



Cite this: *Phys. Chem. Chem. Phys.*,
2021, **23**, 7768

Normal and off-normal incidence dissociative dynamics of O₂(*v*,*J*) on ultrathin Cu films grown on Ru(0001)

J. G. Fallaque,^{ab} M. Ramos,^c H. F. Busnengo,^c F. Martín^{abd} and
C. Díaz^{de}*

The dissociative adsorption of molecular oxygen on metal surfaces has long been controversial, mostly due to the spin-triplet nature of its ground state, to possible non-adiabatic effects, such as an abrupt charge transfer from the metal to the molecule, or even to the role played by the surface electronic state. Here, we have studied the dissociative adsorption of O₂ on Cu_{ML}/Ru(0001) at normal and off-normal incidence, from thermal to super-thermal energies, using quasi-classical dynamics, in the framework of the generalized Langevin oscillator model, and density functional theory based on a multidimensional potential energy surface. Our simulations reveal a rather intriguing behavior of dissociative adsorption probabilities, which exhibit normal energy scaling at incidence energies below the reaction barriers and total energy scaling above, irrespective of the reaction channel, either direct dissociation, trapping dissociation, or molecular adsorption. We directly compare our results with existing scanning tunneling spectroscopy and microscopy measurements. From this comparison, we infer that the observed experimental behavior at thermal energies may be due to ligand and strain effects, as already found for super-thermal incidence energies.

Received 27th July 2020,
Accepted 10th September 2020

DOI: 10.1039/d0cp03979a

rsc.li/pccp

1 Introduction

The interaction of molecular oxygen with transition metals is a fundamental chemical reaction that has been studied since the 40's of the past century.¹ This phenomenon is of huge technological importance in many industrial applications, such as heterogeneous and electro-catalysis, corrosion, oxidation or fuel cell development, which explains the continuous attention it receives from the surface science community (see ref. 2–8 and references therein). Furthermore, from a purely fundamental point of view, the mechanism behind the dissociative chemisorption of O₂ on metals has long puzzled scientists, and still does. It has been shown for a number of relevant O₂/metal systems that their electronic structure cannot be reasonably well described within the standard density functional theory (DFT)

periodic boundary condition (PBC) method. For example, adiabatic dynamics simulations based on standard DFT-PBC completely fail to reproduce experimental results for O₂/Al(111), which show that the sticking probability increases with incidence energy,^{9,10} compatible with a high reaction barrier, whereas the simulations show no reaction barrier at all.^{11,12} Alternatively, the presence of the reaction barrier has been explained, on one hand, in terms of non-adiabatic spin dynamics that freezes the triplet state of the molecule as it approaches the surface.^{13–15} This mechanism would be favored by the relatively small mass of the Al atoms, which involves a small spin-orbit coupling, and by the low density of Al states at the Fermi level, which prevents efficient triplet-singlet spin quenching through tunneling of electrons between the metal and the molecule. On other hand, the presence of the reaction barrier has been rationalized in terms of non-adiabatic charge transfer from the surface to the molecule,^{16–19} a mechanism favored by the small work function of Al and the high electron affinity of O₂. None of these mechanisms can be properly described by standard DFT semi-local exchange–correlation functionals. A similar failure could be expected for other transition metals that show Al-like characteristics. However, the interaction of O₂ on Ag(111) is fairly well described by adiabatic dynamics simulations. As shown in ref. 20 and 21 both scattering and dissociative adsorption phenomena are well described

^a Departamento de Química, Módulo 13, Universidad Autónoma de Madrid, 28049 Madrid, Spain

^b Instituto Madrileño de Estudios Avanzados en Nanociencia (IMDEA-nanociencia), Cantoblanco, 28049 Madrid, Spain

^c Instituto de Física Rosario, CONICET and Universidad Nacional de Rosario, Bv. 27 de Febrero 210 bis, 2000 Rosario, Argentina

^d Condensed Matter Physics Center (IFIMAC), Universidad Autónoma de Madrid, 28049 Madrid, Spain

^e Departamento de Química Física, Facultad de CC. Químicas, Universidad Complutense de Madrid, 28040 Madrid, Spain. E-mail: crdiaz08@ucm.es

within this approximation. The adiabatic dynamics calculations have also yielded results for O₂ dissociative adsorption on Ag(110)²² consistent with the absence of direct dissociation in experiments performed at low incidence energies,^{23,24} but do not properly describe molecular adsorption.²⁵ In the latter case, it has been suggested that the discrepancy between theory and experiment could be due to the limited accuracy of the Perdew, Burke, and Ernzerhof (PBE)^{26,27} functional used in DFT calculations. It is also worth mentioning that a theoretical study devoted to the interaction of O₂ with Pd(100),²⁸ in which simulations based on time-dependent perturbation theory and DFT electronic structure calculations show that, despite the high density of states at the Pd Fermi level, non-adiabatic electron-hole excitation processes are almost negligible, and that the system is well described within the adiabatic dynamics formalism.

All these studies mentioned above share the use of the PBE functional. This functional has been also used to study the interaction of O₂ with Cu surfaces. But, in this case, adiabatic dynamics studies show spontaneous dissociation,^{29–31} in contrast with the activated behavior found experimentally.^{32–34} However, as we have recently shown for O₂/Cu(111),^{35,36} theoretical and experimental results can be reconciled if the revised Perdew–Burke–Ernzerhof (RPBE) functional³⁷ is used in the DFT electronic calculations. The dependence of the dynamics calculations on the DFT functional used to compute the PES is not a surprise in molecule/surface systems (see for example ref. 38 and references therein), however such a notable change, from non-activated to activated, is more unusual. In our previous work, carried out at normal incidence using pure classical dynamics, we also showed that, due to the nature of the chemisorption wells, the inclusion of the surface degrees of freedom (DOFs) was essential to reproduce qualitatively King and Wells sticking experimental measurements;³¹ contrary to results obtained for other O₂/metal systems, where the effect of the surface DOFs was found to be relatively small²¹ or even negligible.³⁹

In our previous studies,^{35,36} we also simulated the sticking (molecular + dissociative adsorption) probabilities for one and two layers of Cu grown on Ru(0001). For these two systems, our simulations also reproduced qualitatively the experimental measurements at super-thermal energies.³¹ However, results at normal incidence, without any extra knowledge, were not enough to perform a meaningful comparison with tunneling spectroscopy and microscopy (STS-STM) measurements performed

by Otero *et al.*⁴⁰ These authors showed a very strong dependence of O₂ reactivity as a function of the number of Cu layers, decreasing sharply with the increasing number of Cu layers, and related this behavior to the population of the surface electronic state.^{40,41}

Here, we have performed a complete set of quasi-classical dynamics simulations based on the RPBE-PES published in ref. 36. Our simulations show a complex behavior of sticking probabilities as a function of the incidence angle, following normal energy scaling at low energies, and a negligible effect of the rotational internal molecular DOFs. A proper average of the simulated sticking probabilities at thermal energies has allowed us to perform a direct comparison with STS-STM experimental measurements.

