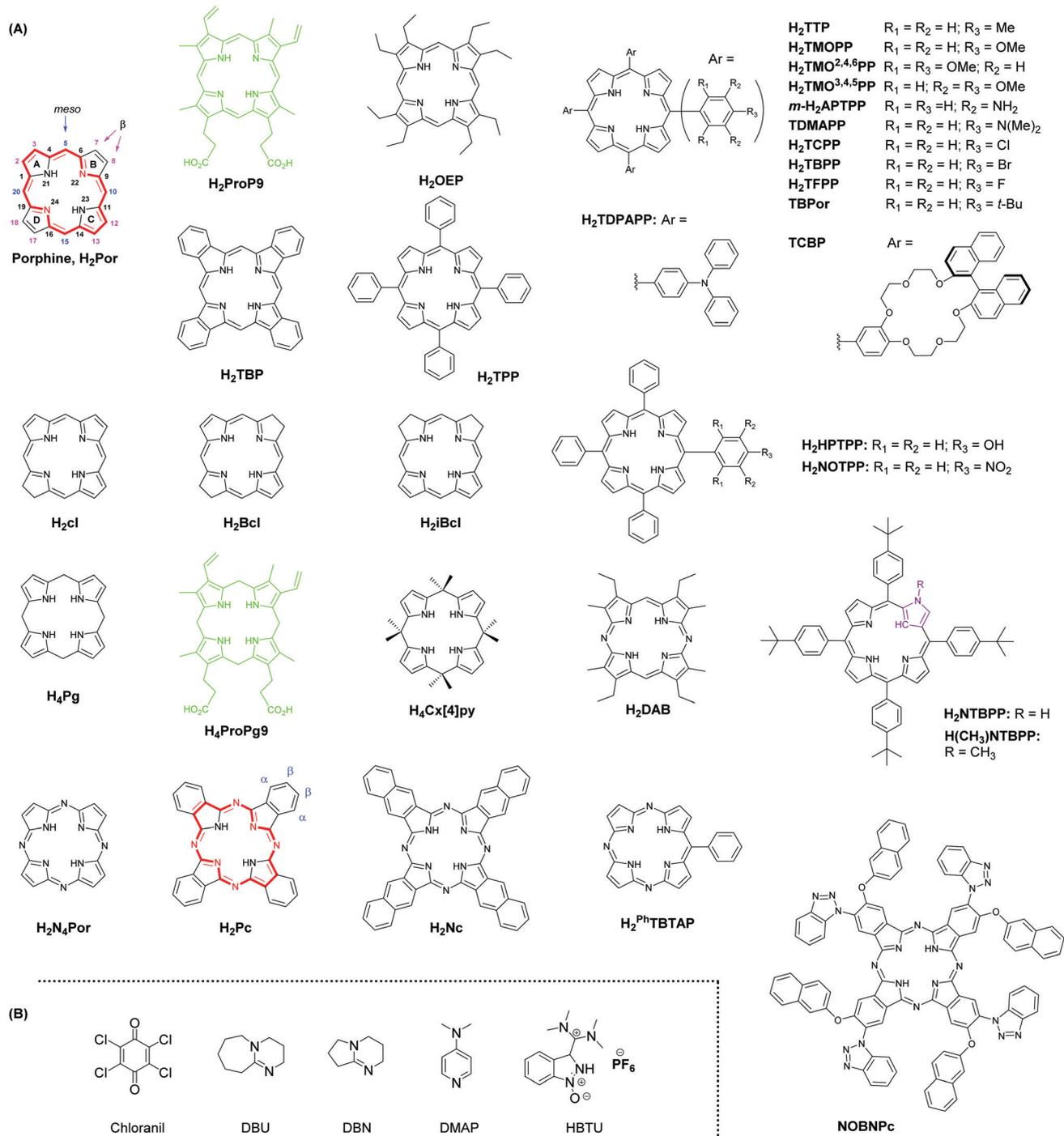


Ka-Leung Wong

Ka-Leung (Gary) Wong is the Dr Mok Man Hung Endowed Professor of Chemistry and Head of the Department at Hong Kong Baptist University. His undergraduate and graduate training was undertaken at Hong Kong University where he worked with Prof. Wing-Tak Wong, followed by post-doctoral periods at City University Hong Kong and Durham University (Royal Society post-doctoral fellowship with Prof. David Parker). His research

interests span bioinorganic chemistry, the study of responsive luminescent materials as well as numerous applications of lanthanide science and optical spectroscopy. Recent work embraces the development of new probes and drugs for cancer diagnostics and photodynamic therapy.





Scheme 1 (A) Chemical structures and formulae of porphine (with the IUPAC numbering scheme¹⁰), porphyrins, chlorins, porphyrinogens, and phthalocyanines discussed in this review. In green: naturally occurring macrocycles; in red: 18 π -electron system of H₂Por and H₂Pc. (B) Chemical structures and formulae of common reagents involved in the syntheses of tetrapyrroles.

In this review, we therefore aim at giving an updated compendium of lanthanide–tetrapyrrole complexes, from their synthesis and structure to spectroscopy and reactivity, including the fast-developing fields of photophysical properties, non-linear optics and theranostics. Excluded herein are tetrapyrrole-attached/conjugated lanthanide

nanomaterials/complexes, and single-molecule magnetism. Applications will be presented, including optical limiting materials, NIR-emitting sensors, bioprobes, and singlet oxygen generators. The literature is covered up to October 2020, with a particular emphasis on the period spanning the past 20 years.



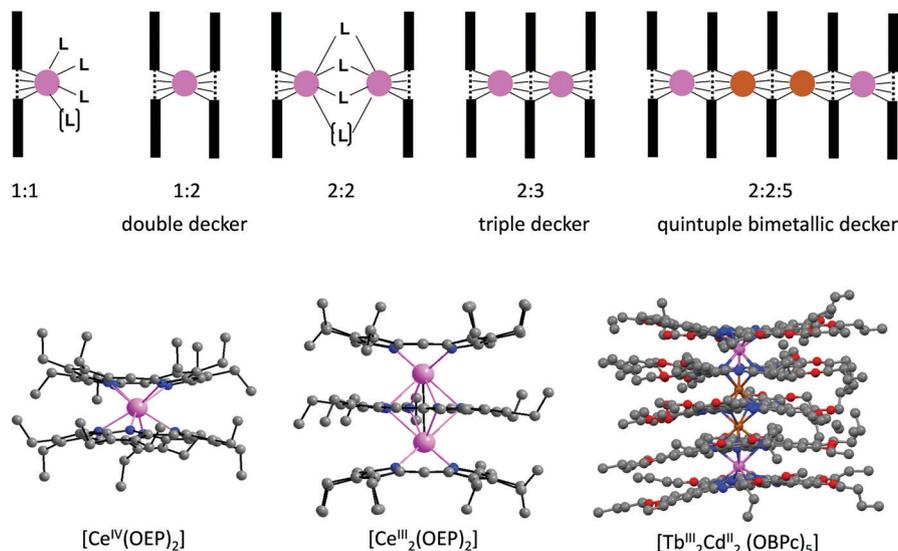


Fig. 1 Top: Schematic representation of some of the various structures encountered in lanthanide–tetrapyrrole Ln:L complexes; 1:1 and 2:2 complexes may be 7- or 8-coordinated. Bottom: Crystal structures of (left) double-decker [Ce^{IV}(OEP)₂], (middle) triple-decker [Ce^{III}₂(OEP)₂]. Redrawn from data in ref. 18; and (right) [Tb^{III}₂Cd^{II}₂(OBPc)₃], OBPc = octabutoxy-phthalocyanate, redrawn from data in ref. 27.

2 Structure and synthesis

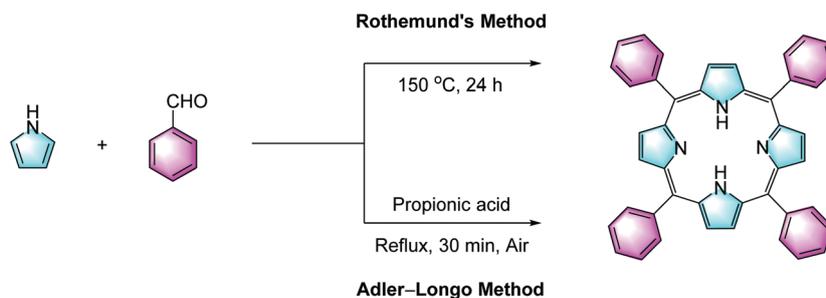
2.1 Lanthanide mono-tetrapyrrole complexes

2.1.1 Landmark synthetic methods for tetrapyrroles

(a) *Porphyryns*. One cannot discuss porphyrin synthesis without mentioning Rothmund's synthesis, first reported in 1936,⁴⁴ which was achieved by reacting formaldehyde and pyrrole in an acid medium at high temperatures for 24 h. In 1967, a "simplified version" of Rothmund's synthetic procedure was published for the preparation of *meso*-substituted porphyrins, which is now commonly known as the Adler–Longo methodology (Scheme 2).⁴⁵ Adler and Longo claimed that while their procedure does not necessarily lead to the highest synthetic yield (~20%), it represents a convenient (in open air), fast (~30 min), and reproducible synthesis of highly pure porphyrins. In general, equimolar amounts of freshly distilled pyrrole and aldehyde are added into propionic acid and refluxed for 30 min. The reaction mixture is then cooled and filtered; the filter cake is washed with methanol and hot water, followed by the removal of residual acid *in vacuo*. The substituted porphyrin is obtained as coloured crystals. Lindsey

*et al.*⁴⁶ further modified the procedure, putting emphasis on improving the synthetic yields and using milder reaction conditions, for instance, lowering the "unnecessarily high" reaction temperature, while broadening the choice of accessible benzaldehydes. The generic synthesis starts with adding equimolar amounts of pyrrole, aldehyde and triethyl orthoacetate into dry CH₂Cl₂ under N₂. An aliquot of boron trifluoride etherate (Lewis acid) is also added and the reactants are mixed at room temperature for 1 h. Then, 2,3,5,6-tetrachlorobenzoinquinone (chloranil, Scheme 1) is added as the oxidant and the reaction mixture is refluxed for 1 h. Removing the solvent *in vacuo* and further purification by column chromatography give the product in ~45–50% isolated yield. The authors noted that, after systematic studies, the synthetic yields were found to be dependent on various conditions such as the nature of the acid catalyst, the choice of oxidant, the reaction time, the concentrations of the reactants, as well as on the solvent dryness.

In 1998, Wong *et al.* investigated the synthesis of cationic lanthanide porphyrin complexes, where the free base porphyrins in use, 5,10,15,20-tetrakis(*p*-tolyl)porphyrin (H₂TTP, Scheme 1) and 5,10,15,20-tetrakis(*p*-methoxyphenyl)porphyrin



Scheme 2 Classical Rothmund's and Adler–Longo synthetic approaches to porphyrins.^{44,45}



(H₂TMOPP, Scheme 1), were conveniently synthesized according to the Adler–Longo method.⁴⁷ Yu's group also obtained H₂TPP with the same methodology for the solid state synthesis of [LnTPP(acac)] complexes.⁴⁸ Both the Adler–Longo and Lindsey syntheses remained popular in the early 2000s with Asano-Someda's group,⁴⁹ Tsukube's group,⁵⁰ Wong's group,^{51,52} He's group^{53–56} and Zhang's group,⁵⁷ all employing similar procedures for the synthesis of lanthanide-monoporphyrinates with different functional groups (Fig. 2), including H₂OEP, crown ether-containing 5-(3-aminophenyl)-10,15,20-triphenylporphyrin (*m*-H₂APTPP, Scheme 1) (see Section 2.1.8 for a specific discussion on crown-porphyrins), 5,10,15,20-tetrakis[3,4,5-tri(methoxy)phenyl]porphyrinate (H₂TMO^{3,4,5}PP), H₂TMOPP and H₂TTP, 5,10,15,20-tetrakis(*p*-chlorophenyl)porphyrin (H₂TCPP), 5,10,15,20-tetrakis(*p*-bromophenyl)porphyrin (H₂TBPP), 5,10,15,20-tetrakis(*p*-fluorophenyl)porphyrin (H₂TFPP) and 5,10,15,20-tetrakis(2,4,6-trimethoxyphenyl)porphyrin (H₂TMO^{2,4,6}PP), see Scheme 1. As such, the Adler–Longo and Lindsey protocols have regularly underpinned the synthesis of porphyrins in recent decades.

Lindsey later became interested in improving the synthesis of *ortho*-substituted tetraphenylporphyrins, especially those that are sterically hindered and are difficult to synthesize under the established mild conditions. He and Wagner discovered that, by adding a boron trifluoride–ethanol co-catalyst, the reaction yields of 2-alkyl-, 2-alkoxy- and 2,6-dialkoxybenzaldehydes increased, whereas the catalytic system did not improve the reaction

involving six *ortho*-halogen-substituted benzaldehydes.⁵⁸ In Wong's report of the first series of monoporphyrinato lanthanide complexes with large optical-limiting capabilities,⁵⁹ the porphyrin free base 5,10,15,20-tetrakis(*p*-diphenylaminophenyl)-21*H*,23*H*-porphine (H₂TDPAPP) was prepared by the condensation of pyrrole and 4-diphenylaminobenzaldehyde referencing to Cheng's synthesis,⁶⁰ itself inspired by Lindsey's method.

When it comes to novel porphyrin-based electronic push-pull, bioconjugated framework systems for supramolecular photonic and biomedical applications, unsymmetrically substituted porphyrinoids have been foregrounded, in which the tetraphenylporphyrins comprise different *meso*-phenyl functionalities (A, B, C, and D), giving rise to A₃B–Por, *cis/trans*-A₂BC–Por, *cis/trans*-A₂B₂–Por and ABCD–Por combinations. To access them, three general approaches are employed: (i) statistical mixed condensation of pyrrole/dipyrromethene with different aldehydes,⁶¹ (ii) late-stage core/peripheral functionalization with organometallics,⁶² and (iii) cross-coupling of preformed pyrrolic precursors *via* the “2 + 2” (for the A₂B₂-type) and “3 + 1” (for the A₃B-type) additions.⁶³ All their synthetic details are well documented in the literature.

(b) *Phthalocyanines*. Phthalocyanines have structural similarity with porphyrins, with four isoindole units linked by nitrogen atoms in an azo position instead of pyrrole units linked by carbon bridges. They can be synthesized by various cyclotetramerization methods starting from a catalogue of

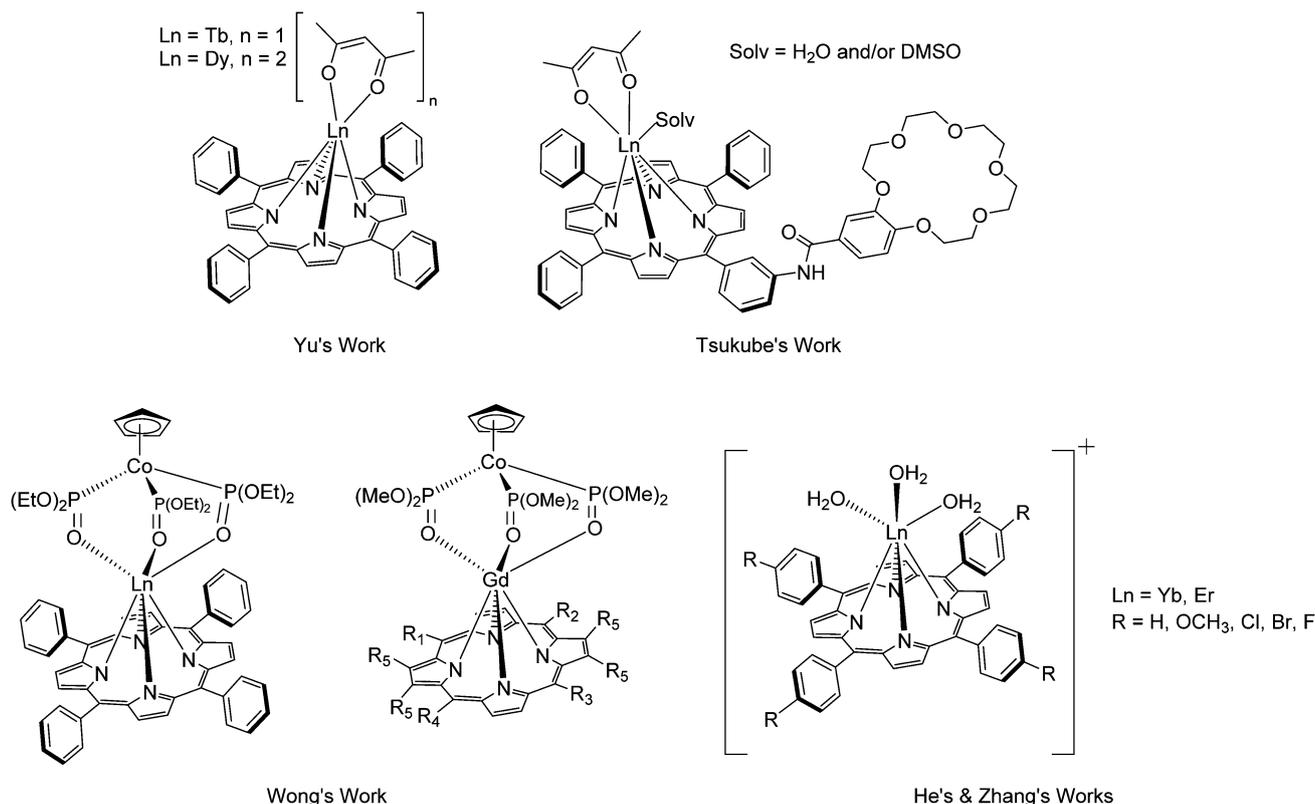


Fig. 2 Examples of lanthanide monoporphyrinates synthesized using Adler and Longo's method.^{48,50–53,57}



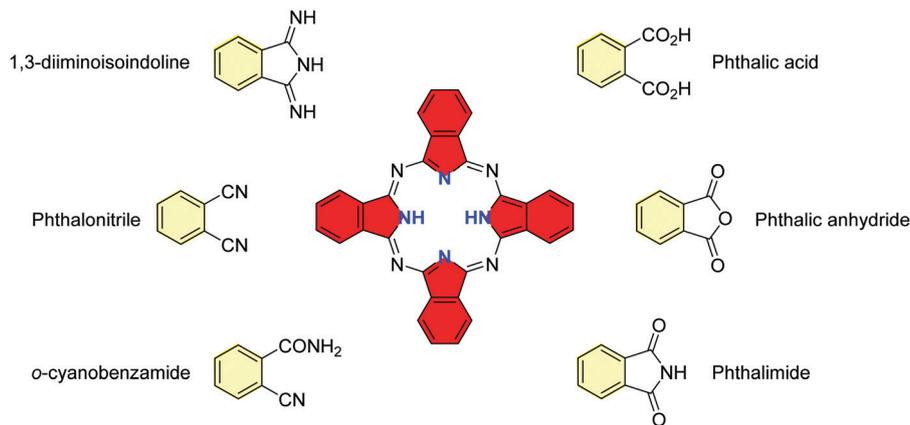


Fig. 3 Common synthetic precursors for phthalocyanines.

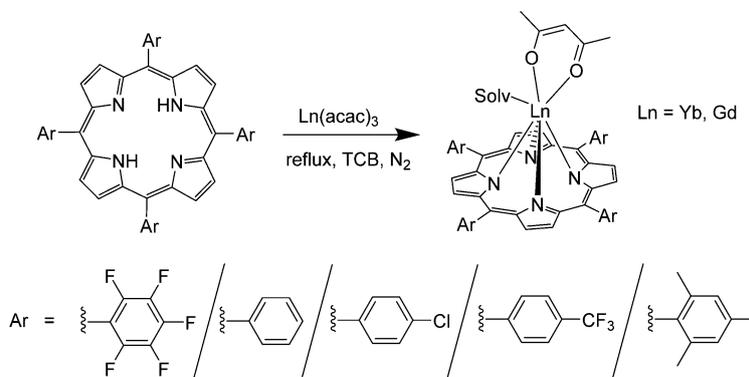
phthalic acid derivatives, *e.g.* phthalonitrile, phthalic anhydride, phthalimide, and diiminoisoindoline (Fig. 3), using the seminal Linstead procedure.⁶⁴ Two well-established routes are (i) metal-templated synthesis, by refluxing phthalonitrile and lithium metal in pentanol to form di-lithium phthalocyanines, followed by the removal of the lithium content under acidic conditions; (ii) metal-free synthesis, upon heating phthalonitrile either in a melt with hydroquinone as a reductant, or in the presence of 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU), or 1,5-diazabicyclo[4.3.0]non-5-ene (DBN) (Scheme 1), in high-boiling pentanol. Subsequently, the insoluble crude product can be purified by sublimation and/or washing with various solvents under Soxhlet extraction or centrifugation. Microwave irradiation in an optimized solvent is an alternative for preparing both metal-free and metallo-phthalocyanines in high yields and in a very short period of time, *e.g.*, in a few minutes.

As far as less symmetrically substituted phthalocyanines are concerned, several synthetic methods have been developed. The first one is statistical mixed condensation in which equimolar quantities of dinitriles A & B produce a statistical mixture of A_4 (8.33%), A_3B (25%), *cis*- A_2B_2 (25%), *trans*- A_2B_2 (8.33%), AB_3 (25%), and B_4 (8.33%). The other ones are sterically driven cross condensation and polymer-supported exclusive preparation of

the A_3B -type congener. Interested readers are advised to consult the seminal review by Mack and Kobayashi.⁶⁵

2.1.2 Lanthanide-tetrapyrrole complexation methods

(a) *Lanthanide porphyrinates.* In 1974, Horrocks Jr.'s group reported a lanthanide complexation method with symmetrical free base porphyrins.⁶⁶ In general, H_2TTP and two equivalents of tris(2,4-pentanedionate)europium(III) were refluxed in TCB for 3–4 h and the crude product was then chromatographed to yield the desired complex. This method is still widely in use nowadays, as exemplified by Zhang's group in the 2010s (Scheme 3).^{67,68} An alternative method for preparing cationic lanthanide monoporphyrinates was reported by Wong's group in 1999 using lanthanide amide complexes as synthetic precursors.⁴⁷ The lanthanide amide complex $Ln[N(SiMe_3)_2]_3 \cdot x[LiCl(THF)_3]$ was first generated *in situ* in excess by reacting Ln^{III} trichloride with lithium bis(trimethylsilyl)amide in THF. The free base porphyrin (H_2TTP or H_2TMPP) was subsequently added and the reaction was refluxed in a bis(2-methoxyethyl) ether-tetrahydrofuran mixture for 48 h to give the air-stable cationic $[Ln^{III}(TTP)(H_2O)_2(THF)]Cl$ and $[Ln^{III}(TMPP)(H_2O)_3]Cl$ complexes, respectively. Both purple crystals were then subjected to the following procedure: (i) extraction with CH_2Cl_2 , filtration and then concentration to dryness, (ii) washing with



Scheme 3 Lanthanide complexation to porphyrins by Zhang's group following the procedure developed by Horrocks Jr.'s group. Redrawn from ref. 67.



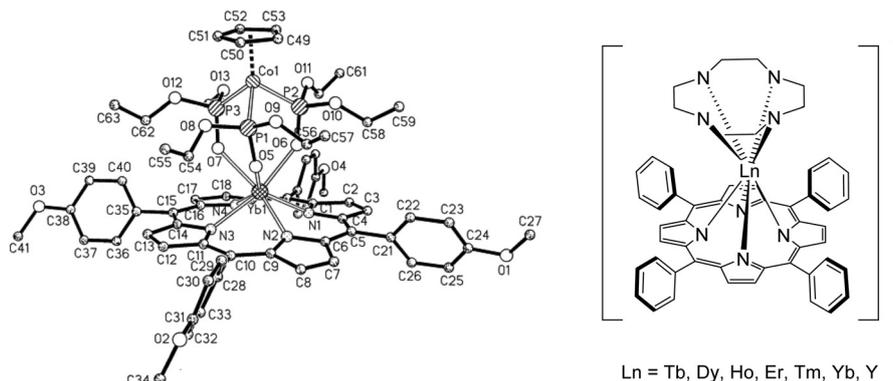


Fig. 4 (Left) X-Ray crystal structure of the cationic Yb–porphyrinate complex. Reprinted with permission from ref. 69, copyright (2000) Elsevier B.V. (Right) Porphyrin–cyclen sandwich lanthanide complex. Redrawn from ref. 73.

n-hexane and diethyl ether, and (iii) extraction with THF and filtration before (iv) final crystallization. Since then, this synthetic procedure for cationic porphyrin complexes was continuously used by Wong's group (Fig. 4, left).^{69,70}

As mentioned above, in Yu's solid state synthesis,⁴⁸ H₂TPP and Ln(acac)₃ were mixed in a 1 : 3 mass ratio and ground in a mortar, followed by transferring the mixture into a nitrogen atmosphere. The solids were slowly heated to 140–150 °C causing their melting and blackening. The temperature was then increased to 220–230 °C and maintained for 2 h to give a blue-purple reaction crude that was cooled and chromatographed on neutral Al₂O₃ with the use of a gradient CH₂Cl₂:MeOH eluent.

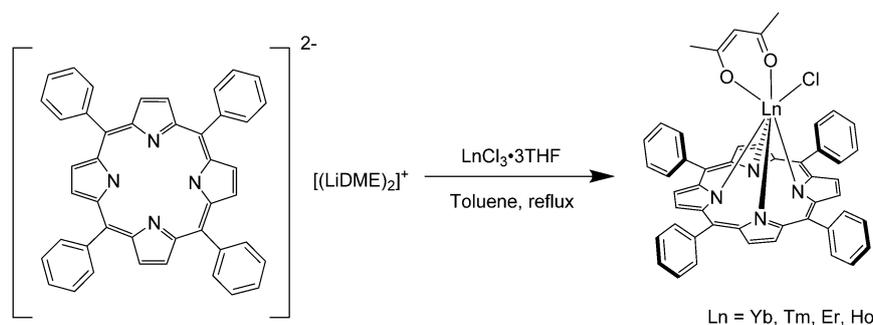
Boncella's group in 2002 reported the preparation of anhydrous lanthanide chloride complexes with tetraphenylporphyrin by a salt metathesis reaction of LnCl₃ (Ln = Yb, Tm, Er, Ho) and an equimolar quantity of di-lithiotetraphenylporphyrin bis(dimethoxyethane) under reflux conditions (Scheme 4).⁷¹ Upon metathesis, the lithium chloride could be easily separated by hot filtration and the reaction yield reached 85%. The same group improved their method for the synthesis of Ln(TPP) complexes capped with multidentate ligands that had higher yields and could be scaled up to gram-scale synthesis.⁷²

Ishikawa *et al.* reported an interesting saturation of lanthanide coordination using two macrocycles.^{73,74} Freshly made hydrated lanthanide chloride was heated at high temperature with H₂TPP in DMF containing DBU for 12–36 h. After simple

work-up to obtain the monoporphyrinato intermediate complex, it was redissolved in methanol and 1,4,7,10-tetraazacyclododecane (cyclen) was added. The lanthanide centre in the final product [Ln(TPP)(cyclen)]Cl was sandwiched between the porphyrin and the cyclen, with each macrocycle contributing 4 nitrogen atoms for coordination (Fig. 4, right). Purification of the product was achieved by crystallization by liquid–liquid diffusion of hexane and CH₂Cl₂.

In 2008, He *et al.* disclosed another facile approach to prepare neutral monoporphyrinato lanthanide(III) complexes by, for example, reacting Yb(OAc)₃·3H₂O with H₂TPP in TCB at 210 °C under N₂ for 48 h, followed by stirring with methanol at 70 °C for 2 h. The desired product, seven-coordinated [Yb(TPP)((OOCCH₃)(CH₃OH)₂], where acetate is monocoordinated, was exclusively obtained. It was used as a precursor for introducing bidentate ligands by exchange of the two labile methanol molecules, resulting in coordinatively saturated eight-coordinated monoporphyrinato Yb^{III} complexes in which the acetate anion acts as a bidentate ligand after methanol substitution.⁷⁵

(b) *Lanthanide phthalocyaninates.* Although the first examples of lanthanide–phthalocyanine complexes date back to as early as the 1960s,⁷⁶ it was not until the 1980s that a large interest flourished in this research field. In 1983, through refluxing di-lithium phthalocyanine aggregate and tris(2,2,6,6-tetramethylheptane-3,5-dionato)Ln^{III} in anhydrous THF under



Scheme 4 Salt metathesis reaction for lanthanide complexation proposed by Boncella's group. Redrawn from ref. 71.



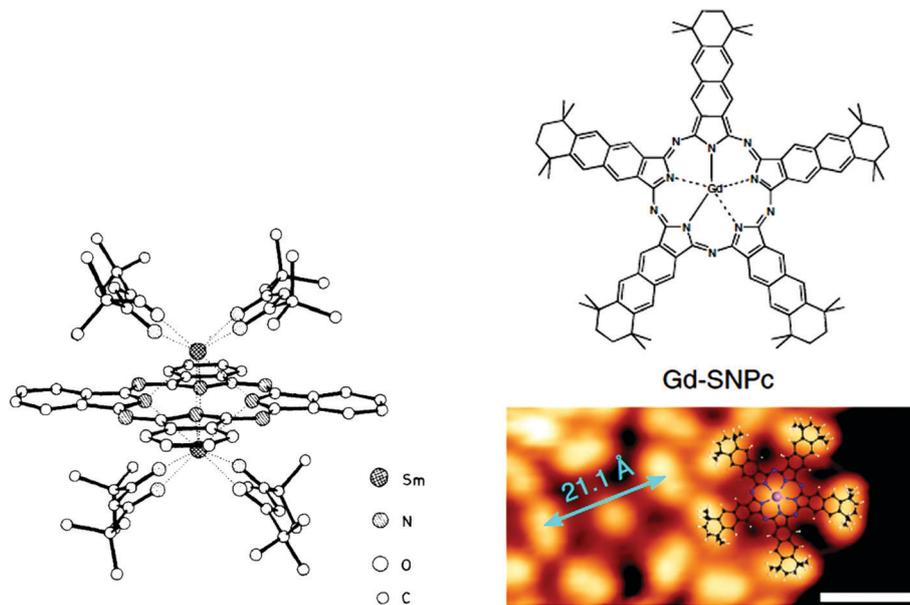


Fig. 5 (Left) Crystal structure of dinuclear disamarium phthalocyaninate. Reproduced with permission from ref. 77, copyright (1983) The Royal Society of Chemistry. (Right) Magnified view of the STM image taken on a Ag(111) surface after subsequent deposition of a low coverage of gadolinium (0.06 monolayer) on the ADN monolayer, followed by annealing to 450 K, overlaid with a molecular model of Gd-SNPc. Reproduced with permission from ref. 82, copyright (2019) Nature Publishing Group.

an argon atmosphere, that is, *via* the metal displacement strategy, Sugimoto *et al.* obtained a series of dinuclear $[(\mu\text{-phthalocyaninato})(\text{diketonato})_4\text{Ln}_2]$ complexes, where the phthalocyanine ring served as a bridging ligand (Fig. 5, left).⁷⁷ Intriguingly, the authors found that the reaction gave the 1:1 complexes instead in the presence of oxygen. Weiss *et al.* reported the synthesis and structure of $[\text{LuPc}(\text{OAc})(\text{H}_2\text{O})_2] \cdot \text{H}_2\text{O} \cdot 2\text{CH}_3\text{OH}$, as well as of the sandwich $[\text{LuPc}_2] \cdot \text{CH}_2\text{Cl}_2$ in 1985, exploiting the metal-templating method, by refluxing $\text{Lu}(\text{OAc})_3 \cdot x\text{H}_2\text{O}$, 1,2-dicyanobenzene, and DBU in boiling 1-hexanol.⁷⁸ Adding a larger proportion of the lanthanide salt and shortening the heating time could slightly increase the yield of the monophthalocyaninate complex. With the same *in situ* generated $\text{Ln}[\text{N}(\text{SiMe}_3)_2]_3 \cdot x[\text{LiCl}(\text{THF})_3]$ reagent used for complexing the lanthanide ion, like in previous work,⁴⁷ Wong *et al.* prepared a series of tripodal ligand-capped (monophthalocyaninato)lanthanide complexes in 2009.⁷⁹ This procedure afforded green crystals of $[\text{Ln}(t\text{-Bu})_4\text{Pc}(\text{LOMe})]$, Ln = Yb and Er, with yields up to 65%.

More recently, in 2015, Znoiko *et al.* demonstrated the successful synthesis of mixed 2-naphthoxy- and benzotriazolyl disubstituted lanthanide phthalocyaninates (NOBNPc, Scheme 1) with $\text{LnCl}_3 \cdot 6\text{H}_2\text{O}$ (Ln = Er, Yb) in the presence of urea at 190–195 °C, but the regioselectivity issue was not mentioned.⁸⁰ The product was purified by, in sequence, (i) grinding in a mortar, (ii) washing with water and then acetone to remove the salt excess and unreacted phthalonitrile, respectively, and (iii) extraction with chloroform, before routine column chromatography (silica gel M 60, eluent: chloroform). In 2018, Dubinina *et al.* demonstrated that both templated and multi-step methods can be applied for efficiently synthesizing

new lanthanide pyrazinoporphyrazine complexes with the assistance of both thermal and microwave irradiation techniques; the products were purified by simple precipitation from a $\text{MeOH}:\text{H}_2\text{O}$ (20:1 v/v) mixture, followed by washing with H_2O , MeOH and acetone.⁸¹ In 2019, by taking advantage of the larger size of Gd^{III} compared to Fe^{II} , Gottfried's group serendipitously obtained the template-controlled on-surface cyclopentamerization, rather than the expected formation of bis(phthalocyaninato) double deckers.⁷⁸ The resulting gadolinium(III) supernaphthalocyanine Gd-SNPc could be observed *via* scanning tunnelling microscopy (STM) (Fig. 5, right).⁸²

Most phthalocyanine-based lanthanide complexes and multi-deckers have been symmetrically designed, similarly prepared, and strategically studied for modern applications such as organic semiconductors, field-effect transistors, and single-molecule magnets.⁸³ These aspects have been recently reviewed and are beyond the scope of this review so the following sub-sections will concentrate more on describing kaleidoscopes of lanthanide–porphyrin coordination compounds.

2.1.3 Complexation with *meso*-substituted porphyrins. Liu's group reported the synthesis of a series of symmetrical porphyrins with extended planar *meso*-substituents. First, they reported the synthesis of 5,10,15,20-tetra[4-(4-chlorobenzoyloxy)phenyl]porphyrin ($\text{H}_2(\text{ClBOP})_4\text{P}$) in 2000 (Fig. 6). The free base porphyrin was prepared by dropping 4-chlorobenzoyl chloride into a benzene solution of tetra(4-hydroxyphenyl)porphyrin and triethylamine at 70 °C and further refluxed for 8 h before purification with neutral aluminium oxide column which afforded the porphyrin.⁸⁴



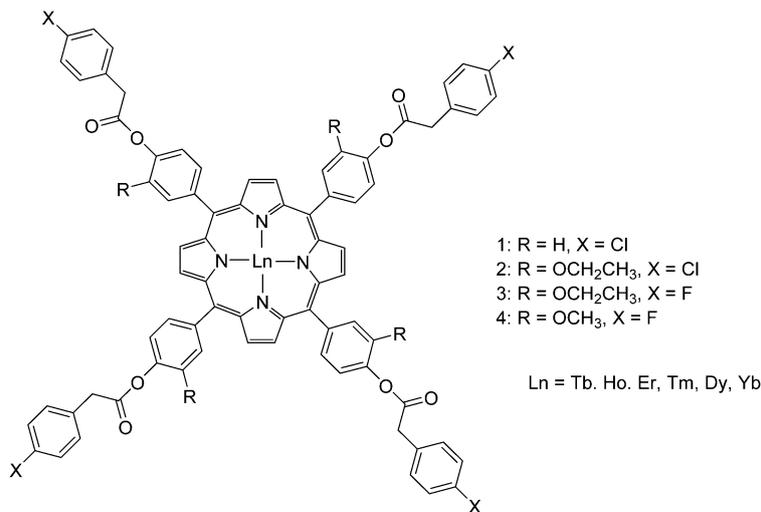


Fig. 6 Symmetrical porphyrins with extended planar *meso*-substituents. Redrawn from ref. 84–87.

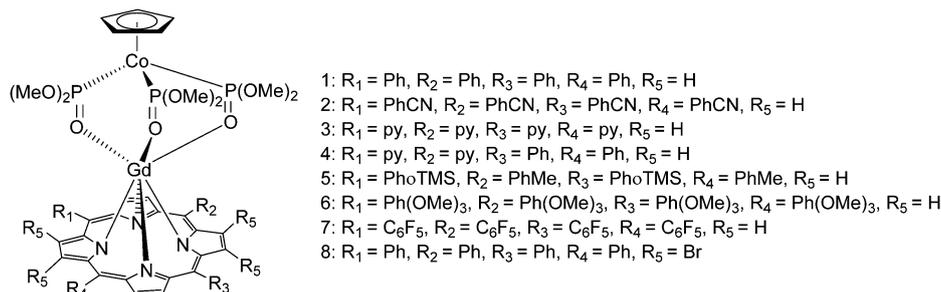


Fig. 7 A series of Gd-monomoporphyrate complexes with various electron-donating and electron-withdrawing *meso*-substituents. Redrawn from ref. 52.

By changing the precursor porphyrin to 5,10,15,20-tetra(*para*-hydroxy-*meta*-ethoxy)phenylporphyrin (H₂(THEP)₄P), 5,10,15,20-tetra[*para*-(4-chlorobenzoyloxy)-*meta*-ethoxy]phenylporphyrin (H₂(ClBOEP)₄P) was obtained (Fig. 6).⁸⁵ Similarly, replacing the reactants by 4-fluorobenzoyl chloride and 5,10,15,20-tetra(*para*-hydroxy-*meta*-ethoxy)phenylporphyrin gave H₂(FBOEP)₄P⁸⁶ and 5,10,15,20-tetra[*para*-(4-fluorobenzoyloxy)-*meta*-methoxy]porphyrin (Fig. 6).⁸⁷ Liu's group subsequently reported the synthesis of three 5,10,15,20-tetra[(4-alkoxy-3-ethoxy)phenyl]porphyrin ligands with a similar synthetic strategy.⁸⁸ 1-Bromododecane, 1-bromotetradecane and 1-bromohexadecane were refluxed with H₂(THEP)₄P in benzene for 3 h to obtain the respective porphyrins. The lanthanide complexes of all these ligands were obtained by heating with the corresponding lanthanide acetylacetonate in TCB under nitrogen for 5 h.

To unravel the effect of substituents on the photophysical properties of porphyrins, Wong's group systematically modified the electron-donating and electron-withdrawing substituents at the *meso*-position of the porphyrin phenyl rings (Fig. 7).⁵² Highly symmetric tetra-substituted porphyrin free bases with phenyl, cyanophenyl, pyridyl, pentafluorophenyl and 3,4,5-trimethoxyphenyl substituents were prepared by condensation of the respective benzaldehydes and pyrrole in

propionic acid, following Lindsey's improved Rothmund's method.^{46,89} On the other hand, the less symmetric porphyrin free bases were obtained *via* the condensation of benzaldehyde and the respective dipyrromethene using trifluoroacetic acid or boron trifluoride diethyl etherate as acid catalysts (Fig. 8).^{90–92} These authors continued to employ the widely used complexation methodology starting from lanthanide amide precursors.⁴⁷ Column chromatography was performed, followed by recrystallization *via* diffusion of methanol into CH₂Cl₂ solution.

In 2012, Kim *et al.* reported a new series of porphyrins with four triazole groups at the *ortho*-positions of the phenyl rings of TPP with copper-mediated click chemistry (Fig. 9).⁹³ The

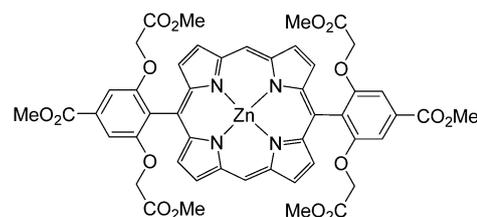


Fig. 8 An example of a less symmetrical metalated monoporphyrinate complex. Redrawn from ref. 90.



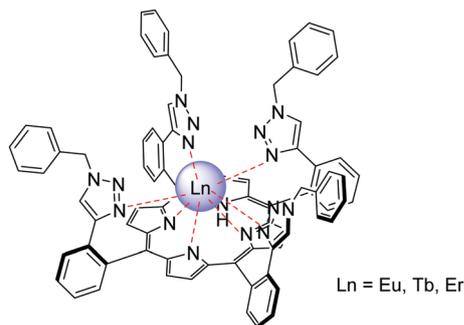


Fig. 9 Porphyrin ligand bearing triazole groups prepared by copper-mediated click chemistry. Redrawn from ref. 93.

precursor Zn^{II} porphyrin complex, with *meta*-alkynyl substituents, was prepared by first reacting *meta*-substituted benzaldehyde with pyrrole and trifluoroacetic acid in CH₂Cl₂ and then mixing with zinc acetate after purification.⁹⁴ Then, benzyl azide and the precursor complex—with the trimethylsilane group deprotected by tetrabutylammonium fluoride—were reacted according to an alkyne–azide click reaction catalysed by Cu(I). The desired product was obtained in good yield as the major product and was purified by column chromatography and recrystallization. The free base porphyrin was obtained by demetallation using trifluoroacetic acid and complexation was achieved according to Wong group's route using the lanthanide amide complex.

In 2013, Zhang *et al.* decided to introduce carbazole branches onto the porphyrin ring and imparted their intrinsic photophysical and redox properties to a lanthanide porphyrin complex.⁹⁵ They reported the synthesis of three 5,10,15,20-tetrakis[alkylcarbazole]porphyrins with dodecyl, tetradecyl and hexadecyl alkyl chains. The porphyrins were synthesized by refluxing the bromoalkyl carbaldehyde with pyrrole in xylene using *p*-nitrobenzoic acid to catalyse the reaction. A hydroxy complex was prepared by reacting lanthanide trichloride with the porphyrin in molten imidazole under dry and inert conditions for 2 h (Fig. 10).

2.1.4 Complexation with porphyrins containing long alkyl tails. Porphyrins bearing long alkyl or alkoxy chains have been prepared in view of investigating liquid crystalline properties of their double-decker complexes with lanthanides. The first such compounds were reported in 1985 with Pc bearing eight C₈, C₁₂, or C₁₈ alkoxy substituents, [Lu(OR₈Pc)₂]. Ref. 96 summarizes

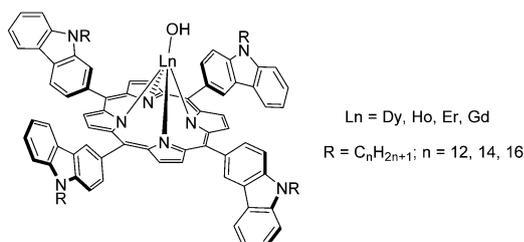


Fig. 10 Lanthanide monoporphyrrinate complexes with carbazole branches exhibiting redox properties. Redrawn from ref. 95.

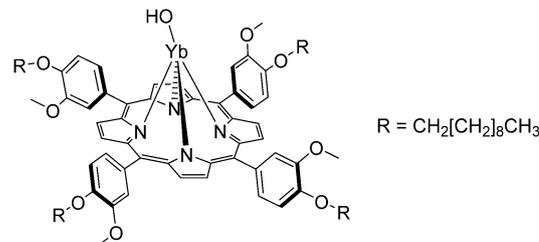


Fig. 11 Monoporphyrrinate Yb^{III} complex with alkyl tails. Redrawn from ref. 97.

the synthesis of numerous such compounds and their liquid-crystalline properties. More recently, Liu's group reported the isolation of lanthanide monoporphyrrates bearing four alkyl tails.⁹⁷ The ligand 5,10,15,20-tetra[*p*-decyloxy-*m*-methoxyphenyl]porphyrin was prepared by usual procedures from the reaction between *p*-decyloxy-*m*-methoxybenzaldehyde and pyrrole in propionic acid. The porphyrin was complexed with anhydrous YbCl₃ in molten imidazole and the complex is the first lanthanide(III) monoporphyrrinate liquid crystal (Fig. 11).

