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## Recent progress in nanotechnology-based drug carriers for celastrol delivery

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Celastrol (CLT) is an active ingredient that was initially discovered and extracted from the root of *Tripterygium wilfordii*. The potential pharmacological activities of CLT in cancer, obesity, and inflammatory, auto-immune, and neurodegenerative diseases have been demonstrated in recent years. However, CLT's clinical application is extremely restricted by its low solubility/permeability, poor bio-availability, and potential off-target toxicity. The advent of nanotechnology provides a solution to improve the oral bioavailability, therapeutic effects or tissue-targeting ability of CLT. This review focuses on the most recent advances, improvements, inventions, and updated literature of various nanocarrier systems for CLT.

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### 1. Introduction

Traditional Chinese herbal plants have long been utilized to help researchers find new active ingredients. Celastrol (CLT), also known as tripterine, is one of the most abundant bioactive compounds obtained from the root bark of *Tripterygium wilfordii*. During the last few decades, CLT has been described as a component of traditional medicine that can be applied to treat a number of disorders, including cancer, obesity, and inflammatory, auto-immune, and neurodegenerative diseases by modulating various molecular targets.<sup>1</sup> Evaluation of the atomic orbital energy reveals that carbons C<sub>2</sub> on ring A and C<sub>6</sub> on ring B of CLT are highly susceptible toward a nucleophilic attack<sup>2</sup> (Fig. 1). CLT can react with the nucleophilic thiol groups of cysteine residues and form covalent Michael adducts. The possible key mechanism is that CLT can exert a multitude of putative therapeutic effects by affecting the activities of a broad range of proteins. To date, although all the studies or trials on CLT were carried out in the pre-clinical stage, the impressive biological activities and promising experimental results would undoubtedly support the growing interest in CLT as one of the top five natural active substances of the 21st century for future drug development.<sup>3</sup>

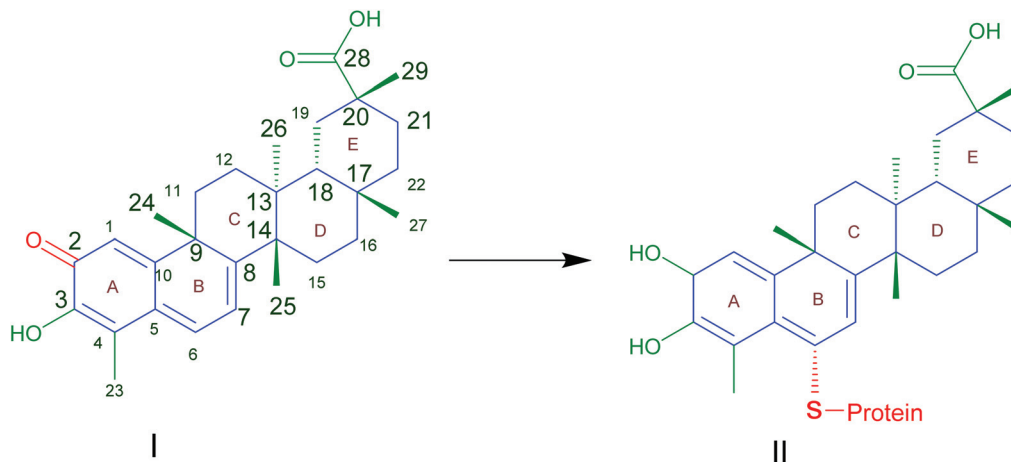
CLT has been shown *in vitro* and *in vivo* to suppress a broad variety of cancers, such as liver cancer,<sup>4</sup> lung cancer,<sup>5</sup> pancreatic cancer,<sup>6</sup> breast cancer,<sup>7</sup> prostate cancer,<sup>8</sup> colorectal cancer,<sup>9</sup> ovarian cancer,<sup>10</sup> and skin cancer.<sup>11</sup> The induction of cell apoptosis, the suppression of cell proliferation and cell cycle arrest are the key mechanisms for CLT in cancer treatment.<sup>1</sup> CLT has the potential to facilitate the apoptosis of tumor cells by the regulation of nuclear factor kappa B (NF-κB) signaling<sup>12</sup> or by the development of reactive oxygen species (ROS)<sup>13</sup> and downstream activation of c-Jun N-terminal kinases.<sup>14</sup> By inhibiting the AKT/mTOR/P70S6K signaling pathway, and lowering the regulation of vascular endothelial growth factor receptors (VEGFRs), CLT can inhibit cell signaling associated with angiogenesis.<sup>15</sup> In addition, it was also demonstrated that CLT can prevent tumor invasion and cancer metastasis by downregulating the signal transducer and activator of transcription 6 (STAT6) and CIP2 A/c-MYC signaling pathways,<sup>16</sup> or by inhibiting M2-like polarization of tumor-associated macrophages (TAMs)<sup>17</sup> and matrix metalloproteinase-9 (MMP-9).<sup>18</sup> Overall, it is concluded that CLT exhibits its anticancer activity through multiple pathways.

CLT has been investigated for its preventive benefits and anti-inflammatory effects in several inflammatory and auto-immune disorders,<sup>19</sup> including allergic asthma,<sup>20</sup> rheumatoid arthritis,<sup>21</sup> systemic lupus erythematosus,<sup>22</sup> inflammatory bowel disease,<sup>23</sup> osteoarthritis,<sup>24</sup> and skin inflammation.<sup>25</sup> CLT mainly prevents the production of pro-inflammatory cytokines, NF-κB, and adhesion molecules,<sup>14,26</sup> which are all involved in inflammation. CLT can also minimize inflammation by promoting the autophagy of mitochondria through the nuclear receptor Nur77.<sup>27</sup> Besides, the efficacy of CLT in animal models of Alzheimer's diseases (AD)<sup>28</sup> and Parkinson's

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**Fig. 1** Celastrol (I) contains electrophilic sites at position C2 of ring A and C6 of ring B responsible for the binding affinity to thiol groups of cysteine residues forming covalent Michael adducts (II) which could affect the function of proteins. Reproduced from ref. 1 with permission from Elsevier, copyright 2019.

diseases (PD)<sup>29</sup> has been reported. CLT's encouraging effect on heat shock proteins (HSP), which has been related to the refolding and reactivation of proteins, as well as the prevention of aggregate formation, can lead to the alleviation of AD symptoms.<sup>30,31</sup> Also, CLT can prevent cell death in PD through decreasing the production of ROS, cytochrome c and apoptosis promotion factors, and reducing the  $\alpha$ -synuclein protein which is considered to be responsible for the pathogenesis of PD by the activation of autophagy.<sup>32</sup>

In recent years, CLT has also been exploited to treat obesity and type 2 diabetes mellitus.<sup>33</sup> However, despite the excellent anti-tumor, anti-inflammatory, neuroprotective, anti-obesity and anti-diabetic activities of CLT, its further application in the clinic remains to be restricted by several main drawbacks including low water solubility ( $11 \mu\text{g mL}^{-1}$  at pH 7.4),<sup>34</sup> poor permeability ( $\log P = 5.63$ ),<sup>35</sup> reduced oral bioavailability (absolute bioavailability of 17.06%),<sup>36</sup> and off-target side effects (cardiotoxicity, hepatotoxicity, and neurotoxicity).<sup>37</sup> Numerous conjugates and derivatives of CLT have been introduced and tested to improve effectiveness and mitigate toxicity.<sup>38</sup> In addition, several types of nanotechnology-based delivery approaches (Fig. 2), such as liposomes,<sup>39</sup> phytosomes,<sup>40</sup> bilosomes,<sup>41</sup> niosomes,<sup>42</sup> exosomes,<sup>43</sup> polymeric nanoparticles,<sup>44</sup> lipid nanoparticles,<sup>45</sup> protein nanoparticles,<sup>37</sup> mesoporous silica nanoparticles (MSNs),<sup>46</sup> gold nanoparticles (AuNPs),<sup>47</sup> quantum dots (QDs),<sup>48</sup> dendrimers,<sup>49</sup> micelles,<sup>50</sup> micro/nanoemulsions,<sup>51</sup> nanocrystals<sup>52</sup> and inclusion complexes,<sup>53</sup> have been found to be suitable for encapsulating or loading of CLT to provide better solubility and bioavailability,<sup>54,55</sup> better permeability,<sup>56,57</sup> stronger therapeutic efficacy and lower toxicities.<sup>37,58</sup> A year-by-year diagram illustrating the research efforts made over the last ten years in this direction is shown in Fig. 3. This review will narrow our attention on the fabrication and development of various CLT-based nanocarriers. CLT nanoformulations might provide new opportunities to improve the bioavailability and biological activity of CLT.

## 2. Nanocarrier based approaches for CLT delivery

### 2.1 Vesicular carrier systems

Vesicles are colloidal particles in which an aqueous compartment is surrounded by a concentric bilayer that is composed of amphiphilic molecules.<sup>59</sup> Vesicular systems have been applied as promising carriers to improve the bioavailability of encapsulated drugs and provide controlled and sustained therapeutic action. Several types of vesicular systems including liposomes, phytosomes, bilosomes, niosomes and exosomes have been explored for CLT delivery (Table 1).

**2.1.1 Liposomes.** Liposomes are spherical vesicles made up of cholesterol and natural non-toxic phospholipids, which can encapsulate both hydrophilic and lipophilic drugs.<sup>60</sup> Liposomes are the first therapeutic carrier to be approved by the US Food and Drug Administration (FDA) to deliver anti-cancer agents. They have received tremendous attention as drug carriers due to their biocompatibility, biodegradability, and chemical flexibility, as well as ease of surface modification with targeting ligands.

CLT was encapsulated into liposomes to address the issue of limited bioavailability.<sup>35</sup> In this study, the permeability efficiency of free CLT and its liposomal formulation was compared in a four-site perfusion rat intestinal model, and the results showed that CLT-loaded liposomes displayed greater absorption potential in all intestine segments. Moreover, oral liposomes encapsulating CLT gave a higher tumor inhibition rate in a lung carcinoma xenograft model than free drug. In another study,<sup>61</sup> it was found that liposome-based CLT formulation also presented an enhanced bioavailability with higher  $C_{\text{max}}$  and AUC, longer  $t_{1/2}$ , and greater biodistribution than free CLT. Treatment with liposomal CLT could suppress the growth of glioma cell lines (U251, SHG44, and C6), resulting in better *in vivo* antitumor activity and less serious side effects



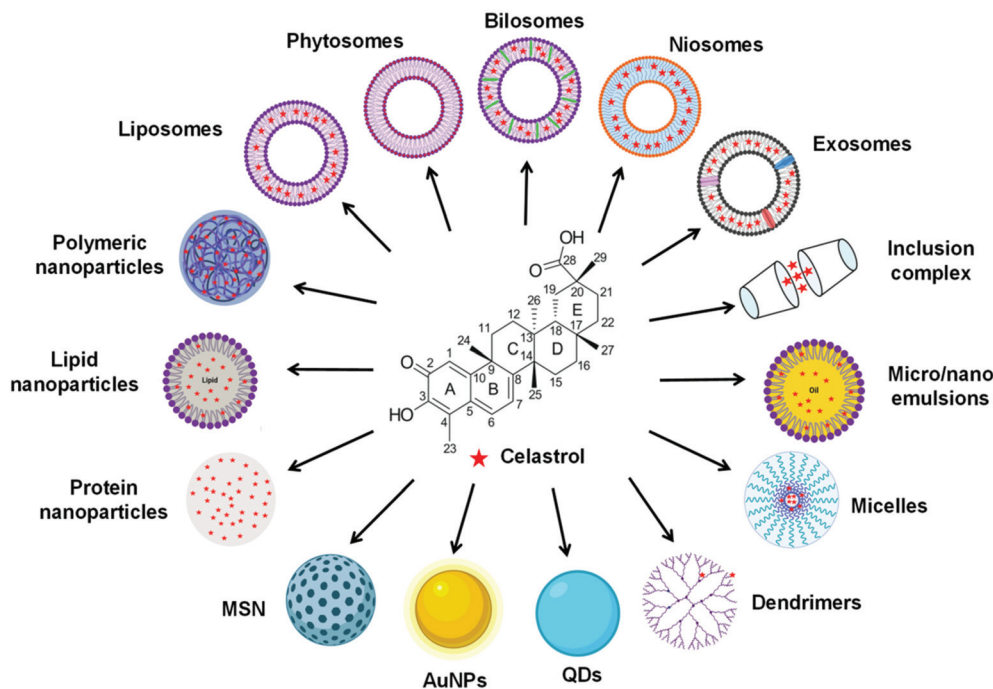


Fig. 2 Various types of celastrol-loaded nanoformulations.

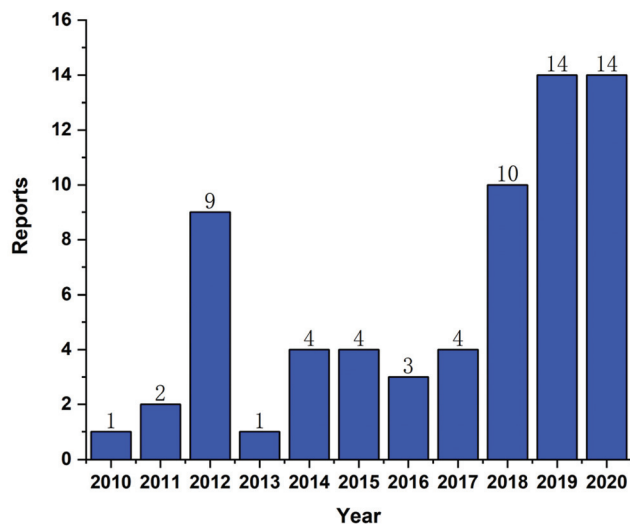


Fig. 3 Various types of celastrol nanoformulations developed over the past ten years.

than the same dose of free CLT. Wolfram *et al.*<sup>62</sup> fabricated PEGylated DOPC and DSPC liposomal formulations and compared the stability and encapsulation efficiency between formulations. The results displayed that liposomes prepared using DSPC exhibited improved shelf-life stability and higher encapsulation efficiency than those prepared with DOPC. However, contrary to other studies, the authors revealed that the DMSO-solubilized raw CLT and liposomal CLT caused a similar reduction in prostate cancer cell viability and demon-

strated similar cellular uptake patterns. It should be stated that DMSO is not recommended for *in vivo* delivery due to its non-selective toxicity.

