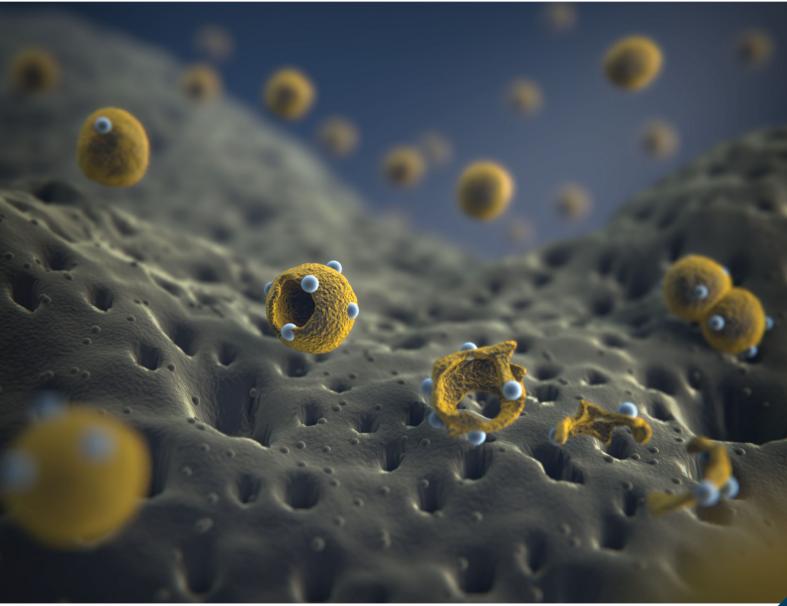
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#### **PAPER**

Ingmar Aeneas Jan van Hengel *et al.*Self-defending additively manufactured bone implants bearing silver and copper nanoparticles



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# implants bearing silver and copper nanoparticles

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Self-defending additively manufactured bone

Effective preventive measures against implant-associated infection (IAI) are desperately needed. Therefore, the development of self-defending implants with intrinsic antibacterial properties has gained significant momentum. Biomaterials biofunctionalized with silver (Ag) have resulted in effective antibacterial biomaterials, yet regularly induce cytotoxicity. In this study, the use of both Ag and copper (Cu) nanoparticles (NPs) on TiO<sub>2</sub> surfaces was investigated to generate antibacterial and osteoconductive biomaterials. Hence, additively manufactured Ti-6Al-4V volume-porous implants were biofunctionalized with plasma electrolytic oxidation (PEO) through the incorporation of varying ratios of Ag and/or Cu NPs in the TiO2 layer covering the implant surface. For all experimental groups, the surface morphology, chemical composition, ion release profile, generation of reactive ion species, antibacterial activity against methicillinresistant Staphylococcus aureus (MRSA) in vitro and ex vivo, as well as the response of pre-osteoblastic MC3T3-E1 cells in metabolic activity and differentiation assays were determined. PEO biofunctionalization resulted in rough and highly porous surfaces that released Ag and Cu ions for 28 days and generated hydroxyl as well as methyl radicals. A strong synergistic bactericidal behavior between Ag and Cu ions was detected, which allowed to decrease the concentration of Aq ions by 10-fold, while maintaining the same level of antibacterial activity. Antibacterial agar diffusion and quantitative assays indicated strong antibacterial activity in vitro for the implants containing Ag and Ag/Cu, while no antibacterial activity was observed for implants bearing only Cu NPs. Moreover, the biofunctionalized implants with ratios of up to 75% Ag and 25% Cu NP totally eradicated all bacteria in an ex vivo model using murine femora. Meanwhile, the biofunctionalized implants did not show any signs of cytotoxicity, while implants bearing only Cu NPs improved the metabolic activity after 7 and 11 days. The biomaterials developed here, therefore, exploit the synergistic behavior of Ag and Cu to simultaneously offer strong antibacterial behavior while fully mitigating the cytotoxicity of Ag against mammalian cells.

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#### 1. Introduction

Implant-associated infection (IAI) is one of the most devastating complications for patients with orthopedic implants. Despite extensive preventive methods and antibiotic prophylaxis, up to 2.5% of primary hip and knee replacements and 10% of revision surgeries are complicated by IAI.1 The prevalence of IAI is anticipated to increase, given an aging and progressively obese population that requires joint replacement surgeries at younger age as well as a rapid development of bacterial resistance against antibiotics, leading to persistent and difficult-to-treat infections.<sup>2</sup> Moreover, IAI accounts for extremely high healthcare costs, which are projected to be \$1.62 billion in the US alone by 2020.3 The development of orthopedic implants that minimize the risk of IAI are, therefore, of utmost importance.

To prevent the bacterial colonization of the implant surface, orthopedic implants with intrinsic antibacterial properties are being developed.4 Since in this case the antibacterial agents are applied directly at the implantation site, the required dose is lower as compared to systemic intake, thereby decreasing the side effects.<sup>5</sup> Inorganic nanoparticles (NPs), such as silver (Ag) and copper (Cu) have been explored as alternatives to antibiotics that suffer from ever increasing bacterial resistance.<sup>6,7</sup> The large surface area of NPs leads to their amplified oxidation on the implant surface while the accompanying release of metallic ions induces strong bactericidal effects.8

To incorporate antibacterial agents, the surface of the metallic implant needs to be biofunctionalized. While the modification of the surface chemistry and morphology may be used to prevent bacterial adhesion, the incorporation of

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antibacterial agents on the implant surface allows for actively targeting the bacteria residing in the adjacent tissues.<sup>9,10</sup> To produce an antibacterial surface, the antibacterial agent is either embedded on the implant surface, incorporated in a conversed surface layer, or applied as a coating.11 Through these approaches, functional, and antibacterial biomaterials have been synthesized containing antibiotics, inorganic NPs, or antimicrobial peptides. 12-14

Among surface biofunctionalization techniques, plasma electrolytic oxidation (PEO) is a single-step, electrochemical process that is particularly suited for the biofunctionalization of highly porous metallic biomaterials, such as additively manufactured porous titanium implants.8 The use of additive manufacturing (AM) facilitates the fabrication of novel implant designs that allow for the fine-tuning of the mechanical properties such that stress shielding is prevented and bony ingrowth is enhanced. 15-17 However, due to their vast surface area, these porous implants are prone to bacterial adhesion, and, therefore, require surface biofunctionalization.

