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Deep-red fluorescence from isolated dimers: a highly bright excimer and imaging *in vivo*[†]

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Restricted by the energy-gap law, the development of bright near-infrared (near-IR) fluorescent luminophors in the solid state remains a challenge. Herein, we report a new design strategy for realizing high brightness and deep-red/near-IR-emissive organic molecules based on the incorporation of a hybridized local and charge-transfer (HLCT) state and separated dimeric stacks into one aggregate. Experimental and theoretical analyses show that this combination not only contributes to high photoluminescent quantum yields (PLQYs) but also significantly lessens the energy gap. The fluorophore BTA-TPA exhibits excellent fluorescence performance, achieving a PLQY of 54.8% for the fluorescence peak at 690 nm, which is among the highest reported for near-IR fluorescent excimers. In addition, because of its bioimaging performance, the designed luminophor has potential for use as a deep-red fluorescent probe for biomedical applications. This research opens the door for developing deep-red/near-IR emissive materials with high PLQYs.

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Introduction

Luminescent organic materials (LOMs) emitting in deep-red/near-IR regions have recently attracted considerable attention because of their potential applications in night vision devices, bioimaging, sensors, and lasers.¹ However, the development of an efficient near-IR emitter has long been hindered by the very low photoluminescence (PL) efficiency of such a material. In principle, deep-red/near-IR fluorescence arises from a narrow band gap, which unfortunately is inconsistent with high PL quantum yields (PLQYs).² As a consequence, it remains a great challenge to construct deep-red/near-IR LOMs with high PLQYs. To date, the universally adopted design strategy for deep-red/near-IR molecules is the construction of a polar donor–acceptor (D–A) conjugated framework with a strong intramolecular charge-transfer (CT) effect.³ However, such a CT state

generally gives rise to forbidden electronic transitions, causing fluorescence quenching.⁴ To solve this problem, Ma's group recently combined both local excited (LE) and CT states into a special state, namely, a hybridized local and charge-transfer (HLCT) excited state.⁵ This state has two complementary characteristics: a large orbital overlap from the LE state and a large dipole from the CT state. The former characteristic contributes to a high PLQY, whereas the latter guarantees the generation of a narrow band gap. Accordingly, Ma's group reported a butterfly-shaped D–A-type fluorophore, PTZ-BZP,^{5a} with strong near-IR fluorescence at 700 nm and a film efficiency of 16%. However, our group recently prepared a deep-red benzothiadiazole (BTA) derivative exhibiting the HLCT state.⁶ The luminophor presented good emissive abilities in the monomeric form, unexpectedly, which were seriously weakened in the solid state due to the formation of the H-aggregates. Clearly, even for HLCT-type LOMs, the molecular packing mode is still critical for the PL efficiency.

In 1954, excimer fluorescence was first discovered by Förster in the case of pyrene and some of its derivatives.⁷ The excimer emission frequently presents a tremendous redshift of approximately 100 nm relative to the emission of the monomer, in principle making excimer-emitting LOMs with long-wavelength fluorescence possible. Unfortunately, the polyaromatic materials adopting continuous H-type packing give rise to feeble excimer fluorescence due to the strong π – π interactions.⁸ In sharp contrast, the discrete dimeric stacks of LOMs exhibit intriguing fluorescence. Recently, Yoshizawa and Yang *et al.*, in an elegant approach, employed molecular capsules,⁹ cyclophane-like motifs¹⁰ and supramolecular interactions¹¹ to

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generate separated dimeric stacks. However, as a consequence of the strong dipole-dipole interaction and complex poly-aromatic frameworks, the assembly of intramolecular CT-type luminophors into discrete dimers has rarely been achieved.

