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The formyloxy radical: electrophilicity, C–H bond activation and anti-Markovnikov selectivity in the oxidation of aliphatic alkenes†

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In the past the formyloxy radical, HC(O)O^{\cdot} , had only been rarely experimentally observed, and those studies were theoretical-spectroscopic in the context of electronic structure. The absence of a convenient method for the preparation of the formyloxy radical has precluded investigations into its reactivity towards organic substrates. Very recently, we discovered that HC(O)O^{\cdot} is formed in the anodic electrochemical oxidation of formic acid/lithium formate. Using a $[\text{Co}^{\text{III}}\text{W}_{12}\text{O}_{40}]^{5-}$ polyanion catalyst, this led to the formation of phenyl formate from benzene. Here, we present our studies into the reactivity of electrochemically *in situ* generated HC(O)O^{\cdot} with organic substrates. Reactions with benzene and a selection of substituted derivatives showed that HC(O)O^{\cdot} is mildly electrophilic according to both experimentally and computationally derived Hammett linear free energy relationships. The reactions of HC(O)O^{\cdot} with terminal alkenes significantly favor anti-Markovnikov oxidations yielding the corresponding aldehyde as the major product as well as further oxidation products. Analysis of plausible reaction pathways using 1-hexene as a representative substrate favored the likelihood of hydrogen abstraction from the allylic C–H bond forming a hexallyl radical followed by strongly preferred further attack of a second HC(O)O^{\cdot} radical at the C1 position. Further oxidation products are surmised to be mostly a result of two consecutive addition reactions of HC(O)O^{\cdot} to the C=C double bond. An outer-sphere electron transfer between the formyloxy radical donor and the $[\text{Co}^{\text{III}}\text{W}_{12}\text{O}_{40}]^{5-}$ polyanion acceptor forming a donor–acceptor $[\text{D}^+–\text{A}^-]$ complex is proposed to induce the observed anti-Markovnikov selectivity. Finally, the overall reactivity of HC(O)O^{\cdot} towards hydrogen abstraction was evaluated using additional substrates. Alkanes were only slightly reactive, while the reactions of alkylarenes showed that aromatic substitution on the ring competes with C–H bond activation at the benzylic position. C–H bonds with bond dissociation energies (BDE) ≤ 85 kcal mol $^{-1}$ are easily attacked by HC(O)O^{\cdot} and reactivity appears to be significant for C–H bonds with a BDE of up to 90 kcal mol $^{-1}$. In summary, this research identifies the reactivity of HC(O)O^{\cdot} towards radical electrophilic substitution of arenes, anti-Markovnikov type oxidation of terminal alkenes, and indirectly defines the activity of HC(O)O^{\cdot} towards C–H bond activation.

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Introduction

The formation of the formyloxy radical, HC(O)O^{\cdot} , was likely first hypothesized in 1952 as an intermediate in the reaction between a hydroxyl radical and formic acid using ionizing radiation.¹ Sometime later in 1960, it was suggested as an intermediate in the electrochemical reduction of CO_2 on a dropping mercury electrode.² The formyloxy radical was first convincingly identified by analysis of the fluorescence cross

sections of the photoexcitation of formic acid in the vacuum-UV region using synchrotron radiation or a pulsed discharge lamp as the light source.³ This research was subsequently revisited in combined experimental–theoretical studies.⁴ The more recent literature related to the formyloxy radical involves theory and electronic structure^{4,5} and its identification as an intermediate in the reduction of CO_2 to formic acid and the reverse oxidation of formic acid.⁶ It is notable that acyloxy radicals typically decarboxylate very quickly with lifetimes of typically less than 1 nanosecond;⁷ however, it has been inferred that the lifetime of the formyloxy radical is probably longer due to a somewhat less viable decarboxylation reaction.⁸ Overall, perusal of the literature reveals that there has been no convenient method to prepare the formyloxy radical and as a corollary there have not been any in-depth reactivity studies with organic substrates.

Recently, we identified the oxygen-centered formyloxy radical by EPR spectroscopy as an intermediate in the

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electrochemical oxidation of formic acid/lithium formate on a Pt anode, by forming a spin adduct with a nitrene trap.⁹ Furthermore, in the presence of the $[\text{Co}^{\text{III}}\text{W}_{12}\text{O}_{40}]^{5-}$ polyanion as a catalyst, efficient formation of phenyl formate from benzene was observed.⁹ Presumably the polyanion “stabilizes” the formyloxy radical by forming an adduct.⁹ The ability to conveniently prepare the formyloxy radical by a rather simple electrochemical method, combined with the observed reactivity in the oxidation of some arenes to the corresponding arylformates now sets the stage for a broader investigation into the reactivity of the formyloxy radical with organic substrates designed to describe its philicity, reactivity toward double bonds and propensity for C–H bond activation – all for the first time. The results show that (i) the formyloxy radical is mildly electrophilic in substitution reactions with arene substrates. (ii) Reactions with terminal alkenes mainly resulted in the formation anti-Markovnikov oxidative addition products. Such reactions have been an important objective, previously attainable mainly by manipulation of Wacker–Tsuji palladium catalyzed systems¹⁰ and by directed evolution of cytochrome P450 monooxygenase enzymes.¹¹ (iii) The formyloxy radical is active in hydrogen abstraction reactions only for rather weak benzylic and allylic C–H bonds and shows only slight reactivity toward the C–H bonds in alkanes.