During PEO, the native metallic oxide layer (i.e., TiO<sub>2</sub>) is vastly expanded, resulting in a bioactive surface with high levels of nano/micro-porosity, enhanced roughness, and crystallized (i.e., rutile or anatase) TiO2 phase compositions. 18,19 Addition of inorganic NPs during PEO results in a firmly attached and homogeneous layer of immobilized NPs on the implants surface, thereby preventing the free circulation of the NPs and associated adverse effects. Furthermore, the incorporation of NPs in the growing TiO2 layer during PEO enables the sustained release of metallic ions, contributing to prolonged antibacterial effects. 20,21

Previous studies have demonstrated that PEO treatment using Ag NPs, indeed, results in highly effective antibacterial biomaterials.<sup>22-24</sup> Ag NPs are potent against a wide range of bacterial strains including methicillin-resistant strains that are often involved in IAI. 25,26 Moreover, bacterial resistance against Ag is uncommon and rarely develops during treatment.<sup>27</sup> However, Ag NPs may induce cytotoxicity against host cells including mesenchymal stromal cells and neutrophils.<sup>28-31</sup> Meanwhile, Cu NPs have demonstrated antibacterial properties while simultaneously stimulating osteogenesis and angiogenesis.<sup>32</sup> Moreover, there are reports in the literature regarding the synergistic effects of Ag and other antibacterial agents.<sup>33-35</sup> We, therefore, hypothesized that there is a synergistic effect between Ag and Cu and that by exploiting those effects the antibacterial properties of implants can be enhanced, ultimately resulting in a reduced amount of the required Ag NPs and, thus, the mitigation of the cytotoxic effects.

## 2. Experimental

#### 2.1. Implant design and additive manufacturing

Volume-porous Ti-6Al-4V implants were designed and fabricated according to a protocol and design rationale that we have presented elsewhere.8 Briefly, the implants of 4 cm in length and a diameter of 0.5 mm were manufactured by a selective laser melting (SLM) printer (SLM-125, Realizer, Borchem, Germany) using a YLM-400-AC Ytterbium fiber laser (IPG Photonics Corporation, Oxford, United States) in an argon atmosphere with less than 0.2% oxygen content. Medical-grade (grade 23, ELI) Ti6Al4V powder particles (AP&C, Boisbriand, Quebec, Canada) with spherical morphology, particle sizes ranging from 10 to 45 µm, and a layer thickness of 50 µm were used. SLM laser processing was performed with a laser power of 96 W, a wavelength of  $1070 \pm 10$  nm, and an exposure time of 300 µs, resulting in a laser spot size of 145 µm. Subsequently, loose powder particles were removed by vacuum cleaning and the implants were ultrasonicated at 35 kHz in acetone, 96% ethanol, and demineralized water for 5 min each.

#### 2.2. Surface biofunctionalization

The surface of Ti6Al4V implants was modified by PEO, resulting in a uniform and highly porous superficial titanium oxide layer. The PEO electrolyte contained 0.15 M calcium acetate ( $\geq$ 99%, Sigma-Aldrich, St. Louis, Missouri, United States) and 0.02 M calcium glycerophosphate (≥99%, Dr Paul Lohmann GmbH, Emmerthal, Germany) dissolved in demineralized water in which Ag NPs (65-75% Ag, Sigma-Aldrich, St. Louis, Missouri, United States) and/or Cu NPs (99.8% Cu, SkySpring Nanomaterials, Houston, Texas, United States) were dispersed at varying ratios with 3.0 g L<sup>-1</sup> designated as 100%, generating different experimental groups. SLM implants that did not receive any PEO biofunctionalization were designated as non-treated (NT). PEO biofunctionalization was performed without any NPs (PT),  $3.0 \text{ g L}^{-1}$  or  $1.5 \text{ g L}^{-1}$ Ag NPs (PT - Ag, PT - Ag 50),  $3.0 \text{ g L}^{-1}$  Cu NPs (PT - Cu), and varying ratios of both Ag and Cu NPs (PT - Ag Cu, PT - Ag Cu 75 25 and PT - Ag Cu 50 50).

The particle sizes of the NPs ranged between 7 and 25 nm for Ag NPs and 40 and 60 nm for Cu NPs while both particles displayed a spherical morphology. The PEO electrolyte was sonicated 2 times for 3 min and stirred in between for 5 min at 500 rpm with a magnetic stirrer (IKA-Werke GmbH & Co. KG, Staufen, Germany) and a stir bar of  $40 \times 8$  mm (VWR, Radnor, Pennsylvania, United States).

The implants were biofunctionalized by PEO using a custom-made laboratory setup that consisted of an AC power supply (50 Hz, type ACS 1500, ET powder Systems Ltd, Chesterfield, UK), a data acquisition board (SCXI, National Instruments, Austin, Texas, United States) that connected the computer interface and power supply, and an electrolytic cell consisting of double-walled glass with two electrodes. PEO processing was performed under galvanostatic conditions at a current density of 20 A dm<sup>-2</sup> for 5 min in 800 ml electrolyte. In this process, the implant functioned as the anode and a ring-shaped stainless steel formed the cathode. The electrolytic cell was cooled with a thermostatic bath and the temperatures ranged between 6 and 8 °C at the beginning and the end of the PEO process. To ensure homogeneous distribution of the particles, the electrolyte was stirred continuously at 500 rpm. During PEO processing, the voltage-time (V-t) transients were recorded every second.

Following PEO biofunctionalization, the implants were rinsed in running tap water for 1 min. Thereafter, the implants were sterilized by 30 s ultrasonication in ethanol, 5 min immersion and 30 s ultrasonication in demineralized water, and subsequently heat treated for 1 h at 110 °C in an oven (Nabertherm TR60, New Castle, United States).

#### 2.3. Characterization of the surface morphology and chemical composition

The surface morphology of the biofunctionalized implants was analyzed using scanning electron microscopy (SEM, JSM-IT100LV, JEOL, Tokyo, Japan). The electron beam energy ranged between 5-20 kV and working distance of 10 mm was used. Prior to imaging, the specimens were sputtered with a gold layer of  $5 \pm 2$  nm to enhance their electrical conductivity. To analyze the chemical composition, spot analysis was performed with energy dispersive X-ray spectroscopy (EDS).

#### 2.4. Ion release kinetics

To study the release kinetics of Ag and Cu ions, biofunctionalized specimens (n = 3 per group) were submerged in 1 ml phosphatebuffered saline (PBS) in dark Eppendorf tubes. The specimens were kept in a water bath at 37 °C under static conditions. The PBS was collected and replaced after 12 h and 1, 2, 4, 7, 14, and 28 days. The collected PBS was acidified with 5% nitric acid to dissolve all the ion species entirely. Subsequently, the ion concentrations were detected using inductively coupled plasmaoptical emission spectrometry (ICP-OES) using a PerkinElmer Optima 3000DV (PerkinElmer, Zaventem, Belgium).