2 Theoretical tools

To perform our study, we used a similar theory level to that described in ref. 35 and 36. Therefore, only a brief summary is provided here. We relied on the Born–Oppenheimer approximation. The potential energy surface (PES) was built by interpolation – using the CRP method⁴² – of a data set of DFT energies, which have been computed within the periodic boundary conditions approach using the plane-wave based VASP code,^{43–46} with the semi-local RPBE exchange–correlation functional.³⁷ The choice of the RPBE functional has allowed us to reconcile theoretical results^{35,36} with the activated character of sticking probability found experimentally.^{29–31} A more detailed description of the parameters used to compute the PES can be found in ref. 36.

Based on our previous studies^{35,36} showing the key role that surface temperature plays in O₂/Cu_{ML}/Ru(0001), we have taken into account the surface temperature by means of the generalized Langevin Oscillator (GLO) model^{47,48} (see ref. 36 for further details). However, unlike those previous calculations, here we have performed quasi-classical dynamics, *i.e.*, we have included the zero point energy (ZPE) of the molecule in the calculations. At this point, we can anticipate that the unwanted phenomenon of vibrational energy leakage associated with the quasi-classical calculations plays a minor role here, because of the relatively low ZPE of O₂ in the gas phase, 95.1 meV (obtained solving the 1D Hamiltonian by expanding the wave function on the basis of B-spline functions⁴⁹), and the relatively high vibrational softening (the adiabatic transfer of energy from the

Table 1 Sets of initial conditions used in the rotational, vibrational, and off-normal incidence studies

| Study | Rotational | Vibrational | Off-normal incidence |
|--------------------|--|---|---|
| φ_i (deg.) | 0 | 0 | 0 |
| θ_i (deg.) | 0 | 0 | 5, 10, 15, 20, 25, 30, 35, 40, 45, 50, 55, 60, 65, 70, 75, 80, 85 |
| v | 0 | 1, 2, 3, 4, 5, 6 | 0 |
| J | 1, 3, 9, 31 | 0 | 0 |
| E_i (eV) | 0.01, 0.03, 0.06, 0.09, 0.12, 0.18, 0.21, 0.24, 0.27, 0.3, 0.4, 0.5, 0.6 | 0.0001, 0.005, 0.01, 0.03, 0.06, 0.09, 0.12, 0.18, 0.21, 0.24, 0.27, 0.3, 0.4, 0.5, 0.6 | 0.06, 0.1, 0.18, 0.3 |
| Number of sets | 52 | 90 | 58 |

vibrational to the translational DOF) that makes the ZPE decrease down to 56.2 meV for a molecule close to the surface. In performing the quasi-classical simulations, to obtain meaningful probabilities for the different final reactive channels, we have run up to 20 000 trajectories for each set of initial incidence conditions (E_i , θ_i , φ_i , v , and J) – see Table 1, where E_i is the total incidence energy, θ_i and φ_i are the polar and azimuthal angles, respectively (see Fig. 1), and v and J are the vibrational and rotational states, respectively. At the end of each trajectory, we analyze the atomic or molecular coordinates and velocities to assign the final channel. Thus, the dissociative adsorption channel is defined by a O–O distance $r \geq 2.4$ Å (around twice the equilibrium value of O₂ in the gas phase) with $dr/dt > 0$. If the molecule bounces (change the sign of its velocity normal to the surface) five or more times before dissociation, we assign this trajectory to the trapping dissociation channel, otherwise we assign it to the direct dissociation channel. If at the end of the integration time (1 ns) the molecule remains trapped in a molecular chemisorption well, the trajectory is assigned to the molecular adsorption channel.

3 Results and discussion

3.1 O₂(v, J)/Cu_{1ML}/Ru(0001) at normal incidence

We have first studied the role of the internal rovibrational DOFs of the molecule. To perform such analysis, we define the rotational energy in eV as $E_{\text{rot}} = [B_e J(J+1) - D_e (J(J+1))^2]hc$ with $B_e = 1.4456 \text{ cm}^{-1}$ and $D_e = 4.81 \times 10^{-6} \text{ cm}^{-1}$,⁵⁰ and we have taken into account the nuclear statistics for O₂ molecules according to which only odd rotational states are populated. In Fig. 2, we have displayed the quasi-classical probabilities computed for the different reaction channels, namely, direct dissociation, trapping dissociation, and molecular adsorption (almost negligible), as a function of the incidence energy, for a surface temperature equal to 350 K, several initial rotational states and normal incidence. From Fig. 2, we can observe that none of the reaction channels depend on the initial rotational state of the molecule, at least for the J states expected to be appreciably populated in an O₂ molecular beam at room temperature. In fact, we have found that the maximum reaction

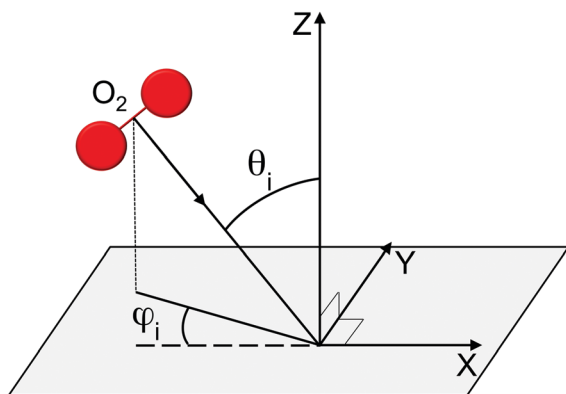


Fig. 1 Reference coordinates system.

