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Introduction

Machine learning algorithms are mathematical models able to learn from data without explicit programming from a human expert. The algorithms have gained much attention as high-quality predictors and classifiers. Classification tasks in toxicology are often explored using a variety of machine learning algorithms. Some examples of this include a support vector machine for predicting liver injury,¹ genotoxicity prediction using random forests (RFs),² carcinogenicity predicted using nearest neighbour calculations,³ and using a naive Bayes classifier,⁴ and ensemble methods, combining several classifiers into a single decision-making model for hepatotoxicity.⁵ Deep learning or deep neural networks (DNNs) are a machine learning approach that has been gaining attention. These algorithms are extremely powerful but require a large amount of data, and high-powered computers for training.⁶ The power of DNNs has been illustrated in drug discovery, where the Merck

Molecular Activity Challenge in 2012 was won by an approach using neural networks to make molecular activity predictions.⁷ In toxicology these networks can be used to aid in risk assessment and safety science in predictive toxicology.⁸ An example of this approach won the Toxicity in the 21st Century (Tox21) prediction challenge in 2015,^{9,10} and deep learning has also been applied to predict drug-induced liver injury¹¹ and cardiac toxicity.¹² A number of studies have shown that DNNs outperform other machine learning algorithms on identical prediction tasks^{7,9,10,13} including direct comparisons to RFs in regression¹⁴ and classification.¹⁵

In toxicology, computational methods need to be transparent to be accepted by toxicologists, risk assessors and regulators.¹⁶ Several attempts have been made to do this in the past,¹⁷ including by assigning importance values to features in the test data by removing features of the test set and observing changes in DNN output,¹⁸ or by calculating the gradient of DNN output with respect to features in the test set.^{19,20} Making the machine learning methodology more akin to a read-across, in which experimental data on one chemical is used in the evaluation of a similar chemical, is a good strategy to increase confidence in the prediction.²¹ We aim to extend these methodologies, in a way appropriate to toxicity prediction, using chemical inputs.

Human molecular initiating events (MIEs) make good targets for prediction using DNNs. MIEs are initial chemical-biological interactions which start adverse outcome pathways (AOPs).^{22–24} In the past, a wide variety of computational methods have been used to predict MIEs. Some of these methods rely on the use of chemical substructures as alerts or to define chemical categories for the prediction of molecular activity.^{25–30} Some

^aMRC Toxicology Unit, University of Cambridge, Hodgkin Building, Lancaster Road, Leicester, LE1 7HB, UK

^bCentre for Molecular Informatics, Department of Chemistry, University of Cambridge, Lensfield Road, Cambridge, CB2 1EW, UK

^cUnilever Safety and Environmental Assurance Centre, Colworth Science Park, Sharnbrook, Bedfordshire, MK44 1LQ, UK. E-mail: teha2@cam.ac.uk

† Electronic supplementary information (ESI) available: Links to models generated and source code in GitHub and the University of Cambridge repository, model performance using various chemical fingerprints and activation functions, full model performance at all biological targets, full model performance at predicted activity thresholds 0.1 and 0.9, DNN model performance comparison to SAs and RFs, and *p*-value analysis of model performance. See DOI: [10.1039/d0sc01637c](https://doi.org/10.1039/d0sc01637c)



Table 1 Pharmacological targets analyzed in this work. Data were extracted from ChEMBL version 23 and ToxCast. The total test set was 144 109 actives and 141 796 inactives for a total of 285 905 compounds

Target	Target gene	Actives	Inactives	Total
Acetylcholinesterase	AChE	2611	1964	4575
Adenosine A2a receptor	ADORA2A	3943	2082	6025
Alpha-2a adrenergic receptor	ADRA2A	842	1013	1855
Androgen receptor	AR	2637	7283	9920
Beta-1 adrenergic receptor	ADRB1	1260	1080	2340
Beta-2 adrenergic receptor	ADRB2	1943	2012	3955
Delta opioid receptor	OPRD1	3006	1219	4225
Dopamine D1 receptor	DRD1	1350	1990	3340
Dopamine D2 receptor	DRD2	5694	1136	6830
Dopamine transporter	SLC6A3	2509	1916	4425
Endothelin receptor ET-A	EDNRA	1285	1150	2435
Glucocorticoid receptor	NR3C1	3018	6972	9990
hERG	KCNH2	4895	3245	8140
Histamine H1 receptor	HRH1	1275	1105	2380
Mu opioid receptor	OPRM1	3610	2305	5915
Muscarinic acetylcholine receptor M1	CHRM1	2014	1241	3255
Muscarinic acetylcholine receptor M2	CHRM2	1633	2032	3665
Muscarinic acetylcholine receptor M3	CHRM3	1537	1113	2650
Norepinephrine transporter	SLC6A2	2910	1940	4850
Serotonin 2a (5-HT2a) receptor	HTR2A	3757	1033	4790
Serotonin 3a (5-HT3a) receptor	HTR3A	451	1054	1505
Serotonin transporter	SLC6A4	4041	1134	5175
Tyrosine-protein kinase LCK	LCK	1732	523	2255
Vasopressin V1a receptor	AVPR1A	619	1056	1675
Type-1 angiotensin II receptor	AGTR1	806	1179	1985
RAC-alpha serine/threonine-protein kinase	AKT1	2765	1220	3985
Beta-secretase 1	BACE1	6016	2604	8620
Cholinesterase	BCHE	1400	2145	3545
Caspase-1	CASP1	1369	3196	4565
Caspase-3	CASP3	1177	1828	3005
Caspase-8	CASP8	330	1130	1460
Muscarinic acetylcholine receptor M5	CHRM5	679	1081	1760
Inhibitor of nuclear factor kappa-B kinase subunit alpha	CHUK	316	1069	1385
Macrophage colony-stimulating factor 1 receptor	CSF1R	1336	1049	2385
Casein kinase I isoform delta	CSNK1D	708	1027	1735
Endothelin B receptor	EDNRB	809	1236	2045
Neutrophil elastase	ELANE	2134	1371	3505
Ephrin type-A receptor 2	EPHA2	528	1102	1630
Fibroblast growth factor receptor 1	FGFR1	2163	1207	3370
Peptidyl-prolyl <i>cis-trans</i> isomerase	FKBP1A	354	1006	1360
Vascular endothelial growth factor receptor 1	FLT1	1088	2077	3165
Vascular endothelial growth factor receptor 3	FLT4	674	1081	1755
Tyrosine-protein kinase FYN	FYN	420	1075	1495
Glycogen synthase kinase-3 beta	GSK3B	2549	1256	3805
Histone deacetylase 3	HDAC3	1051	1139	2190
Insulin-like growth factor 1 receptor	IGF1R	2483	1132	3615
Insulin receptor	INSR	887	1093	1980
Vascular endothelial growth factor receptor 2	KDR	7816	1579	9395
Leukotriene B4 receptor 1	LTB4R	350	1030	1380
Tyrosine-protein kinase Lyn	LYN	454	1046	1500
Mitogen-activated protein kinase 1	MAPK1	6209	11 076	17 285
Mitogen-activated protein kinase 9	MAPK9	1227	1088	2315
MAP kinase-activated protein kinase 2	MAPKAPK2	829	1156	1985
Hepatocyte growth factor receptor	MET	2871	1144	4015
Matrix metalloproteinase-13	MMP13	2388	1112	3500
Matrix metalloproteinase-2	MMP2	2938	1677	4615
Matrix metalloproteinase-3	MMP3	1759	1036	2795
Matrix metalloproteinase-9	MMP9	2582	1848	4430
Serine/threonine-protein kinase NEK2	NEK2	298	1057	1355
P2Y purinoceptor 1	P2RY1	560	1100	1660
Serine/threonine-protein kinase PAK 4	PAK4	380	1100	1480
Phosphodiesterase 4A	PDE4A	653	1017	1670



Table 1 (Contd.)