Chen *et al.*<sup>39</sup> designed a novel CLT-loaded liposome coated with galactose molecules which binds to the asialoglycoprotein receptor enriched in hepatic parenchymal plasma membranes to enhance CLT delivery to human hepatocellular carcinoma for therapeutic purposes. *In vitro* studies showed that CLT loaded galactosylated liposome (C-GPL) improved the cellular uptake of CLT *via* receptor–ligand interaction, thereby increasing its cytotoxicity against tumor cells. The *in vivo* antitumor efficacy of C-GPL was further tested in an AKT/c-Met-triggered hepatocellular carcinoma mouse model. It was observed that C-GPL treatment substantially minimized the harm to liver and effectively prevented the growth of hepatocellular carcinoma by inhibiting AKT activation, inducing cell apoptosis, and retarding cell proliferation. Moreover, C-GPL did not cause significant weight loss and damage to normal organs.

Kang *et al.*<sup>63</sup> developed liposomes encapsulating CLT and coated with a lymphocyte function associated antigen inserted domain (LFA-1 I domain) to target ICAM-1 that is over-expressed in both inflamed immune and vascular cells. They found the optimization in the affinity and avidity of the LFA-1 I domain on the surface of liposomes could increase the specificity to inflamed endothelial cells and monocytes with a high upregulation of ICAM-1. Moreover, these ICAM-1-selected liposomal CLT could shield endothelial cells from lipopolysaccharides challenges, inhibit the infiltration of monocytes and leukocytes in inflammatory sites, and prevent the amplification of inflammatory signals. It has been reported that myofibroblasts



Table 1 Detailed description of vesicular carrier systems for CLT

Classification	Formulation ± co-treatment	Composition ± targeting moiety	Preparation technique	Physico-chemical characteristics	Used cell line/animal model	Route of administration/treatment dosage	Major outcome	Ref.
Liposomes	Liposomes	Soybean phospholipids, cholesterol, Tween 80	Ethanol injection method	PS: ~90 nm; ZP: ~-88 mV; EE: ~98%	<i>In situ</i> rat intestinal perfusion model; mouse lung carcinoma xenograft model	<i>In situ</i> , oral (CLT) dose: 2 mg kg <sup>-1</sup> for 16 consecutive days	Improved solubility and permeability; enhanced anti-cancer efficacy	35
Liposomes	Liposomes	SPC, sodium deoxycholate	Thin-film hydration method	PS: ~128 nm; ZP: n.a.; EE: ~72%	Glioma cell lines (U251, SHG44, and C6); human glioma xenograft model	<i>In vitro</i> ; i.p. (CLT) dose: 1 and 4 mg kg <sup>-1</sup> day <sup>-1</sup> , 5 days per week for 4 weeks)	Increased bioavailability; enhanced anti-cancer efficacy; reduced toxicity	61
PEGylated liposomes	PEGylated liposomes	DOPC, cholesterol, DSPEmPEG <sub>2000</sub> /DSPC, cholesterol, DSPEmPEG <sub>2000</sub>	Thin-film hydration method	PS: ~75 nm/ ~104 nm; ZP: ~-7 mV/ ~-10 mV; EE: ~70%/~87%	Prostate cancer cell line (VCaP)	<i>In vitro</i>	Decreased viability of prostate cancer cells, while eliminating the need for toxic solubilising agents	62
Galactose-modified PEGylated liposomes	Galactose-modified PEGylated liposomes	SPC, cholesterol, Gala-PEG <sub>2000</sub> -DSPE	Thin-film hydration method	PS: ~139 nm; ZP: n.a.; EE: ~91%	Human hepatocellular carcinoma cell line (HepG2); AKT/c-Met-induced hepatocellular carcinoma mouse models	<i>In vitro</i> ; i.v. (CLT) dose: 2 mg kg <sup>-1</sup> , once every other day for 2 weeks)	Improved solubility; increased liver-targeted delivery; enhanced anti-cancer efficacy; reduced the side effects	39
LFA-1 I domain conjugated PEGylated liposomes	LFA-1 I domain conjugated PEGylated liposomes	DPPC, DPPE, cholesterol, PEG1000-PE, DOGS-NTA(Ni), LFA-1 I domain	Thin-film hydration method	PS: ~115 nm; ZP: n.a.; DL: ~0.8%	Human dermal microvascular endothelial cells (HMEC-1); human monocytic leukemia cells (THP-1); mouse brain microvascular endothelial cells (bEnd.3)	<i>In vitro</i>	Increased inflamed site-targeted delivery; enhanced anti-inflammatory efficacy	63
CREKA-coupled PEGylated liposomes	CREKA-coupled PEGylated liposomes	Lipoid S100, cholesterol, mPEG2000-DSPE, Mal-PEG2000-DSPE, CREKA	Thin-film hydration method	PS: ~112 nm; ZP: ~-20.33 mV; EE: ~94%; DL: ~5%	Normal rat renal fibroblasts (NRK-49F); mouse UUO model	<i>In vitro</i> ; i.v. (CLT) dose: 1 mg kg <sup>-1</sup> , every other day, for a total of 5 times)	Increased renal fibroblast-targeted delivery; enhanced anti-fibrotic efficacy; lessened toxicity	64
FA-conjugated PEGylated liposomes (co-deliver with irinotecan)	FA-conjugated PEGylated liposomes (co-deliver with irinotecan)	DPPC, cholesterol, DSPE-PEG-FA	Thin-film hydration method	PS: ~174 nm; ZP: ~-9 mV; DL: ~29%	The folate receptor-positive breast cancer cell lines (MCF-7, MDA-MB-231), and folate receptor-negative lung cancer cell line (A549); human breast cancer xenograft model	<i>In vitro</i> ; i.v. (CLT) dose: n.a)	Increased tumor-targeted delivery; enhanced anti-cancer efficacy; reduced side effects	65
Multicomponent-based liposomes (co-delivered with STS)	Multicomponent-based liposomes (co-delivered with STS)	Coix oil, RH40, PEG400, SPC, Cholesterol	Thin-film hydration method	PS: ~110 nm; ZP: ~-27 mV; EE: ~75%	Human breast cancer cell line (MCF-7) and normal human liver cell line (L-02); human breast cancer xenograft model	<i>In vitro</i> ; i.p. (CLT) dose: 2 mg kg <sup>-1</sup>	Enhanced anti-breast cancer activity; diminished systemic toxicity	66





Table 1 (Contd.)

Classification	Formulation ± co-treatment	Composition ± targeting moiety	Preparation technique	Physico-chemical characteristics	Used cell line/animal model	Route of administration/ treatment dosage	Major outcome	Ref.
Phytosomes	Phytosomes	SPC	Solvent evaporation method	PS: ~178 nm; ZP: ~-39 mV; DL or EE: n.a.	New Zealand white male rabbits	Oral	Enhanced bioavailability; improved pharmacokinetics	54
	Phytosomes (co-delivered with selenium)	SPC, sodium oleate, Na <sub>2</sub> SeO <sub>3</sub> , reduced GSH	Melting-hydration/ <i>in situ</i> reduction method	PS: ~126 nm; ZP: n.a.; EE: ~99%	Caco-2 cells; adjuvant-induced arthritis rat model	<i>In vitro</i> ; oral (CLT dose: 10 mg kg <sup>-1</sup> for 2 weeks)	Improved permeability; enhanced antiarthritic effects	40
	Laminated sponge loaded with protamine decorated phytosomes	SPC, protamine, CS, HPMC, PEG 400, mannitol, ethyl cellulose	Solvent evaporation titration/lyophilization	PS: ~250 nm; ZP: ~+22 mV; DL or EE: n.a.	Chicken pouch mucosa; New Zealand white male rabbits	<i>Ex vivo</i> ; topical	Superior muco-permeation profile; higher bioavailability	68
Bilosomes	HA-functionalized bilosomes	SPC, DOTAP, SDC, HA	Thin film hydration method	PS: ~119 nm; ZP: ~-34 mV; EE: ~100%; DL: ~8%	Murine macrophage cell line (Raw264.7); collagen antibody induced arthritis mouse model	<i>In vitro</i> ; i.v. (CLT dose: n.a.)	Enhanced bioavailability and antiarthritic efficacy	41
Niosomes	Niosomes	Span @ 20, Span @ 60, cholesterol	Thin film hydration method	PS: ~147 nm; ZP: ~-49 mV; EE: ~90%	Psoriatic skin; imiquimod-induced psoriatic mouse models	<i>In vitro</i> ; topical (CLT dose: 4 mg kg <sup>-1</sup> , once a day for 5 days)	Improved topical permeation and anti-psoriasis activity	42
Exosomes	Exosomes	Exosomes isolated from bovine raw milk	Simple mixing method	PS: ~106 nm; ZP: n.a.; DL: ~20%	Non-small cell-lung carcinoma (H1299 and A549); lung cancer xenograft model	<i>In vitro</i> ; oral (CLT dose: 8 mg kg <sup>-1</sup> )	Enhanced antiproliferative and anti-cancer activities	75

PS: particle size; ZP: zeta potential; EE: entrapment efficiency; DL: drug loading; n.a.: not applicable; i.v.: intravenous; i.p.: intraperitoneal; SPC: soybean phosphatidylcholine; DOPC: 1,2-dioleoyl-*sn*-glycero-3-phosphocholine; DSPC: 1,2-distearoyl-*sn*-glycero-3-phosphocholine; DSPEMPEG2000: 1,2-distearoyl-phosphatidylethanolamine-methylpolyethylene glycol conjugate-2000; Gala-PEG<sub>2000</sub>-DSPE: galactose-modified 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-*N*-methoxy(polyethylene glycol)-2000; CREKA: Cys-Arg-Glu-Lys-Ala; mPEG2000-DSPE: methoxyl terminated 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-*N*-methoxy (polyethylene glycol)-2000; Mal-PEG2000-DSPE: maleimide-terminated 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-*N*-methoxy (polyethylene glycol)-2000; UO: unilateral ureteral obstruction; LFA-1 I domain: lymphocyte function associated antigen-1 integrin I domain; DPPC: 1,2-dipalmitoyl-*sn*-glycero-3-phosphoethanolamine-*N*-methoxy (polyethylene glycol)-2000; PE: 1,2-dimyristoyl-*sn*-glycero-3-phosphoethanolamine-*N*-methoxy (polyethylene glycol)-1000; DOGS-NTA(Ni): 1,2-dioleoyl-*sn*-glycero-3-(*N*-(5-amino-1-carboxypentyl) iminodiacetic acid) succinyl; FA: folic acid; STS: sodium tanshinone IIA sulfonate; CS: chitosan; HPMC: hydroxypropyl methylcellulose; HA: hyaluronic acid; DOTAP: 1,2-stearoyl-3-trimethylammonium-propane; SDC: sodium deoxycholate.

in fibrotic kidney overexpress fibronectin, and that the linear pentapeptide Cys-Arg-Glu-Lys-Ala (CREKA) can bind specifically to fibronectin. Based on the knowledge, Li *et al.*<sup>64</sup> recently designed CREKA-coupled liposomes for the specific delivery of CLT to the renal interstitium, thereby attenuating unilateral ureteral obstruction mediated renal fibrosis and reducing the systemic toxicity of CLT.

Liposomes are able to encapsulate both hydrophilic and lipophilic drugs for the combination chemotherapy of cancers. The synergistic effect of drugs could improve their activity towards tumor cells while maintaining low cytotoxicity towards normal cells. CLT and irinotecan were co-encapsulated in folic acid-conjugated liposomes (Lipo/Cs/Ir-FA) by Soe *et al.*<sup>65</sup> for the specific treatment of breast cancer. The *in vitro* cell assay displayed that Lipo/Cs/Ir-FA had significantly improved apoptosis in folate receptor-positive breast cancer cells (MCF-7 and MDA-MB-231), but not in folate receptor-negative lung cancer cells (A549). After treatment with Lipo/Cs/Ir-FA, breast cancer xenograft mice showed the greatest tumor growth suppression as compared to control mice and mice treated with free drugs or non-folate targeting formulations. Moreover, mice given Lipo/Cs/Ir-FA did not display body weight loss or organ toxicity.

Qu *et al.*<sup>66</sup> fabricated multicomponent liposomes (T/CM-L) co-encapsulating sodium tanshinone IIA sulfonate (STS) and a small-sized microemulsion of CLT (CM) to enhance anti-breast cancer therapy. T/CM-L showed synergistic anti-breast cancer activity through the initial release of STS to normalize the dysfunctional tumor microenvironment, followed by the release of CM (and its payloads) to kill tumor cells. T/CM-L displayed greater cytotoxicity against MCF-7 cells and better suppression of xenograft tumor development than CLT monotherapy or the standard CLT/STS combination therapy. Most importantly, as compared to CLT alone, T/CM-L demonstrated lower systemic toxicity.

**2.1.2 Phytosomes.** Phytosomes are cell-like nanoassemblies produced by reacting specific amounts of phytoconstituents and phospholipids in a suitable solvent system.<sup>67</sup> Phytosomes have minimized drug leakage and greater physiological stability than other vesicular phospholipid-based structures like liposomes since the drug's polar functional groups can react with the polar head of phospholipids to form hydrogen bonds. Phytosomes can improve the solubility of active compounds in water and lipids, resulting in enhanced permeability and absorption, as well as increased bioavailability and biological activity.<sup>67</sup>

Phytosomal CLT formed by the complexation of CLT with phosphatidylcholine has been reported to result in enhanced bioavailability and an improved pharmacokinetic profile.<sup>54</sup> In the study, the oral administration of phytosomal CLT to rabbits caused 4-fold and 5-fold increase in AUC<sub>0-8</sub> and C<sub>max</sub>, respectively, as compared to crude CLT. In another study, Zhu *et al.*<sup>40</sup> fabricated selenium-deposited phytosomes (Se@Tri-PTs) loaded with CLT to incorporate two anti-inflammatory components into one single nanosystem to treat arthritis through a synergistic process. In contrast to selenium-free phy-

tosomes, Se@Tri-PTs displayed highly efficient uptake by Caco-2 cells and preferable intestinal epithelial permeability and significantly enhanced antiarthritic effects.

