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Local delivery to malignant brain tumors: potential biomaterial-based therapeutic/adjuvant strategies

Majed Alghamdi,^{a,b} Mark Gumbleton^a and Ben Newland^a 

Glioblastoma (GBM) is the most aggressive malignant brain tumor and is associated with a very poor prognosis. The standard treatment for newly diagnosed patients involves total tumor surgical resection (if possible), plus irradiation and adjuvant chemotherapy. Despite treatment, the prognosis is still poor, and the tumor often recurs within two centimeters of the original tumor. A promising approach to improving the efficacy of GBM therapeutics is to utilize biomaterials to deliver them locally at the tumor site. Local delivery to GBM offers several advantages over systemic administration, such as bypassing the blood-brain barrier and increasing the bioavailability of the therapeutic at the tumor site without causing systemic toxicity. Local delivery may also combat tumor recurrence by maintaining sufficient drug concentrations at and surrounding the original tumor area. Herein, we critically appraised the literature on local delivery systems based within the following categories: polymer-based implantable devices, polymeric injectable systems, and hydrogel drug delivery systems. We also discussed the negative effect of hypoxia on treatment strategies and how one might utilize local implantation of oxygen-generating biomaterials as an adjuvant to enhance current therapeutic strategies.

1. Introduction

1.1 Glioblastoma

Malignant brain tumors are one of the most lethal solid tumors leading to very poor prognoses.¹ They can originate from the brain (primary brain tumors) or be metastasized from non-brain tumors to the brain (secondary brain tumors). Among the primary brain tumors, glioblastoma (GBM) is the

^aSchool of Pharmacy and Pharmaceutical Sciences, Cardiff University, King Edward VII Avenue, Cardiff, CF10 3NB, UK. E-mail: newlandb@cardiff.ac.uk

^bFaculty of Pharmacy, King Abdulaziz University, Jeddah, 22522, Kingdom of Saudi Arabia

^cLeibniz-Institut für Polymerforschung Dresden, Max Bergmann Center of Biomaterials Dresden, Hohe Straße 6, D-01069 Dresden, Germany



Majed Alghamdi

focuses on the use of biomaterials for GBM therapy and how oxygen generation may improve radiotherapeutic efficacy in glioblastoma treatment.



Mark Gumbleton

Mark Gumbleton is a Professor of Experimental Therapeutics and is the Head of School at the School of Pharmacy and Pharmaceutical Sciences, Cardiff University. His research activities include understanding at the whole body, tissue, cellular and molecular levels the interaction of experimental therapeutics with biological barriers, particularly the blood-brain-barrier. In experimental therapeutics has a particular interest in cancer therapeutics with respect to brain tumours.

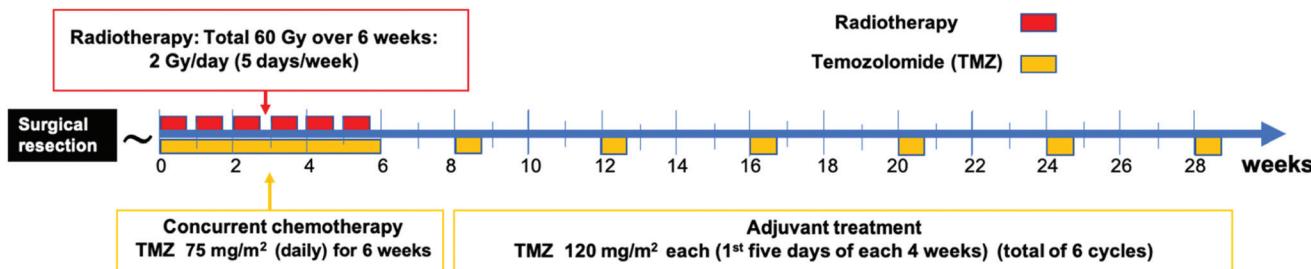


Fig. 1 A schematic timeline of the standard treatment for newly diagnosed GBM patients (Stupp protocol⁹). Patients undergo surgical resection of the tumor followed by six weeks of radiation and concurrent TMZ which are then followed by six cycles of adjuvant TMZ.

most aggressive and most commonly occurring malignant brain tumor and is classified as a grade IV glioma tumor by the World Health Organization (WHO).^{2,3} GBM can start *de novo* (primary GBM) or develop from lower grades of gliomas (secondary GBM). Primary and secondary GBMs create distinctive subtypes affecting patients of different ages and are formed by distinct genetic pathways and vary in the prognosis.⁴ Primary GBM comprises 90% of the GBM cases, which usually affect elderly patients and have a worse prognosis than secondary GBM.⁵ The global incidence rate of GBM is equal to 10 per 100 000 population every year,⁶ with an average age of onset between 65 to 75 years.⁷ Despite the combined regimen of surgery, radiotherapy, and systemic chemotherapy, the prognosis of GBM remains poor.⁸ New therapeutics and new therapeutic strategies are therefore needed to improve the outcome for patients. To this end, in this review, we have focused on the potential emerging strategy of utilizing biomaterials for local delivery of chemotherapeutics or adjuvant treatments to malignant brain tumors.

1.2 Current standard treatment for newly diagnosed patients

The current standard treatment for newly diagnosed patients with GBM includes total safe surgical resection of the tumor followed by

focal external beam radiotherapy to the surgical cavity with concurrent and adjuvant chemotherapy with temozolomide (TMZ).⁹ Surgery alone results in a median survival equal to 6 months, while the addition of radiation extends the survival to 12.1 months. The combined surgical resection, radiation, and chemotherapy of (TMZ) results in median survival of 14.6 months.⁹

1.2.1 Surgical resection. The surgical resection of GBM is the mainstay of the treatment as it aids histopathological diagnosis as well as debulking the tumor prior to radiation. Moreover, depending on the tumor site, surgery provides clinical advantages such as decreasing the intracranial pressure, which often results in the recovery of some neurological functions.^{10,11} However, surgery is not always possible and depends on several factors such as age, the position of the tumor, extensive tumor involvement, and the Karnofsky performance status.^{11,12}

1.2.2 Radiotherapy and concurrent chemotherapy. In addition to surgical resection, radiotherapy plus TMZ is given to patients to prolong survival. This combination usually starts within 2–6 after surgery and lasts for six weeks.^{13,14} TMZ is also continued as adjuvant therapy for six cycles after radiotherapy ends (Fig. 1).

The standard radiotherapy regimen in GBM includes focal external beam radiotherapy (EBRT) to the tumor resection cavity plus two centimeters of the surrounding brain tissue. Radiotherapy is typically given as 60 Gy divided over six weeks as 2 Gy per day for five days a week.⁹ Radiotherapy utilizes ionizing radiation to produce free radicals that induce single-strand and double-strand breaks in the targeted cells' DNA.¹⁵ Other radiation regimens are more recently being considered such as hypofractionation, which uses a lower total dose over a shorter period of time to treat GBM patients with advanced age or poor performance status.¹⁶

TMZ is an orally administered alkylating agent that causes DNA damage that eventually leads to cell apoptosis.¹⁷ In 2005, Stupp *et al.* reported on a clinical trial that showed that adding TMZ to radiation increased the median survival from 12.1 to 14.6 months; ($P < 0.001$) and the 5-year overall survival from 1.9% to 9.8%; ($P < 0.001$).⁹ These findings established the current standard treatment of GBM which is known as the Stupp protocol. In this protocol, TMZ is given with radiation (concurrently) with a dosage of 75 mg m^{-2} daily for the entire six weeks of radiation, then TMZ is given afterward for six cycles as an adjuvant.¹⁸



Ben Newland

Ben Newland joined the School of Pharmacy and Pharmaceutical Sciences at Cardiff University in 2017 to set up his highly interdisciplinary research team focussing on nano, micro and macroscale materials for use in neuroscience research. His group have developed microscale spherical cryogel scaffolds for cell, growth factor, cytokine, and drug delivery via local implantation in the brain. Together with collaboration partners, he

has developed a new ex vivo model of multiple sclerosis. His team are interested in the role that soft biomaterials can play in glioblastoma therapeutics and how oxygen generation may improve radiotherapeutic efficacy in glioblastoma treatment.