Target	Target gene	Actives	Inactives	Total
Phosphodiesterase 5A	PDE5A	1551	1174	2725
Phosphatidylinositol 4,5-bisphosphate 3-kinase catalytic subunit alpha	PIK3CA	4724	2086	6810
Peroxisome proliferator-activated receptor gamma	PPARG	4362	7283	11 645
Protein tyrosine phosphatase non-receptor type 1	PTPN1	1471	2179	3650
Protein tyrosine phosphatase non-receptor type 11	PTPN11	354	1211	1565
Protein tyrosine phosphatase non-receptor type 2	PTPN2	339	1206	1545
RAF proto-oncogene serine/threonine-protein kinase	RAF1	1351	1084	2435
Retinoic acid receptor alpha	RARA	356	3249	3605
Retinoic acid receptor beta	RARB	298	3347	3645
Rho-associated coiled-coil-containing protein kinase I	ROCK1	1293	1117	2410
Ribosomal protein S6 kinase alpha-5	RPS6KA5	224	1036	1260
NAD-dependent protein deacetylase sirtuin-2	SIRT2	361	1284	1645
NAD-dependent protein deacetylase sirtuin-3	SIRT3	151	1074	1225
Proto-oncogene tyrosine-protein kinase Src	SRC	2704	1531	4235
Substance-K receptor	TACR2	876	1914	2790
Thromboxane A2 receptor	TBXA2R	978	1922	2900
Tyrosine-protein kinase receptor TEK	TEK	788	1132	1920

compare chemical similarity and reactivity³¹ or use decision trees to classify reactivity.³² Some use more complex calculations including quantum chemistry to identify reactivity barriers.³³ There are also a wide variety of mathematical quantitative structure–activity relationships (QSARs) appropriate for this task.^{16,34} While machine learning algorithms such as DNNs,¹³ shallow NNs and decision trees,³⁵ and convolutional neural networks³⁶ have been used in ligand–receptor binding binary classification tasks in the past, this is the first time DNNs have been applied to predicting MIEs. A wide variety of diverse and important human targets were chosen for DNN classifier construction, including the well-known Bowes targets³⁷ and an extended list identified in our previous work³⁸ including targets published by Sipes *et al.*³⁹ Many of the previously published papers on machine learning only provide models for a single biological target or endpoint.^{1–5,11,12,15} This limits their usefulness and coverage of human toxicology, which we aim to overcome by constructing models for a large number of MIEs. Generating models for this extended list of targets provides a wider screen of potential molecular toxicity.

By constructing binary classification DNNs for these targets we can establish their importance in the prediction of MIEs, investigate their working and better understand their predictions, and both compare them to other methods of prediction and consider how these approaches can work together to improve their predictive power and confidence.

Methods

Data set

Data for 79 pharmacologically important biological targets were extracted from the publicly available databases ChEMBL⁴⁰ and ToxCast⁴¹ (Table 1). These targets are a subset of those used in our previous work³⁸ including targets published by Bowes *et al.*³⁷ and Sipes *et al.*³⁹ These targets were chosen as they provide

valuable toxicological information for risk assessment. ChEMBL and ToxCast were combined to provide a relatively balanced dataset with more than 1000 chemicals per target for model construction and evaluation, an amount that was found to be required for DNN training. In total 144 109 active and 141 796 inactive unique compound–target relationships were obtained, for a total of 285 905 and a positive data percentage of 50.4%. On average this equates to 3530 data points per target. Imbalanced datasets cause difficulties for machine learning algorithms,^{4,12,13,15} and developing a balanced dataset is a key advantage when constructing models.

ChEMBL (<https://www.ebi.ac.uk/chembl/>, version 23, data collected April 2018),⁴⁰ contains more than a million annotated compounds comprising over twelve million bioactivities covering in excess of 10 000 targets, all abstracted from the primary scientific literature.⁴² Compounds with a confidence score of 8 or 9 and with reported activities (Ki/Kd/IC50/EC50) less than, or equal to, 10 μ M against human protein targets were treated as binders and those with activity greater than 10 μ M treated as non-binders. These cutoffs were chosen to provide chemicals with a pharmacologically relevant activity at a specific, well-defined, human target. A cut-off of 10 μ M ensures that the compounds have a good degree of biological activity and represents a trade-off between activity and dataset size. A confidence score of 8 represents the assignment of homologous single proteins, and 9 direct single protein interactions.⁴⁰

ToxCast is a high throughput screening library of over nine thousand compounds tested across a thousand assays (<https://www.epa.gov/chemical-research/toxicity-forecasting>, data collected April 2018).⁴¹ Data were extracted using ToxCast's in-built binary activity assignments⁴³ and combined with the ChEMBL data.

Duplicate data points were removed. This was performed on the molecular structure of each chemical based on its atomic



Table 2 Summary of results for various DNN architectures for several targets in initial investigations. Best performing networks on the test data are highlighted in red. Full results can be found in the ESI (Tables S5–S9). The first column represents the NN architecture, showing the number of neurons in each hidden layer^a