2.1.5 Complexation with multi-halogenated porphyrins. In 1990, Dolphin's group encountered difficulties in the β-polychlorination of *meso*-tetraphenylporphyrin derivatives using *N*-chlorosuccinimide, as they could obtain the monosubstituted product only. Ultimately, they discovered that TPP with *meta*-chlorophenyl rings could be β-perchlorinated in 85% yield using chlorine gas in *o*-dichlorobenzene in the presence of anhydrous FeCl₃ at 140 °C. The same chlorination procedure could also be used to β-perchlorinate *meso*-pentachlorinated TPP to perchloro-TPP in 70% yield (Fig. 12, top). Nevertheless, when unsubstituted TPP was used as the substrate, decomposition of the macrocycle ensued.⁹⁸ Coutsolelos' group followed Dolphin's synthesis for β-tetra- or octa-chlorinated 5,10,15,20-tetraphenylporphyrins and prepared the β-tetrabromo and octabrominated analogues by refluxing Ni(TPP) with different equivalents of *N*-bromosuccinimide in 1,2-*o*-dichlorobenzene for 3 h. The nickel centre was demetalated with concentrated sulfuric acid and terbium(III) complexation was carried out by refluxing lanthanide acetylacetonate in TCB (Fig. 12, bottom left).⁹⁹

Zhang and Gao's group designed and synthesized a series of Yb^{III} complexes with octafluorinated porphyrins and obtained then-unprecedented in 2017, sizeable near-infrared luminescence quantum yields for this class of complexes as the C–H oscillators are completely replaced by C–F oscillators which minimizes luminescence quenching (Fig. 12, bottom right).¹⁰⁰ The octafluoroporphyrrins were prepared by Lindsey's method⁴⁶ via the boron trifluoride etherate-catalysed condensation of 3,4-difluoropyrrole and the respective benzaldehydes (pentafluorobenzaldehyde, 2,6-difluorobenzaldehyde and 4-trifluoromethylbenzaldehyde). Again, the desired products were isolated using silica gel chromatography and recrystallized from CH₂Cl₂/*n*-hexane.

2.1.6 Complexation with water-soluble porphyrins. One would easily imagine that porphyrins, with their extended conjugation system and a mainly planar structure, would have



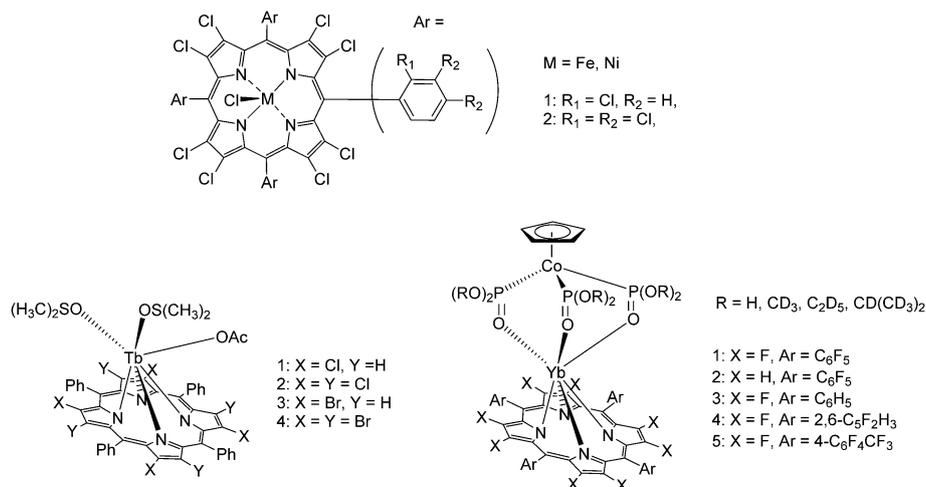


Fig. 12 Examples of multi-halogenated lanthanide porphyrinates at various positions of the porphyrin backbone. Redrawn from ref. 99 and 100.

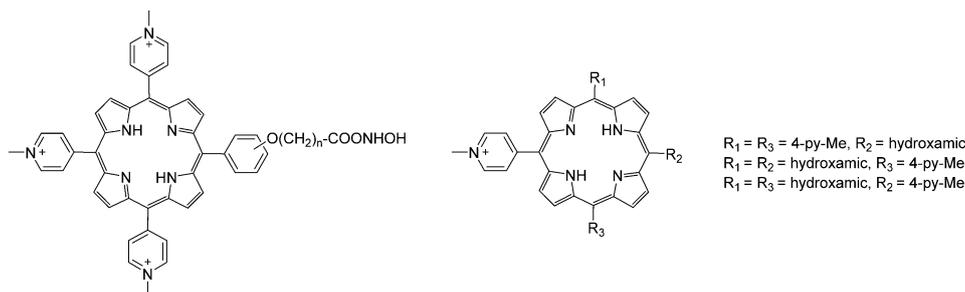


Fig. 13 Examples of water-soluble porphyrins functionalized with hydroxamic derivatives. Redrawn from ref. 102 and 103.

poor water-solubility which thus hinders their utilization in bio-applications. As a result, researchers have focused on improving their biocompatibility by devising synthetic routes for water-soluble porphyrins. In 2006, Itoh *et al.* reported the synthesis of a tetra*toluenesulfonate* salt of the cationic *meso*-tetrakis(4-*N,N*-dimethylaminophenyl)porphyrin (TDMAPP, Scheme 1).¹⁰¹ To start with, TDMAPP was synthesized by first refluxing *p*-dimethylaminobenzaldehyde in propionic acid for 30 min, followed by the addition of a propionic acid solution of pyrrole for further reflux. TDMAPP was subsequently mixed with methyl *p*-toluenesulfonate and heated for 3 h, and the product was obtained in its salt form through recrystallization. Coutsoleos' group reported a series of porphyrin-hydroxamic acid derivatives derived from the well-known 5,10,15,20-tetrakis(*p*-methylpyridine)porphyrin (H₂TMPyP) (Fig. 13).^{102,103} In general, they first synthesized a porphyrin-ester precursor by the condensation of pyrrole, 4-pyridinecarboxaldehyde and a pre-functionalized aldehyde. Saponification of the esters gave the corresponding porphyrin-carboxylic acids in good yields before coupling with hydroxylamine hydrochloride, followed by methylation with excess methyl iodide to improve the water-solubility of the porphyrin-hydroxamic acid derivatives. The improved water-solubility enabled the study of the nuclease activity of the cationic porphyrins through interactions with DNA and RNA.

Wong's group also reported a systematic study on a series of water-soluble lanthanide porphyrinates with pyridyl substituents in the *meso* positions (Fig. 14).¹⁰⁴ A convenient one-pot synthesis with pyrrole, benzaldehyde and 4-pyridinecarboxaldehyde in propionic acid yielded variously substituted and ionic porphyrins that were separated by column chromatography. The tricationic lanthanide porphyrinates were found to exhibit binding interactions with double-stranded DNA and moderate self-stacking was observed.

In recent years, Zhang's group reported the synthesis of porphyrinates with carboxylate and cationic phosphonium groups for the preparation of water-soluble ytterbium

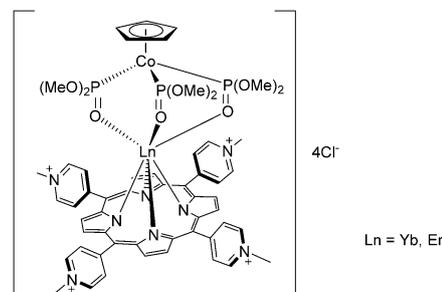


Fig. 14 Water-soluble capped lanthanide porphyrinates with pyridyl-substituents. Redrawn from ref. 104.



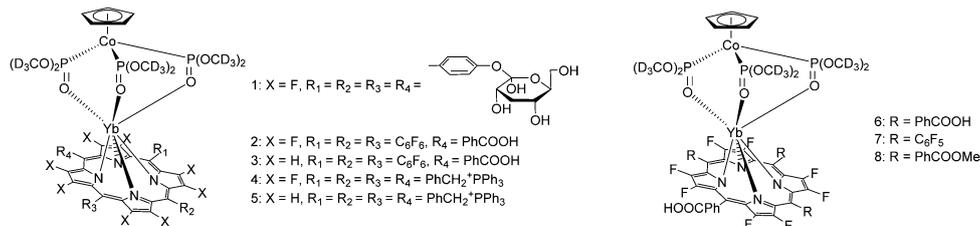


Fig. 15 Examples of water-soluble octafluorinated Yb^{III}-porphyrinate complexes with hydrophilic substituents such as carboxyl, glycosyl and triphenylphosphonium groups. Redrawn from ref. 105 and 106.

complexes for conducting live cell NIR bioimaging. Based on their previous work on octafluorinated porphyrins,¹⁰⁰ they modified the *meso* positions of the porphyrin phenyl rings with water-soluble moieties such as carboxyl, glycosyl and triphenylphosphonium using methyl *p*-formylbenzoate, 4-(2,3,4,6-tetraacetyl-glucopyranobenzyl)aldehyde, and *p*-chloromethylbenzaldehyde and reacted them with 2,6-difluoropyrrole for the porphyrin synthesis.¹⁰⁵ The resultant porphyrins were then complexed by refluxing with lanthanide acetylacetonate in TCB overnight, followed by the addition of partially deuterated Kläui's tripodal ligand, sodium [(cyclopentadienyl)tris(di(methyl-d3)phosphito)cobaltate] (Na{(η^5 -C₅H₅)Co[P(=O)(OMe^D)₂]₃}) or in short CoP^{CD3} (Fig. 15). The anionic carboxylate porphyrin required an additional deprotection of the ester, while the cationic triphenylphosphonium terminal was obtained by reaction with excess triphenylphosphine. The water-solubility of the anionic carboxylate porphyrin was even good enough (~2 mM in water) to be utilized as an *in vivo* NIR lifetime probe.¹⁰⁶

2.1.7 Porphyrinate/phthalocyaninate dyads and triphthalocyaninate conjugates. Members of the Wong group were creative in their porphyrin chemistry in 2007 when they reported the synthesis of a heterodimetallic bisporphyrin by conjugating two precursor porphyrins, 5-(*p*-hydroxyphenyl)-10,15,20-triphenylporphyrin (*p*-OH-TPPH₂) and 5-[*p*-(3-bromopropoxy)phenyl]-10,15,20-triphenylporphyrin (*p*-BrC₃O-TPPH₂).¹⁰⁷ The bisporphyrin complex was constructed by linking a lanthanide porphyrinate with a transition-metal porphyrinate by a 3C flexible alkyl linker (Fig. 16, top); *p*-OH-TPPH₂ was prepared by heating benzaldehyde and *p*-hydroxybenzaldehyde in propionic acid at 130 °C. Freshly distilled pyrrole in propionic acid was added slowly and the reaction mixture was refluxed for additional 30 min. *p*-OH-TPPH₂ was then refluxed with excess ytterbium amide complex Yb[N(SiMe₃)₂]₃[LiCl(THF)]₃ under nitrogen in toluene; excess Na{(η^5 -C₅H₅)Co[P(=O)(OMe)₂]₃} was subsequently added and the work-up gave the Yb-porphyrinate [Yb(*p*-OH-TPP)(CoP^{Me})]. Alternatively, 5-[*p*-(3-bromopropoxy)phenyl]-10,15,20-triphenylporphyrin (*p*-BrC₃O-TPPH₂) was prepared by reacting *p*-OH-TPPH₂ with excess 1,3-dibromopropane in the presence of K₂CO₃; the bisporphyrin dyad was obtained by reacting *p*-BrC₃O-TPPH₂ with Yb(*p*-OH-TPP)(CoP^{Me}) in dry THF and K₂CO₃ at 60 °C overnight, followed by chromatography on silica gel. In 2012, the same group reported similar rigid acetylene-bridged Zn^{II} porphyrin-monophthalocyaninato Yb^{III} hybrids, ZnPor-YbPc, through

convergent Sonogashira coupling (in 58% yield) between *meso*-ethynyl Zn^{II}-porphyrin and I(*t*-Bu)₃Pc; selective Zn^{II} demetallation using conc. HCl afforded H₂Por-YbPc in 93% yield; then re-metallation with Pd^{II} acetate afforded PdPor-PcYb in 71% yield (Fig. 16, bottom).¹⁰⁹

In 2015, upon mixing and refluxing 4,5-bis-[4-*tert*butyl phenoxy]-phthalonitrile, pre-synthesized 2,4,6-tris(3-thiophalonitrile)-*s*-triazine, LnCl₃·*x*H₂O (Ln = Yb, Lu) and DBU in 1-pentanol in open air for 21 h, the Nyokong group isolated trimeric dendron-like lanthanide phthalocyanines in 69–77% yields; the products were purified by silica gel column chromatography by eluting first with a chloroform/hexane mixture (v/v = 1 : 1) and then with a chloroform/ethanol mixture (v/v = 3 : 1) (Fig. 17).¹⁰⁸

2.1.8 Complexation with crown-porphyrins. Korovin *et al.* prepared crown-porphyrins (Fig. 18) according to the Thanabal

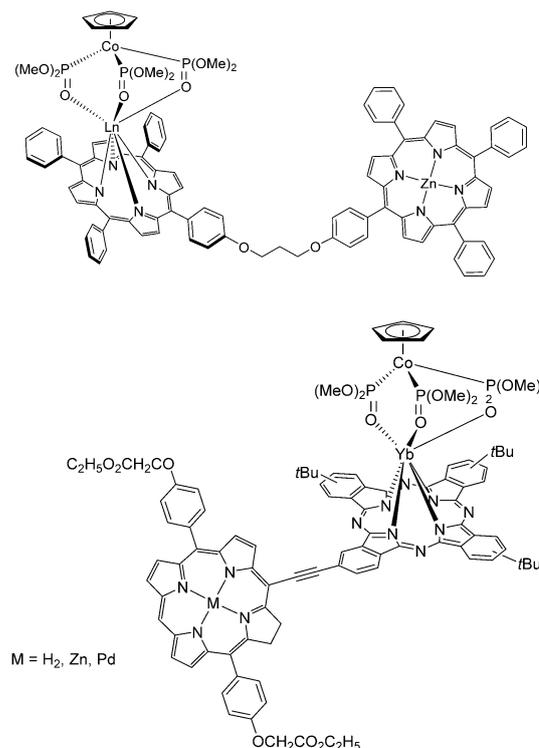


Fig. 16 Heterodimetallic 3d–4f (top) bisporphyrin and (bottom) porphyrin–phthalocyanine lanthanide complexes designed and synthesized by the Wong group. Redrawn from ref. 107 and 108.



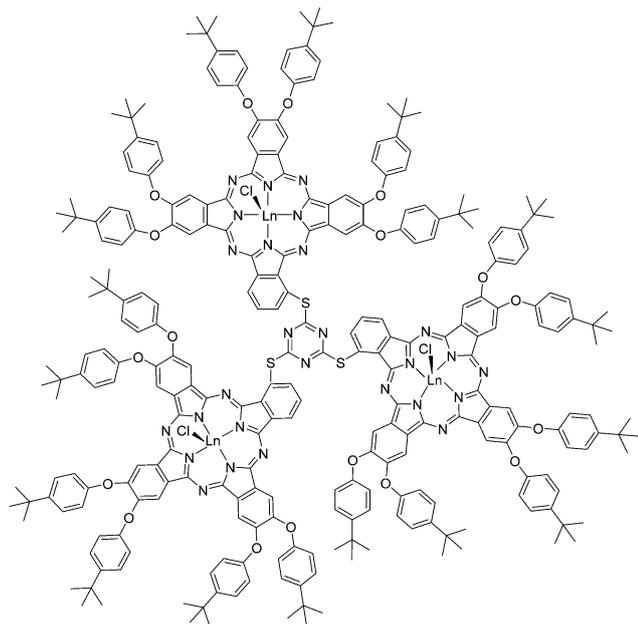


Fig. 17 Dendron-like lanthanide triphthalocyaninate conjugates reported by the Nyokong group. Redrawn from ref. 109.

and Krishnan method,^{110,111} which involves the reaction between a 4'-aldehyde crown ether and pyrrole in propionic acid under reflux conditions for 45 min. The work-up procedures were similar to TPP syntheses and the product was obtained after purification by column chromatography on basic alumina with chloroform–THF–MeOH as the eluent. Lanthanide complexation was achieved by refluxing equimolar $\text{Ln}(\text{acac})_3$ with the free base porphyrin in TCB for 4 h. Alternatively, Tsukube *et al.* suggested another method for the synthesis of a porphyrin/benzo-18-crown-6 ligand (Fig. 2).⁵⁰ They first treated benzo-18-crown-6 with oxalyl chloride, and the intermediate was added to a dichloromethane solution of 5-(3-aminophenyl)-10,15,20-triphenylporphine (*m*- $\text{H}_2\text{A}^{\text{TPP}}$, Scheme 1)—synthesized according to the Lindsey method⁴⁶—and triethylamine. The crude product was washed with water and chromatographed on silica gel to obtain a purple solid

product for complexation with lanthanide tris-2,4-pentanedionate using Horrocks Jr.'s procedures.

2.1.9 Complexation with porphyrins containing external chelating groups. The Semenishyn group was interested in synthesizing bimetallic lanthanide complexes with porphyrins conjugated with aminopolycarboxylic acids such as ethylenediaminetetraacetic acid (EDTA) and diethylenetriaminepentaacetic acid (DTPA). In 2009, they reported the synthesis of mono-*p*-aminotetraphenylporphyrin (H_2ATPP)–DTPA in which equimolar quantities of H_2ATPP and DTPA dianhydrides were mixed in dry DMF at 70 °C for 3 min in the presence of triethylamine. EDTA dianhydride was used for the synthesis of H_2ATPP –EDTA (Fig. 19).^{112,113} Both the porphyrin and the EDTA/DTPA units were complexed with lanthanides. They then reported the synthesis of a series of modified tetraphenylporphyrins and corroles conjugated to EDTA and DTPA using the same procedure (Fig. 20).^{114,115}

The Wong group designed porphyrin with an appended β -diketonate which would help fulfil the Ln^{III} coordination requirements in addition to the porphyrin N atoms (Fig. 21).¹¹⁶ The synthesis started with the condensation of pyrrole, benzaldehyde and *o*-hydroxybenzaldehyde in a molar ratio of 4:1:3 to give 5-(2-hydroxyphenyl)-10,15,20-triphenylporphyrin (*o*-OHTPPH₂). Then, the porphyrin was mixed with 1,4-dibromobutane in dry DMF using K_2CO_3 as the base to give 5-(2-(4-bromobutyl)-phenyl)-10,15,20-triphenylporphyrin which was subsequently reacted with diethyl malonate in dry DMF in the presence of sodium methoxide to give 5-[2-(5,5'-ethoxycarbonyl)pentoxy]phenyl-10,15,20-triphenylporphyrin in high yield. Complexation was achieved with the reaction of a lanthanide amide complex precursor with the porphyrin free base, while purification was accomplished by silica gel column chromatography with chloroform:methanol (v/v = 5:1).

2.1.10 Complexation with functional porphyrins. Another common chelating agent for lanthanide ions is hydroxyquinoline and it is no surprise that the Hosseini and De Cola groups reported a tetrafunctionalized porphyrin free base, as well as a Pd^{II} –porphyrin, with four 8-hydroxyquinolinyl units to trap and sense Nd^{III} (Fig. 22). Although the structural geometry of the

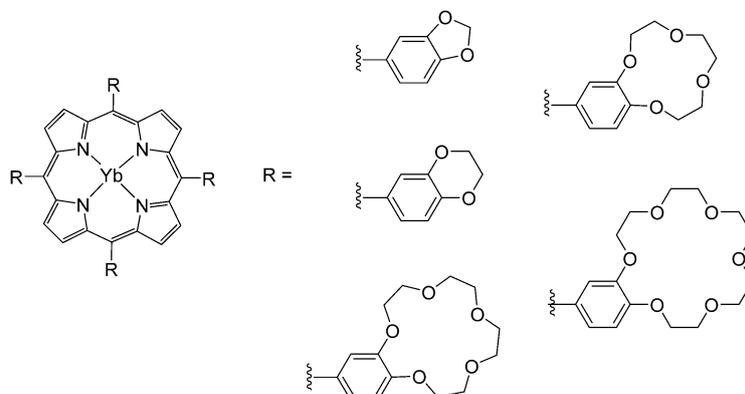


Fig. 18 Examples of lanthanide crown-porphyrinates prepared by Korovin *et al.* Redrawn from ref. 110 and 111.



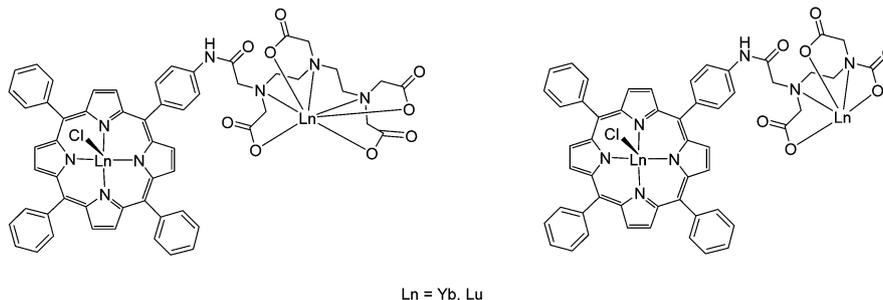


Fig. 19 Structures of bimetallic lanthanide porphyrinates with EDTA and DTPA incorporated into the ligand design. Redrawn from ref. 112.

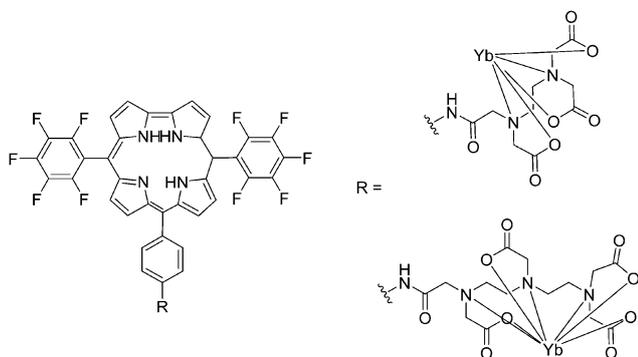


Fig. 20 Corrole ligands conjugated with EDTA and DTPA synthesized with similar procedures as for porphyrin counterparts. Redrawn from ref. 115.

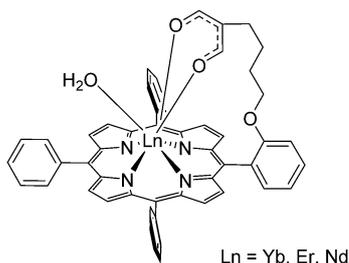


Fig. 21 Monometallic lanthanide porphyrinate complex with a coordinating β -diketonate moiety conjugated to the porphyrin backbone. Redrawn from ref. 116.

over-crowded porphyrin core (as the α_4 -atropisomer) made it impossible for Nd^{III} to be coordinated in the centre, the pendant 8-hydroxyquinoline units could chelate Nd^{III} externally; a heterodinuclear $\text{Pd}^{\text{II}}\text{-Nd}^{\text{III}}$ porphyrinate could even be conspicuously formed by a stepwise metalation protocol.^{117,118} The authors first synthesized a *meso*-tetrakis(*o*-aminophenyl) porphyrin using the Lindsey method.¹¹⁹ This compound was dissolved in CH_2Cl_2 along with 4-(*N,N*-dimethylamino)pyridine (DMAP), 3-[bis(dimethylamino)methylumyl]-3*H*-benzotriazol-1-oxide hexafluorophosphate (HBTU, Scheme 1), and 7-carboxy-8-hydroxyquinoline in CH_2Cl_2 and the mixture was stirred for 4 days under argon and in the dark. Complexation was carried out by adding a solution of tetrabutylammonium hydroxide in

methanol to a solution of the porphyrin in CH_2Cl_2 , followed by degassing and briefly heating to reflux under argon. A solution of $\text{Nd}(\text{acac})_3(\text{H}_2\text{O})_2$ in CH_2Cl_2 was then added dropwise, followed by refluxing under argon for 7 h; the reaction was performed at room temperature in order to avoid atropisomerization. For the heterobimetallic complex, *meso*-tetrakis(*o*-aminophenyl)porphyrin was first refluxed with $\text{Pd}(\text{OAc})_2$ in $\text{CHCl}_3/\text{MeOH}$ for 9 h under argon and in the dark; the solution was then enriched in the α_4 -atropisomeric Pd^{II} -porphyrinate by heating in toluene in the presence of silica and purified by column chromatography. The porphyrin Pd^{II} complex was then coupled with 8-hydroxy-7-quinolinecarboxylic acid and chelated with Nd^{III} in sequence. Purification of the products was achieved by column chromatography on alumina.

In 2011, the Wong group began designing porphyrin complexes appended to an external dye to explore the potential of photophysical properties of ytterbium porphyrinates (Fig. 23). They designed an unsymmetrical porphyrin with three pentafluorophenyl substituents at the *meso* position and attached one *p*-conjugated linker for covalent conjugation with a rhodamine B dye. The rhodamine B moiety was also functionalized with a short PEG chain to improve the overall water-solubility of the complex. The F_{15}TPP “ A_3B ” porphyrin was obtained *via* condensation of pentafluorobenzaldehyde, 4-[2-(trimethylsilyl) ethynyl]benzaldehyde and pyrrole using Lindsey's protocol.^{58,119} Complexation was performed *via* the established route of using a lanthanide amide complex precursor and the addition of a CoP^{Me} anion to cap the Yb^{III} porphyrinate. The trimethylsilyl (TMS) group was subsequently deprotected with tetrabutylammonium fluoride to give an alkynyl terminal group. The latter was subjected to a Sonogashira coupling reaction to produce an extended linker with a hydroxy terminal for conjugation with iodo-PEG-functionalized rhodamine B. The complex demonstrated its potential in a wide spectrum of applications, such as photophysical properties in aqueous solution,⁷⁰ NIR imaging,¹²⁰ photodynamic therapy,¹²¹ and cancer cell imaging.¹²² Furthermore, the synthetic flexibility offered by the linker for conjugation with various moieties allowed the properties of the porphyrinate complex to be modified relatively easily through the linker instead of on the porphyrin skeleton; it has allowed Wong's group to transform the rhodamine B moiety into a BODIPY-conjugate as a Hg^{II} -selective sensor,¹²³ binding unit towards anionic phospholipids,¹²⁴ or peptide-based integrin $\alpha_v\beta_3$ binding unit



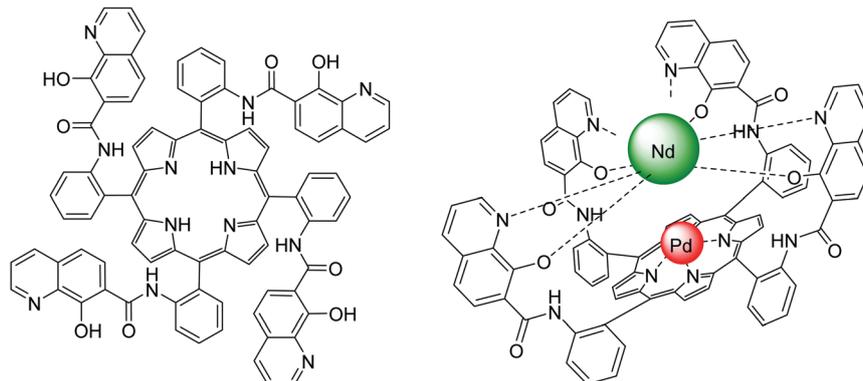


Fig. 22 Structures of (left) the 8-hydroxyquinoline tetrafunctionalized porphyrin ligand and (right) the heterobimetallic Pd^{II}/Nd^{III} porphyrinate; the steric hindrance and competition from 8-hydroxyquinolinyl units resulted in no lanthanide coordination in the porphyrin cavity. Redrawn from ref. 117 and 118.

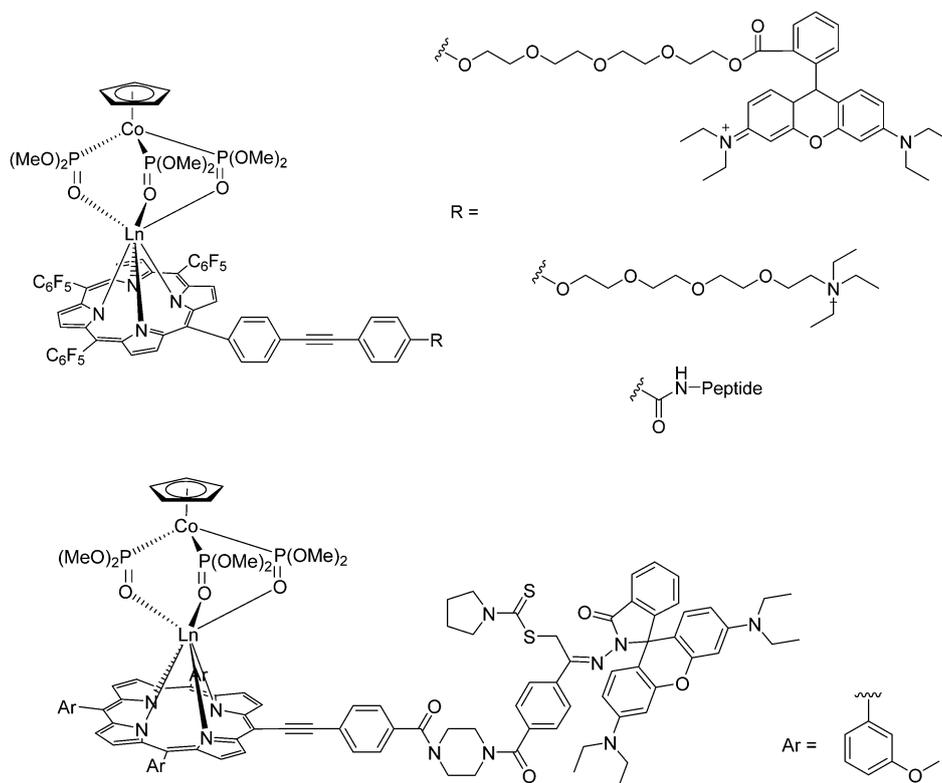


Fig. 23 Structures of lanthanide monoporphyrinates with extended conjugation to functional moieties. Redrawn from ref. 70 and 120–126.

with NIR¹²⁵ and MRI¹²⁶ imaging capabilities. The purification of peptide-conjugated lanthanide–porphyrin systems requires several rounds of HPLC.

2.1.11 Complexation with unsymmetrical porphyrins. In addition to several examples mentioned above, Liu's group devoted much effort in designing and preparing unsymmetrical porphyrins featuring creative properties. Back in 1994, Liu's group presented the synthesis of 5-(4-hydroxyphenyl)-10,15,20-triphenylporphyrin (H₂HPTPP, Scheme 1) by mixing 4-hydroxybenzaldehyde and benzaldehyde.¹²⁷ The corresponding lanthanide complex was obtained by reacting the unsymmetric

porphyrin with Ln(acac)₃ in TCB at 210 °C for 3 h. In 2000, they reported the synthesis of an unsymmetrical *o*-nitrobenzaldehyde Schiff-base porphyrin (Fig. 24, top left).¹²⁸ First, (5-(*p*-nitrophenyl)-10,15,20-triphenyl porphyrin (H₂NOTPP, Scheme 1) was synthesized according to the procedures developed by Hasegawa *et al.*¹²⁹ The nitro group on the unsymmetrical porphyrin was reduced with tin chloride in the presence of hydrochloric acid and further purified by filtration and column chromatography to give the product 5-aminophenyl-10,15,20-triphenyl porphyrin (H₂ATPP, Fig. 19). Subsequent refluxing with *o*-nitrobenzaldehyde in DMF afforded the final



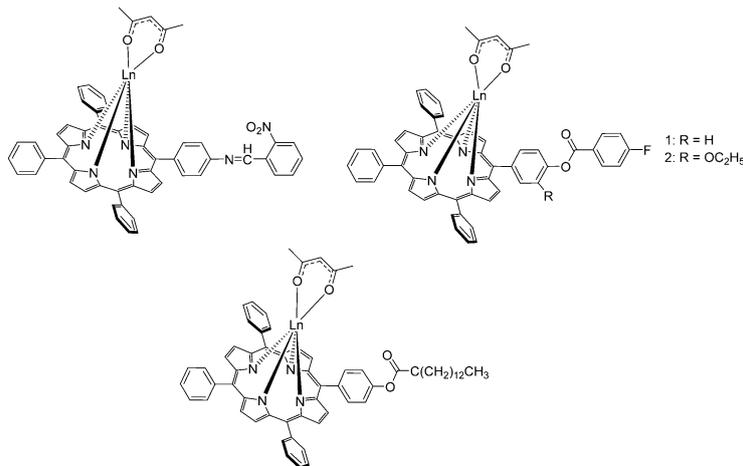


Fig. 24 Examples of unsymmetrical lanthanide porphyrinates. Redrawn from ref. 127 and 130–132.

Schiff-base porphyrin and the complex was obtained in a similar way as mentioned above.

The same authors further modified the hydroxyl group on H₂HPTPP by refluxing with 4-fluorobenzoyl chloride and triethylamine in benzene for 8 h to give 5-[*p*-(4-fluorobenzoyloxy)]-phenyl-10,15,20-triphenyl porphyrin (H₂FBOPTPP, Fig. 24, top right).¹³⁰ They also reacted H₂HPTPP with myristyl chloride under similar experimental conditions to give 5-(4-myristyloxy)phenyl-10,15,20-triphenyl porphyrin (H₂MPTPP, Fig. 24, bottom) and eventually its acac[−] complex.¹³¹ In 2011, Cui *et al.* reported an unsymmetrical 5-[*p*-(4-fluorobenzoyloxy)-*m*-ethyloxy]phenyl-10,15,20-triphenylporphyrin (H₂FBOEPTPP, Fig. 24, top right) referencing to Liu's synthetic procedures for H₂HPTPP as the precursor.¹³² The corresponding lanthanide complex was obtained by heating the free base porphyrin with lanthanide acetylacetonate in molten imidazole under dry nitrogen for 3.5 h, a procedure reported by Srivastava in 1978¹³³ and also used by Che *et al.* in 2013.¹³⁴

2.1.12 Preferential formation of atropisomers. In 1980, Lindsey studied the synthesis of *meso*-tetrakis(*o*-aminophenyl) porphyrin. The purified $\alpha,\alpha,\alpha,\alpha$ -atropisomer (α_4), where α = pendant pointing upward and β = pendant pointing downward, is one of the four atropisomers that represents 12.5% of the statistical mixture at equilibrium and was obtained using Collman's synthetic method.¹³⁵ Despite being experimentally feasible, it is impractical to purify the α_4 -atropisomer by chromatography and repeated re-equilibration-chromatography cycles to maximize the yield. Lindsey therefore rationalized that a suitable solvent would shift the equilibrium to maximize the conversion of atropisomers. Ultimately, using benzene as the solvent and at the same time utilizing the α_4 -atropisomer's highest affinity for silica gel, a yield in the range of 60–70% was achieved. This technique to increase the yield of α_4 -isomers was also used by Hosseini's group¹¹⁷ and De Cola's group¹¹⁸ in the purification of the α_4 -atropisomer of *meso*-tetrakis-8-hydroxyquinolinylamide porphyrin, as described above.

2.2 Complexation with N-confused porphyrins

Compared with normal porphyrins, N-confused porphyrins or “NC-P” have been discovered much more recently. The first NC-P was synthesized in 1994 as a new isomer of tetraphenylporphyrin by two different research groups, and different synthesis mechanisms were proposed.^{136,137} Looking at the structure of this macrocycle, one of the “confused” pyrrole rings is linked through its α - β' axis rather than a common α - α one.¹³⁸ Based on the traditional acid-catalysed condensation for preparing tetraphenylporphyrin, N-confused ones were synthesized with propionic acid, *t*-BuOH/CH₂Cl₂ (1 : 1), and concentrated HBr.¹³⁶ Researchers kept investing efforts into exploring more derivatives of N-confused porphyrins and metalloporphyrins with novel synthetic methods during the past few decades,^{139–142} including the introduction of “neo-confused porphyrins” (Fig. 25).¹⁴³ Although some comprehensive studies on the preparation of NC-Ps and *meso*-substituted NC-Ps have been published,^{144,145} there are only a few examples for metalation of NC-P or its derivatives, and even less involving lanthanide-based complexes because of their lability. In 2005, Wong *et al.* reported the first synthesis of lanthanide NC-P complexes¹⁴⁶ using the tripodal anion CoP^{Et} in view of its ability to stabilize labile trivalent lanthanide porphyrinates.^{51,69} The facile preparation of this first lanthanide-based N-confused-porphyrin complex consisted in adding an excess of Ln[(N(SiMe₃)₂)₃·[LiCl(THF)₃]_x (Ln = Yb, Er) to N-confused tetraphenylporphyrin in refluxing toluene, followed by addition of an excess of NaCoP^{Et} at room temperature. The crude products were purified by column chromatography, and the resulting air-stable green crystals were characterized as the final product in 75% yield. The researchers also found that no complex could be obtained if there was no addition of NaCoP^{Et} to stabilize the intermediates. Unfortunately, there is little research on lanthanide complexes with N-confused porphyrins because the investigations on Ln^{III}-NC-P did not show improved spectroscopic performance over regular porphyrinates.⁶⁷



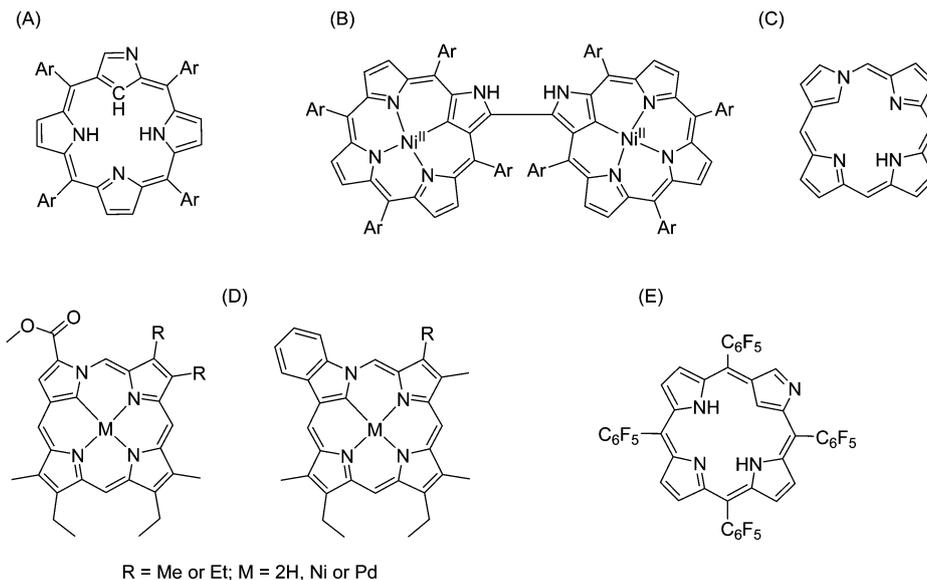


Fig. 25 Examples of N-confused porphyrins. (A) N-Confused tetraphenylporphyrin;¹³⁶ (B) N-confused porphyrin dimer;¹³⁹ (C) neo-confused porphyrin;¹⁴³ (D) metallo-neo-confused porphyrinates;¹⁴¹ (E) pentafluorophenyl-substituted N-confused porphyrins.¹⁴²

2.3 Complexation with expanded porphyrins (texaphyrins)

Expanded porphyrins, as referred to by their name, are a group of porphyrinogen-like macrocycles containing more than four pyrrole units and therefore their cavities are larger than in regular porphyrins (Fig. 26). We note that some systems are sometimes considered as expanded porphyrins: although including larger polypyrrolic macrocycles, they neither have the typical carbon bridges as porphyrins nor show overall aromaticity.¹⁴⁷ In principle, sapphyrin, which

contains five pyrrole units directly linked by four methine groups, is considered being the first expanded porphyrin.¹⁴⁸ Unfortunately, Bauer *et al.* only succeeded in isolating Zn^{II}/Co^{II} complexes, and there was no report describing lanthanide-based sapphyrin complexes until the 1990s.

The core ring of texaphyrin contains five nitrogen donor atoms and was reported by Sessler and co-workers in 1987.¹⁴⁹ The first lanthanide-metalation of texaphyrin was described

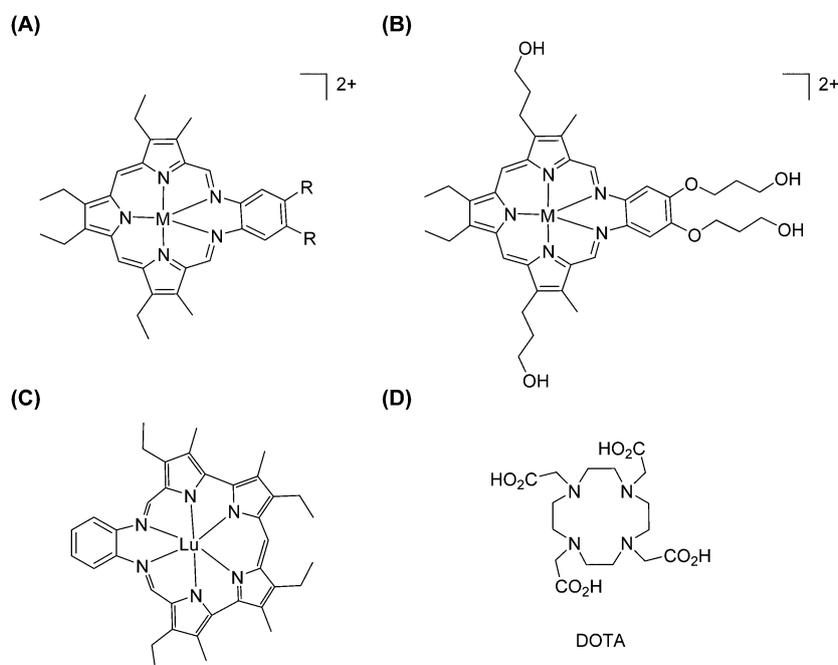


Fig. 26 Examples of expanded porphyrinates: (A and B) Ln^{III} texaphyrinates prepared by Sessler *et al.* (M = H or Ln, except Pm; R = CH₃, OCH₃ or O(CH₂)₃OH); (C) Lu^{III}-grandephyrin. Redrawn from ref. 150 and 155. The structure of DOTA is given for comparison.



five years later by the same research group.¹⁵⁰ They prepared this new class of tripyrrane-containing macrocycles in 44% yield by direct acid-catalysed condensation between diformyl-tripyrane and *o*-phenylenediamine in the presence of concentrated HCl. It is worth noting that a metal template can facilitate this condensation because the addition of Pb(SCN)₂ or UO₂Cl₂ improved the overall yields to 69% and 61%, respectively. In these three preparation methods, the pure products can be crystallized from dichloromethane, followed by washing with hexane.

Gadolinium(III)-based chelates are known since the 1980s for their clinical applications in imaging while coordinated to polyaminocarboxylate ligands, including DTPA or 1,4,7,10-tetraazacyclododecane-1,4,7,10-tetraacetic acid (DOTA, Fig. 26), for instance. Therefore, Sessler and co-workers tried to put trivalent lanthanide ions into alkyl-substituted texaphyrins (Fig. 26).¹⁵⁰ A comprehensive study on a series of lanthanides embedded into expanded porphyrins has been performed: lanthanide salts and proton sponge (*N,N'',N''',N''''*-tetramethyl-1,8-diaminonaphthalene) were reacted with texaphyrin in a chloroform/methanol mixed solvent at room temperature.¹⁵¹ Pure complexes were difficult to obtain in reasonable yields by direct chromatography on either silica gel or lipophilic Sephadex. A better optimized method made use of oxygenated methanol as the solvent into which a mixture of Ln(NO₃)₃·*x*H₂O and triethylamine was heated. The yields increased from 25% to 55–70% for heavier lanthanide texaphyrin complexes (Nd–Lu, except Pm), while it remains around 30% for lighter ones (La–Pr). A water-soluble texaphyrin was also specifically designed for complexation with Gd^{III} for potential application as a MRI contrast agent.¹⁵² The diamine portion of the macrocycle—a source of the fourth and fifth *N* atoms—was synthesized by nitration of *o*-bis-((3-hydroxypropyl)oxy)benzene to give a dinitro diacid intermediate, which was then reduced with borane–THF to give terminal hydroxy groups and further reduced. Then, condensation between the diamine and 2,5-bis((3-ethyl-5-formyl-4-methylpyrrol-2-yl)methyl)-3,4-diethylpyrrole yielded the targeted texaphyrin. However, the poor water-solubility of the complexes prompted modifications into a tetrahydroxylated texaphyrin. The presence of the four peripheral hydroxyl groups was vital to increasing the water-solubility of the texaphyrin complexes. Two lanthanide complexes with texaphyrin have been tested for medical applications: a Gd^{III} complex, as a radiation sensitizer in radiotherapy, and a Lu^{III} complex generating singlet oxygen for photodynamic treatment of cancer. Both complexes localize preferentially in tumour cells. For further information, the reader can refer to a recent review which covers the field comprehensively.¹⁵³

Even though there are many other types of expanded porphyrins,¹⁵³ only grandephyrin¹⁵⁴ showed successful complexation with lutetium: bis(trimethylsilyl)amide of Lu^{III} was added into the free-base macrocycle in THF solution and in the absence of water and oxygen. Unfortunately, the resulting Lu^{III}–grandephyrin adduct was unstable and slowly decomposed upon exposure to air and moisture (Fig. 26).¹⁵⁵

2.4 Complexation as double, triple and multiple deckers

Ever since the advent of metalloporphyrins, attention has been drawn to their stacked arrangements. The conventional construction of multiple-decker metalloporphyrins is either through the coordination of a metal ion sandwiched between two macrocycles or with the help of bridging ligands (see Fig. 1). In the case of lanthanides, most of the multi-sandwiched complexes reported are double or triple deckers and some of the provided ligands are shown in Fig. 27.