Clearly, combining both HLCT behaviour and discrete dimeric packing into one aggregate would be an ideal strategy for generating deep-red/near-IR emitters with a high PLQY. This approach is a relay-race tactic: the large PL redshift results from the CT part of the HLCT state; then, the PL wavelength is further lengthened and falls in the deep-red/NIR region in the presence of the separated dimer. Importantly, the discrete dimers still retain high brightness, which is related to the LE part of the HLCT state. Herein, the “relay-race tactic” is achieved as follows: a monomeric HLCT-active dye (**BTA-TPA**), constructed from two bulky triphenylamine (TPA) groups and a planar BTA group, emits red luminescence (614 nm) with a PLQY as high as 83.2%. Then, HLCT-type molecules are assembled into a dimeric packing architecture. The bulky substituents at both ends provide a large space to separate the dimers from each other. As expected, the pairwise stacking fluorophore emits light that is further red-shifted to 690 nm with a PLQY of up to 54.8%. In addition, the in-depth relationship between the PL properties and supermolecular interactions of the discrete dimer was fully investigated by *in situ* high-pressure experiments. By taking advantage of this superior deep-red/near-IR emission, **BTA-TPA** performed well in *in vitro* and *in vivo* bio-imaging, demonstrating substantial potential for use in biomedical applications.

Results and discussion

The synthetic routes to form **BTA-TPA** are depicted in Scheme 1. The initial step for the preparation of the luminophor involves a Suzuki coupling reaction to give the key intermediate (TTA). The subsequent Knoevenagel reaction of 2-(4-(diphenylamino)-phenyl) acetonitrile with TTA yields the desired LOMs.¹² The final molecular structure was determined spectroscopically by high-resolution mass spectrometry (HRMS), NMR spectroscopy, and X-ray single-crystal analysis (Fig. S1, S2 and Table S1†). As shown in Fig. S3,† the luminophor exhibited similar absorption profiles in different solvents, with two distinct bands: one high-energy band at approximately 300 nm associated with the localized π - π^* electronic transition and another band attributed to the CT transition from the donor (TPA) to the acceptor (BTA). The results presented only a slight change in the dipole of **BTA-TPA** in the ground state. Nevertheless, the PL spectra of

BTA-TPA revealed an obvious bathochromic shift from 618 nm in *n*-hexane to 751 nm in high-polarity acetonitrile (Fig. S4†), implying typical CT characteristics of the excited states. We further examined the solvatochromic effect *via* the Lippert–Mataga model, and the dipole moments (μ_e values) of the S_1 exciton can be estimated from the slope of the Stokes shift ($\nu_a - \nu_f$) when plotted *versus* the orientation polarizability (Δf). As depicted in Fig. 1a, the plot shows two sections, implying two distinct excited states in low- and high-polarity solvents. In high-polarity solvents, the excited-state dipole μ_e was calculated to be 23.5 D as a consequence of the CT states. In low-polarity solvents, μ_e was found to be 7.6 D, attributed to the LE-like state. In addition, the PLQY values of the luminophor ($f < 1.6$, Table S2†) were all above 82% in low-polarity solvents. Therefore, we could infer that the emissive state of **BTA-TPA** was an LE-like state involving a slight CT component. Interestingly, **BTA-TPA** exhibited a single-exponential PL decay in *n*-hexane and isopropyl ether solutions, as depicted in Fig. S5.† The one lifetime illustrated that the S_1 state was a hybridization of LE and CT states (HLCT state) rather than two mixed states.^{5a,13} To further understand the HLCT state, we characterized the distribution of natural transition orbitals (NTOs) of **BTA-TPA** according to the single-crystal structure. For the $S_0 \rightarrow S_1$ excitation, the particle and hole NTOs presented an excellent balance between the spatial separation and the orbital overlap (Fig. 1b), which implied that the coexistence of the CT and LE transition corresponded to the HLCT state. The easily distinguishable separated orbitals gave rise to CT character with

