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Mechanisms of the cyclic (de)lithiation of RuO₂†

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RuO₂ films can serve as high-performance electrodes for thin film lithium-ion batteries due to their large volumetric charge capacity, low rate sensitivity and excellent cyclability. Unlike other electrode materials, RuO₂ films also do not require high temperature processing, making them suitable for integration with low-power CMOS circuits and for fabrication on flexible membranes. To determine the mechanisms through which Li is reversibly stored in RuO₂ films, detailed characterization studies of sputtered thin films were carried out; galvanostatic and potentiostatic intermittent titration and cyclic voltammetry studies were coupled with *ex situ* selected area electron diffraction, X-ray photoelectron and Raman spectroscopy, optical and scanning electron microscopy, energy-dispersive X-ray spectroscopy and *in situ* electrochemical impedance spectroscopy. During lithiation, amorphous RuO₂ is transformed to amorphous Li_xRuO₂ through an alloying reaction and this is followed by a reversible side reaction to form an SEI layer. Li_xRuO₂ then undergoes a conversion reaction to form a mixture of nanosized Ru and Li₂O crystals, and finally at low voltages Li is inserted into the Ru/Li₂O mixture. These reactions occur in a different sequence during delithiation and a large overpotential is required to reverse the conversion reaction, leading to a large energy loss during cycling. It is argued that this hysteretic behavior is associated with slow diffusive processes required for the conversion reactions. The methodology developed in this study can also be applied to other candidate thin film electrode materials and learnings from studies of thin films can be applied to more complex powder-based electrodes used in bulk batteries.

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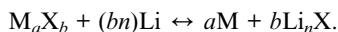
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1. Introduction

While the use of lithium ion batteries (LIBs) is already pervasive, the range of applications for LIBs continues to rapidly grow, especially in association with the development of new Internet of Things (IoT) technologies.¹ The broad extent and diversity of applications of LIBs drive studies for continuous improvements in the performance of known electrode materials and development of new materials. Electrode materials that store lithium through phase transitions and conversion reactions are attractive candidates for LIB electrodes due to their large capacities compared to intercalation materials.^{2–11} For metal oxides, a conversion reaction plays a particularly important role in providing high storage capacity. This reaction has the form:



Recently, Perego *et al.*¹² demonstrated that one conversion-reaction-based material, RuO₂, had excellent performance as a potential cathode material for thin film LIBs for microsystems, for which full-cell voltages as low as 1 V are usable. RF-

sputtered RuO₂ thin films showed five times greater volumetric energy than RF-sputtered LiCoO₂ thin films. Moreover, unlike other electrode materials, RuO₂ films do not require high temperature processing, making them suitable for integration with low-power CMOS circuits and for fabrication on flexible membranes. The mechanical behavior during the lithiation/delithiation cycling of RuO₂ thin films has been studied by Zhu *et al.*^{13,14} However, lithiation and delithiation mechanisms for multiply-cycled RuO₂ are poorly understood due to the poor cyclability of powder-based electrodes,¹⁵ and an improved understanding is required for optimization of battery performance and yield of thin film electrodes.

The lithiation of RuO₂ was first studied by Ohzuku *et al.*,¹⁶ and the mechanisms for Li storage have subsequently been studied in various ways, especially during the first discharge of single crystal particles. David *et al.* studied the shallow lithiation of RuO₂, before the occurrence of conversion reaction.¹⁷ Balaya *et al.*^{15,18} used X-ray diffraction (XRD), Raman spectroscopy, high resolution transmission electron microscopy (HRTEM) and selected area electron diffraction (SAED) to show that crystalline RuO₂ could form crystalline Ru and Li₂O during the first lithiation and returned to nanocrystalline or amorphous RuO₂ after the first delithiation. Gregorczyk *et al.*¹⁹ used *in situ* TEM and found that an intermediate phase Li_xRuO₂ formed before the formation of Ru during the first lithiation. They also reported that during further cycles of a nanowire, the reaction between amorphous RuO₂ and Ru/Li₂O was only

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partially reversible. The existence of the intermediate phase Li_xRuO_2 was also confirmed by Mao *et al.*²⁰ using *in situ* TEM. Hassan *et al.*²¹ used first-principles calculations to investigate the first lithiation of RuO_2 and argued that additional Li could reside at interfaces between Ru and Li_2O . Hu *et al.*²² used XRD, XAS (X-ray absorption spectroscopy) and NMR (nuclear magnetic resonance) to demonstrate that the formation of Li_2O and LiH from LiOH on the surface was responsible for the additional capacity of RuO_2 during the late stage of the first lithiation, while based on TEM, XPS and GITT (Galvanostatic Intermittent Titration Techniques) experiments, Kim *et al.*²³ argued that Li storage at interfaces between newly formed nanosized Ru metal and Li_2O phases was responsible for the additional capacity. Emilie *et al.* reached the same conclusion using ^6Li magic-angle spinning nuclear magnetic resonance.²⁴

So far, most studies of the mechanisms of lithiation and delithiation of RuO_2 have focused only on the first lithiation process starting from crystalline RuO_2 powders in composite electrodes with binders. This might be partially due to the poor cyclability of these electrodes.¹⁵ However, an *in situ* TEM study¹⁹ using RuO_2 nanowires has shown that after the first delithiation cycle, the RuO_2 was in an amorphous form rather than a crystalline form. This indicates that the previous conclusions reached from studies of the first cycle do not necessarily apply during further cycles. Electrochemical data such as cyclic voltammetry (CV) curves were not provided for cycles after the first cycle. In addition, conversion-type LIB electrodes are often found to have a large voltage hysteresis, meaning that the voltage of charging (delithiation) is significantly higher than the voltage of discharging (lithiation).^{9,10,25–29} The voltage hysteresis for conversion-type LIB electrodes is generally larger than for intercalation³⁰ and alloying³¹ electrodes. We found that RuO_2 also has a large voltage hysteresis (Fig. S1†).

As a good candidate for thin-film electrode materials as well as an example of a conversion-reaction-based electrode material with complex reaction mechanisms, it is important that a more complete understanding of the mechanisms and kinetics of reversible storage of Li in RuO_2 be developed, not only in the first cycles, but also during subsequent cycles. In many ways, thin films also provide an ideal form for mechanistic studies. Therefore, while studies of thin film electrodes serve to guide optimization for use in thin film batteries, they also provide a guide for development of conversion-reaction powder electrodes with improved cyclability and reduced hysteretic effects.