Weiss *et al.* reported lanthanide-based double/triple-decker arrangements without bridging ligands, for instance, Ce^{IV} bis(octaethylporphyrinate) [Ce(OEP)₂] and dicerium(III) tris(octaethylporphyrinate) [Ce₂(OEP)₃], in the 1980s.¹⁸ The direct preparation of these complexes was to reflux Ce(acac)₃·3H₂O with H₂(OEP) in TCB for 20 h. [Ce(OEP)₂], [Ce₂(OEP)₃], and [Ce₂(OEP)₃]-2TCB were isolated by chromatography on an alumina column and eluted with toluene and methanol. The authors mentioned that two intermediates [Ce(OEP)(acac)] and [CeH(OEP)₂] were formed, and they hypothesized that two pathways were responsible for the production of the final products: (i) condensation, [Ce(OEP)acac] losing H(acac), and (ii) autooxidation, [(CeH(OEP)₂)] being oxidized into [Ce(OEP)₂]. In 1992, Jiang *et al.*¹⁵⁶ reported the synthesis of another series of lanthanide–porphyrin sandwich complexes: bis(tetrapyrrolylporphyrinato)cerium(IV), [Ce(tptp)₂], and bis(tetramethylpyridylporphyrinato)cerium(IV), [Ce(tmpyp)₂]. They used Li₂T-PyP and Li₂TMpyP obtained by the reaction between porphyrins and *n*-butyllithium to improve reactivity and solubility. In the following years, although other porphyrin/phthalocyanine-based homoleptic/heteroleptic complexes have been prepared,^{157,158} Chabach *et al.* were the pioneers in studying trivalent central metal ions in this context. They reported porphyrin–phthalocyanine sandwich-type complexes (Ln = La, Pr, Nd, Eu, Gd, Er, Lu, and Y),¹⁵⁹ as well as mixed-metal triple-decker complexes with the porphyrin–phthalocyanine–porphyrin system.¹⁶⁰ The smaller lanthanide ions (Er, Lu) were found to form double deckers with phthalocyanines more easily than the larger counterparts;¹⁵⁹ their acetylacetonate salts were reacted with di-lithium phthalocyanine (Li₂Pc) in boiling TCB for 3 h and then tetraphenylporphyrin was added. By contrast, the larger lanthanide ions (La–Gd) were heated first with H₂TTP in TCB for 4 h and then with Li₂Pc, since they coordinated more readily to porphyrin to form more stable sandwich complexes. The yields of neutral heteroleptic complexes with smaller lanthanide ions were around 85%, while those for the larger ions were in the range of 36–56%. All double deckers were neutral, except the one with La^{III} which was first isolated in its protonated form, requiring further oxidation by phenoxathiinylium hexachloroantimonate into the neutral form. The first preparation of heteronuclear triple-decker complexes was achieved by the same research group: they reacted the metal acetylacetonate with metal-free porphyrin in refluxing TCB and then with the as-synthesized heteroleptic sandwich complexes. However, during the preparation of homonuclear porphyrin/phthalocyanine-based homoleptic/heteroleptic triple-decker complexes, Ng *et al.*¹⁶¹ mentioned



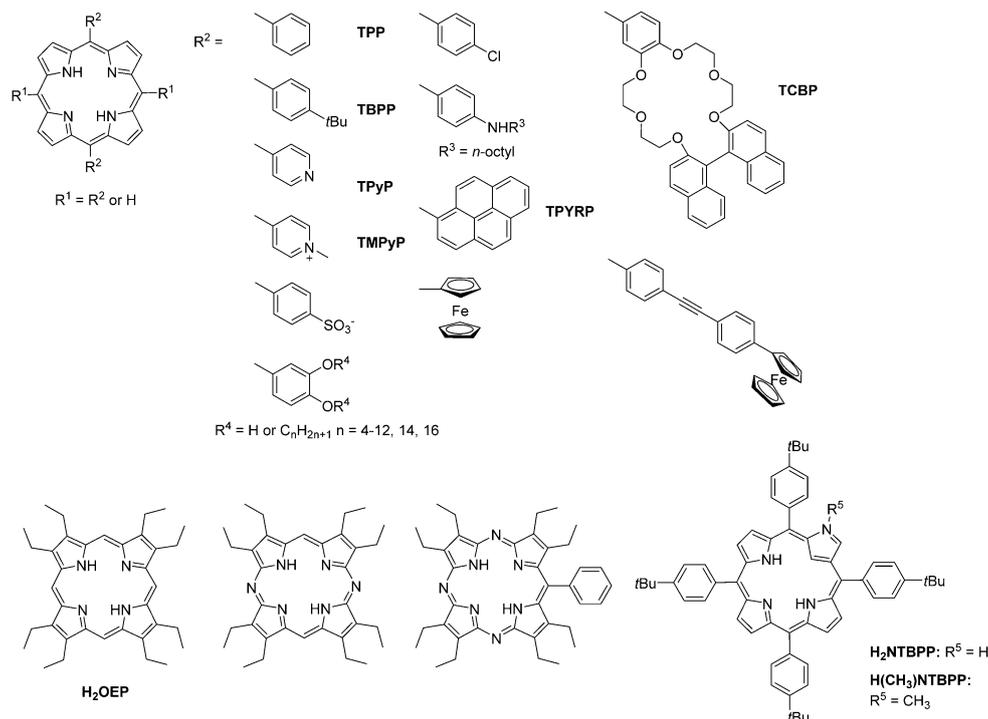


Fig. 27 Examples of functionalized porphyrins (top), of modification of H₂OEP (bottom left and middle) and of N-confused porphyrins (bottom right) used in constructing homoleptic/heteroleptic multiple-decker lanthanide complexes.

that [Ln(Pc)TPP] (Ln = Er, Lu, and Y) were the sole products, and triple deckers can only be obtained if 5,10,15,20-tetrakis(4-methoxyphenyl)porphyrin (H₂TMPP) was used instead of H₂TPP, yielding [Ln₂(Pc)₂(TMPP)]. Many other porphyrin derivatives were also tested using similar synthetic procedures. For instance, the Shinkai group¹⁶² studied Ce^{IV}-based double-deckers with tetrakis(4-pyridyl)porphyrin derivatives; the Ohta group¹⁶³ investigated Ce^{IV}-based triple-decker complexes containing tetraalkoxylated terphenyl groups at the 5,15-positions of their parent porphyrin; the Lindsey group¹⁶⁴ prepared two dyads by applying Sonogashira and Glaser coupling reactions on Eu^{III} triple-deckers.

The usage of DBU in the preparation of sandwich-like lanthanide(III) complexes was reported in 1997.¹⁶⁵ However, Ng's group only treated the corresponding phthalonitriles with Ln(acac)₃·nH₂O and DBU in amyl alcohol and obtained bis(phthalocyaninato)lanthanide(III) complexes, not porphyrinato complexes. The same group reported the one-pot preparation of [Ln(Nc)(TBPor)] complexes (Ln = La, Pr, Nd, Sm, Eu, Gd, Tb, Dy, Y, Ho, Er, Tm; Nc = 2,3-naphthalocyanine; TBPor = 5,10,15,20-tetrakis(4-*tert*-butylphenyl)porphyrin, Scheme 1) by using DBU and *n*-octanol,¹⁶⁶ and avoided the prior generation of the half-sandwich complexes [Ln(TBPor)(acac)] as in the conventional methods. Although the products [Eu[Nc(*t*-Bu)₄]₂] and [Eu[Nc(SC₁₂H₂₅)₈]₂] were unambiguously characterized by various methods, they contained traces of impurities that could not be removed by gel-permeation chromatography and recrystallization. They also further synthesized the first Nc-containing heteroleptic triple-decker complexes [Ln₂(Nc)(OEP)₂] (Ln = Nd,

Eu) based on similar procedures.¹⁶⁷ Again, the synthetic yields varied depending on the size of the lanthanide ion: complexes with smaller metal centres have increasing steric compression of the two macrocyclic ligands. Similar observations and explanations were also reported in previous researches.^{168,169} Microwave irradiation was tested to accelerate the reaction: Liu *et al.*¹⁷⁰ provided a successful example in forming the [Lu(TBPor)Pc] double-decker in which all ligands and complexes were prepared in a microwave oven (240–560 W, 5–10 min) and the purification was performed *via* conventional column chromatography. Microwave-assisted synthesis is still a commonly used method: Jin *et al.* used microwave irradiation to overcome the low reactivity resulting from the steric hindrance from bulky peripheral groups of the lanthanide porphyrin-bis(phthalocyanine) triple-deckers.¹⁷¹

In 2014, Dumoulin's group studied and reported an expeditious one-step method to secure functionalized heteroleptic "A-B-type" lanthanide-phthalocyanine double-decker complexes *via* an optimized statistical method by changing the ratio of two different phthalonitriles (A = with hexylsulfanyl substitution; B = with long alkyl chains bearing polar OH, OMs and N₃ tails).¹⁷²

Once the standard procedures for preparing Ln^{III/IV}-based multiple-deckers had been well established, researchers started to pay more attention to modifying the sidechains of the macrocycles and investigating their potential applications.^{173–176} Ohta *et al.*^{163,177} reported a series of phenyl-substituted porphyrins coordinating with Ce^{IV} and forming multiple deckers with long alkoxy sidechains; they emphasized that the chain length did not



affect the reactivity. However, a longer reaction time gave improved yields, and the complexation time was usually longer when reacting with tetra-phenyl porphyrins than with bi-phenyl porphyrins because of steric hindrance. Also, as mentioned previously,¹⁶⁴ Lindsey's group continued exploring more lanthanide triple-deckers including *S*-acetylthiomethyl groups¹⁷⁸ or triallyl tripods¹⁷⁹ incorporated on the porphyrinic moiety, while complexation procedures were still operated classically. It is worth noting that these examples^{164,178,180} proved that a coupling reaction could occur on the substituted phenyl groups of porphyrins without disrupting the as-formed double-decker structures. Besides, similar dyads (or triads) could also be formed in good yields by direct cross-condensation through an ester linkage between the metal-free 5-(4-acylchloride-phenyl)-10,15,20-tris(4-*tert*-butylphenyl)porphyrin and protonated Y^{III} double-deckers (Fig. 28).¹⁸¹

In order to explore more functionalities, other series of porphyrin derivatives were designed with sophisticated substituents at the interconnecting α -carbon. For example, porphyrins with four pyrenyl groups in the *meso*-positions were used to form Eu^{III} multiple-decker complexes.¹⁸² Heteroleptic porphyrinate [Ln₂(Pc)₂(TCBP)] (TCBP = *meso*-tetrakis[3,4-(11,12,13,14-di(1',2'-naphtho)-1,4,7,10,15,18-hexaoxacycloeicosa-2,11,13-triene)-phenyl]porphyrin, Fig. 27) featuring nonlinear optical activity were also prepared,¹⁸³ where the α -carbon is derivatised with crown ether substituents. In heteroleptic

porphyrin-containing multiple-decker complexes, it is common that one macrocycle is a crown-phthalocyanine instead of a porphyrin derivatised with crown ethers.^{184,185} As an alternative to coupling reactions for incorporating ferrocene onto a porphyrin ring through phenyl-ethynyl-phenyl links,¹⁸⁰ ferrocenyl units can be directly attached to the α -carbon during the preparation of metal-free porphyrins (Fig. 27),¹⁸⁶ and previously described experimental conditions can be applied for lanthanide complexation.

Finally, efforts have been put in the synthesis and characterization of homoleptic 5,15-diazaporphyrinato lanthanide sandwich complexes [Ln₂DAB₃] (DAB = 2,8,12,18-tetraethyl-3,7,13,17-tetramethyl-5,15-diazaporphyrinate, Scheme 1; Ln = Ce, Eu).¹⁸⁷ The metal-free diazaporphyrin was simply reacted with [Ln(acac)₃·H₂O] in refluxing TCB, yielding the desired triple-decker in a one-pot synthesis. Other complexes with diazaporphyrin were isolated by Pushkarev *et al.*: mixing of 27-phenyl-29*H*,31*H*-tetrabenzob[*b,g,l,q*][5,10,15]-triazaporphyrin (H₂^{Ph}TBTAP, Scheme 1) with Ln(OAc)₃ or Ln(acac)₃ to afford the monoporphyrinate and the homoleptic double-deckers [Ln(^{Ph}TBTAP)Ac] and [Ln(^{Ph}TBTAP)₂], respectively (Ln = Eu, Lu). Heteroleptic double-decker compounds [Ln(^{Ph}TBTAP)Pc] were obtained upon the interaction of H₂^{Ph}TBTAP with the corresponding monoporphyrinates.¹⁸⁸ Unexpected formation of partially and completely de-phenylated side products was observed for both the monoporphyrinates and the double

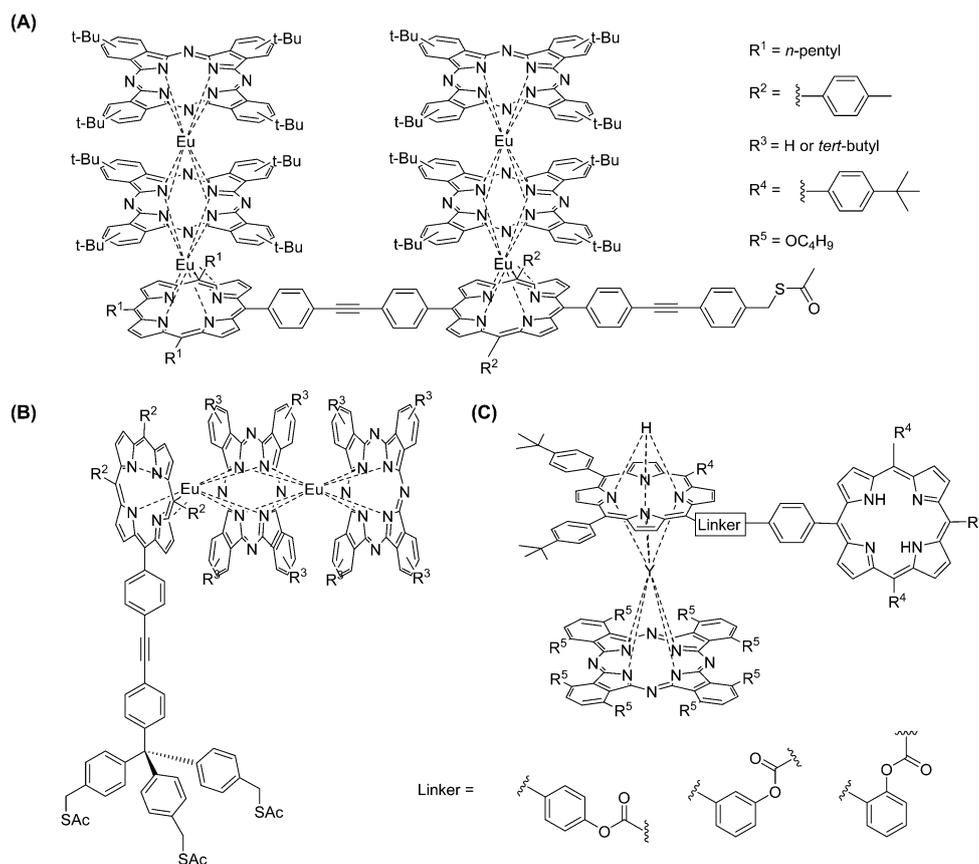


Fig. 28 Examples of multiple-decker lanthanide porphyrinates with additional covalent attachments. Redrawn from ref. 178, 179 and 181.



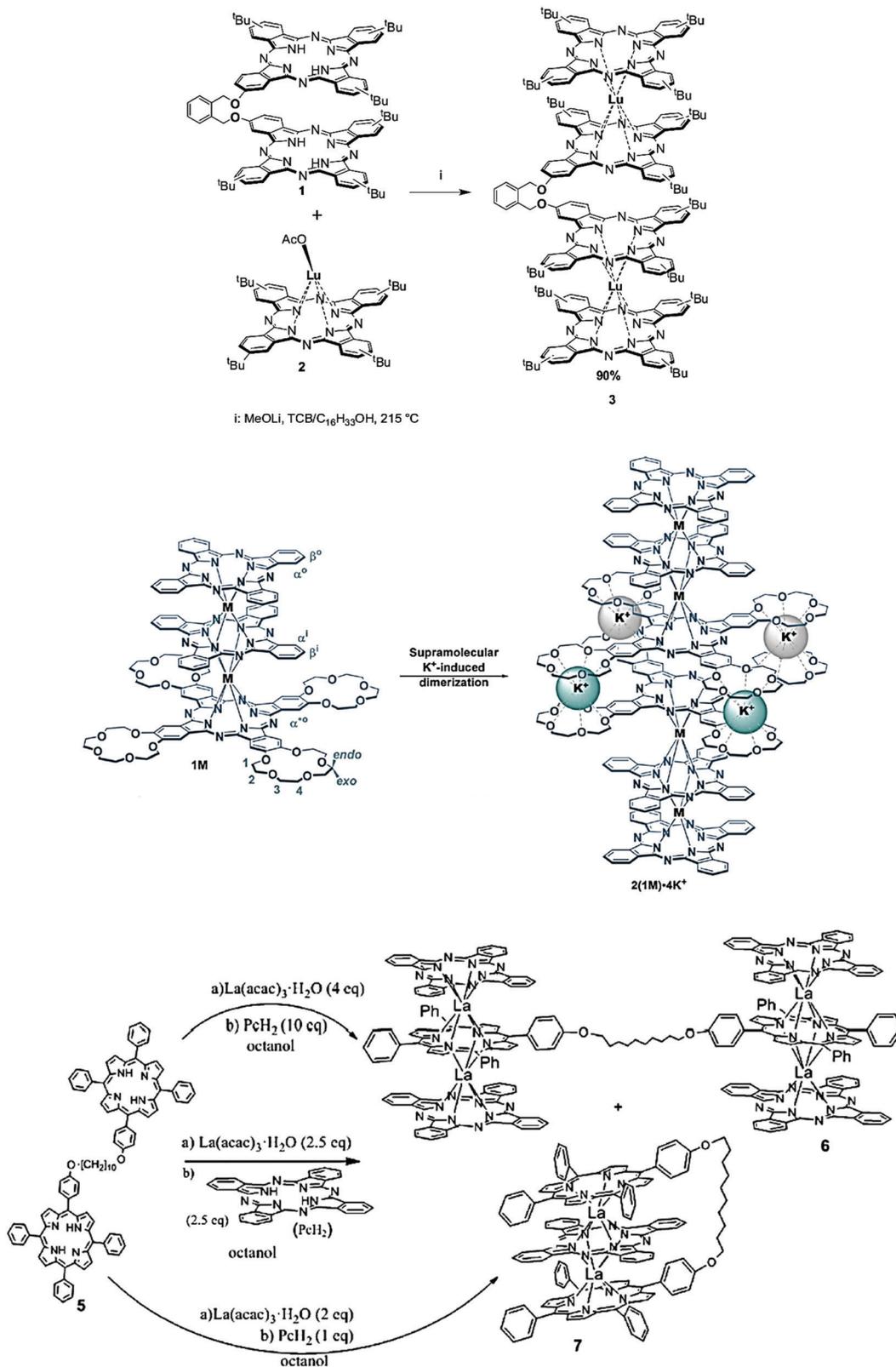


Fig. 29 Synthetic schemes for (top) clamshell-type quadruple-decker dinuclear lanthanide phthalocyaninates. Reprinted with permission from ref. 25, © 2018 Elsevier B.V.; (middle) self-assembled heteroleptic lanthanide crown-phthalocyaninates induced by potassium. Reprinted with permission from ref. 193, © 2020 The American Chemical Society; and (bottom) bigger clamshell-type heteroleptic lanthanide porphyrin-phthalocyanine-porphyrin triple-decker. Reprinted with permission from ref. 194, copyright (2020) Wiley-VCH Verlag GmbH & Co. KGaA.



deckers. A triple-decker $[\text{Eu}_2(\text{P}^{\text{h}}\text{TBTAP})_3]$ was also isolated with a very low yield of 4%.

N-Confused porphyrins were similarly tested for synthesizing lanthanide double-deckers. The first example came from the reaction between H_2NTBPP (N-confused 5,10,15,20-tetrakis[(4-*tert*-butyl)phenyl]porphyrin, Fig. 27) or its N2-position methylated analogue $\text{H}(\text{CH}_3)\text{NTBPP}$ (Fig. 27) and half-sandwich complexes $[\text{Ln}^{\text{III}}(\text{Pc})(\text{acac})]$.¹⁸⁹ With more comprehensive studies on lanthanide-porphyrin multiple-decker complexes progressing, it is also promising to modify the pyrrole units. Birin *et al.*¹⁹⁰ demonstrated a new family of multiple-decker complexes bearing heterocycle-appended porphyrins.

Tetra-15-crown-5-phthalocyanines afforded a wide range of lanthanide mono-, double- and triple-decker homoleptic/heteroleptic complexes and pose long-standing synthetic challenges. Tsivadze *et al.* systematically screened different reaction conditions (*e.g.* solvent, reagent ratio, concentration and refluxing duration) and discovered that (i) monophthalocyaninato lanthanide complexes could be synthesized quantitatively in *o*-dichlorobenzene for some of the smaller lanthanides (*i.e.*, Sm, Eu, Gd, Tb, Yb, Lu), the organic base used, like DBU, being then always coordinated as the axial ligand; (ii) 1-chloronaphthalene was the best solvent to obtain the double/triple-sandwich complexes (except for La and Nd).¹⁹¹ The remaining synthetic enigma with Er was finally resolved by changing the solvent and temperature, as well as *via* either direct metalation or metal-templated strategies.¹⁹²

For more structurally novel multiple phthalocyaninato lanthanide complexes, Tomilova *et al.* documented the direct preparation of clamshell-type quadruple-decker dinuclear lanthanide phthalocyaninates by reacting their bisphthalocyanine-type clamshell ligand and Lu^{III} monophthalocyaninate at 215 °C, in the mixed $\text{TCB}/\text{C}_{16}\text{H}_{33}\text{OH}$ solvent and in the presence of the LiOMe base (Fig. 29, top).²⁵ In 2020, Martynov *et al.* reported potassium-induced dimerization of heteroleptic triple-decker crown-phthalocyaninates $[(15\text{C}5)_2\text{Pc}]\text{Ln}(\text{Pc})\text{Ln}(\text{Pc})$ ($\text{Ln} = \text{Y}, \text{Tb}$; Fig. 29, middle).¹⁹³ Cammidge's group disclosed a facile and controlled synthetic protocol for bridged and clamshell-type heteroleptic lanthanide porphyrin-phthalocyanine-porphyrin triple-decker assemblies, where the two porphyrins are conjugated with a flexible carbon-chain spacer (Fig. 29, bottom).¹⁹⁴ Generally speaking, column chromatography is a common technique for purification of multiple-decker metaloporphyrins, and silica gel columns are suitable to remove unreacted ligands. However, repeated gel permeation chromatography is often applied afterward for better purification.

2.5 Complexation as oligomers and polymers

The large coordination numbers of lanthanide ions and the diversity of porphyrin macrocycles provide researchers with the chance of exploring unusual structures of lanthanide-containing materials. In addition to several classes of materials mentioned in the previous sections, the focus here will be on preparing polynuclear Ln-Por-based molecules, from dimeric ones to framework polymers.

Years after the emerging lanthanide-based double-decker complexes, bridged single-decker complexes with two lanthanide cores were introduced. Jubb and Gambarotta¹⁹⁵ used alkyl-derivatized porphyrinogen for nitrogen fixation. The encapsulation of dinitrogen was achieved by firstly mixing $[\text{SmCl}_3(\text{THF})_3]$ and $\text{Li}_4\text{OEPg}(\text{THF})_4$ (OEPg = octaethylporphyrinogen) in THF under an argon atmosphere and then reducing the product with metallic lithium, resulting in the precipitation of green crystals. The latter were recrystallized under a nitrogen atmosphere, affording orange-red crystals with formula $[(\text{THF}_2\text{Li}(\text{OEPG})\text{Sm}^{\text{III}})]_2(\text{N}_2\text{Li}_4)$. Magnetic measurements confirmed the presence of Sm^{III} , while the green crystals contained Sm^{II} ; the crystal structure determination showed that the N-N distance is very long and compatible with a single bond, *i.e.* the last reaction step corresponds to a formal reduction of N_2 into N_2^{4-} . Campazzi *et al.* later reported two other *meso*-octaethylporphyrinogen-dinitrogen complexes containing Pr^{III} and Nd^{III} , respectively,¹⁹⁶ where sodium was used instead of lithium to avoid possible reduction of N_2 (Fig. 30A).

The same half-sandwich compounds $[\{(\eta^5\text{-}\eta^1\text{-}\eta^5\text{-}\eta^1\text{-Et}_8\text{N}_4)\text{Ln}\}\text{Na}(\text{THF})_2]$ ($\text{Ln} = \text{Pr}, \text{Nd}$) were used to establish other types of bridging (Fig. 30B).¹⁹⁷ When the reaction was carried out with 18-crown-6, fixation of ethylene or acetylene was accomplished and the lanthanide cores were bridged by $[\text{C}_2\text{H}_4]^{2-}$ or $[\text{C}_2]^{2-}$ anions. Wong *et al.*²² prepared other types of dinuclear Ln^{III} porphyrinates having hydroxide bridging groups (Fig. 30C): $[\text{Yb}^{\text{III}}(\text{NPh}_2)_3 \cdot x[\text{LiCl}(\text{THF})_3]]$ was generated from the mixture of YbCl_3 and LiNPh_2 in THF and then refluxed with H_2TTP or H_2TMPP in bis(2-methoxyethyl) ether, resulting in dinuclear bis(porphyrin) complexes $\{[\text{Yb}^{\text{III}}(\text{TMPP})(\mu\text{-OH})]_2\}$ and $\{\text{Yb}^{\text{III}}(\text{TTP})_2(\mu\text{-OH})(\mu\text{-OCH}_2\text{CH}_2\text{OCH}_3)\}$. The purification steps were, in sequence, simple filtration, washing with ether, extraction with toluene, and another filtration. The final products were obtained as purple crystals from concentrated solutions. Although another starting material, $\text{Ln}[\text{N}(\text{SiMe}_3)_2]_3 \cdot x[\text{LiCl}(\text{THF})_3]$, could react with the same metal-free porphyrins and give the cationic Ln^{III} monoporphyrinates in high yields,⁴⁷ it could not form the bis(porphyrin) complexes because of the electric charges of the different intermediates. Comprehensive studies on the dimerization of these lanthanide monoporphyrinates, $[\text{Yb}(\text{Por})(\text{H}_2\text{O})_3]\text{Cl}$ ($\text{Por} = \text{TPP}^{2-}, \text{TTP}^{2-}, \text{and TMPP}^{2-}$), were performed by the same group, and different dimer bridges were formed under different conditions.¹⁹⁸ TCB is not only widely applied in the preparation of multi-decker complexes, but it is also unexpectedly helps in the formation of the acetate-bridged dimer. He *et al.* documented a facile preparation method for the bridged dinuclear porphyrinates, in which ytterbium(III) acetylacetonate hydrate reacted with free base porphyrins in refluxing TCB (Fig. 30D).⁷⁵ Besides internal bridging between two single-porphyrin complexes, polynuclear complexes were also produced by the direct linking between porphyrin rings through coupling reactions.^{178,179,199}

Metal-organic frameworks (MOFs) as one important subclass of coordination polymers²⁰⁰ are also drawing much attention in the fields of lanthanide-based materials. Thanks to the large size and high coordination numbers of



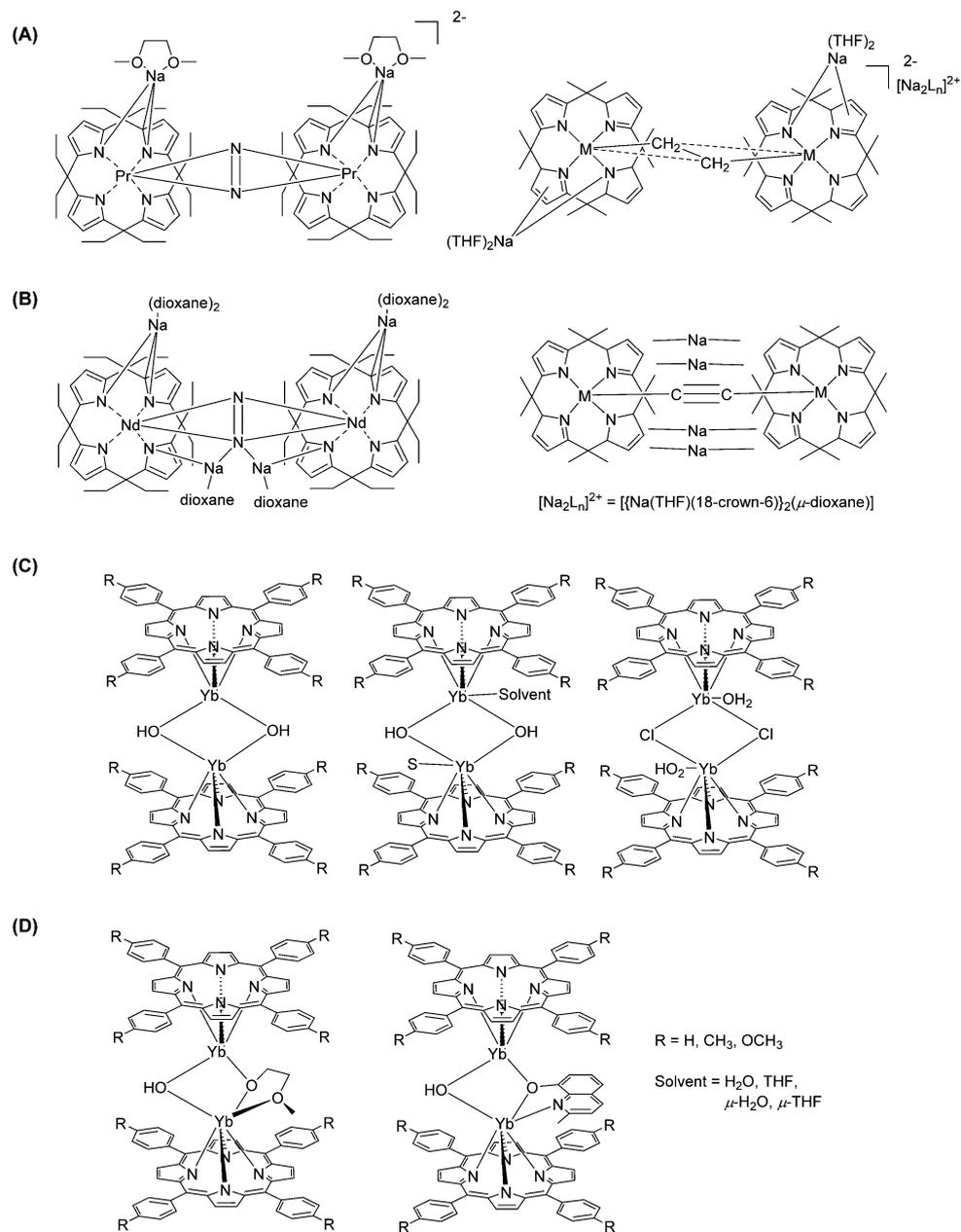


Fig. 30 Examples of bridged lanthanide–porphyrin complexes. Redrawn from ref. 196 (A), ref. 197 (B), ref. 22 (C), and ref. 198 (D).

lanthanides, some researchers prefer using them rather than transition metals in building MOFs despite their lack of directionality. Porphyrins are common building blocks because of their tetradentate functionality and square-planar geometry. Goldberg's group had been putting efforts for years in preparing porphyrin frameworks assembled with the aid of lanthanide-bridging ions: the first example of hybrid architectures was obtained by hydrothermal techniques using free-base tetra(4-carboxyphenyl)porphyrin reacting with $\text{Ln}_2(\text{oxalate})_3$ hydrated salts ($\text{Ln} = \text{Dy}, \text{Nd}$) (Fig. 31A and B).²⁰¹ The second group of MOFs containing *meso*-tetra(carboxyphenyl)porphyrin species and polynuclear acetate/oxalate-bridged clusters was prepared by similar procedures where a dilute NaOH solution

was used to enhance deprotonation of the carboxylic groups and a dilute HCl solution was provided to increase the reactivity of lanthanide salts (Fig. 31C and D).²⁰² Later, another series of hybrid assemblies were synthesized, which were composed of *meso*-tetrapyridylporphyrin and various lanthanide complexes.²⁰³ Carbon dioxide fixation has been realized with a 2D bi-layered MOF consisting of 5,10,15,20-tetrakis(4-carboxylatophenyl)porphyrinato-zinc (ZnTCPP) linkers and $[\text{Ln}_2\text{Na}_3(\text{NO}_3)(\text{H}_2\text{O})_3]^{8+}$ connecting clusters.²⁰⁴ Purification and isolation of the above-mentioned lanthanide–porphyrin polymers were achieved by crystallization where the reaction mixtures were heated and cooled down very slowly. Because of the different compositions of lanthanide–porphyrin MOFs,



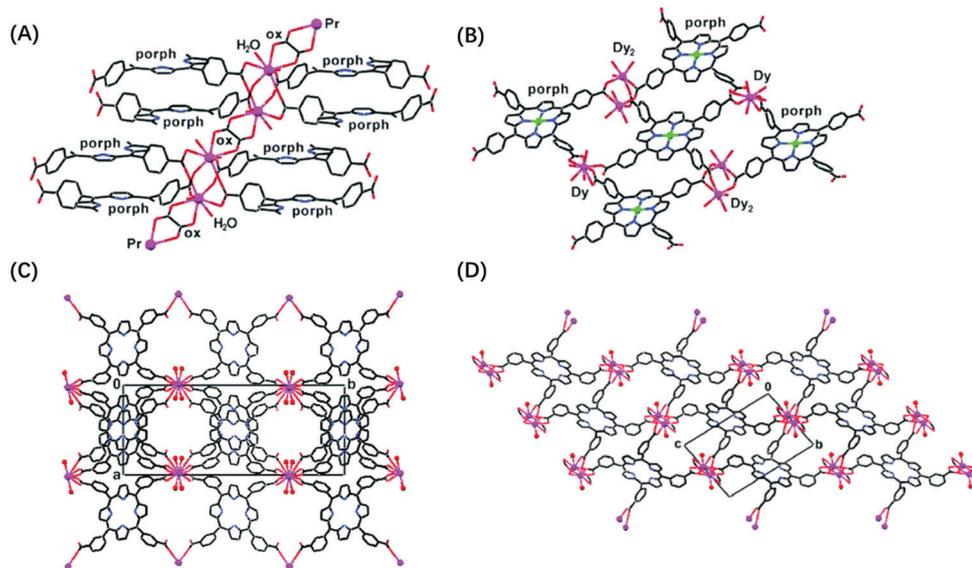


Fig. 31 Examples of MOFs consisting of a Ln-bridged porphyrin layer. (A) 2 : 1 Pr : $R^R\text{Por}$;²⁰¹ (B) 4 : 3 Dy : $R^R\text{Por}$ ($R^R\text{Por}$ = tetra(4-carboxyphenyl)porphyrin);²⁰¹ (C) 1 : 1 La : $R^R\text{Por}$;²⁰² and (D) 2 : 1 Pr : $R^R\text{Por}$ ($R^R\text{Por}$ = tetra(*m*-carboxyphenyl)porphyrin).²⁰² Reproduced with permission from ref. 201 and 202, copyright (2006 and 2007) The American Chemical Society.

solvothermal methods used various solvents, water^{205–208} or organic solvents,^{203,204,209,210} as well as different reaction times and temperatures. Unfortunately, most lanthanide-based MOFs did not take advantage of the special spectroscopic properties of lanthanides, and the choice of lanthanides was not really targeted for a given property, but because most of these ions have similar size and coordination numbers.

In addition to serving as structural clusters in the formation of MOFs, lanthanide ions can also play the role of core in porphyrin dendrons (Fig. 32). Several kinds of metalloporphyrin-containing Er^{III} -cored complexes are known.^{211,212} In these complexes, the porphyrins were first coordinated with other metals ($\text{Pt}^{\text{II}}/\text{Zn}^{\text{II}}$), and then the core Er^{III} ion was coordinated by carboxyl groups from the porphyrin sidechains and by terpyridine. This novel synthetic method implies using a strong base, potassium hydride, for deprotonating the ligands, then followed by the addition of ErCl_3 . A dipicolinic acid (dpa) framework was also used to coordinate to a Ln^{III} core.²¹³ The lanthanide complexation was easily achieved in $\text{H}_2\text{O}/\text{THF}$ in which the corresponding salts were mixed with chlorin to form $[\text{Ln}(\text{dpa})_2\text{ChlPd}]^{3-}$ (Chl = chlorin).

Lastly, some works focused on blending lanthanide–porphyrin complexes into conjugated or nonconjugated polymer hosts such as polystyrene, poly(methyl methacrylate), poly(*n*-butyl methacrylate), poly(bisphenol A-carbonate), poly(arylene-ethynylene), poly(*tert*-butylmethacrylate), and methylcellulose with routine fabrication methods.^{214–216} The lanthanide–porphyrin complexes used there were common and easy to prepare.

3 Redox properties

The extended π systems of metallo–porphyrinates and phthalocyaninates result in a rich redox chemistry itself generating

fascinating electronic and spectroscopic properties. Indeed, the macrocyclic ligands can be easily oxidized or reduced and their electrical status, negatively charged, neutral, or positively charged, can be tuned, creating different electronic states and consequently largely different absorption spectra and colours. Electronic interactions between tetrapyrrole π -systems in close proximity play an essential role in photosynthetic bacteria, such as cyanobacteria, or in molecular organic conductors. Complexes with lanthanides are appealing models for understanding their redox and electrochromic properties. A good example is the historical $[\text{Lu}(\text{Pc}_2)]$ double-decker coordination compound synthesized more than 50 years ago by Kirin *et al.*¹⁹ and which, according to EPR studies, could be formulated as $[\text{Lu}(\text{Pc})(\text{Pc}^{\bullet-})]$ since (i) the EPR spectrum displays a sharp signal at $g = 2.0022$ ²¹⁷ (Fig. 33) and (ii) the magnetic susceptibility follows a Curie–Weiss behaviour with $\mu_{\text{eff}} = 1.76$ BM, typical of a one-electron radical.²¹⁸ In fact, modern analysis reveals that the unpaired electron is significantly delocalized on the two rings. Moreover, various forms of the complex exist depending on the oxidation state and have different colours, as usually determined on Langmuir–Blodgett (LB) films since there is enough space in the LB channels to facilitate charge-compensating ion transport during oxidation or reduction. For instance, oxidation of the green, paramagnetic $[\text{Lu}(\text{Pc})_2]$ yields first a red-brown and diamagnetic form, $[\text{Lu}(\text{Pc})_2]^+$, then a red and diamagnetic compound $[\text{Lu}(\text{Pc})_2]^{2+}$. Reduction steps switch the green paramagnetic form $[\text{Lu}(\text{Pc})_2]$ into a blue diamagnetic form $[\text{Lu}(\text{Pc})_2]^-$ and finally into a blue-violet and paramagnetic compound $[\text{Lu}(\text{Pc})_2]^{2-}$. The complexes are sometimes written as their protonated forms,²¹⁹ $[\text{Lu}(\text{Pc})_2\text{H}]^{2+}$ (yellow-red), $[\text{Lu}(\text{Pc})_2\text{H}]^+$ (green), $[\text{Lu}(\text{Pc})_2\text{H}]$ (blue), and $[\text{Lu}(\text{Pc})_2\text{H}]^-$ (violet). The redox properties of complexes with tetrapyrrole macrocycles can be exploited in electrochromic devices (ECDs) such



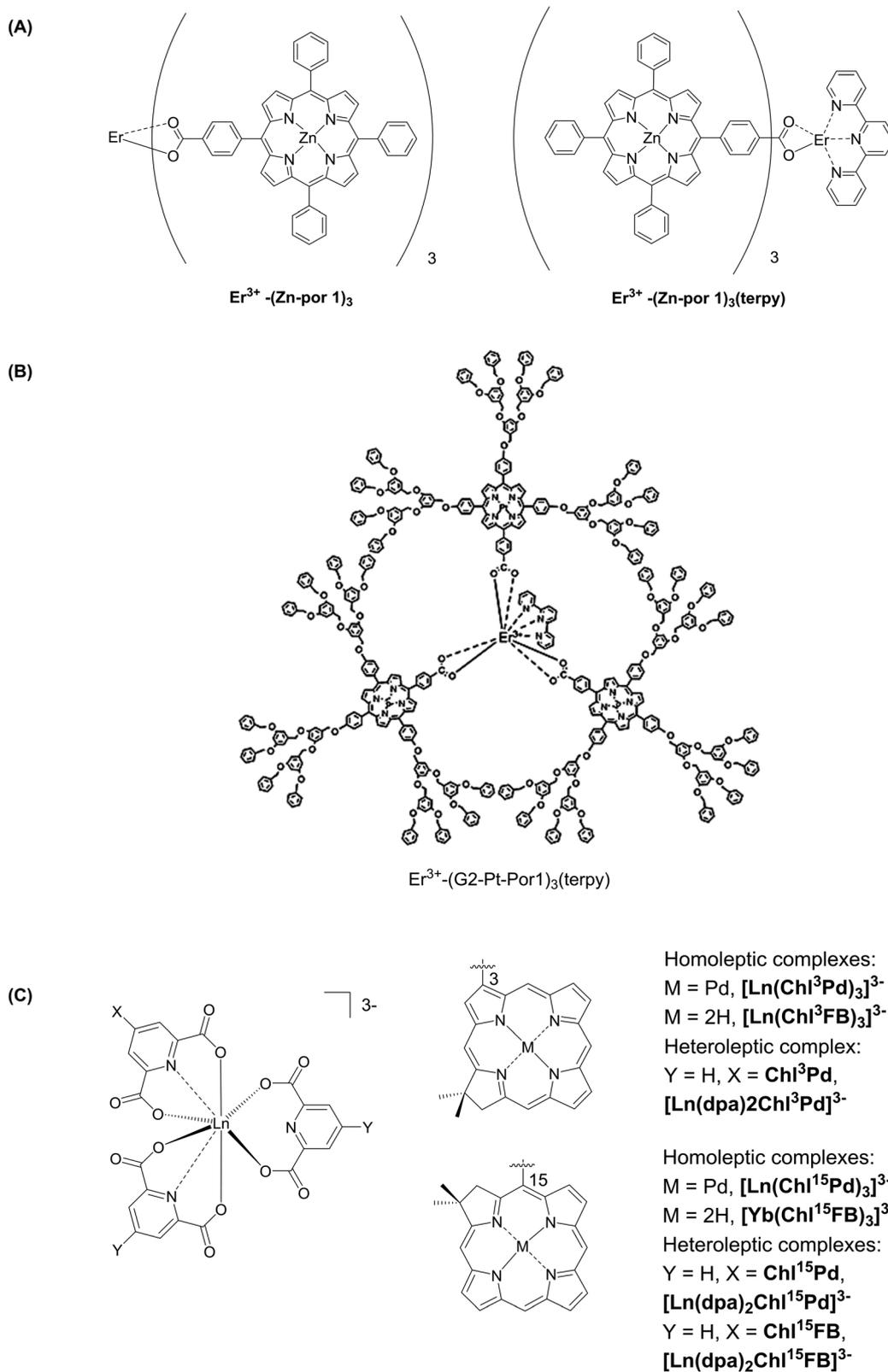


Fig. 32 Examples of lanthanide-cored supramolecular complexes. (A) Redrawn from ref. 211; (B) reproduced with permission from ref. 212, copyright (2005) Elsevier B.V.; (C) redrawn from ref. 213.