#### 2.5. Electron paramagnetic resonance

To elucidate whether the implants generated reactive oxygen species (ROS) that contribute to contact-killing of adhesive bacteria, the ROS formation from the implant surfaces was measured using an electron paramagnetic resonance (EPR) spectrometer (Bruker EMX Plus, Billerica, Massachusetts, United States). The implants (n = 2 per group, length = 0.5 cm) were inserted inside a quartz capillary tube and were placed inside the EPR spectrometer. First, the baseline spectrum of the implants itself was measured followed by the detection of the formed radicals, which was performed through the submersion of the implants in 10 µl PBS containing 20 mM spin trap 5,5-dimethylpyrroline N-oxide (DMPO, Sigma-Aldrich, St. Louis, United States). The EPR measurements were performed using a frequency of 9.78 GHz, a sweep width of 100 G, a time constant of 163.8 ms, a conversion time of 160 ms, a modulation amplitude of 1 G, a modulation frequency of 100 kHz, a receiver gain of 60 dB, an attenuation of 10 dB, and a power of 20 mW. The radical generation was analyzed every 10 min for 2.5 h.

#### 2.6. Antibacterial assays

2.6.1. Preparation of the bacterial inoculum. The bactericidal properties of the biofunctionalized biomaterials were evaluated in vitro and ex vivo against methicillin-resistant Staphylococcus aureus (MRSA, strain USA300).36 Bacterial inocula were prepared by the addition of a single colony into 3 ml Tryptic soy broth (TSB) or cation-adjusted Mueller Hinton broth (CAMH) and incubated for 2 h at 37 °C while shaking at 120 rpm.

Subsequently, the optical density at 600 nm (OD<sub>600</sub>) was measured and the desired bacterial inoculum was prepared. The inoculum was quantified by plating 10-fold serial dilutions of 10 µl triplicates on blood agar plates (Becton Dickinson, Franklin Lakes, United States) followed by overnight incubation and colony forming unit (CFU) quantification.

2.6.2. Agar diffusion assay. The antibacterial leaching activity of the implants was tested in an agar diffusion assay. Agar plates were poured from Luria broth (LB) containing 200 g tryptone, 100 g yeast powder, 240 g Agar No. 1 (all from Oxoid, ThermoFisher Scientific, Massachusetts, United States), and 200 g NaCl dissolved in 20 L ultrapure water. A bacterial inoculum of 10<sup>7</sup> CFU per ml was prepared in TSB. Subsequently, bacteria were distributed over the agar plates using a cotton swab. Thereafter, 1.5 cm implants were pressed onto the agar surface and incubated at 37 °C in a humid environment for 24 h. Following incubation, the area of the zone of inhibition was analyzed using an image processing program (Photoshop CS6, Adobe, California, United States) to determine the antibacterial leaching activity (n = 3 per group).

2.6.3. Minimal inhibitory concentration (MIC) and minimal bactericidal concentration (MBC). In order to determine the MIC and MBC of Ag<sup>+</sup> and/or Cu<sup>2+</sup> ions, Ag nitrate and Cu nitrate (both from Sigma-Aldrich, St. Louis, United States) were dissolved in CAMH broth. A bacterial inoculum of OD<sub>600</sub> 0.09 was prepared from which 65 µl was transferred to 10 ml of CAMH. Subsequently, two-fold serial dilutions were prepared in 96-well plates starting at initial concentrations of 2 mM for Ag<sup>+</sup> and 80 mM for Cu<sup>2+</sup> respectively. Next, 50 μl of bacterial inoculum and 50 μl of both Ag<sup>+</sup> and Cu<sup>2+</sup> dilutions were added to a 96-well plate and were incubated overnight at 37 °C under static conditions. The following day, the MIC was determined as the lowest concentration of Ag<sup>+</sup> and/or Cu<sup>2+</sup> where no turbidities were present. To determine the MBC, 10 µl aliquots of each well were plated on blood agar plates, incubated overnight at 37 °C, and the numbers of CFU were determined. The MBC was defined as the lowest concentration of Ag<sup>+</sup> or Cu<sup>2+</sup> ions without any bacterial colonies.

2.6.4. Bactericidal CFU count. The bactericidal activity of the biofunctionalized implants against adherent and planktonic bacteria was assessed by inserting 4 implants of 1 cm in a 200 μl MicroAmp<sup>®</sup> Fast Reaction Tube (Life Technologies, Carlsbad, California, United States) with a bacterial inoculum of  $2 \times 10^3$  CFU in 100  $\mu$ l TSB + 1% glucose. Subsequently, the specimens (n = 3 per group) were incubated overnight under static conditions at 37 °C. To quantify the number of the adherent CFU, the implants were rinsed 3 times in PBS, ultrasonicated in 200 µl PBS for 3 min. Subsequently, 10-fold serial dilutions of 10 µl aliquots were plated on blood agar plates. The numbers of the non-adherent CFU present in the incubation medium were quantified by plating 10 µl of the incubation medium of 10-fold dilutions on blood agar plates. Following overnight incubation at 37 °C, the number of CFUs was quantified.

2.6.5. Biofilm formation and characterization. The biofilm formation on the implants (n = 2 per group) was determined through static incubation at 37 °C in 100 µl TSB + 1% glucose

with  $2 \times 10^3$  CFU per ml for 24 h. Subsequently, the implants were rinsed in PBS and fixated in McDowels fixative (4% paraformaldehyde and 1% glutaraldehyde in 10 mM phosphate buffer at pH 7.4). Prior to SEM imaging, the fixated implants were cleaned in demineralized water for 5 min, dehydrated in 50% ethanol for 15 min, 70% ethanol for 20 min, 96% ethanol for 20 min, and hexamethyldisilazane for 15 min. Next, the implants were left to dry in air for 2 h, sputtered with a gold layer of 5  $\pm$  2 nm, and inspected by SEM.

**2.6.6.** *Ex vivo* experiments. The *ex vivo* antibacterial properties of the biofunctionalized implants were studied using murine femurs provided by the Central Laboratory Animal Institute (Utrecht University). The surrounding tissue was removed from the femurs, which were subsequently sterilized in 70% ethanol for 10 min and submerged in demineralized water for 10 min. To insert the implants into the femur, a hole ( $\emptyset$  0.5 mm) was drilled through the epicondyle into the intramedullary canal of the femur. Subsequently, the bone marrow was extracted using a syringe followed by 2 µl injection of PBS into the medullary cavity. Then, the implants were inoculated with an inoculum of 200 CFU in 2 µl demineralized water, left to dry in air for 15 min, and inserted into the femora.