Results and discussion

The formyloxy radical was electrochemically generated by a one-electron oxidation of a formate ion adsorbed on a Pt anode in a $\text{HCOOH}/\text{LiOOCH}$ solution catalyzed by $\text{K}_5[\text{CoW}_{12}\text{O}_{40}]$. Hydrogen gas is formed at the cathode. Typical reaction conditions involved dissolving 10 μmol $\text{K}_5\text{Co}(\text{m})\text{W}_{12}\text{O}_{40}$, 1 mmol substrate, and 0.5 mmol LiOOCH in 3 mL HCOOH . Reactions were carried out in an undivided cell configuration at a potential of 1.8 V *versus* SHE using a Pt gauze anode, a Pt wire cathode and a Pt reference electrode at room temperature. Under the same conditions but without an applied electrochemical potential, no reaction of any substrates was observed. $\text{HC}(\text{O})\text{O}^{\cdot}$ was previously shown to react with arenes to yield the corresponding phenyl formates *via* a proposed cyclohexadienyl intermediate.⁹ An alternative possibility of a substrate electrochemical oxidation followed a nucleophilic substitution reaction was discounted since no reactions occur in acetic acid/acetate. It is now possible to estimate the philicity of $\text{HC}(\text{O})\text{O}^{\cdot}$ using a Hammett linear free energy relationship (LFER), $\log k_{\text{X}}/k_{\text{H}} = \rho\sigma$. Since these are electrochemical reactions, the reaction rate was measured as a function of the charge transferred (Q) rather than reaction time. Extrinsic factors such as the distance between the electrodes and their positioning in the cell vary somewhat from experiment to experiment and affect the current. The precision of measurements based on time was limited but rate kinetics as a function of Q gave very good precision and repeatability.

The reaction of benzene is hypothesized to follow a pseudo-first-order rate law because $\text{HC}(\text{O})\text{O}^{\cdot}$ is continuously generated on the anode and its concentration can be considered constant

during the reaction. This gives the rate law in eqn (1), which can be plotted as shown in eqn (2).

$$d[\text{PhO}(\text{O})\text{CH}]/dQ = k_{\text{obs}}[\text{HC}(\text{O})\text{O}^{\cdot}]^0[\text{PhH}]^1 \quad (1)$$

$$\ln(X) = k_{\text{obs}}Q \quad (2)$$

where X is the mol% $\text{PhO}(\text{O})\text{CH}$; $\text{PhO}(\text{O})\text{CH}$ is the only product formed.

Fig. 1 indeed shows a good pseudo-first-order behavior with a measured value of $k_{\text{obs}} = 0.28 \text{ (mA h)}^{-1}$ with $r^2 = 0.96$. Note that there is a lag (almost no reaction of benzene with $\text{HC}(\text{O})\text{O}^{\cdot}$) up to 4 mA h at the beginning of reaction, associated with the charge needed to attain a low but steady-state concentration of $\text{HC}(\text{O})\text{O}^{\cdot}$.

The rate constants for substituted arenes, PhX ($\text{X} = \text{tert-Bu, F, Cl and Br}$), are best determined by competitive reactions of equimolar mixtures of PhX and PhH . For electron donating substituents such as OMe and Me the reactions were not selective (see also below for reactions at benzylic C–H bonds) while for electron withdrawing substituents such as CF_3 and NO_2 the reactions were too slow to obtain accurate results. Thus, the rate constants were derived from the ratio of the two products, $\text{PhO}(\text{O})\text{CH}/\text{XPhO}(\text{O})\text{CH}$, eqn (3).

$$\ln[\text{PhO}(\text{O})\text{CH}]_Q/[\text{XPhO}(\text{O})\text{CH}]_Q = -(k_{\text{Hobs}} - k_{\text{Xobs}})Q \quad (3)$$

The data are presented in Fig. S1–S4,[†] and the resulting Hammett plot that was obtained from the measured $\log(k_{\text{Xobs}}/k_{\text{Hobs}})$ values and $\sigma_{\text{para}}^{12}$ gives $\rho = -1.5$ ($r^2 = 0.96$) as shown in Fig. 2. The kinetic results and ρ value obtained show that $\text{HC}(\text{O})\text{O}^{\cdot}$ is electrophilic. This is expected based on the general classification of radicals as being electrophilic if the radical is centered on an atom (here oxygen) that is more electrophilic than carbon, as can be seen from the computed spin density shown in Fig. 3. A single literature example of the formation of aryl esters from $\text{RC}(\text{O})\text{O}^{\cdot}$ radicals showed that electrophilicity of three $\text{ArC}(\text{O})\text{O}^{\cdot}$ radicals, measured in a similar manner, yielded similar negative ρ values.¹³