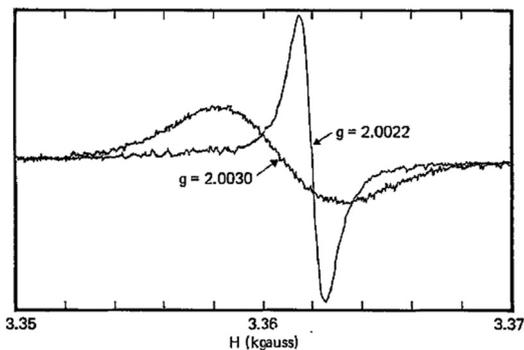


Fig. 33 X-Band EPR spectra of $[\text{Lu}(\text{Pc})_2]$ (green, $g = 2.0022$) and $[\text{Lu}(\text{Pc})_2]^{2-}$ (violet, $g = 2.0030$) at room temperature (power: 5 mW, modulation: 0.63 G, gain: 2.5×10^5). Reproduced with permission from ref. 217, copyright (1979) The Electrochemical Society.

as rear-view mirrors, fitted in millions of cars, adjustable darkening windows in aircrafts, and displays; regarding the latter, organic liquid crystals are serious rivals but ECDs may be interesting for larger screens.²²⁰ Moreover, since the redox process is fairly reversible and can be cycled many times without altering the ECD component, another application is in molecular data storage.¹⁷⁸ Finally, $[\text{Lu}(\text{Pc})_2]$ behaves as an intrinsic molecular semiconductor and features high carrier mobility, making it a potential candidate for the design of field-effect transistors.²²¹

In the following, we describe first the basic redox properties of lanthanide phthalocyaninates and some of the porphyrinates before giving a few hints on potential applications. It is noteworthy that investigations usually involve electrochemical studies coupled with absorption spectroscopy and magnetic measurements.

3.1 Mixed-ligand monophthalocyanine lanthanide complexes

In mono-phthalocyaninate complexes $[\text{Ln}(\text{Pc})(\text{acac})]$ and $[\text{Ln}(\text{Pc})(\text{acac})_2]^-$, one oxidation and two reduction processes are seen; the half-wave potentials of oxidation and of the first reduction are quasi-insensitive to the nature of the Ln ion, while that of the second reduction step increases with increasing charge density on the metal ion.²²² A study of similar complexes with other β -diketonate ancillary ligands confirms, by EPR measurements, the radical nature of the macrocycle and the various redox steps have been characterized by electronic absorption spectroscopy.²²³

3.2 Homoleptic phthalocyaninato lanthanide sandwich complexes

Most of the reported works have focused on the redox properties of $[\text{Ln}(\text{Pc})_2]$ double deckers, with main emphasis on seeking to determine how the nature of the lanthanide ion as well as that of the substituents on the periphery of the Pc framework influence the values of the redox potentials. The state of the sample – solid, thin film, or solution – and the exact experimental conditions, including the pH, also play important roles. It is worth noting that the purity of the final sample, which may

depend on the synthetic method used, is crucial in such studies.

Regarding the influence of the nature of the lanthanide ion on redox potentials, initial cyclic voltammetry studies in the 1980s reported variable results. For instance, the electrochemical properties of $[\text{Ln}(\text{Pc})_2\text{H}]$ have been analysed in acidic (1 M HCl) and neutral aqueous media. The working electrode was a glass electrode, coated with 10 nm of sputtered platinum, on which the complexes were sublimed. The voltammograms are reversible and in acidic medium, during the second and subsequent cycles, oxidation potentials vs. saturated calomel electrode (Hg/Hg^+ , SCE) occur at +0.38 V and +0.6 V (orange-red form), while the reduction peak also occurs at +0.38 V (blue form), demonstrating chemical reversibility; the most reduced form (violet) appears at -0.2 V. The presence of oxygen and water influences the process, suggesting some interaction with the complexes, but apparently not the lanthanide ion (La, Nd, Eu, Gd, Dy, Er, Yb, Lu), consistent with the redox reactions occurring at the ligands. In neutral medium and for Eu, Gd, Dy, Er, and Yb, there is only one oxidation step at +0.7 V (orange-red) but two reduction steps at -0.6 V (blue form) and -1.4 V (violet form). However, no electrochemical behaviour is observed for La and Nd. A close look into the absorption spectra of these complexes reveals that they correspond to the reduced (blue) form $[\text{Ln}(\text{Pc})_2]^-$ which is electro-inactive. After a few weeks the solutions turn green with an electronic absorption spectrum identical to that of the free H_2Pc ; in addition, there is formation of a blue precipitate, pointing to the probable occurrence of a small amount of a triple-decker complex, $[\text{Ln}_2\text{Pc}_3]$. Apparently the presence of labile hydrogen is necessary for the complexes to display electrochemical behavior.²²⁴ The ambiguity noted above regarding the formulae of the lutetium bis(phthalocyanine) complexes, with or without “loosely bound” protons, arises from the fact that indeed, depending on the pH, acid–base reactions may also be an explanation to some observed colour changes.²²⁵

Half-wave potentials for the oxidation and reduction reactions of green $\text{NBu}_4[\text{Ln}(\text{Pc})_2]$ in *o*-dichlorobenzene are displayed in Fig. 34 for Ln = Y, Pr–Lu (except Tm).²²⁶ The reduction potentials show no dependence on the ionic radii, whereas oxidation potentials increase linearly with increasing ionic radii, with a slope of about $1.5 \pm 0.2 \text{ V } \text{\AA}^{-1}$. In sandwich-type bis(tetrapyrrole) rare earth compounds the distance between the two isoindole 4N planes is very small; therefore, the proximity of the two conjugated π -systems in a face-to-face configuration induces splitting of the molecular orbitals. The dependence of the half-wave potentials upon ionic radii can then be reasonably explained by increasing ring–ring distance with increasing ionic radius and consequently decreasing π interaction between the aromatic rings, rendering the removal of electrons more difficult. A similar trend is observed for double-decker complexes with benzyloxy substituted phthalocyanines.²²⁷ Characteristic parameters often referred to are the differences between the two oxidation potentials, which is here $\Delta E_{\text{O}_2-\text{O}_1} = 0.45 \text{ V}$, and between the first oxidation and first reduction potentials, which is here $\Delta E_{\text{O}_1-\text{R}_1} = 1.19 \text{ V}$;



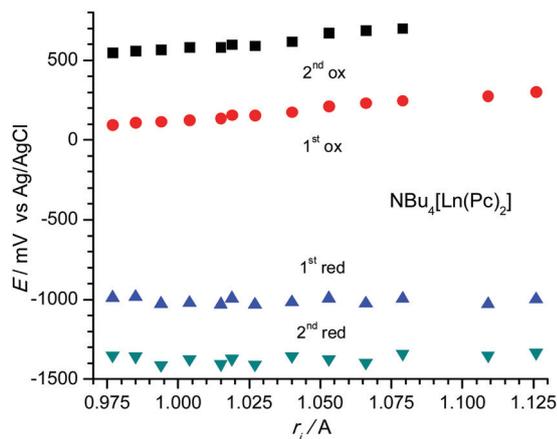


Fig. 34 Redox potentials vs. Ag/AgCl (saturated KCl) of $[\text{Ln}(\text{Pc})_2]^-$ in *o*-dichlorobenzene as a function of ionic radii for the coordination number 8. Drawn from data reported in ref. 226.

the latter reflects the molecular electrochemical band gap, *i.e.*, the energy difference between HOMO and LUMO orbitals.

Peripheral octa-substitution by various alkyl groups, such as methyl, ethyl, *n*-butyl, *t*-butyl, or *O*-propyl,^{228–230} or mixed substitution²³¹ can be used to modulate the redox potentials and therefore the colour of the complexes. A third reduction potential is found for some of the complexes with derivatized Pc, with $E_{1/2}$ only slightly negative, which, like the oxidation potentials, varies slightly with the nature of the lanthanide ion. This is, for instance, the case for Pc derivatized with one benzyloxy and six *t*-butyl groups.²³¹ This third reduction potential corresponds to the filling of the semi-occupied molecular orbital (SOMO) of the radial-anionic ligand.

Peripheral substitution of the macrocyclic ligand is used for several purposes, including increasing solubility in organic solvents or water, and sensing purposes, in which, for instance, the side chains, such as crown ethers, are complexation agents for metal ions.^{232,233} These substituents do not influence the basic structure of $[\text{Ln}(\text{Pc})_2]$ with one macrocycle bearing a single negative charge; this is demonstrated by the EPR spectrum of a double-decker functionalized with 6-hydroxyhexylthio groups featuring a single signal with $g = 2.001$.²³⁴ However, redox properties are influenced, with respect to not only shifts in half-wave potentials but also the number of redox processes that can be triggered. For instance, the sandwich complexes with 6-hydroxyhexylthio-derivatized Pc display one oxidation step only but up to 5 reduction steps. An electrochemical study of double-decker complexes of the entire lanthanide series with Pc ligands derivatized with *t*-butyl, heptyl, butyloxy, pentyloxy, and octyloxy groups revealed five reduction steps and two oxidation steps, except for Ce. The first reduction potential is small and increases linearly from Lu to La (-0.02 to $+0.27$ V) in parallel with the first oxidation step ($+0.40$ to $+0.61$ V); the other reduction potentials have no Ln dependence and lie between -1.1 and -1.9 V. It is noteworthy that the latter potentials arise from the fact that the first and second LUMOs are close in energy and can accept up to four electrons. Experimentally,

observation of several resolved redox processes is facilitated by the use of a glassy carbon disk resulting in less interaction between the electrochemically generated species and the electrode,²³⁵ as well as by complementing cyclic voltammetry measurements with differential pulse voltammetry data. The number of observed redox potentials evidently depends on the electrochemical window available under the given experimental conditions, where the solvent plays a crucial role.

A way to fine-tune the energy of the HOMO and LUMO orbitals of phthalocyaninates, *i.e.*, tuning their redox potentials, is to play with the electron withdrawing or electron donating properties of the substituents. Jiang *et al.* have investigated a series of 17 metal-free phthalocyanines derivatized with alkoxy, alkylthio, alkyl, alkynyl, phenoxy, and phenylthio groups and concluded that the effects of peripheral and non-peripheral substitution on the values of half-wave potentials can be explained by considering the energy of the frontier orbitals. The tuning range is large, on the order of 0.45 V for the first three reduction potentials and between 0.6 and 0.8 V for the two oxidation potentials, while ΔE_{01-1} values span about 0.7 V.²³⁶ In addition to generating planned electronic effects, the choice of substituents is also guided by practical considerations, such as ease of processing thin films or *meso*-phases. In an effort towards this goal, Bian *et al.* have introduced eight bulky 2,6-dimethylphenoxy groups on the periphery of Pc. The structure of the double-decker complexes with Pr, Sm, and Nd is similar to those of other homoleptic lanthanide phthalocyaninates, with the peculiar feature however that the Pc rings adopt a domed conformation towards the metal centres with dihedral angles being much larger than those observed for complexes with alkyl, alkylthio or phenoxy substituted Pc. This can be attributed to the presence of the bulky methyl groups on the substituents. With respect to unsubstituted $[\text{Ln}(\text{Pc})_2]$, incorporation of these 2,6-dimethylphenoxy groups on the phthalocyanine ligands results in slightly more difficult oxidation and slightly easier reduction, consistent with the electron-withdrawing nature of the substituent and in accord with results obtained previously with phenoxy octasubstituted Pc.²³⁷

The search for practical electrochromic materials is a big drive for investigating various $[\text{Ln}(\text{Pc})_2]$ complexes. For instance, double-deckers of Sm, Eu, Gd, Dy, and Lu with octa-2,2,3,3-tetrafluoropropoxy-substituted Pc have been evaluated toward this goal. Langmuir–Blodgett thin films of the complexes coated on ITO electrodes display three distinctive colours (green, orange, red); the Dy thin films were found to be ideal neutral and anodic colouring materials with short response times (4.3 s for green and 2.1 s for red, first cycle) and high optical stability.²³⁸

Naphthalocyanine (Nc) sandwich complexes with lanthanides behave similarly to phthalocyaninates with respect to their electrochromic properties (Fig. 35); however, interest for Nc compounds stems from their NIR-shifted absorptions by as much as 200 nm.²³⁹ The series of double deckers formed by tetra(*t*-but)-derivatized Nc (TBNC) with La–Lu, except Ce and Pm, display a rich electrochemistry, with 2–3 oxidation steps



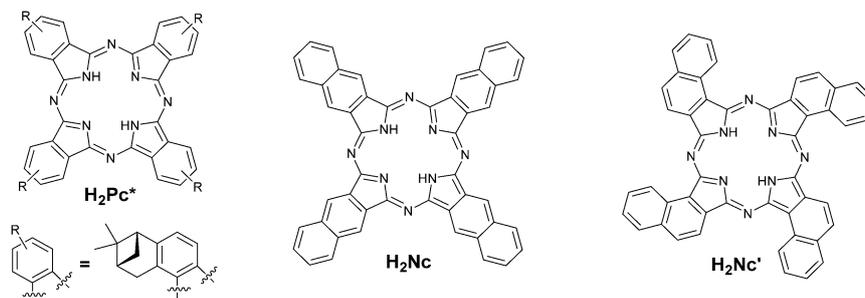


Fig. 35 Chemical formulae of pinene-substituted phthalocyanine H_2Pc^* , 2,3-naphthalocyanine H_2Nc , and the C_{4v} form of 1,2-naphthalocyanine H_2Nc' .

and 4–5 reduction processes, as shown by the differential pulse voltammogram for $[Lu(TBNc)_2]$ (Fig. 36, top). The dependence of the half-wave potentials on ionic radii is presented in Fig. 36

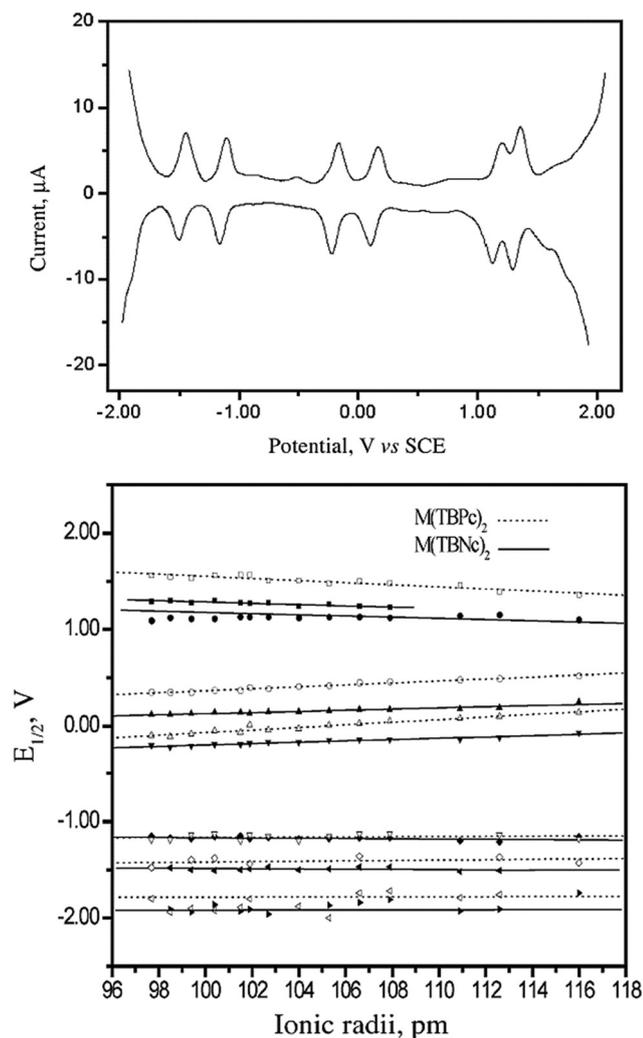


Fig. 36 (Top) Difference pulse voltammograms for $[Lu(TBNc)_2]$ in methylene chloride containing 0.1 M $(NBU)_4NClO_4$; the scan rate is 10 mV s^{-1} . (Bottom) Dependence of half-wave potentials for $[Ln(TBNc)_2]$ and $[Ln(TBPc)_2]$ as a function of the ionic radii of the trivalent lanthanide ions. Reproduced with permission from ref. 240, copyright (2005) Society of Porphyrins and Phthalocyanines.

(bottom) and compared with that for similar double deckers with tetra(*t*-butyl)-derivatized Pc (TBPc). The three most negative reduction potentials have essentially no dependence on the radii, while the first reduction potential correlates linearly with these radii, as does the first oxidation potential, with values slightly increasing with increasing radii. The half-wave potentials for the second and third oxidation steps are also linearly dependent on the ionic radii of the metal ions but the slopes of the lines are opposite to those of the first oxidation and reduction potentials. When oxidation processes involve the same HOMO of the ligands, *i.e.*, the 2nd and 3rd potentials, the slope of the potential dependence on ionic radii is the same. Comparison with $[Ln(TBPc)_2]$ reveals that the first two oxidation potentials and the first reduction potential of $[Ln(TBNc)_2]$ are less affected by the change in ionic radius, the corresponding gradients being about 40–50% less steep. The extension of the conjugated π system in going from Pc to Nc therefore attenuates the inter-ring separation effect on the redox potentials. In turn, the HOMO–LUMO energy separation is smaller; theoretical calculations give a 0.29 eV ($\sim 2350 \text{ cm}^{-1}$) difference for the un-complexed ligands, explaining why Nc compounds are more readily oxidized than Pc compounds.²⁴⁰

In going from monophthalocyaninate $[Ln(OPPc)acac]$ (H_2OPPc is octaphenyl Pc, Scheme 1) to homoleptic double $[Ln(OPPc)_2]$ and triple $[Ln_2(OPPc)_3]$ deckers, the number of redox processes increases from 4 to 6 and 10, respectively. For $[Eu_2(OPPc)_3]$ in *o*-dichloro benzene, 6 reduction and 4 oxidation steps are observed and are completely reversible. The first oxidation (+0.67 V vs. +0.63 V) and the first reduction (+0.16 V vs. +0.16 V) half-wave potentials are very similar to those for the analogue double decker, with ΔE_{o1-r1} reaching 0.51 V compared with 0.47 V. Assignment of all half-wave potentials was performed by inspection of absorption spectra.²³⁹

Cerium double- and triple-deckers deserve special attention since, in addition to redox processes centred on the ligands, the metal ion could theoretically be switched between +3 and +4 oxidation states and therefore these compounds could display extra colours with respect to the other lanthanide derivatives. The reality appears to be subtle as the oxidation state of cerium depends on the ligand nature and/or the complex stoichiometry. For instance, cerium retains its trivalent oxidation state in a double decker with *t*-butyl-substituted naphthalocyanine for



which no additional redox process was observed compared with other Ln complexes with the same ligand.²⁴¹ On the other hand, in most other tetrapyrrole double deckers, cerium is predominantly tetravalent, such as in $[\text{Ce}^{\text{IV}}(\text{OEP})_2]$ (H_2OEP is octaethylporphyrin), with valence calculated from EXAFS data between 3.59 and 3.68. The first reduction wave at a slightly negative potential is then assigned to $\text{Ce}^{\text{IV}}/\text{Ce}^{\text{III}}$ (n between 3 and 4).²⁴² A Langmuir–Blodgett thin film made of cerium bis[tetra(15-crown-5)-phthalocyaninate] displays a similar behavior.²⁴³

3.3 Heteroleptic phthalocyaninato lanthanide sandwich complexes

Using two different tetrapyrrole ligands with different redox and optical properties to form dissymmetric lanthanide double deckers provides interesting information on the electronic structure of each ligand and, particularly, on charge delocalization in these macrocycles.^{43,244} Earlier studies focused on Por/Pc and Por/Por' double deckers, demonstrating that, for instance, in $[\text{Ce}^{\text{IV}}(\text{TPP})(\text{Pc})]^+$, the electronic hole (positive charge) resides mainly on the phthalocyanine ring, while in $[\text{Ce}^{\text{IV}}(\text{TPP})(\text{OEP})]^+$ it is mainly delocalized on OEP. In general, the redox properties of mixed lanthanide double deckers feature several oxidation and reduction steps with dependences on the ionic radii similar to those evidenced for the homoleptic complexes.^{159,245,246}

In order to explore new electrochromic behaviours, novel tetrapyrrole rings have been synthesized. An example is given in Fig. 37 for the heteroleptic sandwich complex $[\text{Lu}(\text{Pc})(\text{PTBTPor})]$ ($\text{H}_2\text{PTBTPor}$ is *meso*-phenyl-tetrabenzotriazaporphyrin) which, like the homoleptic compound with PTBTPor, undergoes three quasi-reversible reductions and one quasi-reversible oxidation. The potential difference $\Delta E_{\text{O}1-\text{R}1}$ amounts to 0.45 V, which is at the higher end of the range usually observed for homoleptic Pc double deckers (0.35–0.45 V), meaning that the ring-to-ring interaction is larger compared with homoleptic Pc analogues.^{188,247}

Owing to the remarkable redox properties of tetrathiafulvalene (TTF), such as oxidation at relatively low half-wave potentials converting its dithiolyldene rings into 6π -electron

aromatic systems and use of its salts (*e.g.* $[\text{TTF}]^+\text{Cl}^-$) as semiconductors or components of optoelectronic devices including sensitized solar cells, bis(thiophenyl)-derivatized TTF units have been fused with phthalocyanine rings. Heteroleptic double deckers $[\text{Eu}(\text{Pc})(\text{PcTTF}_n)]$ ($n = 1-4$) have been isolated which display four reduction and two oxidation steps with some of them having half-wave potentials substantially shifted with respect to the homoleptic unsubstituted complex $[\text{Eu}(\text{Pc})_2]$ for which two oxidation steps and three reduction processes are observed. All steps are reversible, except the second oxidation process. The shifts depend on the number of TTF substituents but in a nonlinear way. The first oxidation potential increases from 0.62 V (*vs.* SCE) to 0.65 V in going from the mono- to the tetra-substituted Pc, while it amounts to 0.54 V for the unsubstituted reference compound. The first reduction potential decreases from 0.17 V ($n = 1$) to 0.13 V ($n = 4$), the second increases from -1.06 V to -0.96 V, the third from -1.35 V to -1.27 V and the fourth from -1.66 V to -1.59 V. The difference $\Delta E_{\text{O}1-\text{R}1}$ increases from 0.45 V to 0.52 V, compared with 0.43 V for the unsubstituted reference compound. These data reflect un-doubtful contribution of the TTF units to the electronic structure of the double deckers and represent a welcome tuning possibility for future electrochromic devices.²⁴⁸

As seen above, inter-ring π - π interactions in multidecker tetrapyrrole lanthanide complexes confer to these assemblies remarkable optical, electrical, and electrochemical properties. Researchers have consequently looked for ligands that may further enhance these properties. Good candidates are corroles (Cor) and their derivatives (Fig. 20). Corroles are contracted 18- π electron macrocycles containing a direct carbon-carbon single bond between two pyrrole rings; since they have three NH groups in the inner core, they act as trianionic ligands. The first lanthanide complexes with corroles were reported in 2013²⁴⁹ and the first heteroleptic lanthanide triple-deckers with tri-substituted corroles in 2015, with the formulae $\{\text{Ln}_2[\text{Pc}(\text{O}-\text{Bu})_8]_2[\text{Cor}(\text{PhCl})_3]\}$ ²⁵⁰ and $\{\text{Eu}_2[\text{Pc}(\text{O}-\text{Bu})_8]_2[\text{Cor}(\text{Ph})_n(\text{PhNO}_2)_{3-n}]\}$ ($n = 0-3$).²⁵¹ For the first series (Ln = Pr–Tb, except Tm), half-wave potentials for five oxidation and three reduction steps were determined. For Ln = Eu, the first oxidation and the three reduction potentials are similar to those observed for the homoleptic double-decker $\{\text{Eu}[\text{Pc}(\text{OOct})_8]_2\}$, and so is $\Delta E_{\text{O}1-\text{R}1}$ at 0.44 V *versus* 0.42 V for the reference; the other four oxidation potentials are more similar to those found for the triple-decker $\{\text{Eu}_2[\text{Pc}(\text{OOct})_8]_2(\text{Pc})\}$. Replacing the butoxy groups with pentoxy or octoxy substituents has little influence on the half-wave potentials. But the nature of the lanthanide ion has, as all potentials display a linear dependence on the ionic radii, usually increasing with increasing size, except for the 2nd oxidation potential which is fairly insensitive, while the 4th one displays an opposite trend (Fig. 38). In the second series of heteroleptic triple deckers, the nitrophenyl substituents are redox active, which leads to the observation of two additional reduction potentials between -1.19 and -1.33 V *vs.* SCE. All potentials, except the third oxidation one, vary with the number of nitrophenyl substituents. Regarding assignment, the first and fifth oxidation steps as well as the first reduction step arise

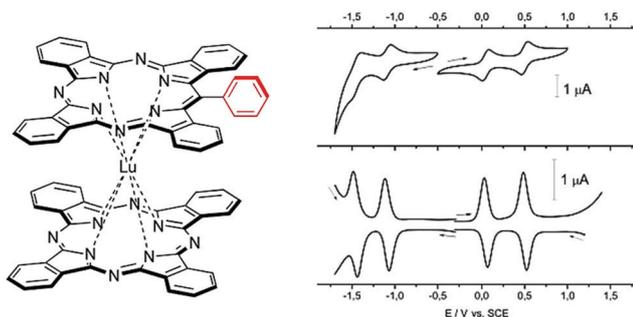


Fig. 37 (left) Structure of the heteroleptic lutetium complex with Pc and *meso*-phenyl-tetrabenzotriazaporphyrin ($\text{H}_2\text{PTBTPor}$). (right) Cyclic voltammograms (top) and square-wave voltammograms (bottom) of the complex in dichloromethane containing 0.15 M Bu_4NBF_4 (scan rate: 10 V s^{-1}). Reproduced with permission from ref. 247, copyright (2013) The Royal Society of Chemistry.



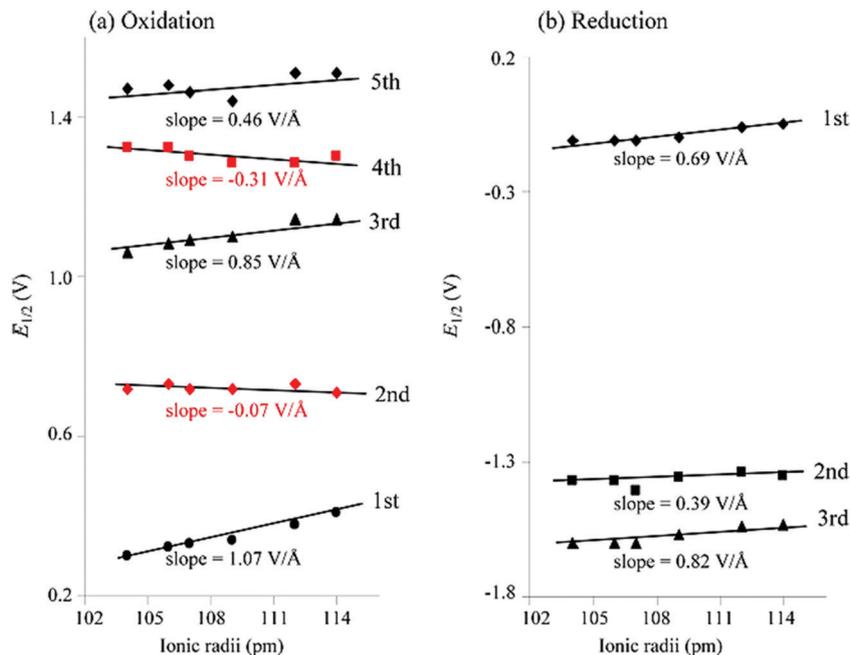


Fig. 38 Half-wave potentials for $\{\text{Ln}_2[\text{Pc}(\text{O}i\text{Bu})_8]_2[\text{Cor}(\text{PhCl})_3]\}$ ($\text{Ln} = \text{Pr}–\text{Tb}$, except Tm) in CH_2Cl_2 containing 0.1 M Bu_4NClO_4 . Reproduced with permission from ref. 250, copyright (2015) American Chemical Society.

from the corrole ligand, while the second and third reduction processes are localized on the nitrophenyl substituents and the other processes on the phthalocyanine ring.²⁵¹ The analogue dysprosium triple deckers with pentoxy octasubstituted Pc and either fluoro or chloro trisubstituted Cor have electrochemical behavior similar to $\{\text{Y}_2[\text{Pc}(\text{OPent})_8]_2[\text{Cor}(\text{PhCl})_3]\}$ with five

oxidation steps and three reduction steps; $\Delta E_{\text{O}1-\text{r}1} = 0.40$ V (fluoro) and 0.38 V (chloro), compared with 0.39 V for the yttrium compound (Fig. 39).²⁵²

Heteroleptic complexes with phthalocyanine and naphthalocyanine can be obtained by a one-pot procedure and their redox and spectroscopic properties follow the same trends along the lanthanide series as porphyrin/phthalocyanine complexes, governed by the increased π -interaction between the aromatic rings. Double deckers $\{\text{Ln}(\text{Nc})[\text{Pc}(\alpha\text{-OPent})_4]\}$ ($\text{Ln} = \text{Sm}, \text{Eu}, \text{Y}$) feature four oxidation and five reduction processes; $\Delta E_{\text{O}1-\text{r}1}$ is almost constant, 0.34–0.35 V, while $\Delta E_{\text{r}1-\text{r}2}$, which represents the HOMO–LUMO gap of the reduced forms $\{\text{Ln}(\text{Nc})[\text{Pc}(\alpha\text{-OPent})_4]\}^-$, decreases from 1.21 V to 1.16 V and 1.09 V for Sm, Eu, and Y, respectively.²⁵³

3.4 Porphyrinato lanthanide complexes

The electrochemical properties of lanthanide porphyrinates have similarities with those described above for the phthalocyaninates so that we limit the description to a few examples. The europium double-decker complex with octaethylporphyrin $[\text{Eu}(\text{OEP})_2]$ displays an EPR spectrum typical of an organic radical ($g \sim 2.0$) meaning that one macrocycle is in the form of a radical mono anion. This and similar double deckers undergo several oxidation/reduction steps with concomitant colour changes. It is worth noting that, like the phthalocyaninates, protonation/deprotonation reactions also occur depending on the pH and sometimes lead to equilibria between two different species in solution. Electrochemical data are available for many complexes, including homoleptic and heteroleptic double deckers. For instance, octachloro-substituted

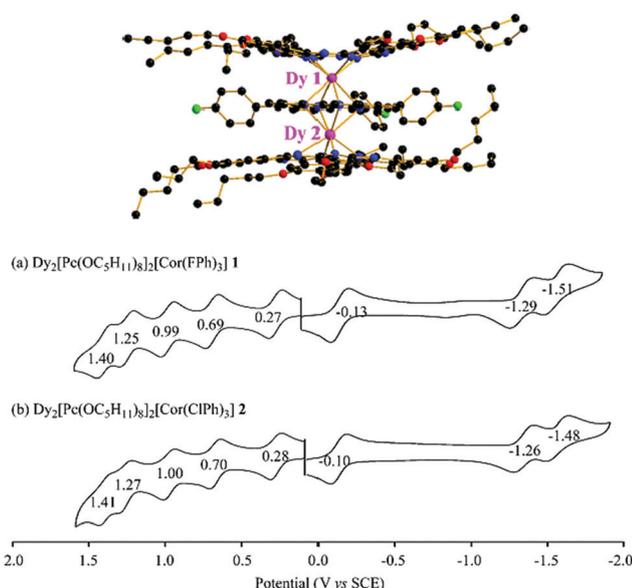


Fig. 39 Cyclic voltammograms of $\{\text{Dy}_2[\text{Pc}(\text{OPent})_8]_2[\text{Cor}(\text{PhX})_3]\}$ ($\text{X} = \text{F}, \text{Cl}$) in CH_2Cl_2 containing 0.1 M Bu_4NClO_4 . The top structure corresponds to the fluorinated complex. Reproduced with permission from ref. 252, copyright (2017) American Chemical Society.



tetraphenyl porphyrin ($\text{H}_2\text{Cl}_8\text{TPP}$) displays two oxidative and two reductive reversible one-electron processes in THF containing 0.1 M Bu_4NClO_4 ; the potentials do not vary much upon coordination to Tb in $[\text{Tb}(\text{Cl}_8\text{TPP})(\text{OAc})]$ but the complex displays a third oxidation step at a higher potential (1.63 vs. SCE); the $\Delta E_{\text{ox1-r1}}$ difference is quite large, around 1.9 V. In principle, the electron withdrawing nature of the chloro substituents should result in porphyrins that are easier to reduce and harder to oxidize. This is however not the case and the reason is that the addition of eight relatively bulky groups on β -pyrrolic positions results in ring deformation leading to substantially modified redox properties due to a destabilization of the HOMO and to a macrocyclic ring that is easier to oxidize. The ring deformation depends on the number (4 or 8) and nature (chloro or bromo) of the substituents.⁹⁹ The molecular structures of three oxidation forms of $[\text{Tb}(\text{TPP})_2]^n$ and $[\text{Tb}(\text{OEP})_2]^n$ ($n = -1, 0, +1$) have been determined by single-crystal X-ray diffraction and point to the redox state controlling the azimuthal rotation angle ϕ between the two porphyrin rings. For the TPP double decker this angle decreases with each oxidation step, from 44° to 34° , and 30° . Oxidation results in a shortening of the average Tb–N bond length, increasing the π – π interaction between the rings and the steric repulsion between the phenyl rings of one ligand and the β -hydrogen atoms on the other one. The eight ethyl groups on the porphyrin macrocycle of H_2OEP seem to have a much smaller steric effect since the ϕ angle is equal to 45° for $n = -1$ and 0 and decreases to only 36° for $n = +1$.²⁵⁴

In the series $[\text{Ln}(\text{Nc})(\text{TBPP})]$, where H_2TBPP is *meso*-tetra(4-*t*-butylphenyl)porphyrin, three quasi-reversible, one-electron oxidation and one reduction steps are revealed by cyclic voltammetry in methylene chloride at ambient temperature.¹⁶⁶ A second reduction could not be detected owing to solvent breakdown. Linear correlations are observed between the measured potentials and the ionic radii of the trivalent lanthanide ions; the first oxidation and reduction steps have positive gradients, while the second and third oxidation potentials have negative gradients (Fig. 40). It is noteworthy that $\Delta E_{\text{ox1-r1}}$ is large, with values in the range of 0.50 to 0.55 V, without a clear trend with respect to ionic radii. This is much larger than that in the bis(phthalocyaninate) analogues $[\text{Ln}(\text{Nc})_2]$ (0.29–0.47 V) and slightly larger than that in the related $[\text{Ln}(\text{Pc})(\text{TPP})]$ double deckers (0.45–0.48 V).

Both ferrocene and tetrapyrroles are extensively used in molecular electronics so that connecting them together has been tempted with the aim of tuning electronic coupling between ferrocene units. Two such ferrocene units have been grafted in *syn* configuration at the *meso* positions of the porphyrin framework (H_2PorFc_2), and heteroleptic complexes $[\text{Ln}(\text{Pc})(\text{PorFc}_2)]$ ($\text{Ln} = \text{Eu}, \text{Y}, \text{Ho}, \text{Lu}$) and $[\text{Eu}_2(\text{Pc})_2(\text{PorFc}_2)]$ have been prepared. The two tetrapyrrole ligands in the double deckers and the outer two macrocyclic ligands in the triple decker adopt a domed conformation, the degree of which depends on the nature of the metal ion, which enables the tuning of the ferrocene interaction. Indeed, the steric hindrance generated by the Pc ring in the sandwich

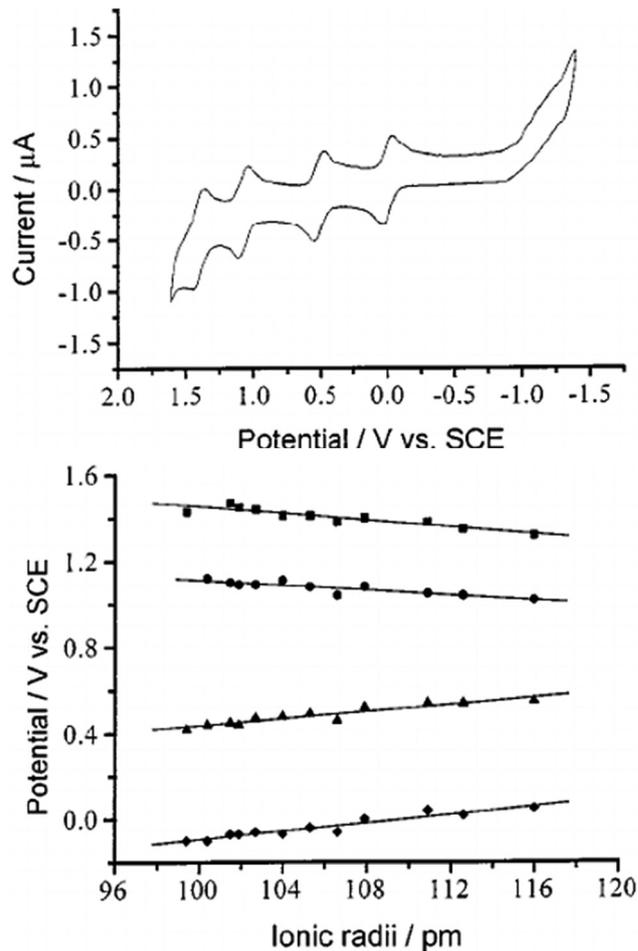


Fig. 40 (Top) Cyclic voltammogram of $[\text{Sm}(\text{Nc})(\text{TBPP})]$ in CH_2Cl_2 containing 0.1 M Bu_4NPF_6 (scan rate: 100 mV s^{-1}). (Bottom) Dependence of the redox potentials of $[\text{Ln}(\text{Nc})(\text{TBPP})]$ on ionic radii. Reproduced with permission from ref. 166, copyright (2001) Wiley VCH Verlag GmbH.

complexes restricts the rotation of the ferrocene groups. Within the electrochemical window of CH_2Cl_2 , all double-decker complexes exhibit three quasi-reversible one-electron oxidations and two quasi-reversible one-electron reductions (Fig. 41). Comparisons with reference compounds reveal that the first two reductions and the third oxidation involve the tetrapyrrole ligands, while the first two oxidations are clearly due to the ferrocenyl groups. By contrast, a single ferrocene-centred two-electron oxidation is detected in the free ligand H_2PorFc_2 pointing to the lack of an electronic interaction due to free rotation. The two ferrocene-centred oxidations occur between -42 (Eu) and -84 (Lu) mV and $+39$ (Eu) and $+72$ (Lu) mV, respectively, exhibiting the classical dependence on ionic radii. Their difference increases considerably, from 81 (Eu) to 156 (Lu) mV, with shrinking ionic radius, reflecting an increasing electronic interaction. This difference is much smaller (46 mV) for the triple-decker Eu complex, a consequence of the less domed conformation of the outer tetrapyrrole rings reducing the ferrocene interaction.¹⁸⁶



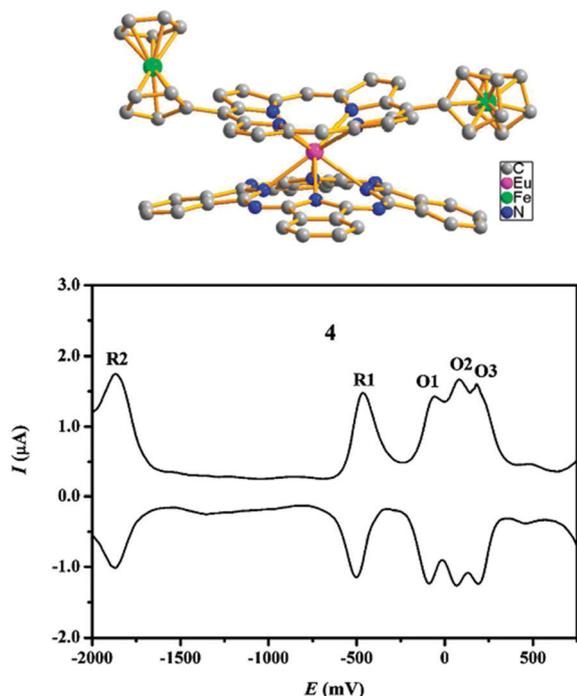


Fig. 41 Molecular structure of [Eu(Pc)(PorFc₂)] and differential pulse voltammometry of [Lu(Pc)(PorFc₂)] in CH₂Cl₂ containing 0.1 M Bu₄NClO₄ (scan rate: 10 mV s⁻¹). Reproduced with permission from ref. 186, copyright (2012) The American Chemical Society.

4 NIR luminescence of tetrapyrrole complexes

The characteristics of trivalent lanthanide luminescence are well suited for essential photonic applications, including bio-medical analyses, in view of narrow emission bands covering the entire spectroscopic range from UV to NIR—by suitable choice of the emitting ion, of long excited state lifetimes (μ s to ms) enabling time-resolved detection, and of good resistance to photobleaching. However, since the implied transitions have very weak intensities owing to the Laporte's forbidden nature of electric dipole f-f transitions, a sensitization mechanism is needed. Photons are absorbed by the ligands surrounding the metal ion and energy is then transferred from a donor state, often a triplet state in coordination compounds, to the metal ion. The energy transfer is most efficient if the energy gap between the donor state of the ligands and the excited state of the emitting ion lies in the range of 2–5000 cm⁻¹.²⁵⁵

Applications in bioscience often require the use of NIR light in order to avoid too much absorption and scattering by the biological tissues. Looking into Fig. 42 which depicts schematically the main transitions of the NIR-emitting lanthanide ions, one realizes that, ideally, the donor states of the ligands should be located between 10 000 and 15 000 cm⁻¹. Several classes of ligands are suitable for sensitizing the NIR luminescence of lanthanide ions²⁵⁶ and, among them, tetrapyrrole derivatives are especially well-adapted in view of their extended NIR spectroscopic properties.

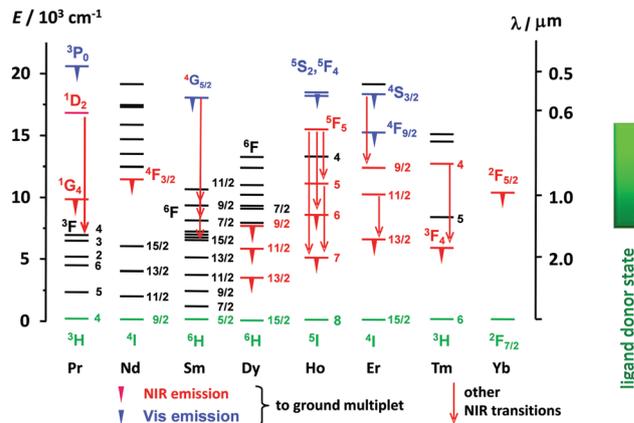


Fig. 42 Partial energy diagram of the principal NIR-emitting trivalent lanthanide ions with main NIR transitions. The green rectangle indicates the ideal energy of the donor state of the ligand for efficient energy transfer. Adapted with permission from ref. 256, copyright (2007) Elsevier B.V.