To validate the system, 2 μl tetracycline (50 mg ml<sup>-1</sup>, Sigma-Aldrich, St. Louis, Missouri, United States) was inserted into the intramedullary cavity after implantation with an inoculated NT implant. To verify the proper sterilization of the femora, one femur was not implanted with an implant (negative control). Following implantation, the femora were incubated in 0.5 ml Eppendorf tubes on a rotating platform at 37 °C for 24 h to simulate intraosseous fluid flow. Subsequently, the femora were homogenized in 800 µl PBS using 15 zirconia beads (Ø 2 mm, BioSpec, Bartlesville, Oklahoma, United States) with a MagNA Lyser (Roche Diagnostics, Risch-Rotkreuz, Switzerland) at 7000 rpm for 2 cycles of 30 s and cooling on ice in between. Consequently, 10-fold serial dilutions of the homogenate were plated on blood agar plates, incubated overnight at 37 °C, and the CFU numbers were quantified.

#### 2.7. Cytotoxicity assays

2.7.1. Cell seeding and culturing. Osteoblast-like cells (MC3T3-E1, Sigma-Aldrich) were pre-cultured for 7 days in α-MEM supplemented with 1% penicillin-streptomycin and 10% fetal bovine serum (all from ThermoFisher, Waltham, Massachusetts, United States). The culture medium was refreshed every 2-3 days. The cells were seeded on 1 cm implants in 0.2 ml tubes containing 1.5  $\times$  10<sup>5</sup> MC3T3-E1 cells in 100  $\mu$ l culture medium. The implants were then tilted every 20 min (for 2 h in total) at 37 °C in 5% CO<sub>2</sub> and were placed in a 48 well plate with 200 µl of fresh medium. Following 2 days of culturing, osteogenic differentiation was induced by the addition of 50  $\mu$ g  $\mu$ l<sup>-1</sup> ascorbic acid and 4 mM β-glycerophosphate (both from Sigma-Aldrich). Thereafter, the medium was refreshed every 2-3 days.

2.7.2. Presto blue assay. The metabolic activity of the MC3T3-E1 cells was determined by a PrestoBlue assay (Thermofisher, Waltham, MA, United States) after 1, 3, 7, and 11 days. The implants were incubated in 200 µl fresh culture medium with 20 µl PrestoBlue cell viability reagent for 1 h at 37 °C. Thereafter, the absorbance was measured at a wavelength of 530-595 nm with a Wallac plate reader (Victor X4, PerkinElmer, Massachusetts, United States).

2.7.3. Alkaline phosphatase (ALP) assay. The ALP activity of MC3T3-E1 cells on the implant was determined after 11 days. As such, the implants (n = 4 per group) were rinsed with PBS and inserted in 250 µl PBS-Triton (8% NaCl, 0.2% KCl, 1.44%  $Na_2HPO_4$ , 0.24%  $KH_2PO_4$ , and 0.1% Triton X-100). Subsequently, the implants were ultrasonicated for 10 s to dissociate the cells and were incubated with 100 µl p-nitrophenyl phosphate (pNPP, Sigma-Aldrich) for 10 min at 37 °C. Thereafter, the reaction was stopped by the addition of 250 µl NaOH. The absorbance was then measured at a wavelength of 405 nm with a Wallac plate reader (PerkinElmer). A standard curve was prepared by the addition of 100 µl PBS-Triton and 250 µl NaOH to each well to determine the ALP activity and the total protein content was determined using a bovine serum albumin (BSA) protein assay kit (Invitrogen, California, United States). Subsequently, the ALP levels were normalized to the total protein content.

2.7.4. Cell morphology. To assess cell morphology on the implant's surface, the implants were fixated after 11 days in McDowels fixative and kept at 4 °C. Prior to SEM imaging, the implants were rinsed twice in demineralized water for 5 min and dehydrated in ethanol (for 15 min in 50%, for 20 min in 70%, and for 20 min in 96%). Subsequently, the implants were left to dry in air for 2 h, sputtered with a gold layer (5  $\pm$  2 nm) and analyzed by SEM (n = 2 per group).

#### 2.8. Statistical analysis

All data are expressed as mean  $\pm$  standard deviation. The statistical analyses were performed using GraphPad Prism (GraphPad Software, La Jolla, California, United States) with one-way ANOVA and Bonferroni post hoc test. Differences between groups were considered statistically significant at p < 0.05.

#### Results & discussion

#### 3.1. Surface morphology and PEO biofunctionalization

Following SLM, SEM analysis demonstrated that the highly porous implants had partially molten or unmolten powder particles attached to the implant surface, which further enhanced the surface area of the implants (Fig. 1A). PEO biofunctionalization (Fig. 1B) resulted in similar V-t curves recorded for all experimental groups (Fig. 1C). Up to the point of dielectric breakdown, the voltage increased with a rate of  $14 \pm 1 \text{ V s}^{-1}$  after which the ascent of the curve decreased to  $0.49~{
m V~s}^{-1}$  and plasma discharging started at 115  $\pm$  5 V, resulting in a final voltage of 249  $\pm$  6 V. SEM analysis demonstrated homogenous coverage of the implants surfaces with a micro-/nano-porous oxide layer (Fig. 1D). The addition of Ag and/or Cu NPs did not alter the surface morphology as compared to the PT implants.

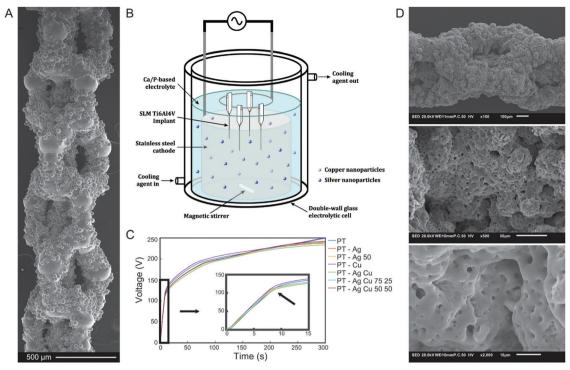


Fig. 1 (A) The surface morphology of a selective laser melted Ti-6Al-4V implant visualized using SEM imaging. (B) A schematic drawing of the electrolytic cell used for PEO biofunctionalization depicting the electrolyte species, silver and copper nanoparticles, and the arrangement of the implants and the cathode. (C) The recorded V-t transients during the PEO biofunctionalization process of the selective laser melted implants with different electrolytes containing varying ratios of Ag and/or Cu NPs. (D) The SEM images of the surface morphology following 300 s of PEO biofunctionalization with  $100 \times$  (top),  $500 \times$  (middle) and  $2000 \times$  (bottom) magnifications.