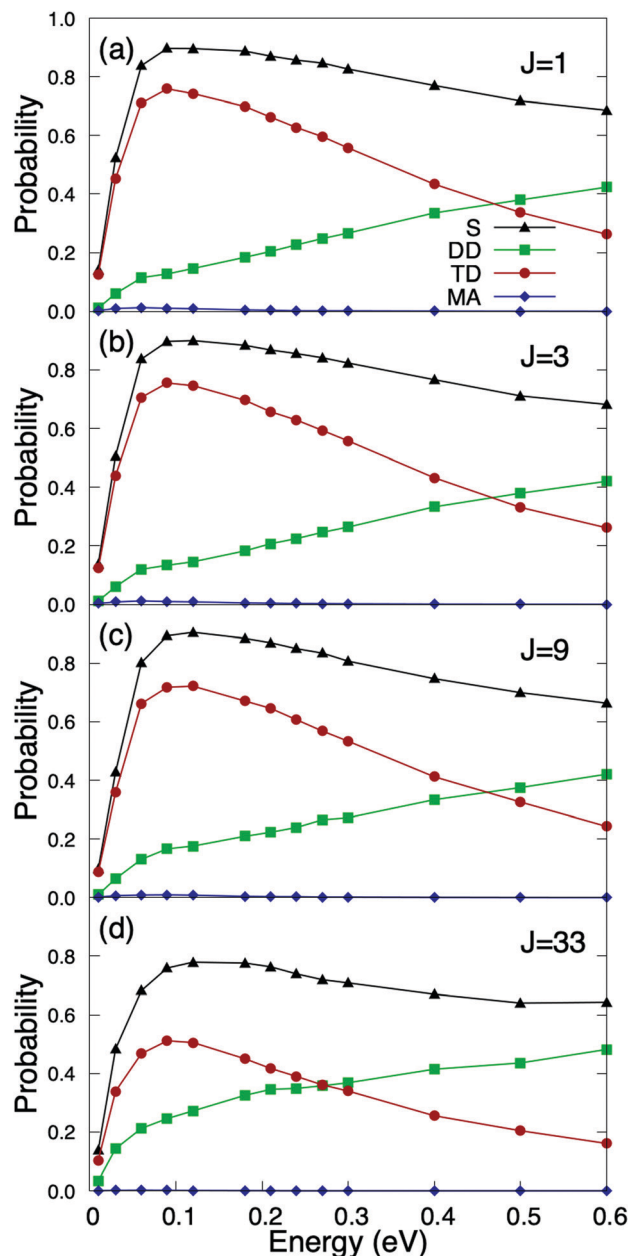


Fig. 2 Quasi-classical O₂($v = 0, J$) reaction probabilities on Cu/Ru(0001) as a function of the incidence energy, for a surface temperature $T_s = 350$ K. (a) $J = 1$; (b) $J = 3$; (c) $J = 9$; (d) $J = 33$. S stands for total sticking probability, DD for direct dissociation, TD for trapping dissociation, and MA for molecular adsorption.

probability barely drops from 0.9 for $J = 1$ to 0.8 for $J = 33$ (see Fig. 2(a) and (d)), in spite of the fact that the rotational energy for $J = 33$ is 200.48 meV, *i.e.*, almost an order of magnitude higher than the 25.86 meV associated with a molecular beam temperature of 300 K. These results suggest that rotational and translational motions are barely coupled, *i.e.*, there is very little energy transfer from rotation to translation. A similar finding has been reported for other diatomic molecules of comparable mass, as *e.g.*, N₂/Ru(0001),⁵¹ where dissociative chemisorption was found to be independent of the initial J state up to $J = 8$.

This behavior is in contrast with that observed in H_2/metal surface systems,^{52–55} where reaction probabilities strongly depend on the initial rotational state of the molecule.

It is also important to note that if we compare Fig. 2(a) with Fig. 6(a) of ref. 36, where reaction probabilities were obtained from pure classical trajectory calculations, we can conclude that classical (C) and quasi-classical (QC) simulations only differ by a small shift of the QC probabilities towards lower energies, roughly 40 meV. This shift is compatible with the vibrational softening experienced by the molecule when approaching the surface. This small shift does not have any significant effect when compared with typical King and Wells results measured at super-thermal energies.³¹ However, as we show below, the effect of vibrational softening, even as small as the present one, cannot be ignored at thermal energies.

We have also performed calculations for vibrationally excited molecules, whose presence in the experimental molecular beams, specially at thermal energies, is expected to be

small, but not at super-thermal energies. The results are shown in Fig. 3. As can be seen the $\text{O}_2/\text{Cu}/\text{Ru}(0001)$ system becomes non-activated when O_2 is vibrationally excited. As shown in Fig. 3(a) the total sticking probability for $\text{O}_2(J = 1, v = 1)$ is close to 0.9 at very low incidence energies. It can also be seen that trapping dissociation decreases monotonously with the incidence energy, while direct dissociation does the opposite. These results point to a very strong vibration-translation coupling, and therefore, to a very efficient transfer of energy from the vibrational to the translational motion. A similar strong coupling has been observed previously for $\text{O}_2/\text{Ag}(110)$,²² where a vibrational efficacy (*i.e.* the efficiency of vibrational energy to promote reaction⁵⁶) was found to be larger than one. In spite of this, the $\text{O}_2/\text{Ag}(110)$ system still remains activated for $v = 1$. Coming back to the $\text{O}_2/\text{Cu}/\text{Ru}(0001)$ system, one can see (Fig. 3(c)) that by further increasing the vibrational energy, the sticking probability decreases at low incidence energy, and the sticking curves exhibit a subtle non-monotonous behavior. This is the consequence of the non-monotonous behavior of direct dissociation, which governs the total dissociation at high vibrational energies (see Fig. 3(b)). Similar findings have been reported for H_2/metal surface systems in ref. 57, where it was shown to be the consequence of the balance between the ability of the vibrationally excited molecule to reach the appropriate orientation for dissociation and the number of accessible reaction paths. Nevertheless, as shown in Fig. 3(c), the sticking probability varies very little in the range $v = 1 - v = 6$. At this point, it is worth pointing out that, although molecular beam experiments for vibrationally excited O_2 molecules are not available in the literature, they could be carried out using pulsed narrow bandwidth laser Raman excitation, as suggested in ref. 58, or using induced adiabatic Raman passage (SARP), as proposed in ref. 59.

Finally, we will mention that the reaction probabilities barely depend on the surface temperature in the 250–550 K range.