The presumptive reaction mechanism (Scheme 1) was evaluated computationally using density functional theory (at the SMD(HCOOH)-xrev-DSD-PBEP86-D4/ma-def2-QZVPP//

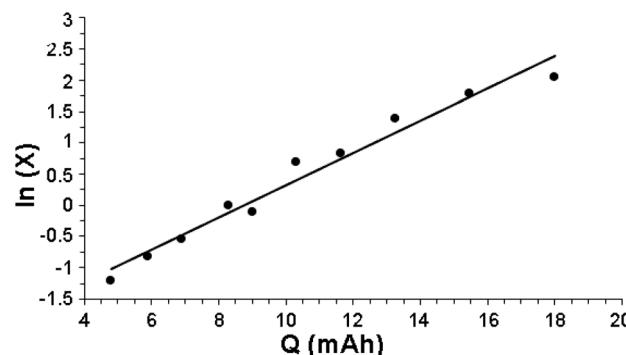


Fig. 1 Pseudo-first-order kinetics for the reaction of benzene with the formyloxy radical.



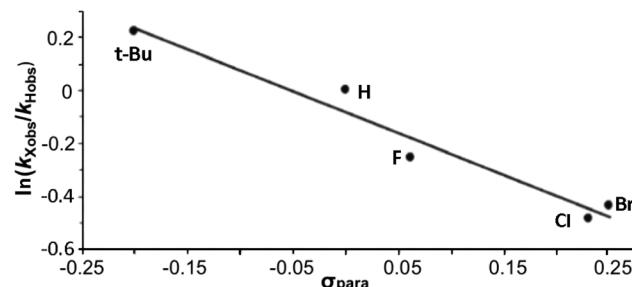


Fig. 2 Hammett plot for the reaction of PhX with the formyloxy radical.

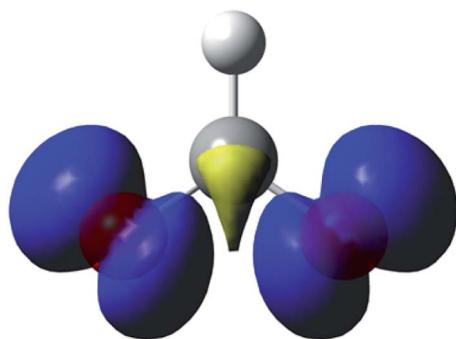
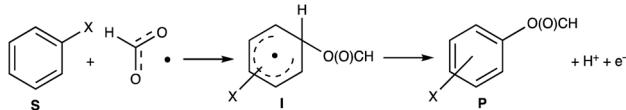


Fig. 3 Spin density on the formyloxy radical (0.004 a.u. isosurface).



Scheme 1 Proposed reaction pathway for reaction of arenes with the formyloxy radical.

SMD(HCOOH)-PW6B95_{D3BJ}/def2-SVP level of theory, see Computational Details section). Overall the calculations show a low barrier for the radical substitution reaction, which is very

Table 1 Calculated reaction energies and barrier heights (in kcal mol⁻¹) of the reaction of the formyloxy radicals with arenes. SMD(HCOOH)-xrev-DSD-PBEP86-D4/ma-def2-QZVPP//SMD(HCOOH)-PW6B95_{D3BJ}/def2-SVP level of theory

$\text{S} + \text{HCOO}^\bullet \rightarrow \text{TS}, \Delta G_{298}^\ddagger$			$\text{S} + \text{HCOO}^\bullet \rightarrow \text{I}, \Delta G_{298}$	$\text{I} \rightarrow \text{P} + \frac{1}{2}\text{H}_2, \Delta G_{298}$
H	—	2.2		
F	<i>o</i>	4.5	-5.1	-39.2
	<i>m</i>	5.0	-3.7	-34.7
	<i>p</i>	2.6	-3.7	-37.2
	<i>o</i>	4.8	-3.4	-37.2
Cl	<i>m</i>	5.9	-4.9	-35.7
	<i>p</i>	4.1	-2.8	-36.9
	<i>o</i>	4.6	-4.0	-36.6
Br	<i>m</i>	5.7	-4.1	-36.2
	<i>p</i>	4.3	-3.4	-37.2
	<i>o</i>	4.6	-4.3	-37.1
'Bu	<i>m</i>	9.0	-3.2	-36.2
	<i>p</i>	2.6	-4.1	-37.8
	<i>o</i>	1.5	-4.3	-37.8

exergonic, Table 1. Based on a substitution reaction at the *para* position (lowest barriers), the calculated $\log(\Delta G_{\text{X},298}^\ddagger / \Delta G_{\text{H},298}^\ddagger)$ values plotted versus σ_{para} yield $\rho = -1.02$ ($r^2 = 0.99$), Fig. S5.† There is a very good correlation between the experimental and calculated results supporting the conclusion that the formyloxy radical is electrophilic, and as such the reactivity is dominated by polar effects following the Bronsted–Evans–Polanyi formalism. It should be noted that arene oxyesterifications as well as alkene dihydroxylations were also reported using substituted malonyl peroxides in acidic, hydrogen-bonding perfluoro alcohols as solvents where different electrophilic ionic mechanisms have been proposed.¹⁵