Freag *et al.*<sup>68</sup> prepared protamine-modified phytosomal CLT nanocarriers (PRT-TRI-PHY) and then loaded them into laminated chitosan/hydroxypropyl methylcellulose composite sponges to control drug release and permeation through buccal mucosa. The *ex vivo* permeation study using chicken pouch mucosa showed that sponges loaded with PRT-TRI-PHY displayed a superior permeation profile with 2.3-fold higher flux value than the uncoated TRI-PHY counterparts. In a rabbit *in vivo* pharmacokinetic study, PRT-TRI-PHY had a ~244% higher bioavailability than TRI-PHY. This study demonstrated that a buccal mucoadhesive system containing phytosomes can be used to deliver CLT penetrating the buccal mucosa to enhance its bioavailability.

**2.1.3 Bilosomes.** Bilosomes are a novel form of vesicular carriers that have been extensively studied for enhancing the bioavailability of different drugs.<sup>69,70</sup> Bilosomes have a similar structure to liposomes, but with bile salts instead of cholesterol inserted into the lipid bilayer. Various research studies have also reported that bilosomes as an alternative option can deliver active substances to the tissues with low permeability, such as the skin and the joint capsule.<sup>41,71</sup>

Yang *et al.*<sup>41</sup> developed hyaluronic acid (HA)-decorated bilosomes for delivering CLT to inflamed joints selectively to enhance the bioavailability and anti-arthritic efficacy. CLT-loaded bilosomes (CLT-BLs) were first fabricated using a thin film hydration process, and then coated with HA to form HA@CLT-BLs. The *in vivo* pharmacokinetic studies demonstrated that HA@CLT-BLs significantly improved the systemic and intra-arthritic bioavailability of CLT. As expected, HA@CLT-BLs showed remarkably superior antiarthritic efficacy to uncoated CLT-BLs.

**2.1.4 Niosomes.** Niosomes are composed of non-ionic surfactants and cholesterol,<sup>72</sup> and show an amphiphilic bilayer structure with a polar region on the outside and a non-polar region on the inside. The non-ionic surfactants such as the families of Spans, Tweens and Brij have been widely used to prepare niosomes.<sup>73</sup> Niosomes are considered one of the best alternatives to liposomes due to their inherent enhanced skin penetration, greater chemical stability and lower costs.

A recent study on CLT-loaded niosomes was reported to enhance topical permeation and anti-psoriasis activity.<sup>42</sup> The prepared CLT-loaded niosomes had approximately a particle size of 147 nm and a yield of up to 90%. The *in vitro* permeation studies indicated that CLT-loaded niosomes had enhanced water-solubility and skin permeation. When evaluating the therapeutic effect of CLT-loaded niosomes in psoriasis mouse models, HE-stained skin sections showed that CLT-loaded niosome treatment significantly alleviated the infiltration of inflammatory cells, hyperkeratosis and parakeratosis, thus displaying a much lower scaling and erythema score than the free CLT group.

**2.1.5 Exosomes.** Exosomes are nanosized biological vesicles carrying functionally active cargos (such as miRNA,



mRNA, DNA and proteins) to participate in cell–cell communication.<sup>74</sup> Exosomes have been studied as drug delivery carriers in recent years due to their nanosize. While exosomes have been obtained from biological fluids and cell culture media,<sup>74</sup> these methods are highly inefficient. It has been shown that crude mature bovine milk is a biocompatible and cost-efficient source for the extraction of large amounts of exosomes.<sup>43</sup> Farrukh Aqi *et al.*<sup>75</sup> extracted exosomes from bovine raw milk and used quick mixing in the presence of ethanol to incorporate CLT into exosomes. They further investigated the anti-tumor efficacy of the exosomal CLT formulation against lung cancer. It was found that exosome loaded CLT demonstrated a substantially higher degree of antiproliferative activity against lung cancer cells as compared to the free CLT. Moreover, exosomal CLT administered orally reduced the growth of lung cancer xenografts more effectively. These findings support that administering CLT in exosomal formulation orally is a feasible way to effectively prevent the development of lung cancer.

## 2.2 Nanoparticulate carrier systems

Nanoparticulate carrier systems are colloidal dispersions with a size ranging from 10 to 1000 nm.<sup>76</sup> Nanoparticulate carrier systems could be based on organic or inorganic materials or hybrid structures and have been used as an effective strategy to secure the entity of drugs in the blood circulation, modify *in vivo* biodistribution of drugs and deliver drugs to the site of action in a controlled and sustained manner.<sup>76</sup> In recent years, various nanoparticulate carrier systems for CLT have been produced, such as polymeric nanoparticles, lipid-based nanoparticles, albumin nanoparticles, silk fibroin nanoparticles, MSN, AuNPs, QDs, dendrimers and nanocrystals. A summary of nanoparticulate carrier systems for CLT is given in Table 2.

**2.2.1 Polymeric nanoparticles.** Polymeric nanoparticles are made from natural or synthetic polymers, in which the active compound can be loaded in the solid matrix, or adsorbed or chemically linked to the surface.<sup>77</sup> Polymeric nanoparticles are divided into nanospheres and nanocapsules and have been commonly used as drug carriers due to their various benefits, including ease of preparation and design, high biocompatibility, a wide range of structural choices and noticeable bio-imitative characteristics.<sup>78</sup>

Sanna *et al.*<sup>44</sup> prepared CLT-loaded poly( $\epsilon$ -caprolactone) nanoparticles by a nanoprecipitation method showing significantly increased antiproliferative effects on prostate carcinoma cell lines with respect to free CLT. Plain CLT-loaded nanoparticles show non-specific distribution after injection and are easily captured by the reticuloendothelial system (RES), which inherently results in poor *in vivo* delivery efficiency. To achieve better pharmacokinetic and antitumor efficacy of CLT, Yin *et al.*<sup>79</sup> designed a RES saturation strategy involving the injection of blank nanoparticles first, followed by CLT-loaded nanoparticles. It was found that preinjection of blank nanoparticles could prolong the systemic circulation of CLT-loaded nanoparticles, and thus could significantly improve the pharmacokinetics and biodistribution of CLT. In LNCaP tumor xenograft mice, CLT-loaded nanoparticles treated with RES saturation

outperformed free CLT and CLT-loaded nanoparticles alone in terms of antitumor efficacy. With the aim of improving the water-solubility and drug-ability of CLT, Shan *et al.*<sup>80</sup> synthesized a sequence of PEG-CLT derivatives (PEGCs) by conjugating various types of PEGs to the carboxyl of CLT through the Mitsunobu reaction. Interestingly, among these PEGCs, DC1000 obtained by conjugating PEG with a molecular weight of 1000 and CLT could be easily dispersed in water to form self-assembled nanoparticles. It showed the greatest cytotoxicity against A549, MDA-MB-231 and SMMC-7221 tumor cells, and possessed a significantly reduced inhibition rate without causing death and edema in A549 cell xenograft-bearing nude mice. These findings revealed that DC1000 was much safer and more active than free CLT. In another study, Park *et al.*<sup>81</sup> incorporated CLT into amphiphilic urethane acrylate nonionomer polymeric nanoparticles functionalized with the lymphocyte function-associated antigen-1 I domain (LFA-1 I domain) and found that these CLT-loaded nanoparticles could be taken up selectively by ICAM-1-expressing HeLa cells, displaying greater cytotoxicity compared with free CLT.

Combinational therapy, which combines chemotherapy and immunotherapy, is becoming increasingly common in cancer therapy. Liu *et al.*<sup>82</sup> developed polymeric nanoparticles based on the amino ethylanisamide (AEAA)-polymer-disulfide bond (APS) for the co-delivery of mitoxantrone (an inducer of immunogenic cell death) and CLT (an anticancer and antifibrotic agent) for the chemioimmunotherapy of desmoplastic melanoma. AEAA is a Sigma receptor ligand with a high affinity for melanoma and tumor-associated fibroblasts. *In vivo* studies demonstrated that APS nanoparticles targeting the Sigma receptor resulted in considerably more drug distribution in the tumor than free drug distribution. Furthermore, the codelivery system greatly promoted immunogenic cell death-mediated immunotherapeutic effects and normalized the fibrotic and immunosuppressive tumor microenvironment in diseased mice.

Nanoparticles camouflaged by the cell plasma membrane provide a novel strategy to selectively deliver therapeutic payloads for treating cancer and inflammatory disorders.<sup>83</sup> Cao *et al.*<sup>58</sup> prepared a neutrophil camouflaged nanosystem (NNPs) by coating membranes of neutrophils on CLT loaded PEG-PLGA nanoparticles for the targeted treatment of pancreatic carcinoma. CLT-loaded NNPs could distribute specifically to the tumor site and significantly inhibit tumor growth of pancreatic ductal adenocarcinoma-bearing mice. Compared with nanoparticles without cell membrane coating and free CLT, CLT-NNPs showed the most prolonged survival and minimized liver metastasis. Similarly, Zhou *et al.*<sup>84</sup> demonstrated the targeted therapy of CLT-loaded NNPs against malignant melanoma. CLT-loaded NNPs displayed significantly enhanced cytotoxic activity and apoptosis-inducing effect in the B16F10 melanoma cell line and dramatically increased antitumor efficacy in B16F10 tumor-bearing mice xenografts. During inflammation, neutrophils are able to mobilize rapidly to the site of inflammation in response to migration signals.<sup>83</sup> Based on the natural merits of the neutrophil membrane, the same





Table 2 Detailed description of nanoparticulate carrier systems for CLT

Classification	Formulation ± co-treatment	Composition ± targeting moiety	Preparation technique	Physico-chemical characteristics	Used cell line/animal model	Route of administration/treatment dosage	Major outcome	Ref.
Polymeric nanoparticles	PCL nanoparticles	PCL, Pluronic F-127	Nanoprecipitation method	PS: ~176 nm; ZP: ~-16 mV; EE: ~65%; DL: ~3%	Human prostate carcinoma cell lines (LNCaP, DU-145, and PC3)	<i>In vitro</i>	Higher cytotoxicity	44
	PCL nanoparticles	PCL, Tween 80	Low-energy emulsification/evaporation method	PS: ~75 nm; ZP: n.a.; EE: ~65%	Prostatic cancer cell line (LNCaP); LNCaP xenograft tumor-bearing mouse model	<i>In vitro</i> ; i.v. (CLT dose: 1 mg kg <sup>-1</sup> , every other day)	Improved pharmacokinetics and enhanced antitumor effect <i>via</i> RES saturation	79
	PEG nanoparticles	PEG	Synthesis method	PS: ~119 nm; ZP: ~-15 mV; DL or EE: n.a.	Human non-small cell lung cancer cell line (A549); human breast cell line (MDA-MB-231); human hepatocarcinoma cell line (SMMC-7221); A549 xenograft tumor-bearing mouse model	<i>In vitro</i> ; i.v. (CLT dose: 4.8 mg kg <sup>-1</sup> )	Improved solubility; better bioactivity and safety	80
	LFA-1 domain modified UAN nanoparticles	UAN, Ni-NFA, LFA-1 domain	Synthesis method	PS: ~44 nm; ZP: n.a.; DL or EE: n.a.	Human cervical cancer cell line (HeLa)	<i>In vitro</i>	Enhanced tumor cells targeting; higher cytotoxicity	81
	APS nanoparticles (co-deliver with MIT)	APS copolymer	Solvent evaporation method	PS: ~112 nm; ZP: ~-16 mV (pH 7.4); ZP: ~-30 mV (pH 6.5); EE: 78%; DL: 7%	Desmoplastic melanoma cell line (BPD6); normal fibroblasts (3T3); TGF-β activated fibroblast (3T3-T); murine macrophage cell line (Raw264.7); BPD6 tumor-bearing mouse model	<i>In vitro</i> ; i.v. (CLT dose: 2 mg kg <sup>-1</sup> )	Enhanced cancer-specific chemotherapy efficacy; fewer side effects	82
	Neutrophil membrane-coated PEG-PLGA nanoparticles	PEG-PLGA copolymer, deoxycholic acid sodium, solutol HS15	Emulsion/solvent evaporation method, followed by the direct extrusion method	PS: ~167 nm; ZP: ~-15 mV; EE: ~85%	Murine macrophage cell line (Raw264.7); murine pancreatic cancer cell line (Panc02); mouse fibroblasts (L929); human umbilical vein endothelial cells (HUVEC); orthotopic and ectopic pancreatic cancer model	<i>In vitro</i> ; i.v. (CLT dose: 1 mg kg <sup>-1</sup> , every 7 days for consecutive 4 doses)	Improved tumor targetability; improved cytotoxicity; enhanced anti-cancer efficacy; fewer side effects	58
	Neutrophil membrane-coated PEG-PLGA nanoparticles	PEG-PLGA copolymer, deoxycholic acid sodium, solutol HS15	Emulsion/solvent evaporation method, followed by direct extrusion method	PS: ~167 nm; ZP: ~-15 mV; EE: ~85%	Murine melanoma cell (B16F10); B16F10 xenograft tumor-bearing mouse model	<i>In vitro</i> ; i.v. (CLT dose: 1 mg kg <sup>-1</sup> , every other day for consecutive 4 times)	Improved tumor targetability; improved cytotoxicity; enhanced anti-cancer efficacy; fewer side effects	84
	Neutrophil membrane-coated PEG-PLGA nanoparticles	PEG-PLGA copolymer, deoxycholic acid sodium, solutol HS15	Emulsion/solvent evaporation method, followed by direct extrusion method	PS: ~167 nm; ZP: ~-15 mV; EE: ~85%	Acute pancreatitis rat model	i.v. (CLT dose: 1 mg kg <sup>-1</sup> )	Specific drug delivery to pancreas; enhanced therapeutic efficacy; reduced systemic toxicity	85



Table 2 (Contd.)