#### 3.2. Surface chemistry and phase composition of biofunctionalized implants

The presence of Ag and Cu NPs on the implants after PEO biofunctionalization was demonstrated by EDS analysis (Fig. 2). Secondary electron and backscattering mode indicated a wide spread of Ag and/or Cu NPs on the surface which were fully embedded into the TiO2 surface layer. Point analysis demonstrated the presence of Ti, Al, V, Ca and P in the surface layer for all biofunctionalized implants as well as Ag, Cu, and both Ag and Cu NPs for the PT - Ag, PT - Cu, and PT - Ag Cu implants, respectively.

#### 3.3. Ion release and formation of reactive oxygen species

In the first 24 h, combined application of Ag and Cu NPs reduced the release of Ag<sup>+</sup> for the PT – Ag 50, PT – Ag Cu, PT – Ag Cu 75 25, and PT - Ag Cu 50 50 groups as compared to the PT - Ag group (p < 0.05, p < 0.01, p < 0.001 and p < 0.001, respectively;Fig. 3A). Meanwhile, the release of Cu<sup>2+</sup> was not affected by the presence of Ag NPs in the implant surface (Fig. 3B). The ion release continued for the entire duration of our measurements (i.e., 4 weeks). When the entire duration of the release experiment is considered, combining Ag and Cu NPs reduced the Ag<sup>+</sup> release from the PT - Ag Cu, PT - Ag Cu 75 25, and PT - Ag Cu 50 50 groups as compared to the PT - Ag specimens (p < 0.05, p < 0.01and p < 0.01, respectively; Fig. 3C) while the release of Cu<sup>2+</sup> was enhanced for the PT - Cu group as compared to the PT - Ag Cu 50 50 specimens (p < 0.05; Fig. 3D).

All biofunctionalized implants did generate both hydroxyl and methyl radicals, while the NT implants did not generate any oxygen radicals (Fig. 3E). Following the hydroxyl radical formation up to 2.5 h, the groups containing Ag NPs demonstrated enhanced radical formation as compared to all other groups (Fig. 3F).

#### 3.4. Antibacterial assays

After 24 h incubation, all the specimens containing Ag NPs demonstrated a zone of inhibition whereas the specimens from the groups NT, PT, and PT - Cu did not (Fig. 4A). The size of the inhibition zones did not differ significantly between all the groups containing Ag NPs (Fig. 4B). To explore the synergistic antibacterial behavior between Ag+ and Cu2+ ions, we determined the MIC and MBC for Ag<sup>+</sup> and Cu<sup>2+</sup> against MRSA USA300. The MIC for Ag<sup>+</sup> was 4 µM and 5 mM for Cu<sup>2+</sup> while combining 2 µM Ag<sup>+</sup> and 2.5 mM Cu<sup>2+</sup> prevented bacterial growth (Fig. 4C). Similarly, the MBC was 60 µM and 10 mM for Ag<sup>+</sup> and Cu<sup>2+</sup> respectively while combining 30–0.47 μM Ag<sup>+</sup> with 0.63-5 mM Cu<sup>2+</sup> resulted in total eradication of the bacterial inoculum (Fig. 4D).

The specimens from the PT - Ag, PT - Ag 50, PT - Ag Cu, and PT - Ag Cu 75 25 groups totally prevented bacterial adhesion, whereas those from the PT - Ag Cu 50 50 group (3.4  $\times$  10<sup>4</sup> CFU) showed a 3-log reduction in the number of the adherent CFUs as compared to the specimens from the NT, PT, and PT - Cu groups (1.7  $\times$  10<sup>8</sup>, 2.5  $\times$  10<sup>8</sup> and 5.0  $\times$  10<sup>6</sup> CFU respectively;

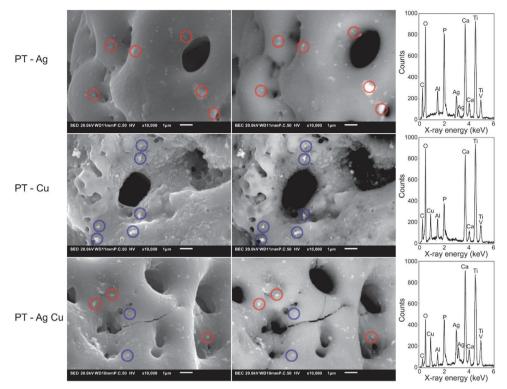


Fig. 2 The EDS analysis of the biofunctionalized implants containing Ag and/or Cu NPs. The locations of the Ag NPs (red circles) and Cu NPs (blue circles) on the implant surface were demonstrated by SEM using secondary (left) and backscattered (right) modes. Spot analysis confirmed the presence of Ag and Cu NPs as part of a surface layer containing Ti, Al, V, C, O, Ca, and P.

p < 0.001; Fig. 4E). Similar results were obtained for the planktonic bacteria with PT - Ag Cu 50 50  $(1.2 \times 10^7 \text{ CFU})$ demonstrating a 2-log reduction in the number of CFUs as compared to the NT, PT, and PT - Cu groups  $(4.1 \times 10^6, 1.7 \times 10^6)$  $10^8$  and  $1.6 \times 10^7$  CFU respectively; p < 0.001; Fig. 4F). The NT, PT, and PT - Cu groups did not prevent biofilm formation on the implants where bacteria had stacked on top of each other in multiple layers (Fig. 5). The PT - Ag and PT - Ag Cu implants only showed sparsely attached bacteria, which were mostly entrapped inside the micropores.

To determine the ex vivo bactericidal activity, the implants were inserted into a murine femoral infection model (Fig. 6A). After 24 h of incubation, the specimens from the PT - Ag, PT - Ag Cu, and PT - Ag Cu 75 25 groups fully eradicated the bacterial inoculum while those from the PT - Ag 50 group  $(3.7 \times 10^5 \text{ CFU})$  reduced the bacterial growth by two orders of magnitude as compared to the NT and PT specimens  $(5.4 \times 10^7)$ and  $6.1 \times 10^6$  CFU respectively; p < 0.001; Fig. 6B).

#### 3.5. Cytocompatibility

At day 1 and 3, the specimens from all groups demonstrated similar metabolic activity while after 7 days PT, PT - Ag 50, PT - Cu and PT - Ag Cu 75 25 exhibited enhanced metabolic activity as compared to the NT specimens (p < 0.001, p < 0.05, p < 0.001 and p < 0.001, respectively; Fig. 7A). Furthermore, the PT implants had enhanced metabolic activity as compared to the specimens from the PT - Ag, PT - Ag 50, and PT - Ag Cu 75 25 groups (p < 0.001, p < 0.001 and p < 0.01, respectively).