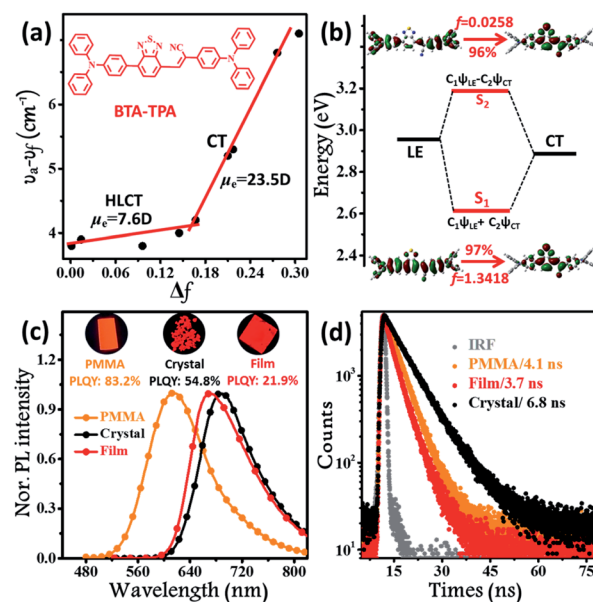
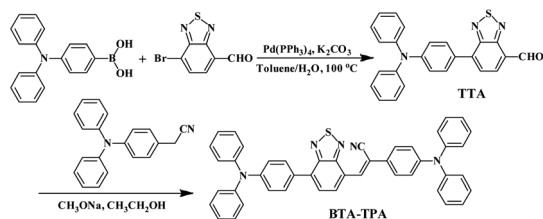


Fig. 1 (a) Linear correlation of the orientation polarization (Δf) of solvent media with the Stokes shift ($\nu_a - \nu_f$) for **BTA-TPA**. See Table S2† for detailed data; the lines in the low- and high-polarity regions (HLCT and CT, respectively); (b) NTOs from S_0 to S_1 and S_2 of **BTA-TPA**, where f represents the oscillator strength; steady-state PL spectra (c) and time-resolved PL spectra (d) of **BTA-TPA** in different states (0.1% wt/wt dye-doped film, crystals and a spin-coated film). Inset images in Fig. 2c represent the corresponding PL photographs upon irradiation with 365 nm UV light.



Scheme 1 Synthetic routes of **BTA-TPA**.



a large dipole moment. However, sufficient orbital overlap was responsible for the LE character and ensured a large radiative-transition rate. Thus, the $S_0 \rightarrow S_1$ transition of **BTA-TPA** exhibited large oscillator strength ($f = 1.3418$). In contrast, the particle and hole NTOs of S_2 showed a clear spatial separation, leading to very small oscillator strength ($f = 0.0258$). In general, the “polarity environment” of the luminophor in polymethyl methacrylate (PMMA) was similar to that of the luminophore in the low-polarity solvents. Therefore, **BTA-TPA** should exhibit HLCT properties in low-polarity PMMA. As shown in Fig. 1c, the doped films emitted bright orange light (614 nm) with a PLQY as high as 83.2%, consistent with the HLCT character. Moreover, **BTA-TPA** in PMMA exhibited a Stokes shift of 108 nm. Of particular interest, the PL colour of the luminophor in the crystalline state became deep red (690 nm) upon illumination at 365 nm. The Stokes shift was further increased to 195 nm due to the formation of the excimer (discussed in detail below). The PLQY of **BTA-TPA** crystals was determined to be 54.8%. The results indicated that employing “relay-race tactics” to realize deep-red/near-IR fluorescence was feasible.

Although the excimer species formed in the crystal decrease the emission efficiency relative to that of the monomer in doped PMMA films, the PLQY value (54.8%) was uncommonly high for the deep-red/near-IR luminophor (around 700 nm) reported so far.¹⁴ Such a result appears to be in contradiction with the conventional proposal that an excimer usually has low efficiency. To understand the high-efficiency fluorescence of the near-IR excimer, the single-crystal X-ray structure of **BTA-TPA** was determined, and selected crystallographic data are listed in Table S1.† Interestingly, along the *b*-axis of the unit cell, **BTA-TPA** molecules were stacked in the form of staggered discrete dimers (Fig. 2a and b). As depicted in Fig. 2c, the distance of the **BTA** plane between the neighbouring dimers reached as high as 4.31 Å. Importantly, the **BTA** plane of neighbouring dimers is