Classification	Formulation ± co-treatment	Composition ± targeting moiety	Preparation technique	Physico-chemical characteristics	Used cell line/animal model	Route of administration/treatment dosage	Major outcome	Ref.
Lipid-nanoparticles	NLCs	Precirol ATO-5, labrasol, SLT, TPGS, Pluronic F68	Solvent evaporation method	PS: ~132 nm; ZP: ~-27 mV; EE: ~89%	Human immortal keratinocyte cell line (HaCaT)	<i>In vitro</i>	Delayed drug release; improved drug deposition in the skin	87
	Cationic NLCs; anionic NLCs; neutral NLCs	Stearylamine, IPM, SLT, TPGS; Precirol ATO-5, IPM, SLT, TPGS; Gb, MCTS, SLT, TPGS	Solvent evaporation method	PS: ~90 nm; ~88 nm; 85 nm; ZP: ~+2.6 mV; ~-24 mV; ~-2.7 mV; EE: ~64%; ~68%; ~73%	Human immortal keratinocyte cell line (HaCaT); melanoma cell line (B16BL6); B16F10 xenograft tumor-bearing mouse model	<i>In vitro</i> ; topical (CLT dose: 6 mg kg <sup>-1</sup> day <sup>-1</sup> for 16 consecutive days)	Cationic NLCs enhanced the percutaneous penetration and ant melanoma efficacy of CLT	88
	NLCs	Stearic acid, isopropyl myristate, SLT, TPGS	Solvent evaporation method	PS: ~110 nm; ZP: ~-30 mV; EE: ~79%	<i>In situ</i> rat intestinal perfusion model; Caco-2 cells	<i>In situ</i> ; <i>in vitro</i>	Improved absorption; better biocompatibility	45
	CPP coated NLCs	CPPs, Precirol ATO-5, Labrafil M1944CS, SLT, TPGS, Pluronic F68	Solvent evaporation method	PS: ~127 nm; ZP: ~-29 mV; EE: ~73%	<i>In situ</i> rat intestinal perfusion model; Caco-2 cells; beagles	<i>In situ</i> ; <i>in vitro</i> ; oral	Improved absorption; enhanced bioavailability	56
	CPP coated NLCs	CPPs, Precirol ATO-5, Labrafil M1944CS, SLT, TPGS, Pluronic F68	Solvent evaporation method	PS: ~127 nm; ZP: ~-29 mV; EE: ~73%	Human prostatic carcinoma cell line (PC-3); mouse prostate carcinoma cell line (RM-1); prostate tumor-bearing mouse model	<i>In vitro</i> ; oral (CLT dose: 2 and 4 mg kg <sup>-1</sup> every day for 15 days)	Improved cytotoxicity; enhanced anti-cancer efficacy; fewer side effects	89
	Lipid nanospheres	lecithin, sodium oleate, soybean oil	Solvent diffusion method	PS: ~150 nm; ZP: n. a.; EE: ~100%	<i>In situ</i> rat intestinal perfusion model; Sprague-Dawley rats	<i>In situ</i> ; oral	Improved gut wall permeability and post-enterocyte lymphatic transport; enhanced bioavailability	55
	Broccoli lipid nanoparticles	Broccoli derived lipids, Pluronic F68	Solvent diffusion method	PS: ~75 nm; ZP: n. a.; EE: ~100%	<i>In situ</i> rat intestinal perfusion model; MDCK-II cells; Sprague-Dawley rats	<i>In situ</i> ; <i>in vitro</i> ; oral	Improved permeability; enhanced bioavailability	57
Protein nanoparticles	HSA-nanoparticles	HSA	Microfluidic co-flow method	PS: ~123 nm; ZP: n. a.; EE: ~75%	Murine macrophage cell line (Raw264.7)	<i>In vitro</i>	Enhanced solubility; reduced cellular toxicity	91
	HSA-nanoparticles	HSA, soybean oil	Ultrasonication emulsification method	PS: ~96 nm; ZP: ~-23 mV; EE: ~95%; DL: ~2%	Rat glomerular mesangial cell line (HBZY-1); reversible and irreversible rat model of MsPGN	<i>In vitro</i> ; i.v. (CLT dose: 1 mg kg <sup>-1</sup> once every other day until nephrectomy)	Increased mesangial cell-targetability; enhanced anti-glomerulonephritis efficacy; reduced systemic toxicities	37
	HSA-kolliphor HS 15 nanoparticles	HSA, soybean oil, solutol HS 15	Ultrasonication emulsification method	PS: ~79 nm; ZP: ~-1 mV; EE: ~95%; DL: ~2%	Murine macrophage cell line (Raw264.7); human fibroblast-like synoviocytes (HFLS); AIA arthritis rat model	<i>In vitro</i> ; i.v. (CLT dose: 1 mg kg <sup>-1</sup> given on days 14, 16, 18 and 20 after induction of arthritis)	Enhanced accumulation in inflamed joints and therapeutic effects against rheumatoid arthritis; reduced systemic toxicities	92
	PAB nanoparticles	PAB, soybean oil	Ultrasonication emulsification method	PS: ~99 nm; ZP: ~-30 mV; EE: ~94%; DL: ~3%	Murine macrophage cell line (Raw264.7); human fibroblast-like synoviocytes (HFLS); mouse melanoma cells (B16F10); human renal tubular epithelial cells (HK-2); human umbilical vein endothelial cells (HUVEC); AIA arthritis rat model	<i>In vitro</i> ; i.v. (CLT dose: 0.6 mg kg <sup>-1</sup> given on days 14, 16, 18 and 20 after induction of arthritis)	Enhanced accumulation in inflamed joints and therapeutic effects against rheumatoid arthritis; improved pharmacokinetic profile and biosafety	93
	HA coated cationic albumin nanoparticles (co-deliver with MT)	Cationic bovine serum albumin, soybean oil, HA	Ultrasonication emulsification method followed by high pressure homogenization	PS: ~313 nm; ZP: ~-34 mV; DL or EE: n. a.	Murine pancreatic cancer cell line (Panc02); Panc02 xenograft and orthotopic pancreatic cancer mice models	<i>In vitro</i> ; i.v. (CLT dose: 0.5 mg kg <sup>-1</sup> once every four days for consecutive 4 times)	Improved tumor targetability; enhanced chemoinmunotherapy against pancreatic cancer; reduced systemic toxicities	94
	Silk fibroin nanoparticles	Silk fibroin solution	Desolvation method	PS: ~291 nm; ZP: ~-25 mV; EE: ~81%	Sprague-Dawley rats	i.v.	Improved pharmacokinetic profile	96
	Silk fibroin nanoparticles	Silk fibroin solution	Modified desolvation method	PS: ~170 nm; ZP: ~-26 mV; EE: ~87%	Pancreatic cancer cell lines (MIA PaCa-2, PANC-1)	<i>In vitro</i>	Better anticancer efficacy of combination nanoparticle therapy	97

Table 2 (Contd.)

Classification	Formulation ± co-treatment	Composition ± targeting moiety	Preparation technique	Physico-chemical characteristics	Used cell line/animal model	Route of administration/treatment dosage	Major outcome	Ref.
Inorganic nanoparticles	PEGylated polyaminoacid-capped MSN	CTAB, NH <sub>4</sub> F, TEOS, PLD- <i>b</i> -PEG	Simple mixing in a two-step process	PS: ~150 nm; ZP: n.a.; EE: ~100%; DL: ~21%	Murine squamous cell carcinoma cell line (SCC7); subline of SK-N-SH human neuroblastoma cell line (SH-SY5Y); human breast carcinoma cell line (BT-474); SCC-7 xenograft tumor-bearing mouse model	<i>In vitro</i> ; i.v. (CLT dose: 1 mg kg <sup>-1</sup> every 3 days for 4 times)	Enhanced anti-cancer efficacy; fewer side effects	46
Inorganic nanoparticles	PEGylated lipid bilayer-supported MSN (co-deliver with axitinib)	CTAB, NH <sub>4</sub> F, TEOS, DPPC, DSPE-PEG <sub>3000</sub> , cholesterol	Simple mixing and thin-film hydration method	PS: ~120 nm; ZP: n.a.; EE: ~100%; DL: >21%	Murine squamous cell carcinoma cell line (SCC7); subline of SK-N-SH human neuroblastoma cell line (SH-SY5Y); human breast carcinoma cell line (BT-474); SCC-7 xenograft tumor-bearing mouse model	<i>In vitro</i> ; i.v. (CLT dose: 1 mg kg <sup>-1</sup> every 3 days for 4 times)	Enhanced synergistic anti-cancer efficacy	101
	Glucose-functionalized MSN	CTAC, APTMS, TEOS, PEI, glucose	PEI mediated conjugation	PS: ~615 nm; ZP: ~50 mV; DL: ~2%	Human cervical cancer cell line (HeLa); human non-small cell lung cancer cell line (A549); mouse embryonic fibroblasts (MEFs)	<i>In vitro</i>	Enhanced target-specific anti-cancer efficacy; minimal off-target side effects	102
	FA conjugated MSN	TMOS, APTMS, CTACl, PEI, FA	PEI mediated conjugation	PS: ~300 nm; ZP: ~40 mV; DL: ~4%	Human cervical cancer cell lines (HeLa); human non-small cell lung cancer cell line (A549)	<i>In vitro</i>	Targeted induction of the heat shock response in HeLa cells expressing folate receptors	103
	FA-modified AuNPs	AuNPs, PVP, FA	PVP mediated conjugation	PS: ~22 nm; ZP: n.a.; EE: ~94%; DL: ~50%	2D and 3D breast cancer models	<i>In vitro</i>	Enhanced anticancer activity	47
	Cys-CdTe QDs nanocomposites	Cys-CdTe QDs	Simple mixing followed by centrifugation	PS: ~6 nm; ZP: ~+10 mV; EE: ~85%; DL: ~34%	Human leukemia cancer cell line (K562) and its multidrug-resistant cell line (K562/A02)	<i>In vitro</i>	Cellular labeling and pH-sensitive responsive-drug release; increased cytotoxicity	48
Dendrimers	Dendrimers	G4 PAMAM-NH <sub>2</sub> dendrimers, G4 PAMAM-OH dendrimers	Simple mixing	PS: n.a.; ZP: n.a.; DL or EE: n.a.	Murine microglia cell line (N9)	<i>In vitro</i>	G4 PAMAM-OH dendrimers had greater anti-inflammatory activity and minimal cytotoxicity	108
	EpCAM aptamer conjugated dendrimers	EpCAM aptamer, PEG, G5 PAMAM dendrimers	Covalent conjugation method	PS: ~300 nm; ZP: ~-7 mV; DL or EE: n.a.	Human normal embryonic kidney cell line (AD293); human colorectal cancer cell line (SW620); zebrafish embryos model; SW620 xenograft tumor-bearing mouse model	<i>In vitro</i> ; i.v. (CLT dose: 0.4 mg kg <sup>-1</sup> every 2 day for a total of 6 injections)	Enhanced targeted anticancer activity; reduced local and systemic toxicity	49
Nanocrystals	Nanocrystals (co-deliver with DOX)	CLT and DOX	Precipitation method	PS: ~126 nm; ZP: ~+24 mV; DL or EE: n.a.	Human breast adenocarcinoma cell line (MCF-7); multidrug-resistant MCF-7 cells (MCF-7/ADR); 3D multicellular tumor spheroids	<i>In vitro</i>	Synergistic combination chemotherapy	52

PS: particle size; ZP: zeta potential; EE: entrapment efficiency; DL: drug loading; n.a.: not applicable; i.v.: intravenous; i.p.: intraperitoneal; PCL: polycaprolactone; RES: reticuloendothelial system; PEG: polyethylene glycol; LFA-1 I domain: lymphocyte function associated antigen (LFA)-1 integrin I domain; UAN: urethane acrylate nonionomer; NI-NTA: nickel-nitrilotriacetic acid; APS: aminoethylbisamide-polymer-disulfide bond; MIT: mitochondrion; PEG-PLGA: poly(ethylene glycol) methyl ether-*block*-poly(lactide-co-glycolic acid); NLCS: nanostructured lipid carriers; SLT: soybean lecithin; TPGS: *D*- $\alpha$ -tocopherol polyethylene glycol succinate; Gb: glycyl behenate; IPM: isopropyl myristate; MCTS: medium-chain triglycerides; CPPs: cell-penetrating peptides; HSA: human serum albumin; MsPGN: mesangial proliferative glomerulonephritis; AA: adjuvant-induced arthritis; PAB: palmitic acid-modified bovine serum albumin; HA: hyaluronic acid; MT: 1-methyltryptophan; MSN: mesoporous silica nanoparticles; CTAB: cetyltrimethylammonium bromide; NH<sub>4</sub>F: ammonium fluoride; TEOS: tetraethylorthosilicate; PLD-*b*-PEG: poly(*l*-aspartic acid)-*b*-poly(ethylene glycol); DPPC: 1,2-dipalmitoyl-*sn*-glycero-3-phosphocholine; DSPE-PEG2000: 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-*N*'-amino(polyethylene glycol)-2000; CTAC: cetyltrimethylammonium chloride; APTMS: amino propyl trimethoxy silane; PEI: poly(ethylene imine); TMOS: tetramethyl orthosilicate; CTACl: cetyltrimethyl ammonium chloride; AuNPs: gold nanoparticles; PVP: PVP-co-2-dimethylaminoethyl methacrylate; Cys-modified CdTe QDs: cysteamine-modified cadmium-tellurium quantum dots; G4 PAMAM: generation 4 poly(amidoamine); G4 PAMAM-NH<sub>2</sub>: amino terminated G4 PAMAM dendrimers; G4 PAMAM-OH: hydroxyl terminated G4 PAMAM dendrimers; EpCAM: epithelial cell adhesion molecule; G5 PAMAM: generation 5 poly(amidoamine); DOX: doxorubicin.



research group utilized CLT-loaded NNPs for targeted acute pancreatitis (AP) treatment.<sup>85</sup> CLT-loaded NNPs could accumulate preferentially in inflamed pancreatic tissue. As compared to the other three control groups, CLT-loaded NNPs greatly decreased the levels of pancreatic myeloperoxidase and serum amylase in AP rats. In addition, AP rats treated with CLT-loaded NNP showed the largest reduction in ascites weight and wet/dry ratio. More importantly, the systemic toxicity of CLT in AP rats was significantly reduced by utilizing NNPs as the delivery carrier.