	Training					Validation					Test				
	SE	SP	ACC	MCC	ROC-AUC	SE	SP	ACC	MCC	ROC-AUC	SE	SP	ACC	MCC	ROC-AUC
AChE															
[10]	88.7	83.9	86.6	0.726	0.93	84.9	80.7	83.1	0.655	0.90	84.2	78.9	81.9	0.631	0.89
[100]	90.7	88.4	89.7	0.791	0.96	87.4	83.2	85.6	0.706	0.92	86.2	80.7	83.8	0.670	0.90
[1000]	88.0	83.7	86.2	0.718	0.93	85.5	78.0	82.3	0.637	0.89	84.4	78.8	82.0	0.632	0.88
[10,10]	90.7	89.7	90.3	0.802	0.96	86.1	82.9	84.7	0.688	0.92	84.3	82.4	83.5	0.664	0.90
[100,100]	91.5	91.3	91.4	0.826	0.97	87.1	85.2	86.3	0.721	0.92	85.0	84.2	84.7	0.689	0.91
[1000,1000]	95.2	96.6	95.8	0.915	0.99	88.0	86.7	87.4	0.744	0.93	84.7	84.0	84.4	0.684	0.92
ADORA2A															
[10]	97.6	89.9	95.0	0.888	0.98	97.2	90.2	94.7	0.884	0.98	97.2	88.5	94.2	0.871	0.97
[100]	97.8	92.9	96.1	0.913	0.99	96.9	90.9	94.8	0.886	0.98	97.2	90.2	94.8	0.884	0.98
[1000]	97.5	90.7	95.2	0.893	0.98	97.2	89.5	94.6	0.879	0.98	97.0	89.1	94.3	0.872	0.97
[10,10]	97.8	92.7	96.0	0.911	0.99	97.6	90.6	95.3	0.893	0.98	97.0	90.0	94.6	0.880	0.98
[100,100]	98.1	93.7	96.6	0.924	0.99	96.8	90.8	94.8	0.883	0.98	96.9	90.5	94.7	0.881	0.98
[1000,1000]	99.0	77.8	91.7	0.817	1.00	97.3	92.4	95.6	0.903	0.98	96.7	91.2	94.8	0.884	0.98
AR															
[10]	58.0	99.3	88.3	0.691	0.88	59.1	98.9	88.3	0.691	0.87	55.8	99.0	87.5	0.667	0.86
[100]	69.1	98.7	90.9	0.759	0.91	64.4	98.1	89.1	0.711	0.87	64.5	98.3	89.3	0.715	0.86
[1000]	65.0	98.6	89.7	0.727	0.89	61.6	98.2	88.5	0.693	0.86	61.5	98.3	88.6	0.695	0.86
[10,10]	67.1	99.0	90.5	0.750	0.90	62.7	98.5	89.0	0.708	0.86	61.6	98.6	88.8	0.701	0.87
[100,100]	76.1	99.4	93.2	0.823	0.95	69.2	97.8	90.2	0.740	0.87	68.0	98.1	90.1	0.737	0.87
[1000,1000]	73.3	99.4	92.5	0.804	0.94	65.8	97.9	89.3	0.717	0.87	64.4	98.2	89.2	0.713	0.87
hERG															
[10]	93.5	53.5	77.5	0.529	0.87	91.6	48.2	74.3	0.454	0.82	92.0	46.1	73.7	0.441	0.81
[100]	94.1	49.9	76.4	0.508	0.86	92.2	45.8	74.2	0.443	0.81	92.9	44.1	73.4	0.438	0.80
[1000]	89.7	64.3	79.7	0.568	0.87	84.6	59.5	72.7	0.458	0.82	87.0	55.0	74.2	0.450	0.81
[10,10]	94.1	85.0	90.5	0.800	0.97	86.1	67.0	78.4	0.545	0.86	86.3	63.8	77.3	0.519	0.85
[100,100]	96.2	90.5	93.9	0.873	0.98	84.9	69.8	78.8	0.555	0.86	85.1	65.5	77.3	0.519	0.84
[1000,1000]	95.0	87.5	92.0	0.833	0.98	84.2	66.8	77.2	0.520	0.86	83.4	65.5	76.2	0.498	0.84
SERT															
[10]	99.2	72.4	93.4	0.799	0.98	99.1	66.1	91.7	0.752	0.97	99.0	67.6	92.1	0.760	0.97
[100]	99.0	89.2	96.9	0.906	0.99	98.4	83.8	95.1	0.856	0.98	98.6	83.1	95.2	0.857	0.98
[1000]	99.2	77.2	94.4	0.831	0.98	98.8	73.8	93.3	0.797	0.97	99.1	73.9	93.5	0.805	0.97
[10,10]	99.0	89.7	97.0	0.909	0.99	98.9	82.1	95.1	0.857	0.98	98.7	83.1	95.3	0.858	0.98
[100,100]	99.4	95.8	98.6	0.959	1.00	98.2	86.1	95.6	0.867	0.98	98.6	86.8	96.0	0.882	0.99
[1000,1000]	99.4	98.2	99.1	0.975	1.00	98.1	91.1	96.5	0.897	0.99	98.4	90.5	96.6	0.901	0.99

^a SE = sensitivity, SP = specificity, ACC = accuracy, MCC = Matthews correlation coefficient, ROC-AUC = area under receiver operating characteristic curve.

connectivity once salt counterions have been stripped, resulting in different tautomers and enantiomers being treated as different data points. Where chemicals have contrasting experimental datapoints from ChEMBL and ToxCast, the

ChEMBL data value was used. All experimental positive compounds are binders irrespective of agonistic and antagonistic activity.

Table 3 Average model performance and standard deviation (SD) for the best performing DNN models at each target. Full results can be found in the ESI (Table S10)^a

	Training data					Validation data					Test data				
	SE	SP	ACC	MCC	ROC-AUC	SE	SP	ACC	MCC	ROC-AUC	SE	SP	ACC	MCC	ROC-AUC
AVERAGE	92.1	96.5	95.8	0.901	0.99	86.9	93.2	92.5	0.822	0.96	86.2	92.9	92.2	0.814	0.96
SD	8.8	4.2	3.1	0.069	0.02	11.7	5.9	4.1	0.091	0.04	12.1	6.5	4.2	0.093	0.04

^a SE = sensitivity, SP = specificity, ACC = accuracy, MCC = Matthews correlation coefficient, ROC-AUC = area under receiver operating characteristic curve.



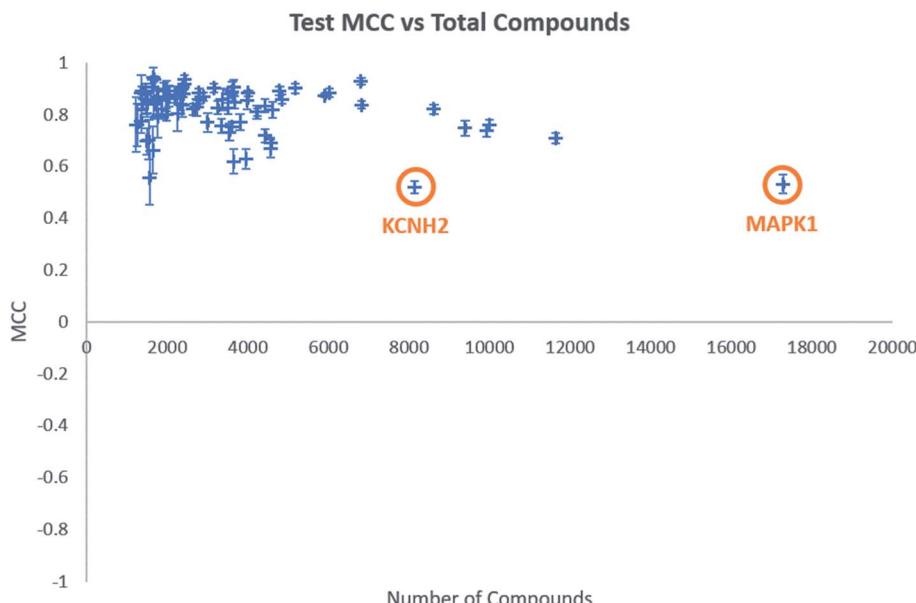


Fig. 1 Test MCC vs. total number of compounds for all biological targets. Error bars shown are standard deviations across the five-fold clustered cross-validation.