1.3 The rationale for new local therapeutic strategies

Unfortunately, the current standard treatment still results in a poor prognosis for newly diagnosed patients. Hence, other treatment strategies and novel interventions should be developed. Local delivery of chemotherapeutics and other adjuvant agents poses an interesting route to potentially improve GBM therapeutic outcomes.¹⁹ A number of factors make local drug delivery strategies particularly well-suited to applications in GBM therapies. Firstly, 65–75 percent of GBM patients undergo surgical resection,^{12,20} leaving behind a cavity into which drug delivery systems can be implanted.²¹

Additionally, GBM recurrence usually occurs within two centimeters of the original tumor site²² meaning close proximity to the drug delivery system. Moreover, local delivery of therapeutics bypasses the blood-brain barrier (BBB) opening up possibilities of re-purposing chemotherapeutics that cannot cross the BBB. Furthermore, implants or intratumoral delivery could allow modulation/disruption of local microenvironmental factors that increase chemo/radio-resistance such as hypoxia. For example, biomaterials that produce or deliver molecular oxygen could increase the tumor susceptibility to chemo/radiotherapy. Finally, local delivery of chemotherapeutics increases their concentration locally, thus potentially avoiding dose-limiting system-wide adverse effects. For these reasons, local delivery of therapeutics poses several potential advantages for treating GBM over systemic delivery.

1.4 Biomaterials for controlled release of therapeutics

Local delivery of therapeutic agents to GBM is generally introduced through invasive routes such as brain surgery or stereotactic injection. However, the administered agents usually exhibit a short half-life as they are cleared from the brain tissue rapidly.²³ To maintain a therapeutic concentration at the target site, without repeating the invasive administration, delivery systems are required to control drug release over a prolonged period of time.²⁴ These systems can be achieved using biomaterials that carry the therapeutic payload and control its release. They can be designed for implantation/injection into the tumor surgical cavity or injection directly into the tumor.

Biomaterials for controlled release of therapeutics can comprise of natural or synthetic biocompatible materials that encapsulate or load the therapeutic agents and limit their release by different mechanisms such as diffusion, dissociation, and dissolution.²⁵ Such biomaterials include implantable colloidal carriers, hydrogels, and polymeric-based delivery systems (such as films, rods, disks, and wafers).¹⁹

Ideally, local chemotherapeutic drug delivery systems for GBM can be introduced directly inside the surgical cavity for newly diagnosed patients. Patients that undergo surgical resection of the tumor have to wait for the wound to heal before commencing radiotherapy. Local delivery could bridge this time gap to prevent any tumor proliferation before starting the radiation.²⁶ For inoperable GBM tumors, biomaterials could be injected into the tumor directly therefore have to be in a size range that is easy to inject, such as microscale material.

Herein, we provide an overview of such biomaterial systems that have been investigated for delivering chemotherapeutic drugs to a variety of gliomas. However, we also propose the use of local delivery systems to manipulate the tumor microenvironment for enhancing the efficacy of current therapeutics. Specifically, we discuss how oxygen-generating biomaterials could offer a means of modulating the hypoxic GBM microenvironment to improve radiotherapeutic outcomes.

2. Biomaterials for local delivery of chemotherapeutics to GBM

Biomaterials for local delivery of chemotherapy can be divided into three main categories: implantable polymeric drug delivery devices, injectable polymeric-based delivery systems, and hydrogel-based delivery systems.

2.1 Implantable polymeric drug delivery devices

Implantable drug delivery devices for GBM generally use polymeric biomaterials designed to control the release of chemotherapeutic drugs into the surgical cavity. The basic principle applies only to operable tumors, where the devices are introduced into the surgical cavity during the same operation as the surgical resection. Gliadel, a carmustine filled polymer wafer, is currently the only local delivery device that has been approved by the FDA for use in GBM.²⁷ Gliadel® wafers are white-to-pale, with dimensions of 14.5 mm in diameter and 1 mm in thickness (Fig. 3A). Each wafer contains 7.7 mg of carmustine in a biodegradable co-polymer formed of 1,3-bis-(*p*-carboxyphenoxy)propane (pCPP) and sebacic acid (SA) in a 20 : 80 molar ratio.²⁸ The recommended amount of Gliadel® wafers is up to eight wafers (a total dose of 61.6 mg) which are placed to fill the tumor surgical cavity after the surgical resection. The co-polymer is hydrophobic and when in contact with aqueous fluids, it is hydrolyzed slowly into its monomeric contents releasing the carmustine into the surrounding tissue.²⁹ The approval of carmustine wafers came after preclinical and clinical studies showed that local delivery of carmustine was superior to the placebo in terms of efficacy for newly diagnosed and recurrent GBM.^{30–32} Several other chemotherapeutic agents have also been encapsulated within pCPP and SA co-polymer wafers and have been tested in preclinical brain tumor models, including paclitaxel (PTX),³³ carboplatin,³⁴ camptothecin,³⁵ minocycline,³⁶ mitoxantrone,³⁷ acriflavine³⁸ and doxorubicin.³⁹

Despite initial evidence of the efficacy of carmustine wafers as an adjuvant to radiation, only a modest improvement in survival has been achieved (1.7 months in comparison to the placebo wafer).^{40,41} This might be attributed to the drug release profile, where an initial burst release from the wafer occurs and the majority of carmustine is released in the first week.^{42,43} Moreover, due to the rigidity of the wafers, a physical and mechanical mismatch between the stiff device and the soft brain tissue can result in severe adverse reactions such as convulsions, confusion, cerebral edema, infection, and peri-