**2.2.2 Lipid-based nanoparticles.** Lipid-based nanoparticles, another attractive colloidal drug delivery system, are usually prepared by dispersing lipids in water or an aqueous solution of surfactants.<sup>86</sup> Lipid-based nanoparticles are able to carry the poorly water-soluble drugs or lipophilic drugs and can enhance the bioavailability of the encapsulated drugs, improve the percutaneous penetration of drugs and potentiate them for targeting the desired tissue or cells to maximize the bioactivities of the drugs.

Nanostructured lipid carriers (NLCs) were developed as a topical delivery vehicle to enhance the skin penetration of CLT.<sup>87</sup> It was found that encapsulating CLT into NLCs resulted in a prolonged release profile and enhanced drug accumulation into the upper skin layers. To optimize CLT-loaded NLCs for topical antimelanoma therapy, the effect of the surface charge of NLCs on *in vitro* skin penetration and the *in vivo* therapeutic efficacy of CLT were carefully evaluated by Chen *et al.*<sup>88</sup> They used different solid and liquid matrices to prepare cationic, anionic, and neutral NLCs. The skin permeation experiment indicated that the cationic NLCs delivered the most CLT skin permeation amount, which was 1.35 and 1.95 times higher than the neutral and anionic NLCs, respectively. *In vitro* cellular assays displayed that the cationic NLCs had a higher uptake by B16BL6 cells and better cytotoxicity than the neutral and anionic NLCs. Similarly, percutaneous administration of the cationic NLCs provided greater *in vivo* antimelanoma efficacy in a B16 melanoma tumor model. These findings suggest that cationic NLCs are promising delivery systems of CLT for topical anti-melanoma treatment.

Zhou *et al.*<sup>45</sup> reported that encapsulating CLT into NLCs could not only decrease the cytotoxicity of CLT against Caco-2 cells, but also improve drug absorption across intestinal epithelia. Another research by the same group revealed that the oral bioavailability of CLT has been further improved by coating cell-penetrating peptides on the surface of NLCs.<sup>56</sup> The results indicated that cell-penetrating peptide-coated CLT-loaded NLCs (CT-NLC) outperformed the uncoated NLCs for the oral delivery of CLT. Yuan *et al.*<sup>89</sup> found that CT-NLC effectively inhibited prostate tumor cell proliferation *in vitro*. Furthermore, CT-NLC showed greatly increased antitumor efficacy while causing less toxicity in the mouse model of prostate cancer.

Apart from the above reported NLCs, CLT-loaded lipid nanospheres constituted of soybean oil, lecithin and sodium oleate also notably improved the oral bioavailability and intestinal permeability of CLT.<sup>55</sup> In another study, the lipids

extracted from broccoli were used to fabricate CLT-loaded lipid nanoparticles (CLT-BLNs) for enhancing the oral bioavailability of CLT.<sup>57</sup> As compared to CLT-loaded common lipid nanoparticles (CLT-CLNs), oral administration of CLT-BLNs exhibited superior intestinal permeability and higher bioavailability. The study provided new insight that food-originated materials could be used to construct nanocarriers for better oral delivery of drugs with poor bioavailability.

**2.2.3 Protein-based nanoparticles.** Nanoparticles made of albumin have attracted a lot of attention as versatile drug delivery carriers due to their high drug binding ability, high stability, biodegradability, non-immunogenicity, and biocompatibility. Ovalbumin, bovine serum albumin and human serum albumin (HSA) are the most commonly used albumins for the preparation of nanoparticles.<sup>90</sup> Hydrophobic drugs like CLT can be easily encapsulated into albumin nanoparticles due to its strong affinity to albumin.

Hakala *et al.*<sup>91</sup> utilized a microfluidic co-flow method to generate CLT-loaded HSA nanoparticles with a homogeneous size distribution by adjusting the flow rates. The obtained albumin nanoparticles enhanced the water solubility of CLT and decreased its cellular toxicity. Our previous study found CLT had potent effects against mesangioproliferative glomerulonephritis, but its off-target distributions resulted in severe cardiotoxicity, hepatotoxicity and neurotoxicity.<sup>37</sup> To improve its efficacy and safety, we attempted to deliver CLT selectively to mesangial cells by encapsulating CLT into HSA nanoparticles (CLT-AN).<sup>37</sup> Our results showed that CLT-AN, with an optimal size of 95 nm, could cross the fenestrations of the endothelium and accumulate in mesangial cells, thus possessing better capacity than CLT to ameliorate the acute and progressive glomerular injuries in anti-Thy1.1 nephritic rats. More importantly, CLT-AN presented lower drug accumulation in non-target organs and tissues than free CLT, reducing CLT-related systemic toxicities. Gong *et al.*<sup>92</sup> constructed HSA-Kolliphor HS 15 nanoparticles (HSA-HS15 NPs) for selectively delivering CLT to inflamed sites to treat rheumatoid arthritis. CLT-HSA-HS15 NPs greatly improved the pharmacokinetic profile of CLT, with significantly higher plasma concentration, prolonged blood circulation time and increased  $AUC_{0-t}$  as compared to free CLT and even CLT-HSA NPs. Moreover, compared with liposomes and HSA NPs, HSA-HS15 NPs exhibited longer and greater retention in inflamed joints due to the inflammatory targetability of albumin, the added HS15 and the ELVIS effect of nanoparticles. However, the interesting results were that adding HS15 could significantly enhance safety but had no substantial contribution to improving efficacy. The authors suspected that HS15 shielded the affinity between HSA and inflammatory cells in inflamed sites, which might limit the efficacy of CLT-HSA-HS15 NPs. In their other work,<sup>93</sup> they found for the first time that palmitic acid-functionalized bovine serum albumin nanoparticles (PAB NPs) could target activated macrophages more effectively *via* scavenger receptor-A than bovine serum albumin nanoparticles (BSA NPs) targeting the same cells *via* CD44. In an adjuvant-



induced arthritis rat model, CLT-PAB NPs greatly alleviated rheumatoid arthritis symptoms at a lower CLT dose with better safety as compared to CLT-BSA NPs. Hu *et al.*<sup>94</sup> reported an innovative work about hyaluronic acid coated cationic albumin nanoparticles (HNPs) that were designed for the co-delivery of CLT and 1-methyltryptophan for pancreatic cancer chemioimmunotherapy. HNP had a unique hollow structure with an average size of ~300 nm, which could be reduced to a smaller particle size in the presence of hyaluronidase. Based on the size decreasing effect and the CD44 mediated interaction, HNP showed significantly enhanced accumulation in both the xenograft pancreatic tumor and the orthotopic pancreatic tumor site, and thereby had remarkably enhanced tumor inhibition in both tumor models through downregulation of the immunosuppressive tumor microenvironment.

Silk fibroin (SF), derived primarily from silkworms, was accepted as a biomaterial by the US FDA in 1993.<sup>95</sup> SF based nanoparticles have attracted much attention to be used as a carrier due to its outstanding mechanical properties, biocompatibility, biodegradability, and structural re-adjustment flexibility. Onyeabor *et al.*<sup>96</sup> encapsulated CLT into SF nanoparticles by the desolvation method and achieved dramatically improved pharmacokinetic properties. In another study, Ding *et al.*<sup>97</sup> produced triptolide and CLT loaded silk fibroin nanoparticles (TPL-SFNPs and CLT-SFNPs) and evaluated their cytotoxicity and synergistic effect in MIA PaCa-2 and PANC-1 human pancreatic cells. TPL-SFNPs and CLT-SFNPs hindered colony formation and induced apoptosis more effectively than free triptolide and CLT. Moreover, combination treatment with TPL-SFNPs and CLT-SFNPs showed considerably greater anticancer efficacy compared with TPL-SFNPs or CLT-SFNPs alone.

**2.2.4 Inorganic nanoparticles.** Inorganic nanoparticles have gained prominence in recent decades as they offer unique features as compared with their organic and polymeric counterparts.<sup>98</sup> Numerous inorganic nanoparticles have been reported like MSN, AuNPs and QDs, *etc.*, in the field of nanotechnology and nanomedicine.<sup>99</sup>

MSN has gotten a lot of recognition for its application in drug delivery and biomedicine due to its excellent mesoporous structure, flexible pore size, and easy of surface modification.<sup>100</sup> Choi *et al.*<sup>46</sup> reported PEGylated polyaminoacid-capped CLT-loaded MSN (CMSN-PEG) for mitochondria-targeted drug delivery. CMSN-PEG exhibited a pH-responsive, slower, and continuous release pattern. It could induce significant apoptosis in BT-474, SCC7 and SH-SY5Y cells *via* its sustained release characteristics. As compared to free CLT, CMSN-PEG therapy markedly increased the expression of the apoptosis proteins corresponding to mitochondrial apoptosis and displayed excellent *in vivo* antitumor activity, as evidenced by the remarkable increment in the apoptotic markers, and diminished proliferation markers. Another study conducted by the same research group successfully prepared anticancer drug combination nanoparticles (ACML) with CLT encapsulated in the MSN and axitinib in PEGylated lipidic bilayers for multi-targeted cancer therapy.<sup>101</sup> The results showed that ACML had

synergistic and superior antitumor efficacy and involved more efficiently improved effects on angiogenesis and mitochondrial function than either drug administered alone in both tumor cell lines *in vitro* and tumor-xenograft mouse model *in vivo*. Niemelä *et al.*<sup>102</sup> modified glucose moieties, as affinity ligands, onto the surface of CLT loaded MSN (MSN-Glu) for maximizing the cellular uptake by cancer cells. Cellular uptake assays confirmed that MSN-Glu could efficiently enhance cellular uptake by the targeted HeLa and A549 cancer cells but not healthy MEF cells. More importantly, when CLT was loaded into MSN-Glu, its apoptosis induction effects were significantly enhanced. Similarly, they utilized folic acid functionalized CLT-loaded MSN for specific targeting.<sup>103</sup> The authors reported that MSN could deliver CLT specifically to folate receptor positive HeLa cells, with only minor off-target effects in folate receptor negative A549 cells.

AuNPs are one of the most commonly used inorganic nanoparticles. The tuneable sizes, easy synthesis, and good optical properties make them a promising tool to develop drug delivery and imaging systems for therapeutic and diagnostic applications.<sup>104</sup> Law *et al.*<sup>47</sup> had recently engineered folic acid decorated CLT loaded AuNPs (FCA) to enhance the anticancer activity of CLT. It was found that FCA exhibited higher cellular uptake efficiency and caused more severe apoptosis than the CLT AuNPs and CLT alone in both 2D and 3D breast cancer models. QDs are widely utilized for labeling, imaging, targeted drug delivery, and photodynamic therapy.<sup>105</sup> Li *et al.*<sup>48</sup> developed fluorescent nanocomposites based on CLT and cysteamine modified cadmium-tellurium QDs (Cys-CdTe QDs) for cancer cell labeling and targeted treatment. Cys-CdTe QDs with the positively charged surfaces could interact with electro-negative CLT molecules to self-assemble and form the drug nanocomposite CLT-Cys-CdTe. The *in vitro* release study showed that CLT-Cys-CdTe nanocomposites had a sensitive pH triggered release profile with relatively slow and sustained release at pH 7.4 but fast release at pH 6.0. The CdTe QDs were found to be easily internalized into cancer cells for real-time labeling and tracing. CLT-Cys-CdTe nanocomposites significantly enhanced drug accumulation in K562 cells and K562/A02 cells, thereby increasing CLT's cytotoxicity. CLT-Cys-CdTe nanocomposites also could greatly overcome the multidrug resistance of K562/A02 cells by arresting the cell cycle at the G2/M-phase and promoting cell apoptosis.

**2.2.5 Dendrimers.** Dendrimers are a new type of highly branched core-shell nanostructure synthesized in a layer-by-layer fashion named generations.<sup>106</sup> Dendrimers as nanocarriers have attracted much attention due to their unique tree-like morphology, high loading capability and polyvalency.<sup>107</sup> Many active pharmaceutical agents can be chemically or physically attached to dendrimers.<sup>106</sup> Poly(amidoamine) (PAMAM) dendrimers have been investigated the most to date, with their surface groups that can be modified for the synthesis of cationic, anionic or neutral dendrimers with increasing generations (G0–G10).

In a study by Boridy *et al.*<sup>108</sup> CLT was conjugated to the amino termini of PAMAM dendrimers (CLT/G4-NH<sub>2</sub>) or



hydroxyl termini of PAMAM dendrimers (CLT/G4-OH) to aid its solubilization, reduce cytotoxicity, and increase anti-inflammatory potency in lipopolysaccharide-stimulated microglial cells. The authors showed that G4-NH<sub>2</sub> increased the solubility CLT more effectively than G4-OH, and CLT/G4-NH<sub>2</sub> displayed stronger cytotoxicity against microglia than CLT alone while exhibiting minimal anti-inflammatory activity. In contrast, CLT/G4-OH could inhibit the release of proinflammatory cytokines without reducing microglial cell viability. These findings support that the G4-OH dendrimer is a better nanocarrier for delivering CLT to microglia that are overly activated in the pathological brain.

Ge *et al.*<sup>49</sup> reported a smart bioconjugated nanosystem composed of epithelial cell adhesion molecule (EpCAM) aptamer, PEG, and G5 PAMAM dendrimers to selectively deliver CLT into EpCAM-upregulation tumors to enhance the antitumor effectiveness and reduce the toxicity. Taking advantage of the enhanced permeability and retention (EPR) effect and the active targeting effect of dendrimers, the multifunctional dendrimer-CLT bioconjugates produced superior apoptosis-inducing effects than free CLT in EpCAM-positive SW620 colorectal cancer cells both *in vitro* and in nude mice. Moreover, the biosafety of bioconjugate testing in xenograft mice and zebrafish models revealed significantly reduced local and systemic toxicity.

**2.2.6 Nanocrystals.** Nanocrystals are a carrier-free nanosystem that only contain pure drug crystals and a small amount of surfactant and/or polymer for stabilization.<sup>109</sup> Nanocrystals have the highest drug loading as compared to other nanoformulations. They can greatly improve drug solubility and bioavailability while avoiding carrier related toxicity, making them an important technology for effective drug delivery.