Molecular representation

Chemical fingerprints were generated using RDKit (version 2019.09) for Python.⁴⁴ To obtain a good balance between model performance and computational complexity, the type, radius and length of fingerprints must be chosen appropriately. Extended Connectivity Fingerprints (ECFPs) at radius 4 and 6 and several lengths, and MACCS keys were investigated using data for five biological targets and several network architectures. The results of this study are shown in the ESI (Tables S1

and S2†), and the best models were produced using ECFP4 fingerprints at length 10 000.

Cross-validation strategy

The statistical performance of these networks as molecular activity predictors was evaluated using clustered five-fold cross-validation.¹³ This should help to alleviate bias in the ChEMBL and ToxCast data where in some cases several molecules are from a structural series, with only small structural differences

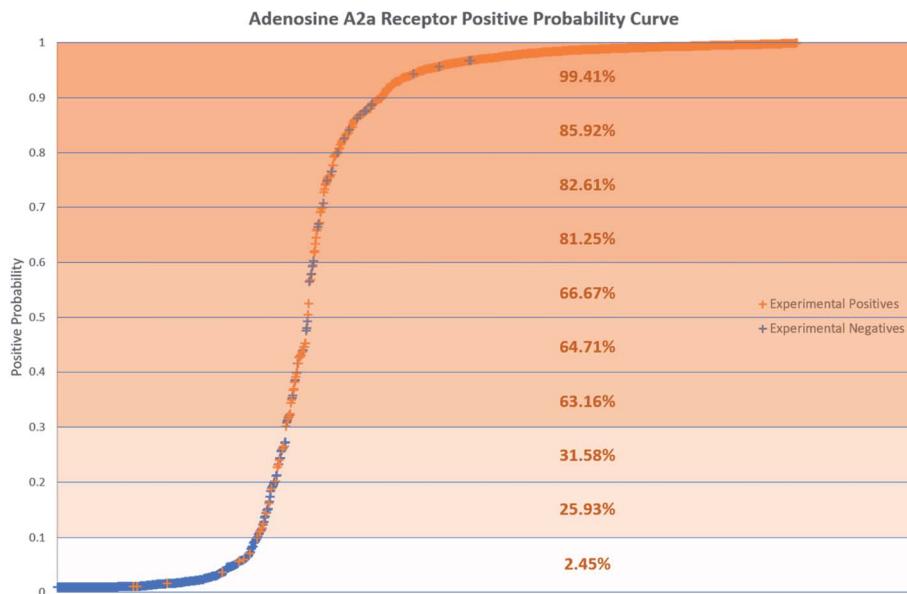


Fig. 2 Positive probability curve showing compounds tested at the ADORA2A. Positive probability is the probability a compound is active at the ADORA2A calculated by a trained DNN using the Softmax function. Percentages in each 10% section indicate the percentage of compounds in that section which are experimental positives.



Table 4 Average model performance and standard deviation (SD) for the best performing DNN models at each target for the validation data sets when adjusted activity thresholds of 0.1 and 0.9 were applied. Full results can be found in the ESI (Tables S11 and S12)^a

	0.1				0.9			
	SE	SP	ACC	MCC	SE	SP	ACC	MCC
AVERAGE	97.0	59.9	79.9	0.619	53.5	98.8	81.3	0.610
SD	4.2	21.3	10.2	0.137	22.8	1.6	6.6	0.125

^a SE = sensitivity, SP = specificity, ACC = accuracy, MCC = Matthews correlation coefficient.

between them. These molecules can then be easy to predict in the test set if others are placed in the training set, overestimating model performance.

Chemical clustering was performed based on chemical fingerprints of the type used as DNN input (ECFP4, length 10 000) using a maximum distance between any 2 clusters of 0.3. This produces a large number of clusters based on the input data. Recombination was then performed to form five clusters of equal size. In each case, one cluster was withheld as a test set and a model trained and validated on the remaining four clusters, with the training and validation sets shuffled and split randomly into 75% training and 25% validation sets.

DNN architecture

Binary classification DNNs were constructed and trained using TensorFlow in Python 3. For five initial biological targets (AChE, ADORA2A, AR, KCNH2 and SLC6A4) the number of hidden layers was varied as either one or two, and the number of neurons in each hidden layer was also varied (10, 100 or 1000) to establish the best architectures for further biological targets. ReLU (rectified linear unit) activation functions were used to provide non-linearity based on an initial investigation into model performance comparing Sigmoid, ReLU and combinations of both. The results of this study can be found in the ESI (Tables S3 and S4†). Chemical features were input as discussed above and a binary prediction of biological activity at a target was provided as an output.

In these initial cases (Table 2), networks with two hidden layers of either 100 or 1000 neurons were found to perform best, judged based on having (i) the highest test set MCC, (ii) the highest test set ROC-AUC, (iii) the highest validation set MCC. For the remaining biological targets, the networks were trained and compared to establish the best models. These models were then compared to structural alert (SA) and RF models using identical training and test sets to establish which predictors worked best. Further details on the neural networks and validation statistics are given in the ESI.†

Neural network activation similarity

We calculate the neural network activation similarity (NNAS) from the properties of each of the nodes of a trained neural network reacting to the fingerprint of a molecule. For a trained

Table 5 Average model performance and standard deviation (SD) for the structural alert (SA), random forest (RF) and deep neural network (DNN) models at each target on a consistent training/test set split. Full comparisons can be found in the ESI (Table S13)^a

		Training set				Test set			
		SE	SP	ACC	MCC	SE	SP	ACC	MCC
SA	Average	91.0	95.8	95.0	0.882	84.1	93.5	91.1	0.790
	SD	7.4	3.5	2.3	0.050	11.6	4.6	4.2	0.096
RF	Average	94.9	94.7	96.4	0.915	89.0	90.4	92.2	0.815
	SD	9.9	5.7	3.1	0.072	11.6	8.1	4.0	0.091
DNN	Average	92.3	96.8	95.9	0.904	87.9	93.6	92.8	0.832
	SD	8.8	3.0	3.1	0.066	10.4	5.9	4.0	0.089

^a SE = sensitivity, SP = specificity, ACC = accuracy, MCC = Matthews correlation coefficient.

DNN a network activation vector, a , is induced by an input fingerprint, $x(0)$, which can be defined as a vector:

$$a(x^{(0)}) = \left(x_1^{(L_i)}, x_2^{(L_i)}, \dots, x_{K^{(L_i)}}^{(L_i)}, \dots, x_1^{(L_j)}, x_2^{(L_j)}, \dots, x_{K^{(L_j)}}^{(L_j)} \right)$$

$K(L)$ is the total number of nodes in layer L and different layers can be combined. The similarity between two compound's network activation vectors, a_1 and a_2 is measured by Euclidean similarity, which relates to Euclidean distance DE via the following equations:

$$\text{NNAS}(a_1, a_2) = \frac{1}{1 + D_E(a_1, a_2)}$$

$$D_E(a_1, a_2) = \sqrt{\sum_{i=0}^n (a_{1i} - a_{2i})^2}$$

where $0 \leq \text{NNAS} \leq 1$ and n is the total number of nodes considered in the calculation. The NNAS provides a measure of the similarity of molecules which is different to traditional Tanimoto similarity. As an additional comparison point, RF similarity (RFS), was calculated using the Euclidean distance between normalized vectors consisting of the 50 most important physicochemical descriptors identified in RF model construction.³⁸ This gives an appropriate comparison point based on trained machine learning models, and Tanimoto similarity gives a comparison based on chemical similarity and the DNN model inputs.