4.1 Electronic spectra of tetrapyrroles and their lanthanide complexes

The electronic spectra of simple metalloporphyrins, e.g. [M^{II}(por)] with tetragonal symmetry, display one intense absorption with a maximum around 400–425 nm and $\log \epsilon = \sim 4.5$ –5.5, corresponding to a S₂ ← S₀ transition and called the Soret (B) band; two other absorptions of lower intensities are detected in the spectral range of 500–650 nm, with $\log \epsilon \sim 3$ –4 and assigned to two components of the S₁ ← S₀ transition, labelled Q(0,0) or Q₀ and Q(1, 0) or Q₁. When the tetragonal symmetry is broken as in the free ligand due to the presence of the NH protons, the Q bands are each split into two components labelled Q_{x0}, Q_{x1}, Q_{y0}, and Q_{y1}. A similar situation occurs for [Ln^{III}(Por)L] complexes as shown in Fig. 43 for [Tb(TPP)(acac)] and H₂TPP. Upon excitation in the Soret band, emission from S₁ is observed at ~ 650 and ~ 714 nm for both coordinated and free TPP, corresponding to the Q(0,0) and Q(0,1) components, respectively.²⁵⁷ At 77 K, [Gd(TPP)(Ac)] (Ac is acetate) displays a structured emission band between 600 and 1200 nm corresponding to the phosphorescence of the porphyrin ring triplet state.²⁵⁸

In going from porphyrin to phthalocyanine, large spectroscopic changes occur. For instance, for [Ln(Pc*)₂] (Pc* is tetrapinene substituted Pc, Fig. 35; Ln = Eu, Er, Lu),²⁵⁹ the Soret band is blue-shifted to about 330–360 nm, while the Q band presents two components at 623 and 709 nm, the latter being as intense as the Soret band. In addition, two π -radical related weak bands are seen at 504–525 nm (blue valence band, BV – also called blue vibronic band) and 932–945 nm (red valence band, RV – also called red vibronic band) as well as a broad structured feature with maxima between 1620 and 1944 nm, assigned to the intervalence transition (IV) between the second-highest HOMO and the SOMO, corresponding to one electron hopping from one ring to the other.⁵⁰ A partial molecular orbital diagram is shown in Fig. 44; the orbitals from which the Pc B bands originate are lower lying a_{2u} and b_{2u} and are not



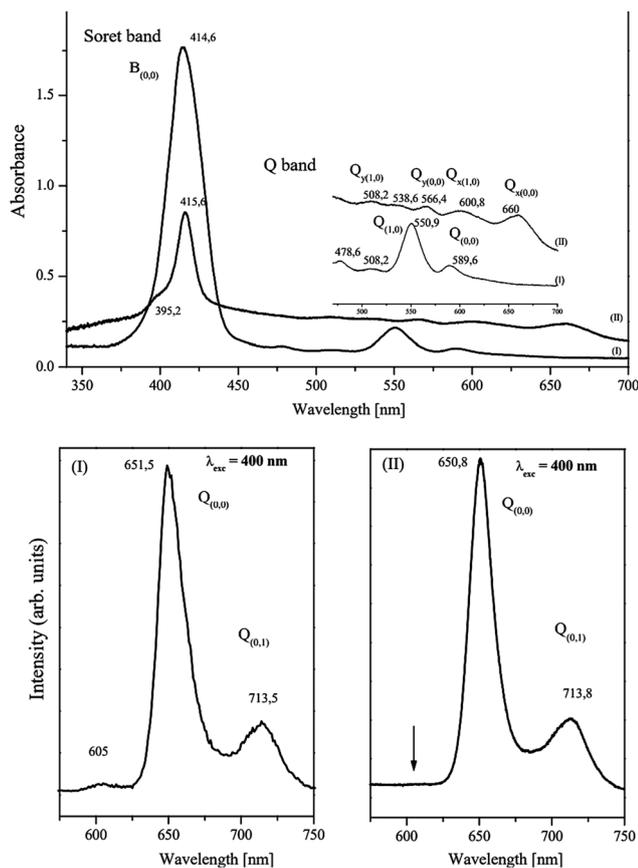


Fig. 43 Absorption spectra (top) of [Tb(TPP)(acac)] (I) and H₂TPP (II) in Nujol and emission spectra of the same compounds (10⁻⁵ M) in MeOH (bottom). Adapted with permission from ref. 257, copyright (2004) Elsevier B.V.

shown. Note that the intervalence band disappears when the two macrocycles bear the same 2⁻ charge.

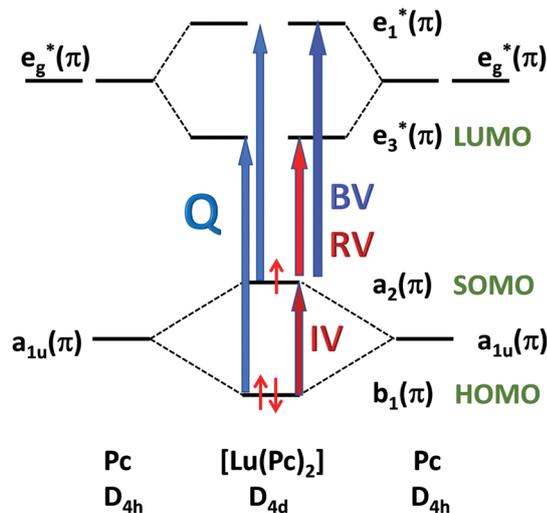


Fig. 44 Frontier molecular orbitals for the π system in [Lu(Pc)₂]. Redrawn according to ref. 260.

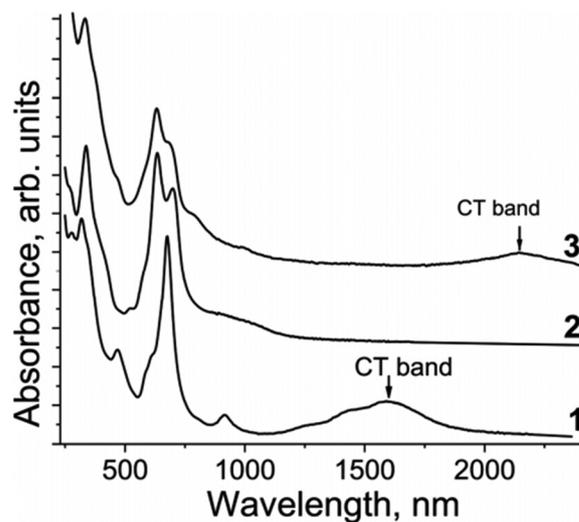


Fig. 45 Absorption spectra of [(Pc²⁻)Tb(Pc^{•-})]⁰ (1), [Tb(Pc²⁻)₂]⁻ (2), and [(Pc²⁻)Tb(Pc^{•3-})]²⁻ (3) in KBr pellets. Reproduced with permission from ref. 262, copyright (2019) The American Chemical Society.

In the triple-decker series [(Pc)Ln(Pc(OEt)₈)Ln(Pc)], the energies of the two Q bands shift linearly as a function of the ionic radii reflecting the increasing π -interaction between the macrocyclic rings along with the lanthanide contraction.²⁶¹ Redox processes influence the absorption spectra, more particularly the intervalence band: when [(Pc²⁻)Tb(Pc^{•-})]⁰ is reduced with 3 equivalents of Na[CpCo(CO)₂] (Cp⁻ is the cyclopentadienyl anion) to produce the di-anionic species [(Pc²⁻)Tb(Pc^{•3-})]²⁻, the charge transfer (intervalence) band of the radical anions shifts from about 1600 nm to about 2130 nm, while the other transitions sustain little changes (Fig. 45). The reduced species can be isolated with two Na[cryptand(2,2,2)]⁺ as counter cations.²⁶²

Absorption spectra of the naphthalocyanine complexes retain the main features of their analogues with phthalocyanines having, however, all absorption bands substantially red-shifted due to more extended π -conjugation. Dependences on the nature of the metal ion are also similar. For instance, in the double-decker series with tetra(*t*-butyl) substituted Nc, [Ln(NctBut₄)₂] (Ln = La–Tb, except Tm, Y, and Er), the Soret band sustains little changes, remaining in the range of 334–328 nm, while both components of the Q band (694–685 and 799–767 nm) as well as the (blue) π -radical anion band (648–593 nm) are blue-shifted with decreasing ionic radii of the metal ions. On the other hand, the red π -radical anion transition is red-shifted with decreasing ionic radii (1052–1084 nm). The spectra also display a broad NIR intervalence absorption, blue-shifted (2346–1818 nm) with the lanthanide contraction (Fig. 46, top).²⁴¹ A comparable shift, ~ 1400 cm⁻¹ between Nd and Lu, is observed in double-decker complexes with tetra(hexylthio)-derivatized phthalocyanine (Fig. 46, bottom).²⁶³ A direct comparison between the spectra of [Er(OPPc)₂] (OPPc is octaphenyl Pc) and [Eu(OPNc)₂] (OPNc is octaphenyl Nc) is shown in Fig. 47, exemplifying an approximate bathochromic



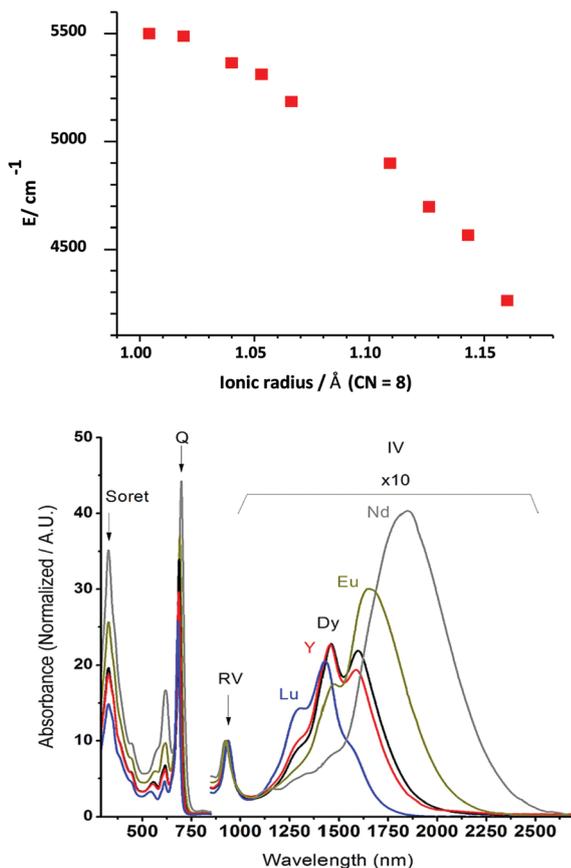


Fig. 46 (Top) Dependence of the energy of the NIR intervalence band on ionic radii in the double-decker series $[\text{Ln}(\text{NctBut}_4)_2]$ ($\text{Ln} = \text{La-Tb}$, except Pm , Y , and Er). Drawn from the data in ref. 241. (Bottom) Absorption spectra of oxidized $[\text{Ln}(\text{SHex}_4\text{Pc}^{\bullet-})_2]^+$ showing the large blue shift of the IV band with decreasing ionic radius. Reproduced with permission from ref. 263, copyright (2014) The American Chemical Society.

shift of 100 nm for the Q bands and up to 200 nm for the intervalence bands.²³⁹

As for the complexes with other corrole ligands, electronic spectra of the lanthanide naphthalocyaninates change with

their oxidation state. An earlier study on double- and triple-decker complexes with 1,2-naphthalocyanine and 2,3-naphthalocyanine (Fig. 35) sheds light on this aspect by recording the absorption spectra of the double deckers $[\text{Lu}(\text{Nc}')_2]^n$ ($n = -2, -1, 0, +1$) and the triple deckers $[\text{Lu}_2(\text{Nc}')_3]^n$ ($n = -4, -3, -2, -1, 0, +1, \text{ and } +2$).²⁶⁴ Since only the first reduced and oxidized forms of these compounds are stable, spectra of more reduced or oxidized forms have been acquired under electrochemical conditions. The first reduction of neutral $[\text{Lu}^{3+}(\text{Nc}')^{2-}(\text{Nc}')^{\bullet-}]$ in benzonitrile does not influence much the Soret band but leads to a splitting of the Q band at 674 nm into two components (638 and 718 nm) for $[\text{Lu}^{3+}(\text{Nc}')^{2-}(\text{Nc}')^{2-}]^-$ and, upon further reduction to $[\text{Lu}^{3+}(\text{Nc}')^{2-}(\text{Nc}')^{\bullet 2-}]^{2-}$, into three components (~ 590 , ~ 640 , and ~ 700 nm). The first reduction also suppresses the π -radical anion band at 509 nm. Compared with $[\text{Lu}(\text{Pc})_2]$, the red shift of the Q band of $[\text{Lu}(\text{Nc}')_2]$ is modest (18 nm), but considerable for $[\text{Lu}(\text{Nc})_2]$ (102 nm). Oxidation of $[\text{Lu}^{3+}(\text{Nc}')^{2-}(\text{Nc}')^{\bullet-}]$ yielding $[\text{Lu}^{3+}(\text{Nc}')^{\bullet-}(\text{Nc}')^{\bullet-}]^+$ leads to a large splitting of the Q band into two components, at 550 nm and 710 nm, similarly to what is observed for $[\text{Lu}(\text{Pc})_2]$ and $[\text{Lu}(\text{Nc})_2]$. Triple deckers display larger changes in their electronic spectra upon reduction and oxidation. Comparison between the double and triple deckers shows that stacking one $[\text{Lu}(\text{Nc}')^{2-}]^+$ moiety over $[\text{Lu}(\text{Nc}')^{2-}(\text{Nc}')^{2-}]^-$ extends the delocalization over three rings and modifies significantly the electronic levels. In fact the observed spectrum in the region of the Q bands is very similar to a summation of the transitions of the neutral $[\text{Lu}^{3+}(\text{Nc}')^{2-}(\text{Nc}')^{\bullet-}]$ and reduced $[\text{Lu}^{3+}(\text{Nc}')^{2-}(\text{Nc}')^{2-}]^-$ forms.

4.2 Sensitization of NIR-emitting lanthanide ions

The emissive properties of lanthanide ions are governed by the ease with which energy transfer from the donor ligands can be optimized and nonradiative deactivation paths minimized. Furthermore, selection rules forbidding electric dipole f-f transitions have to be somewhat relaxed. Important

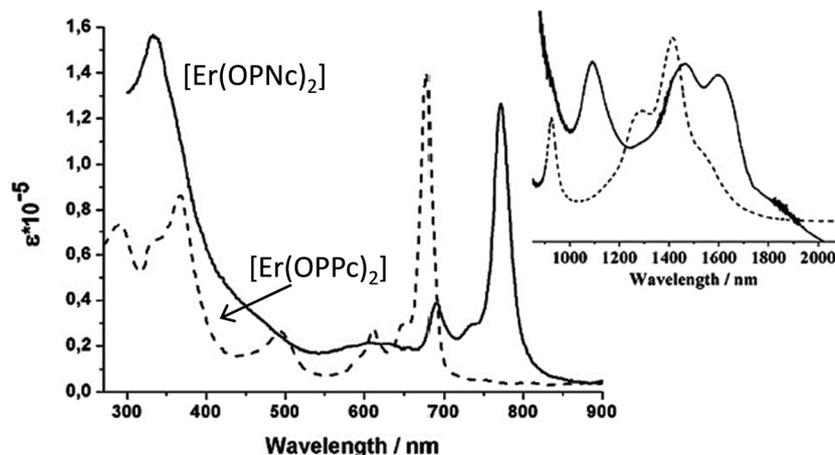


Fig. 47 Comparison between the absorption spectra of $[\text{Er}(\text{L})_2]$ with $\text{L} = \text{OPPc}$ and OPNc . Adapted with permission from ref. 239, copyright (2014) The Royal Society of Chemistry.



mechanisms are the J -mixing and the mixing with opposite-parity wavefunctions such as 5d orbitals, ligand orbitals, or charge transfer states. However, in view of the shielding of the 4f orbitals by the filled 5s²5p⁶ subshells, the degree of mixing remains small. Coupling with vibrational modes is ambivalent; on the one hand it lowers the symmetry around the metal ion, resulting in more allowed transitions, but on the other hand it opens efficient non-radiative deactivation channels. While optimizing energy transfer from the surroundings to the emitting metal ion is relatively well understood and mastered despite its complexity involving several electronic levels and mechanisms,²⁶⁵ shutting down nonradiative deactivation mechanisms is much more difficult, particularly if the energy gap between the excited and ground states is small, which is the case for NIR emitting ions. This is predominantly true for coordination complexes with organic ligands which bring high-energy vibrations (*e.g.* O–H, N–H, C–H) in close proximity with the emitting ion. As a consequence, until recently, the quantum yields of NIR-emitting lanthanide coordination complexes with organic ligands were rather low, especially in the case of Nd^{III} and Er^{III}. Ytterbium has a larger energy gap so that the quantum yields of a few percent have been routinely achieved.²⁵⁶ As will be described below, tetrapyrrole complexes have largely contributed to substantial improvements to this field.

Sensitization of lanthanide luminescence is described by the following equations (Fig. 48). To quantify it, two different quantum yields have to be determined: the overall quantum yield Q_{Ln}^L obtained by excitation into the ligand levels (eqn (1)) and the intrinsic quantum yield Q_{Ln}^{Ln} measured upon direct excitation into the excited states of the lanthanide ion (eqn (2)):

$$Q_{Ln}^L = \frac{\text{number of photons emitted by the metal ion}}{\text{number of photons absorbed by the ligand}} = \frac{I_{Ln}(E)}{I_L(A)} \quad (1)$$

$$Q_{Ln}^{Ln} = \frac{\text{number of photons emitted by the metal ion}}{\text{number of photons absorbed by the metal ion}} = \frac{I_{Ln}(E)}{I_{Ln}(A)} \quad (2)$$

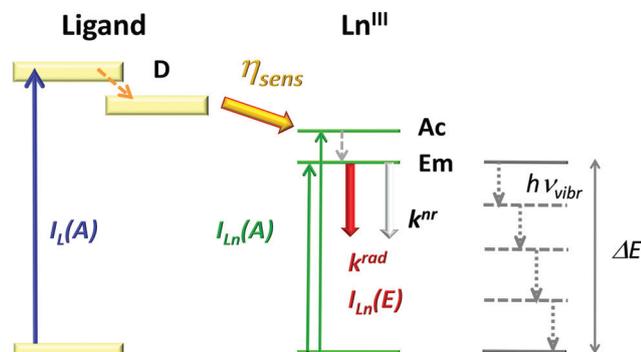


Fig. 48 Simplified schematic energy diagram of the sensitization of lanthanide luminescence. A is absorption; E, emission; D, the ligand donor state; Ac and Em, the accepting and emitting states of the metal ion, respectively; k s are first order rate constants.

The overall quantum yield is always smaller than the intrinsic quantum yield or at most equal. External and internal quantum yields are sometimes used to define these two quantities, but since these have different meanings in other fields of photonics, they should be avoided here.²⁶⁶ The sensitization efficiency of the chromophoric ligand is then simply defined as

$$\eta_{sens} = \frac{Q_{Ln}^L}{Q_{Ln}^{Ln}} \quad (3)$$

Experimentally, measurement of the overall quantum yield is a commonly used procedure and, except for the necessary precautions to be exercised,²⁶⁷ is relatively straightforward even if experimental uncertainties are on the order of at least $\pm 10\%$. Determination of Q_{Ln}^{Ln} is more difficult because f–f transitions have faint cross sections and are often overlapped by intense and large absorption bands from the ligands. One way out is to consider the following equation:

$$Q_{Ln}^{Ln} = \frac{k^{rad}}{k^{rad} + \sum k^{nr}} = \frac{\tau_{obs}}{\tau_{rad}} \quad (4)$$

which shows the intrinsic quantum yield depending only on the observed and radiative lifetimes. The latter is the lifetime of the emitting excited state in the absence of any nonradiative deactivation process. For lanthanide ions, it can be calculated in several ways. The first relies on the fact that τ_{rad} is related to Einstein's rates of spontaneous emission W from an initial state $|\Psi_J\rangle$, characterized by a quantum number J , to a final state $|\Psi_{J'}\rangle$:

$$W(\Psi_J, \Psi_{J'}) = k_{rad} = \frac{1}{\tau_{rad}} \\ = \frac{64\pi^4 \bar{\nu}^3}{3h(2J+1)} \left[\frac{n(n^2+2)^2}{9} D_{ED} + n^3 D_{MD} \right] \quad (5)$$

where $\bar{\nu}$ is the barycentre of the transition, n the refractive index, and D_{ED} and D_{MD} the electric dipole and magnetic dipole oscillator strengths, respectively. Calculations are relatively involved since they necessitate the determination of Judd–Ofelt parameters.²⁶⁸ A more straightforward approach can be adopted if the corresponding absorption spectrum can be recorded, *i.e.*, if the emissive transition terminates in the ground state:

$$\frac{1}{\tau_{rad}} = 2303 \times \frac{8\pi c n^2 \bar{\nu}^2 (2J+1)}{N_A (2J'+1)} \int \varepsilon(\bar{\nu}) d\bar{\nu} \quad (6)$$

where N_A is Avogadro's number and $\varepsilon(\bar{\nu})$ the absorption spectrum expressed in terms of molar absorption versus wavenumbers. In the case of Eu^{III}, the situation simplifies because the excited ⁵D₀ level has $J = 0$ and the ⁵D₀ → ⁷F₁ transition is purely magnetic dipolar. Then, τ_{rad} can be simply determined from the emission spectrum and the refractive index:

$$W(\Psi_J, \Psi_{J'}) = \frac{1}{\tau_{rad}} = W_{MD,0} \cdot n^3 \left(\frac{I_{tot}}{I_{MD}} \right) \quad (7)$$

where $W_{MD,0}$ is equal to 14.65 s⁻¹ and I s are integrated emission spectra of all ⁵D₀ → ⁷F _{J} ($J = 0-6$) transitions and of



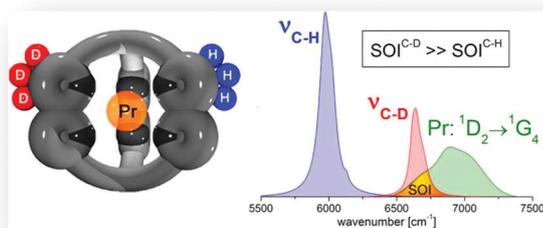


Fig. 49 Spectral overlap integrals between the second $\nu_{\text{C-D}}$ overtone and the first $\nu_{\text{C-H}}$ overtone with the ${}^1\text{D}_2 \rightarrow {}^1\text{G}_4$ emission band of a Pr^{III} cryptate. Reproduced with permission from ref. 269, copyright (2012) The American Chemical Society.

the ${}^5\text{D}_0 \rightarrow {}^7\text{F}_1$ transition, respectively. Then eqn (3) becomes

$$\eta_{\text{sens}} = Q_{\text{Ln}}^{\text{L}} \times \frac{\tau_{\text{rad}}}{\tau_{\text{obs}}} \quad (8)$$

Such an analysis has unfortunately rarely been done for lanthanide complexes with tetrapyrrole ligands and even quantum yield and lifetime data are not systematically reported. Moreover, due to the difficulty in measuring the overall quantum yields of NIR emitting complexes, intrinsic quantum yields determined with eqn (4) are given, based on the estimated τ_{rad} values taken from other (often inorganic) compounds, wrongly assuming that τ_{rad} is a constant for a given ion, while it depends on the particular emitting level, on the chemical environment of the ion, and on the refractive index. Therefore, in the following we shall favour the description of works reporting experimentally measured quantitative data.

For NIR-emitting lanthanides, a major hurdle to highly luminescent materials is minimization of nonradiative processes. Indeed, the energy gap ΔE is small and can easily be bridged by vibrations and their overtones (see the right-hand side of Fig. 48). To put it simply, two models describe the situation. The first one, the “energy-gap law,” relates the non-radiative rate constant to the ratio of the energy gap divided by the most energetic vibrational mode in the molecule or matrix into which the luminescent ion is doped:

$$k_{\text{nr}}^{\text{vibr}} = A \cdot e^{-B(\Delta E/h\nu_{\text{max}})} \quad (9)$$

Therefore, decreasing $h\nu_{\text{max}}$ will increase the number of phonons necessary for bridging the energy gap and decrease k_{nr} . This is a reason why a standard experimental practice is to replace X-H vibrators with X-D or X-hal ones (X = C, N, O; hal = F, Cl). A common rule of thumb is to try to design luminescent materials for which $h\nu_{\text{max}}$ is smaller than $\Delta E/6$. But, as for all simple models, the energy gap law has its limitations. In particular, it implicitly considers vibrations being harmonic, while anharmonic oscillators should be used, then several vibrations may contribute to the quenching while only one is considered and, finally, since the mechanism of quenching is of dipole-dipole nature, the spectral overlap integral (SOI) between the absorption band(s) of the vibration(s) and/or overtone(s) and the emission spectrum of the quenched ion

should be considered:²⁶⁹

$$k_{\text{nr}}^{\text{vibr}} = \frac{161.9 \cdot k_{\text{rad}} \cdot \kappa^2}{\pi^2 \cdot n^4 \cdot N_{\text{A}} \cdot r^6} \times \int \epsilon_{\text{vibr}}(\tilde{\nu}) \cdot I_{\text{em}}(\tilde{\nu}) \cdot \tilde{\nu}^4 \cdot d\tilde{\nu} \quad (10)$$

where κ is a factor depending on the relative orientation of the transition dipole moment of the lanthanide ion and the acceptor oscillator; r is the distance between Ln^{III} and the oscillator. The case of a Pr^{III} cryptate with (bpy, bpy, bpy) is illustrative of this model: upon partial deuteration, the intensity of the emission from the $\text{Pr}^{\text{III}}({}^1\text{D}_2)$ level decreases, while an increase was expected; the reason is a much larger SOI between the second overtone of $\nu_{\text{C-D}}$ ($3 \times 2300 = 6900 \text{ cm}^{-1}$) and the ${}^1\text{D}_2 \rightarrow {}^1\text{G}_4$ emission band ($6500\text{--}7600 \text{ cm}^{-1}$), compared with the overlap with the first overtone of $\nu_{\text{C-H}}$ ($2 \times 3100 = 6200 \text{ cm}^{-1}$), as shown in Fig. 49.

As can be seen from eqn (4), shortening the radiative lifetime would be beneficial in that this would increase the intrinsic quantum yield. This can be done either by increasing the polarizability of the Ln–ligand bonds, not easy to achieve, or by modifying the refractive index: increasing the refractive index by doping the luminescent compound into a matrix, for instance, will decrease τ_{rad} , cf. eqn (5)–(7).

4.3 NIR-emissive lanthanide–porphyrin complexes

The combination of tetrapyrrole ligands with rare earth ions has been studied since the mid-1960s and this is also true for their luminescence properties, in particular when the ligand is a porphyrin. Indeed, due to low-energy electronic states, phthalocyanines and naphthalocyanines, particularly multiple-decker compounds, are less well suited for sensitizing NIR-emitting lanthanide ions. The field has been reviewed regularly since the turn of the century,^{32,256,260,270–274} including applications to bioanalysis and bioimaging.^{275–278} In some instances, polyaminocarboxylic acid groups^{115,279} or tris(dipicolinates)²¹³ have been attached to porphyrins or to their transition metal complexes (M = Zn, Pd, Pt); the flexibility of the resulting assemblies is large enough to bring the Ln^{III} ions close to the porphyrin core which then can sensitize their luminescence. Such work is not discussed in detail here.

Porphyrin complexes with ytterbium are the most studied because the relatively large energy gap of this ion ($\sim 10\,000 \text{ cm}^{-1}$) limits nonradiative deactivations and because the triplet states of porphyrins ($12\text{--}15\,000 \text{ cm}^{-1}$) have adequate energy for efficient energy transfer onto Yb^{III} . On the other hand, Yb^{III} has only two spectroscopic levels, ${}^2\text{F}_{7/2}$ (ground level) and ${}^2\text{F}_{5/2}$, so that energy transfer is limited to the latter, contrary to other ions such as Nd^{III} or Er^{III} which feature several higher energy levels (Fig. 42) rendering energy transfer processes easier. Since sensitization of Yb^{III} luminescence by ligands with donor states of relatively high energy ($20\text{--}25\,000 \text{ cm}^{-1}$) has also been identified, alternative mechanisms have been proposed: either a phonon-assisted energy transfer or an electron-transfer path during which Yb^{III} is first reduced to Yb^{II} producing a ligand radical cation which in turn re-oxidizes Yb^{II} producing excited Yb^{III} .²⁵⁶



4.3.1 Ytterbium monoporphyrinates. When reacting a lanthanide ion with a porphyrin macrocycle, the cation strongly binds to the tetraaza core of the macrocycle but in order to complete its coordination sphere up to a coordination number of usually 8 (or 7), additional ligands also bind, *e.g.*, solvent molecules, anions, or ancillary ligands. These ligands are often labile, rendering the complexes unstable in solution; they decompose yielding the free porphyrin base or, under special conditions, they may form double or triple deckers. One way to stabilize monoporphyrinate complexes is to use strongly coordinating ligands such as diketonates, *e.g.* acetylacetonate (acac), or capping tripodal ligands, *e.g.* hydrido-tris(pyrazol-1-yl)borate (Tp^{H})⁵⁵ or Kläui's ligand (CoP^{Et})⁵¹ as depicted in Fig. 50. The tripodal ligands protect the monoporphyrinates from interaction with the solvent and overall quantum yields of 3.2% and 2.4% have been reported for $[\text{Yb}(\text{TPP})(\text{L})]$ in CH_2Cl_2 with $\text{L} = \text{Tp}^{\text{H}}$ and CoP^{Et} , respectively. Protection from solvent interaction is demonstrated by the overall quantum yield of $[\text{Yb}(\text{TPP})(\text{Tp}^{\text{H}})]$ increasing to only 3.4% in going from CH_2Cl_2 to CD_3Cl .⁷² Replacing water and chloride in $[\text{Yb}(\text{PorR}_4)(\text{H}_2\text{O})_3]\text{Cl}$ (R is *p*-hydroxyphenyl and substitution is in the *meso* positions) with CoP^{Et} leads to a 4.4-fold increase in the peak intensity of the Yb^{III} luminescence in ethanol, with lifetime reaching 40 μs .⁶⁹ In another study the water molecules in $[\text{Yb}(\text{TPP})(\text{H}_2\text{O})_3]\text{Cl}$ have been replaced with various bi- and tridentate ligands to yield $[\text{Yb}(\text{TPP})\text{L}(\text{H}_2\text{O})]$ with $\text{L} = 8$ -hydroxyquinolate, 1-hydroxy-7-azabenzotriazolate (BTA^-), 2-benzimidazolylguanidinate, and hexafluoro-acetylacetonate (hfa^-) or Tp^{H} , in which all inner sphere water molecules are removed; the intrinsic quantum yield in dichloromethane, calculated with a literature value of $\tau_{\text{rad}} = 1.2$ ms, improved from 0.1% to 1.7% for BTA^- and Tp^{H} .²⁸⁰ The capping ligand Tp^{H} has also been used to isolate $[\text{Nd}(\text{TP}^{\text{R}}\text{P})(\text{Tp}^{\text{H}})]$ with $\text{P}^{\text{R}} = p$ -substituted phenyl ($\text{R} = \text{H}, \text{OME}, \text{Me}, \text{Cl}, \text{F}$); intrinsic quantum yields in toluene are in the range of 0.1–0.33%;²⁸¹ similar values (0.18–0.28%) are obtained with CoP^{Et} as the tripodal ligand.⁵⁴

Neutral bidentate ligands such as phenanthroline and its derivatives are equally efficient for replacing the solvent molecules in $[\text{Yb}(\text{TPP})(\text{Ac})(\text{Solv})_2]$ and improving the photophysical properties, with the intrinsic quantum yield, calculated assuming $\tau_{\text{rad}} = 1.2$ ms, increasing from 0.13% to about 1.5% in toluene.²⁸²

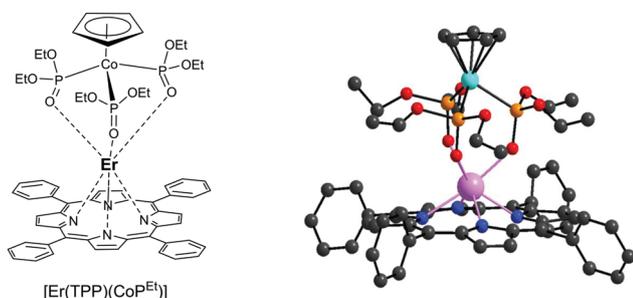


Fig. 50 Stabilization of a monoporphyrinate complex with Kläui's tripodal capping ligand. Drawn from data in ref. 51.

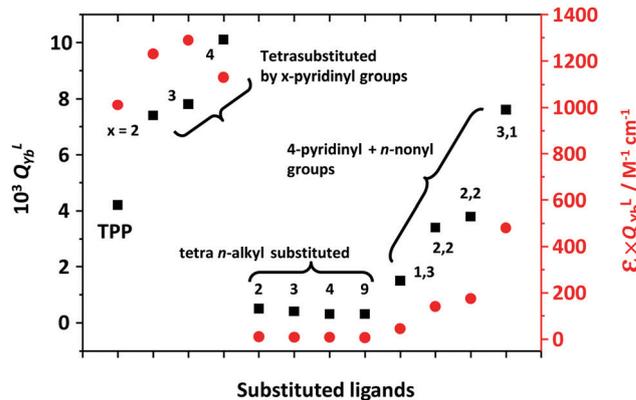


Fig. 51 Quantum yield (black squares) and brightness (red circles) of $[\text{Yb}(\text{subst-Por})(\text{acac})]$ complexes in methanol where Por is meso-substituted with different pyridinyl and alkyl groups. For alkyl groups, the numbering indicates the length of the carbon chain; for mixed substitution, the numbering refers to the number of pyridinyl/alkyl groups; there are two positional isomers for (2,2). Drawn from data reported in ref. 283.

Influence of *meso* substitution of porphyrin by various alkyl (ethyl, *n*-propyl, *n*-butyl, *n*-nonyl and 2-, 3-, and 4-pyridinyl groups) on Yb^{III} luminescence has been elucidated; the quantum yield and brightness ($B = \epsilon \times Q_{\text{Ln}}^{\text{L}}$) obtained by excitation in the Soret band are displayed schematically in Fig. 51 along with those for $[\text{Yb}(\text{TPP})(\text{acac})]$ as a reference. The lowest quantum yields are obtained with the alkyl-substituted ligands and they decrease with increasing chain length, probably due to an increased number of C–H groups and to a larger flexibility of the alkyl chain leading to more vibrational quenching, reflected by a decrease in lifetime from 1.7 to 0.9 μs . Replacing the phenyl groups by pyridinyl ones results in a substantial increase of the quantum yield which reaches a maximum (1%) for 4-pyridinyl groups; this can be explained by better π -conjugation. The mixed substitution confirms these findings and exemplifies the positive effect of 4-pyridinyl groups on the quantum yield. Interestingly, the brightness of the monoporphyrinates with phenyl or pyridinyl substituents remains high (~ 1000 – $1300 \text{ M}^{-1} \text{cm}^{-1}$) despite the small quantum yields, owing to the large molar absorption coefficients ($\log \epsilon = 5.0$ – 5.2). It is noteworthy that the best brightness, an important parameter for practical applications, does not necessarily correspond to the largest quantum yield. Finally, the influence of the additional ligand has also been tested. Among Cl^- , Br^- , acac^- , ba^- (benzoyl acetate), and tta^- (thenoyltrifluoroacetate), acac^- yields the best performance.²⁸³

A relatively large intrinsic quantum yield has been reported for 8-coordinated $[\text{Yb}(\text{TPP})(\text{acac})(4\text{-MePhen})]$, 0.86% in methylene chloride based on $\tau_{\text{obs}} = 17.3 \mu\text{s}$ and by using $\tau_{\text{rad}} = 2 \text{ ms}$,⁷⁵ which is too long. Yb^{III} radiative lifetimes determined for 8-coordinated benzoxazole-substituted 8-hydroxyquinoline complexes in methylene chloride are in the range of 0.7–0.75 ms with an overall quantum yield of up to 2.6% and τ_{obs} of up to 20 μs ,²⁸⁴ so that the intrinsic quantum yield of $[\text{Yb}(\text{TPP})(\text{acac})(4\text{-MePhen})]$ is more probably in the range of 2.1–2.4%.



Tetraphenylporphyrin has been unsymmetrized by adding one R substituent in position 7 (also designed as β -position). Among the substituents tested, chalcones gave the best photophysical results. For R = 1-methyl-prop-2-en-1-one and R = 1-phenyl-prop-2-en-1-one, the overall quantum yields of $[\text{Yb}(\text{TPP}^{\text{R}})(\text{acac})]$ in DMF solutions (5×10^{-5} M) amount to 1.3% and 4.7%, respectively; at the time of publication, the latter figure was one of the largest values reported for monoporphyrimates.²⁸⁵ One of the phenyl groups of TPP has also been derivatized in the 4-position by a polyaminocarboxylate group (EDTA or DTPA); mononuclear complexes (1 μM) in DMF show a low overall quantum yield, 0.11–0.14%, but when a second Yb^{III} ion is bound to the polyaminocarboxylate moiety, this figure goes up to 0.28–0.32%.²⁸⁶

A decisive step was achieved by a systematic investigation of deuteration and fluorination effects. Tetrakis(pentafluorophenyl)porphyrin (F_{20}TPP) has been β -substituted by deuterium or fluorine and the photophysical properties of the complexes with a non-deuterated or partially deuterated Kläui's tripodal ligand $[\text{Yb}(\text{F}_{20}\text{TPP}^{\text{X}})(\text{CoP}^{\text{R}})]$, where X = H, D, or F and R = CH_3 , CD_3 , C_2D_5 or $\text{C}(\text{CD}_3)_2$, have been determined in methylene chloride and compared.¹⁰⁰ Deuteration of the methyl groups in Kläui's ligand increases the overall quantum yield from 5.1% for $[\text{Yb}(\text{F}_{20}\text{TPP}^{\text{F}})(\text{CoP}^{\text{CH}_3})]$ to 25% for $[\text{Yb}(\text{F}_{20}\text{TPP}^{\text{F}})(\text{CoP}^{\text{CD}_3})]$ (Fig. 52), while the lifetime increases from 49 to 285 μs . Replacing the deuterated methyl groups with deuterated ethyl or isopropyl groups has no influence so that subsequent measurements have been performed with the CD_3 -derivatized tripodal ligand. The influence of the β -substituents is also quite dramatic, the quantum yield increasing from 3.9% (H) to 15% (D) and 25% (F), with lifetime increasing from 54 to 180 and 285 μs , respectively. On the other hand, the hydrogen atoms of the phenyl substituents have less effect with the quantum yield of $[\text{Yb}(\text{TPP}^{\text{F}})(\text{CoP}^{\text{CD}_3})]$ remaining high at 20%, with a lifetime of 197 μs . As a comparison, $[\text{Yb}(\text{TPP})(\text{CoP}^{\text{Et}})]$ shows an overall quantum yield of only 2.4%. Therefore, the combined complete fluorination of the macrocycle and partial deuteration of the tripodal ligand improves the quantum yield by one order of magnitude! In deuterated methylene chloride the quantum yield of $[\text{Yb}(\text{F}_{20}\text{TPP}^{\text{F}})(\text{CoP}^{\text{CD}_3})]$ reaches 63% with a lifetime of 714 μs .¹⁰⁰ Until then the largest quantum

yield reported for Yb^{III} was 12% for a deuterated cryptate in deuterated methylene chloride.

Biomedical applications require water-soluble luminescent probes. A water-soluble ytterbium monoporphyrinate has been designed for this purpose. The porphyrin features three pentafluorophenyl groups, while the fourth phenyl group is *para*-substituted with a water-soluble moiety bearing either a quaternary amine (Lig-N) or a rhodamine B functionality (Lig-RhB). The ytterbium complex with Lig-N has a quantum yield of 2.7% in water and a lifetime of 12 μs upon excitation in the Soret band. It is efficient as a phospholipid marker and can differentiate between cancerous and normal cells *in vitro*.¹²² The other ligand has been designed for targeting mitochondria and the coordination is completed by the capping ligand CoP^{Me} (Fig. 23). In water, the overall quantum yield of this bioprobe reaches 2.5% under excitation in the Soret band at 430 nm and the $\text{Yb}({}^2\text{F}_{5/2})$ lifetime amounts to 18 μs . An additional feature of this probe is its ability to be excited at 860 nm by a two-photon process, with a sizable cross section of 375 GM .⁷⁰ When one or two BODIPY chromophores are linked by ethynyl or phenylethynyl groups to the porphyrin framework, replacing phenyl group(s) or pentafluorophenyl group(s) or triphenylamine substituents, the lifetime increases in the range of 37–43 μs and the corresponding $[\text{Yb}(\text{subst-Por})(\text{CoP}^{\text{Me}})]$ complex can be excited specifically in the BODIPY substituent at 528 nm; however, due to a relatively weak coupling with the porphyrin moiety the antenna effect of BODIPY remains modest, resulting in an overall quantum yield in the range of 0.35 to 0.73% only (Fig. 53, top).²⁸⁷ A useful aspect is that the two-photon cross section upon excitation at 800 nm (corresponding to excitation in the porphyrin Soret band) increases to 1000–2200 GM , so that these complexes are valuable NIR-to-NIR bioprobes. An attempt to introduce two BODIPY units as co-sensitizers of Yb^{III} luminescence in $[\text{Yb}(\text{TPP})(\text{Ac})(\text{DBP-Phen})]$ (Fig. 53, bottom) resulted in a 2.4-fold increase in the intensity of the Yb^{III}

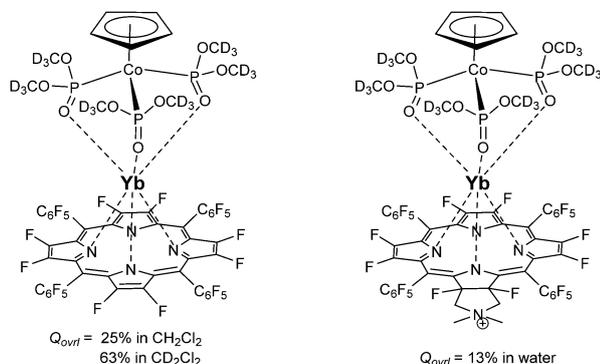


Fig. 52 Ytterbium β -fluorinated tetrakis(pentafluorophenyl)porphyrinates with high overall quantum yields.^{100,105}

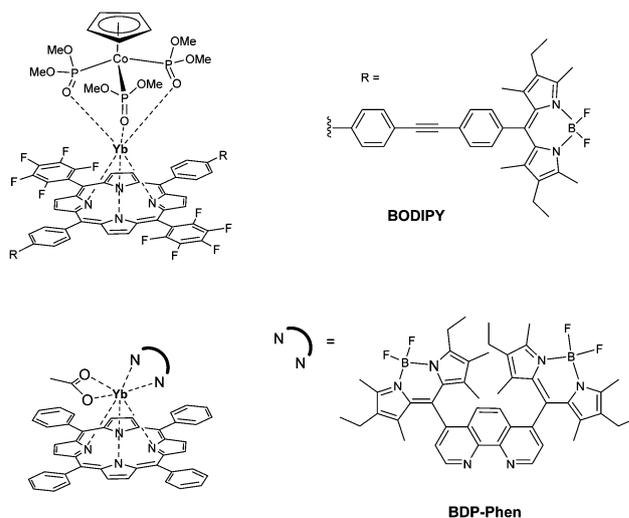


Fig. 53 Molecular structures of porphyrin-based Yb^{III} complexes conjugated to co-photosensitizers BODIPY and bis(BODIPY)phenanthroline. Redrawn from ref. 287 and 288.



luminescence with respect to [Yb(TPP)(Ac)(Me-Phen)] and a broader excitation window, but in a somewhat smaller intrinsic quantum yield.²⁸⁸

In other water-soluble bioprobes, the phenyl groups of TPP have been replaced with 1 to 4 pyridyl functions and, when at least two pyridyl groups are present, the Yb(²F_{5/2}) lifetime amounts to 30 μs.¹⁰⁴ As the literature demonstrates that fluorinating the C–H groups of organic ligands is beneficial to NIR luminescence, the β-positions of porphyrin have been fluorinated, whereas various water-soluble substituted phenyl groups have been grafted onto the *meso* positions. The complexes with a partially deuterated Kläui's tripodal ligand, [Yb(F₈TP^RP)(CoP^{CD3})], display sizeable overall quantum yields upon excitation in the Soret band: 5–13% in water and 9–23% in DMSO with lifetimes in the range of 56–173 μs in water and 84–249 μs in DMSO. The best performances are reached with F₂₈TPP^{PvTP}P, the completely fluorinated tetrakis(pentafluoro)porphyrin having one pyridinyl group fused with dimethylpyrrolidinium for water solubility. This porphyrinate has a remarkable photostability of over 3 h in water containing 0.1% DMSO upon 405 nm irradiation (0.2 W cm⁻²). In phosphate buffer saline (pH = 5), the same irradiation leads to a 10% decrease of the Yb^{III} emission intensity after 4 h, while the corresponding non-β-fluorinated complex sustains 55% photobleaching.¹⁰⁵ The ytterbium β-fluorinated porphyrinates are therefore adequate probes for NIR bioimaging^{277,289} or for the quantitative visualization of molecular events *in vivo*, such as pH determination.¹⁰⁶

4.3.2 Ytterbium double and triple deckers. There are very few studies dealing with NIR sensitization of Yb^{III} with porphyrin double or triple deckers. A recent example is making use of a simple porphyrin derivatized in 5,15 with diethyleneglycol *p*-substituted phenyl groups for enhancing solubility (H₂DEG-Por). The corresponding [Yb(DEGPor)₂] double decker has a quantum yield of 2.8% in water under excitation at 425 nm, which is comparable to the quantum yield of the monoporphyrinate with Lig-N (*vide supra*), but the lifetime is longer, 23.6 vs. 12 μs, and the brightness is higher, 2540 vs. 1850 M⁻¹ cm⁻¹, a definite advantage for bio-applications.¹²²

4.3.3 Other lanthanide porphyrinates. N-Confused porphyrins are isomers of porphyrins in which one of the pyrrole rings is connected to the *meso* carbon atoms at the α- and β-positions. These porphyrins bind heavy lanthanide ions such as Er^{III} and Yb^{III} in the same way as regular porphyrins with an η² agostic interaction between Yb^{III} and the inner-core C–H groups. As the resulting compounds have the tendency to decompose in air, stabilization by a tripodal ligand is usually required. A series of complexes with tetraphenyl-N-confused porphyrins with the phenyl group bearing various substituents (Me, F, CN, C≡C(TMS)) revealed that sensitization of NIR luminescence is less efficient than in complexes with corresponding regular porphyrins, as shown by the Yb(²F_{5/2}) lifetime in toluene remaining short, 0.19–0.38 μs.²⁹⁰