displaced substantially along the short molecular axis resulting in virtually no π -overlap between the neighbouring dimers (see Fig. 2a). Clearly, these π - π dimers were spatially isolated from each other by the two-sided substituents (**TPA** units), substantially eliminating the π - π interaction between the dimers.^{15,16a} For comparison, we prepared a similar luminophor, **BTA-DMeO**, in which the twisted **TPA** unit on the side of **BTA-TPA** was replaced by a benzene group.⁶ This luminophor, adopting a continuous dimeric stacking (H-aggregation; Fig. S6†), showed a PLQY as low as 9%. The results demonstrated that isolated dimeric stacking plays an important role in the high PLQY of **BTA-TPA** crystals. Within the discrete dimer, two **BTA** units were arranged in an anti-parallel fashion (Fig. 2c), revealing an interplanar distance of 3.50 Å and an overlapping area of approximately 65% (top view). This result implied that a π - π interaction existed in the dimer, which was further enhanced by the multiple C-H \cdots N/ π hydrogen bonds (Fig. 2d). For the spin-coated film, the luminophor, adopting a random packing mode (Fig. S7†), showed a slight blueshift in the PL spectrum with respect to that in the crystalline state (Fig. 1c). As shown in Fig. 1d, the transient PL spectra of the luminophor in the crystalline state revealed a single-exponential decay with a lifetime of 6.8 ns, which was obviously larger than that in the amorphous state (3.7 ns). The fluorescence behaviour (longer lifetime and redshift) of the crystals agreed well with the spectral characteristics of the excimer. In addition, the PLQY of this film was obviously decreased to 21.9% (see Fig. 1c). The results further proved that the presence of isolated dimers contributed to the high brightness, consistent with the previous results.^{9–11}

To understand the intrinsic photophysics of discrete dimers, we investigated the NTOs ($S_1 \rightarrow S_0$) of the excimer states. As depicted in Fig. S8,† the hole wave functions were mainly localized on one monomer. As a comparison, the particles were entirely delocalized over two π - π stacking **BTA** moieties. The

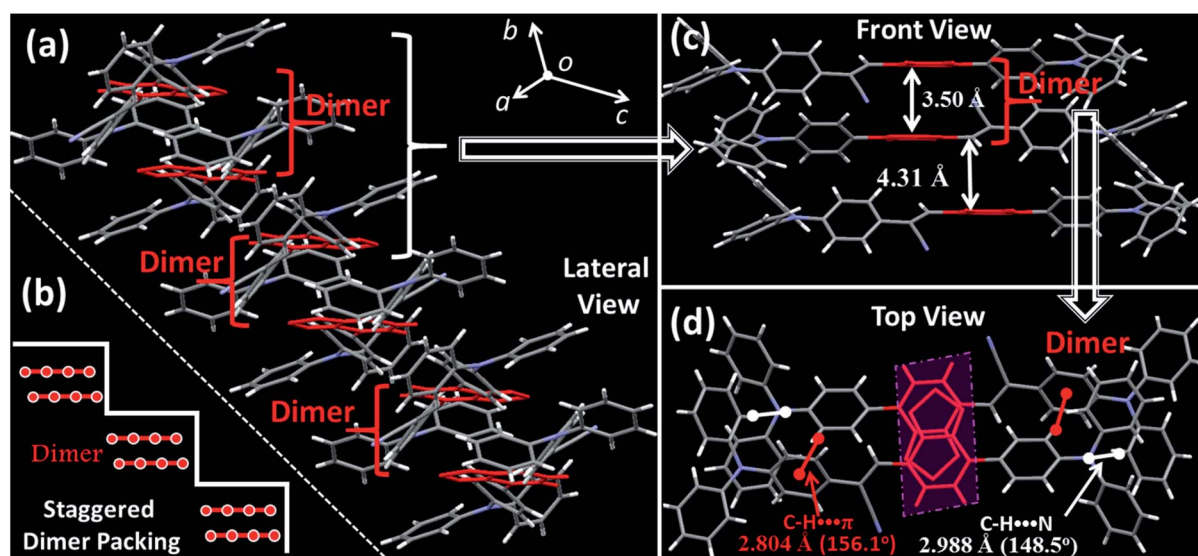


Fig. 2 Crystal structures of **BTA-TPA**: (a) lateral view of the column arrangement; (b) schematic illustration of the staggered dimer packing; (c) front view of the anti-parallel arrangement along the long molecular axis; (d) top view of the **BTA-TPA** dimer and the illustration of the C-H \cdots N/ π interactions.