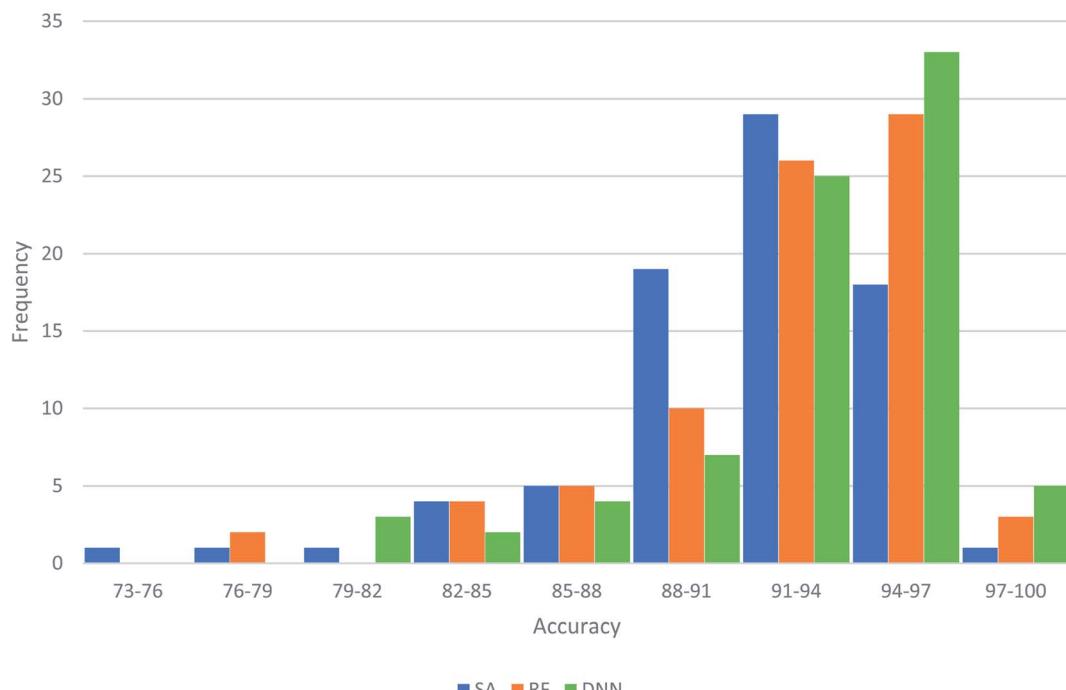
All datasets and code used in this project are provided via GitHub (https://github.com/teha2/chemical_toxicology). These and generated models are available in the University of Cambridge repository (<https://doi.org/10.17863/CAM.50429>).

Results and discussion

Statistical performance for the DNNs constructed is included in the ESI.† A summary of the results is shown in Table 3. On



Accuracy Model Comparison Histogram



MCC Model Comparison Histogram

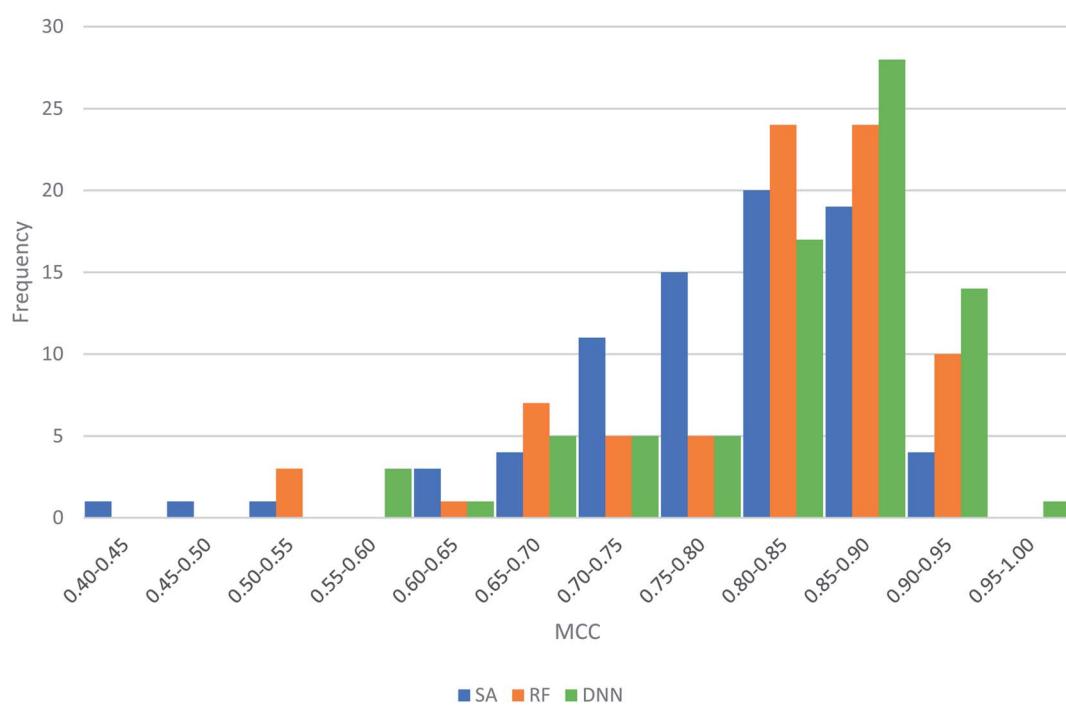


Fig. 3 Histograms showing the distribution of test set model performance across the three modelling approaches, structural alerts (SAs), random forests (RFs) and deep neural networks (DNNs).

average the models show high levels of predictivity, with test accuracy of $92.2 \pm 4.2\%$, test MCC of 0.814 ± 0.093 and test ROC-AUC 0.96 ± 0.04 . This is a high level of performance considering several machine learning algorithms struggle to

achieve accuracy values above 90% in binary classification tasks,¹⁻⁵ although the difficulty of the task must be considered when comparing model performance. The models also do not show excessive levels of overfitting, as can be a problem with



Table 6 Average statistical performance for models with test sets generated using chemical clustering and generated randomly. Clustered statistics are taken from Table 3 and random statistics generated from Table 5. The difference shown is the change in performance when moving from random to clustering^a

	ACC	MCC	ROC-AUC
Clustered test set	92.2	0.814	0.96
Random test set	92.8	0.832	0.96
Difference	-0.6	-0.018	0

^a ACC = accuracy, MCC = Matthews correlation coefficient, ROC-AUC = area under receiver operating characteristic curve.