The luminescence of other NIR-emitting lanthanide ions is also sensitized in monoporphyrinates, but far less quantitative data are at hand. Overall quantum yields of 0.2% and 0.1%

were reported for [Ln(TPP)(CoP^{Et})], Ln = Nd and Er, respectively; the corresponding values for [Ln(TPP)(Tp^H)] are 0.24% and 0.09%, respectively.⁷² A series of Nd^{III} tetraphenyl monoporphyrinates have been studied, with the phenyl groups substituted with various functions (H, Me, OMe, F, Cl, Br), and intrinsic quantum yields in toluene were estimated to lie between 0.19 and 0.28% taking into account a literature radiative lifetime of 0.25 ms. The best sensitization was obtained for the bromo-substituted complex.⁵⁴ With Tp^H as a capping ligand, intrinsic quantum yields between 0.1% (F) and 0.33% (H, Me, Cl) were obtained in the same solvent.²⁸¹ 8-Hydroxyquinoline is known to be a good sensitizer of lanthanide NIR luminescence and was attached to the α₄-atropisomer of TPP. These groups bind Nd^{III}, while the core of the porphyrin can be coordinated to a divalent transition metal ion such as Pd^{II}, which acts as a co-sensitizer of Nd^{III} luminescence. The quantum yield upon excitation into the 8-hydroxyquinoline group amounts to 4.3 × 10⁻³% for the Nd^{III} porphyrinate alone and to 9.9 × 10⁻³% when Pd^{II} is coordinated into the core, exemplifying the double sensitization process (Fig. 22).¹¹⁸

Because of the telecommunication applications of Er^{III}-doped materials and bio-applications of some Er^{III} complexes emitting in the second NIR biological window which are also tumour-selective probes,¹²⁵ several Er^{III} porphyrinates were investigated; however, few quantitative data are reported often in view of too faint emission. An overall quantum yield value of 0.04% has been reported for [Er(TPP)(acac)] in chloroform; doping this complex in a film of the poly(arylene-ethynylene) polymer increases its NIR emission intensity in view of efficient Förster energy transfer from the polymer to the porphyrin ligand.²¹⁵ A slight increase in quantum yield is observed upon fluorination of the phenyl groups (F₂₀TPP), while the value approximately doubles when the β-positions are additionally fully brominated (Br₈F₂₀TPP).²⁹¹ Hybridization of [Er(Cl₄TPP)(acac)(R-Phen)] (R = 5-(*N,N*-bis(3-propyl)ureyl) results in a homogeneous and transparent material that could be useful, but the lifetime (2 μs in toluene, R = Me) is reduced 50–70%, pointing to quenching from the outer coordination sphere.⁵⁶

4.3.4 Lanthanide complexes with porpholactones. Studies on the relationship between the structure and photophysical properties of natural chlorophylls evidenced the importance of β-peripheral modification of the core macrocycle, triggering numerous attempts to transpose these conclusions on lanthanide porphyrinates in order to enhance their photophysical properties. Adjustment of the extent of π-conjugation and the degree of distortion from the planarity of the macrocycle, as well as introduction of various substituents in the *meso* and β-positions, as described above, has been attempted with variable results so that the fine-tuning of photophysical properties still remains a challenge. In an effort to explore new horizons, Zhang's group started to investigate the possibilities offered by tetra(pentafluorophenyl)porpholactones (Fig. 54A) that were discovered serendipitously in 1989 and in which a β-pyrrolic double bond of *meso*-C₆F₅-tetrasubstituted porphyrin (F₂₀TPP) has been replaced with a lactone group.²⁹² With respect to



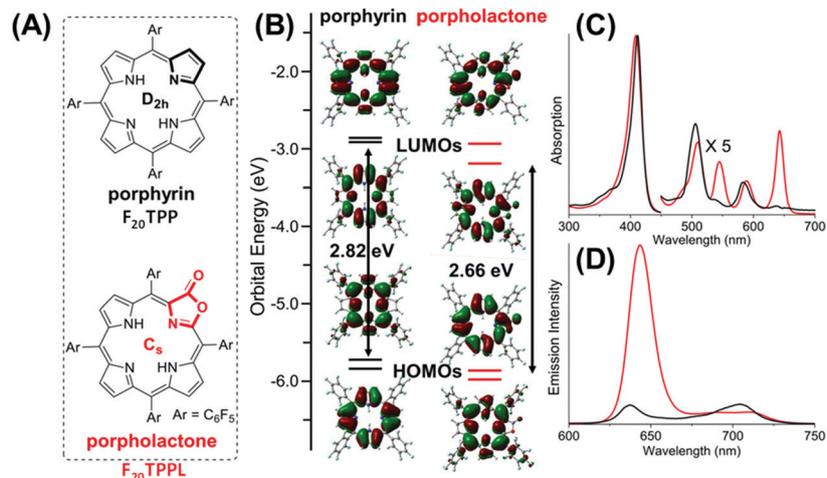


Fig. 54 Comparison between tetrakis(pentafluorophenyl)-substituted porphyrin and porpholactone: (A) chemical formulae; (B) molecular orbitals; and absorption (C) and emission (D) spectra. Adapted with permission from ref. 292, copyright (2019) The American Chemical Society.

porphyrins, porpholactones have a lower symmetry resulting in a larger splitting of the LUMO and LUMO+1 orbitals so that the HOMO–LUMO gap is reduced, from $\sim 22\,750\text{ cm}^{-1}$ in $F_{20}\text{TPP}$ to $\sim 21\,450\text{ cm}^{-1}$ in $F_{20}\text{TPPL}$ (Fig. 54B). While the Soret band at $\sim 420\text{ nm}$ is hardly affected in going from the porphyrin to the porpholactone frameworks, the absorption spectra of the Q bands display more changes, particularly with respect to their intensity (Fig. 54C), and this is also true for the emission bands (Fig. 54D). On the other hand, the coordinative properties of the two macrocycles remain very comparable, so that porpholactones appear to be valuable alternatives to porphyrins for developing luminescent platforms for bio-applications.²⁹²

The ytterbium complexes $[\text{Yb}(\text{L})(\text{acac})]$, $\text{L} = F_{20}\text{TPP}$ and $F_{20}\text{TPPL}$, present similar spectral signatures, with a small red-shift of the Soret band (3 nm), larger red-shifts of the two Q bands (17 and 26 nm) and a much larger intensity of the more red-shifted Q band (8.3-fold). As a result, the overall quantum yield of $[\text{Yb}(F_{20}\text{TPPL})(\text{acac})]$ ($4 \times 10^{-6}\text{ M}$) in methylene chloride, under excitation of the Soret band, amounts to 3.3%, a 50% increase compared with $[\text{Yb}(F_{20}\text{TPP})(\text{acac})]$. The $\text{Yb}(\text{F}_{5/2})$ lifetime is also slightly longer in the porpholactone complex, 26.5 μs versus 23.5 μs . The relative sensitization efficiency of the two macrocyclic ligands has been estimated, under the reasonable assumption that τ_{rad} is the same for the two complexes since they have the same coordination environment, and found to be

1.33, meaning that $F_{20}\text{TPPL}$ is a better sensitizer of Yb^{III} luminescence.⁶⁷ The influence of several aryl substituents has been tested: pentafluorophenyl ($F_5\text{P}$), phenyl (P), chlorophenyl (ClP), trifluoromethylphenyl ($F_3\text{CP}$), and 1,3,5-trimethylphenyl (Me_3P) and the corresponding photophysical properties are presented in Table 1. The porpholactone derivatives are better than the porphyrin ones for all substituents; the best quantum yields (2.5% and 4.1%) are obtained with $F_3\text{CP}$ and this is also true for the highest brightness.

When $F_{20}\text{TPPL}$ is combined with a partially deuterated Kläui's tripodal ligand, $\text{CoP}^{\text{CD}3}$, and the resulting Yb^{III} complex $[\text{Yb}(F_{20}\text{TPPL})(\text{CoP}^{\text{CD}3})]$ dissolved into deuterated CD_2Cl_2 , large increases in both the overall quantum yield (6.8%) and lifetime (75 μs) are observed, pointing to the benefit of replacing C–H vibrators with lower energy C–F ones. Therefore, in order to further minimize nonradiative deactivation induced by C–H vibrations, $F_{20}\text{TPPL}$ has been fully fluorinated ($F_{28}\text{TPPL}$) and its complex with Yb^{III} synthesized, $[\text{Yb}(F_{28}\text{TPPL})(\text{CoP}^{\text{CD}3})]$. The outcome is remarkable, with a lifetime of 525 μs and an overall quantum yield of 58%;²⁹³ these figures are comparable to those for the corresponding complex with fully fluorinated porphyrin ($\tau = 714\text{ }\mu\text{s}$, $Q_{\text{L}}^{\text{F}} = 63\%$).¹⁰⁰ Experiments with two isomers of the porpholactone derivative of $F_{28}\text{TPPL}$ evidenced that, as expected, O–H vibrations quench more than C–H oscillators and that the formation of an intramolecular H-bond between the OH group

Table 1 Comparison of the photophysical properties of $[\text{Yb}(\text{L})(\text{acac})]$, $\text{L} =$ tetrakis(aryl) substituted TPP and TPPL, in methylene chloride: molar absorption coefficient ϵ of the Soret band at $\sim 420\text{ nm}$, overall quantum yield Q_{ovl} ($\lambda_{\text{exc}} = 420\text{--}425\text{ nm}$), average lifetime τ_{av} ($\lambda_{\text{em}} = 975\text{ nm}$), brightness B ($= \epsilon \times Q_{\text{L}}^{\text{F}}$), relative sensitization efficiency $\eta_{\text{O}}/\eta_{\text{Y}}$, and relative brightness $B_{\text{O}}/B_{\text{Y}}$ (subscripts o and y refer to porpholactone and porphyrin, respectively). Data from ref. 67

Substituent	Porphyrin				Porpholactone					
	$\log \epsilon$	$Q_{\text{ovl}}/\%$	$\tau_{\text{av}}/\mu\text{s}$	$B/\text{M}^{-1}\text{ cm}^{-1}$	$\log \epsilon$	$Q_{\text{ovl}}/\%$	$\tau_{\text{av}}/\mu\text{s}$	$B/\text{M}^{-1}\text{ cm}^{-1}$	$\eta_{\text{O}}/\eta_{\text{Y}}$	$B_{\text{O}}/B_{\text{Y}}$
$F_5\text{P}$	5.62	2.2	23.5	9171	5.51	3.3	26.5	10 679	1.33	1.16
P	5.62	1.6	24.5	6670	5.51	2.8	27.0	9061	1.59	1.36
ClP	5.62	1.5	26.3	6253	5.55	3.1	29.9	10 999	1.82	1.76
$F_3\text{CP}$	5.66	2.5	26.5	11 427	5.52	4.1	25.5	13 576	1.70	1.19
Me_3P	5.67	1.1	23.4	5145	5.53	2.4	25.7	8132	1.99	1.58



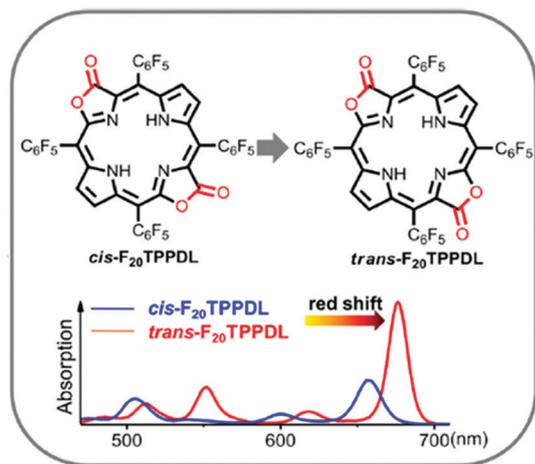


Fig. 55 Effect of *cis/trans* isomerism in the absorption spectrum of F_{20} TPPDL porphodilactone. Reproduced with permission from ref. 295, copyright (2017) The American Chemical Society.

and Kläui's tripodal ligand shortens the distance between the hydroxyl groups and the metal centre, thus leading to reduced NIR emission intensity.²⁹⁴ Furthermore, configurational isomerism in *cis/trans*- F_{20} TPPDL porphodilactone (Fig. 55) not only red-shifts the absorption spectrum of the ligand but also results in a large difference in the NIR emission of Yb^{III} in $[Yb(F_{20}TPPDL)(CoP^{Me})]$: the overall quantum yield of the *cis*-isomer amounts to 3.3%, 8-fold larger than that of the *trans*-isomer (0.44%). The corresponding sensitization efficiencies estimated using τ_{rad} calculated from the absorption spectrum (0.79 ms) are 84% and 12%, respectively. Furthermore, the *cis*-isomer presents a useful oxygen-dependent thermosensitivity in air-saturated CH_2Cl_2 between 293 and 193 K ($4\% K^{-1}$) with a concomitant increase of the lifetime from 13.6 to 31 μs .²⁹⁵

When a non-NIR luminescent lanthanide ion, such as Gd^{III} or Lu^{III} , is coordinated to porpholactones, ligand phosphorescence is observed in the range of 700–1200 nm, depending on the porpholactone. These complexes serve as efficient photosensitizers for the production of singlet oxygen⁶⁸ or as triplet sensitizers for photon upconversion based on triplet–triplet annihilation.²⁹⁵

4.4 Lanthanide phthalocyaninates and naphthalocyaninates

Most of the reported complexes are with alkyl- or alkyloxy- β -substituted phthalocyanines but quantitative data are quasi-absent. Monophthalocyaninate complexes are usually stabilized with $acac^{-}$ ²⁹⁶ or with Kläui's tripodal ligand.⁷⁹ Sensitization of lanthanide NIR luminescence is weak because the triplet states are quite low in energy, $\sim 11\,000\text{ cm}^{-1}$ for Pc, and has essentially been observed in monophthalocyaninates, but not in double or triple deckers. Back energy transfer may be a reason.²⁹⁷ A few papers report NIR sensitization; for instance, Er^{III} luminescence is seen at 1530 nm for $[Er(Pc(\beta-R_4)acac)]$ ($R = t\text{-but, O-C}_4\text{H}_9$) upon excitation into the Q band around 680 nm; the $Er(^4I_{11/2})$ lifetime amounts to 12.5–13 μs in solid state. When doped into PMMA, the resulting NIR luminescence

increases up to 8 wt% concentration but then decreases due to resonant energy transfer.²⁹⁶ In fact even ligand fluorescence and phosphorescence are weak; as an example, the three complexes $[Lu(PcR_4)(OAc)]$, $[Dy(PcR_4)_2]$, and $[Er(PcR_4)Cl]$ with $R_4 = OPh$ have fluorescence quantum yields smaller than 1% and the triplet state lifetime could only be determined for the Lu complex (25 μs , in DMSO).²⁹⁸ Very weak Nd^{III} sensitization was obtained for $[Nd_2(PcOR_4)_3]$ in DMSO.²⁹⁹ Metal-centred luminescence was observed upon excitation in the Soret band for $[Yb(Pc)(OAc)]$ incorporated into PMMA and silica gel.³⁰⁰

5 Nonlinear optical applications

5.1 Definition

A nonlinear optical (NLO) medium is a dielectric medium in which electric polarization, expressing the density of induced electric dipoles, responds nonlinearly to the external electric field generated by light. This nonlinearity is observed for very high light intensities, *i.e.*, usually produced by laser sources. There are two main phenomena associated with this situation: two- (or multiple-) photon absorption (TPA) and second (or higher) harmonic generation (SHG). The first one has been predicted in 1931 by the Nobel prize winner Maria Göppert-Mayer and observed in 1961 with the detection of two-photon excited luminescence in $CaF_2:Eu^{II}$. In this process, two photons, of the same energy or different energies, are absorbed sequentially to reach an excited electronic state after transiting through a virtual state. The rate of transition differs from linear absorption in that it depends on the square of the light intensity and the cross section is expressed in units of Göppert-Mayer, $1\text{ GM} = 10^{-50}\text{ cm}^4\text{ s photon}^{-1}$. There are several applications of TPA, optical imaging – particularly bioimaging since TPA allows one to excite in the biologically friendly NIR range, optical power limiting to protect expensive optical sensors and/or human eyes of laser damages, 3D photopolymerization, or 3D optical data storage, among others. In the second process, SHG, two photons of the same energy interact with a nonlinear material, resulting in the production of a photon with double the frequency, so that the phenomenon is also termed frequency doubling. Adequate materials are crystals without an inversion centre and a common example is potassium dihydrogen phosphate (KH_2PO_4) used for doubling the frequency of the YAG: Nd^{III} laser line at 1064 nm to obtain the popular green 532 nm line. Third harmonic generation, which depends on the third-order electric susceptibility $\chi^{(3)}$, yields the 354 nm line. Both inorganic and metal complexes with organic ligands can present these phenomena and, in this respect, porphyrins, phthalocyanines and naphthalocyanines are particularly well-suited ligands.

Regarding material requirements for efficient nonlinear optical properties, one has to consider the following equations expressing the dependence of the induced molecular dipole moment μ on the electric field, which is expressed as a series:³⁰¹

$$\mu = \alpha \cdot E + \beta \cdot EE + \gamma \cdot EEE + \dots \quad (11)$$



where α is the molecular linear polarizability, β the quadratic polarizability, and γ the cubic polarizability or hyperpolarizability. This and the following equations are simplified; for more detailed treatments, see the related references.^{301,302} The second and third terms only become important when the applied field is large, e.g. when it stems from an intense laser pulse. The macroscopic induced polarization is then expressed as

$$P = \epsilon_0[\chi^{(1)} \cdot E + \chi^{(2)} \cdot EE + \chi^{(3)} \cdot EEE + \dots] \quad (12)$$

where $\chi^{(1)}$ is the linear susceptibility, $\chi^{(2)}$ the second-order (quadratic) susceptibility and $\chi^{(3)}$ the third-order (cubic) susceptibility; in the above equation they are tensors. The relationship between $\chi^{(3)}$ and γ is given by³⁰³

$$\gamma = \frac{\chi^{(3)}}{(n^2 + 2)^4 N} \quad (13)$$

where N is the concentration of the medium in number of molecules per unit volume. The requirements for non-zero $\chi^{(2)}$ is a non-centrosymmetric material.

The second-order effect is proportional to a change in dipole moment between the ground and excited states and to the square of the oscillator strength; conversely it is inversely proportional to the energy differences between the two states; moreover, a push-pull type of molecule with charge-transfer behaviour is favourable for large β values. For third harmonic generation, the requirement for a large $\chi^{(3)}$ is mainly an extended delocalized π -system. It is noteworthy that $\chi^{(3)}$ is a complex number and literature often reports only either the imaginary part or the bulk value:

$$\chi^{(3)} = \chi_R^{(3)} + i\chi_I^{(3)} \text{ and } |\chi^{(3)}| = \sqrt{(\chi_R^{(3)})^2 + (\chi_I^{(3)})^2} \quad (14)$$

In nonlinear systems, the refractive index changes with the light beam intensity so that a nonlinear refractive index is defined, which adds to the linear refractive index n_0 :

$$n = n_0 + n_2 \cdot I = n_0 + \Delta n \quad (15)$$

where I is the light irradiance (in W m^{-2}), so that the SI unit of n_2 is $\text{m}^2 \text{W}^{-1}$ or, in the cgs system, $\text{cm}^2 \text{s erg}^{-1}$. The change in refractive index can be positive or negative and the values of n_2 are very small, usually on the order of 10^{-16} – $10^{-20} \text{ m}^2 \text{W}^{-1}$. The nonlinear refractive index n_2 is related to the real part of the third order susceptibility $\chi^{(3)}$, while the imaginary part depends on β .^{304,305}

$$\chi_R^{(3)} = \frac{4n_0^2 \epsilon_0 c}{3} n_2 \text{ (SI units)} \quad (16)$$

$$\chi_R^{(3)} = \frac{n_0}{3\pi} n_2 \text{ (cgs units)}$$

$$\chi_I^{(3)} = \frac{n_0^2 \epsilon_0 c \lambda}{3\pi} \beta \text{ (SI units)} \quad (17)$$

$$\chi_I^{(3)} = n_0 c \lambda \beta \text{ (cgs units)}$$

where c is the speed of light and ϵ_0 the electric permittivity ($8.85 \times 10^{-12} \text{ F m}^{-1}$); these relations are only valid when the absorption is small.

There are several techniques for the determination of the nonlinear parameters defined above and of the nonlinear index of refraction n_2 . One technique is electric field-induced SHG (EFISHG) where a strong electric field is applied to the sample in solution, causing a bias in the average orientation of the molecules, partially removing isotropy, and allowing second-harmonic generation to occur. The product of the permanent dipole μ by β is gained from the intensity of the second-harmonic light; separate measurement of μ leads to the determination of β . A second, well-spread technique is the degenerate four-wave mixing (DFWM) for the characterization of third-order properties. In this process, three coherent waves are incident on a nonlinear medium, and a fourth wave (the phase conjugate wave) is generated with a strength depending on a coupling coefficient proportional to the effective $\chi^{(3)}$. Henceforth, measurements of the phase conjugate intensity under various polarizations of the four beams yield the $\chi^{(3)}$ tensor components of the medium. However only the moduli of these components can be determined, and extraction of the real and imaginary components requires additional techniques.

Another common technique is the so-called Z-scan where the transmittance of a nonlinear optical material is measured with a circular Gaussian laser beam in a tight focus geometry as a function of the position z of the sample. If the beam then goes through a finite aperture (Fig. 56, top) the sample behaves as a weak z -dependent lens and the detector identifies small distortions in the initial light beam; the technique is referred to as closed aperture Z-scan and this set-up is used to determine the real part of n_2 using an appropriate model to interpret data. If the aperture is removed, the whole signal is measured by the detector, small distortions become unimportant, and the z -dependent signal is entirely due to the nonlinear absorption coefficient. The sample must be thin, namely thinner than Rayleigh length z_0 :

$$z_0 = \frac{\pi \cdot n \cdot w_0^2}{\lambda} \quad (18)$$

with w_0 being the beam radius at the focal point ($z = 0$), n the refractive index, and λ the wavelength at which transmission is measured. The nonlinear absorption coefficient β can be extracted from the measurement of transmission $T(z)$ in an open-aperture scan:

$$T(z) = \frac{C}{q_0(z)\sqrt{\pi}} \int \ln(1 + q_0(z)e^{-r^2}) dt \quad (19)$$

with

$$q_0 = \frac{\beta \cdot I_0 \cdot \ell_{\text{eff}}}{1 + \left(\frac{z}{z_0}\right)^2} \quad (20)$$

and

$$\ell_{\text{eff}} = \frac{(1 - e^{-\alpha\ell})}{\alpha} \quad (21)$$

where C is a proportionality coefficient, I_0 the irradiance of the laser source, α the linear absorption coefficient, and ℓ the physical length of the sample.



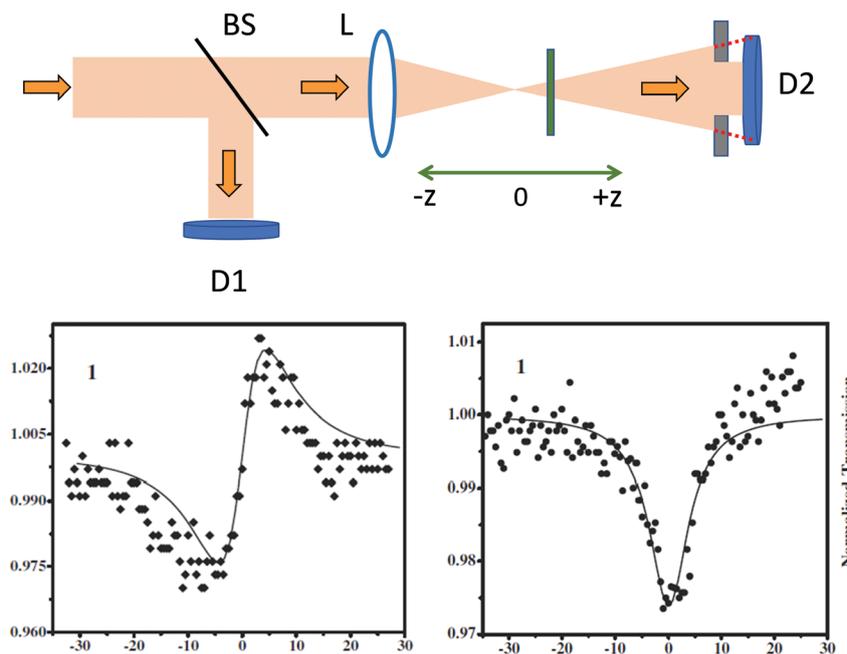


Fig. 56 (Top left) Schematic set-up for a closed aperture Z-scan experiment: BS, beam-splitter; D1 and D2, detectors; L, lens; the dotted red lines show measurement of the entire beam with the open aperture set-up. (Bottom) Normalized transmission at a wavelength of 1064 nm (20 ps pulses) of [Eu(Pc)(Pyrenyl₄Por)] in CHCl₃ versus z/z_0 obtained from closed aperture (left) and open aperture (right) Z-scans. Adapted with permission from ref. 306, copyright (2012) Elsevier B.V.

The two-photon absorption cross section σ_2 is related to the two-photon absorption coefficient β by the following formula:

$$\sigma_2 = \frac{h\nu}{N} \beta \quad (22)$$

The imaginary component of the third-order nonlinear susceptibility $\chi_1^{(3)}$ can then be calculated from β . Fig. 56 (bottom) shows the Z-scans for [Eu(Pc)(Pyrenyl₄Por)] expressed in terms of normalized transmission versus the ratio z/z_0 . The extracted parameters for this double decker are $n_2 = 9.44 \times 10^{-17} \text{ m}^2 \text{ W}^{-1}$, $\beta = 1.04 \times 10^{-30}$ (esu), and $\chi^{(3)} = 1.54 \times 10^{-13}$ (esu).³⁰⁶ Since the cgs-esu system is still often used in the field, some conversions to the SI system are listed in Table 2.

Metallo-porphyrinates and phthalocyaninates offer interesting possibilities for NLO performances. Indeed, there exists a correlation between the number of π -bonds in an organic π -delocalized system and $\chi^{(3)}$, and tetracroles and their

complexes display extensive π -systems that even develop in 3D in double or triple deckers. Moreover, these compounds have large thermal stability, up to 400 °C, rendering the processing easy, and variation of both the central metal ion and ring peripheral substituents is a practical tool for modulating their electronic properties. Electron delocalization may also be extended by the formation of polymers, aggregation, or multiple-decker compounds.

5.2 Nonlinear optical parameters for some lanthanide tetrapyrrole complexes

Nonlinear optical parameters for lanthanide tetrapyrrole complexes have been reported by several authors (see for instance ref. 299, 302, and 306–315) and have been partially reviewed.^{271,301} Data are however not consistent from one study to the other in that experimental conditions are different and usually only a few lanthanides have been studied each time.

Table 2 Conversion between the SI and cgs systems of units for some parameters used in nonlinear optics³⁰²

Parameter	Symbol	SI unit	cgs-esu unit	Conv. factor SI/cgs-esu ^a
Linear absorption cross section	σ or σ_0	m ²	cm ²	10 ⁻⁴
Linear absorption coefficient	α or α_0	m ⁻¹	cm ⁻¹	100
Two-photon absorption cross section	σ_2	10 ⁻⁵⁰ m ⁴ s photon ⁻¹	1 GM = 10 ⁻⁵⁰ cm ⁴ s photon ⁻¹	10 ⁻¹⁶
Two-photon (nonlinear) absorption coefficient	β	m W ⁻¹	cm s erg ⁻¹	10 ⁵
Three-photon absorption coefficient	γ	m ³ W ⁻²	cm ³ s ² erg ⁻²	10 ⁸
Third order susceptibility	$\chi^{(3)}$	m ² V ⁻²	esu = cm ³ erg ⁻¹	$(4\pi/9) \times 10^{-8}$
Nonlinear refractive index	n_2	m ² W ⁻¹	cm ² s erg ⁻¹	10 ³
Vacuum permittivity	ϵ_0	F m ⁻¹ = C V ⁻¹ m ⁻¹	no	$\frac{1}{b}$

^a Parameter (SI) = conversion factor × parameter (cgs-esu), e.g. σ (SI) = 10⁻⁴ × σ (cgs). ^b $\epsilon_0 = 8.85 \times 10^{-12}$ F m⁻¹ (SI); in the Gaussian (cgs-esu) system of equations, there is no ϵ_0 (see eqn (16) and (17)).



5.2.1 Third-order hyperpolarizability and susceptibility.

Some earlier data are reported in Table 3 for the double deckers LnPc₂ and a few comparative compounds, along with the linear absorption cross section at the studied wavelength. For the LnPc₂ series, the phase conjugate signal varied with the laser irradiance to the power 3.0 ± 0.3 up to 35 GW cm^{-2} , except for ScPc₂ for which saturation starts to occur at a large pump power density. The measured hyperpolarizabilities γ are large in comparison with metal-free Pc (0.2×10^{-32} esu) or monophthalocyanines of transition metals.³⁰¹ They vary with several parameters, including the nature of the metal ion and of the ligand, Pc vs. Nc for instance, as well as of the solvent, polar solvents enhancing nonlinear properties; it has also been demonstrated that $\chi^{(3)}$ is linearly proportional to the concentration of the sample.³¹⁶ Another, spectral, factor is the position of the NIR intervalence band: when it shifts toward shorter wavelengths it influences more the absorption cross section at 1064 nm and the nonlinear optical parameters tend to increase. The γ parameters reported in Table 3 have been theoretically rationalized in terms of configuration interaction of the $4f^n$ ground electronic configuration with $4f^{n-1}5d$, $4f^{n-1}6s$, and $4f^{n-1}6p$ excited configurations and found to be determined by the energy difference $\Delta E_{fs} - \Delta E_{fd}$ with ΔE_{fd} and ΔE_{fs} being equal to the excitation energies $4f^n \rightarrow 4f^{n-1}5d$ and $4f^n \rightarrow 4f^{n-1}6s$, respectively.³¹⁷ The results of the calculations are reported in Fig. 57 along with the experimental ones. As expected, the parameter γ increases with decreasing ionic radius, *i.e.*, with increasing π - π interaction between the two aromatic rings. Consistent with this argument, it seems that Nc produces a larger effect than Pc and that monophthalocyanines are less amenable to nonlinear effects compared with double deckers (Table 3).

Comparisons between double- and triple-decker properties are available. One comparison deals with complexes with octa(*t*-but-phenoxy) substituted Pc, Pc(*t*butPO)₄. For 3.4×10^{-4} M solutions in CH₂Cl₂ and with a 532 nm laser as the excitation source, γ and $\chi^{(3)}$ increase slightly (+5%) in going from {Sm[Pc(*t*butPO)₄]₂} to {Sm₂[Pc(*t*butPO)₄]₃}.³¹⁸ Another study involves heteroleptic complexes with Pc and tetra(1-pyrenyl) substituted porphyrin, TPYRP. Replacing one

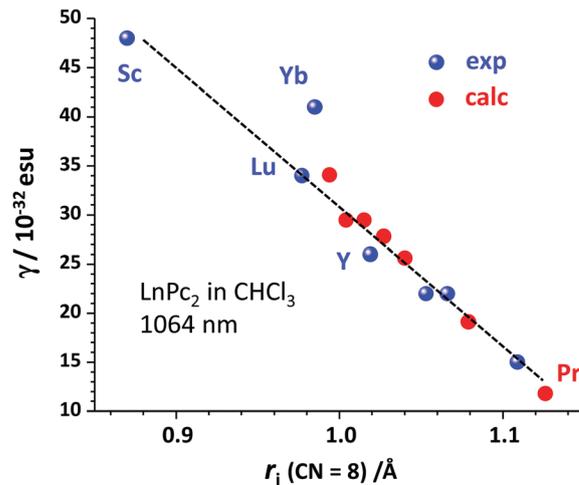


Fig. 57 Third-order hyperpolarizabilities at 1024 nm for LnPc₂ in CHCl₃. Redrawn from data reported in ref. 317.

phthalocyaninate ring in [EuPc₂] with TPYRP to yield [Eu(Pc)(TPYRP)] leads to increases in γ from 22 to 104×10^{-32} esu and in $\chi^{(3)}$ from 102 to 154×10^{-15} esu (0.72×10^{-4} M in CHCl₃). On the other hand the triple-decker [Eu₂(Pc)₂(TPYRP)] (1.19×10^{-4} M) in CHCl₃ has smaller nonlinear parameters, $\gamma = 41 \times 10^{-32}$ esu and $\chi^{(3)} = 100 \times 10^{-15}$ esu. The double decker with TPYRP has also a larger n_2 value than the triple decker, 9.44 vs. $5.81 \times 10^{-13} \text{ cm s}^{-1} \text{ erg}^{-1}$. The working wavelength was the same as that for [EuPc₂] but the techniques were different, Z-scan vs. DFWM. That the double decker has larger nonlinear parameters than the triple decker is not usual and can be attributed to the fact that the intervalence band for the double decker around 1330 nm partly overlaps with the probe wavelength at 1064 nm resulting in enhanced nonlinear effects, while the triple decker has no intervalence band.³⁰⁶ Adding the TPP ligand to [SmPc₂] to get the triple-decker [Sm₂Pc₂TPP] increases the value of $\chi^{(3)}$ from 180×10^{-15} esu (10^{-4} M in DMF, 532 nm) to 210×10^{-15} esu (5×10^{-5} M in CHCl₃, 830 nm); this value increases to 260×10^{-15} esu for [Sm₂PcTPP₂] (4.6×10^{-5} M in CHCl₃, 830 nm). The authors attribute this large increase to the fact that the B band of TPP has its maximum at 415 nm, while the pump wavelength at 830 nm is exactly the double, so that part of the enhancement may arise from two-photon resonance.³¹⁶ This shows that many factors influence nonlinear phenomena that are not always obvious to detect and therefore a detailed record of all experimental parameters is necessary when reporting such phenomena.

5.2.2 Two-photon absorption cross sections. The propensity of complexes with tetrapyrrole ligands to have a large two-photon absorption cross section is stimulating several applications. One, optical limiting, will be discussed in the next section. Another one, photodynamic therapy, is described in Section 6. Improving two-photon cross sections has therefore stirred considerable efforts leading to the synthesis of new porphyrin and phthalocyanine derivatives. Revealing the factors influencing the magnitude of two-photon absorption cross

Table 3 Absorption coefficient α at 1064 nm and nonlinear optical parameters for LnPc₂ 2×10^{-4} M in CHCl₃ and LaPc, SmPc₂, and EuNc₂ in DMF (at 532 nm) determined with the DFWM method. Experimental uncertainty on relative values of γ is estimated to $\pm 25\%$ ³⁰¹

Compound	α/cm^{-1}	$\gamma/10^{-32}$ esu	$\chi^{(3)}/10^{-15}$ esu
ScPc ₂	3.5	48	210
YbPc ₂	0.62	26	124
NdPc ₂	0.53	15	106
EuPc ₂	0.51	22	102
GdPc ₂	0.58	22	116
LuPc ₂	1.5	41	150
LaPc ^{ab}	1.3	34	130
LaPc ^{ab}	0.30	24	139
SmPc ₂ ^a	0.48	43.6	180
EuNc ₂ ^a	0.55	55	160

^a 0.1–0.3 mM in DMF and DFWM at 532 nm.³⁰³ ^b Monophthalocyanine.



sections has also been a red thread in these studies and homoleptic and heteroleptic lanthanide double and triple deckers appeared to be adequate compounds in view of the relatively large and tuneable π - π inter-ring interactions.

Within the frame of the work centred on developing efficient photodynamic procedures, hybrid systems were tested in which two macrocycles were linked by, for instance, acetylene bridges. One example is mixed M-porphyrin/Yb-phthalocyanine bimetallic complexes, with M = H₂, Zn, and Pd (Fig. 16, bottom). Excitation at 416 nm in the Soret band results in singlet-oxygen quantum yields of 1.30, 1.38, and 1.07 for PH₂-PcYb, PZn-PcYb, and PPd-PcYb, respectively. Quantum yields are larger than one because ¹O₂ can be produced by both the singlet and triplet states. When excitation is set at 675 nm, the quantum yields are less than 1. The two-photon absorption cross section σ_2 is large for these systems: 2347, 3301, and 2365 GM, respectively.¹⁰⁸ Boron dipyrromethene (BODIPY) is known for its light-harvesting and electron-acceptor properties. It has therefore been linked to phthalocyanine using phenyl-ethynyl bridges to form [Yb(Subst-Pc)CoP^{Me}] with Pc bearing different substituents: 2 BODIPY and 2 C₆F₅ (Fig. 53, top), 1 BODIPY and 2 C₆F₅ (2), 1 BODIPY and 2 triphenylamine (TPA) (3), and 1 BODIPY and 2 phenyl (4). The measured σ_2 cross sections of 10⁻⁴ M solutions in DMSO at 800 nm and acquired with the open-aperture Z-scan technique amount to 1417, 1048, 2226, and 1191 GM, respectively. The largest cross section is obtained with the strongest electron donor groups (TPA) because this conjugate has the most appropriate D-A push-pull structure. The length of the binding unit has some influence: replacing the phenylethynyl bridge with a shorter ethynyl bridge in (4) increases σ_2 to 1446 GM (+21%). The power dependence of the two-photon absorption has been checked for conjugates 1 and 3 and the slopes of the log-log plots amount to 2.02 and 1.94, respectively, confirming the two-photon mechanism.²⁸⁷

In fact, despite the acetylene or phenylacetylene bridges, the electronic communication between the two entities, BODIPY and Pc, remains small so that attention has turned towards double deckers, with good success. Table 4 reports σ_2 values for a series of [Ln(OOct₈Pc)₂] and [Ln(Pc)(OOct₈Pc)] complexes. They are larger by factors of 10–60 compared with the monoporphyriates. In the homoleptic series, the cross section increases 3.4 times between Ce and Lu, in line with increasing π - π interaction with decreasing ionic radius of the metal ion. Interestingly, the heteroleptic complexes have larger σ_2 values than the homoleptic double deckers. This may be explained by the heteroleptic structure in that the hydrogen atoms on the unsubstituted Pc function as electron donors, while octyloxy groups of the substituted Pc are electron attractive so that the complex can be visualized as a D-A system connected by a large

conjugated π system (D- π -A) and this type of configuration is known in organic chemistry for generating large nonlinear optical effects.³¹⁰

5.3 Optical limiting properties

Optical limiters are materials that are transparent at normal light intensities but become opaque under larger light irradiation. They are essentially used for the protection of human eyes and sensitive optical components such as detectors when they are suddenly and, often, unexpectedly exposed to intense laser pulses. Therefore, these materials must possess fast response speeds. The present interest focuses on materials with small ground-state absorption cross sections and high excited-state cross sections, achieving optical limiting by reverse saturable absorption (RSA). Metalloporphyrins are ideally suited because they allow high transmission in a window between the Soret and Q bands, around 500–550 nm (see for instance Fig. 43), a range ideal for excitation with the 532 nm YAG:Nd^{III} laser line. Non-linear properties of many lanthanide tetrapyrrole complexes have been investigated with attention focused mainly on optical limiting properties and it was soon discovered that these complexes have often better characteristics than more classical materials such as C₆₀ or transition metal phthalocyaninates. For instance, the erbium complex with tetrakis(*p*-diphenylaminophenyl)porphyrine (Scheme 1), [Er(TDPAPP)(CoP^{Me})], has optical limiting threshold $J_{\text{lim}} = 0.093 \text{ J cm}^{-2}$, compared with 0.15 and about 0.1 J cm⁻² for C₆₀ and MPc (M = Si, Ge), respectively.²⁷¹

A common model for interpreting optical limiting data is the so-called 5-level model depicted in Fig. 58.

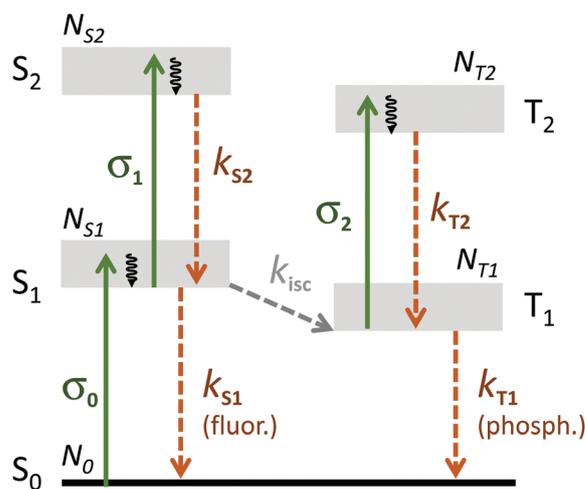


Fig. 58 Five-level model corresponding to the RSA mechanism for optical limiting. σ 's are absorption cross sections and k s are first-order rate constants reflecting the lifetime of various levels ($\tau = 1/k$).

Table 4 Two-photon absorption cross sections of a series of homoleptic [Ln(PcOOct₈)₂] (Ln = Ce, Sm, Lu) and heteroleptic [Ln(Pc)(OOct₈Pc)] double deckers determined for solutions in CHCl₃ at 800 nm by the Z-scan technique

Ln	$\sigma_2/10^5$ GM	<i>c</i> /M	<i>I</i> ₀ /GW cm ⁻²	Ref.						
Ce	0.35	Sm	0.93	Lu	1.20	—	—	5×10^{-4}	114.8	319
Y	1.28	Ho	1.26	Er	1.83	Yb	1.50	10^{-3}	118.5	310



A set of differential equations describe the population dynamics of the various levels:

$$\frac{dN_0}{dt} = -\frac{I}{h\nu} \cdot \sigma_0 N_0 + k_{S1} N_{S1} + k_{T1} N_{T1} \quad (23)$$

$$\frac{dN_{S1}}{dt} = \frac{I}{h\nu} (\sigma_0 N_0 - \sigma_{S1} N_{S1}) - k_{S1} N_{S1} - k_{isc} N_{S1} + k_{S2} N_{S2} \quad (24)$$

$$\frac{dN_{S2}}{dt} = \frac{I}{h\nu} \cdot \sigma_{S1} N_{S1} - k_{S2} N_{S2} \quad (25)$$

$$\frac{dN_{T1}}{dt} = -\frac{I}{h\nu} \cdot \sigma_{T1} N_{T1} + k_{isc} N_{S1} + k_{T2} N_{T2} - k_{T1} N_{T1} \quad (26)$$

$$\frac{dN_{T2}}{dt} = \frac{I}{h\nu} \cdot \sigma_{T1} N_{T1} - k_{T2} N_{T2} \quad (27)$$

where I is the irradiance of the light source and ν the frequency. In fact, the lifetimes of S_2 and T_2 are very short and these states rapidly relax to S_1 and T_1 , respectively, so that their populations can be assumed to be zero; the model then reduces to a three-level model and the above set of equations become³²⁰

$$\frac{dN_0}{dt} = -\frac{I}{h\nu} \cdot \sigma_0 N_0 + k_{S1} N_{S1} + k_{T1} N_{T1} \quad (28)$$

$$\frac{dN_{S1}}{dt} = \frac{I}{h\nu} \sigma_0 N_0 - N_{S1} (k_{isc} + k_{S1}) \quad (29)$$

$$\frac{dN_{T1}}{dt} = k_{isc} N_{S1} - k_{T1} N_{T1} \quad (30)$$

Typical parameters for double deckers [Ln(PcBut₈)₂] are reported in Table 5. One notes the large σ_{T1}/σ_0 ratio, suggesting that RSA is mainly determined by triplet absorption.

Assuming steady-state approximation, *i.e.* that the populations of the states remain constant for the duration of the laser pulse, the intensity-dependent absorption coefficient can be written as

$$\alpha(I, I_{sat}, \kappa) = \frac{\alpha_0}{1 + \frac{I}{I_{sat}}} \left(1 + \kappa \frac{I}{I_{sat}} \right) \text{ with} \quad (31)$$

$$I_{sat} = h\nu \frac{k_{S1}}{\sigma_0} \text{ and } \kappa = \frac{\sigma_{T1}}{\sigma_0}$$

In the above equation, I_{sat} is the intensity at which the absorption coefficient drops to half of its linear value. In fact, the ratio

Table 5 Photophysical parameters for [Ln(PcBut₈)₂] (Ln = Eu, Dy, Er, Lu) in THF.³²¹ Determined at 532 nm with the Z-scan technique. See Fig. 58 for the definition of the parameters

Parameter	Units	Eu	Dy	Er	Lu
σ_0	10^{-17} cm^2	4.7	2.6	2.9	4.5
σ_{S1}	10^{-17} cm^2	1.8	0.9	1.0	1.6
σ_{T1}	10^{-17} cm^2	22.0	14.5	20.0	16.5
σ_{T1}/σ_0	—	4.7	5.6	6.9	3.7
k_{S1}^a	10^8 s^{-1}	5.0	5.0	5.0	5.0
k_{isc}	10^8 s^{-1}	3.85	3.45	3.33	3.85

^a Fixed and taken equal to the experimental value for [LuPc₂].