The same held for the PT - Cu implants as compared to those from the PT – Ag and PT – Ag 50 groups (p < 0.05 and p < 0.01, respectively;). After 11 days, the PT and PT - Cu implants displayed enhanced metabolic activity as compared to the NT implants (p < 0.001). The same held for the PT group as compared to PT - Ag, PT - Ag 50, and PT - Ag Cu 75 25 groups (p < 0.01, p < 0.05 and p < 0.01, respectively) as well as for the PT - Cu group as compared to the PT - Ag, PT - Ag 50, and PT - Ag Cu 75 25 groups (p < 0.001). Furthermore, the ALP activity after 11 days did not differ significantly between the different groups (Fig. 7B). The cell morphology after 11 days demonstrated that all surfaces had cell attachment on significant parts of their surfaces (Fig. 7C). Cells showed an elongated morphology and were found to span large surface areas.

#### 3.6. Discussion

Given the widespread research into and the increasing demand for highly porous orthopedic implants manufactured by AM, there is an ever increasing need for implant surfaces with intrinsic antibacterial activity. While targeting adherent and surrounding bacteria, antibacterial agents should not induce adverse effects in the surrounding host tissue. Therefore, we explored the use of combining Ag and Cu NPs, incorporated onto the surface of AM Ti-6Al-4V implants through PEO biofunctionalization, to produce potent antibacterial surfaces. We report here that Ag and Cu NP bearing implant surfaces with ratios of up to 75% Ag and 25% Cu NPs display leaching and direct-contact bactericidal activity against MRSA in vitro

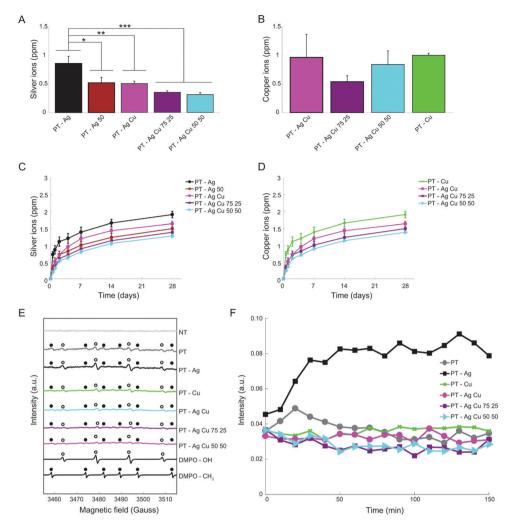


Fig. 3 The ion release profile and the generation of oxygen radicals. (A) The Ag and (B) Cu ions released from the biofunctionalized implants (n = 3) after 24 h in PBS were detected by ICP-OES. The cumulative release profiles of (C) Ag and (D) Cu ions for up to 28 days. (E) The generation of oxygen radicals by the implants measured using electron paramagnetic resonance and DMPO spin traps. Simulations of OH and CH<sub>3</sub> radical spectra are depicted by DMPO-OH and DMPO-CH<sub>3</sub> respectively. (F) 2D electron paramagnetic resonance spectrum demonstrating the oxygen radical formation for 150 min. p < 0.05, p < 0.01, p < 0.01, p < 0.001

and ex vivo, while not inducing cytotoxicity to pre-osteoblastic MC3T3-E1 cells.

To prevent IAI, immediate antibacterial activity is required as most infections arise at an early stage after implantation. <sup>37,38</sup> In this study, the PT - Ag and PT - Ag Cu implants with ratios of up to 75% Ag and 25% Cu NPs fully eradicated adherent and planktonic bacteria in vitro and ex vivo within 24 h. The prevention of bacterial adherence is essential to prevent biofilm formation, which gives rise to untreatable infections as the dose required to remove bacteria within a biofilm may be up to 1000-fold higher as compared to planktonic bacteria.<sup>39</sup> The fact that the biofunctionalized implants demonstrated strong antibacterial activity against MRSA is of clinical significance, since Staphylococci account for over 60% of IAI.<sup>29</sup> Furthermore, IAI caused by MRSA are more difficult to treat resulting in a reduced number of cured patients after 1 year, as compared to methicillin-susceptible Staphylococcus aureus. 40

To enhance the antibacterial activity of the implant, reduce cytotoxicity, and reduce the likelihood of bacterial resistance,

we incorporated both Ag and Cu NPs onto the implant surfaces of our biofunctionalized implants. Combining Ag<sup>+</sup> and Cu<sup>2+</sup> resulted in synergistic effects in the MIC and MBC assays by up to 2 and 10-fold respectively. The same synergistic behavior resulted in the antibacterial results of the PT - Ag Cu 75 25 group being comparable to those of the PT - Ag and PT - Ag Cu groups whereas the specimens from the PT - Ag Cu 50 50 group resulted in 3-log and 2-log inhibition of the adherent and planktonic bacteria, respectively. Our results concur with previous studies where combining Ag and Cu enhanced the bactericidal activity against MRSA compared to either Ag or Cu.41,42 The underlying mechanism causing this synergistic effect remains to be elucidated. 43

The sensitivity of bacteria to Cu is generally lower as compared to Ag,44 as reflected by the MIC-values obtained in this study. Cu is a cofactor in enzymatic reactions, 45 required for proper bacterial cell metabolism, and therefore present in most bacterial culture media.46 At the same time, elevated

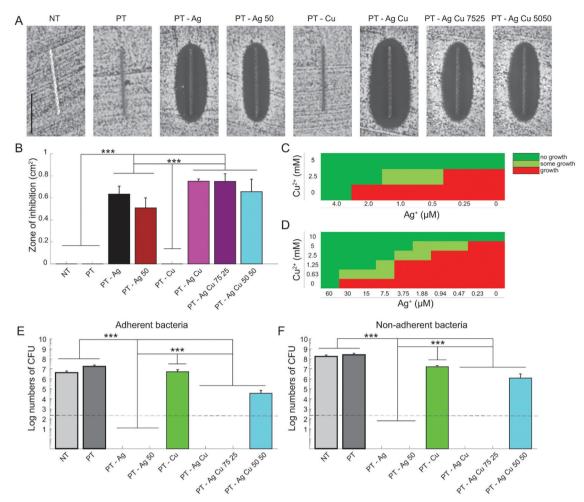


Fig. 4 The antibacterial leaching activity and quantification of bactericidal activity in vitro against MRSA USA300. (A) The images of the antibacterial inhibition zones around implants after 24 hours on agar plates using an inoculum of  $10^7$  CFU per ml. (B) Quantification of the area of the inhibition zones. (C) The minimum inhibitory and (D) bactericidal concentrations for the different concentrations of  $Ag^+$  and/or  $Cu^{2+}$  ions. The bactericidal activity against (E) the Adherent and (F) planktonic bacteria. Dashed line represents the inoculum. \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001. n = 3 per group for all experiments. Scale bar = 1 cm

levels of freely circulating Cu are toxic to bacterial cells as they interfere with iron-sulfur cofactors, 47 prevent other metals from binding to specific protein sites,48 and result in the generation of ROS. 49 Therefore, bacteria have developed several Cu defense mechanisms<sup>50</sup> including Cu exporting ATPases, multi-Cu oxidases, and resistance-nodulation-cell division efflux pumps that contributed to the development of highly Cu-resistant bacterial strains.<sup>51</sup>