DNNs. This can be assessed by considering the differences between model performance on the training set and the validation/test sets. In this case, the differences are 3.3%, 0.079 and 0.03 for the validation set and 3.6%, 0.087 and 0.03 for the test set for the accuracy, MCC and ROC-AUC respectively, which can be considered modest.

Individual model performance was also considered in relation to the dataset size (Fig. 1). Test MCC does not appear to increase as dataset size increase, as one might expect, but the standard deviation across the five-fold clustered cross-validation, shown by the error bars, does appear to decrease. This does suggest that larger datasets will provide more consistent models, even if their performance is similar to datasets of around 2000–4000 data points. Notable in Fig. 1 are the labelled data points which appear to show low model MCC for quite large datasets. These are the targets KCNH2 and

MAPK1, which were identified in our previous publication as challenging classifications in this dataset.³⁸

Positive probability values were also calculated for AR binders using the Softmax function on an optimal trained DNN (Fig. 2). This functionality allows a prediction made by the DNN to be accompanied by a percentage indicating how confident the method is that the chemical is an experimental positive. This provides an estimation of the quality of a given binary prediction, with higher probabilities indicating higher confidence in a prediction. For example, the confidence in a positive prediction with positive probability greater than 0.9 at the AR increases greatly, with almost 99.5% of validation set compounds in this area being experimental positives in Fig. 2. This helps to increase confidence in the method for a safety science decision. Positive probability predictions around 0.5 can be considered untrustworthy and followed up with further calculations or experimental testing, and the threshold for model positive prediction assignment can also be adjusted depending on the model's purpose. Table 4 shows a summary of two such example cases, where the threshold during model recall is changed to 0.1 and 0.9 to provide better predictivity of active or inactive chemicals respectively. The 0.1 threshold may be of more use in a screening process when you are considering which chemicals to advance during product development where no pharmacological effects are desired, as any negative predictions made are more likely to be correct. If you are prioritizing chemicals for experimental testing and want to increase the likelihood of finding an active at a particular MIE, the 0.9 threshold may be more useful for the inverse reason. More extensive results in this study are included in the ESI.†

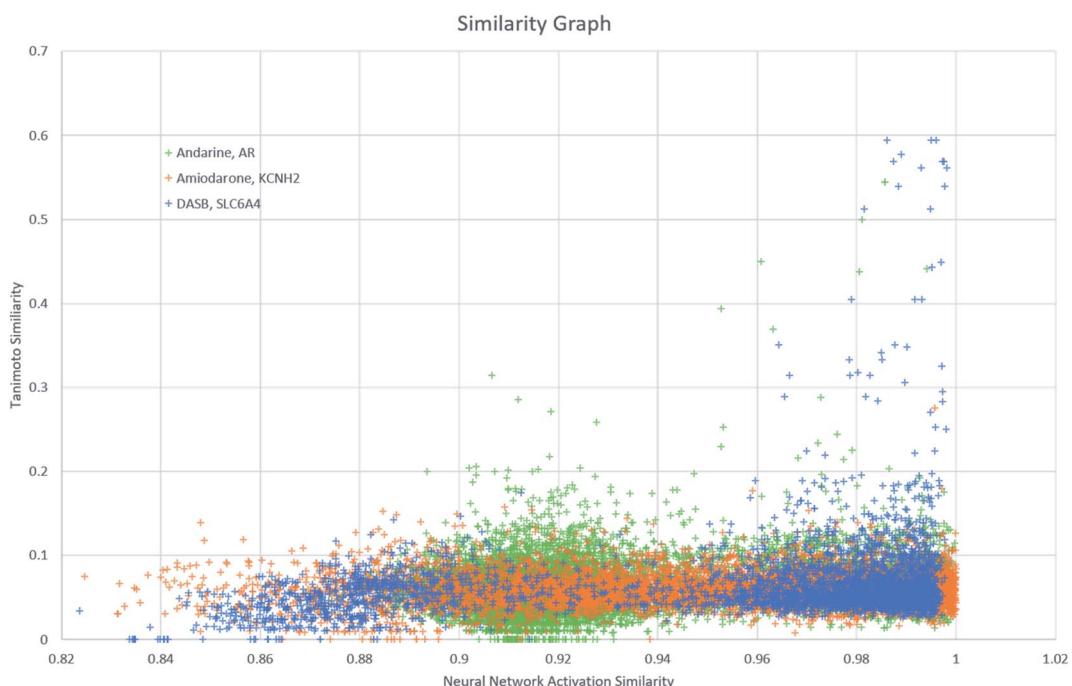


Fig. 4 A graph showing the relationship between Tanimoto similarity and NNAS values for the three typical binders andarine, amiodarone and DASB.



The best performing DNN for each biological target was compared to models previously generated using SAs and RFs.³⁸ In our previous study, the SAs were constructed using structures obtained from a maximal common substructure algorithm and selected using Bayesian statistics to iteratively select the best alerts. The RF models were based on 200 physicochemical descriptors calculated in RDKit and modelled in sklearn. To ensure fairest possible comparison the DNNs were retrained using the same training and test data as the other two methods. Average results across the three methods are compared in Table 5, with the distributions of these comparisons shown in Fig. 3. Further comparisons are available in Table S13 and Fig. S1 and S2 in the ESI.[†] Overall, the DNNs show a statistically significant increase in MCC over the SAs and RFs in a *P*-value test ($\alpha = 0.05$). On average the accuracy of models increases by 1.7% compared to SAs and 0.67% compared to RFs. The MCC increases by 0.042 and 0.018 respectively. This represents a notable improvement, as the number of inaccurate predictions decreases from 8.9% in the SA model to 7.2% in the DNN model, a percentage decrease of 19%. For the RF models, the decrease is 9%, from 7.8% incorrect predictions to 7.2%. Fig. 5 shows that the distributions of model accuracies and MCCs do show overlap between the methods with DNN predictions being the highest performing overall. Despite this overlap the DNNs do well in direct model comparisons, with 69 DNN models showing higher test accuracies and 71 higher test MCC values

compared to SAs, and 59 higher test accuracies and 62 higher test MCC values compared to RFs. Of the 632 comparisons in model performance shown in Table S13,[†] the DNNs perform better 459 times (73%).

The average test set results for these models can also be considered as a comparison between cross-validating using a clustered test set and a randomly assigned test set. These differences are shown in Table 6 and show a small decrease in statistical performance when clustering is used, as is to be expected. The first two decimal places of the ROC-AUC values do not change.