I/I_{sat} can be replaced with F/F_{sat} where F is the energy density of the laser pulse. If $\sigma_{T1} > \sigma_0$, RSA is observed as an increase in the normalized energy-density-dependent absorption coefficient α/α_0 with respect to increasing normalized energy density of the pulse, F/F_{sat} . On the contrary, if $\sigma_{T1} < \sigma_0$, then saturated absorption is observed with α/α_0 decreasing with increasing F/F_{sat} . Many studies on optical limiting make use of the open-aperture Z-scan technique to gain nonlinear parameters. The reported parameters are usually α_0 , $\alpha_{ex} = \alpha_{T1}$ (or their ratio), nonlinear absorption coefficient β (or β_{eff}), $\chi_1^{(3)}$, γ_1 , and F_{sat} (or I_{sat}) but they are all rarely reported in a given paper.

Some recent data are listed in Table 6 for mono, double, triple, quadruple and sextuple phthalocyanines. The optical limiting properties are reflected by F_{sat} values which are largely inferior to 1 J cm^{-2} and even reach values in the range of $0.05\text{--}0.25 \text{ J cm}^{-2}$, while transmission can be reduced up to 80%. The reduced forms of the phthalocyanines have the tendency to yield lower F_{sat} values.³²² Introducing the optical limiting complexes into polymeric thin films is generally beneficial. For instance, when embedded into poly(bisphenol A carbonate) thin films (PBC), F_{sat} values of tris(monophthalocyanine) complexes [Ln₃Cl₃L₁] decrease from 0.31 to 0.11 J cm^{-2} (Yb) and from 0.79 to 0.26 J cm^{-2} (Lu).¹⁰⁹ Similarly, PBC thin films of {Ln[Pc(tbutOPh)₄]₂} and their reduced forms {Ln[Pc(tbutOPh)₄]₂}⁻ have much smaller F_{sat} values and transmission reduction can be as high as 88%.³²³ A very low F_{sat} value of 0.05 J cm^{-2} is reached for the PCB thin film incorporating [Nd(PcOPy₄)₂] and transmission attenuation reaches 73% instead of 39% for the solution.³²²

In an effort to improve optical limiting properties, phthalocyanines have been conjugated to various entities, *e.g.* quantum dots for the europium double and triple deckers with (PcOBut₈) and (PcOBut₆R₂) leading to a decrease in F_{sat} up to 50%,³²⁴ or gold nanoparticles in the case of a completely chlorinated phthalocyanine, PcCl₁₆. For the europium complex, the plasmonic effect of gold nanoparticles considerably increases reverse saturable absorption with the nonlinear absorption coefficient β increasing from $3.2 \times 10^{-12} \text{ cm W}^{-1}$ for the pure [Eu(PcCl₁₆)₂] compound to 11 and $18 \times 10^{-12} \text{ cm W}^{-1}$ for its conjugates with 20 and 30 nm gold nanoparticles, respectively.³²⁵ Finally, a bis(phthalocyanine) di-neodymium complex has been conjugated with amino-functionalized multi-walled carbon nanotubes (MWCNT) with large decreases in β , from 3.78 to $0.70 \times 10^{-8} \text{ m W}^{-1}$, and in F_{sat} , from 0.42 to 0.055 J cm^{-2} , which is one of the lowest values reported in the literature.³²⁶

5.4 Upconversion through triplet-triplet annihilation (TTA)

One mechanism of upconversion in organic compounds is triplet-triplet annihilation (TTA) which was first proposed in the 1960s to explain delayed fluorescence in anthracene. After initial excitation of the sensitizer molecules into their S_1 states and subsequent intersystem crossing to T_1 (ϕ_{isc}), these molecules transfer their triplet state energy to the emitter molecules (triplet-triplet transfer, ϕ_{TTT}). Triplet-triplet transfer then occurs between two emitter molecules (ϕ_{TTA}), one returning



Table 6 Optical limiting parameters recently reported for some lanthanide phthalocyaninates (excitation wavelength: 532 nm; Z-scan technique)

Complex	Conditions	Ln	$\chi^{(3)}$ (10^{-10} esu)	F_{sat}^a (J cm^{-2})	T reduction %	Ref.
[Ln(PcOPy ₄) ₂]	3.4×10^{-4} M, DMSO	La	0.74	0.25	—	327
		Yb	5.3	0.22	—	
[Ln(PcOPy ₄) ₂]	4.6×10^{-5} M, CH ₂ Cl ₂	Nd	—	0.24	39	322
[Ln(PcOPy ₄) ₂] ⁻	4.6×10^{-5} M, CH ₂ Cl ₂	Nd	—	0.15	64	
{[Ln{Pc(OPhtbut) ₈ }] ₂ }	CH ₂ Cl ₂	Ce	2.55	0.08	—	318
		Gd	2.68	0.07	—	
{[Ln ₂ {Pc(OPhtbut) ₈ }] ₃ }	CH ₂ Cl ₂	Ce	2.12	0.09	—	
[Ln ₃ Cl ₃ L ₁] ^b	3.3×10^{-4} M, DMF	Yb	1.4	0.31	—	109
	3.4×10^{-4} M, DMF	Lu	0.8	0.79	—	
[Ln(PcOBut ₆ R ₂) ₂]	CH ₂ Cl ₂	Eu	0.09	—	—	324
[Ln ₂ (PcOBut ₆ R ₂) ₃]	CH ₂ Cl ₂	Eu	0.41	0.57	—	
[Ln(PcOBut ₈) ₂]	CH ₂ Cl ₂	Eu	0.86	—	—	
[Ln ₂ (PcOBut ₈) ₃]	CH ₂ Cl ₂	Eu	0.21	0.92	—	
{Ln[Pc(OtbutPh) ₄] ₂ }	CH ₂ Cl ₂	Nd	3.77	—	48	323
{Ln[Pc(OtbutPh) ₄] ₂ } ^{-c}	CH ₂ Cl ₂	Nd	11.3	0.49	73	
{Ln[Pc(OtbutPh) ₄] ₂ } ^{-d}	CH ₂ Cl ₂	Nd	12.8	0.52	80	
{Ln[Pc(OtbutPh) ₄] ₂ } ^{-e}	CH ₂ Cl ₂	Nd	16.6	0.65	75	
[Ln(Pc) ₂]	CH ₂ Cl ₂	Nd	3.77	—	48	328
[CdLn ₂ (Pc) ₄]	CH ₂ Cl ₂	Nd	20.0	0.34	66	
[Cd ₂ Ln ₄ (Pc) ₆]	CH ₂ Cl ₂	Nd	49.1	0.10	80	

^a Absence of data means that 50% attenuation of transmittance is not achieved. ^b L₁ = 2,4,6-tris[3-thio-9,10,16,17,23,24-hexa(4-terbutylphenoxy)phthalocyaninato]-1,3,5-triazin. ^c Counter-ion: Me₃(C₁₆H₃₃)N⁺. ^d Counter-ion: [Nd(Ac)₂]⁺. ^e Counter-ion: [Dy(Ac)₂]⁺.

to its ground state and the other being promoted to a higher singlet state which finally de-excites by emitting delayed fluorescence (ϕ_F). The upconversion yield is given by

$$\phi_{\text{UC-TTA}} = \phi_{\text{isc}} \times \phi_{\text{TET}} \times \phi_{\text{TTA}} \times \phi_F \quad (32)$$

While it can be quite efficient, the phenomenon suffers usually of a relatively small anti-Stokes shift. The advantage of organic-based TTA upconversion systems over inorganic lanthanide-based upconverting systems is that excitation and emission wavelengths can be easily tuned through the choice of the components and/or their chemical modification. Polyaromatic hydrocarbons and some organic dyes are among the best emitters, while tetrapyrrole complexes with heavy transition metals, e.g. [Pt(OEtPor)], are among the best sensitizers in view of a small S₁-T₁ energy gap, a large intersystem crossing rate, a slow phosphorescence decay rate, and a large absorption cross section.

Although lanthanide ions have smaller spin-orbit constants than platinum or palladium for instance, gadolinium and lutetium porphyrinates display strong ligand-centred phosphorescence with a long lifetime, which is favourable for acting as a sensitizer in oxygen sensing or photodynamic therapy of cancer. Therefore Lu and Gd complexes with tetrakis(pentafluorophenyl)porphyrin (F₂₀TPP), porpholactone (F₂₀TPPL) and porphodilactone (F₂₀TPPDL, *cis* and *trans*) have been recently tested as photosensitizers for TTA.³²⁹ The chosen sensitizers were 9,10-bis(2-phenylethynyl)anthracene (BPEA) for [M(F₂₀TPP)CoP^{Me}] (M = Lu, Gd, Pd) and [Lu(F₂₀TPPL)CoP^{Me}], and rubrene for [Lu(F₂₀TPPDL)CoP^{Me}]; measurements were conducted in degassed toluene. The Lu complexes with F₂₀TPPDL have sensitization ability for rubrene comparable to that of previously reported Pd and Pt analogues, namely $\phi_{\text{UC-TTA}} = 0.9$ and 0.5% for the *cis* and *trans* isomers, respectively. Interestingly, [Lu(F₂₀TPPL)CoP^{Me}] has a much larger $\phi_{\text{UC-TTA}}$, 7.8%,

while for complexes with F₂₀TPP, $\phi_{\text{UC-TTA}}$ reaches 6.3% for Gd and 12.4% for Lu. As a comparison the Pd complex with the same ligand has $\phi_{\text{UC-TTA}} = 8.6\%$. Although [Lu(F₂₀TPPL)CoP^{Me}] has a smaller upconversion yield compared with [Lu(F₂₀TPP)CoP^{Me}], the incident wavelength can be shifted from 560 to just over 600 nm, which is an advantage for bio-applications. As a proof of concept, encapsulating BPEA and a Lu complex with F₂₀TPP or F₂₀TPPL into nanomicelles allows one to use the UC systems in aerated water and their imaging capability was tested successfully on HeLa cells.³²⁹

Triplet-triplet annihilation was recently invoked to explain anti-Stokes shifts in lanthanide double deckers “conjugated” with trifluoroacetic acid (TFA), [LnPc₂(TFA)₈], in which the protons of TFA interact with the *meso* N atoms of the phthalocyanine rings. Excitation in the Q band at 650 nm results in ligand fluorescence with modest anti-Stokes shifts of about 13–15 nm for some lanthanides (Nd, Sm, Eu, Gd) but not for the conjugates of Yb and Lu.³³⁰

5.5 Liquid crystals

When tetrapyrroles are fitted with long aliphatic and/or branched peripheral substituents, nematic phases can be obtained. As a matter of fact, the first lanthanide-containing metallomesogens were lutetium double deckers with phthalocyanines fitted with alkoxy chains containing 8-18 carbon atoms. In view of the nature of the ligands, most liquid crystalline phases are aligned columnar phases, where the molten alkyl chains act as insulating layers; therefore, these arrangements are a kind of molecular electric wires. The double deckers have large electrical conductivity and some, such as [Lu(Pc)₂], behave like semiconductors. Lanthanidomesogens with tetrapyrrole ligands have been thoroughly reviewed,^{35,331} and most works in this field are devoted to characterizing the mesophases along with electrochemical properties. Therefore,



we do not discuss these compounds further within the frame of this review.

6 Sensing and biomedical applications

The perfect match between lanthanide(III) ions and macrocyclic tetrapyrrole ligands gave rise to kaleidoscopes of advanced functional materials which have been chemically, electronically, photonically, magnetically and even catalytically endowed, as showcased in the previous sections and other relevant reviews. Countless lanthanide–tetrapyrrole complexes and conjugates of fascinating architectures have, over the past few decades, spanned the fields of electrochromic and optoelectronic devices, photovoltaic cells, single-molecule magnets, Lewis acid/photo-catalysts, and even molecular rotors. In stark contrast, when it comes to sensing and biomedical applications, there had been distinctly scarcer examples in the early days, given (i) their underexplored biochemistry, (ii) the problematic availability of high-purity lanthanide elements, (iii) inefficient traditional synthetic and functionalization methods, and (iv) the intrinsic hydrophobicity of tetrapyrrolic macrocycles. This situation has dramatically changed since the turn of the century and more particularly during the recent decades, in that there have been fiery developments of lanthanide–tetrapyrrole complexes and materials in sensing and biomedical domains, thanks to continual and decisive advances in synthesis and spectroscopy. Regarding the former, one can cite smart ligation strategies on tetrapyrroles for global water solubility enhancements and selective bio-targeting achievements by tailored lanthanide complexes, while two-photon excitation and improved NIR detection systems have paved the way for sensitive biosensing and bioimaging. We have named this decade as the second golden period of development of lanthanide–tetrapyrrole chemistry. In this section, we are going to discuss in detail the design strategies and the structure–property relationship of the recently designed lanthanide–tetrapyrrole complexes for biomedical applications, hopefully casting more insights for more breakthroughs in the years to come!

6.1 Chemical, biological and physical sensing

Lanthanide–tetrapyrrole systems have been widely and wisely employed in a diversity of chemical, biological and physical sensing—from various gases and metal ions, to chiral small

molecules, biomolecules, and temperature. We have selected and categorized most of the related literature as follows.

6.1.1 Gases and volatile organic compounds. In 1997, Aroca *et al.* first investigated the interaction between NO₂ and Langmuir–Blodgett (LB) films of Eu^{III} bis(phthalocyanines) as gas sensors.³³² Since then, films of lanthanide(III)–phthalocyanine sandwiches (LnPc₂) have become outstanding sensors for gases and volatile organic compounds (VOCs) due to their special electronic conductivity and spectroscopic properties.^{333,334}

(a) NO₂ & NH₃. In 2009, Öztürk's *et al.* studied the NO₂ and O₃ sensing performances of a series of Lu^{III}–phthalocyanine homoleptic double-decker complexes derivatized with various lengths of alkylthio chains in different concentration ranges (NO₂ = 1–10 ppm; O₃ = 50 ppb–1 ppm) and in the temperature range of 25–150 °C.³³⁵ The sandwich complexes exist in solid state at 25 °C and in the liquid crystalline phase at 150 °C; the response time and the sensor response were found to be highly dependent on the alkyl chain lengths. In 2012, Bouvet and Chen *et al.* prepared, by the quasi-Langmuir–Schäfer (QLS) method, solution-processed thin films of heteroleptic (phthalocyaninato)(porphyrinato) Eu^{III} triple-decker complexes with different *cis/trans*-peripheral *tert*-butyl and hydroxyl groups to be used as NH₃ sensors.³³⁶ Their supramolecular structures in nano-grains and nano-sheets were formed.

An ambipolar NO₂ and NH₃ sensor was developed by Chen *et al.* in 2007 based on QLS films of an amphiphilic and heteroleptic triple-decker [Eu₂(TPyP)(Pc)₂] complex; the sensor featured very good conductivity, crystallinity, and excellent linear sensitivity, 31.9% ppm⁻¹ for NO₂ but only 0.04% ppm⁻¹ for NH₃.³³⁷ In 2018, Jiang and Chen *et al.* discovered polymorphism (χ , β , and α phases) in the self-assembled nanostructures of new trifluoroethoxy-substituted homoleptic tris(phthalocyaninato) Eu^{III} complexes of solvent-controlled nanomorphologies (nanobowls, nanobelts and nanoparticles) and confirmed the phase-dependent sensitivity towards NO₂, phase χ > phase β > phase α ; the largest response was recorded for phase χ , with a lowest detection limit of 20 ppb for room-temperature NO₂ gas sensing.³³⁸

To further optimize the NO₂ sensing behaviour of Eu^{III} phthalocyaninato triple-decker-based thin-film sensors, Jiang, Chen *et al.* proposed to extend the π -conjugation of the system by fusing two of them to form a quintuple-decker dimer sandwich (Fig. 59).³³⁹ Significant increases in the hole and

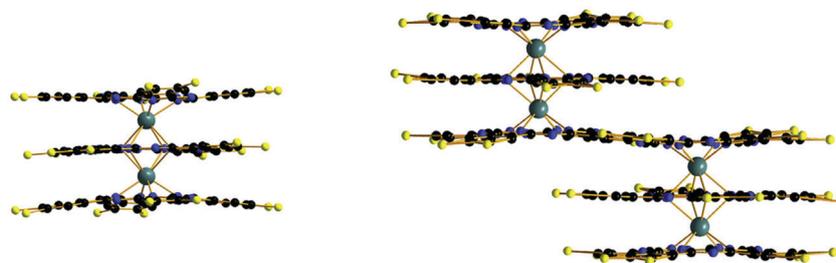


Fig. 59 Schematic molecular structures of {Eu₂[Pc(SC₆H₁₃)₃]} (left) and {[Pc(SC₆H₁₃)₃]₂Eu₂[BiPc(SC₆H₁₃)₁₂]} (right) (Eu^{III} = green, C = black, N = blue, S = yellow) with all the hydrogen atoms and *n*-hexyl chains omitted for clarity. The computed adsorption energy of the left compound towards NO₂ is −34.3 kcal mol⁻¹. Reproduced with permission from ref. 339, copyright (2019) Elsevier B.V.



electron mobilities, up to 0.07 and 0.06 cm² V⁻¹ s⁻¹, respectively, and a much higher sensitivity, from 23.4% ppm⁻¹ for the monomer to 46.7% ppm⁻¹ for the quintuple decker were recorded for this OFET-based sensor. On the other hand, the authors had also attempted to substitute one of the middle-layer Eu^{III}-layers with Cd^{II} and synthesized a novel sandwich-type Eu^{III}-Cd^{II} tetrakis(phthalocyaninato) quadruple-decker.³⁴⁰ New records of ambipolar OFET properties of such a thin film, *i.e.*, hole and electron mobilities of 5.6 × 10⁻³ and 1.2 × 10⁻³ cm² V⁻¹ s⁻¹, respectively, and effective response towards NO₂ concentrations ranging from 0.25 to 2.5 ppm, were obtained.

(b) O₃ & O₂. Differing from Öztürk *et al.*'s work using homoleptic bis[tetrakis(alkylthio)phthalocyaninato] double-decker Lu^{III} complexes for sensing O₃, Bouvet and Jiang *et al.* adopted the new QLS process in 2010 to fabricate amphiphilic heteroleptic tris(phthalocyaninato) Eu^{III} triple-decker thin-film sensing transistors, in which two layers of the phthalocyanine sandwich were peripherally functionalized with crown ether moieties and the top layer with long alkyl chains.³⁴¹ Outstanding sensitivity, and stable and reproducible responses towards O₃ were reported. By loading monoporphyrimato Lu^{III} and Gd^{III} complexes into the thin films of copolymers of poly-*tert*-butylmethacrylate, polystyrene and methylcellulose, Ermolina *et al.* constructed an optical oxygen sensor by exploiting the O₂ quenching effect on the room-temperature phosphorescence at 780 nm of the doped solid-state systems.²¹⁶ The device response time and the detection limit of O₂ were recorded as 1.0–1.2 s and 0.05%, respectively.

(c) SO₂. In 2015, a structurally novel “molecular ball” composed of a Lu^{III}-bis(phthalocyanine) complex as the core and four salen-type arch pillars was designed and synthesized by Bekaroğlu *et al.*, which, in the form of thin film, electrically and reversibly responded to SO₂ within 40 s (Fig. 60).³⁴²

(d) Volatile organic compounds (VOCs). In 2001, Rodriguez-Mendez and Saja *et al.* documented that the nature of the central lanthanide ion, the presence of *tert*-butyl groups, and the thickness of the LnPc₂ (Ln = Pr, Lu) LB films could help modulate the device response of their sensor array, which could even discriminate between different types of olive oil aromas upon principal component analysis of the signals.³⁴³ In 2001, a

wide range of VOCs, alcohols, aldehydes and esters, as well as gases, had been further examined by the authors,³⁴⁴ with the new possibility of NIR-emissive LB films of LnPc₂ as gas/VOC-sensing materials in fibre-optic sensors being showcased. By octa-substituting the phthalocyanine with imidazole moieties, Bekaroğlu *et al.*, in 2018, prepared more sensitive and semi-conducting LB films of LnPc₂ (Ln = Eu^{III}, Lu^{III}) for detecting organic vapours of toluene, ethanol, THF, *n*-hexane, chloroform, and xylene.³⁴⁵

6.1.2 Metal ions. The use of lanthanide-tetrapyrrole complexes for ion sensing is rather scarce and new, in contrast to gas sensing for which numerous reports are available. In 2010 only, Kandaza *et al.* synthesized new lanthanide(III) double-decker films for spectrophotometrically sensing Ag⁺ and Pd²⁺ based on soft-soft interaction upon functionalizing the long chain of terminated peripheral end-sites of phthalocyanines with ionophoric hydroxyhexylsulfanyl moieties.³⁴⁶ A few years later, Wong *et al.* developed a highly selective and responsive visible/NIR-luminescent probe for Hg²⁺ in buffered solutions: a capped Yb^{III} monoporphyrimato complex was fitted with a reaction-based Hg²⁺-ring-openable rhodamine B derivative to trigger fluorescence resonance energy transfer (FRET);¹²³ a detection limit of 10 mM was achieved. In 2016, Boroujerdi described a one-step synthesis of nanorods of a Ce^{III}-porphyrin triple-decker, [Ce₂(TPP)₃], functioning as a spectrofluorometric naked-eye nanosensor for measuring trace amounts of Hg²⁺ and Cu²⁺; low detection limits of 16 nM for Hg²⁺ and 2.34 μM for Cu²⁺ were obtained.³⁴⁷ In 2019, a nanosized Gd^{III}-porphyrin-based coordination polymer having good water solubility was prepared and applied as a dual colorimetric (“turn-off”) and fluorometric Fe³⁺ sensor reaching a detection limit of 98 nM.³⁴⁸ The Gd^{III}-tetrakis(4-carboxyphenylporphyrin) nanosensor was used in assays for Fe³⁺ determination in fetal bovine serum samples.

6.1.3 Small molecules and biomolecules. In the late 1990s, Shinkai *et al.* reported a strong positive allosteric effect in the recognition of chiral dicarboxylic acids with a cerium(IV) bis[tetrakis(4-pyridyl)-porphyrinate] double-decker, detected *via* circular dichroism (CD) and evidencing a Hill factor of 4. Interestingly, a memory effect was observed in that the removal of the chiral carboxylate guest left the double-decker probe CD active for 3 days at 0 °C (Fig. 61).^{162,349} The authors attributed their promising findings to the smart design and application of this lanthanide sandwich with (i) the constrained rotation of the two porphyrin planes with respect to each other at room temperature and (ii) the extreme difficulty for them to tilt. To render the system workable for specific substrates in aqueous media, the authors attached boronic acid moieties onto the pyridines, thereby creating a new water-soluble Ce^{IV} bis(porphyrinate) double-decker system with “off-on” CD signalling and positive allosterism upon binding with saccharide guests.³⁵⁰ Mechanism-wise, after binding with the first sugar molecule by one boronic acid moiety from each porphyrin, the rotational freedom of the sandwich system would be decreased, which autocatalytically facilitated the second sugar binding and made the final sandwich CD-active (Hill coefficients: 1.6 for D-glucose and 2.0 for D-fructose).

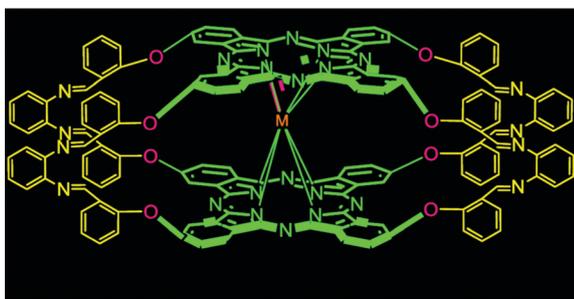


Fig. 60 “Molecular ball”: Lu^{III}-bis(phthalocyanine) sandwich complex chelated by four salen-type ligands capable of sensing SO₂. Reproduced with permission from ref. 342, copyright (2015) Elsevier B.V.



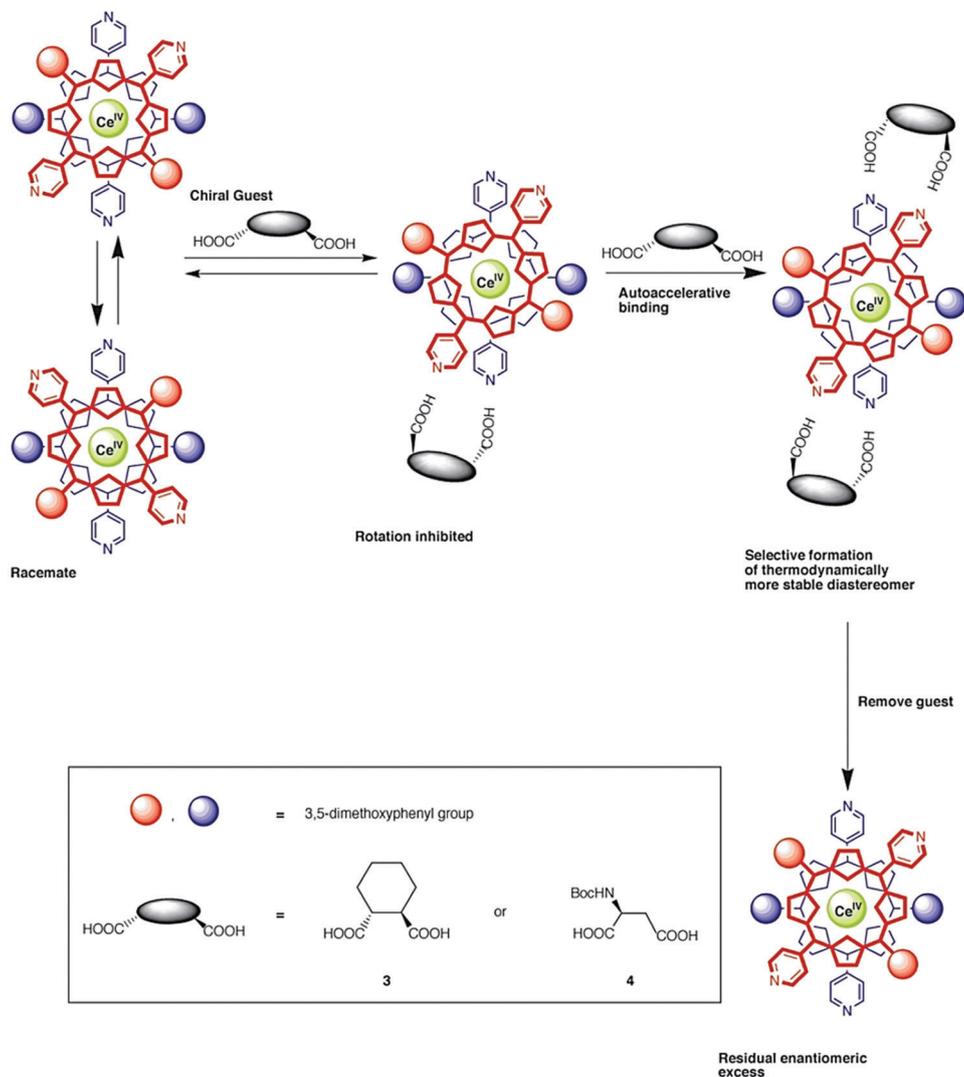


Fig. 61 Scheme for chiral dicarboxylic acid sensing by sandwich Ce^{IV}-bisporphyrinates. Reproduced with permission from ref. 349, copyright (1999) The Royal Society of Chemistry.

In 2001, Tsukube *et al.* constructed an Er^{III} porphyrinate/benzo-18-crown-6 conjugate which can synergistically recognize zwitterionic chiral amino acids and biogenic amines, actualizing induced CD-based chirality sensing of the tested biomolecules; in fact, just mixing the separate Er^{III} porphyrinate and the crown ether components failed to do so (Fig. 2).⁵⁰ More intriguingly, the authors asserted that only the Er^{III}, but not the Gd^{III} or Yb^{III}, conjugates could afford such a CD-enhancement.

In 2003, Chudinova *et al.* developed a new donor-acceptor energy transfer and NIR-emissive marker pair based on (i) fluorescein isothiocyanate and (ii) Yb^{III}-porphyrin-benzoyl trifluoroacetone-isothiocyanate; they applied it in a luminescence immunoassay for the detection of protein antigens on the surface of Langmuir-Blodgett films of antibodies; to reduce non-specific binding, a non-polar substrate consisting of layers of stearic acid was used as the substrate for the LB film.³⁵¹ A high sensitivity ($\sim 10^{-11}$ M) and a short assay time (6–8 min) were reported for the overall system.

In 2008, Basova *et al.* investigated the electrochromic properties of a spin-coated film of bis[octakis(hexylthio)phthalocyaninato] Dy^{III} deposited on an indium tin oxide electrode. This film displays a single redox couple at $E_{1/2} = 0.78$ V and was used to determine nicotinamide adenine dinucleotide hydride (NADH) in aqueous solutions.³⁵² The authors hypothesized that the oxidized form of the Dy^{III} sandwich of the chemically and electrochemically modified film would be reduced to its neutral form, *i.e.*, $[(C_6H_{13}S)_8Pc]_2Dy^+ \rightarrow [(C_6H_{13}S)_8Pc]_2Dy$, when interacting with the reducing NADH.

More recently, a new prototype of an albumin and nanosilica sensing system was proposed based on supramolecular films of polyvinyl pyrrolidone and polyvinyl alcohol comprising Lu^{III}/Yb^{III} diphthalocyanine double-decker complexes, highlighting the biomedical sensing potential of lanthanide sandwich complexes protected in biocompatible multicomponent host systems.³⁵³

In 2019, Nyokong's group reported new electrochemical sensors for detecting 4-chlorophenol, a toxic organic contaminant, in



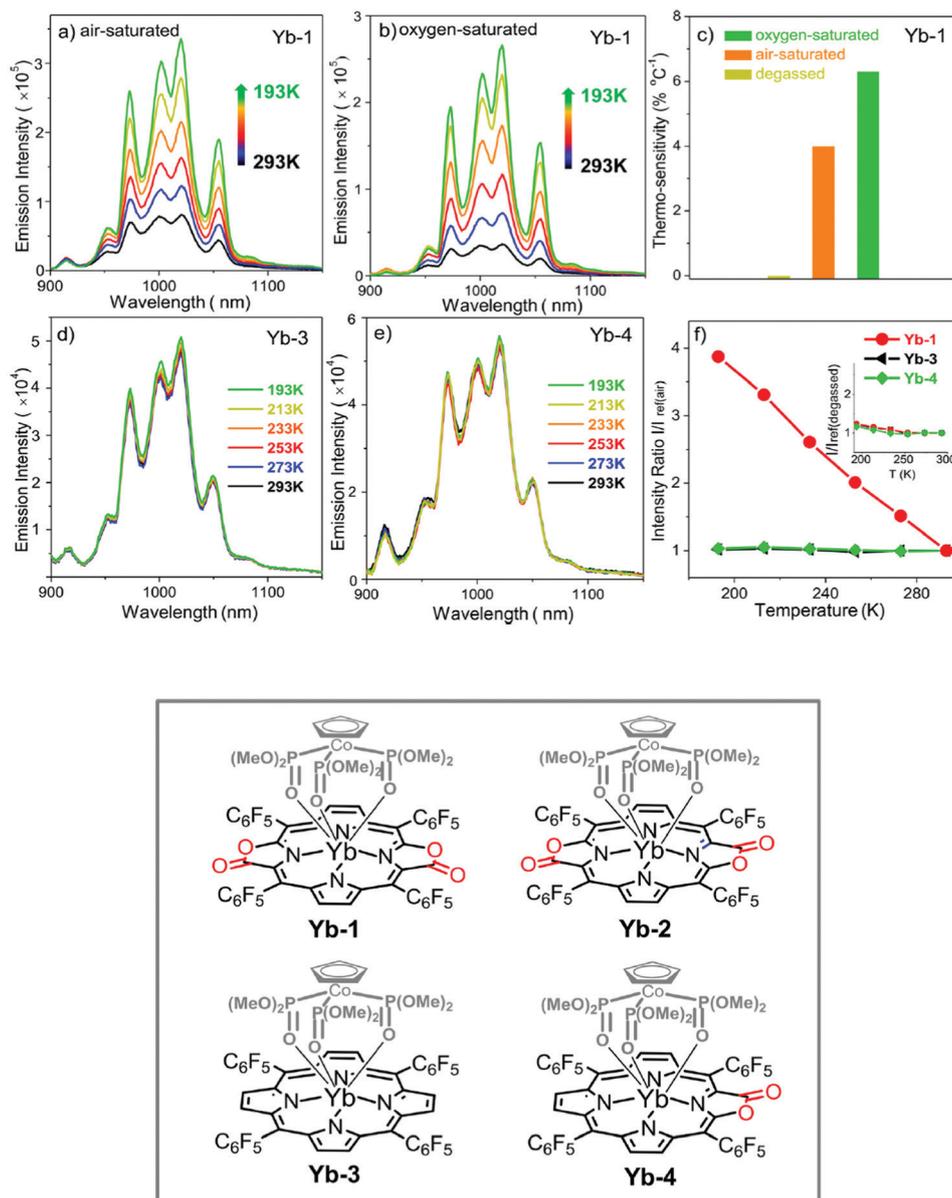


Fig. 62 Temperature-dependent emission of Yb-1 in (a) air-saturated and (b) oxygen-saturated CH_2Cl_2 (293–193 K); (c) thermosensitivity of Yb-1 in different gas atmospheres in CH_2Cl_2 (293–193 K); temperature-independent emission of (d) Yb-3 and (e) Yb-4 in CH_2Cl_2 (293–193 K); (f) emission intensity ratio normalized according to that at 293 K of Yb-1 (red), Yb-3 (black), and Yb-4 (green) in air-saturated CH_2Cl_2 (Inset: Emission intensity ratio normalized according to that at 293 K in degassed CH_2Cl_2). Reproduced with permission from ref. 295, copyright (2017) The American Chemical Society.

aqueous media by modifying a glassy carbon electrode (GCE) with two forms of blue and green diethylaminophenoxy-bearing thulium double-decker phthalocyaninates, capitalizing on their respective electrocatalytic effect arising predominantly from the redox cycles of the Pc rings. The blue form stands for $[(\text{Pc}^{2-})\text{Tm}^{\text{III}}(\text{Pc}^{2-})]^-$ (*i.e.*, both the HOMO and LUMO are fully filled), with its overall charge compensated by a hexadecyltrimethylammonium cation, and responds better to oxidizing analytes, while the green form $[(\text{Pc}^{\cdot-})\text{Tm}^{\text{III}}(\text{Pc}^{2-})]$ with one electron hole prefers reducing analytes. The authors discovered that the blue-GCE demonstrated a lower peak potential of 0.88 V vs. $\text{Ag}|\text{AgCl}$, a higher catalytic current of 593 μA , and apparent

sensitivity towards the presence of 4-chlorophenol with a lowest detection limit of 4.16×10^{-8} M.³⁵⁴

6.1.4 Temperature. Temperature-dependent lanthanide luminescence is a well-known physical phenomenon that can be exploited for thermosensing. In 2017, Zhang *et al.* modified the porphyrin antenna for Yb(III) sensitization by β -*cis/trans*-dilactonization and found that the *cis*-orientation of such dilactone moieties on the porphyrin (*i.e.*, Yb-1) exhibited “on/off” NIR emission with high oxygen-dependent thermosensitivity, 4.0% $^\circ\text{C}^{-1}$ in solution and 4.9% $^\circ\text{C}^{-1}$ in solid state (Fig. 62).²⁹⁴ This can be correlated with the apparent energy gap between the triplet state of



the *cis*-/*trans*-porphodilactone and the excited state of Yb^{III}, thus modulating the back energy transfer efficiency: *cis*-isomer $\Delta E = 1152 \text{ cm}^{-1}$; *trans*-isomer $\Delta E = -25 \text{ cm}^{-1}$ (Fig. 55).

6.2 Time-resolved NIR imaging *in vitro* and *in vivo*

In the early 1990s, Guidak *et al.* employed their home-made fibre-laser spectrofluorometer and disclosed the first proof-of-concept of luminescence contrast-enhanced (*i.e.*, autofluorescence-free) localization of tumours *in vivo* with NIR-emissive Yb^{III}-porphyrin complexes.³⁵⁵ In parallel, Sessler *et al.* pioneered the use of gadolinium texaphyrin as a novel paramagnetic contrast agent in magnetic resonance imaging.^{356,357} These translational milestones laid the foundation of a new era for molecular lanthanide complexes in modern chemical biology and clinical radiology.

One of the critical problems of commercially available organic-based imaging agents, *e.g.*, fluorescein, is high background noises during microscopic studies, which are caused by biological samples' autofluorescence and scattered light, as well as by the luminescence from the optical components and sample containers. Moreover, the poor photostability of most of them due to photobleaching is also a great concern. For this purpose, more attention has been focused on time-resolved luminescence techniques, with lanthanide complexes being new rising stars. The emission lifetimes of photostable lanthanide ions are in the micro- to milli-second scale, which is perfect for time-resolved detection. Moreover, some ions like Nd^{III}, Er^{III}, or Yb^{III} exhibit emission in the near-infrared II/III biological windows (1000–1700 nm), which enables higher tissue penetration. Several critical benchmarks have to be met when designing practical lanthanide/tetrapyrrole-based molecular *in vitro/in vivo* imaging probes: (i) high water solubility and biostability, (ii) adequate biocompatibility, (iii) impressive photophysical properties (*i.e.*, high brightness and long lifetime), and (iv) target selectivity and specificity.

In the literature, when it comes to mainstream applications of lanthanide-based bioimaging and bioanalysis, visible-emissive Eu^{III} and Tb^{III} have been ubiquitous since they usually present large values of quantum yield and brightness, and long lifetimes. In contrast, before 2010, the highest NIR emission quantum yield and lifetime of Yb^{III} with non-macrocyclic ligands were *ca.* 0.5% and < 5 μs in water, respectively.³⁵⁸ In 2011, Wong *et al.* introduced the first water-soluble monoporphyrinato capped ytterbium(III) complex linked with rhodamine B (Yb-RhB) which showed mitochondria-specific subcellular localization and, at that time, record-high NIR emission quantum yield from Yb^{III} (1% at $\lambda_{\text{ex}} = 340 \text{ nm}$; 2.5% at $\lambda_{\text{ex}} = 430 \text{ nm}$; both in water) (Fig. 23).⁷⁰ By changing the vector from rhodamine B to ammonium salt, the same authors reported later another similar Yb^{III} capped monoporphyrinate (Yb-N) which demonstrated strong binding to phosphatidylserine. The latter is an anionic phospholipid component appearing mainly in the interior of the cell membrane's lipid bilayer under normal conditions, but which is transported to the outer surface in the early and intermediate stages of apoptosis. Therefore,

Yb-N differentiates the cancerous cells from the normal cells upon selective targeting of the more anionic phospholipid membrane of cancerous cells, as demonstrated by *in vitro* NIR imaging.¹²² Given that the extent and the rate of onset of induced targeted cancer apoptosis can serve as prognostic indicators of the treatment outcome, Yb-N holds promise as an NIR prognostic bioprobe.

To further ameliorate the NIR photophysical properties, water solubility and biocompatibility of Yb^{III} porphyrin complexes, Zhang *et al.* introduced (i) fluorination at the *meso*-phenyl and β -peripheral positions of the porphyrin and (ii) deuteration of the Kläui ancillary ligand, to circumvent the quenching effect of the high-vibration X-H bonds (X = C, N and O); peripheral porphyrin β -cycloaddition and β -lactonization, and (iii) ligation with various hydrophilic side chains, were also attempted (Fig. 63).¹⁰⁵ The resulting capped monoporphyrinato Yb^{III} complexes gave rise to new records of NIR quantum yields (5–13% in water) and decay lifetimes (56–173 μs in water). Confocal live cell imaging and time-resolved fluorescence lifetime imaging (FLIM) *in vitro* verified the preferential localization of the NIR-emissive Yb^{III} complex in the lysosome and high signal-to-noise ratios for effective autofluorescence discrimination. The authors subsequently employed the complexes in high-resolution non-invasive *in vivo* NIR-II imaging of small animals' whole body, vasculature, and lymph nodes, and confirmed their safe metabolic clearance pathway through the hepatobiliary and renal systems like most organic fluorophores.²⁸⁹

In 2010, Klapshina *et al.* adopted an encapsulation approach to prepare PEG-organized biocompatible silica-modified and disk-shaped nanoparticles doped with tricyanovinylbenzene-bridged bis(ytterbium(III) cyanoporphyrinate) complexes for *in vivo* imaging.³⁵⁹ Modification of the PEG nanoparticles with a hydrophobic organosilicon component led to uniform nanoparticles. The adopted sol-gel strategy rather than the commonly used water-in-oil method appears to be ideal for biomedical work since it avoids centrifugation and washing. Host protection helped in enhancing the water nano-suspension, biostability (for several weeks), and biocompatibility of the overall system. Protecting the lanthanide emitter from undesired interactions and shielding of living organisms from potentially toxic heavy metal effects were also achieved, in parallel with a large enhancement of NIR emission upon binding to biomolecules in physiological liquids.

6.3 Biomolecular interactions

Although free tetrapyrrolic macrocycles and their transition-metal complexes had been well-identified for their imaging and therapeutic potentials and for their interactions with biomolecules *via* intercalation, stacking, and binding,^{360,361} such information for their lanthanide counterparts had long been elusive. Liu and Wong *et al.* first spectroscopically investigated the thermodynamics of the binding between a lanthanide cationic porphyrin complex (YbTMPyP) and bovine serum albumin (BSA) in water, in 2007; they confirmed that such a process is enthalpy-driven and brings the reactants in close



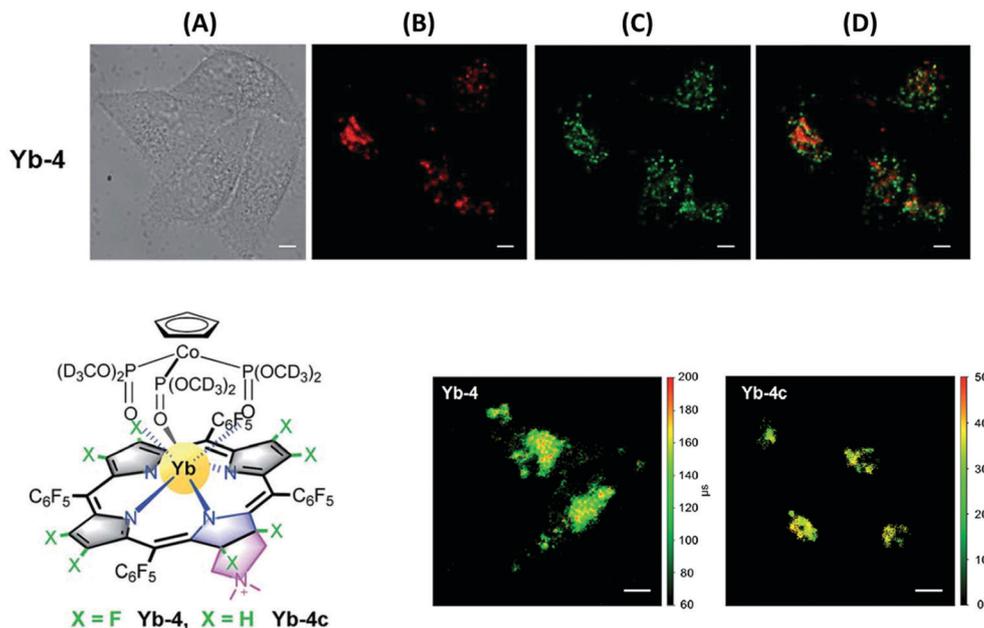


Fig. 63 Top: NIR confocal images performed on living HeLa cells incubated with 10 mM Yb-4 for 12 h followed by 30 min incubation with 75 nM LysoTracker Green. (A) Bright field; (B) NIR signal arising from Yb^{III} in channel 1 (λ_{ex} , 620 nm; λ_{em} , 935, 170 nm bandpass); (C) visible signal arising from LysoTracker Green in channel 2 (λ_{ex} , 470 nm; λ_{em} , 530, 43 nm bandpass); (D) merged b and c showing colocalization ($P = 0.52$). Scale bar: 10 μm . Bottom: NIR time-resolved images of living HeLa cells incubated with 10 mM Yb-4 and Yb-4c (λ_{ex} , 408 nm; λ_{em} , 935, 170 nm bandpass; dwell time, 4 ms). Scale bar: 10 μm . Reproduced with permission from ref. 105, copyright (2018) The Royal Society of Chemistry.

proximity so that molecular resonance energy transfer with tryptophan and tyrosine residues of BSA occurs.³⁶² In 2011, Wong *et al.* synthesized a series of water-soluble *meso*-pyridyl-substituted Ln^{III} porphyrinates with different substitution patterns and capped with a tripodal ligand, and examined their binding modes to and photocleavage of DNA. All the results of absorption and fluorescence titrations, induced circular dichroism measurements, plasmid DNA-unwinding assays and gel electrophoresis were complementary and supported the intercalative binding of the complex.¹⁰⁴ For similar porphyrin systems, yet with a long protruding hydroxamic acid tail for external lanthanide coordination, Coutsolelos *et al.* observed different DNA-interaction modes depending on the nature of the DNA: intercalation for GC-rich DNAs, outside binding for AT-rich DNAs. They reported a positive correlation between the nuclease activity and the concentration and size of the lanthanide ion. Optimal conditions were found to correspond to a ratio of gadolinium/hydroxamic acid functionality of 2 : 1.³⁶³ A working model has been proposed (Fig. 64). In contrast, a negative correlation was found for interaction with RNAs, which was explained by the lanthanide interference with respect to the interaction between the porphyrin-hydroxamic acid derivatives and the open-chain RNAs.¹⁰³ In 2017, Bağda *et al.* first studied the DNA-binding mechanism of a water-soluble Lu^{III} double-decker phthalocyaninate, bearing bioactive quaternized 5-(3-pyridyl)-1,3,4-oxadiazole-2-thiol substituent groups, with calf thymus DNA; they found that the intercalative DNA binding process of such planar sandwich structure was highly favoured, being exoenergetic, and further favoured by an increase in viscosity.³⁶⁴

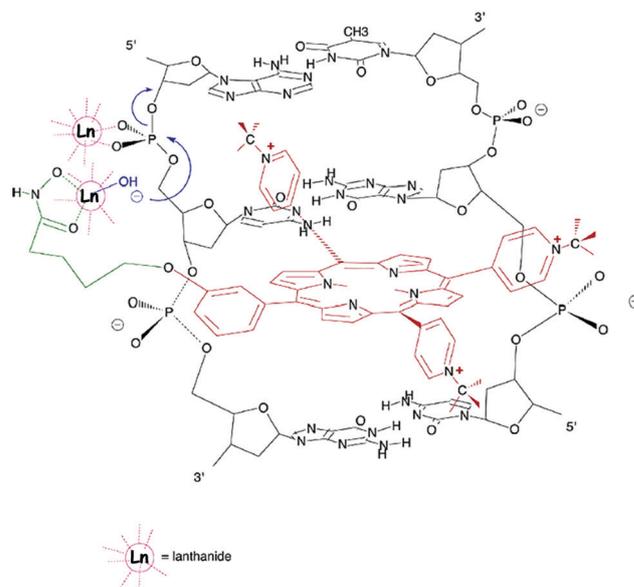


Fig. 64 Proposed model for the hydrolysis of a DNA ACG sequence by an intercalated porphyrin-hydroxamic acid-lanthanide ion adduct. Reproduced with permission from ref. 363, copyright (2001) World Scientific.