results implied that a strong π - π interaction occurred within the pairwise dimer. In general, the excimer, as a low-lying energy-trapping “dark” state, is a low- or non-emissive species due to forbidden transitions ($S_1 \rightarrow S_0$).^{4a,15a} However, in the case of an isolated dimer, the $S_1 \rightarrow S_0$ transition was optically allowed as a result of large oscillator strength ($f = 0.6454$), consistent with its high-efficiency fluorescence behavior. The potential energy curves (PECs) were further shown as a function of the interplanar distance between two **BTA** units for both the ground and excited states. The PECs of the ground state presented a deep potential well at an interplanar distance of $R_{g,PEC} = 3.263$ Å (Fig. S9†), indicating a typical intermolecular π - π interaction in the ground state. For the excited state, the π - π interplanar distance will be slightly decreased to $R_{e,PEC} = 3.229$ Å. This fact indicates that such a dimer had a “compressed” excited state with a shortened π - π distance and increased π - π overlap, contributing to the restriction of intramolecular motions.^{11a,16} Considering all the experimental and theoretical data, we proposed the origin of high PL efficiency for the discrete dimer as follows: (1) upon photoexcitation, the pairwise dimer showed a “compressed” excimer, in favour of the suppression of nonradiative PL quenching; (2) the discrete dimeric π -stacking guaranteed the purity and singleness of the excimer state as a result of the exciton localization, which blocked the occurrence of a “dark” state from the nonradiative energy transfer.^{11a} Briefly, such special dimeric stacking in favour of discrete excimer formation was highly beneficial for high-efficiency fluorescence.

To further explore the photophysical behaviour of the mono-disperse dimer, *in situ* UV-vis, PL and infrared spectroscopy experiments were performed under high pressure. A piece of the dimeric crystal, emitting deep-red fluorescence under atmospheric pressure, was loaded into the hole of a T301 steel gasket. During the compression process, the PL colour of this sample became dark-red at pressures below 4.6 GPa (insets of Fig. 3a and b), accompanied by continually decreasing PL brightness. At 5.4 GPa, the dark-red fluorescence was not observed by the naked eye. Interestingly, the PL spectra of the **BTA-TPA** crystal spanned the deep-red and near-IR regions below 8.0 GPa. As depicted in Fig. 3a and b, the PL peaks from the discrete excimer were gradually redshifted, and its intensity was constantly weakened, eventually reaching near-IR fluorescence at 802 nm when the pressure was close to 8.05 GPa.

As illustrated in Fig. 3c and d, the absorption spectra were gradually redshifted during the compression, consistent with the PL redshift. The corresponding energy gap decreased from 2.02 eV (612 nm) to 1.61 eV (706 nm), generally resulting from molecular planarization.¹² In Fig. S10,† the rotation of the **BTA** unit to decrease the dihedral angle resulted in a decrease in the S_1 excitation energy, which corresponded to a redshift in the CT bands during the compression process. The results reflected that the planarity of the molecular conformation might give rise to the redshifted fluorescence. After the pressure was fully released, the absorption spectrum reverted to the original position (Fig. S11†), demonstrating the reversibility of the change in molecular conformation. Fig. S12† indicates the change in the unit cell volume *versus* static pressure. The