The reaction of alkenes, and especially terminal alkenes, with the formyloxy radical is also of significant interest. Thus, reactions with 1-hexene, 1-heptene and 1-octene as representative substrates yielded mixtures of products as shown in Chart 1. Three classes of products were identified. The major products (~60–80%) are the linear aldehydes (**1-3a**) with the same number of carbon atoms and the primary allylic alcohols (**1-3b**). Both products are formally the result of an anti-Markovnikov

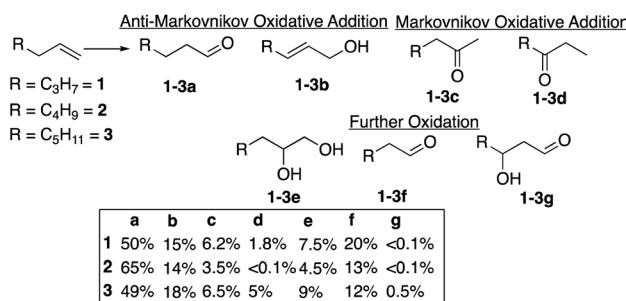


Chart 1 Oxidation of terminal alkenes with formyloxy radicals. Reaction conditions: 1 mmol 1-alkene, 3 mL 1 : 1 HCOOH : CH₃CN, 70 mg LiOCOOH, 35 mg K₅Co^{III}W₁₂O₄₀. Working electrode – Pt net, reference electrode – Pt wire, counter electrode Pt; 1.8 V vs. SHE in an undivided cell; $t = 1.5$ h; RT. Product analyses were carried out as described in the Experimental section. Small amounts of the allylic formate esters were identified as unhydrolyzed precursors of the allylic alcohols **1-3b**.

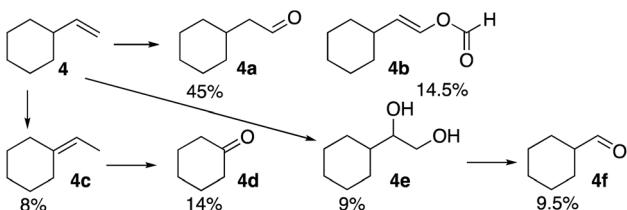


oxidative addition reaction. It is probable that the initial products are allylic formate esters that undergo fast hydrolysis¹⁴ to yield the allylic alcohol, which are isomerized to yield the linear aldehyde. The second set of products (20–25%) are the result of the further oxidation of an initially formed, unobserved intermediate to yield the terminal vicinal diol (**1-3e**) that can then be oxidatively cleaved to yield the corresponding linear aldehyde with one less carbon atom (**1-3f**). The third set of minor products are ketones resulting from overall Markovnikov oxidative addition to the terminal alkene. The overall anti-Markovnikov oxidative addition selectivity is quite striking, typically $\sim 10 : 1$.

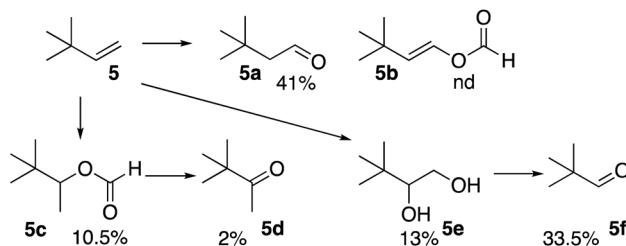
Using 1-hexene as a standard substrate, the effects of variations in reaction temperature, potential and addition of water were examined. Reaction carried out between -10°C and 22°C showed little influence of the temperature on the overall yield and the ratio between the products, Table S1.[†] On the other hand, increasing the potential, maintained the ratio of the anti-Markovnikov/Markovnikov oxidation products but showed a clear inhibition of the formation of further oxidation products, Table S2.[†] Especially notable is the strong proportional decrease in the amount of 1,2-hexanediol formed going from 1.4 V to 2.0 V *versus* SHE. The addition of water combined with a slight increase in temperature to 40°C had a similar effect on the reaction selectivity vis-à-vis the influence on the formation of 1,2-hexanediol, Table S3.[†]

The formation of a ketone at the 3-position of the linear alkenes is unusual. Therefore, it was of interest to observe product distribution in reactions of β -substituted terminal alkenes with tertiary and quaternary carbon centers where such ketone formation is not possible. The reaction of the formyloxy radical with vinylcyclohexane (**4**), Scheme 2, yielded anti-Markovnikov oxidative addition products, **4a** and **4b**, as well as the further oxidation products, the vicinal diol (**4e**) and the C–C bond cleavage product (**4f**). Interestingly, no oxidation products were formed by a Markovnikov oxidative addition, rather double bond isomerization yielded some ethylenecyclohexane (**4c**) and then cyclohexanone (**4d**).