In this work, we performed detailed electrochemical and physical characterization of multiply-cycled sputtered thin film RuO_2 samples. Individual reactions occurring in different voltage regimes were identified through a set of GITT and CV measurements designed for this purpose. Samples were then characterized in different states of charge using *ex situ* Selected Area Electron Diffraction (SAED), X-ray Photoelectron Spectroscopy (XPS), Raman spectroscopy, Optical Microscopy (OM), Scanning Electron Microscopy (SEM), Energy-dispersive X-ray Spectroscopy (EDS) and *in situ* Electrochemical Impedance Spectroscopy (EIS). The Potentiostatic Intermittent Titration Technique (PITT) and CV measurements on RuO_2 thin films

with different thicknesses were also performed to determine the rate limiting kinetic process that leads to the voltage hysteresis.

2. Experimental

Double-side polished aluminum oxide (99.6%, Al_2O_3 , 250 μm thick, Stellar Ceramics) was used as the substrate for RuO_2 thin film deposition. The aluminum oxide was cleaved into 5 mm by 8 mm pieces and was subsequently ultrasonicated for 5 min in acetone, isopropyl alcohol and deionized water to remove surface contaminants. After this step, the substrates were rinsed again in deionized water and dried using nitrogen. A 10 nm-thick titanium film was deposited on the alumina to serve as an adhesion layer and a 100 nm-thick palladium film was then deposited to serve as a current collector. RuO_2 thin films were sputtered (CMS-18 Kurt J. Lesker) onto the Pd layer under 3 mTorr of pure O_2 (99.994%, Airgas) and at a 60 W RF power at room temperature.

To prepare half cells, samples were assembled into customized cells (Tomcell, Japan). Metallic Li was used as the counter electrode. The liquid electrolyte was standard LP30 electrolyte (1 M LiPF_6 in 1 : 1 ethylene carbonate and dimethyl carbonate mixture by volume, Gotion). Electrodes were separated using a porous polymer separator (Celgard). Electrochemical experiments (GITT/CV/EIS/PITT) were performed using a Solartron 1470 E potentiostat. After samples were cycled 10 times and the CV curves became stable, *in situ* EIS was performed before disassembly of the cells.

The cells were disassembled and the cycled thin film samples were rinsed in dehydrated dimethyl carbonate (DMC) to remove residual electrolyte on the surface, and then dried using flowing ultrapure Ar, while still in a glovebox. The clean samples were placed in an air-tight container that was evacuated in the glove box and transferred to a dual-beam SEM/FIB system (Helios Nanolab 600, FEI), with approximately a one-minute exposure to ambient during loading. SEM/EDS results were then collected, and cross sectional TEM samples were prepared. The TEM samples were then transferred to a 200 kV TEM (JEOL 2010), during which they were again exposed to ambient for approximately one minute. SAED patterns were collected in the TEM.

The remaining portions of the thin film samples from which TEM samples were prepared were transferred back to the glovebox (approximately a three-minute ambient exposure) and subsequently to a chamber for characterization using XPS (Versaprobe II, PHI) under vacuum, with another five-minute exposure to ambient during sample loading. After XPS measurements, Raman spectroscopy (InVia Reflex Micro Raman, Renishaw) was performed in ambient.

3. Results and discussion

3.1. GITT and CV scans

As discussed above, in previous studies CV scans have only been reported for very early cycles of initially crystalline RuO_2 electrodes. Here we first report the stable CV curves of thin film $\text{RuO}_2/\text{LiPF}_6/\text{Li}$ half-cells after over 10 cycles. These RuO_2 films



were deposited using RF magnetron sputtering at room temperature and experiments were carried out on films in the as-deposited state, which was found to initially be nanocrystalline. The CV curves display different behaviors in the 1st cycle compared to later cycles, but after 10 cycles the shapes and magnitudes of peaks become highly repeatable (Fig. S2†). A typical stable CV curve for RuO₂ is shown in Fig. 1. As can be seen in this figure, during discharge (lithiation), two clear reaction peaks and a shoulder are present, which indicates the existence of at least three different reactions. On the other hand, four peaks can be seen during charge (delithiation), indicating at least four different reactions. This implies that overlapping peaks appear as one peak during lithiation. For convenience in later discussions, the CV peaks are labeled as shown in Fig. 1 so that the mechanisms identified with individual peaks can be described more compactly.

In Fig. 1, the shoulder on the main lithiation peak was tentatively identified as corresponding to an independent reaction β . To confirm this, GITT tests were carried out. During GITT tests, the equilibrium voltages of materials at specific states of charge can be obtained after long relaxation steps, minimizing the influence of kinetic effects. When the differences in the state of charge Δx between two consecutive steps are kept the same, a small change in the corresponding equilibrium voltage ΔV indicates that a reaction has occurred. Peaks in plots of $1/\Delta V$ vs. V are therefore related to peaks seen in CV curves. However, because relaxation is allowed in GITT tests, kinetic effects that can cause overlap of peaks in CV curves are reduced.

The $1/\Delta V$ vs. voltage curves extracted from GITT tests in a Li/RuO₂ cell are shown in Fig. 2a, and a direct comparison with a CV curve is shown in Fig. 2b. Here it can be clearly seen that the same four delithiation peaks appear in both the GITT and

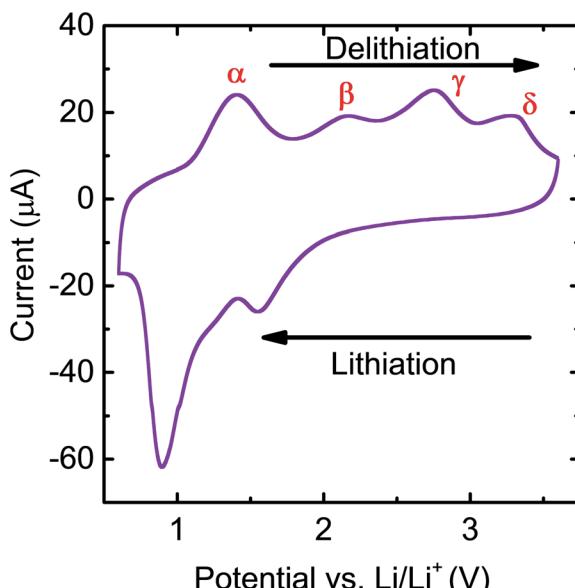


Fig. 1 Cyclic voltammogram for an RuO₂/LiPF₆/Li cell obtained at a scan rate of 0.5 mV s^{-1} between 0.6 V and 3.6 V . The rationale for the peak labels is explained in the main text.