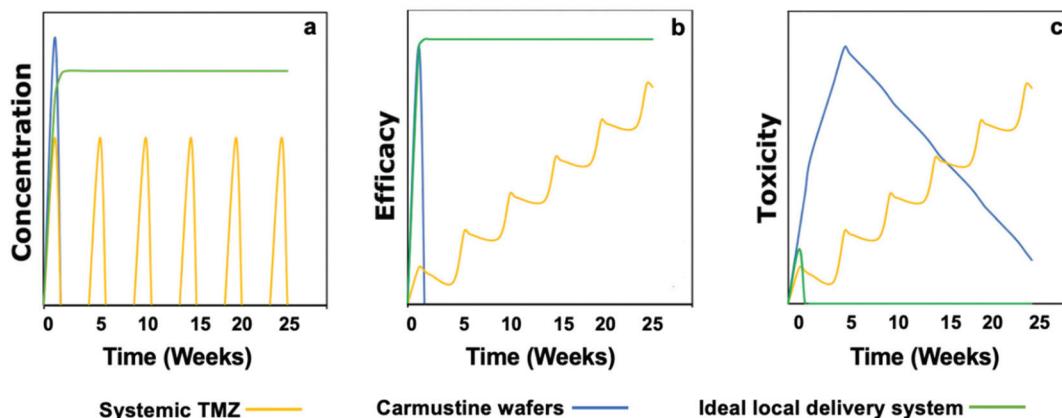


Fig. 2 Graphical depiction of concentration, efficacy, and toxicity profiles of the adjuvant systemic TMZ (Stupp protocol), carmustine wafers, and an ideal local delivery system. (a) Local delivery can achieve higher drug concentration than systemic TMZ, the doses of which are limited by its systemic concentration. An ideal local delivery system will exhibit sustained release with zero or 1st order release kinetics. (b) An ideal local delivery system that achieves a long-term high concentration locally will show long-term efficacy in reducing tumor burden and preventing relapse. (c) The toxicity of carmustine wafers due to the rigidity of the device can be overcome in the ideal local delivery system by creating soft materials. In contrast, the toxicity of systemic TMZ limits the prolongation of the current regimen. Reproduced from ref. 23 with permission from Wiley, copyright 2019.

cavity necrosis.⁴⁰ These adverse reactions may last until complete degradation of the polymeric wafers occurs (up to 6 months) even though the carmustine has already been released.^{23,44,45} Therefore, ideal local drug delivery systems should solve both the problem of the rapid drug release and the toxicities resulted from the stiffness of the device.

Fig. 2 represents a graphical illustration comparing the release profile, efficacy, and toxicity of carmustine wafers and systemic TMZ administration against an ideal local drug delivery system.²³ As mentioned before, a local delivery system can give the advantage of a high drug concentration in the tumor (Fig. 2a). However, an ideal local delivery system should maintain a high local concentration of the drug for a more extended period to increase the exposure of the drug to the tumor cells. Indeed, it has been reported that doubling the systemic TMZ cycles could improve survival by 8.4 months but at the expense of increasing the systemic toxicity.⁴⁶ Moreover, the ideal local drug delivery system should be made of a soft material to avoid the toxicity associated with rigid materials such as Gliadel® wafers (Fig. 2c).

Many approaches have been investigated to reduce the burst release and help sustain the release of the chemotherapeutic drug. Ranganath *et al.* developed PLGA miron- and submicron-fiber discs using electrospinning techniques, resulting in a high encapsulation efficiency of paclitaxel.^{47,48} These implants demonstrated a sustained release of paclitaxel over 80 days with only a small initial burst. Moreover, Rahman *et al.* explored the application of drug encapsulated in PLGA-microparticles delivered *via* a paste spread into the surgical cavity (Fig. 3B).⁴⁹ Furthermore, Lee *et al.* designed a flexible sticky wireless device for drug delivery to brain tumors (Fig. 3C and D).⁵⁰ The device contained doxorubicin-conjugated to oxidized starch and an electronic wireless heater and sensor that

controlled the release and enhanced penetration of doxorubicin into the surrounding tissue.⁵⁰ Doxorubicin was covalently bound to the oxidized starch which resulted in a decreased burst effect and prolonged doxorubicin release in comparison to the non-conjugated control. Implantation of this electronic device into an *in vivo* human xenograft GBM mouse model resulted in a significantly reduced GBM tumor volume and increased the survival rate compared to a BCNU wafer control group.

2.2 Hydrogel drug delivery systems

Hydrogels are three-dimensional (3D) polymeric networks formed through crosslinking of hydrophilic polymers within an aqueous medium.⁵¹ Due to the physical or chemical crosslinking of hydrophilic polymers, hydrogels are able to imbibe a large volume of water without dissolution.⁵² The high-water content of hydrogels can typically resemble biological tissue and can contribute to their excellent biocompatibility through matching the stiffness of their surroundings.⁵³

Hydrogels are excellent candidates for local and controlled delivery of chemotherapeutic drugs to GBM.¹⁹ Firstly, they can be used to encapsulate both hydrophilic and hydrophobic drugs.⁵⁴ Secondly, they can be introduced to the brain by implantation post-surgically to the resection cavity. In addition, hydrogels capable of *in situ* gelation offer the possibility of easy administration by direct injection into either the surgical cavity or directly into a tumor. This *in situ* gelation can be achieved by various mechanisms such as photo-induced polymerization, forming irreversible covalent bonds, or *via* self-assembly by chemical reactions in response to specific stimuli such as pH and temperature.⁵⁵ Furthermore, the water content of hydrogels contributes to their soft and flexible consistency which avoids a mechanical mismatch

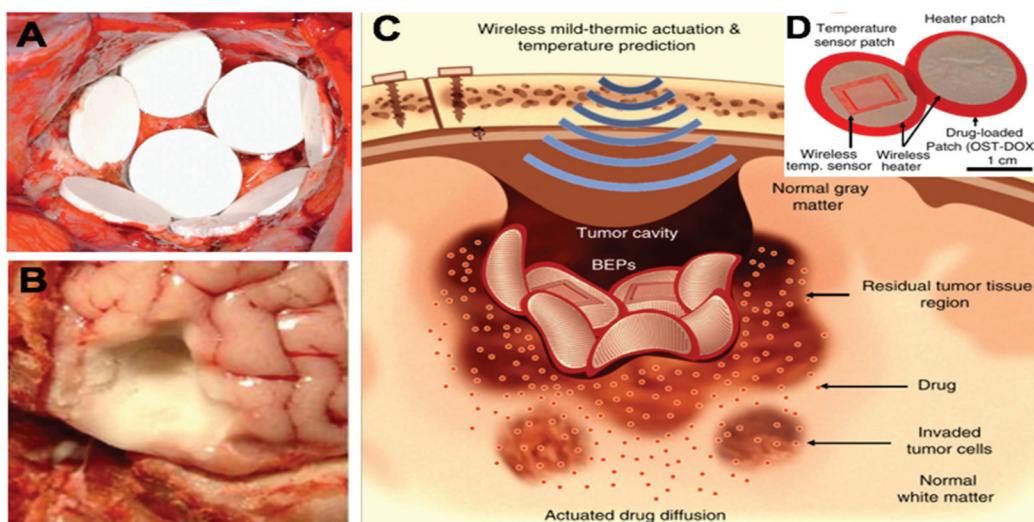


Fig. 3 Examples of implantable chemotherapeutic drug devices for tumor resection cavity. (A) Biodegradable drug-loaded wafers (Gliadel® wafers) implanted into a brain tumor resection cavity. Reproduced from ref. 151 with permission from Elsevier, Copyrights (2011). (B) Drug-loaded paste applied to an ex vivo tumor resection cavity. Reproduced from ref. 49, licensed under CC-BY, copyright 2013. (C) Schematic illustration of localized and penetrative drug delivery to deep GBM tissues by the bioresorbable electronic patch (BEP) with wireless mild-thermic actuation. (D) Image of the BEP, which includes a bioresorbable wireless heater and a temperature sensor on an oxidized starch (OST) patch containing doxorubicin (DOX). (C) and (D) Reproduced from ref. 50, licensed under CC-BY, copyright 2019.

between the drug device and the soft brain tissue and the associated adverse reactions.⁵⁶

Hydrogels also offer an attractive means of delivering biomacromolecules such as proteins and DNA, as such cargoes can be loaded to hydrogels without denaturing hydrophobic interactions.⁵² Lastly, hydrogels can be used to deliver chemotherapeutic drugs to GBM by either direct incorporation of the free drug^{57–63} or incorporation of drug-loaded nano/micro carriers^{56,64–66} (Fig. 4B).