The reaction of the formyloxy radical with 3,3-dimethyl-1-butene (**5**), Scheme 3, also yielded the anti-Markovnikov oxidative addition product **5a** as well as the further oxidation products, the vicinal diol (**5e**) and the C–C bond cleavage product (**5f**). Here, the formation of a radical on the quaternary



Scheme 2 Oxidation of vinylcyclohexane. Reaction conditions: 1 mmol 1-vinylcyclohexene, 3 mL 1 : 1 HCOOH : CH₃CN, 70 mg LiOCOH, 35 mg K₅Co^{III}W₁₂O₄₀. Working electrode – Pt net, reference electrode – Pt wire, counter electrode Pt; 1.8 V *versus* SHE in an undivided cell; *t* = 1.5 h; RT. Product analyses were carried out as described in the Experimental section.

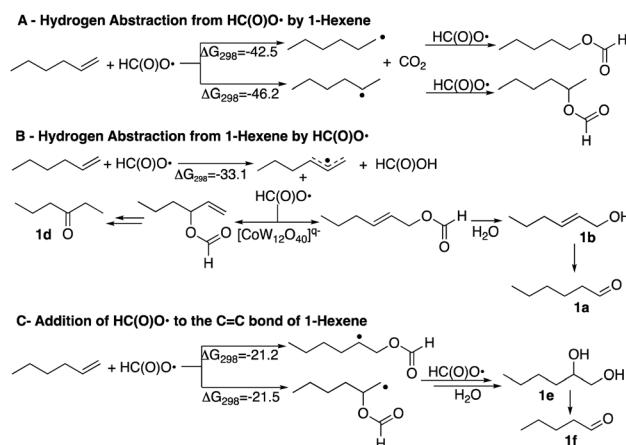


Scheme 3 Oxidation of 3,3-dimethyl-1-butene. Reaction conditions: 1 mmol 3,3-dimethyl-1-butene, 3 mL 1 : 1 HCOOH : CH₃CN, 70 mg LiOCOH, 35 mg K₅Co^{III}W₁₂O₄₀. Working electrode – Pt net, reference electrode – Pt wire, counter electrode Pt; 1.8 V *versus* SHE in an undivided cell; *t* = 1.5 h; RT. Product analyses were carried out as described in the Experimental section. nd-not detected.

carbon is not possible. Only, a minor amount of oxidative Markovnikov products, **5c** and **5d** were formed.

The reaction that most resembles that of the presently reported reaction of the formyloxy radical with alkenes is the well-known Kharasch–Sosnovsky reaction. This is a copper-catalyzed oxidation of alkenes with peresters that, however, yields allylic oxidation products with preferred substitution of terminal alkenes at the C3 rather than C1 position.¹⁶ It is generally thought that the mechanism of the Kharasch–Sosnovsky reaction, using RC(O)OO[·]Bu as an oxidant, is cleavage of the O–O peroxide bond leading to formation of the *tert*-butoxy radical and Cu^{II}O(O)CR.^{16b} The radical then reacts with the alkene to form an allyl radical that then reacts with the Cu^{II}O(O)CR to give the allylic ester product. Although the reaction of the allyl radical with Cu^{II}O(O)CR was originally suggested to take place either *via* an allyl cation^{16c} or is ligand controlled,¹⁷ it would appear that a pericyclic mechanism best explains the observed reactivity that includes double bond isomerization.¹⁸

It is therefore of interest to survey possible reaction pathways to explain the reaction selectivity that was observed using 1-hexene as a representative substrate. Three pathways for the initial reaction of HC(O)O[·] with 1-hexene were considered,



Scheme 4 Reaction pathways for the reaction of 1-hexene.

Scheme 4. DFT calculations show that hydrogen abstraction from the formyloxy radical by 1-hexene (**A**) is the most exergonic of all the pathways considered. As would be expected the 2-hexyl radical was calculated to be more stable than the 1-hexyl radical by $\Delta G_{298} = -3.7$ kcal mol⁻¹. Further radical-radical heterocoupling reactions would yield 2-hexylformate and 1-hexylformate in very exergonic reactions (~ -84 kcal mol⁻¹). 2-Hexylformate and 1-hexylformate could conceivably react with additional formyloxy radicals to yield the corresponding alcohols and carbonyl products by a subsequent hydrolysis/oxidation sequence. However, (i) neither 2-hexylformate and 1-hexylformate nor their hydrolysis products 2-hexanol and 1-hexanol were observed as products, (ii) the C1 experimentally observed selectivity is not verified by the relative stabilities of the hexyl radicals where oxidation at C2 rather than C1 would be expected, and (iii) the oxidation of 1-hexanol under the same reactions conditions used for the oxidation of 1-hexene (see caption to Scheme 1) revealed that 1-hexanol is an order of magnitude less reactive than 1-hexene. 2-Hexanol was more reactive than 1-hexanol and considering that 2-hexanone was a minor product, pathway **A** could explain its formation.