CLT has been reported with the ability of overcoming multi-drug resistance (MDR) by suppress the activation of P-glycoprotein (P-gp)<sup>110</sup> and promoting tumor cell apoptosis. To enhance the solubility and bioavailability of CLT and overcome the MRD of doxorubicin (DOX), CLT was selected by Xiao *et al.*<sup>52</sup> to conjugate with DOX to synthesize a carrier-free nanocrystal for potential synergistic combination chemotherapy. At an optimal molar ratio of 1:4 (DOX to CLT), the CLT/DOX nanocrystal with a spherical morphology demonstrated fabulous stability and tumor acidic microenvironment sensitive drug release. It was found that the CLT/DOX nanocrystal could maximize the water-solubility of CLT and dramatically increased cellular drug accumulation by suppressing P-gp expression. As compared to free DOX, free CLT, and the CLT/DOX mixture, the CLT/DOX nanocrystal caused considerably more apoptosis and autophagy, resulting in a more efficiently synergistic therapeutic effect.

### 2.3 Micellar systems

Polymeric micelles are macromolecular assemblies with a hydrophobic inner core and a hydrophilic outer shell that form spontaneously in aqueous solution from block copolymers or graft copolymers at a concentration above the critical

micelle concentration.<sup>111</sup> Polymeric micelles have been extensively explored as a novel form of drug delivery carriers, especially for the effective delivery of hydrophobic drugs that can be covalently bound or physically entrapped in the center of the micelles.<sup>112</sup> The summary of CLT-loaded polymeric micelles is given in Table 3.

**2.3.1 Pluronic P123-based micelles.** Peng *et al.*<sup>34</sup> prepared CLT-loaded self-assembled Pluronic P123 polymeric micelles having a uniform size distribution and high encapsulation efficiency. The CLT-loaded micelles could slowly release CLT and only 70% of CLT was released from the micelles during 24 h. The authors further conjugated model allergen ovalbumin (OVA) on the surface of CLT-loaded micelles (OVA-NMs-CLT) to construct a novel vaccine for allergen-specific immunotherapy.<sup>50</sup> It was demonstrated that OVA-NMs-CLT therapy reduced the levels of OVA-specific IgE, histamine and Th2 cytokine, as well as inhibited the infiltration of inflammatory cells in the lungs of mice with allergic asthma. Moreover, OVA-NMs-CLT treatment remarkably lowered the OVA sIgE/OVA sIgG2a ratio. The authors speculated that the immunomodulatory activity of the loaded CLT, the morphological characteristics (spherical shape and small particle size) and the hypoallergenic property of OVA-NMs-CLT collectively contributed to the enhanced anti-allergic bioactivity. In their another work, the authors conjugated the anti-FcεRIα Fab fragment to the surface of CLT-loaded micelles to specially kill mast cells and basophils for targeted allergy treatment.<sup>113</sup> The anti-FcεRIα Fab CLT-loaded micelles demonstrated enhanced cellular uptake and higher apoptosis-inducing activity against KU812 cells than unmodified micelles. *In vivo* distribution in a mouse model of allergic asthma showed that anti-FcεRI Fab-conjugated micelles enhanced the lung distribution of micelles through the ligand–receptor specific interaction. Also, anti-FcεRIα Fab CLT-loaded micelles exhibited a higher *in vivo* anti-allergic effect than unmodified micelles.

**2.3.2 PEG-conjugated copolymer-based micelles.** Li *et al.*<sup>114</sup> developed CLT-loaded poly(ethylene glycol)-*block*-poly(ε-caprolactone) (PEG-PCL) polymeric micelles (CNMs) and investigated the effects of CNMs on corneal neovascularization and retinoblastoma. It was found that CNMs significantly alleviated suture-induced corneal neovascularization in the rat cornea by suppressing the infiltration of macrophages and the expression of VEGF and MMP-9.<sup>115</sup> When administered intraperitoneally to mice bearing human retinoblastoma xenografts, CNMs significantly prevented tumor development by inducing cell apoptosis,<sup>116</sup> and reduced retinoblastoma angiogenesis by inhibiting the HIF-1α/VEGF pathway.<sup>117</sup> In another study, Zhao *et al.*<sup>118</sup> prepared CLT-loaded PEG-PCL micelles using a nanoprecipitation method, and evaluated its anti-obesity and anti-inflammatory potency in diet-induced obese mice. Oral administration of CLT-loaded micelles was shown to be as effective as regular CLT in reducing lipid metabolic dysfunctions and inflammatory reaction in diet-induced obese mice, and exhibited less toxicity without causing any injury to mice.



Table 3 Detailed description of polymeric micellar systems for CLT

Block copolymer	Preparation technique	Physico-chemical characteristics	Used cell line/animal model	Route of administration/ treatment dosage	Major outcome	Ref.
Carboxyl-terminated Pluronic P123	Thin-film hydration method	PS: ~117 nm; ZP: ~-2 mV; EE: ~100%; DL: ~23%	n.a.	n.a.	Improved solubility; extended release	34
	Thin-film hydration method	PS: ~50 nm; ZP: n.a.; EE: ~100%; DL: ~5%	OVA-induced mouse model of allergic inflammation	Subcutaneous (CLT dose: 10 µg)	Enhanced anti-allergic effects	50
	Thin-film hydration method	PS: ~93 nm; ZP: n.a.; DL: ~21%	Human basophil cell line (KU812); immature human mast cell line (HMC-1); allergic asthma and passive cutaneous anaphylaxis mouse models	<i>In vitro</i> ; i.p. (CLT dose: 0.8 mg kg <sup>-1</sup> for 5 consecutive days) and i.v. (CLT dose: 1.5 mg kg <sup>-1</sup> )	Increased mast cell and basophil-targeted drug delivery; enhanced anti-allergic effects	113
PEG-PCL	Ultrasonic agitation method	PS: ~48 nm; ZP: n.a.; DL: ~7%	Human umbilical vein endothelial cell (HUVEC, EA.hy 926); suture-induced CNV rat model	<i>In vitro</i> ; subconjunctival (CLT dose: 5.44 mg mL <sup>-1</sup> , 100 µL per injection)	Improved solubility; extended release; enhanced antiangiogenic effect	114
	Ultrasonic agitation method	PS: ~48 nm; ZP: n.a.; DL: ~7%	Human umbilical vein endothelial cell (HUVEC, EA.hy 926); macrophages (NR8383); activated macrophage-induced CNV rat model	<i>In vitro</i> ; implanted in rat corneal pocket	Improved solubility; extended release; enhanced antiangiogenic effect	115
	Ultrasonic agitation method	PS: ~48 nm; ZP: n.a.; DL: ~7%	Human retinoblastoma cell line (SO-Rb 50); human retinoblastoma xenograft model	<i>In vitro</i> ; i.p. (CLT dose: 27.2 mg per kg per 2 days)	Improved solubility; extended release; enhanced anti-cancer efficacy	116
	Ultrasonic agitation method	PS: ~48 nm; ZP: n.a.; DL: ~7%	Human umbilical vein endothelial cell (HUVEC, EA.hy 926); human retinoblastoma cell line (SO-Rb 50); human retinoblastoma xenograft model	<i>In vitro</i> ; i.p. (CLT dose: 27.2 mg per kg per 2 days)	Enhanced antiangiogenic and anti-cancer effects	117
mPEG-PLL	Nanoprecipitation method	PS: 50–70 nm; ZP: 0 mV; EE: ~1%; DL: ~28%	Diet-induced obese C57BL/6N male mice	Oral (CLT dose: 5 mg kg <sup>-1</sup> day <sup>-1</sup> or 7.5 mg kg <sup>-1</sup> day <sup>-1</sup> for consecutive 21 days)	Effective anti-obesity activity; reduced side toxicities	118
	<i>In situ</i> chemical conjugation-induced self-assembly method	PS: ~65 nm; ZP: n.a.; EE: ~54%; DL: ~10%	Murine melanoma cell (B16F10) xenograft tumor-bearing mouse model	<i>In vitro</i> ; i.p. (CLT dose: 2 and 4 mg kg <sup>-1</sup> every 2 days)	Improved solubility; extended release; enhanced anti-cancer efficacy; reduced side toxicities	119
PEG-PPS	Thin-film hydration method	PS: 15–18 nm; ZP: n.a.; EE: 30–100%	Murine macrophage cell line (RAW 264.7); high-fat diet <i>ldlr</i> <sup>-/-</sup> mice	<i>In vitro</i> ; i.v. (CLT dose: 200 ng mL <sup>-1</sup> , 100 µL per injection)	Enhanced anti-inflammatory and anti-atherosclerotic effects	120
PEG-PPS	Solvent evaporation method	PS: ~135 nm; ZP: n.a.; DL: ~5%	Murine macrophage cell line (Raw 264.7); CIA arthritis rat model	<i>In vitro</i> ; i.v. (CLT dose: 10 mg kg <sup>-1</sup> given on days 30 and 40 after induction of arthritis)	Enhanced accumulation in inflamed joints and therapeutic effects against rheumatoid arthritis; reduced toxicity	121





Table 3 (Contd.)

Block copolymer	Preparation technique	Physico-chemical characteristics	Used cell line/animal model	Route of administration/ treatment dosage	Major outcome	Ref.
CLT-PEG-G Rh2 derivative	Ethanol injection dialysis method	PS: ~122 nm; ZP: ~-23 mV; EE: ~85%	Human non-small cell lung cancer cell line (A549); Sprague-Dawley rats	<i>In vitro</i> ; i.v.	Enhanced anti-cancer efficacy; improved pharmaceutical profile	122
Poly[thioctic acid-grafted PEG/(benzyl amine)]	Solvent displacement dialysis method	PS: ~69 nm; DL: ~9%	Human retinoblastoma cell line (Y79)	<i>In vitro</i>	Enhanced apoptosis induction effect	123
TET-CSOSA conjugates	Solvent displacement dialysis method	PS: ~83 nm; ZP: ~23 mV; EE: ~73%; DL: ~14%	Mouse breast cell line (4T1); human breast cell line (MDA-MB-231); human umbilical vein endothelial cell (HUVEC, EA.hy 926); 4T1 cellular spheroids; primary 4T1 tumor-bearing mouse model	<i>In vitro</i> ; i.v. (CLT dose: 2 mg kg <sup>-1</sup> every 2 days for 5 times)	Increased tumor-targeted delivery; enhanced anti-cancer and anti-metastasis efficacy	124
CTPP-CSOSA conjugates	Solvent displacement dialysis method	PS: ~64 nm; ZP: ~23 mV; EE: ~92%; DL: ~12%	Human breast adenocarcinoma cell line (MCF-7); human non-small cell lung cancer cell line (A549); human normal liver cell line (L-02); MCF-7 xenograft tumor-bearing mouse model	<i>In vitro</i> ; i.v. (CLT dose: 2 mg kg <sup>-1</sup> , every other day for the first 9 days)	Increased mitochondria-targeted drug delivery; enhanced anti-cancer efficacy; reduced side toxicities	125
Zein-chondroitin sulphate conjugate (co-deliver with sulfasalazine)	Solvent evaporation method	PS: ~154 nm; ZP: ~35 mV; EE: ~87%; DL: ~13%	Human breast adenocarcinoma cell line (MCF-7); primary breast cancer mouse model	<i>In vitro</i> ; i.v. (CLT dose: 2 mg kg <sup>-1</sup> , 2 times weekly for 3 weeks)	Increased tumor-targeted delivery; enhanced anti-cancer efficacy	126

PS: particle size; ZP: zeta potential; EE: entrapment efficiency; DL: drug loading; n.a.: not applicable; i.v.: intravenous; i.p.: intraperitoneal; CNV: corneal neovascularization; mPEG-PLL: methoxyl poly (ethylene glycol)-*b*-poly(L-lysine); PEG-*b*-PPS: poly(ethylene glycol)-*b*-poly(propylene sulfide); CIA: collagen-induced arthritis; mPEG-PCL: methoxy poly(ethylene glycol)-*b*-block-poly( $\epsilon$ -caprolactone); CLT-PEG-G Rh2: celastrol-polyethylene glycol-ginsenoside Rh2; TET-CSOSA: tetraiodothyroacetic acid-chitosan oligosaccharide-stearic acid; CTPP-CSOSA: (4-carboxybutyl) triphenylphosphonium bromide-chitosan oligosaccharide-stearic acid.

CLT-loaded polymeric micelles made of CLT and the methoxyl poly (ethylene glycol)-*b*-poly(L-lysine) polymer were developed by a simple “*in situ* chemical conjugation-induced self-assembly” technique.<sup>119</sup> The CLT-loaded polymeric micelles could slowly release CLT and about 60% of the CLT was released after 48 h. The CLT-loaded polymeric micelles were efficiently taken up by B16F10 tumor cells, resulting in fabulous cytotoxicity. The *in vivo* antitumor evaluation indicated that the CLT-loaded polymeric micelles had remarkably stronger antitumor activity and lower side effects in B16F10 tumor-bearing mice compared to free CLT. Allen *et al.*<sup>120</sup> reported that CLT-loaded poly(ethylene glycol)-*b*-poly(propylene sulfide) (PEG-*b*-PPS) micelles had high NF- $\kappa$ B inhibition with a half maximal effective concentration (EC<sub>50</sub>) nearly 50 000 times lower than that of free CLT. Furthermore, CLT-loaded micelles could significantly reduce TNF- $\alpha$  secretion by RAW 264.7 cells after LPS stimulation, and inhibited the infiltration of neutrophils and monocytes within atherosclerotic plaques of *ldlr*<sup>-/-</sup> mice. In another work, An *et al.*<sup>121</sup> utilized PEG-PPS micelles for CLT delivery (C-PEPS) to treat rheumatoid arthritis. It was found that C-PEPS was discovered to be capable of inhibiting LPS-activated inflammatory responses in RAW264.7 cells by blocking the Notch1 and NF- $\kappa$ B pathways. Moreover, C-PEPS could accumulatively distribute in the inflamed joints and alleviated the major rheumatoid arthritis-associated symptoms without inducing obvious toxicity in major organs.