Several studies have explored the application of hydrogels for delivering chemotherapeutic agents locally to GBM.^{56,58–60,63,65,67–69} Akbar *et al.* developed a biodegradable PLGA based hydrogel comprised of a mixture of PLGA: plastici-

zer with a ratio of (40 : 60) to load and deliver TMZ directly into the resection cavity.⁵⁷ Plasticizers with different hydrophilicity and hydrophobicity such as polyethylene glycol (PEG), *N*-methyl pyrrolidone (NMP), and acetyl triethyl citrate (ATEC) were studied which showed good biocompatibility.⁵⁷ ATEC, a hydrophobic plasticizer, showed favorable drug release, with an initial burst followed by a constant release over a period of 15 days post-injection. The TMZ containing hydrogel system was tested for safety and efficacy in an *in vivo* C6-GFP glioma model and showed a significant reduction in tumor load compared to an empty hydrogel.⁵⁷ Oncogel™ is another PLGA based hydrogel with PEG as a plasticizer that has been used to deliver paclitaxel (PTX) intratumorally.^{58,59}

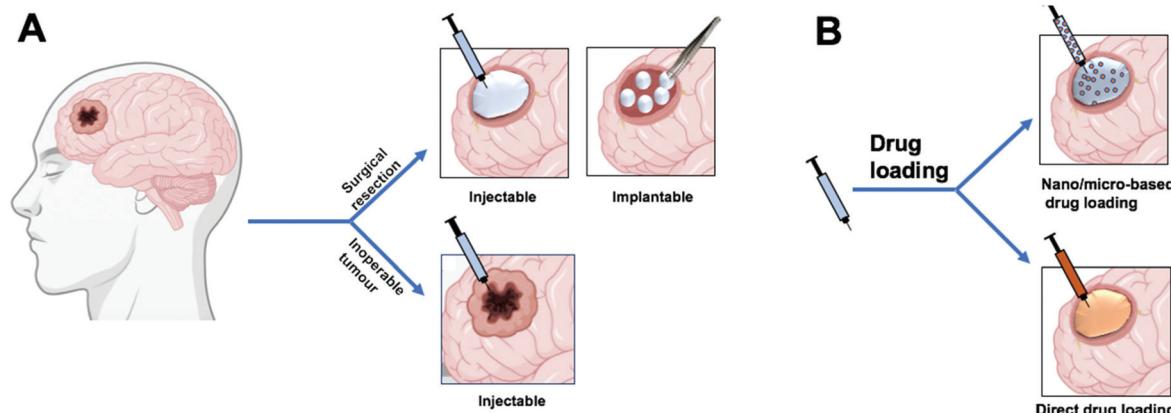


Fig. 4 Schematic illustration of the use of hydrogel drug delivery systems. (A) A hydrogel drug delivery system can be injected or implanted into the tumor resection cavity or can be injected directly into the tumor for inoperable tumors. (B) Drug incorporation into the hydrogel can be direct loading of the drug or incorporation of drug-loaded nano/micro carriers. Figure created with BioRender.com.



OncogelTM is a thermo-sensitive hydrogel which has a low viscosity at lower temperatures and becomes a biodegradable hydrogel at body temperature.¹⁹ OncogelTM was tested as an adjuvant to radiation and in combination with oral TMZ in the rodent 9L sarcoma model. The hydrogel sustained the drug release over six weeks and appeared to be safe, effective, and synergistic in the treatment.⁵⁹

Achieving sustained release of locally delivered chemotherapeutic drugs is essential for maintaining drug exposure to the tumor site and accomplishing high therapeutic efficacy.²³ To prolong the drug release, it can be incorporated first into a hydrophobic polymer-based nano/micro carrier, and then the system can be embedded into hydrogels. For instance, an alginate hydrogel was utilized to incorporate PTX-PLGA microspheres to form monodisperse spherical gel beads for implantation into the resection cavity.⁴⁸ This resulted in enhancing the control over PTX release which lasted more than 60 days at a near-constant rate and a low initial burst.⁴⁸ Another example includes the utility of photo polymerizable hydrogels for local delivery of chemotherapy-loaded nanoparticles to GBM.^{66,68} The photopolymerizable hydrogels enhanced the sustained release, provided easy injectability into the resection cavity, and provided *in situ* gelation using ultraviolet (UV) light. Zhao *et al.* incorporated PTX-PLGA nanoparticles into photo-polymerizable polyethylene glycol dimethyl acrylate which could be injected into the resection cavity.⁶⁶ Using the U87 cell *in vivo* mouse model, this hydrogel/nanoparticle system allowed long-term survival (<150 days) and the empty hydrogel was well-tolerated in healthy mice for up to four months. These studies suggest that hydrogels can be used to retain nano/micro carriers at an injection site and extend the release profile of the chemotherapeutic payload.

Recently, Schiapparelli *et al.* synthesized a camptothecin-based self-assembled prodrug that can be injected into the surgical cavity.⁶¹ The prodrug consists of camptothecin covalently bound to peptide filaments which spontaneously assemble into a hydrogel in an aqueous solution *i.e.*, within the surgical cavity. The *in vitro* release profile showed a near zero-order release over a period of 30 days and *in vivo* analysis showed significantly improved survival of a post-resection GBM murine model in comparison to the placebo hydrogel.

2.3 Injectable polymer-based drug delivery systems

Injectable polymer-based delivery systems involve drug-loaded nano/micro-carriers intended for injection into malignant brain tumors that cannot be resected. They can be administered by direct stereotactic injection into the tumor (intratumoral injection) or *via* the convection enhanced delivery (CED) injection. CED is a route of administration where a microcatheter is implanted into the tumor to deliver drugs by controlled-rate infusion which creates a high bulk flow, instead of simple diffusion, to maximize the distribution of the drug within the tumor.^{70–73} The use of nano/micro-polymeric carriers tends to improve drug penetration and retention into the tumor. Indeed, injection of free drug often results in poor drug penetration into, and retention within, the tumor due to its high

interstitial pressure.^{74,75} An ideal intratumoral delivery system would result in equal drug distribution throughout the GBM, hence the use of CED, and the drug should be retained at the tumor site for a sufficient time.