Finally, NNAS calculations were made, considering how signals propagate through the hidden layers of a DNN when different chemical fingerprints are introduced. This approach is analogous to the use of feature-space distance or latent-space distance which has been used to quantitatively assess DNN uncertainty.⁴⁵ These neural network activation similarities between chemicals are considered as potential guidance for read-across in toxicity risk assessment. NNAS calculations were carried out on the highest performing trained DNNs we compared to the SA and RF models using all nodes in all hidden layers of those networks. Typical binders from the literature that were not in the model training sets were identified and used for this task. Amiodarone, a typical AR binder,⁴⁶ amiodarone, a typical KCNH2 binder,⁴⁷ and 3-amino-4-(2-dimethylaminomethylphenylsulfanyl)-benzonitrile (DASB),

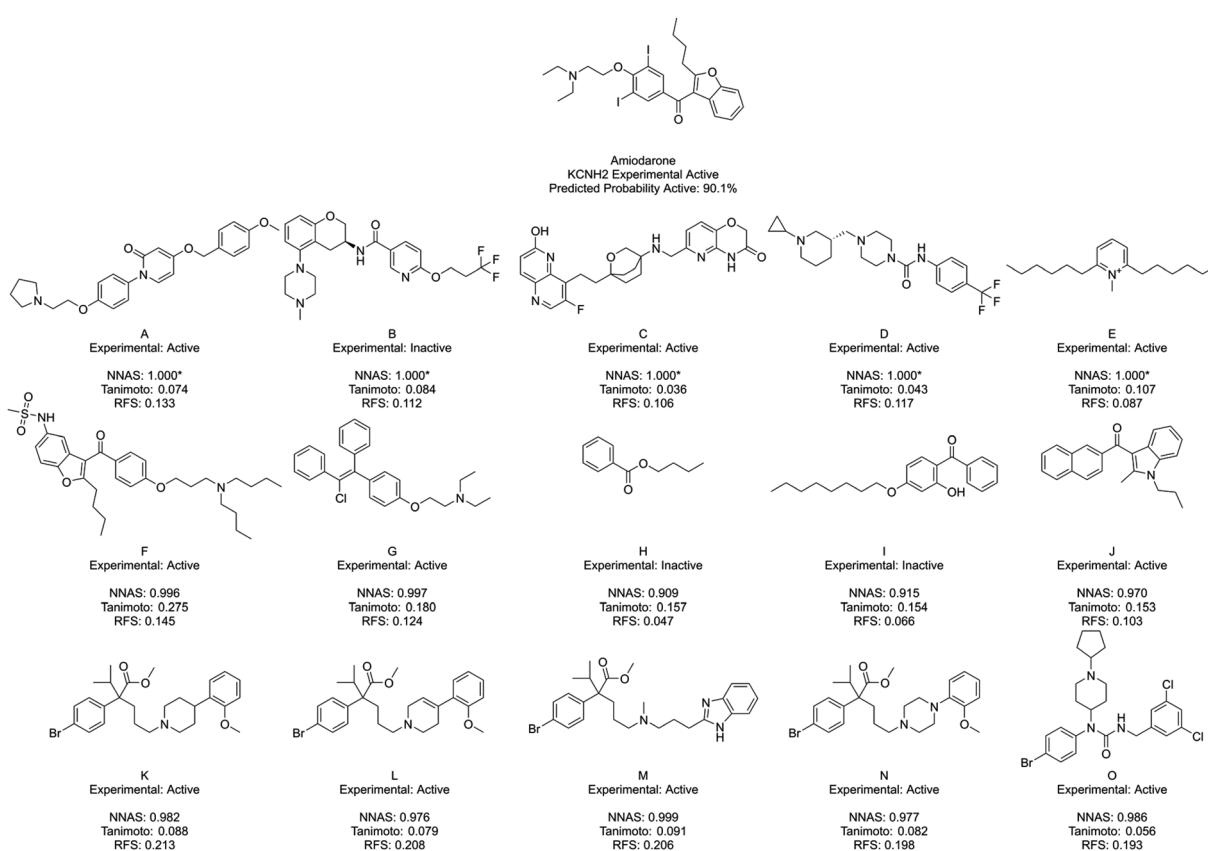


Fig. 5 Amiodarone, a typical KCNH2 binder, and its five most similar neighbours as measured by NNAS (A–E), Tanimoto similarity (F–J) and RFS (K–O). Starred network activation similarity values have been rounded to 1.000 but do not represent exact matches.



a typical SLC6A4 binder,⁴⁸ had their activities predicted by the networks and the highest NNAS, Tanimoto similarity⁴⁹ and RFS compounds from the training set were identified. In all three cases, the DNN and the RF correctly predicted the activity of the typical binder. Generally, NNAS values are higher than Tanimoto or RF similarities and have a narrower range. Both Tanimoto and RF similarities typically range from zero to a high between 0.2 and 0.6. Network similarities typically range between 0.8 and 1. In most cases, the compounds with the highest similarities, measured by one metric, are different from the compounds with the others. This is reflected throughout the dataset if the compounds are ranked based on their network and Tanimoto similarity the RMSE between these ranks is always found to be greater than 1000 places. The relationship between Tanimoto and NNAS values is shown for all three case studies in Fig. 4. These plots show a difference between the similarity values as a lack of strong correlation between them. The lack of clear correlation here shows that the networks are not simply memorizing the fingerprint bit strings, they are learning which bits and combinations of bits are important for chemical activity.

Fig. 5 shows amiodarone, an experimentally active KCNH2 binder, and its five most similar chemicals as measured by NNAS (A–E), Tanimoto similarity (F–J) and RFS (K–O). In this case, the Tanimoto similar compounds all show relatively low similarity (<0.3) and only F appears similar enough to consider making a read-across. The NNAS calculation may be more useful in this case, identifying more experimentally active compounds (4 vs. 3) and more compounds with basic nitrogen atoms attached by linkers to aromatic rings (4 vs. 2), a structural feature associated with biological activity at the KCNH2 target.⁵⁰ The RFS calculation identified 5 experimental positive compounds which all contain the basic nitrogen attached to aromatic ring motif, but they all appear to come from a single chemical series – perhaps biasing this result. This example suggests the DNN classifier is learning the right kind of features in this difficult classification task.

Fig. 6 shows DASB, an SLC6A4 active chemical, and its similar compounds using the same lettering system. All identified chemicals are active in this case. The Tanimoto and RFS most similar chemicals show a high level of structural similarity to DASB, making them appear as good read-across candidates.