Li *et al.* synthesized CLT and a ginsenoside Rh2-conjugated PEG derivative (CLT-PEG-G Rh2) which was able to form CLT-loaded polymeric micelles (CG-M) when reaching its critical micellar concentration ( $1 \times 10^{-5}$  M).<sup>122</sup> Under physiological, conditions, CG-M was stable, but it can readily disassemble and release CLT and G Rh2 in acidic and enzymatic environments. In pharmacokinetics analysis, the parameters  $t_{1/2}$  and AUC for the CG-M were 1.03- and 2.44-times higher than those for non-micelle control, respectively, indicating that the CG-M had a longer circulation period and thereby showed a potentially enhanced EPR effect. In cellular studies, CG-M displayed significantly higher cellular uptake, greater apoptosis induction and stronger antiproliferative activity against A549 cells.

A reduction-sensitive polymeric micellar system was developed by Guo *et al.*<sup>123</sup> based on a copolymer poly[thioctic acid-grafted poly(ethylene glycol)/(benzyl amine)] (PTEB), for retinoblastoma cell-targeted CLT delivery. The cell assays indicated that CLT-PTEB micelles with excellent reduction-responsive behavior could effectively accelerate the uptake of CLT by the human retinoblastoma cells and cause enhanced cell apoptosis.

**2.3.3 Other micelles.** Zhao *et al.*<sup>124</sup> reported CLT-loaded  $\alpha\beta$ 3-ligand tetraiodothyroacetic acid-modified stearic acid-*g*-chitosan oligosaccharide polymeric micelles (TET-CSOSA/CLT) for inhibiting breast tumor metastasis and development simultaneously. Through the  $\alpha\beta$ 3 receptor-mediated pathway, TET-CSOSA exhibited dramatically higher cell uptake in tumor cells compared to unmodified CSOSA, it was observed to accumulatively distribute in lung metastasis and primary 4T1 tumor tissues *via* the  $\alpha\beta$ 3 receptor-mediated interaction. More

impressively, TET-CSOSA/CLT could simultaneously inhibit primary breast tumor invasion as well as the development of lung metastasis by suppressing the NF- $\kappa$ B signaling pathway.

Tan *et al.*<sup>125</sup> reported CLT-loaded (4-carboxybutyl) triphenyl-phosphonium bromide conjugated stearic acid-*g*-chitosan oligosaccharide polymeric micelles (CTPP-CSOSA/CLT) for mitochondrial targeting and pH-sensitive drug release to treat cancer. Owing to grafting CTPP, CTPP-CSOSA/CLT displayed more effective mitochondria targeting as well as faster drug release in mitochondria. CTPP-CSOSA/CLT greatly enhanced ROS levels, allowing  $\Delta\Psi_m$  to decrease and more cytochrome *c* to be released into the cytoplasm, and then induced the substantial apoptosis of tumor cells. The *in vivo* distribution study showed that the distribution of CTPP-CSOSA micelles in tumor tissue was also improved by modifying the lipophilic cation CTPP. CTPP-CSOSA/CLT micelles were further found to inhibit tumor growth most effectively with no noticeable abnormalities in the CTPP-CSOSA/CLT micelle treated mice.

Elhasany *et al.*<sup>126</sup> designed a multi-functional micellar nanomedicine (SPIONs/CS-loaded SFZ/zein-ChS PMs) by encapsulating CLT and sulfasalazine (SFZ), and oleic acid-capped superparamagnetic iron oxide nanoparticles (SPIONs) into the hydrophobic core of zein-chondroitin sulphate (zein-ChS) micelles. The combination of magnetic targeting and the active targeting effect of ChS increased the uptake of the micelles by MCF-7 cancer cells, resulting in a higher cytotoxicity against MCF-7 breast cancer cells. Moreover, in the *in vivo* experiments, SPIONs/CS-loaded SFZ/zein-ChS PMs showed superior anti-tumor activity compared to non-magnetically micelles-, free drug-treated and positive control groups.

## 2.4 Emulsified drug delivery systems

Micro/nanoemulsions are transparent thermodynamically stable dispersions made of water, oil, surfactant and co-surfactant having droplet sizes smaller than 100 nm.<sup>127</sup> Micro/nanoemulsions systems are simple to manufacture and can incorporate hydrophobic drugs into the oil phase, thereby enhancing their solubility and bioavailability. The summary of CLT-loaded micro/nanoemulsions is given in Table 4.

Qi *et al.*<sup>128</sup> formulated a liquid self-microemulsifying drug delivery system (SMEDDS) containing CLT, which was then built up into the solid dispersible tablets using microcrystalline cellulose KG 802 as the adsorbent by a wet granulation compression method. The solid tablets could disperse in distilled water within ~3 min After oral administration to rats, the relative bioavailability of CLT SMEDDS and SMEDDS dispersible tablets compared to the aqueous suspensions of CLT was  $569 \pm 7.07\%$  and  $558 \pm 6.77\%$ , respectively.

A transferrin-functionalized microemulsion encapsulating coix seed oil and CLT (Tf-CT-MEs) was fabricated by Chen *et al.* for the improved treatment of cervical cancer.<sup>51</sup> *In vitro* cellular assays demonstrated that Tf-CT-MEs increased the cellular uptake, thus allowing for enhanced cytotoxicity towards HeLa cells. Due to the advantages of small particle size ( $40.02 \pm 0.21$  nm) and transferrin modification, Tf-CT-MEs displayed improved tumor penetration and greater anti-cervical cancer





Table 4 Detailed description of emulsified drug delivery systems for CLT

Formulation ± co-treatment	Composition ± targeting moiety	Preparation technique	Physico-chemical characteristics	Used cell line/animal model	Route of administration/treatment dosage	Major outcome	Ref.
SMEDDS dispersible tablets	Ethyl oleate, OP-10, transcutoyl P, microcrystalline cellulose KG 802	Wet granulation compression method	PS: ~25 nm; ZP: n.a.; DL: ~10%	Sprague-Dawley rats	Oral	Improved solubility; enhanced bioavailability	128
Transferrin-modified microemulsions (co-delivered with coix seed)	<sup>40</sup> Cremophor® RH 40, PEG 400, transferrin	Emulsification method	PS: ~40 nm; ZP: ~-14 mV; EE: ~95%; LC: ~41%	Cervical cancer cell lines (HeLa cells); HeLa 3D tumor spheroids	<i>In vitro</i>	Enhanced anti-cancer efficacy	51
Transferrin-modified microemulsions (co-delivered with coix seed)	<sup>40</sup> Cremophor® RH 40, PEG 400, transferrin	Emulsification method	PS: ~28 nm; ZP: n.a.; DL or EE: n.a.	Cervical cancer cell lines (HeLa cells); HeLa xenograft tumor-bearing mouse model	<i>In vitro</i> ; i.p. (CLT dose: 1.8 mg kg <sup>-1</sup> , every other day)	Enhanced anti-cancer efficacy; reduced toxicity	129
Transferrin-modified microemulsions (co-delivered with β-elemene)	Labrafil® M 1944CS, Kolliphor® HS15, PEG 400, DSPE-PEG-transferrin	Emulsification method	PS: ~69 nm; ZP: ~-19 mV; EE: ~95%	Lung cancer cell lines (A549 and Lewis cells); A549-xenograft nude mouse tumor model	<i>In vitro</i> ; i.v. (CLT dose: 2 mg kg <sup>-1</sup> )	Enhanced anti-cancer efficacy; reduced toxicity	130
Transferrin and cell-penetrating peptide SA-R6H4 modified microemulsions (co-delivered with brucea oil and glycyrrhizin)	Glycyrrhizin, Brucea oil, Linoleic acid, RH40, PEG400, DSPE-PEG-NH <sub>2</sub> , SA-R6H4, transferrin	Emulsification method	PS: ~69 nm; ZP: ~-19 mV; EE: ~95%	Human ovarian carcinoma cell line (SKOV3); SKOV-3 xenograft nude mouse tumor model	<i>In vitro</i> ; i.v. (CLT dose: 5 mg kg <sup>-1</sup> given on days 8, 11, 14, 19, 22 and 25 after tumor inoculation)	Enhanced anti-cancer efficacy; reduced toxicity	131

ZP: zeta potential; EE: entrapment efficiency; DL: drug loading; n.a.: not applicable; i.p.: intraperitoneal; SMEDDS: self-microemulsifying drug delivery system; OP-10: octyl polyethylene glycol phenyl ether; PEG 400: polyethylene glycol 400; DSPE-PEG-Tf: transferrin modified 1,2-distearoyl-sn-glycero-3-phosphoethanolamine N-[amino (polyethylene glycol)].

activity in HeLa 3D tumor spheroids. Guo *et al.*<sup>129</sup> further evaluated the *in vivo* therapeutic effectiveness of Tf-CT-MEs in HeLa tumor-bearing xenograft mouse models. In the study, Tf-CT-MEs demonstrated superior anticancer potency while displaying lower toxicity in vital organs. Similarly, intravenously injectable, transferrin-modified microemulsions carrying both  $\beta$ -elemene and CLT (Tf-EC-MEs) have been reported for the combination therapy of lung cancer.<sup>130</sup> The codelivery system exhibited synergistic antiproliferative effects on cultured cells *in vitro*, as well as improved efficacy in A549-bearing xenograft mouse tumor models *via* active tumor targeting. Moreover, Tf-EC-MEs did not result in the apparent toxicity associated with free CLT therapy. Zhao *et al.*<sup>131</sup> incorporated CLT, brucea oil, and glycyrrhizin into a single microemulsion system, which was then decorated dually with transferrin and SA-R6H4 cell-penetration peptide (Tf/SA-R6H4-TBG-MEs) to enhance ovarian cancer therapy. It was demonstrated that Tf/SA-R6H4-TBG-MEs had the most potent inhibition of *in vitro* cell proliferation and *in vivo* tumor growth, as well as the longest survival period among all the treatment groups. Moreover, Tf/SA-R6H4-TBG-MEs efficiently reduced liver and kidney toxicity.

## 2.5 Other nano-formulations

Inclusion complexes are made up of a mixture of drug molecules that are located in the cavity of host molecules. To improve the solubility of CLT, the inclusion complexes of CLT with monopolyamine-modified  $\beta$ -cyclodextrin ( $\beta$ -CD) (H1) and the polyamine-bridged bis( $\beta$ -CD) (H2) were produced by Yang *et al.*<sup>53</sup> Complexation with H1 and H2 dramatically increased CLT's water-solubility, as evidenced by a 52.1- and 60.4-fold improvement over CLT, respectively. Moreover, as compared to the positive control of cisplatin, the H1/CLT inclusion complex produced superior cytotoxicity in five tumor cells, and CLT related side effects were slightly diminished when it was complexed with H1. The study offers the possibility of enhancing the physicochemical properties of CLT, which would be useful in its final clinical trials for cancer treatment.

## 3. Discussions and future perspectives

CLT holds great promise among natural agents due to its pleiotropic biological activities. However, its unfavorable physicochemical and pharmacokinetic properties such as poor solubility, weak bioavailability, and systemic toxicity compromise its therapeutic advantages hindering its clinical application. To overcome these drawbacks, it seems that encapsulation into specific nanocarriers can be of great interest and will fully exploit its potential applications. This comprehensive literature survey on CLT loaded nanocarriers explicitly indicates that this approach could enhance the physicochemical properties, bioavailability, biological activities and/or reduce the toxicity of CLT.

The improved bioavailability effects of various types of CLT nanoformulations given orally, intravenously and intraperito-

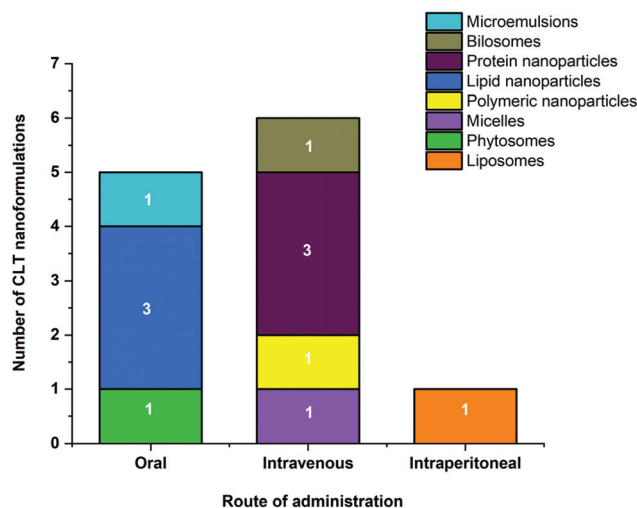


Fig. 4 Number of different nanoformulations with their route of administration for the improved bioavailability of CLT.

neally are shown in Fig. 4 and Table 5. Overall, various CLT-loaded nanocarriers administered through different routes maintain CLT plasma concentrations for a more extended period of time and improve other pharmacokinetic parameters, thus providing better bioavailability. CLT is water insoluble<sup>34</sup> and has a LogP of 5.63,<sup>35</sup> indicating that it is a Biopharmaceutics Classification System (BCS) Class IV-type drug with low solubility/permeability, suggesting CLT may be poorly absorbed through the intestinal mucosa. As shown in Fig. 4, compared to other nanocarriers administered orally, lipid-based nanoparticles have been the most representatively used nanocarriers to improve CLT delivery to overcome the issues of poor absorption and limited oral bioavailability. The high affinity of the lipid material used here with the cell membrane, which effectively increases the transmembrane influx and caveolae/clathrin-mediated endocytosis, is thought to be responsible for the improved absorption of CLT in lipid-based nanoparticles.<sup>55,56</sup> Furthermore, the favored lymphatic transport of lipid nanocarriers that allows them to bypass the liver metabolism is another advantage for better absorption.<sup>55,57,128</sup>

The present review also addressed findings on the biological activities of CLT after encapsulation into nanocarriers (Fig. 5). Nanocarriers encapsulating CLT have increased its anti-inflammatory properties in acute pancreatitis,<sup>85</sup> glomerulonephritis,<sup>37</sup> renal fibrosis,<sup>64</sup> arthritis,<sup>40,92</sup> psoriasis,<sup>42</sup> and allergic asthma.<sup>50,113</sup> Furthermore, encapsulated CLT also reveals remarkable advancements in anti-atherosclerotic,<sup>120</sup> antiangiogenic,<sup>114,115</sup> and anti-obesity properties.<sup>118</sup> Likewise, nanocarriers have been most commonly used to enhance the anticancer activity of CLT against lung cancer, breast cancer, prostate cancer, pancreatic cancer, colorectal cancer, cervical cancer, ovarian cancer, glioma hepatocellular carcinoma, melanoma, squamous cell carcinoma, leukemia and retinoblastoma. Among all these cancer diseases, lung cancer and breast cancer seem to be the most studied, followed by prostate