Several studies have explored the utility of intratumoral injections of injectable polymeric delivery systems into malignant brain tumors.^{76–81} PLGA based injectable nano/micro-carriers are one of the most heavily investigated because of the excellent biocompatibility and biodegradability of PLGA.⁸² Emerich *et al.* examined the efficacy of intratumoral injection of carboplatin- or BCNU-loaded PLGA microspheres into an *in vivo* rat glioma model which showed controlled drug release and superior efficacy than unloaded microspheres or bolus injection of the free drugs.⁸¹ However, multiple injections at the perimeter of the large tumors were needed to ensure good drug distribution and better efficacy.⁸¹ Other studies have also explored the utility of injectable polymer-based delivery systems containing different chemotherapeutic agents such as imatinib,⁷⁶ curcumin,⁷⁷ TMZ⁷⁸ and docetaxel.⁸⁰

In addition to direct intratumoral injections, drug-loaded nanoparticles can also be administered *via* CED. The drug distribution achieved by CED depends on a number of factors including catheter design, infusion rate and volume, the physicochemical properties of the injected drug or delivery system and the affinity towards the targeted tissue.^{83–85} Drug-loaded nanoparticles can offer an enhancement of the depth of penetration and therefore better volume of distribution across the tumor.^{70,86} Moreover, drug-loaded nanoparticles provide a controlled release of the drug as well as decrease the drug clearance rate.^{70,86} PLGA nanoparticles have been investigated for delivery of chemotherapeutic agents, such as camptothecin,⁸⁷ dithiazanine iodide,⁸⁸ TMZ⁸⁹ and carboplatin,⁹⁰ to be delivered *via* CED. Sawyer *et al.* synthesized camptothecin-loaded PLGA nanoparticles with an average particle size of 100 nm for CED.⁸⁷ These loaded-PLGA nanoparticles were tested *in vivo* in a 9L tumor model *via* CED administration and showed a significant improvement in survival in comparison to CED injection of either unloaded nanoparticles or the free drug.⁸⁷ To improve the drug penetration and distribution, Zhou *et al.* synthesized a smaller size of PLGA nanoparticles (average size of 74 nm) intended for CED.⁸⁸ Formulating a smaller nanoparticle size enabled deeper penetration through the interstitial spaces which were reported to be 38–64 nm for healthy tissue and 7–100 nm for GBM tumor.⁹¹ Therefore, with careful design, drug-loaded nanoparticles, capable of sustained drug release, may increase the therapeutic efficacy of CED.

3. Biomaterials for radio-sensitization

3.1 The problem of tumor hypoxia

Tumor hypoxia, in which the partial oxygen pressure (pO₂) can be defined as below 2%,⁹² occurs in solid tumors due to fast-growing tumor cells increasing oxygen demands, which are exacerbated by a disorganized tumor vascular supply.⁹³ In gliomas, a larger degree of hypoxia is associated with a higher



WHO grade and a poorer prognosis.^{94,95} This is a consequence of cellular responses to hypoxia which enable their survival under hypoxic conditions and results in a more aggressive tumor phenotype.^{95,96} In addition, a hypoxic environment is well-recognized to increase radiation resistance.^{15,97} GBM is associated with moderate hypoxia (pO_2 0.5–0.1%) to severe hypoxia (pO_2 < 0.1%), which occurs in ~40% of GBM cases.^{94,95}

The cellular response of tumor cells to hypoxia depends on the expression of multiple genes that are mainly controlled by hypoxia-inducible transcription factors (HIFs). Many of these genes are linked to tumor progression by promoting survival activity such as angiogenesis, invasion/metastasis, glycolysis and drug resistance.⁹⁸ Such genes include vascular endothelial growth factor-A (VEGF-A) which acts as a potent proangiogenic and is overexpressed in many tumors including GBM.⁹⁹ Furthermore, HIFs induce a change in metabolism, shifting from oxidative phosphorylation to the glycolytic pathway (known as the Warburg effect), *via* the expression of genes regulating glucose transporters, glycolytic enzymes (causing lactate production), and pyruvate metabolism.¹⁰⁰ Moreover, HIFs activation is linked to metastasis and migration through the regulation of key factors that control cell adhesion, extracellular matrix formation, and cell migration such as E-cadherin, lysyl oxidase, and CXCR4.^{99,101} Furthermore, HIFs activity is also associated with an increased expression of multiple drug resistance gene (MDR1) responsible for drug resistance.¹⁰² Lastly, hypoxia is found to promote the formation of a subpopulation of cells known as glioblastoma stem cells which are associated with self-renewal properties and are also highly resistant to chemo/radiotherapy.¹⁰³

In addition to the cellular responses to hypoxia, a hypoxic environment also has a direct negative effect on radiotherapy.^{97,104–106} In general, a hypoxic environment is thought to interfere with the intracellular fate of free radicals caused by radiation. Briefly, the radiation interacts with intracellular molecules and one of the most abundant molecules is water, which leads to the formation of radical hydroxides (OH^\cdot). These interact with DNA forming DNA-centered radicals (DNA $^\cdot$). In the presence of oxygen, DNA $^\cdot$ is oxidized to a DNA peroxide radical (DNA-OO $^\cdot$), a process which is irreversible and leads to cytotoxicity *via* a DNA strand break. However, in the absence of oxygen (anoxia) or in severe hypoxia, this DNA-centered radical (DNA $^\cdot$) can be repaired by endogenous thiols (RSH) thus reducing the efficacy of radiotherapy.¹⁰⁷ This effect of oxygen is known as the oxygen fixation theory and radiation cytotoxicity is enhanced by oxygen by a factor of 2.5–3 in comparison to radiation in severely hypoxic conditions.¹⁰⁶ In fact, increasing the oxygen level from 0% to up to 0.5% could double the effect of radiation, while reaching 5% oxygen could result in a three-fold radio-enhancement.¹⁰⁶

Reversing the severe hypoxic environment of GBM by delivering oxygen could therefore enhance both radio- and chemotherapies. This could be applied not only to inoperable and recurrent GBM, but also to the surgical resection cavity which is often associated with hypoxia due to perioperative ische-

mia.¹⁰⁸ Numerous efforts have been made to overcome this limitation by using hyperbaric oxygen therapy (HBOT) in which patients inhale 100% oxygen gas at higher pressure (~2.8 atmospheres). Huang *et al.* summarized 11 clinical studies of radiotherapy with HBOT in GBM patients and showed that HBOT improved clinical outcomes in some cases.¹⁰⁹ However, these clinical trials were conducted on a relatively small number of patients and many lacked a control group in the study design. Moreover, HBOT has some drawbacks including difficulties in the clinical application such as the requirement of a special radiation set-up, patient adherence (as some patients are claustrophobic), and possible increase in surrounding tissue damage due to non-specific oxygen elevation.¹¹⁰ To fully realize the therapeutic benefit of elevated tumor oxygenation, alternative approaches to generating oxygen in the tumor could be developed, as outlined below.

3.2 Localized oxygen-generating biomaterials

The development of oxygen-generating biomaterials, sometimes referred to as oxygen-producing biomaterials, is a rising field of research in tissue engineering and regenerative medicine to overcome hypoxia-induced tissue necrosis.¹¹¹ Oxygen-generating biomaterials typically consist of an oxygen source that generates oxygen chemically and a polymeric material that entraps the oxygen source to hold it in position and prolong the release of oxygen over time. As oxygen-generating biomaterials generate the oxygen *in situ*, they are distinctly different from artificial oxygen carriers/blood substitutes or hemoglobin-based oxygen carriers (reviewed elsewhere¹¹²). For use as a radiotherapy adjuvant in glioblastoma therapies, local and sustained production of oxygen within the tumor or resection cavity microenvironment could be highly beneficial, so systemic strategies using general oxygen-carriers are not considered further herein.

Oxygen-generating biomaterials have been reported to maintain the survival of engrafted engineered tissues¹¹³ as well as treatment of ischemic diseases,¹¹⁴ chronic wound healing¹¹⁵ and supporting high metabolic activity tissues such as pancreatic cells¹¹⁶ and muscle cells.¹¹⁷ Although oxygen-generating biomaterials have mostly been fabricated to maintain the survival of cells, they could provide an adjuvant effect to enhance the tumor killing capacity of radiotherapy by overcoming the tumor hypoxia.