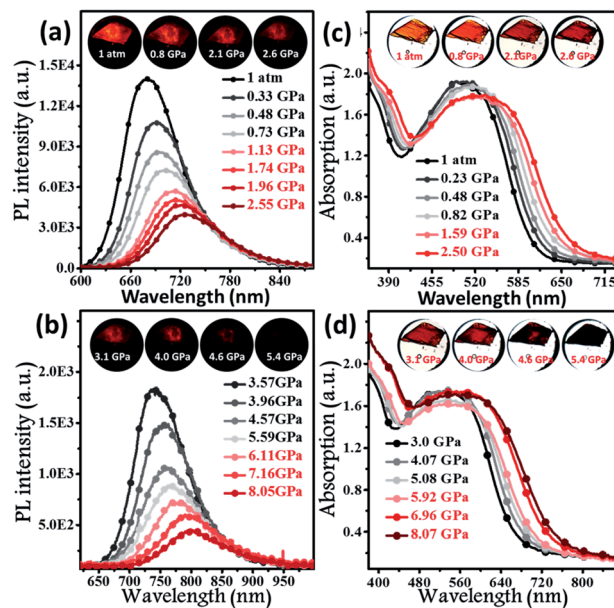


Fig. 3 *In situ* PL spectra (a and b) and absorption spectra (c and d) of **BTA-TPA** in the crystalline state under various pressures. Inset images showing corresponding photographs of the crystal upon irradiation with 365 nm UV light (a and b) and natural light (c and d).

volume was constantly decreased during the compression, showing the decreased intermolecular distances. To further confirm this proposal, we performed *in situ* IR spectroscopy using hydrostatic pressure. As depicted in Fig. S13,† the broad IR absorption peaks located at 3030 and 1588 cm^{-1} could be definitively attributed to the stretching vibrations of aromatic C-H and C=C units, respectively. Interestingly, the C-H and C=C stretching frequencies were distinctly blueshifted below 7.2 GPa, indicating the decreased interatomic distances. This finding indicated that the molecular packing became closer and the intermolecular distances (such as R_g of the isolated dimer) decreased.^{12a,17} In comparison, the peak position of the $\text{-C}\equiv\text{N}$ vibration was altered slightly during the compression (Fig. S13†). These results implied that the extent of electron delocalization was enhanced due to the more planar configuration of the acrylonitrile moieties, consistent with the redshift of absorption spectra. Clearly, the **BTA-TPA** molecules adopted a more planar conformation during compression. Moreover, the decreased interplanar distance resulted in the enhancement of intermolecular interactions. This fact, in combination with the conformational planarization, was responsible for a redshift of the PL wavelength and a decrease in PL intensity.

In addition, **BTA-TPA** with excellent deep-red/near-IR emission properties with a high PL efficiency and a long PL wavelength in the aggregated state could be expected to serve as a promising optical nanoagent for biomedical applications.¹⁸ As illustrated in Fig. S14 and S15,† the pure THF solution of **BTA-TPA** had an intense deep-red fluorescence with a PL peak at 679 nm. Upon addition of water, the fluorescence intensity decreased in the water fraction range of 10 to 60 vol% (Fig. S15a†), which should be attributed to the twisted



intramolecular charge transfer. Interestingly, the fluorescence of **BTA-TPA** was revitalized when a large amount of water ($f_w > 70\%$) was added into THF. As depicted in Fig. S15b,[†] the remarkable increase of PL intensity indicated the aggregation-induced emission (AIE) characteristic of **BTA-TPA**.¹⁹ The PLQYs of NPs in the THF/water mixture with a water fraction of 90 vol% reached as high as 67.8%. Moreover, their PL spectrum at 662 nm was obviously blueshifted compared to that of the crystal, which may be due to the hydrophobic microenvironment inside the aggregates. Then, as a proof of concept, the **BTA-TPA** nanoparticles (NPs) were prepared by nanoprecipitation.^{14e} size of the **BTA-TPA** NPs was characterized by dynamic light scattering (DLS), and an average diameter of approximately 150 nm was determined (Fig. S16[†]). Before bioimaging of **BTA-TPA** NPs, cytotoxicity was evaluated by using 3-(4,5-dimethyl-2-thiazolyl)-2,5-diphenyltetrazolium bromide (MTT) at different concentrations (0, 0.4, 0.8, 1.6, 3.1, 6.3, 12.5, 25, 50, and 100 $\mu\text{g mL}^{-1}$).²⁰ As suggested in Fig. S17,[†] no significant change in the cell viability was observed even when the concentration was up to 100 $\mu\text{g mL}^{-1}$, demonstrating the almost complete lack of cytotoxicity of **BTA-TPA** NPs to cells. Then, the HeLa cells were imaged with **BTA-TPA** NPs (Fig. 4a–c). The intense red emission of **BTA-TPA** was obviously observed and randomly distributed in the cytoplasm of the HeLa cells after 3 h of incubation, thus demonstrating the successful uptake of **BTA-TPA** NPs and underlying the enhanced permeability and retention effect (EPR). Additionally, the **BTA-TPA** NPs exhibit a large Stokes shift of 140 nm, which can overcome the imaging interference experienced by traditional fluorescent agents.²⁰ To further investigate the imaging ability of **BTA-TPA** NPs *in vivo*, lymphatic mapping of **BTA-TPA** NPs in BALB/c mice was conducted. After the intradermal injection of **BTA-TPA** NPs into the right forepaw pads of mice, the fluorescence signals of the nearby lymph were continuously monitored, as shown in Fig. 4d–f. Obvious fluorescence signals emerged in the underarm, with an extremely low background that prevented