A second pathway (**B**) is the reaction of the formyloxy radical with 1-hexene to give the hexally radical in an exergonic, barrierless reaction, Scheme 4. The spin density on the hexally radical is evenly distributed between C1 and C3, Fig. S6.[†] Radical-radical heterocoupling reactions, which are also very exergonic (~ 71 kcal mol⁻¹) could yield the various allylic formate esters as shown in Scheme 4. Only hex-2-en-1-yl formate was experimentally observed, but in small amounts. Hydrolysis of hex-2-en-1-yl formate would yield 2-hexen-1-ol that was observed (15 mol%, Chart 1). Isomerization of 2-hexen-1-ol would yield hexanal. This isomerization reaction was verified in a control reaction using 2-hexen-1-ol as substrate, where some of the further oxidation products were also obtained in minor amounts. The product of the reaction of the hexally radical with HC(O)O[·] at the C3 position to yield 1-hexen-3-formate or the hydrolysis product, 1-hexen-3-ol was not observed, but the formation of 3-hexanone can be also be inferred *via* an isomerization reaction. It should be noted that hexanal, in principle could be formed by the formation of vinylic formates *via* a [1,3]H-sigmatropic shift that may be catalyzed by [Co^{III}W₁₂O₄₀]⁵⁻. However, calculations show that such a reaction is endergonic, where the resulting vinylic formate is less stable than the allylic formate by 3.8 kcal mol⁻¹. A [1,3]H-sigmatropic shift is more likely in reactions of cyclic alkenes with HC(O)O[·] since the shift is going to give a more stable secondary radical. Thus, cyclohexene yielded also cyclohexanone and 3-cyclohexen-1-ol and 1-methyl cyclohexene yielded 2-methyl cyclohexanone and 3-methyl-3-cyclohexen-1-ol, Scheme S1.[†] The observed formation of *n*-hexanal (**1a**) as the major product as well as the primary allylic alcohol (**1b**), its ester, and 3-hexanone (**1d**) is apt for a reaction initiated by the formation of a hexally intermediate, but is not an obvious explanation for the formation of 2-hexanone (**1c**) and the further oxidation products, 1,2-hexanediol (**1e**) and *n*-pentanal (**1f**).

The third pathway (**C**) involves addition of the formyloxy radical to the C=C bond as shown in Scheme 4. Further coupling reactions of the radical species with formyloxy radicals would lead to the formation of 1,2-hexanediol. A control reaction using 1,2-hexanediol as substrate under the common reaction conditions (see caption of Scheme 1) shows that it was efficiently converted only to *n*-pentanal commensurate with the amount of *n*-pentanal formed in the oxidation of 1-hexene.

Since the oxidation of alkenes plausibly involves hydrogen abstraction at the allylic position (Scheme 4, **B**) where the bond dissociation energy (BDE) for 1-hexene is 83.4 kcal mol⁻¹,^{19a} it was of interest to evaluate the potential of the formyloxy radical for activation of stronger C-H bonds. Cyclohexane (BDE = 99.5 kcal mol⁻¹) showed only very low reactivity, but a series of alkyl arenes – toluene, *p*-xylene, ethyl benzene and cumene with BDEs of 89.7, 87.7, 85.4 and 84.4 kcal mol⁻¹ respectively¹⁹ all reacted at the benzylic position as well as on the ring. The ratios of benzylic *versus* ring reactivity (mol%) was 75 : 25, 69 : 31, 78 : 22, 98 : 2, respectively, and provides a measure of C-H activation *versus* aromatic substitution reactions.

In the absence of K₅[Co^{III}W₁₂O₄₀] only trace amounts of products are formed. Thus, the role of the K₅[Co^{III}W₁₂O₄₀] as catalyst requires consideration. Previously, we observed that the EPR spectrum associated with the spin adduct formed by the reaction of HC(O)O[·] with the BMPO (5-*tert*-butoxycarbonyl-5-methyl-1-pyrrolidine-*N*-oxide) spin trap is quenched in the presence of [Co^{III}W₁₂O₄₀]⁵⁻, which during the reaction is reduced to [Co^{II}W₁₂O₄₀]⁶⁻.⁹ This indicates that [Co^{III}W₁₂O₄₀]⁵⁻ reacted very fast with a radical species, either HC(O)O[·] and/or the BMPO spin adduct. Considering that the “resting state” of the polyanion is reduced despite the strongly oxidizing reaction (anodic) conditions and that the Co^{II}/Co^{III}/Co^{IV} redox metal is coordinatively and sterically inaccessible, it is reasonable to assume that an outer-sphere electron transfer forms a donor-acceptor [D⁺-A⁻] complex between the formyloxy radical donor and the polyanion acceptor.²⁰ In the copper catalyzed Kharasch-Sosnovsky reaction, it is generally accepted that an intermediate complex is formed between the very short-lived acyloxy radical and the Cu(*n*) catalyst.¹⁶⁻¹⁸ Considering the steric bulk of the polyanion, whose hydrodynamic diameter is >1 nm, and its redox potentials *versus* SHE as measured in acetonitrile, Scheme 5, the existence of a [D⁺-A⁻] complex is probably related to the anti-Markovnikov selectivity and the isomerization reactions observed in the formyloxylation of terminal alkenes.