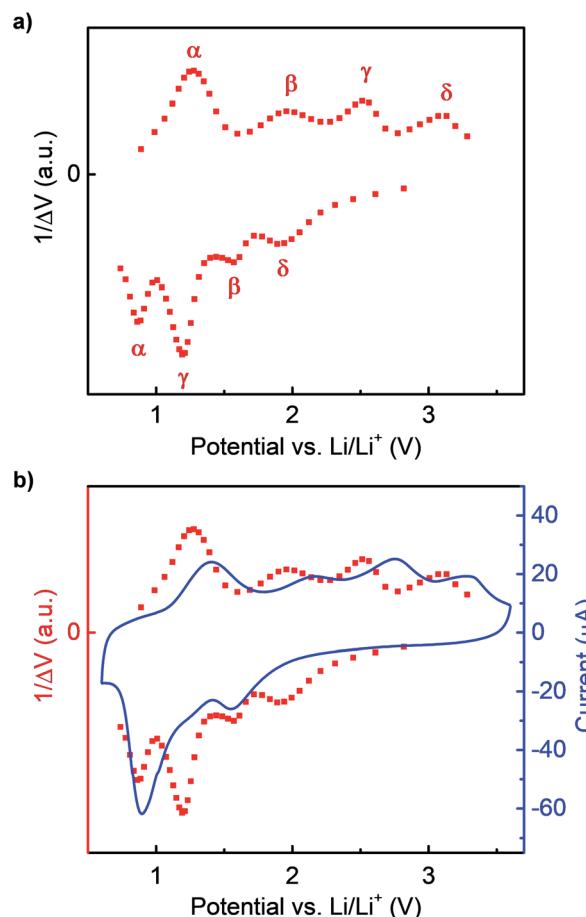


Fig. 2 (a) $1/\Delta V$ vs. voltage curve extracted from GITT tests of a RuO₂/LiPF₆/Li cell. The correspondence in the reactions leading to the delithiation and lithiation peaks, as defined by the labels α , β , γ and δ , is explained in the text. (b) Comparison between the GITT curve and the CV curve obtained at 0.5 mV s^{-1} .

CV plots. However, the GITT results clearly show four peaks during lithiation rather than three in the CV plot, confirming that the shoulder labeled β in the CV plot results from a distinct reaction seen at about 1.6 V in the GITT plot and that the large peak at about 0.8 V in the CV plot is composed of two overlapping peaks that can be clearly distinguished in the GITT plot, at about 0.9 V and 1.3 V .

Having confirmed that the same number of reactions occur during lithiation and delithiation, we now use CV curves to associate the lithiation peaks seen in the lithiation curve with the corresponding peaks in the delithiation curve. We performed CV scans with the same upper cutoff voltage (3.6 V) but with different lower cutoff voltages (Fig. 3a). We also performed CV scans with the same lower cutoff voltage (0.6 V) but with different upper cutoff voltages (Fig. 3b). The results are summarized in Fig. 3a and b, respectively.

As seen in Fig. 3a, when the lower cutoff voltage is 2.0 V (red) and 1.8 V (orange), before the first lithiation peak at around 1.6 V , no obvious delithiation peak exists. When the lower cutoff voltage is further decreased to 1.4 V , which is between the first lithiation peak and the shoulder at around 1.3 V , a delithiation



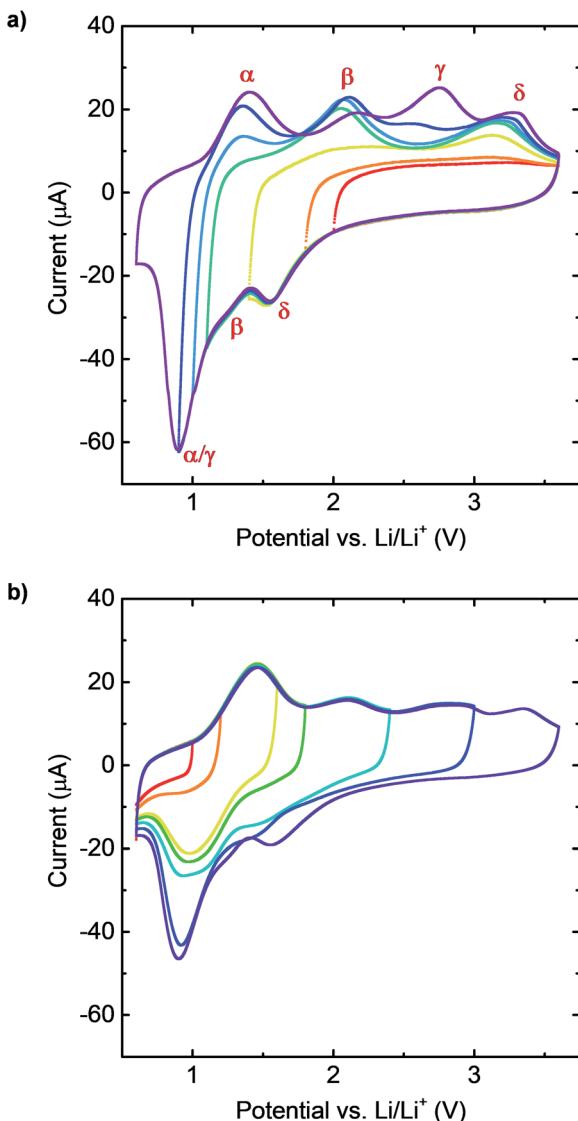


Fig. 3 Cyclic voltammograms of a $\text{RuO}_2/\text{LiPF}_6/\text{Li}$ cell between (a) 3.6 V and different lower cutoff voltages and (b) 0.6 V and different upper cutoff voltages. All scan rates are 0.5 mV s^{-1} . The peak labels shown in (a) are explained in the main text.

peak appears at around 3.2 V. This shows that the lithiation peak at 1.6 V and the delithiation peak at 3.2 V correspond to the same reaction. When the lower cutoff voltage is further decreased to 1.1 V (green), after the shoulder β , a second delithiation peak appears at around 2.0 V, which indicates that these peaks correspond to the same reaction. Finally, when the lower cutoff voltage was decreased to 1.0 V (azure), 0.9 V (blue) and 0.6 V (violet), we observe the appearance of two delithiation peaks at around 1.4 V and around 2.75 V. That two delithiation peaks appear for this range of cutoff voltages is consistent with the fact that the lithiation peak at around 0.8 V in the CV curve was found to correspond to two peaks in the GITT curves.