3.2 $\text{O}_2(v = 0, J = 1)/\text{Cu}_{1\text{ML}}/\text{Ru}(0001)$ at off-normal incidence

The reaction probabilities as a function of the incidence angle θ_i exhibit a very peculiar behavior (see Fig. 4). Contrary to previous results for systems in which dynamic trapping also plays a prominent role, such as $\text{H}_2/\text{Pd}(111)$ ⁶⁰ and $\text{H}_2/\text{Pd}(110)$,⁶¹ where it was found that direct dissociation follows normal energy scaling and trapping dissociation follows total energy scaling, here we see that all reaction channels (direct dissociation, trapping dissociation, and even molecular adsorption) show the same behavior. From Fig. 4, we can see that for incidence energies of ≥ 100 meV, reaction probabilities do not depend on the initial polar angle up to a certain value of θ_{tes} . Thus, we define θ_{tes} as the maximum polar incidence angle for which the reaction probability do not depend on θ_i . The value of θ_{tes} increases with incidence energy. A simple analysis of these curves reveals that the value of the normal component of the incidence energy, $E_{\perp} = \cos^2(\theta_{\text{tes}})E_i$ is similar for the three curves with $E_i \geq 100$ meV displayed in Fig. 4, namely 75 meV. This value of E_{\perp} is close to that at which the total sticking probability at normal incidence shows a maximum

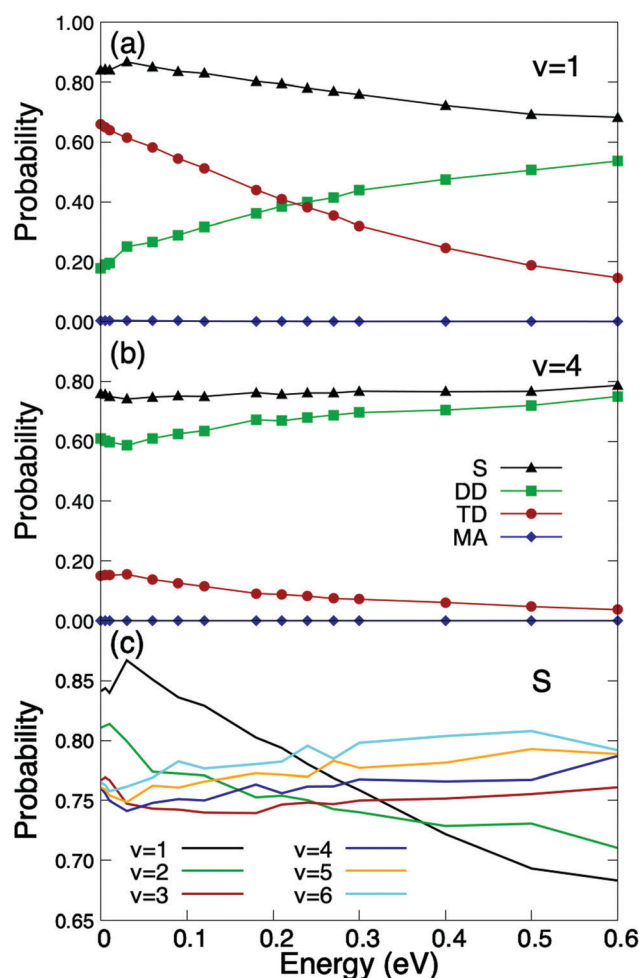


Fig. 3 Quasi-classical $\text{O}_2(v, J = 1)$ reaction probabilities on $\text{Cu}/\text{Ru}(0001)$ as a function of the incidence energy, for a surface temperature $T_s = 350$ K. (a) Vibrational state $v = 1$; (b) $v = 4$; (c) total sticking probabilities for vibrational states $v = 1$ to $v = 6$. S stands for total sticking probability, DD for direct dissociation, TD for trapping dissociation, and MA for molecular adsorption.

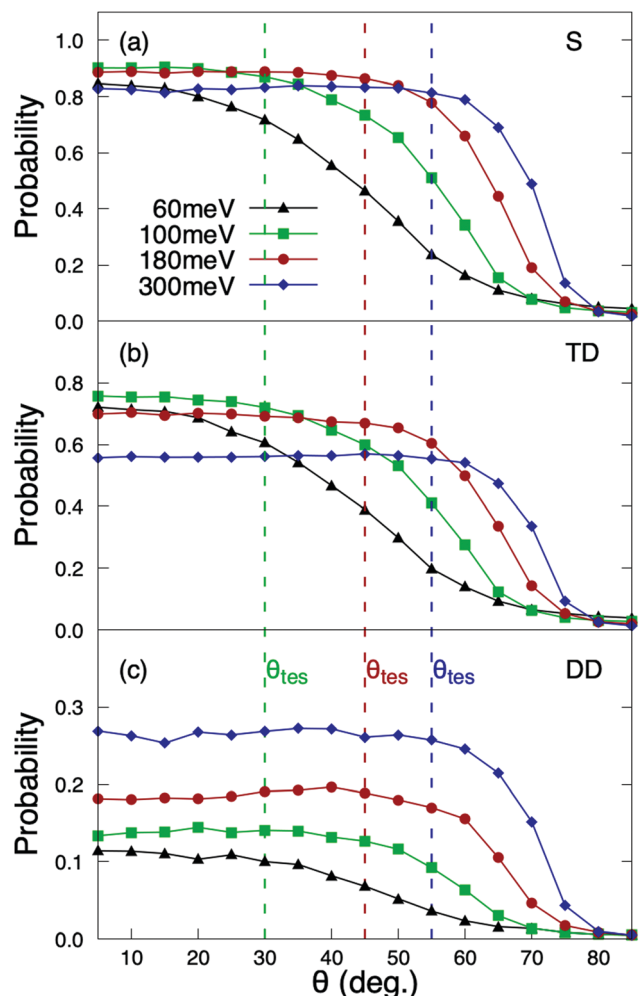


Fig. 4 Quasi-classical $O_2(J = 0, v = 0)$ reaction probabilities on $Cu_{1ML}/Ru(0001)$ as a function of the initial polar angle, for $T_s = 350$ K. (a) Total sticking; (b) trapping dissociation; (c) direct dissociation. The dashed lines mark the θ_{tes} values, i.e. the maximum incidence polar angle for which the reaction probability does not depend on θ_i .

(see Fig. 2(a)). Hence, we observe total energy scaling for incidence energies above the entrance reaction barriers, which have been found to be in the range of 26–77 eV (see Fig. 5 of ref. 36).

For θ_i higher than θ_{tes} the reaction probabilities follow normal energy scaling. This can be clearly seen in Fig. 5, where we have plotted the sticking probabilities as a function of the normal energy, $E_i \cos^2(\theta_i)$. We can observe normal energy scaling up to $E_{\perp} = 75$ meV. This result has important implications when comparing with experimental results, as discussed in Section 3.3.