Experimental

Materials

All chemicals were reagent grade and used as supplied. Alkene substrates were purified on alumina column and analyzed by GC-MSD prior to use. Formic acid was 98% from Acros



Scheme 5 Redox potentials of [CoW₁₂O₄₀]^{q-} in acetonitrile *versus* SHE.

Organic. The $K_5Co^{III}W_{12}O_{40} \cdot 16H_2O$ polyoxometalate was prepared following a literature method.²¹

Reaction analysis

Combined gas chromatography-flame ionization (GC-FID) and gas chromatography-mass selective (GC-MSD) measurements were carried out to identify and quantify reactions products using a HP 6890 instrument with a flame ionization detector and a HP 5973 instrument with a mass selective detector. Separations were carried out on a 30 m column (Restek 5 MS, 0.32 mm internal diameter) with a 0.25 μ m thick coating of 5% phenylmethylsilicone with helium as the carrier gas.

Electrochemistry

The electrocatalytical experiments were performed unless stated otherwise noted at room temperature in a glass three-electrode cell equipped with a platinum gauze anode working electrode (3 cm^2 area), a platinum cathode, and a Pt wire quasi reference electrode. Typically, a magnetically stirred solution of substrate (1 mmol), lithium formate (0.25 M) and $K_5Co^{III}W_{12}O_{40}$ (10 mmol) in 3 mL of a 1 : 1 mixture of HCOOH:acetonitrile was electrolyzed at constant potential of 1.8 V *versus* SHE until transfer of a known amount of charge. Identification and quantification of products were determined by GC-MSD and GC-FID using also reference standards as described above.

Kinetics of benzene formyloxylation

Benzene (1 mmol) was electrolyzed under the conditions described above until transfer of 20 mA h charge (about 90 minutes). During electrolysis, ten aliquots (about 1.5 μ L each) were collected over time from the reaction mixture without pausing the electrolysis. The syringe was washed with a 1 : 1 mixture of HCOOH and CH_3CN between collections of the samples flushed twice with the reaction mixture just before sampling. The samples were analyzed by GC-FID.

Kinetics of arene formyloxylation

An equimolar mixture of benzene and the substituted arene (1 mmol in total) was electrolyzed under the conditions described above. The collection of sample aliquots was performed as described above.

Electrochemical formyloxylation of alkenes

The substrate of interest was electrolyzed under the conditions described in the Electrochemistry subsection. Typically, a magnetically stirred solution of substrate (1 mmol), lithium formate (0.25 M) and $K_5Co^{III}W_{12}O_{40}$ (10 mmol) in 3 mL of a 1 : 1 mixture of HCOOH:acetonitrile was electrolyzed at constant potential of 1.8 V *versus* SHE. Products were analyzed by GC-MSD and GC-FID.

Computational methods

All calculations were performed using Gaussian16 Revisions B.01 and C.01 (ref. 22) and Orca versions 4.2.0 and 4.2.1;²³ geometries were optimized with the former and accurate

double-hybrid energies were calculated with the latter. Geometries were optimized using Zhao and Truhlar's PW6B95_{D3BJ} exchange-correlation functional,²⁴ which includes the third version of Grimme's dispersion²⁵ with the Becke-Johnson dampening function.²⁶ With this functional the double- ζ version of the second revision (def2) of Ahlrichs and coworkers' basis sets (def2-SVP)²⁷ was used. Accurate energies were calculated using Santra *et al.*'s revised version of the older DSD-PBEP86 double-hybrid functional, specifically xrevDSD-PBEP86-D4;²⁸ this functional was shown to be more accurate, especially for radical systems.²³ The functional includes the fourth generation of Grimme's dispersion correction (*i.e.*, D4).²⁹ With this functional, the quadruple- ζ with two sets of polarization functions of the second revision (def2) of Ahlrichs and coworkers' basis sets (def2-QZVPP)²⁷ minimally-augmented with sp diffuse functions only as per Zheng *et al.* (*i.e.*, ma-def2-QZVPP)³⁰ was used. With Orca, the resolution-of-the-identity (RI) with the def2-QZVPPD/C³¹ and def2/J³² auxiliary basis sets was used in conjunction with the resolution of identity-chain of spheres exchange (RIJCOSX) approximation³³ to improve the performance of all energy calculations. Bulk solvent effects were approximated by using a polarizable continuum model (PCM), specifically the integral equation formalism model (IEF-PCM)³⁴ with formic acid as the solvent as in the experiments and in particular Truhlar's empirically parameterized version Solvation Model with Dispersion (SMD) was used.³⁵ Solvation was used for all calculations, including geometry optimizations. The connectivity of all transition states was confirmed by intrinsic reaction coordinate (IRC) calculations using the default Hessian-based projector-corrector integrator scheme,³⁶ or where the IRCs were inconclusive (*e.g.*, when the surface around the transition state is too flat) by distorting the transition state along its imaginary frequency in each direction and following it downhill to the reactant(s) and product(s).