These relationships are confirmed using CV scans with different upper cutoff voltages, shown in Fig. 3b. The lithiation peak at 0.8 V did not appear until the upper cutoff voltage was

increased to 1.6 V (yellow), and thus this peak was identified as associated with reaction α . The lithiation peak at 1.4 V appeared only after the upper cutoff voltage was increased to 2.4 V (cyan), and thus this peak was identified as associated with reaction β . Then, when the upper cutoff voltage was set at 3.0 V (blue), the intensity of the lithiation peak at 0.8 V increased significantly, indicating that this peak is also associated with reaction γ . Finally, for the fully delithiated sample (purple), the lithiation peak at around 1.6 V appeared, which implies that this peak is associated with reaction δ .

To distinguish between the two overlapping low-voltage lithiation peaks, GITT scans with different lower cutoff voltages were performed, and the corresponding $1/\Delta V$ vs. voltage curves are shown in Fig. S9.† These results show that during lithiation, the reaction corresponding to γ occurs at a higher voltage than that of reaction α .

In summary, using GITT scans and CV scans with different lower cutoff and upper cutoff voltages, we confirmed that the lithiation CV peak at around 1.6 V corresponds to the delithiation peak at around 3.2 V, and the lithiation shoulder at around 1.3 V corresponds to the delithiation peak at around 2.0 V. The lithiation CV peak at around 0.8 V is a combination of two reaction peaks corresponding to the delithiation peaks at 1.4 V and 2.75 V. When the kinetic effects that cause overlapping peaks associated with the α and γ during lithiation were eliminated in GITT experiments, the lithiation reaction corresponding to the delithiation peak at 2.75 V is found to occur at a higher voltage than the reaction corresponding to the delithiation peak at 1.4 V. These relationships between the delithiation and lithiation peaks have been indicated by the labels in Fig. 2a and 3a.

In addition, it can be concluded from GITT cycle curves (Fig. S1†) and the $1/\Delta V$ vs. voltage curve (Fig. 2a) that reaction γ contributed the most to the large voltage hysteresis of RuO_2 during cycling. The hysteresis reached a magnitude of about 1.2 V, even when a relaxation time of 1.5 hours was used between steps.

3.2. Cross sectional transmission electron diffraction

After determining the correspondence between lithiation and delithiation reactions shown in CV curves and deconvolving kinetic effects that lead to overlapping peaks using both GITT and CV experiments, *ex situ* characterizations using SAED, XPS and Raman spectroscopy on cycled thin film RuO_2 samples were carried out. All samples were cycled at 0.5 mV s^{-1} until their cyclic voltammograms became stable. Different samples were then cycled at the same rate and stopped between different reaction peaks during the delithiation process, at the voltages ①, ② and ③ shown in Fig. 4a.

Electron diffraction patterns (EDPs) were obtained from samples that were lithiated to the same lower cutoff voltage 0.6 V and then delithiated to the different voltages indicated in Fig. 4a, 1.8 V, 2.3 V, 3.0 V, and 3.6 V, corresponding to ①, ②, ③, and □, respectively. The radial average intensity functions for each case can be found in Fig. S4.† Diffraction rings that can be indexed to Ru are observed in the fully lithiated sample (■), as