Finally, we should point out that the results shown in this section have been obtained for molecular incidence along the azimuthal angle $\varphi_i = 0^\circ$ (see Fig. 1). However, we have checked that, qualitatively, similar results are obtained for an incidence along other φ_i angles. We have also verified that these results do not depend on the surface temperature within the range of 250–550 K.

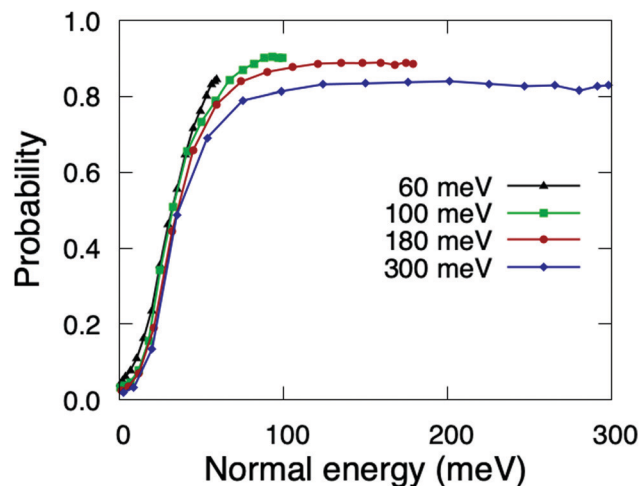


Fig. 5 Quasi-classical total sticking probabilities for $O_2(v = 0, J = 0)$ on $Cu_{1ML}/Ru(0001)$ as a function of the normal energy.

3.3 Comparison with previous experimental results

Taking into account that the total sticking probability does not depend on the initial rotational state of the molecule, and that at low incidence energies (thermal and quasi-thermal energies) this probability follows normal energy scaling, we can properly compare our theoretical sticking probabilities with those obtained experimentally by Otero *et al.*⁴⁰ by computing the thermally averaged sticking probability using the following expression:⁶²

$$S_0(T_g) = \frac{\int_0^{+\infty} \exp\left[\frac{-E_{\perp}}{k_B T_g}\right] S^N(E_{\perp}) dE_{\perp}}{\int_0^{+\infty} \exp\left[\frac{-E_{\perp}}{k_B T_g}\right] dE_{\perp}}, \quad (1)$$

where $E_{\perp} = E_i \cos^2(\theta_i)$, S^N is the total sticking probability at normal incidence, k_B is the Boltzmann constant, and T_g the temperature of the molecular beam, 300 K – see the ESI of ref. 63 for details.

In ref. 40, results for $O_2/Cu_{nML}/Ru(0001)$ for up to $n = 4$ monolayers are available. However computing sticking probabilities beyond $n = 1$ is not an easy task. A single monolayer of Cu grows pseudomorphically on Ru(0001), but adding a second monolayer leads to a unit cell periodicity $(16 \times \sqrt{3})$.⁶⁴ The periodicity is lost for more than two monolayers. Therefore, building a DFT-based PES for $O_2/Cu_{nML}/Ru(0001)$ with $n > 1$ is virtually unapproachable from the computational point of view. However, as shown in ref. 36, we can reasonably estimate the sticking probability for $O_2/Cu_{2ML}/Ru(0001)$ by shifting the sticking probabilities obtained for $O_2/Cu_{1ML}/Ru(0001)$ by an amount equal to the difference between the minimum reaction barrier for $n = 1$ and the average minimum reaction barrier for $n = 2$. As discussed in ref. 36, in the $(16 \times \sqrt{3})$ unit cell we can distinguish three zones (see Fig. 9 of ref. 36), fcc, dislocation, and hcp, characterized by three different minimum reaction barriers, 30, 56, and

57 meV, respectively. Thus, the shift δE is computed as:

$$\delta E = \frac{5}{17}(E_b^{2\text{ML},\text{fcc}} - E_b^{1\text{ML}}) + \frac{15}{34}(E_b^{2\text{ML},\text{disloc}} - E_b^{1\text{ML}}) + \frac{9}{34}(E_b^{2\text{ML},\text{hcp}} - E_b^{1\text{ML}}). \quad (2)$$

The accuracy of this estimation method relies, beyond the barrier heights, on the differences between the PESs of $\text{O}_2/\text{Cu}_{1\text{ML}}/\text{Ru}(0001)$ and $\text{O}_2/\text{Cu}_{2\text{ML}}/\text{Ru}(0001)$. In ref. 36, we showed that the shapes of 2D cuts corresponding to the fcc region of $\text{O}_2/\text{Cu}_{2\text{ML}}/\text{Ru}(0001)$ were very similar to those obtained for $\text{O}_2/\text{Cu}_{1\text{ML}}/\text{Ru}(0001)$, and the shapes of the 2D cuts corresponding to the hcp and dislocation regions of $\text{O}_2/\text{Cu}_{2\text{ML}}/\text{Ru}(0001)$ were also qualitatively similar to those obtained for the fcc region, the barrier heights being the only appreciable difference. Therefore, once the molecules overcome the minimum reaction barriers in $\text{O}_2/\text{Cu}_{2\text{ML}}/\text{Ru}(0001)$, they are expected to follow a similar reaction path as in $\text{O}_2/\text{Cu}_{1\text{ML}}/\text{Ru}(0001)$. Hence, the dynamics results are expected to be similar except for a shift reflecting the different reaction barrier heights. The validity of this estimation method is supported by the good qualitative agreement between classical theoretical results and Kings and Wells experimental measurements (see Fig. 11 of ref. 36). The quasi-classical total sticking probabilities for $\text{O}_2/\text{Cu}_{2\text{ML}}/\text{Ru}(0001)$ estimated using this procedure are shown in Fig. 6. It is worth noticing that, if the shapes of the PES for the surface terminations (fcc, hcp, and dislocation) had been very different, we would have to follow a more general (and computationally much more expensive) procedure, consisting of (i) the calculation of the PES for the three systems, (ii) the computation of the corresponding sticking probabilities, and (iii) performing a weighted average of the former probabilities.

Finally, we have applied eqn (1) to the sticking probabilities shown in Fig. 6 to obtain the thermally averaged sticking probabilities (S_0), which can be directly compared with the

experimental data of ref. 40. We show this comparison in Fig. 7. One can see that our theoretical simulations reproduce fairly well the variation of S_0 as a function of the number of Cu monolayers. In the case of two monolayers the agreement is even quantitative, although we should keep in mind that this probability is just an estimation. It is worth noticing that experimental uncertainties are higher for the one-monolayer system. For the sake of completeness, we have also included S_0 values obtained from classical sticking calculations. These probabilities also show the right trend, but are lower than the experimental values and those obtained from the quasi-classical calculations.