autofluorescence of the body. The fluorescence intensity increased at 5 and 10 minutes, thus showing clear lymphatic mapping and imaging *in vivo*. Interestingly, the diffusion of **BTA-TPA** NPs from the injection site into the lymphatic system could be clearly observed, indicative of the potential application in sentinel lymph node (SLN) mapping based on **BTA-TPA** NPs. Thus, deep-red/near-IR **BTA-TPA** could indeed act as a superior candidate with the advantages of high brightness, deep penetration and low background for biomedical applications, and further work is underway.

Conclusions

In summary, an efficient strategy for highly emissive deep-red/near-IR emitters has been developed in D- π -A-type luminophores. **BTA-TPA** powdery crystals emit deep-red fluorescence with an excellent PLQY of 54.8%. This PLQY is the best result achieved for deep-red/near-IR fluorescence (around 700 nm) based on excimer-emitting materials. Our results demonstrate that combining the HLCT state and separated dimers can induce long-wavelength fluorescence and high PLQYs. The experimental and theoretical analyses confirm that isolated dimers exhibit a “compressed” excimer, restricting the intramolecular motions and blocking the occurrence of a “dark” state from nonradiative energy transfer, which results in very bright fluorescence. Moreover, *in vitro* and *in vivo* imaging are explored to demonstrate the potential use in biomedical applications. Therefore, we believe that our findings are valuable for guiding the development of deep-red/near-IR luminophores and materials with high PLQYs, benefiting their practical applications.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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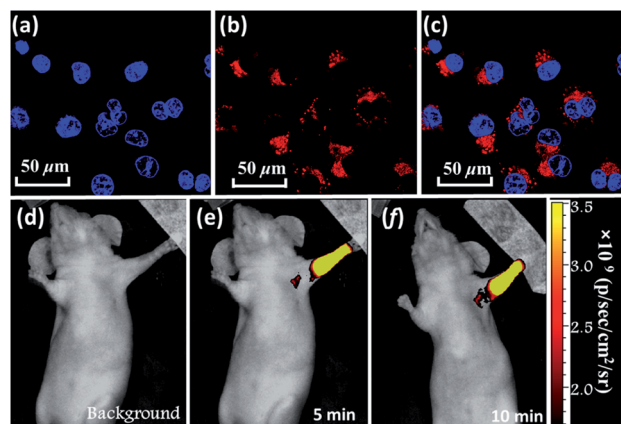


Fig. 4 CLSM images of HeLa cells incubated with **BTA-TPA** NPs. (a) Hoechst 33342 channel. (b) **BTA-TPA** NP channel. (c) The overlay of (a) and (b). Hoechst 33342 channel: λ_{ex} : 405 nm; **BTA-TPA** NP channel: λ_{ex} : 514 nm; *in vivo* lymphatic mapping and imaging of mice injected with **BTA-TPA** NPs at various time points (d–f).



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