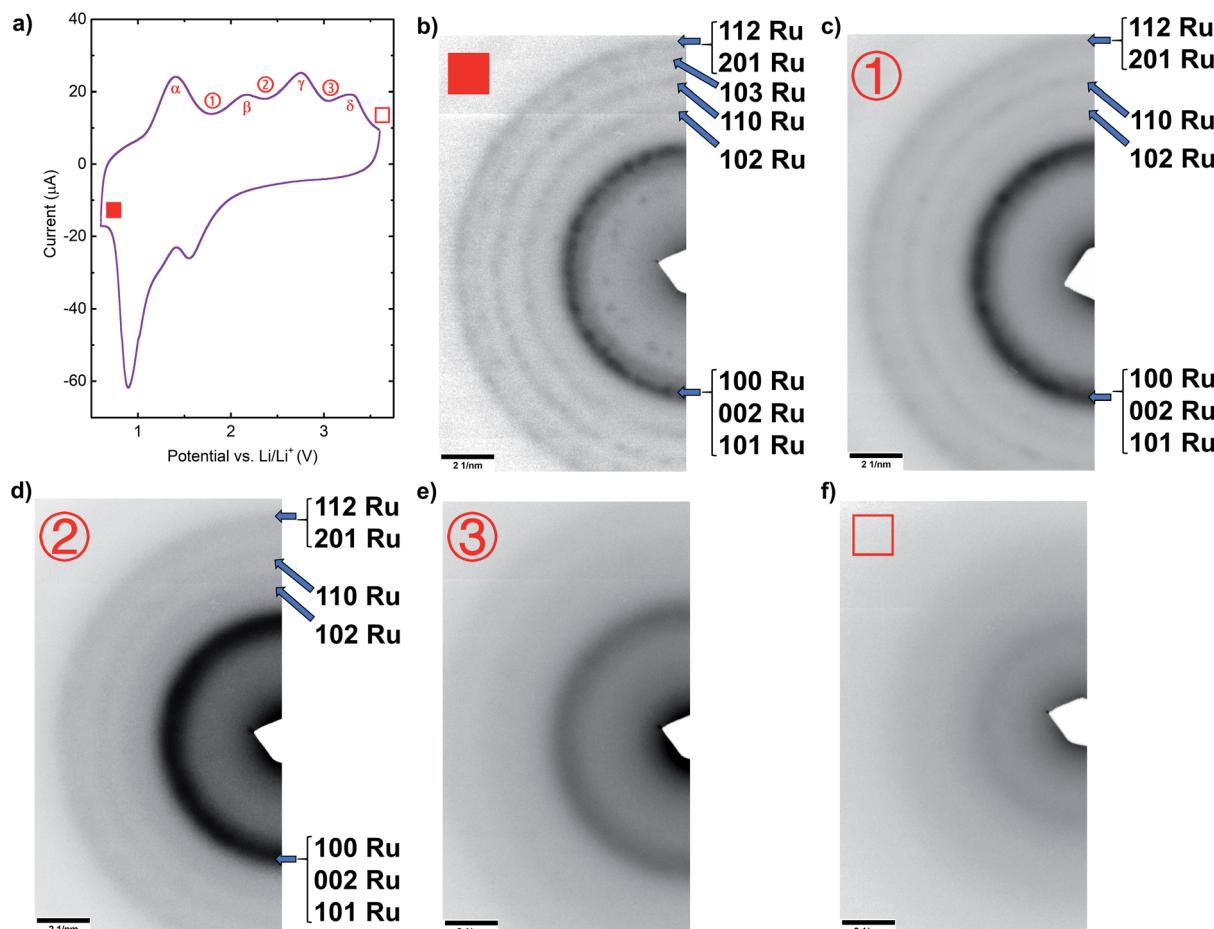


Fig. 4 (a) Labeled CV curve. (b) Electron diffraction pattern (EDP) for a sample that was lithiated to 0.6 V (■), (b–f) EDPs for different samples that were first lithiated to 0.6 V and then delithiated to 1.8 V (1), 2.3 V (2), 3.0 V (3) or 3.6 V (□).

shown in Fig. 4b, and the fully delithiated RuO_2 (□) is amorphous, as indicated by the broad halo seen in Fig. 4f. These results are consistent with previous *in situ* TEM studies.¹⁹ However, the (111) ring for Li_2O was missing for the lithiated sample (■). This could be due to the high reactivity of Li_2O as the fabrication of *ex situ* TEM samples inevitably included exposure to ambient, during which Li_2O was likely to quickly degrade. For the sample delithiated to the intermediate state ①, after the first delithiation reaction α , all Ru rings are still present (Fig. 4c and S4†), though with fewer detectable individual diffraction spots and with more diffuse rings, suggesting that the Ru grain size has decreased.³² After delithiation to state ②, a voltage higher than required for the second delithiation reaction β , Ru rings still exist (Fig. 4d and S4†). Finally, after delithiation to a voltage above the delithiation peak γ , all Ru rings are missing except for a very weak remnant of the Ru (200) ring (Fig. 4e and S4†). These results show that metallic Ru forms in films lithiated to 0.6 V and remains present during delithiation to voltages higher than those of the α and β peaks, and is substantially reduced only at voltages above those associated with the γ reaction. Metallic Ru is presumably consumed in the conversion reaction to form RuO_2 . At a voltage above that

associated with the reaction δ , the fourth delithiation peak, the film is amorphous and is presumably fully converted to RuO_2 .

3.3. X-ray photoelectron spectroscopy

To support our findings from the EDPs, *ex situ* X-ray photoelectron spectra of Ru 3d orbitals for all samples were acquired and are shown in Fig. 5, with curves fitted for a mixture of metallic Ru metal and RuO_2 .³³ At the beginning, when the film was fully lithiated at 0.6 V (Fig. 5a), the spectrum is dominated by metallic Ru, with only a small fraction of the Ru involved in bonding with O. This shows that during lithiation, RuO_2 is converted to Ru metal, presumably through a reaction to form Li_2O . Metallic Ru continues to be the dominant form of Ru through the first and second delithiation peaks (Fig. 5b and c). It is only after the third delithiation reaction γ (Fig. 5d), that RuO_2 begins to dominate. This change suggests that the third delithiation peak γ is associated with the reaction of metallic Ru with Li_2O to form RuO_2 . These findings are consistent with the EDP results. In addition, the RuO_2 /Ru metal ratio after peak δ was slightly higher than that before peak δ , which implies that there is a change of oxidation state during peak δ .



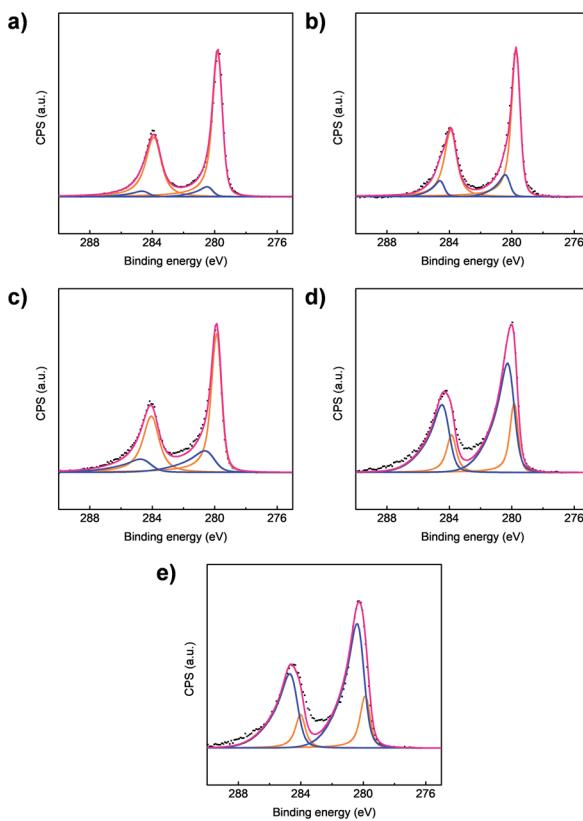


Fig. 5 (a) Ru 3d XPS spectrum for a sample lithiated to 0.6 V (■). (b–e) XPS spectra for samples first lithiated to 0.6 V and then delithiated to 1.8 V (①), 2.3 V (②), 3.0 V (③) or 3.6 V (□) (black dots are experimental data, blue lines are RuO₂ components, orange lines are Ru components, and pink lines are fitted curves).

3.4. Raman spectroscopy

Raman spectroscopy was also carried out to investigate the reactions that occur during delithiation, and by inference, lithiation. Data are shown in Fig. 6a, with the lowest curve corresponding to a sample in the fully lithiated state (0.6 V), and the ascending sequence of curves corresponding to samples that were delithiated to increasing voltages (as indicated in Fig. 4a). A peak is observed at about 240 cm⁻¹ for all samples. The origin of this peak is unclear but it appears to minimally change at different stages of delithiation. This peak was therefore used as a reference peak for comparisons of the intensity of other peaks for samples delithiated to different voltages.