This qualitative agreement between theory and experiment, at thermal energy, allows us to conclude that the surface state population is not the only possible explanation for the sharp decrease of the O_2 sticking probabilities when moving from $\text{Cu}_{1\text{ML}}/\text{Ru}(0001)$ to $\text{Cu}_{2\text{ML}}/\text{Ru}(0001)$, as previously suggested,^{40,41} because our PES does not describe such a state properly due to the limited number of Ru(0001) layers included in our DFT calculations of the PES. Instead, the sharp decrease resulting from our calculations is mainly due to strain effects, as already pointed out in our previous work at super-thermal energies.³⁶ Indeed, in $\text{Cu}_{1\text{ML}}/\text{Ru}(0001)$, the Cu overlayer adopts the lattice parameter of Ru(0001) (2.71 Å), and this strain, together with the modification of the electronic structure induced by the binding of the Cu atoms to the Ru atoms (ligand effect), causes a substantial reduction of the reaction barrier compared to that of Cu(111) – a pure Cu surface with the same symmetry as $\text{Cu}_{1\text{ML}}/\text{Ru}(0001)$ – from 97 meV to 26 meV. In $\text{Cu}_{2\text{ML}}/\text{Ru}(0001)$, ligand effects become negligible, and the strain is slightly relaxed, since the average lattice parameter decreases to ≈ 2.63 Å, while the average minimum reaction barrier increases up to almost 49 meV, leading to the steep drop of the total sticking probability. As the number of Cu layers increases, the average lattice parameter is expected to go on decreasing, and the average minimum reaction barrier to increase

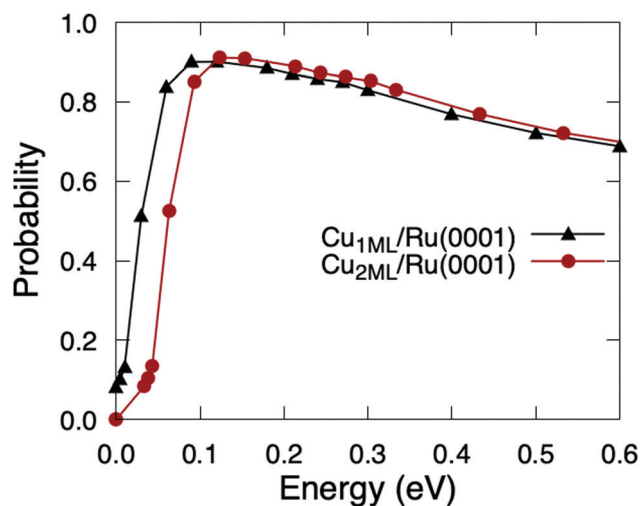


Fig. 6 Quasi-classical total sticking probabilities of $\text{O}_2(v = 0, J = 0)$ on $\text{Cu}_{1\text{ML}}/\text{Ru}(0001)$ (black triangles) and $\text{Cu}_{2\text{ML}}/\text{Ru}(0001)$ (red circles) as a function of the normal incidence energy.

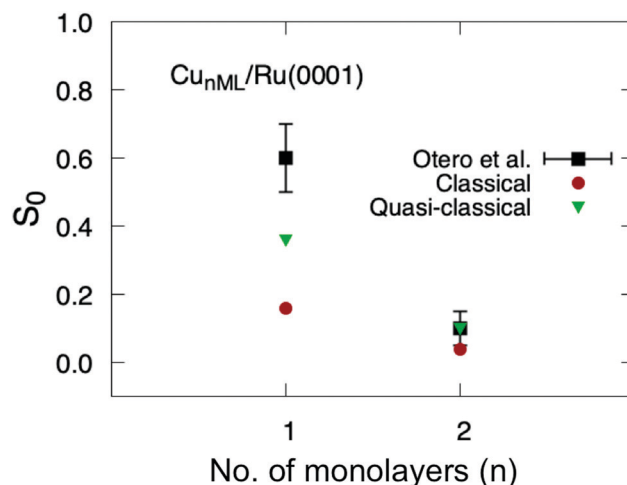


Fig. 7 Thermally averaged sticking probabilities of $\text{O}_2/\text{Cu}_{\text{ML}}/\text{Ru}(0001)$ as a function of the number of monolayers ML. Red: circles classical results; green triangles: quasi-classical calculations; black squares: experimental data from ref. 40.

even more, thus causing a further decrease in the sticking probability, until the system becomes a Cu(111)-like system.

4 Conclusions and final remarks

We have studied the dissociative chemisorption of $O_2(v, J)$ on $Cu_{nML}/Ru(0001)$ using quasi-classical dynamics within the generalized Langevin Oscillator method, to include the surface temperature, and a multidimensional potential energy surface obtained from interpolation of a set of DFT energies. Our results at normal incidence reveal that rotational molecular degrees of freedom have a negligible effect on the different reaction channels. The sticking probabilities for vibrationally excited molecules with $v > 3$, on the other hand, show the non-monotonous behavior typical of non-activated systems. Off-normal incidence simulations show an unexpected behavior of the reaction probabilities. Contrary to previous findings for other molecule/surface systems, all reaction channels show total energy scaling for perpendicular energy above the reaction barriers and normal energy scaling for energies below. Our computed thermally averaged sticking probability, as a function of the number of monolayers, agrees qualitatively well with previous experimental measurements carried out at thermal energies. In view of this good agreement, we conclude that the lattice strain effect is a plausible explanation for the steep drop of the total sticking probability observed for $O_2/Cu_{nML}/Ru(0001)$ as a function of the number of Cu monolayers. However, we cannot completely discard that the population of the surface electronic state also contributes to this effect due to the poor description of the surface electronic state in our calculated potential energy surface.

The current analysis completes our previous study carried out for super-thermal energies.³⁶ The whole picture shows that our theoretical model, based on quasi-classical dynamics and DFT-based PESs modeled considering a low number of metal layers, is able to qualitatively describe the interaction between O_2 and $Cu_{nML}/Ru(0001)$ ($n = 1, 2$) from thermal⁴⁰ to super-thermal³¹ energies.