So, despite the development of oxygen-generating biomaterials focusing on applications in tissue engineering and transplantation, the discussion below highlights some methods of oxygen generation and finally how these could be applied in GBM therapy.

3.3 Oxygen source materials

Peroxides are one of the most heavily investigated oxygen-generating materials which depend on the chemical decomposition of hydrogen peroxide into oxygen. They involve water-insoluble inorganic solid peroxides such as calcium peroxide (CaO_2), Zinc peroxides (ZnO_2), and magnesium peroxide



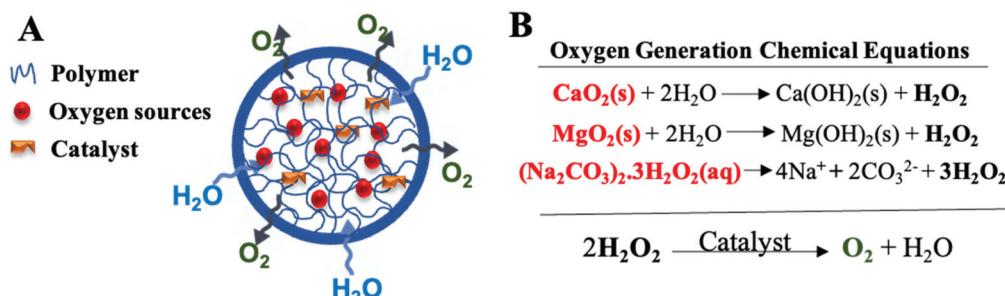


Fig. 5 An overview of the principles behind the function of typical oxygen-generating materials. (A) Schematic representation of oxygen-generating materials which involve oxygen sources, such as solid peroxides, and a catalyst incorporated into a polymeric matrix to limit the inward diffusion of water and outward diffusion of hydrogen peroxides prior to conversion to oxygen and water. (B) Oxygen generation chemical equations which include the dissociation of solid peroxides into H_2O_2 and the decomposition of H_2O_2 into oxygen and water. Abbreviations: CaO_2 : calcium peroxides, MgO_2 : magnesium peroxide, $(\text{Na}_2\text{CO}_3)_2\cdot 3\text{H}_2\text{O}_2$: sodium percarbonate.

(MgO_2) and water-soluble hydrogen peroxide adducts such as sodium percarbonate $[(\text{Na}_2\text{CO}_3)_2\cdot 3\text{H}_2\text{O}_2]$. In addition, H_2O_2 molecular complexation with polymers such as polyvinylpyrrolidone (PVP) have also been explored as oxygen source materials which is formed through hydrogen bonds.¹¹⁸ When in contact with water, solid peroxides dissociate to liquid H_2O_2 which then decomposes to water and oxygen in the presence of a catalyst (Fig. 5).

The chemical decomposition of H_2O_2 is slow and the chemical reaction rate depends on several factors such as pH,¹¹⁹ temperature,¹²⁰ the solubility of peroxides, and presence of specific buffers or catalysts.^{119,121} The presence of a catalyst is important for accelerating the decomposition and preventing an accumulation of H_2O_2 which exerts cytotoxic effects.¹²² Catalase is a hemoprotein enzyme that is found in most cells and decomposes H_2O_2 to oxygen and water.¹¹¹ It has therefore often been used with oxygen-generating biomaterials through conjugation with the carrier,¹²³ embedding within the carrier,^{60,116} or being added to the surrounding medium,¹²⁵ though in principle, other catalytic agents such as potassium iodide,¹²⁶ platinum,^{116,127,128} palladium,¹²⁸ rhodium,¹²⁹ hemin¹³⁰ and manganese dioxide¹³¹ could also be utilized.

The drawbacks of using solid peroxides as a source of oxygen include the potential for toxicity to surrounding tissue induced either by free radical toxicity of the peroxides, or by solid mineral depositions (mineral hydroxides such as $\text{Ca}(\text{OH})_2$) which remain post-oxygen generation.¹¹³ Peroxide toxicity might be overcome by using different delivery systems (carriers) or additives such as catalysts or antioxidants.

3.4 Polymeric carriers for peroxides

Oxygen-generating biomaterials can be incorporated into polymeric carriers to prolong the release of oxygen. Since the majority of oxygen-generating biomaterials synthesized to date have been for tissue engineering and regenerative medicine applications, a slow release of oxygen is desirable to sustain cell populations. However, the polymer coating also helps to avoid a burst release of H_2O_2 with the accompanying cyto-

toxicity that would cause. Moreover, the carrier aids the incorporation of the catalyst which is needed for oxygen production. Furthermore, the use of carriers enables the use of multi-cargo agents such as chemotherapeutics to enhance the treatment of GBM.⁶² The carrier designs to date have included implantable devices, injectable microcarriers, and hydrogel delivery systems.

3.4.1 Implantable oxygen-generating devices. Implantable oxygen-generating materials use polymeric carriers to form a controlled oxygen release device. The polymer acts as a barrier to decrease water permeability into the device, thus reducing the rate of solid peroxide dissociation, and to slow the diffusion of H_2O_2 outward. Pedraza *et al.* used polydimethylsiloxane (PDMS) to encapsulate calcium peroxide (CaO_2) into 10 mm diameter discs to deliver oxygen to overcome the necrosis of the transplanted tissue (Fig. 6A).¹¹⁶ This PDMS- CaO_2 disc was subsequently embedded in agarose gels containing β -cells. Oxygen release was maintained over an impressively long time of 40 days, whereby each disc released 0.026 mM of oxygen per day. The amount and long duration of the oxygen release enhanced the viability of pancreatic islets and beta-cells for up to 21 days under *in vitro* hypoxic conditions. This long period of sustained release of oxygen can be attributed to the high hydrophobicity of PDMS as well as the relatively large size of the disc (low surface area to volume ratio in comparison to microparticles described below). One of the limitations of the PDMS polymer is that it is not biodegradable, meaning that it would stay indefinitely in the targeted region.

Chandra *et al.* synthesized an oxygen-generating film to improve the healing of chronic skin wounds.¹³² The film was made of four different layers, each adding functionality for the wound healing process. In terms of oxygen production, calcium peroxide and sodium percarbonate were incorporated in the second layer while a catalyst (manganese chloride; MnCl_2) was embedded in the first layer (in contact with skin) to decompose H_2O_2 to O_2 and minimize H_2O_2 leakage (Fig. 6B). This oxygen-generating film, with its gelatin underlayer, could be promising for reversing GBM hypoxia as it is flexible thus minimizing physical and mechanical mismatch.