The peak at a Raman shift of around 191 cm⁻¹ is associated with metallic Ru.³⁴ To confirm this, we obtained a separate Raman spectrum for a 10 nm-thick single crystal Ru film, Fig. S5,† for which a very strong peak at 191 cm⁻¹ was seen. To focus on the intensity of this peak as a function of the degree of delithiation, the contribution from the peak at 240 cm⁻¹ was minimized by subtracting the Raman spectrum of a fully delithiated sample (□), in which no metallic Ru exists, from the spectra of samples delithiated to different extents (■, ①, ② and ③). The results between 150 cm⁻¹ and 250 cm⁻¹ are shown in Fig. 6b. As the sputtered RuO₂ films were delithiated, the peak at 191 cm⁻¹ remained sharp after the first delithiation

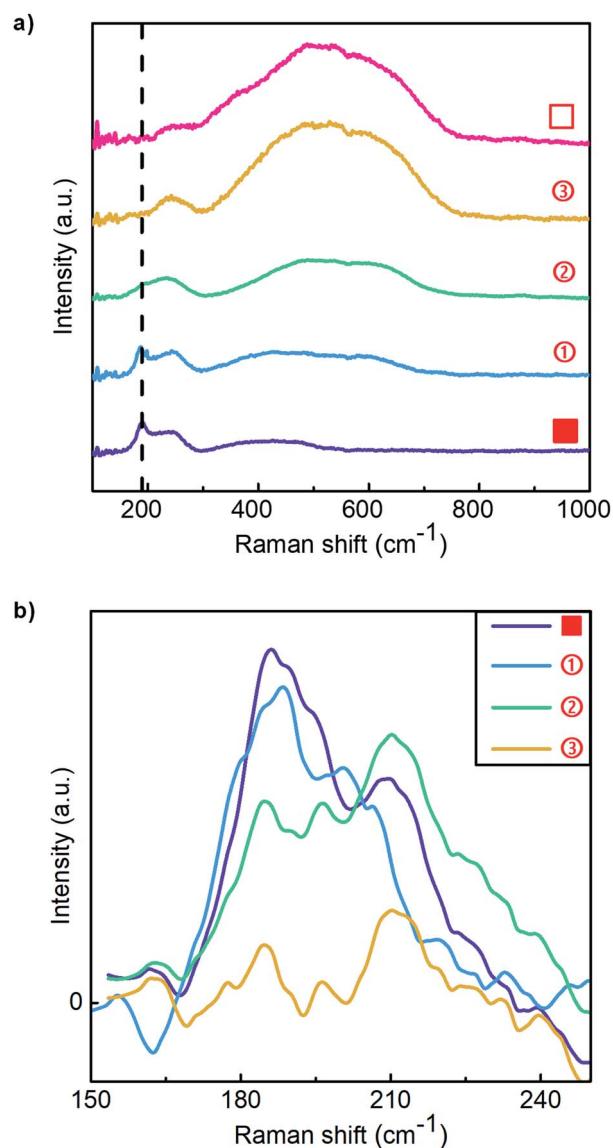


Fig. 6 (a) Raman spectra for samples lithiated to 0.6 V, lower curve, and delithiated to different states (see Fig. 4a). (b) Raman spectra of samples at different lithiated states (■, ①, ② and ③), between 150 cm⁻¹ and 250 cm⁻¹, after subtraction of the Raman spectrum of the fully delithiated sample (□).

peak α (①). This peak became a bit weaker but broader after the second delithiation peak β (②), which indicates that the average grain size of the metallic Ru was smaller.³⁵ After the third delithiation peak γ (③), the Raman peak at about 191 cm⁻¹ mostly disappears, showing that the amount of metallic Ru decreased significantly during the reaction γ .

As the degree of delithiation is increased, a collection of peaks develop between 400 cm⁻¹ and 700 cm⁻¹, which are thought to be associated with amorphous RuO₂,³⁶ as the Raman spectrum of single crystal RuO₂ consists of three peaks at 528, 646 and 716 cm⁻¹. The relative intensity of these Raman peaks increased most significantly in association with CV peak γ (going from ② to ③), which suggestss that the amount of RuO₂ significantly increases during this reaction. These findings are

consistent with the conclusions drawn based on EDP and XPS results.

3.5. Surface morphology and chemistry

In addition to the above characterizations, SEM and optical microscope images were captured and are shown in the ESI section as Fig. S6 and S7.† The surface of the electrode was observed to be covered by a layer before the delithiation peak β (for samples ■ and ①) but this layer was mostly removed after peak β (for samples ②, ③ and □). These results suggest that during delithiation, reaction β involves the decomposition of a surface layer.

Ex situ energy dispersive X-ray spectroscopy (EDS) analysis also supports this hypothesis. Atomic percentages calculated using spectra collected from surfaces of the samples are listed in Table 1 (carbon not included). Before reaction β (samples ■ and ①), a large amount of F was found on the surface. After reaction β (samples ②, ③ and □), the concentration of F decreased substantially. F cannot originate from the pristine RuO₂ films, ambient air or moisture, and any residual salt on the sample surface was rinsed off using solvent before observations were made. However, F is commonly found within SEI films for electrolytes containing LiPF₆.^{15,41,42} These results suggest that the decomposed surface layer was an SEI layer on RuO₂.

3.6. *In situ* EIS

To further test this hypothesis, *in situ* EIS measurements were carried out and the results are summarized in Fig. 7. All the EIS measurements were carried out on freshly deposited thin-film RuO₂ samples after ten CV cycles. From Fig. 7, it is seen that before the second delithiation peak β (samples ■ and ①), an extra arc exists in the high-frequency range (>50 Hz, small Re(Z)). This arc disappeared after peak β , (samples ②, ③ and □).

This also suggests that the second delithiation peak β is related to the decomposition of the observed surface layer. As previous studies have revealed that a high-frequency (>30 Hz) arc is often associated with SEI layers on the surfaces of other electrode materials,^{37–40} this *in situ* result further supports the hypothesis that the delithiation peak β can be attributed to the decomposition of a surface layer.