Finally we can conjecture about the origin of the remaining disagreement between theory and experiment, beyond possible experimental inaccuracies. Plausible sources of inaccuracies of our theoretical simulations could be due to the following:

- A poor description of surface phonons: to include the effect of the surface temperature, we have used the GLO model, which accounts for the molecule-surface energy exchange, thermal fluctuations and energy dissipation to the bulk, but does not account for the individual motions of the surface atoms. However, as shown for H_2 dissociation on Cu(111),⁶⁵ by means of *ab initio* molecular dynamics (AIMD), the surface atom motion may have a measurable influence on both the barrier heights and locations, which would have an influence on the sticking probabilities and, therefore, on the thermally-averaged sticking probability. Here, we should point out that running AIMD for an O_2 /metal surface system is not an easy task, due to the possibility of introducing unphysical

spin-flipping from triplet to singlet multiplicity states of the molecule before it reaches the reaction barriers. This phenomenon may confer extra energy to the molecule to overcome the reaction barriers and dissociate regardless of the incidence energy, which may lead the system to behave as non-activated.

- We cannot rule out possible non-adiabatic mechanisms, such as electron-hole excitation or charge transfer from the surface to the molecule. Based on previous results for O_2 /metal surfaces,^{20,28} electron-hole excitations are expected to play a minor role. Charge transfer, on the other hand, cannot be totally ruled out, although, in view of the qualitative agreement with the experimental data, it seems to play a less important role than in the case of $O_2/Al(111)$.^{16–19}

- A third source of inaccuracy could be the semi-local exchange-correlation functional used in the calculations. Although the RPBE functional employed here yields much better results than the PBE functional, previously used to study this system, more accurate results could be obtained using the specific reaction parameter (SRP) strategy,⁶⁶ which has been already tested for a number of H_2 /metal surface (see, for example ref. 67–69 and references therein) and CHD_3 /metal surface systems.⁷⁰ However, to properly implement this strategy, more experimental data would be needed than currently available.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

We are grateful to Prof. A. E. Martínez, who participated in the construction of the PESs of $O_2/Cu_{nML}/Ru(0001)$ used in this work, for useful discussions. This work has been supported by the MICINN projects PID2019-105458RB-I00 and PID2019-106732GB-I00, 'Severo Ochoa' Programme for Center of Excellence in R&D (SEV-2016-0686), 'María de Maeztu' Programme for Units of Excellence in R&D (CEX2018-000805-M), and ANPCyT project PICT-2016 2750. We acknowledge the allocation of computer time by the Red Española de Supercomputación and the Centro de Computación Científica at the Universidad Autónoma de Madrid (CCC-UAM). J. G. Fallaque acknowledges the PFI program of the MICINN co-financed by the European Social Fund.

References

- 1 N. Cabrera and N. F. Mott, *Rep. Prog. Phys.*, 1949, **12**, 163–184.
- 2 T. Zambelli, J. Barth, J. Winterlin and G. Ertl, *Nature*, 1997, **390**, 495–497.
- 3 S. J. Roosendaal, A. M. Vredenberg and F. H. P. M. Habraken, *Phys. Rev. Lett.*, 2000, **84**, 3366–3369.
- 4 L. Vattuone, A. Gerbi, D. Cappelletti, F. Pirani, R. Gunnella, L. Savio and M. Rocca, *Angew. Chem., Int. Ed.*, 2009, **48**, 4845–4848.