Contrary to Cu, Ag is not required for proper bacterial cell metabolism. Bacteria are, therefore, highly sensitive to Ag as is evident from 50 to 8000 times lower MIC-values as compared to Cu. 44 Ag targets a wide spectrum of bacteria by disturbing protein function, disruption of the cell membrane, generation of ROS, and blocking iron-sulfur clusters resulting in disturbed bacterial homeostasis and DNA damage.<sup>28</sup> Furthermore, Ag has demonstrated to make antibiotic-resistant Gram-negative bacteria, both in biofilms and planktonic cells, susceptible to antibiotic treatment again.<sup>52</sup> Therefore, the synthesis of Ag-containing antibacterial biomaterials has been studied

intensively and has been demonstrated to reduce the clinical infection rates in patients receiving large limb salvaging prostheses. 53-55

However, mammalian cells are also susceptible to Ag, meaning that cytotoxicity is a concern too.31 In this study, the PEO biofunctionalized implants containing Ag NPs did not show any signs of cytotoxicity against pre-osteoblastic cells and even demonstrated enhanced metabolic activity as compared to the NT implants after 7 days, while the PT and PT - Cu implants outperformed the Ag-containing implants after 7 and 11 days. Furthermore, no significant differences were observed on the ALP expression after 11 days of culture. All these results indicate that our surface bio-functionalized implants induce no cytotoxic effects against the pre-osteoblast cells. Indeed, the incorporation of Ca and P species onto the TiO2 implant surface layer during the PEO biofunctionalization process results in the formation of hydroxyapatite8 that together with the nano-/micro-porous structure of the created oxide layer enhance the osteogenic properties of the implants.<sup>56,57</sup> Furthermore, the addition of Cu

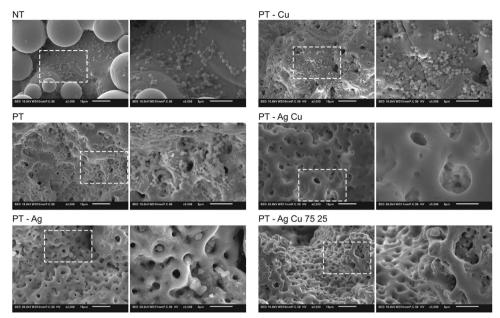


Fig. 5 Biofilm formation on the implants (n = 2) after incubation in TSB 1% glucose for 24 h visualized using low- (2000×) and high-magnification (8000×) SEM images.

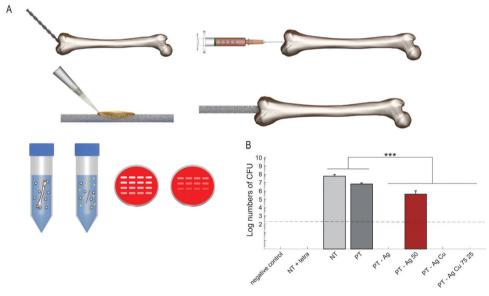


Fig. 6 The bactericidal capacity of implants in an ex vivo femoral mouse model against MRSA USA300. (A) A 0.5 mm hole was created to access the intramedullary cavity of mouse femora. Thereafter, the bone marrow was extracted and 2  $\mu$ l PBS injected. The implants were inoculated with 2  $\times$  10<sup>2</sup> CFU and implanted intramedullary. After 24 h incubation, the femora were homogenized and 10-fold serial dilutions of the homogenate were plated on blood agar plates. (B) The quantification of the number of CFU following 24 h incubation ex vivo. To confirm proper sterilization of the femurs, one femur without implant and bacterial inoculum was prepared and analyzed (negative control). To validate the system, 2 µl tetracycline was inserted into the femoral canal before implantation (NT + tetra). Dashed line represents the bacterial inoculum. n = 3, \*\*\*p < 0.001.

to titanium surfaces stimulates the ALP activity, the expression of the osteogenic and angiogenic markers, such as osteopontin, osteoprotegerin, hypoxia-inducible factor-1a, and vascular endothelial growth factor, and the matrix mineralization of mesenchymal stromal cells.32,58 In this study, we did not observe any effect on osteogenic differentiation nor antibacterial activity by PT - Cu implants, probably because the Cu<sup>2+</sup> ion release from our biofunctionalized implants is substantially lower

as compared to other studies that applied PEO. 59,60 The results presented in the current study suggest that the synergistic effects of Ag and Cu make it possible to reduce the amount of the Ag released from the antibacterial surfaces while maintaining similar levels of antibacterial properties, thereby minimizing the potential adverse effects of Ag on the host cells. Moreover, given the fact that the Ag and Cu NPs are tightly embedded inside the oxide layer during the PEO process, the

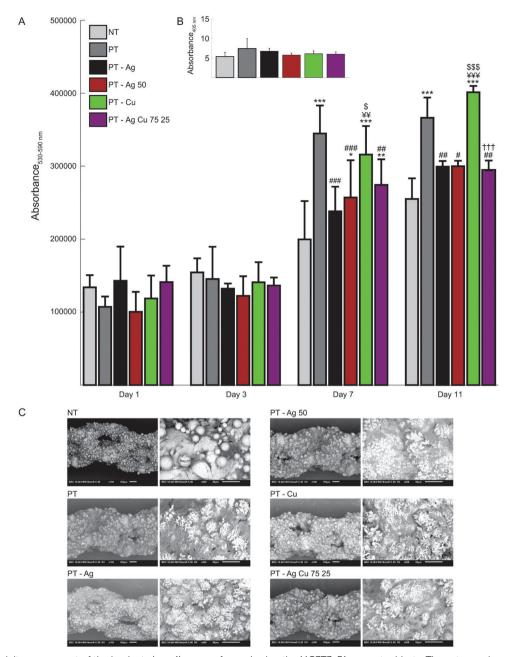


Fig. 7 The cytotoxicity assessment of the implants (n = 4) was performed using the MC3T3-E1 pre-osteoblasts. The osteogenic capacity of MC3T3-E1 cells cultured on the NT and biofunctionalized implants were determined as well (n = 4 per group). (A) The metabolic activity of MC3T3-E1 cells indicated in terms of the optical density (OD<sub>530-590nm</sub>) determined by Presto blue assay after 1, 3, 7, and 11 days of culture. (B) The ALP activity and (C) SEM images demonstrating the cell morphology and the spread of the MC3T3-E1 cells on the implants after 11 days of culture. Cell covered areas appear dark gray, while the implant substrate has a bright appearance. \*p < 0.05, \*\*p < 0.01\*\*\*p < 0.001. \* vs. NT, # vs. PT,  $\frac{1}{2}$  vs. PT - Ag,  $\frac{5}{2}$  vs. PT - Ag 50,  $\frac{1}{2}$  vs. PT - Cu.

circulation of the NPs and, thus, any adverse effects associated with that can be prevented.