3.7. PITT tests

PITT tests were performed within the voltage range of the conversion reaction γ (2–3 V) to study its kinetic behavior. Part

Table 1 Atomic percentages of different elements on the electrode surfaces calculated from EDS spectra

Sample	Ru	O	F	P
■	10.8	34.8	41.1	2.1
①	17.8	15.1	59.8	1.9
②	30.8	47.2	15.6	0
③	27.2	72.8	0	0
□	23.3	66.00	4.8	0

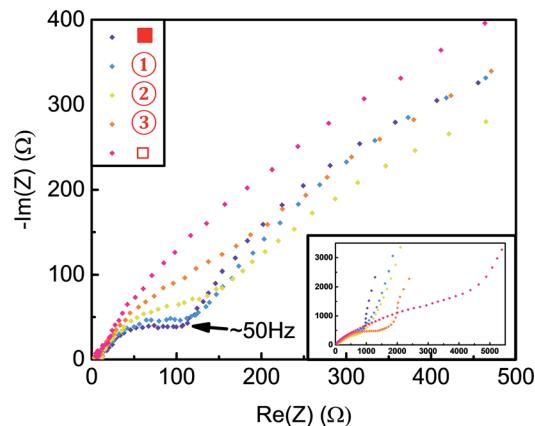


Fig. 7 Nyquist plots of the potentiostatic impedance of different delithiated samples. The inset shows the entire dataset.

of the PITT data is shown in Fig. 8 and the complete data from 2 V to 2.95 V can be found in Fig. S10.† The black curves show the current as a function of time after each voltage step, and the blue lines show the applied voltages during each step. As can be seen in Fig. 8, the current during each step decreases monotonically without a local maximum. This not only applies to the data shown in the figure, but also to data collected for various step sizes, holding times and voltage ranges.

PITT data can be used to distinguish between diffusion-limited reactions and phase-transition-limited reactions.^{43–45} When the reaction is diffusion-limited, the current decays monotonically during each voltage step. In contrast, when the reaction is phase-transition-limited, the current first decays, then increases and reaches a maximum, and finally decays again.

The monotonic decay observed during PITT measurements of RuO₂ in the voltage range associated with the CV peak for γ suggests that the conversion reaction is diffusion-limited, not limited by the phase-transition. To characterize the length scale over which these diffusive processes occurred, CV scans of samples with different thicknesses were also performed

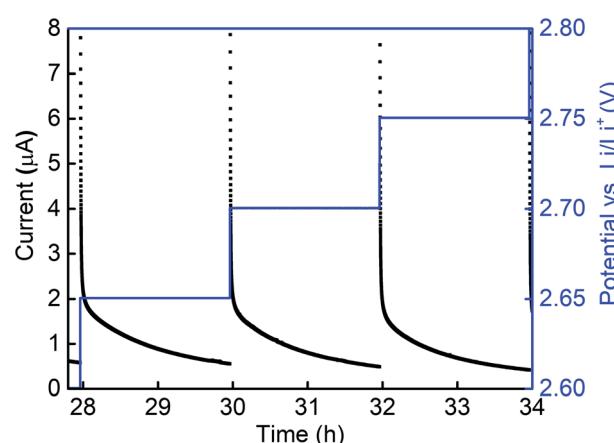


Fig. 8 Current and voltage as a function of time during PITT test of a RuO₂/LiPF₆/Li cell. The steps shown are 2.65 V, 2.70 V and 2.75 V.



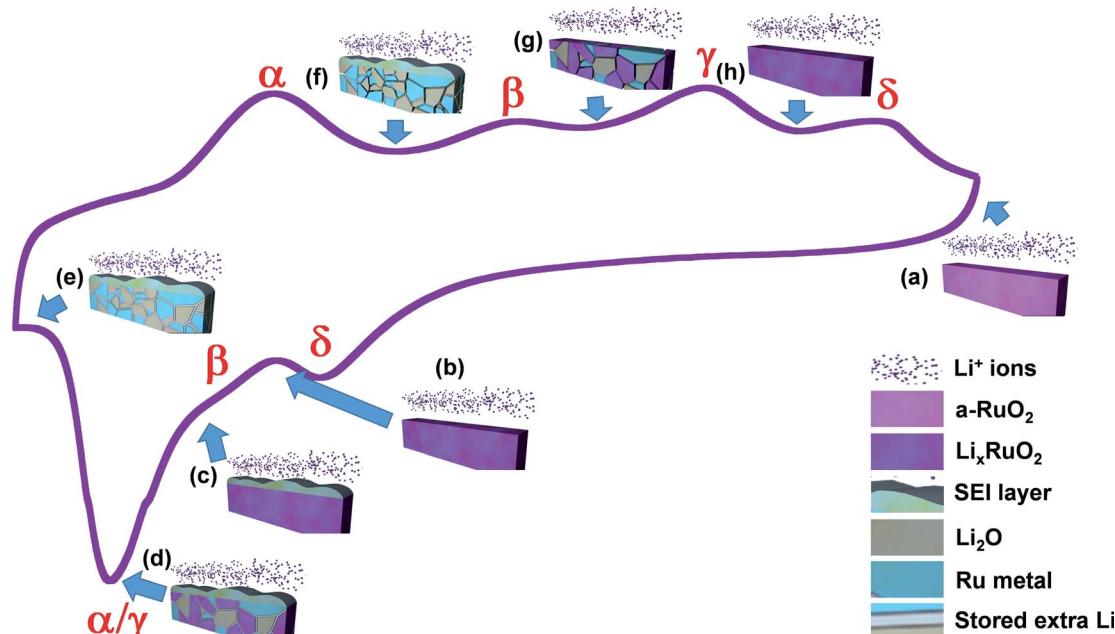
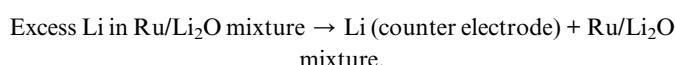


Fig. 9 Phase evolution and corresponding reactions during cyclic lithiation and delithiation of RuO_2 films. (a) Amorphized RuO_2 after multiple initial cycles. (b) After the first lithiation reaction δ , transition to a new Li_xRuO_2 phase has occurred. (c) During the second lithiation reaction β , an SEI layer forms on the surface. (d) During further lithiation, the conversion reaction γ takes place and excess Li is stored between nanosized particles, α . (e) Fully lithiated $\text{Li}/\text{Ru}/\text{Li}_2\text{O}$ film. (f) During the first delithiation reaction α , inter-cluster Li has been removed. (g) After the second delithiation reaction β , the SEI layer on the surface has disappeared. (h) After the third delithiation reaction γ , the film has converted back to Li_xRuO_2 . (a) After the fourth delithiation peak δ , Li was removed from Li_xRuO_2 to form amorphous RuO_2 .