Conclusions

Linear free energy relationships (LFERs) are typically derived from kinetic measurements carried out as a function of time. In these reactions, where the formyloxy radical is formed *in situ* electrochemically, we found higher precision and repeatability for kinetic measurements in an undivided cell configuration as a function of charge transferred (Q). In this way the philicity of the formyloxy radical was measured by carrying out aromatic substitution reactions using a Hammett LFER. The experimental results yielded a ρ value of -1.5 with a very good correlation with σ_{para} values indicating that $HC(O)O^\cdot$ can be considered electrophilic. A Hammett LFER using DFT-calculated free energies of activation, ΔG_{298}^\ddagger , supports this conclusion.

The reaction of $HC(O)O^\cdot$ with five terminal alkenes revealed the preference for the formation of the anti-Markovnikov linear aldehyde and the 2-en-1-ol/formate over the formation of the Markovnikov ketone, typically in 10 : 1 or greater ratios. Major by-products, predominantly the aldehyde formed *via* the cleavage of the C1–C2 bond, are the result of further oxidation.



Three plausible reaction pathways, based on the oxidation of 1-hexene, supported by “control” oxidation reactions with 1-hexanol, 2-hexanol, 2-hexen-1-ol and 1,2-hexanediol as substrates, are considered to explain the results. All the reaction pathways considered are initiated by very exergonic reactions, Scheme 4. It would appear that the most straight-forward pathway to the anti-Markovnikov linear aldehyde is a reaction involving hydrogen atom abstraction by HC(O)O^\cdot at the allylic position (C–H BDE $\sim 83\text{--}84\text{ kcal mol}^{-1}$) to form an allylic radical (Scheme 4, pathway B). A heteroradical coupling reaction between HC(O)O^\cdot and the allylic radical to yield the primary allylic formate followed hydrolysis yields 2-hexen-1-ol, which was shown to undergo an isomerization reaction to yield *n*-hexanal as the major product from 1-hexene. A similar pathway but with a different regioselectivity in the heteroradical coupling step also explains the formation of 3-hexanone from 1-hexene as a minor product. It is proposed that the $[\text{Co}^{\text{III}}\text{W}_{12}\text{O}_{40}]^{5-}$ catalyst reversibly forms donor–acceptor $[\text{D}^+–\text{A}^-]$ complexes with radical species. Thus, catalysis is thought to be related to (1) the inhibition of HC(O)O^\cdot radical decomposition, for example by anodic one-electron oxidation or unimolecular decarboxylation, (2) catalysis of isomerization reactions and (3) the steric bulk of the $[\text{Co}^{\text{III}}\text{W}_{12}\text{O}_{40}]^{5-}$ polyanion that may direct reactions toward anti-Markovnikov oxidative addition reactions.

A different reaction pathway (Scheme 4, pathway C) is proposed for the formation of the further oxidation products, whereby addition of HC(O)O^\cdot to the C=C alkene double bond would result in the formation of a radical-ester intermediate. A further radical heterocoupling would yield the vicinal diol after hydrolysis. Such diols are susceptible to C–C bond cleavage, as also demonstrated here, yielding the aldehyde with one less carbon atom as a major by-product. It is worthwhile noting that the reaction pathway (Scheme 4, pathway A) that has the most exergonic first step does not appear to be relevant for the formation of most of the products, except perhaps for the formation of the minor Markovnikov oxidative addition product (*i.e.*, 2-hexanone from 1-hexene).

The reactivity of HC(O)O^\cdot toward hydrogen abstraction was further investigated in order to obtain an empirical limit for C–H bond activation. It was found that cyclohexane (BDE = 99.5 kcal mol $^{-1}$) was only marginally reactive. However, the reaction of HC(O)O^\cdot with a series of primary, secondary and tertiary alkylated benzene derivatives with BDEs ranging from 84.4 to 89.7 kcal mol $^{-1}$ demonstrated that benzylic C–H bond activation occurs in all cases in competition with electrophilic aromatic substitution reactions. These results would indicate that reactions with HC(O)O^\cdot could be rather efficient for the activation of C–H bonds that have BDEs of up to $\sim 90\text{ kcal mol}^{-1}$. Thus, the formyloxy radical is apparently “weaker” than the more commonly used hydroxyl and *tert*-butoxyl oxygen centered radicals, which in the future may translate into higher selectivity for C–H bond activation. Given the unique reactivity of HC(O)O^\cdot , the objective of ongoing research is to minimize the further too fast oxidation of HC(O)O^\cdot to CO_2 that limits the faradaic efficiency and leads to only low yields of these reactions as also previously noted.⁹

Conflicts of interest

There are no conflicts to declare.

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