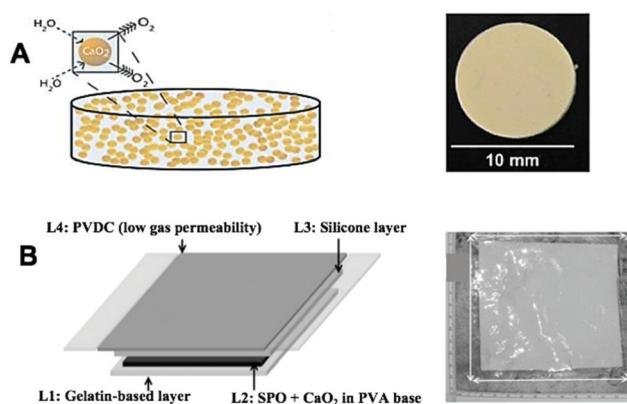


Fig. 6 Bulk implantable oxygen-producing materials. (A) Schematic representation of CaO_2 imbedded in a hydrophobic matrix of PDMS which hinders the inward diffusion of water and outward diffusion of oxygen. Reproduced from ref. 116 with permission from *PNAS*, copyright 2012. (B) Schematic representation of an oxygen-generating film showing four different layers as follows: layer 1 (L1) is a hydrophilic and oxygen permeable gelatin-based layer containing manganese chloride that is placed directly on top of the wound. L2 is an oxygen-generating layer that includes SPO and CaO_2 in PVA-based matrix. L3 is a silicone layer that provides flexibility to the dressing. L4 is a thin PVDC-based layer that forms the outermost covering of the dressing that prevents the generated oxygen from leaking out of the dressing. Abbreviation: CaO_2 : Calcium peroxide, PDMS: polydimethylsiloxane, SPO: sodium percarbonate, PVA: polyvinyl alcohol, PVDC: polyvinylidene chloride. Reproduced from ref. 132 with permission from Wiley, copyright 2015.

Furthermore, due to its flexibility, the film could be applied to inner lining of the surgical cavity while the protective layer of polyvinylidene chloride (PVDC) prevents unwanted release to the surrounding tissue. However, this system was only able to continuously release oxygen for three days, which is impractical for enhancing radiotherapy post-implantation and therefore improvement of the release duration is needed.

3.4.2 Injectable oxygen-generating materials. Injectable oxygen-generating materials comprise of peroxide-loaded polymeric microparticles which we categorize as being small enough to be injected into target tissues. Such an oxygen-generating biomaterial could be utilized for GBM tumors that are unsuitable for surgical resection but could be reached by stereotactic injection.

Whilst the aforementioned oxygen-generating biomaterials utilized solid peroxides, microparticles have utilized both solid and liquid peroxides as an oxygen source.^{114,123–126,133–137} Ng *et al.* encapsulated liquid H_2O_2 into PLGA microparticles *via* a double emulsion and solvent evaporation method.¹²⁶ This encapsulation resulted in very modest sustained release of oxygen (5 hours). H_2O_2 /PLGA microparticles have also been embedded in catalase-conjugated alginate *via* a hydrogel dripping method (to make microbeads) resulting in release for 24 hours (Fig. 7).¹³⁴

To further improve the release, a complex of poly(vinylpyrrolidone) (PVP) and H_2O_2 (PVP- H_2O_2) incorporated into PLGA microparticles resulted in a prolonged release over 5 days.¹³⁶

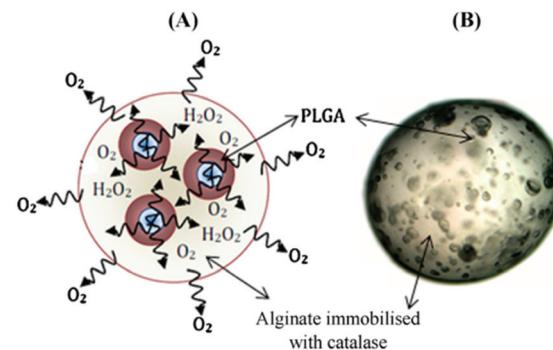


Fig. 7 Example of oxygen-generating microbeads. (A) Representative depiction of decomposition route for H_2O_2 in a micro-system. (B) Optical microscope image of a PLGA-based micro-system encapsulated with alginate-catalase. Abbreviation: PLGA, poly(lactide-co-glycolide); H_2O_2 , hydrogen peroxide. Reproduced from ref. 134 with permission from Elsevier, copyright (2011).

Moreover, Montazeri *et al.* have modified PVP- H_2O_2 PLGA microparticles by conjugating catalase onto the surface of the PLGA microparticles.¹²³ Another interesting study injected PVP- H_2O_2 PLGA microparticles with a thermosensitive hydrophilic hydrogel to improve the retention of the microparticles at the injection site.¹¹⁴ This system resulted in a very favorable release of oxygen over a period of four weeks.

Solid peroxides such as CaO_2 have also been encapsulated in microparticles. Newland *et al.* encapsulated CaO_2 into polyethylene glycol diacrylate (PEGDA) polymer using an emulsion and UV crosslinking technique.¹²⁵ Another two studies encapsulated CaO_2 into polytrimethylene carbonate¹³³ and PLGA¹³⁸ by conventional oil/oil and oil/water emulsions and solvent evaporation methods and both resulted in the prolonged release of oxygen for over 21 days. Such prolonged release of oxygen could be beneficial to overcome GBM hypoxia and enhance radiation efficacy which is administered over six weeks in the current radiation protocol.⁹

3.4.3 Hydrogel based oxygen-generating systems. As mentioned previously, hydrogels are very attractive formulations for local delivery of therapeutics to the brain. They have also been used as a carrier for encapsulating peroxides to generate oxygen.^{62,139–141} Preformed hydrogel-based oxygen-generating systems could be implanted into the resection tumor cavity or *in situ* forming hydrogels could potentially be injected into the cavity to better fill the space available. Newland *et al.* synthesized a dual cargo hydrogel system comprising of calcium peroxide and doxorubicin to potentially enhance the chemotherapeutic efficacy towards hypoxic solid tumors. The hydrogel system was made of a gellan gum hydrogel that cross-linked physically by the presence of calcium peroxides and had catalase incorporated within it. The *in vitro* oxygen release under hypoxic condition continued for up to two days, but no enhancement of doxorubicin efficacy was observed.⁶² Shiekh *et al.* fabricated a calcium peroxide-loaded cryogel from a previously synthesized hydrophobic and antioxidant polyurethane-based polymer (PUAO) intended for wound healing



applications.^{115,142} Cryogels are crosslinked under freezing condition allowing the formed ice crystals of the solvent to create a porous system after crosslinking and thawing¹⁴³ (Fig. 8B). In this system, a catalyst was not used which allowed slow degradation of hydrogen peroxide (for up to 10 days) while the antioxidant polymer was used to protect the tissues against accumulation of active oxygen species.¹⁴² Despite the application in wound healing, this system could provide a soft device to overcome hypoxia in GBM which can be implanted at time of the surgical resection, though the antioxidant polymer may negate the effects of radiotherapy.

3.5 Nanoparticles for *in situ* oxygen generation

Unlike peroxide-based oxygen-generating biomaterials, this class of formulations depends on the high level of reactive oxygen species (ROS) within solid tumors to generate oxygen *in situ*.¹⁴⁴ Manganese dioxide-based nanoparticles (MnO_2 NPs) have been explored due to the high reactivity and specificity toward H_2O_2 to produce oxygen.¹⁴⁵ Prasad *et al.* synthesized colloidally stable MnO_2 NPs to generate oxygen and modify the microenvironment pH.¹⁴⁵ *In vitro* studies showed that MnO_2 was able to generate oxygen when incubated with murine breast cancer EMT6 cells under hypoxic conditions. In terms of utilizing such nanoparticles as an adjuvant for radiation in GBM therapies, prior injection into the tumor would be required. Furthermore, a controlled release device or hydrogel which contains MnO_2 NPs could be implanted into the surgi-

cal cavity for prolonging the nanoparticle release to last for the duration of the radiotherapy regimen. Moreover, it has been reported that cellular H_2O_2 plays a role in tumor migration^{146,147} and therefore MnO_2 could potentially decrease the invasion of the GBM tumor to adjacent healthy tissue of the brain by reducing the levels of cellular H_2O_2 .