(Fig. S3†). The positions of all four lithiation and delithiation peaks did not shift significantly even when the thickness was doubled, suggesting that the rate-limiting diffusive processes operate at length-scales that are small compared to the film thickness, and that they occur uniformly through the film thickness.

3.8. Discussion

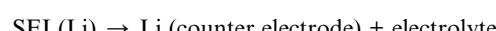
During delithiation, reaction α happens first. Ru metal was found both before and after this reaction. Previous studies of the reactions that occurred during the first lithiation of pristine crystalline RuO_2 have indicated that during the late stages of lithiation, excess Li can be stored between nanosized Ru and Li_2O phases.^{21,23,24} Thus, we propose that reaction α is:



This is consistent with the smaller overpotential shown in the CV curve, as the desorption of Li doesn't involve chemical reactions and is kinetically easier.

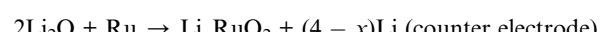
The nature of the second delithiation reaction β is not as clear as that of the other reactions. As discussed above, *ex situ* microscopy shows clear evidence of a surface layer when films are lithiated to voltages below the β peak, and that this layer is not present on films that have been delithiated beyond the β peak. This surface layer might be the result of air exposure.

However, *in situ* EIS measurements also show evidence for reversible formation of a surface layer in the voltage ranges associated with the β reaction. Also, EDS analysis of the layers shows a high level of F. These results suggest that reaction β might be associated with reversible formation of an SEI layer. If this is the case, reaction β would be:



This is consistent with the GITT/CV results, in which the sequence of lithiation reactions ($\alpha \rightarrow \gamma \rightarrow \beta \rightarrow \delta$) is different from the sequence of delithiation reactions ($\alpha \rightarrow \beta \rightarrow \gamma \rightarrow \delta$), which implies that the delithiation reaction β was independent of the other reactions.

As Ru metal was present before the third delithiation reaction γ but not after, we propose that the reaction corresponding to this peak involves the oxidation of Ru metal:

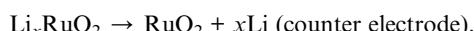


This can also account for the fact that reaction α follows reaction γ during lithiation, as the small clusters of Ru and Li_2O only form when the conversion reaction γ happens, during which excess Li can diffuse and reside between these phases.

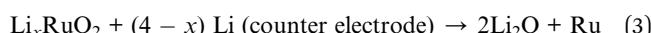
Finally, given earlier studies of shallow lithiation of powder-based amorphous RuO_2 ,^{17,18} we suggest that the change in oxidation state indicated by the slight shift of Ru 3d peaks in



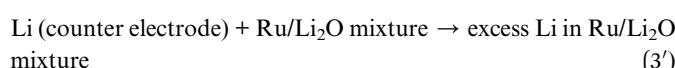
XPS spectra is associated with reaction peak δ . That is, Li alloys with amorphous RuO₂ and forms an amorphous Li_xRuO₂ phase, so that the reaction leading to the fourth delithiation peak δ would be:



Given the correspondence between lithiation and delithiation reactions that was determined from CV/GITT tests, the sequence of reactions during lithiation (from high to low voltages) would therefore be:



and (3) is followed by,



The proposed sequence for phase evolution during both lithiation and delithiation of RuO₂ is summarized in Fig. 9.

By determining the reactions that occur during lithiation and delithiation of amorphous RuO₂ thin films, it is concluded that the large voltage hysteresis of the conversion reaction contributes the most to the overall large voltage hysteresis, which significantly reduces the cycling energy efficiency. The conversion reaction during delithiation involves three kinetic sub-steps: nucleation of a new phase, Li_xRuO₂, at the interfaces between Ru and Li₂O clusters, local diffusion of O or Ru during growth of the new phase, and long-range diffusion of Li through the thin film. PITT results suggest that the nucleation and growth of the new phase is not the rate-limiting step. Moreover, the CV curves of RuO₂ samples with different thicknesses (Fig. S3†) show no significant shift of reaction peak γ , although the thickness was more than doubled (from 160 nm to 400 nm). This implies that long-range diffusion of Li through the film is not the cause of the large voltage hysteresis. Consequently, the large voltage hysteresis of the conversion reaction is most likely due to local diffusion of O or Ru atoms.

4. Conclusions

Using a range of electrochemical and structural characterizations of multiply-cycled RuO₂ films, we show that four reactions occur during lithiation: formation of amorphous Li_xRuO₂ by insertion of Li into amorphous RuO₂, formation of an SEI layer, a conversion reaction to form Li₂O and Ru metal from Li_xRuO₂, and insertion of metallic Li between nanosized Ru and Li₂O particles. The same four reactions occur during delithiation, but not simply in reverse order. First Li is removed from between Ru and Li₂O particles, the SEI layer that was formed during lithiation then reversibly dissociates, Ru and Li₂O are

then converted to Li_xRuO₂ and Li is removed from Li_xRuO₂ to form RuO₂.

The largest portion of the Li capacity of RuO₂ electrodes is associated with the conversion reaction, and the potentials at which Li₂O and Ru form from Li_xRuO₂ during lithiation and at which the reverse reaction occurs during delithiation are separated by about 1.2 V in galvanostatic intermittent titrations. This leads to a hysteretic effect that causes poor cycling efficiency for RuO₂, and by analogy, likely for other conversion electrode materials. We suggest that the high overpotentials required for these reactions are due to kinetic limitations associated with short range diffusion.

The methodologies used here for analysis of reactions that lead to high capacities in thin film electrodes, especially conversion-reaction electrodes, provide useful complements to analyses of powder-based materials. These studies also provide an important background for ongoing efforts to improve performance of RuO₂ electrodes for thin film batteries.

Conflicts of interest

There are no conflicts to declare.

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