In addition to the above-mentioned advantage, combining Ag and Cu on titanium surfaces reduces the likelihood of bacteria developing resistance against such antibacterial agents. 61 Even though bacterial resistance to either Ag or Cu is not regarded currently as a threat for their clinical application, Ag-resistant MRSA strains have been isolated from nasal and wound sources in patients<sup>62</sup> and mobile elements carrying Ag resistance are widely spread among pathogenic bacteria.63

Moreover, Staphylococcus aureus has demonstrated increased levels of resistance against Ag in a polymicrobial environment due to the presence of Ag-reducing factors secreted by other bacterial species.<sup>64</sup> In addition, Cu-resistance inducing genes have been reported in Staphylococci, including MRSA, resulting in hyper-resistant strains. 65,66 Therefore, preventing further development of resistance against Ag or Cu is essential for the extensive usability of these antibacterial agents. This further underlines the importance of exploiting the synergistic effects between multiple inorganic NPs.

Apart from immediate bactericidal activity, long-term antibacterial leaching activity is desired, as IAI may arise even years after surgery stemming from a haematogenous origin.<sup>67</sup> It is, therefore, of interest to create a reservoir of antibacterial agents on the surface of orthopedic biomaterials. In this study, the Ag and Cu NPs were firmly embedded and immobilized in the biofunctionalized TiO2 layer during the PEO process, thereby forming a reservoir that released significant levels of Ag and Cu ions for at least one month. We confirmed the purity of the NPs as well as preservation of shape and composition of the NPs after PEO biofunctionalization by transmission electron microscopy<sup>18</sup> and EDS analysis. The Ag<sup>+</sup> and Cu<sup>2+</sup> ion release was responsible for the clearance of planktonic bacteria in vitro and is important as the surrounding peri-implant tissue may form a niche for bacteria to infect the implant surface at later time points and generate systemic infections. 12 The combination of Ag and Cu NPs affected the ion release profile of both Ag and Cu ions compared to implants that possessed either Ag or Cu NPs. The underlying mechanism for the altered Ag and Cu ion release was not studied, but may stem from the micro-galvanic coupling processes at the implant surface.<sup>68</sup>

Next to antibacterial leaching activity, antibacterial surfaces require contact-killing properties to extinguish adherent bacteria. ROS are known to play an important role in this process due to their extremely reactive nature, which also results in a short life-span. Previous studies have indicated the importance of ROS in bacterial killing by antibacterial surfaces containing either Ag or Cu. 68-71 We, therefore, investigated the generation of ROS from the implants with EPR and observed that PEO biofunctionalization resulted in the formation of both hydroxyl and methyl radicals. Furthermore, Ag incorporated into the implant surface enhanced the generation of hydroxyl radicals even further, whereas Cu NPs did not. Previously, we observed rutile and anatase TiO2 phases in the surface layer of the implants by X-ray diffraction.8 Anatase and rutile have shown to generate ROS and subsequent antibacterial activity.72,73 Therefore, ROS formed on the implant surfaces are likely to contribute to the observed antibacterial behavior in this study.

Given the fact that bacteria may infect both the implant surface and surrounding tissue, it is important to test multiple antibacterial properties in vitro such as the antibacterial leaching activity and contact killing. However, such studies lack the presence of the surrounding tissue. Therefore, we tested the implants in an ex vivo infection model consisting of murine femurs. In this model, specimens from both PT - Ag and PT - Ag Cu groups with ratios of up to 75% Ag and 25% Cu demonstrated complete eradication of a bacterial inoculum, while those from the PT - Ag 50 group reduced the bacterial growth by two orders of magnitude. In the applied ex vivo setup, no distinction was made between the bacteria adhering to the implant or those that evaded into the adjacent bone tissue. In addition, in this model, no immune system is active that may aid in the eradication of the bacteria. Nonetheless, the results demonstrate a strong antibacterial behavior of all Ag NP-bearing implants.

The aforementioned antibacterial functionalities in vitro and ex vivo are generated through a single-step incorporation of both Ag and Cu NPs, and Ca and P elements in the electrolyte during the PEO process. PEO is highly suitable for the biofunctionalization of the surface of AM porous biomaterials, as it ensures a homogenous modification of the surface all over the implant surface, while not altering their mechanical properties.<sup>74</sup> These properties make PEO highly suitable for the modification of AM meta-biomaterials, that are currently under development and may in the future significantly improve the fixation of bone scaffolds and orthopedic implants.75 Assigning antibacterial properties to these highly porous structures will be crucial to fight off IAI. Thus far, some studies have indicated that the enormous surface area of AM porous biomaterials allows for enhanced antibacterial performance of biofunctionalized implants bearing Ag NPs alone8 or in combination with antibiotics. 14,31

#### 4. Conclusions

To develop novel antibacterial biomaterials that prevent IAI, Ti-6Al-4V implants were rationally designed, fabricated by SLM, and subsequently biofunctionalized by PEO with varying ratios of Ag and Cu NPs from 0 to 100%. The material and morphological properties, release profiles, generation of reactive oxygen species, antibacterial behavior, and biocompatibility of the developed biomaterials were assessed using various material characterization techniques, antibacterial assays, and cytotoxicity tests. ICP-OES and EPR analysis revealed a sustained release of Ag and Cu ions up to 28 days and the generation of hydroxyl radicals in the first 2.5 h, respectively resulting in strong antibacterial leaching and direct-contact activity against MRSA as well as a synergistic behavior between Ag<sup>+</sup> and Cu<sup>2+</sup>. Very strong antibacterial behaviors against both planktonic and adherent bacteria were also observed in vitro. Furthermore, the biofunctionalized implants containing both Ag and Cu NPs with ratios of up to 75% Ag and 25% Cu fully eradicated a bacterial inoculum in a murine ex vivo infection model. The synthesized implants did not induce cytotoxicity in preosteoblastic cells. Therefore, PEO biofunctionalization with Ag and Cu NPs is a promising strategy for preventing the infections associated with AM porous implants.

#### Conflicts of interest

There are no conflicts to declare.

### Acknowledgements

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