**Table 5** List of the most relevant *in vivo* studies concerning CLT bioavailability upon oral, intravenous and intraperitoneal administration of different CLT-loaded nanotechnology-based carriers

Classification	Formulation	<i>In vivo</i> model	Route of administration	CLT dose	Major outcome	Ref.
Liposomes	Liposomes	Healthy Kummung mice	i.p.	4 mg kg <sup>-1</sup>	Improved bioavailability ( $C_{max}$ , $T_{1/2}$ , MRT and $AUC_{0-\infty}$ were, respectively, 1.59-fold, 3.75-fold, 3.08-fold, 2.91-fold, and 3.73-fold increase <sup>*SOL(DMSO)</sup> )	61
Phytosomes	Phytosomes	Healthy New Zealand white male rabbits	Oral	40 mg kg <sup>-1</sup>	Improved bioavailability ( $T_{1/2}$ , MRT, $AUC_{0-8 h}$ and $C_{max}$ values were, respectively, 10.52-fold, 5.83-fold, 9.20-fold, and 5.00-fold increase <sup>*SUSP</sup> )	54
Bilosomes	HA-functionalized bilosomes	Established arthritic Sprague-Dawley rats	i.v.	10 mg kg <sup>-1</sup>	Improved bioavailability ( $C_{max}$ and $AUC_{0-\infty}$ were, respectively, 1.12-fold and 3.13-fold increase <sup>*SOL(ETHANOL)</sup> ; Cl (3.13-fold decrease <sup>*SOL(ETHANOL)</sup> )	41
Polymeric nanoparticles	PCL nanoparticles	Healthy Sprague-Dawley rats	i.v.	2.5 mg kg <sup>-1</sup>	Improved bioavailability ( $AUC_{0-6}$ , $T_{1/2}$ and MRT were, respectively, 10.86-fold, 15.25-fold, and 15.24-fold increase <sup>*SOL(COSOLVENT)</sup> ); Cl (11.04-fold decrease <sup>*SOL(COSOLVENT)</sup> )	79
Lipid based nanoparticles	CPPs coated NLCs	Healthy Beagles	Oral	1.5 mg kg <sup>-1</sup>	Improved bioavailability ( $T_{1/2}$ , MRT, $AUC_{0-\infty}$ and $C_{max}$ values were, respectively, 1.93-fold, 2.47-fold, 4.11-fold, and 2.16-fold increase <sup>*SUSP</sup> )	56
	Lipid nanospheres	Healthy Sprague-Dawley rats	Oral	50 mg kg <sup>-1</sup>	The absolute bioavailability of the CLT loaded in lipid nanospheres and CLT dispersed in suspensions were 30.01% and 13.35%, respectively; the relative bioavailability of CLT loaded in lipid nanospheres to CLT suspensions was 224.88%	55
Protein nanoparticles	Broccoli lipid nanoparticles	Healthy Sprague-Dawley rats	Oral	40 mg kg <sup>-1</sup>	The relative bioavailability of the CLT loaded in broccoli lipid nanoparticles was 494.13% compared with CLT suspensions; $C_{max}$ (4.43-fold increase <sup>*SUSP</sup> )	57
	HSA-Kolliphor HS 15 nanoparticles	Healthy Sprague-Dawley rats	i.v.	2 mg kg <sup>-1</sup>	Improved bioavailability ( $C_{max}$ , $T_{1/2}$ and $AUC_{0-24 h}$ were, respectively, 3.09-fold, 3.61-fold and 2.56-fold increase <sup>*SOL</sup> )	92
	PAB nanoparticles	Healthy Sprague-Dawley rats	i.v.	2 mg kg <sup>-1</sup>	Improved bioavailability ( $C_{max}$ , $T_{1/2}$ and $AUC_{0-24 h}$ were, respectively, 1.68-fold, 4.72-fold and 4.66-fold increase <sup>*SOL</sup> )	93
	Silk fibroin nanoparticles	Healthy Sprague-Dawley rats	i.v.	1 mg kg <sup>-1</sup>	Improved bioavailability (MRT, $AUC_{0-\infty}$ and $C_{max}$ values were, respectively, 1.96-fold, 2.61-fold, and 4.36-fold increase <sup>*SOL(PEG)</sup> ); Cl (2.51-fold decrease <sup>*SOL(PEG)</sup> )	96
Micelles	CLT-PEG-G Rh2 micelles	Healthy Sprague-Dawley rat	i.v.	3 mg kg <sup>-1</sup>	Improved bioavailability ( $T_{1/2}$ , MRT, $AUC_{0-\infty}$ and $C_{max}$ values were, respectively, 2.03-fold, 2.13-fold, 3.44-fold, and 1.32-fold increase <sup>*SOL</sup> )	122
Microemulsions	SMEDDS dispersible tablets	Healthy Sprague-Dawley rats	Oral	4 mg kg <sup>-1</sup>	Improved bioavailability ( $C_{max}$ and $AUC_{0-\infty}$ were, respectively, 5.24-fold and 5.58-fold increase <sup>*SUSP</sup> )	128

$AUC_{0-\infty}$ : area under the concentration time-curve from time zero to infinity;  $AUC_{0-t}$ : area under the concentration time-curve from time zero to the last measurable drug concentration;  $T_{1/2}$ : half-life;  $C_{max}$ : the maximal drug concentration;  $T_{max}$ : the time of the  $C_{max}$  observed; Cl: clearance rate; MRT: mean residence time; i.v.: intravenous; i.p.: intraperitoneal; HA: hyaluronic acid; PCL: polycaprolactone; CPPs: cell-penetrating peptides; NLCs: nanostructured lipid carriers; HSA: human serum albumin; PAB: palmitic acid-modified bovine serum albumin; PEG: poly(ethylene glycol); SMEDDS: self-microemulsifying drug delivery system; \*FREE CLT: comparatively to free CLT; \*SUSP: comparatively to free CLT suspension; \*SOL: comparatively to free CLT solution; \*SOL(PEG): comparatively to free CLT solubilized in a cosolvent comprising propandiol/ethanol/water = 5/3/2 (v/v); \*SOL(DMSO): comparatively to free CLT solubilized in a 75% ethanol: water mixture.

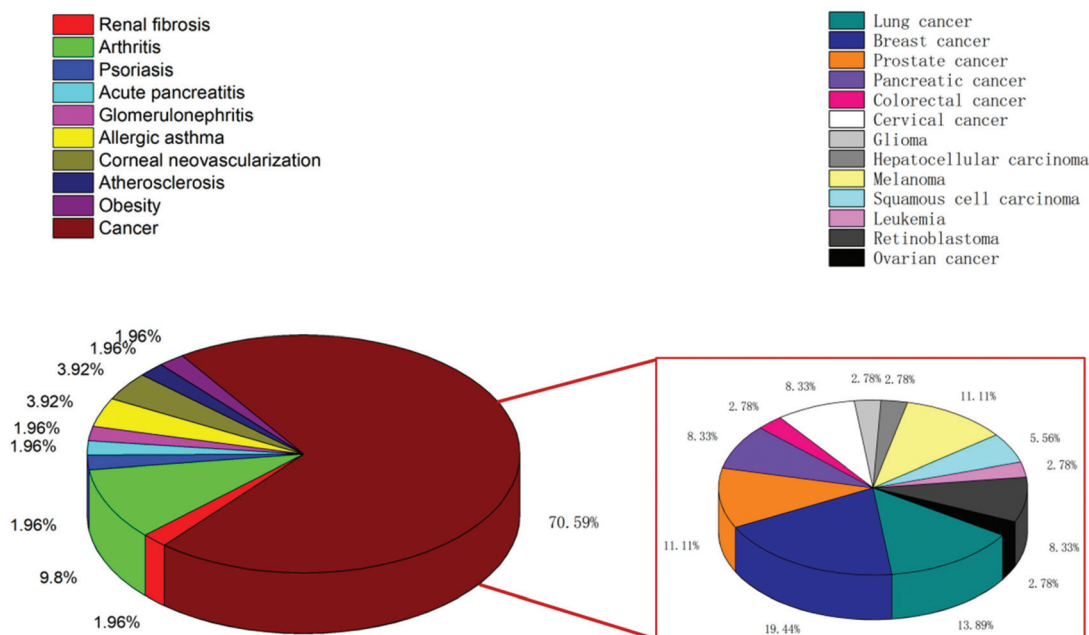


Fig. 5 Various diseases for which therapeutic activity of celastrol nanoformulations have been reported.

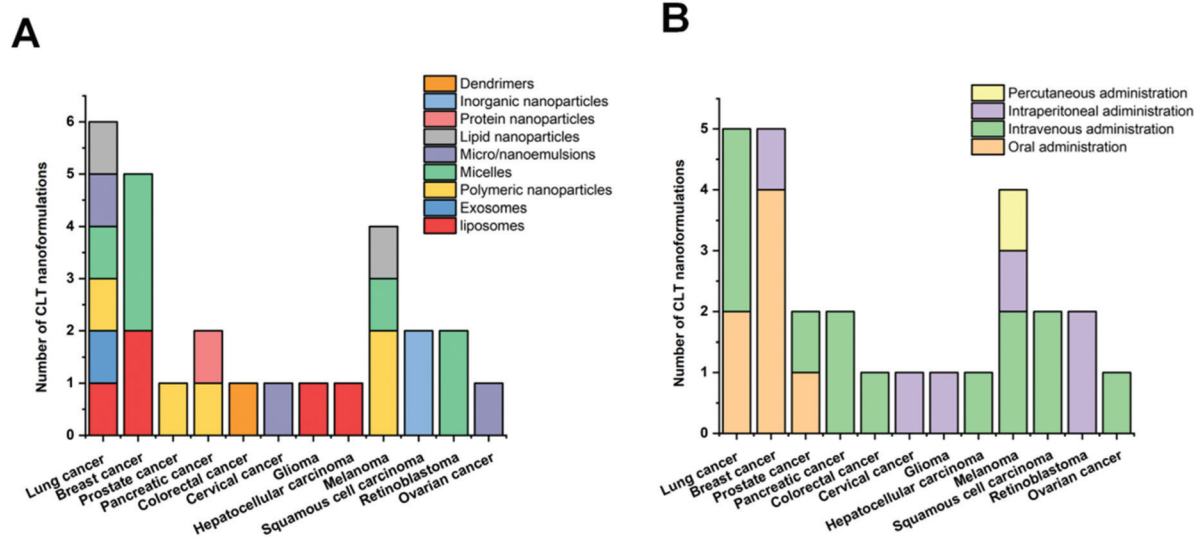


Fig. 6 Different CLT nanoformulations (A) with their route of administration (B) for improved *in vivo* antitumor efficacy of CLT.

cancer and melanoma (Fig. 5). Different CLT nanoformulations with their route of administration for *in vivo* improved antitumor efficacy of CLT are shown in Fig. 6. As a promising nanocarrier, polymer micelles have been most widely applied to enhance the anti-tumor therapeutic effect of CLT. For the tumor treatment of CLT nanoformulations, intravenous administration remains the most commonly used route by which maximum bioavailability could be obtained.

The nanocarriers described above also allowed for the simultaneous delivery of other bioactive ingredients besides CLT,

such as other phytochemicals and conventional chemotherapeutic agents. In addition, the surface of the nanocarriers could be decorated with ligands to receptors and other specific targets excessively upregulated in targeted cells, enabling the active targeted delivery of CLT and thereby showing enhanced efficacy and decreased off-target toxicity. Stimuli-responsive and triggered release systems based on the differences in the pH of blood and intracellular mitochondria have been designed to realize rapid CLT release at mitochondrial target sites to increase the tumor inhibition effectiveness. Several



recent reports also highlight novel cell membrane biomimetic nanoparticles for the delivery of CLT to achieve enhanced inflammation and cancer therapy. Given that CLT also possesses remarkable neuroprotective effects, and still no reports on CLT nanoformulations on this aspect to date, we believe the combination of CLT with nanotechnology will be explored further as potential therapeutic approaches for neurodegenerative disorders in the future.

Overall, the current comprehensible evidence on drug delivery systems collected from the above reports undoubtedly open up a new avenue for poorly bioavailable drugs like CLT. Many questions, however, remain unanswered. For example, a wide range of CLT nanoformulations with different features are available, but the detailed characterization including particle size, zeta potential, entrapment efficiency, drug-loading efficiency or storage stability of these nanoformulations remains largely unexplored. Thorough characterization of the CLT nanoformulations should be carefully carried out in the future. Some reported studies also have seemed to have some shortcomings, such as the lack of *in vivo* experiments. Given that *in vitro* findings do not always give rise to comparable outcomes *in vivo*, further research is needed to ensure that the nanoformulations perform as well *in vivo*. In addition, there is also a lack of details on the pharmacokinetics, biodistribution, toxicity and biocompatibility of certain CLT nanoformulations. As a result, for advance research on CLT nanoformulations, the gap in this field must be filled.

## 4 Conclusions

In summary, advances in nanodelivery of CLT over the past 10 years shed light on the promise CLT carries when it is appropriately delivered. They equally highlighted the need for a thorough characterization of the physicochemical and biopharmaceutical properties of such nanoformulations. Their safety and efficacy profiles should be extensively studied in an *in vivo* experimental setup before their clinical application for the treatment of a particular disease can be realized.

## Author contributions

Conceptualization, Ling Guo and Khuloud T. Al-Jamal; writing – original draft preparation, Ling Guo; writing – review and editing, Ling Guo, Khuloud T. Al-Jamal; supervision, Yongping Zhang, Khuloud T. Al-Jamal; funding acquisition, Ling Guo, Yongping Zhang, Khuloud T. Al-Jamal. All authors have read and agreed to the published version of the manuscript.

## Conflicts of interest

The authors declare no conflict of interest.

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