4. Future prospects

Whilst much of the early work in local delivery to GBM utilized large implantable wafers, there is a growing focus on micro/nanoscale delivery devices, soft hydrogels, or both in combination. Looking forward, for delivery systems to be safe, effective and be adopted by end users, we speculate that the following design criteria should be taken into consideration.

Firstly, for bulk devices (*i.e.*, not micro/nanoparticles) endeavor should be made at approximating the mechanical properties of the device to those of the surrounding tissue. In addition, space-filling properties, shown by hydrogels, cryogels and pastes are likely to be advantageous for both maximizing the interface with residual tumor cells and reducing dislodgment possibilities. Poor control over drug release must be overcome in order to sustain drug release to the order of months. If a synergistic mechanism with oral TMZ is desired then, 7–8 months of release would be favorable. This may be achieved through direct encapsulation though affinity-based methods of drug delivery may need to be investigated for long-term release.

In terms of oxygen-generating biomaterials, many studies with peroxides thus far fail to achieve the six weeks or so of release that would be required to act as an adjuvant for radiation therapy. An ideal local oxygen-generating system would exhibit a prolonged oxygen release that matches the radiation cycles. Hydrophobic polymers (such as PDMS) have thus far shown some of the most favorable release profiles, so the formation of a biodegradable hydrophobic polymer system (such as highly versatile PAE polymers¹⁴⁸) could be a possible strategy towards sustained release. To avoid tissue damage due to a mismatch of mechanical properties, the idea system should be soft, yet easy to handle. Otherwise, an ideal local oxygen delivery system intended for use in the resection cavity would utilize *in situ* polymerization allowing cavity filling and dislodgment avoidance. Finally, an oxygen delivery system to GBM should demonstrate an excellent safety profile toward the brain tissue. To date, no such system exists that matches all these criteria concomitantly. The use of solid peroxides in the brain is questionable, due to the formation of their associated solid hydroxides (basic), thus prompting the thought that the use of endogenous tumor peroxides may be a safer route to oxygen generation.

The use of catalytic nanoparticles such as manganese dioxide (MnO_2) nanoparticles take advantage of the endogenous hydrogen peroxide produced by tumor cells, thus negating the need for continual exogenous peroxide delivery. However, the stability (both too short or too long) and distribution para-

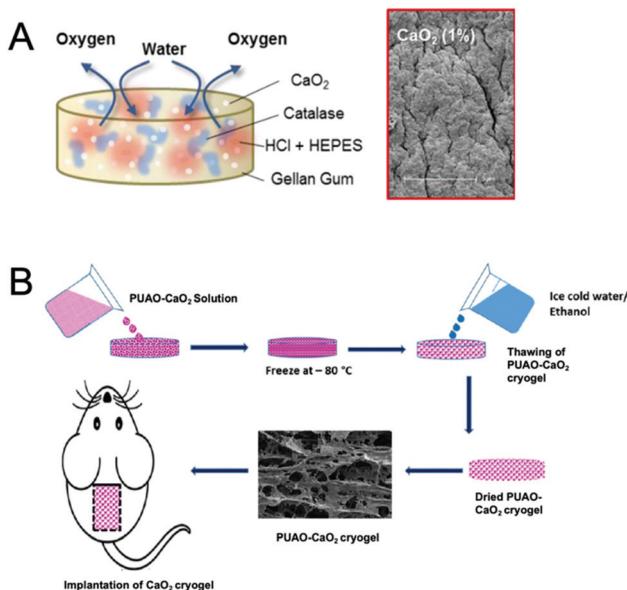


Fig. 8 Hydrogel-based oxygen-generating biomaterials. (A) Schematic representation of a gellan gum hydrogel loaded with dual cargoes consist of calcium peroxide (CaO_2) and doxorubicin. The scanning electron microscopy (SEM) image shows the morphology of the hydrogel after oxygen production. Reproduced from ref. 62 with permission from American Chemical Society, Copyright 2017. (B) Schematic representation of fabrication of CaO_2 -loaded polyurethane (PUAO) cryogel. Reproduced from ref. 142 with permission from American Chemical Society, copyright 2018.



meters will need to be analyzed carefully to avoid unnecessary damage to healthy tissue. Furthermore, a whole range of host-response characteristics will need to be analyzed such as the nanoparticle toxicity, the host immune/foreign body response, and possible changes in vascularization (due to altered oxygen concentration). These will likely be material specific, so must be examined thoroughly for each material analyzed.

The rationale for using oxygen-generating biomaterials, such as those discussed herein, has largely revolved around improving the efficacy of radiotherapy for GBM. However, reversing tumor hypoxia, and therefore HIF-1 α expression, may also have spill-over effects in reversing HIF-1 α -mediated pathways such as neoangiogenesis, tumor growth and invasion. To reinforce this effect, one could envisage using a combination of oxygen-generating biomaterials to improve radiotherapy, and HIF-1 α targeting drugs, such as acriflavine, to reduce tumor growth, and vascularization.¹⁴⁹ Encapsulation of acriflavine in the biodegradable CPP and SA polymer has already yielded impressive results after local delivery to the rat 9L gliosarcoma model, even in the absence of radiotherapy.³⁸

For all local delivery devices, factors such as storage, sterility and ease-of-use must also be considered. Whilst *in situ* forming hydrogels can be ideal in terms of mechanical and space-filling properties, they must be easy to use in a clinical setting. Delivery devices such as cryogelated hydrogels may prove beneficial in this aspect,¹⁵⁰ where preformed and pre-loaded carriers can be shipped and stored in their dehydrated state and be rehydrated within the resection cavity. As tumor imaging improves and robotic surgical interventions become more widespread, the scope for using local interventions is increasing, provided that the innovation in delivery systems can keep pace.

5. Summary

Local delivery of chemotherapeutics and other adjuvant therapeutics shows promise as a strategy to improve the efficacy of GBM therapeutics. Such strategies include local injection or implantation of polymer-based biomaterials to sustainably deliver loaded therapeutics. Polymer-based biomaterials involve carriers such as implantable devices, hydrogel delivery systems and injectable nano/micro-carriers.

Carmustine loaded wafers, the only clinically approved implantable delivery system for GBM, has thus far showed only a modest improvement in survival. In addition, the adverse reactions associated with wafers due to the physical mismatch between the rigid device and the soft surrounding tissues could last for weeks.

A wide range of novel delivery systems such as micro/nano materials or hydrogels are being developed to overcome these drawbacks. Hydrogel systems can be pre-formed and implanted into the surgical cavity of the tumor or form *in situ* upon injection into the tumor or resection cavity. Moreover, for GBMs where resection is impossible, stereotactic injection of small delivery systems may still be possible, to ensure a

high local concentration of the therapeutic. Since tumor hypoxia negatively effects radiotherapy outcomes, one could also consider the use of oxygen-generating biomaterials as an adjuvant therapy. Whilst these are in their relative infancy, tailoring their use specifically for GBM therapeutics may result in more effective tumor ablation.

In sum, the rapid development of biomaterials in these research field provides promise for highly effective local intervention strategies for treating GBM.

Author contributions

CRediT roles: conceptualization – B.N.; writing – original draft – M.A.; writing – review & editing – M.A., M.G., and B.N.

Conflicts of interest

There are no conflicts to declare.

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