- 5 Z. Guo, B. Liu, Q. Zhang, W. Deng, Y. Wang and Y. Yang, *Chem. Soc. Rev.*, 2014, **43**, 3480–3524.
- 6 B. V. Andryushechkin, V. M. Shevlyuga, T. V. Pavlova, G. M. Zhidomirov and K. N. Eltsov, *Phys. Rev. Lett.*, 2016, **117**, 056101.
- 7 M. Shao, Q. Chang, J.-P. Dodelet and R. Chenitz, *Chem. Rev.*, 2016, **116**, 3594–3657.
- 8 M. Kurahashi, *Prog. Surf. Sci.*, 2016, **91**, 29.
- 9 J. Z. Komrowski, J. Z. Sexton, A. C. Kummel, M. Binetti, O. Weisse and E. Hasselbrink, *Phys. Rev. Lett.*, 2001, **87**, 246103.
- 10 M. Binetti and E. Hasselbrink, *Phys. Rev. Lett.*, 2001, **87**, 246103.
- 11 K. Honkala and K. Laasonen, *Phys. Rev. Lett.*, 2000, **84**, 705.
- 12 B. Yourdshahyan, B. Razaznejad and B. I. Lundqvist, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2002, **65**, 075416.
- 13 J. Behler, B. Delley, S. Lorenz, K. Reuter and M. Scheffler, *Phys. Rev. Lett.*, 2004, **94**, 036104.
- 14 J. Behler, K. Reuter and M. Scheffler, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2008, **77**, 115421.
- 15 C. Carbogno, J. Behler, A. Gross and K. Reuter, *Phys. Rev. Lett.*, 2008, **101**, 096104.
- 16 G. Katz, R. Kosloff, Y. Zeiri and K. Reuter, *J. Chem. Phys.*, 2004, **120**, 3931.
- 17 F. Libisch, C. Huang, P. Liao, M. Pavone and E. A. Carter, *Phys. Rev. Lett.*, 2012, **109**, 198303.
- 18 J. Cheng, F. Libisch and E. A. Carter, *J. Phys. Chem. Lett.*, 2015, **6**, 1661.
- 19 R. Yin, Y. Zhang, F. Libisch, E. A. Carter, H. Guo and B. Jiang, *J. Phys. Chem. Lett.*, 2018, **9**, 3271.
- 20 I. Goikoetxea, J. Beltrán, J. Meyer, J. I. Juaristy, M. Alducin and K. Reuter, *New J. Phys.*, 2012, **14**, 013050.
- 21 I. Goikoetxea, J. Meyer, J. I. Juaristi, M. Alducin and K. Reuter, *Phys. Rev. Lett.*, 2014, **112**, 156101.
- 22 I. Loncaric, M. Alducin and J. I. Juaristi, *Phys. Chem. Chem. Phys.*, 2015, **17**, 9436.
- 23 C. Vattuone, M. Boragno, M. Pupo, P. Restelli, M. Rocca and U. Valbusa, *Phys. Rev. Lett.*, 1994, **72**, 510.
- 24 A. Raukema, D. A. Butler and A. W. Kleyn, *J. Phys.: Condens. Matter*, 1996, **8**, 2247.
- 25 I. Loncaric, M. Alducin and J. I. Juaristi, *Phys. Chem. Chem. Phys.*, 2016, **18**, 27366.
- 26 J. P. Perdew, K. Burke and M. Ernzerhof, *Phys. Rev. Lett.*, 1996, **77**, 3865.
- 27 J. P. Perdew, K. Burke and M. Ernzerhof, *Phys. Rev. Lett.*, 1997, **78**, 1396.
- 28 J. Meyer and K. Reuter, *New J. Phys.*, 2011, **13**, 085010.
- 29 M. Yata and H. Rouch, *Appl. Phys. Lett.*, 1999, **75**, 1021.
- 30 A. Hodgson, A. Lewin and A. Nesbitt, *Surf. Sci.*, 1993, **293**, 211.
- 31 M. Minniti, D. Fariás, P. Perna and R. Miranda, *J. Chem. Phys.*, 2012, **137**, 074706.
- 32 A. Liem, J. Clarke and G. Kresse, *Surf. Sci.*, 2000, **459**, 104.
- 33 Y. Xu and M. Mavrikakis, *Surf. Sci.*, 2001, **494**, 131.
- 34 L. Martin-Gondre, C. Crespos and P. Larregaray, *Surf. Sci.*, 2019, **688**, 45.
- 35 M. Ramos, C. Díaz, A. E. Martínez, H. F. Busnengo and F. Martín, *Phys. Chem. Chem. Phys.*, 2017, **19**, 10217.
- 36 M. Ramos, C. Díaz, A. E. Martínez, F. Martín and H. F. Busnengo, *J. Phys. Chem. C*, 2018, **122**, 15529.
- 37 B. Hammer, I. Hansen and J. K. Nørskov, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1999, **54**, 11169.
- 38 G. J. Kroes and C. Díaz, *Chem. Soc. Rev.*, 2016, **45**, 3658.
- 39 L. Vattuone, L. Savio, M. Okada, K. Moritani and M. Rocca, *Surf. Sci.*, 2008, **602**, 2689.
- 40 R. Otero, F. Calleja, V. García-Suárez, J. Hinarejos, J. de la Figuera, J. Ferrer, A. V. de Parga and R. Miranda, *Surf. Sci.*, 2004, **550**, 65–72.
- 41 F. Calleja, V. M. García-Suárez, J. J. Hinarejos, J. Ferrer, A. L. Vázquez de Parga and R. Miranda, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2005, **71**, 125412.
- 42 H. F. Busnengo, A. Salin and W. Dong, *J. Chem. Phys.*, 2000, **112**, 7641–7651.
- 43 G. Kresse and J. Hafner, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1993, **47**, 558–561.
- 44 G. Kresse and J. Hafner, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1994, **49**, 14251–14269.
- 45 G. Kresse and J. Furthmüller, *Comput. Mater. Sci.*, 1996, **6**, 15–50.
- 46 G. Kresse and J. Furthmüller, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1996, **54**, 11169–11186.
- 47 S. A. Adelman and J. D. Doll, *J. Chem. Phys.*, 1976, **64**, 2375–2388.
- 48 J. C. Tully, G. H. Gilmer and M. Shugard, *J. Chem. Phys.*, 1979, **71**, 1630–1642.
- 49 H. Bachau, E. Cormier, P. Decleva, J. E. Hansen and F. Martín, *Rep. Prog. Phys.*, 2001, **64**, 1815–1943.
- 50 K. K. Irikura, *J. Phys. Chem. Ref. Data*, 2007, **36**, 389–397.
- 51 C. Díaz, J. K. Vincent, G. P. Krishnamohan, R. A. Olsen, G. J. Kroes, K. Honkala and J. K. Nørskov, *J. Chem. Phys.*, 2006, **125**, 114706.
- 52 M. Beutl, K. D. Rendulic and G. R. Castro, *J. Chem. Soc., Faraday Trans.*, 1995, **91**, 3639–3643.
- 53 H. F. Busnengo, E. Pijper, G. J. Kroes and A. Salin, *J. Chem. Phys.*, 2003, **119**, 12553–12562.
- 54 P. Rivière, H. F. Busnengo and F. Martín, *J. Chem. Phys.*, 2005, **123**, 074705.
- 55 C. Díaz, R. A. Olsen, D. J. Auerbach and G. J. Kroes, *Phys. Chem. Chem. Phys.*, 2010, **12**, 6499.
- 56 C. Díaz and A. Olsen, *J. Phys. Chem.*, 2009, **130**, 094706.
- 57 G. Laurent, C. Díaz, H. F. Busnengo and F. Martín, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2010, **81**, 161404(R).
- 58 P. Maroni, D. Papageorgopoulos, A. Ruf, R. D. Beck and R. T. Rizzo, *Rev. Sci. Instrum.*, 2006, **77**, 054103.
- 59 W. E. Perreault, N. Mukherjee and R. Z. Zare, *J. Chem. Phys.*, 2016, **145**, 154203.
- 60 C. Díaz, H. F. Busnengo, F. Martín and A. Salin, *J. Chem. Phys.*, 2003, **118**, 2886–2892.
- 61 C. Díaz, F. Martín, H. F. Busnengo and A. Salin, *J. Chem. Phys.*, 2004, **120**, 321–328.
- 62 G. Comsa and R. David, *Surf. Sci. Rep.*, 1985, **5**, 145.
- 63 M. Ramos, M. Minniti, C. Díaz, D. Fariás, R. Miranda, F. Martín, A. E. Martínez and H. F. Busnengo, *Phys. Chem. Chem. Phys.*, 2013, **15**, 14936–14940.
- 64 H. Zajonz, A. P. Baddorf, D. Gibbs and D. M. Zehner, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2000, **62**, 10436.

- 65 M. Bonfanti, C. Díaz, M. F. Somers and G. J. Kroes, *Phys. Chem. Chem. Phys.*, 2011, **13**, 4552.
- 66 C. Díaz, E. Pijper, R. A. Olsen, H. F. Busnengo, D. J. Auerbach and G. J. Kroes, *Science*, 2009, **326**, 832.
- 67 T. Tchakoua, E. W. F. Smeets, M. F. Somers and G. J. Kroes, *J. Phys. Chem. C*, 2019, **123**, 20420.
- 68 E. W. F. Smeets, J. Voss and G. J. Kroes, *J. Phys. Chem. A*, 2019, **123**, 5395.
- 69 E. N. Ghassemi, M. F. Somers and G. J. Kroes, *J. Phys. Chem. C*, 2019, **123**, 10406.
- 70 H. J. Chadwick, A. Gutiérrez-González, R. D. Beck and G. J. Kroes, *J. Chem. Phys.*, 2019, **150**, 124702.