6.4 Photosensitized singlet oxygen generation

Cancer has been the leading cause of human deaths for more than a century and research focusing on its treatment has always attracted huge attention. Radiotherapy and chemotherapy are the traditional methods for killing cancer cells effectively; however, their side effects can have deleterious



effects on the patients. Therefore considerable efforts have been invested in designing efficient targeted cancer therapy while minimizing harmful side effects. Photodynamic therapy (PDT) has progressively become an attractive cornerstone for targeted cancer therapy, with tetrapyrrole-based photosensitizers being in the limelight.^{365–367} Indeed, cancer cells can be killed selectively through *in situ* photogeneration of cytotoxic reactive oxygen species (ROS). At the same time, specific targeting avoids undesired damage to normal cells. PDT proceeds in two main sequences: first, the administration of a photosensitizer into the patient's body and, second, the activation of this photosensitizer by non-thermal light of a specific wavelength, resulting in the production of singlet oxygen, which is a powerful oxidant causing serious cellular damages.⁷

Laser is the frequently used light source for PDT as the light beam is coherent and monochromatic. It allows the light to be focused on a specific targeted area in order to bring less harm to the nearby tissue. Generally, lasers emitting in the near-infrared range are applied for PDT as NIR light has deeper tissue penetration ability compared to visible light, around 3 to 8 mm; in addition, shorter wavelength excitation may also result in strong light scattering and the strong absorption of light shorter than 580 nm by haemoglobin greatly interferes with *in vivo* treatments.⁶ There are two mechanisms for ROS generation (Fig. 65). In type I mechanism, radicals are produced through a series of electron-transfer processes. After activation of the photosensitizer by light, a mixture of oxygenated products is produced. Subsequent reactions between oxygen and the radicals produce $\cdot\text{OH}$ radicals and superoxide $\cdot\text{O}_2^-$. In type II mechanism, singlet oxygen is produced from molecular oxygen ($^3\text{O}_2$) via a photochemical process. Since singlet oxygen has two valence electrons spin-paired in one π^* orbital, it is more reactive than ground state molecular dioxygen.

The photosensitizer determines the efficiency of PDT and several strategies can be used to enhance its efficiency: (i) introduction of heavy atoms such as halogens and metals in the proximity of the photosensitizer avoids the generation of

free radicals and prevents interactions with the triplet excited state. (ii) Incorporation of a central metal into the photosensitizer prevents its aggregation; the latter results in a shorter lifetime of the triplet state which, in turn, reduces the $^1\text{O}_2$ production. (iii) Expansion of the photosensitizer's conjugated system to increase its absorption efficiency at longer wavelengths for a better penetration depth in human tissue. (iv) Increasing the lipophilicity of the photosensitizer, by incorporating suitable functional groups, leads to better cellular uptake and improves its localization ability.

Since Sessler *et al.* reported that Lu^{III} texaphyrin is an effective photosensitizer with an $^1\text{O}_2$ quantum yield Φ_{Δ} in water equal to 11%, and with high biostability and biocompatibility in photodynamic therapy,^{368–370} lanthanide–tetrapyrrole complexation systems have become attractive molecular platforms for singlet oxygen generation *in vitro/in vivo*. However, as discussed in Section 2, the intrinsic hydrophobicity renders water solubilization of most tetrapyrroles significantly challenging. In addition, there is still much room for improving the singlet oxygen generation efficiency, which is limited by their small molar absorption coefficients in the biological optical windows. New and smart molecular design strategies have recently emerged to overcome these bottlenecks.

The energies of the emissive $^2\text{F}_{5/2}$ state of Yb^{III} and of the T_1 state of phthalocyanines are close so that Yb^{III} emission is prevented due to back energy transfer, while $^1\text{O}_2$ production is favoured, as seen from its phosphorescence in the NIR region. Taking this fact into consideration, Wong *et al.* developed potential one/two-photon photodynamic therapeutic agents and extended the π -conjugation of the tetrapyrrolic antenna ligand by developing acetylene bridged porphyrin–monophthalocyaninato Yb^{III} hybrids (Fig. 16). The underlying reasons for such bridging are to (i) enlarge the two-photon absorption cross-sections (σ_2) of the complexes by increasing the intramolecular charge-transfer and coherent structure domain and (ii) enhance the simultaneous $^1\text{O}_2$ production with two conjugated photosensitizing moieties.¹⁰⁸ The authors highlighted that the absorption spectra of the hybrids are not a superposition of the individual spectra of the porphyrin and the

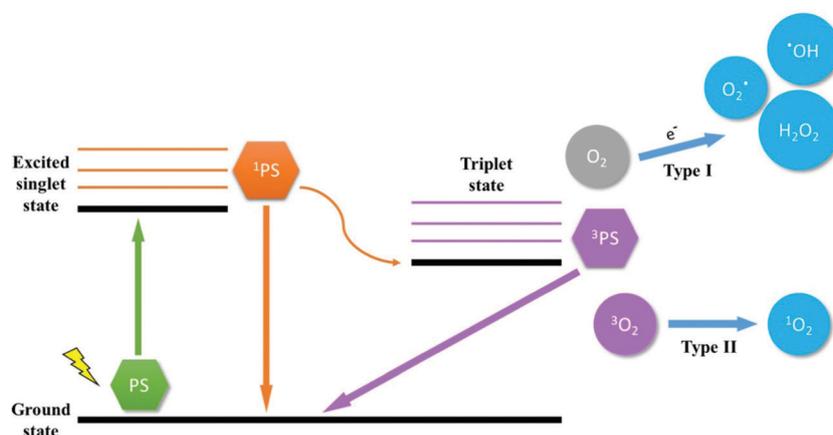


Fig. 65 Type I and type II mechanisms of $^1\text{O}_2$ generation.



Yb^{III}-phthalocyanine components. Singlet oxygen is efficiently produced in toluene upon one- or two-photon excitation either in the porphyrin Soret band or in the Q-band of the phthalocyanine: $\Phi_A = 1.07$ – 1.38 , $\lambda_{\text{ex}} = 416$ nm; $\Phi_A = 0.73$ – 0.79 , $\lambda_{\text{ex}} = 675$ nm. Hydrophilic modifications of the porphyrin chromophore to achieve better water solubility for the hybrids is highly feasible.

Apart from the π -conjugation extension strategy, Wong *et al.* continued to explore the impact of peripheral substitutions of their capped monophthalocyaninato Yb^{III} complexes on the singlet oxygen quantum yields.³⁷¹ In addition to the heavy-atom effect exerted by the lanthanide boosting the intersystem crossing (isc) from the ligand-centred singlet state to triplet state, the introduction of iodine and bulky 3,5-di-*t*-butylphenoxy substituents at the periphery further triggers isc and provides shielding from solvent-induced nonradiative quenching, leading to higher ¹O₂ yields ($\Phi_A = 0.63$ – 0.82 in toluene).

Considering the limited light penetration depth and irradiation efficiency of gadolinium–porphyrin complexes in biological medium, namely the weak Q band absorption at 500–600 nm, Zhang *et al.* proposed another π -conjugation modulation strategy to introduce a new type of macrocyclic tetrapyrrole-like antenna ligands—porpholactone derivatives (Fig. 66).⁶⁸ In nature, macrocyclic pigments such as chlorins, bacteriochlorins, iso-bacteriochlorins and pyrrocorphins have all been biosynthesized by minor structural modifications to address various problems, water solubility, oxidation resistance and deep-red to NIR absorption tunability. Inspired by this, Zhang *et al.* replaced the porphyrin's β,β' -double bond with a lactone moiety, forming a series of porpholactones, *cis*- and *trans*-porphodilactones, and the corresponding capped Gd^{III} complexes. Such replacement not only narrows the energy gap between the lowest triplet states of the ligand and the energy of the ¹O₂(¹ $\Delta_g \rightarrow$ ³ Σ_g transition) of ¹O₂, resulting in a higher yield of the reactive oxygen species, but also allows excitation in the visible to NIR spectral range. Quantum yields reach almost unity, $\Phi_A = 0.76$ – 0.99 , in chloroform. To improve the water solubility of the new systems for further *in vitro* PDT studies, the authors glycosylated the four pentafluorophenyl rings at the methenyl bridges *via* nucleophilic aromatic substitution with 2,3,4,6-tetra-*O*-acetylglucosyl thioacetates, followed by removal of the acetyl protective group.

In 2017, Cai *et al.* reported a water-soluble molecular dyad comprising two non-capped Gd^{III}–sinoporphyrin sodium moieties, Gd–DVDMS; DVDMS is a porphyrin dimer combined with an ether bond isolated from Photofrin II and named sinoporphyrin by Fang.³⁷² This system was proposed as a potential multifunctional theranostic agent, possibly accommodating two different metals.³⁷³ Gd–DVDMS exhibits large molar absorption coefficients because of the presence of two porphyrin rings and thus a reasonable ¹O₂ quantum yield ($\Phi_A = 0.46$ in methanol). The authors claimed another application of the dyad, namely phosphorescence-based oxygen sensing in PDT, by monitoring the intensity of the phosphorescence of the dyad at 712 nm, which is oxygen-sensitive.

More recently, in 2019, Wong *et al.* synthesized the first water-soluble and stable Ln^{III}–porphyrin double-deckers, LnDD

(Fig. 67), which have been a long-standing synthetic challenge. These double deckers displayed impressive NIR brightness and long lifetimes; more importantly, ¹O₂ generation was observed in aqueous solution, another long awaited property.³⁷⁴ At variance with their previous water-soluble polyethylene glycol (PEG) chain-conjugated, capped Ln^{III} monoporphyrinates (YbN & GdN), the authors strategically fitted two optimally short hydrophilic methylated diethylene glycol chains to reach water solubility; moreover, the double-deckers sensitize efficiently the NIR luminescence of ytterbium by (i) having two antenna chromophores and (ii) minimizing the inner-sphere quenching effect by expelling bound water molecules from the inner sphere. These resulted in an NIR emission quantum yield of 2.8% for YbDD in water, slightly larger than that of YbN ($\Phi_{\text{NIR}} = 2.7\%$); the singlet oxygen quantum yield of 46% in water was also better than that of YbN ($\Phi_A = 42\%$). Although the ¹O₂ generation efficiency of GdDD is smaller than those of the FDA-approved commercially available photosensitizing agents Photofrin[®] (89%) and Levulan[®] (56%) (Fig. 68), GdDD has a much higher molar absorption coefficient, 223 872 M^{−1} cm^{−1} at 412 nm and 52 480 M^{−1} cm^{−1} at 580 nm compared to 3000 M^{−1} cm^{−1} at 632 nm for Photofrin[®] and 5000 M^{−1} cm^{−1} at 632 nm for Levulan[®]. More importantly, GdDD displayed a higher photodynamic therapeutic index against HeLa cells over the normal cells and performed similarly well under both normoxic and hypoxic conditions.

6.5 Multimodal theranostics

As mentioned earlier, the past decade, from 2010 to 2020, can be landmarked as the second golden period of lanthanide–tetrapyrrole chemistry as many breakthroughs have been made, thanks to advances in synthetic chemistry: hydrophobic lanthanide–tetrapyrrole complexes have been strategically made water-soluble, biostable and biocompatible *via* novel tetrapyrrole ligand design such as peripheral perfluorination/lactonization, expanded/fused porphyrins, and PEGylation. Moreover, advanced synthesis allowed side-chain ligation and multiple-decker assembly. Such fundamental achievements pave the ways for further translational biomedical applications for these macrocyclic complexes, for instance, upon integrating their imaging capability with photodynamic therapy functionality—as novel multifunctional theranostic molecular platforms.

In 2008, Liu and Wong *et al.* documented the first *in vitro* example of a combined agent for photodynamic therapy and NIR tumour-imaging based on an Yb^{III} amphiphilic bis(porphyrin) complex.³⁷⁵ Porphyrin derivatives are likely to accumulate in tumours lacking lymphatic drainage due to their high hydrophobicity, vascular permeability and affinity towards proliferating endothelium/low-density lipoproteins. The authors took advantage of this passive bio-targeting/cellular uptake property by augmenting the molecular weight and hydrophobicity of the overall system, that is, connecting one more cationic porphyrin moiety to the Yb^{III} monoporphyrinate complex, which resulted in a tumour-selective dual NIR-PDT functional probe. It should however be noted that the greater the hydrophobicity, the higher the cellular uptake efficiency,



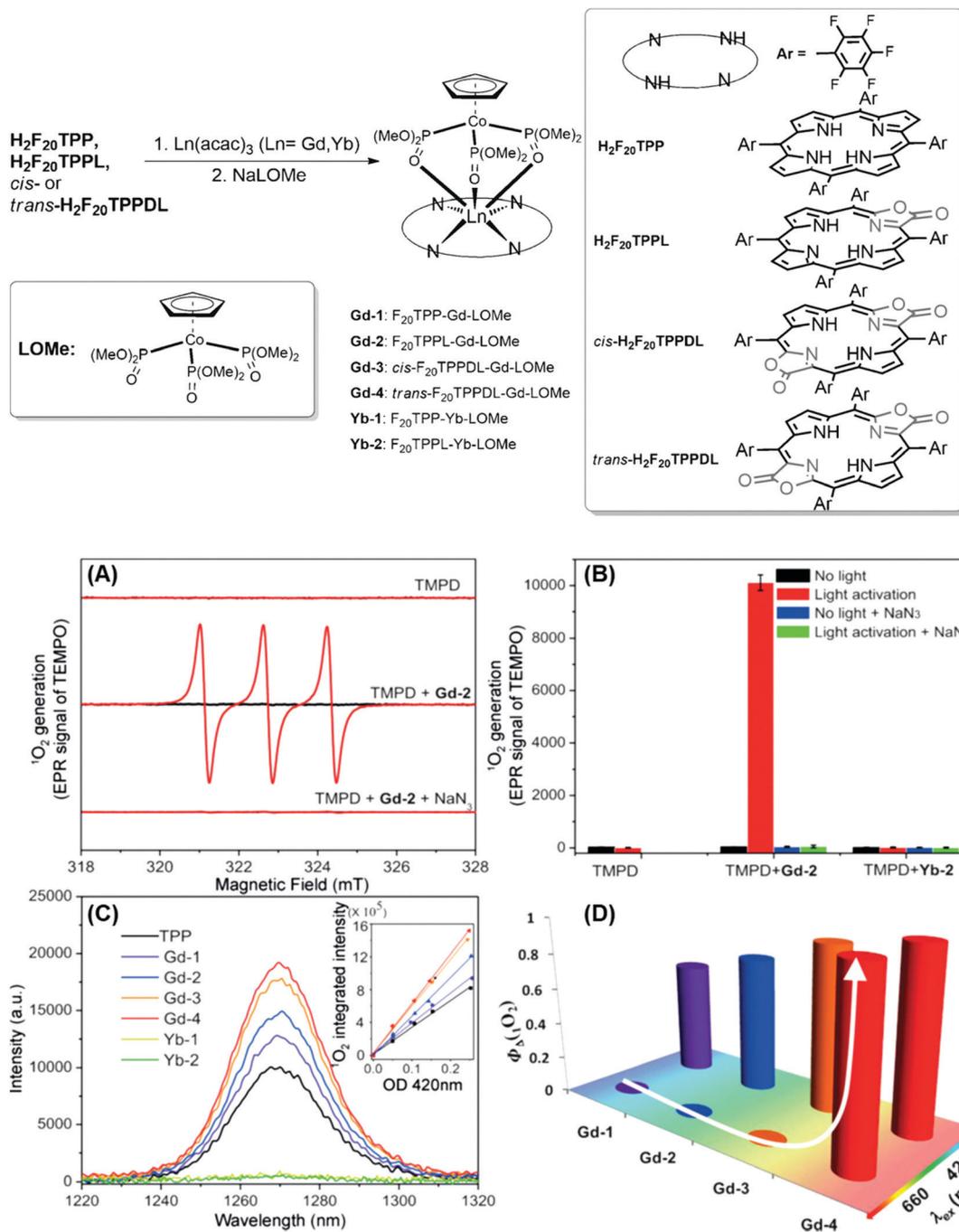


Fig. 66 Synthesis of Ln perfluorinated monoporphyrinates and porpholactone analogues for $^1\text{O}_2$ production. (A) Typical EPR spectra of nitroxide radicals detected in DMSO solution containing TMPD (10 mM) and Gd-2 (0.01 mM), and irradiated (red) or not (black) with a mercury lamp (200 W, $\lambda > 400$ nm, 2 min) in the presence (or not) of NaN_3 (10 mM). (B) Derived amount of $^1\text{O}_2$ produced under the indicated conditions. (C) NIR emission of $^1\text{O}_2$ at 1270 nm excited at 420 nm in CHCl_3 (Inset: Relative intensity of $^1\text{O}_2$ production versus absorption for Gd-1-4 and TPP at 420 nm). (D) $^1\text{O}_2$ quantum yield measured upon excitation at 420 and 660 nm in CHCl_3 . Reproduced with permission from ref. 68, copyright (2016) Wiley-VCH Verlag GmbH & Co.

but the lower the efficiency of singlet oxygen generation, due to self-aggregation, and *vice versa*.

Later, Che *et al.* described a series of monomeric Yb^{III} porphyrin complexes exhibiting potential anti-cancer activity, with IC_{50} values in the sub-micromolar range in DMSO/water.¹³⁴ The best performing complex was an OEP complex which exists as a $\mu\text{-OH}$ dimer in CH_2Cl_2 , $\{[\text{Yb}(\text{OEP})_2(\mu\text{-OH})_2]$,

and as a monomeric species in aqueous DMSO, $[\text{Yb}(\text{OEP})(\text{DMSO})(\text{H}_2\text{O})(\text{OH})]$. The authors conducted comprehensive studies using transcriptomics, bioinformatics connectivity map analysis and biochemical experiments, and confirmed that the cancer-killing mechanism of this complex could be ascribed to the endoplasmic reticulum stress-induced apoptosis pathway. This is different from other anti-cancer



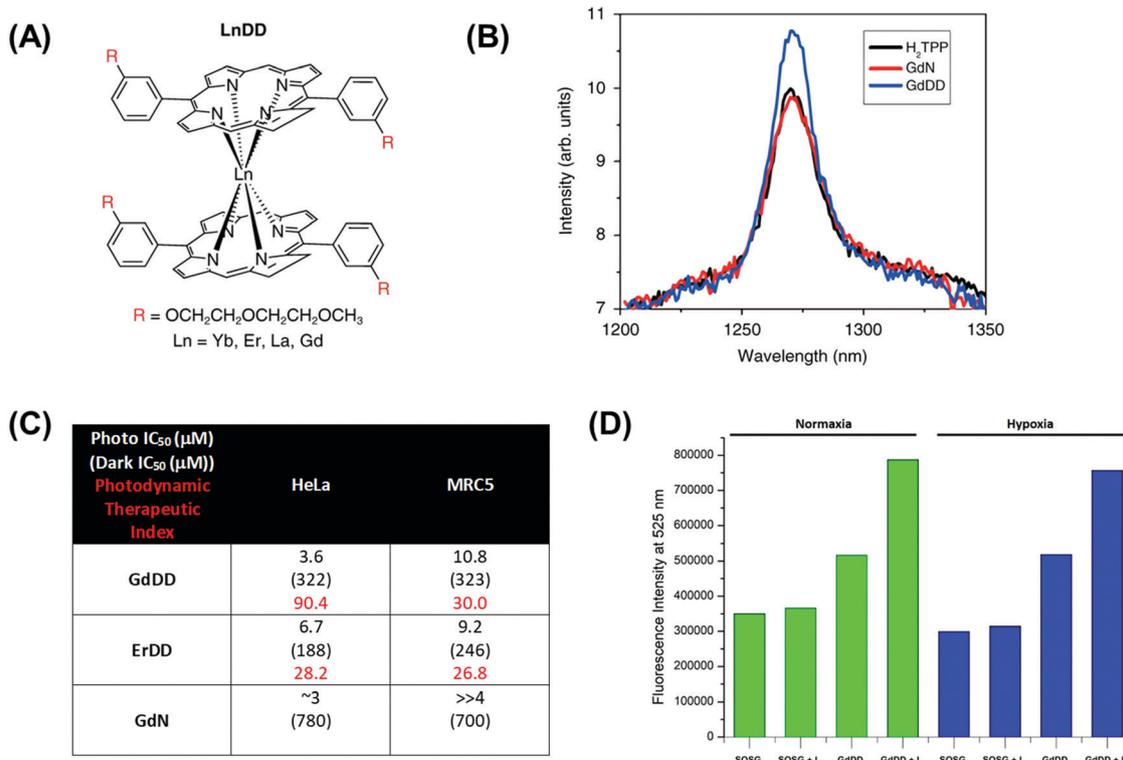


Fig. 67 (A) Structure of LnDD; (B) near-infrared $^1\text{O}_2$ phosphorescence spectrum sensitized by GdDD, GdN, and the standard tetraphenylporphyrin H_2TPP (in CHCl_3 , Absorbance = 0.05 at the excitation wavelength of 425 nm); (C) photo-/dark cytotoxicity of GdDD, ErDD and GdN towards HeLa cancer and normal MRC5 cells under 1 J cm^{-2} light irradiation ($\lambda_{\text{ex}} = 430 \text{ nm}$); MTT assays were carried out after incubation at $37 \text{ }^\circ\text{C}$ for 24 h; (D) fluorescence intensity of emission at 525 nm of singlet oxygen sensor green of different samples in normoxic and hypoxic conditions (Exc.: NIR laser, 808 nm; 2 W cm^{-2} ; 1 min). Reproduced with permission from ref. 374, copyright (2019) Nature Publishing Group.

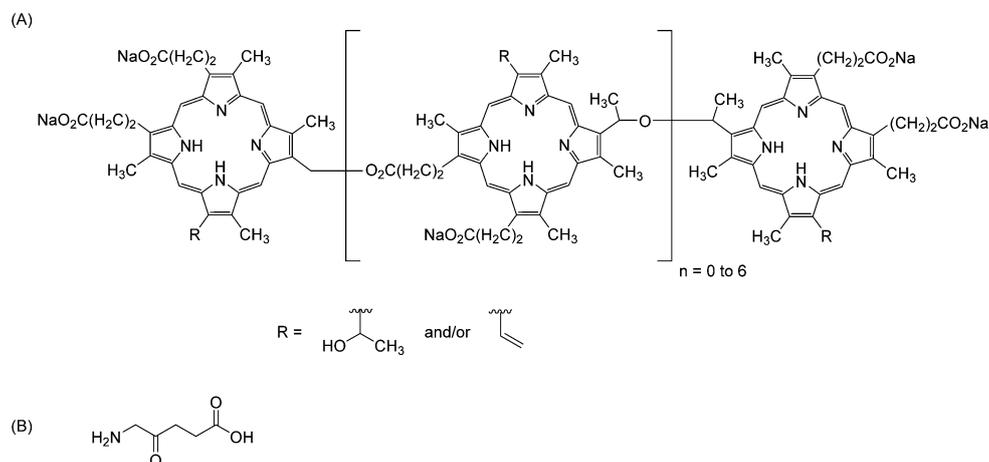


Fig. 68 Structures of (A) Photofrin[®] (Porfimer sodium, a mixture of porphyrin oligomers formed by ether and ester linkages) and (B) Levulan[®] (5-aminolevulinic acid, a biosynthetic precursor to natural photosensitizer—protoporphyrin IX).

non-macrocytic lanthanide complexes targeting and photo-cleaving cellular DNA.

The same year, in continuation of the previous work on Yb-RhB as a monofunctional NIR imaging agent,⁷⁰ Wong *et al.* replaced Yb^{III} with Er^{III} and developed a new water-soluble,

mitochondria-specific and one/two-photon-excitable porphyrin complex as a dual theranostic probe—NIR imaging and PDT (Fig. 23).¹²⁰ Indeed, the energy difference between the porphyrin triplet state and the Yb^{III}($^2\text{F}_{5/2}$) state is small so that energy transfer is highly efficient, resulting in a poor singlet



oxygen production ($\Phi_A = 2\%$ in chloroform). On the contrary, the larger energy gap between the porphyrin triplet state and the much lower $\text{Er}^{\text{III}}(^4\text{I}_{13/2})$ emissive level makes part of the excitation energy available for $^1\text{O}_2$ production ($\Phi_A = 10\%$ in chloroform). Such an autofluorescence-suppressed optically traceable mild $^1\text{O}_2$ generator selectively accumulated in the mitochondria of the cancer cells *in vitro* and enabled real-time longitudinal monitoring of the whole process for the first time.

A further improvement was disclosed by the same authors who presented a Gd^{III} monoporphyrinate fitted with a short DEG ammonium chain (Gd-N) as the next-generation blueprint of selective *in vivo* cancer-tracking and a two-photon photodynamic therapeutic agent (Fig. 69).¹²¹ The complex recognises tumour cells by their anionic phosphatidylserine membrane. It also features a large $^1\text{O}_2$ production with $\Phi_A = 51\%$ in chloroform upon excitation in the Soret band, *i.e.*, comparable to the yield of H_2TPP , in view of its highly energetic $^6\text{P}_{7/2}$ emissive level, $\sim 32\,000\text{ cm}^{-1}$ compared with 23 200, 15 300 (singlets), and 12 500 (triplet) cm^{-1} for the porphyrin ligand. Importantly, the PDT effect can be generated by 2-photon excitation in the NIR region (860 nm), which also results in exploitable NIR emission from the porphyrin for cell imaging. Gd-N-based photo-treatment at 860 nm not only efficaciously inhibits the

growth of solid tumour but also reduces it by one half after 24 h in xenografted nude mice. A final advantage of this agent is its long residence time in the mice, around 8–9 h. The authors then continued to perform SILAC-based quantitative proteomics analysis and concluded that the newly developed Gd-N PDT led to (i) dysregulation of the expression of 485 proteins, phosphorylation of 106 proteins, and oxidation of 1050 proteins; (ii) the lysosome was discovered to be chiefly affected, along with the downregulation of most lysosomal acid hydrolases and the proton pump complex ATP6V/TCIRG1, where new insights into the cellular mechanism to oxidative stress and PDT effect were provided.¹²⁴

In a search for ultimate precision multimodal PDT treatment not only selectively targeting cancer cells over the normal cells, but accurately one cancer type over the others, Wong *et al.* proposed specifically modified lanthanide porphyrin complexes as *in vitro* NIR-PDT and *in vivo* NIR-MRI-PDT theranostic agents, respectively, taking bladder cancer as an example (Fig. 70).^{125,126} Various bladder cancer-specific molecules were developed *via* a combinatorial chemistry approach by targeting the integrin $\alpha_v\beta_3$ isoform, which is overexpressed in the neovasculature of bladder cancers; the peptides were conjugated to the previously developed porphyrin platforms. In addition to

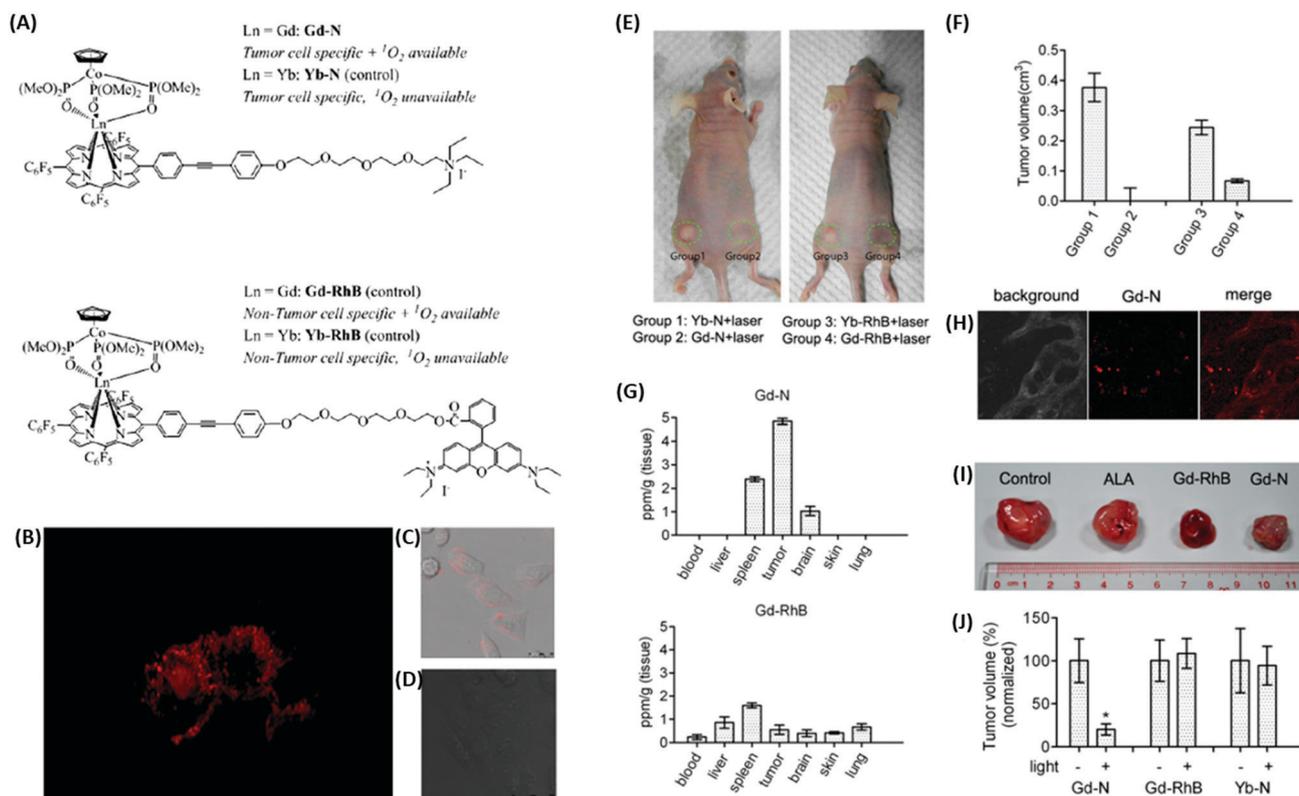


Fig. 69 Left: (A) The molecular structure of the smart cancer specific photodynamic therapy agent (Gd-N) and their control analogues Yb-N and Gd-RhB. (B) The 3D *in vitro* imaging of Gd-N after 15 h incubation in HeLa cells. (C and D) The difference in subcellular localization of Gd-N in cancer cells (HeLa) and normal cells (WPMY-1). Right: *In vivo* studies of Gd-N as the cancer cell-specific PDT agent. (E) The representative gross images of tumours after PDT under 860 nm laser irradiation for four groups administered with different complexes: group 1: Yb-N; group 2: Gd-N; group 3: Yb-RhB; group 4: Gd-RhB. (F) Tumor volume in A. (G) *In vivo* biodistribution of Gd-N via ICP-MS studies. (H) Two-photon microscopic images of tumour samples in C. (I) *In vivo* tumour inhibition assays of Gd-N. (J) *In vivo* tumour inhibition via Gd-N and Gd-RhB-induced $^1\text{O}_2$ through caudal vein injection. Reproduced with permission from ref. 121, copyright (2019) National Academy of Sciences of the United States of America.



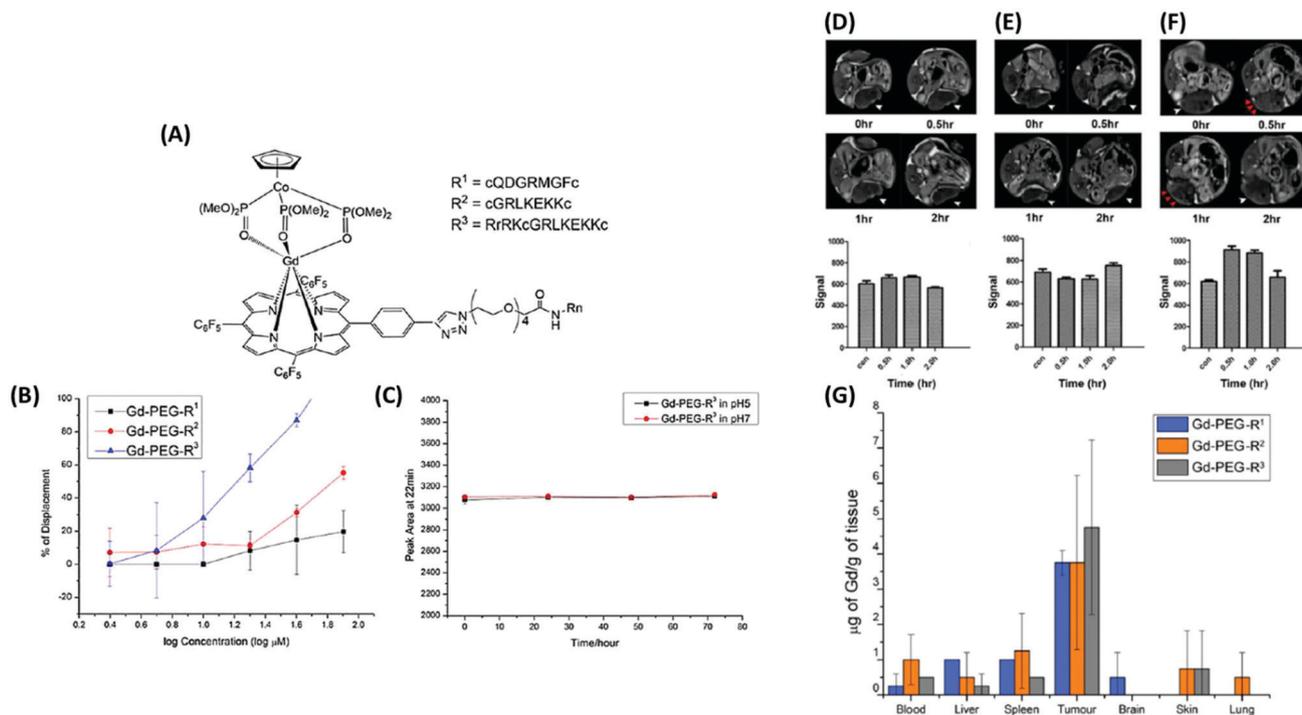


Fig. 70 Left: (A) molecular structures of Gd-PEG-Rⁿ ($n = 1-3$). (B) Displacement percentages of the vitronectin – $\alpha_v\beta_3$ integrin net binding at different concentrations of Gd-PEG-Rⁿ. (C) Stability of 200 μM Gd-PEG-R³ in pH 7 and pH 5 PBS buffer solutions. Right: *In vivo* T₁-weighted MR images of the mouse T24 tumour model after injection of (D) GdDOTA, (E) Gd-PEG-COOH, and (F) Gd-PEG-R³ at different time points. (G) *In vivo* biodistribution of Gd-PEG-Rⁿ ($n = 1-3$) via ICP-MS studies, 3 samples in each group. Reproduced with permission from ref. 126, copyright (2019) Wiley VCH Verlag GmbH.

specificity for bladder cancer, this ensured enough water solubility and cell membrane permeability. Finally, a small hydrophilic peptide RrRK sequence was also conjugated to the hydrophobic bladder cancer-specific peptide sequences to make them amphiphilic. As previously demonstrated, singlet oxygen production varied depending on the lanthanide ion: Gd^{III} (for MRI-PDT) \gg Er^{III} (for NIR-PDT) \gg Yb^{III} (for NIR). Furthermore, for the *in vivo* Gd^{III} agents, one complex, Gd-PEG-R³, featured (i) high photo-cytotoxicity ($\text{IC}_{50} = 8.2 \mu\text{M}$) against T24 bladder cancer cells while remaining non-cytotoxic in the dark (PTI = 199.0, 20-fold higher than 5-aminolevulinic acid) and in normal and HeLa cells; (ii) “off-on” responsive T₁ relaxivity enhancement over 17 times upon $\alpha_v\beta_3$ binding; and (iii) a safe tumour-centred biodistribution profile without breaking the blood–brain barrier. This work substantiates the huge potential of lanthanide–tetrapyrrole systems for further clinical exploration.

7 Conclusion and outlook

The past decades have witnessed tremendous progress in the lanthanide–tetrapyrrole systems, and their unique properties and functionalities have been exploited in a range of applications from a wide spectrum of disciplines, from optical materials to biomedical agents²⁷⁷ and photocatalysis.³⁷⁶ Advanced synthetic and functionalization methodologies have been developed and exploited to realize various strategies for (1)

conjugation extension in porphyrin/phthalocyanine rings for fine-tuning of their HOMO–LUMO energy gap, (2) modular lanthanide coordination and symmetry tailoring, (3) directed multiple-decker assembly with different twist angles, (4) better photosensitization for efficient Vis/NIR emission with high quantum yields and brightness, (5) improved solution-processability in organic solvents and water solubilization, and (6) enhanced biocompatibility, to impart lanthanide–tetrapyrrole complexes with novel biochemical properties, as well as for sensing, bio-targeting and multimodal theranostic applications. In this review, we briefly introduced the long and rich history of different lanthanide porphyrinates and phthalocyaninates, highlighting their syntheses and structure–property relationship, and surveying their applications in contemporary photonic, sensing and biomedical research. Despite the efforts and breakthroughs in this field in the past 20 years, there are still some challenges and opportunities lying ahead that we describe below.

7.1 Hybrid organic–inorganic complexation to photosensitize less-explored luminescent lanthanides

Nd^{III} and Sm^{III} are luminescent lanthanide ions that are seldom employed with tetrapyrrolic ligands because of intrinsically weak quantum yields partly due to energy mismatch between the ligand donor states and the lanthanide excited states. Recently, however, organic–inorganic hybrid acetate-coordinated Sm^{III}-based polyoxometalates have been reported with



excitation-dependent reversible colour-tuneable photoluminescence.³⁷⁷ This approach enables reversible colour switching in the same material without adjusting the doping concentration of Ln^{III} ions. On the other hand, Kögerler *et al.* disclosed new thermodynamically highly stable phthalocyanine–polyoxotungstate lanthanide double deckers, in which the redox-active polyoxometalates serve as versatile polydentate ligands;³⁷⁸ multiple decker-like phthalocyaninato lanthanide-ligated polyoxovanadate cages $\{[V_{12}O_{32}(Cl)](YbPc)_x\}^{n-5}$ ($x = 1$ or 2) have also been synthesized and characterized.³⁷⁹ Given the cornucopias of polyoxometalate structures, we believe that developing various functional lanthanide–tetrapyrrole–polyoxometalates with improved optical and redox properties and optimizing them for unparalleled photonic and biomedical applications will become an attractive research direction in this field.

7.2 Photocatalytic transformations with lanthanide–tetrapyrrole complexes

Lanthanide–tetrapyrrole complexes, in particular phthalocyaninato lanthanide sandwiches, have been sporadically employed in photocatalysis, *e.g.*, (i) photocatalytic degradation of 4-nitrophenol and 2-propanol upon impregnation onto TiO₂,^{380,381} (ii) oxidative desulfurization of thiophene upon bonding on the surface of ZMS-5,³⁸² and (iii) oxidative C–H bond functionalization of secondary or tertiary amines and oxygenation of cholesterol.⁶⁸ In organic asymmetric synthesis, the concept of photoredox catalysis, operating through single-electron transfer, energy transfer and radical processes, has long become a research hotspot and gives rise to unprecedented reactivities and products.³⁸³ Given that eye-catching photocatalyst applications of lanthanides have recently come under the limelight,^{384,385} and that lanthanide–tetrapyrrole complexes possess unique photophysical and redox properties, we believe that new photocatalytic potentials of lanthanide–tetrapyrrole systems will soon be unleashed. One aspect is asymmetric synthesis, which is currently and actively pursued in our laboratory; on the other hand, further real-life photocatalytic applications of lanthanide–tetrapyrroles for environmental organic waste treatment and biomass conversion are also foreseeable.

7.3 Towards peptide/aptamer-guided drug delivery and deep-tissue photoactivation of lanthanide–tetrapyrrole theranostic probes

To address the long-standing translational challenges of (i) poor water solubility, (ii) nonspecific toxicity, and (iii) lack of cancer selectivity of most lanthanide–tetrapyrrole-based PDT agents, different *in vivo* targeted delivery strategies have to be explored. For instance, both specific peptides and aptamers, which have been extensively surface-functionalized in nanomedicine for cancer targeting, can be conjugated similarly to the Ln–tetrapyrrole systems by optimization of their biostabilities and bioactivities. The systems can also be loaded onto proteins³⁸⁶ and attached to polymer carriers functionalized with specific vectors,³⁸⁷ or inserted into nanomaterials sensitive to tumour microenvironments (*e.g.*, pH, presence of

glutathione, *etc.*) and stimuli-activatable (*e.g.*, through photoirradiation, ultrasound, *etc.*).^{388,389} This enables achieving safer and more specific targeting for multimodal imaging. Furthermore, X-ray and two-photon excitation can promisingly allow deep-tissue photodynamic therapy upon coupling to nanoscintillators,³⁹⁰ and this direction is under-explored with lanthanide–tetrapyrrole complexes. Hence, new multifunctional bioapplications of smart and biocompatible lanthanide–tetrapyrrole systems are conceivable.

As a final remark, we would like to point out that developments in the field will need to be built on precise knowledge of all the crucial parameters relevant to each application. For instance, reports demonstrating the usefulness of lanthanide–tetrapyrrole complexes for bio-applications should not only describe their synthesis, structure, and imaging properties but also, and most crucially for biosafety, report their stability constants *in vitro/in vivo*. This has often been ignored, but is now being better acknowledged by the bioinorganic community. All photophysical parameters should also be quantitatively reported, interpreted and modelled. Providing such data in future work will give a more holistic view of the translational bioapplications of tetrapyrrole complexes.

Conflicts of interest

There are no conflicts to declare.

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