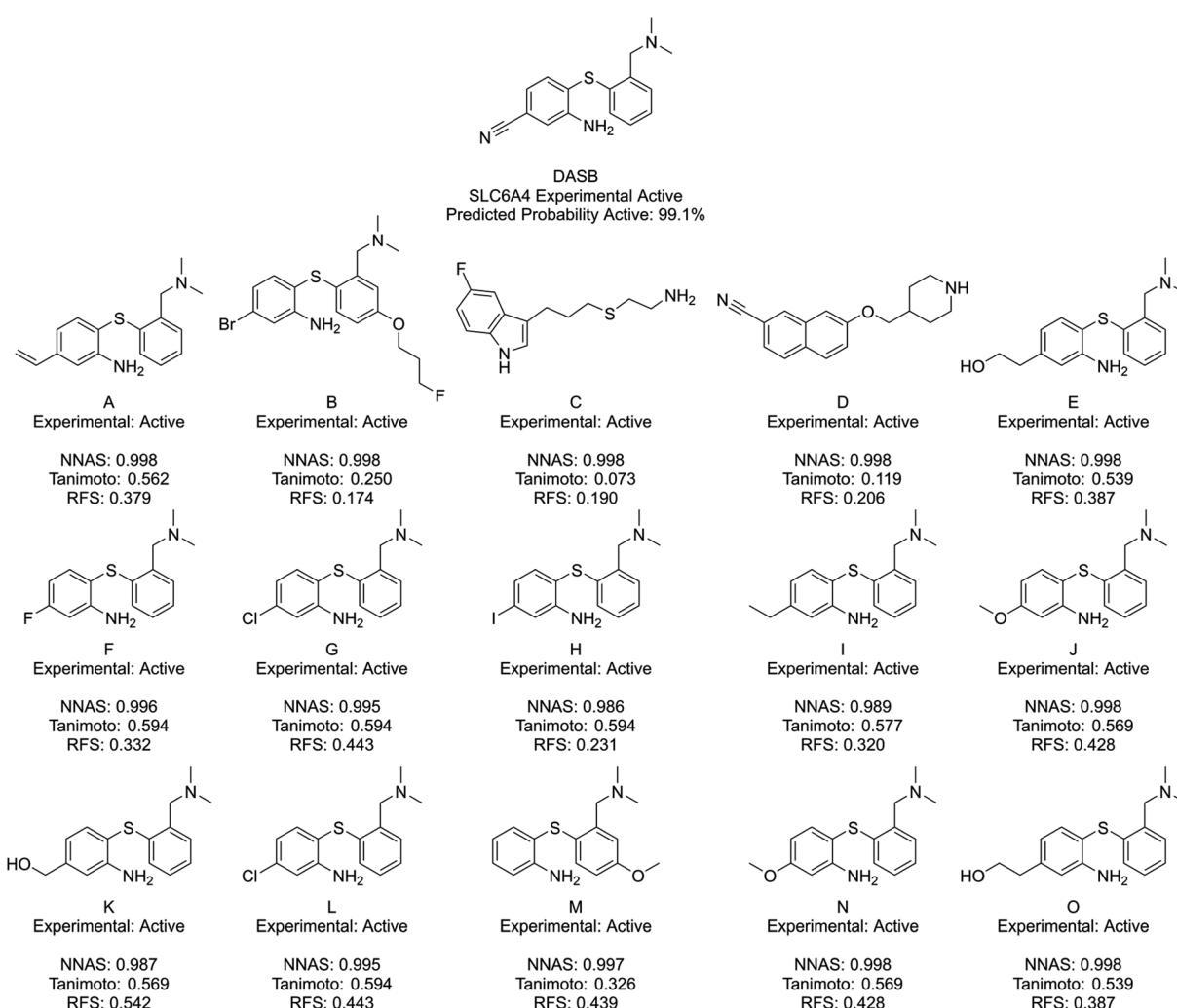


Fig. 6 DASB, a typical SLC6A4 binder, and its five most similar neighbours as measured by NNAS (A–E), Tanimoto similarity (F–J) and RFS (K–O).



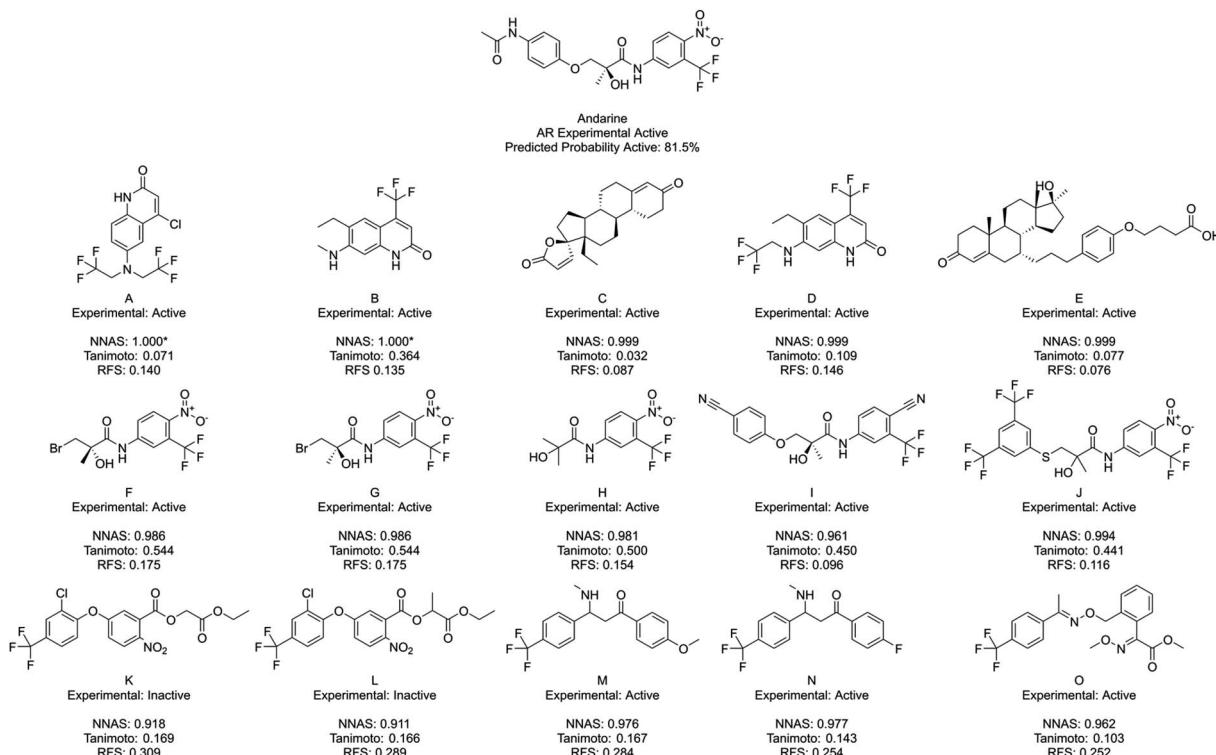


Fig. 7 Andarine, a typical AR binder, and its five most similar neighbours as measured by NNAS (A–E), Tanimoto similarity (F–J) and RFS (K–O). Starred network activation similarity values have been rounded to 1.000 but do not represent exact matches.

They do miss a chemical feature picked up by the NNAS chemicals in a pi-bonding group in the lower left-hand corner, which is present in A. D also shows a similar feature attached to an aromatic ring. Features such as these can be critical to biological activity, and, interestingly, the most network similar compounds pick up these features over the arguably more similar features in F–O.

Finally, Fig. 7 shows Andarine, an experimentally active chemical at the AR, and its most similar compounds. The top five in both the NNAS and Tanimoto lists are all experimentally active, while only two of the most similar RFS compounds are. No chemical is in the top five for two of the similarity measures showing how they are able to measure different types of similarity. Chemicals F, G and H are highly similar to the right-hand side of Andarine, while I and J appear to have more overall similarity with Andarine's shape. The RFS compounds show flexibly attached aromatic rings trifluoromethyl groups and nitro groups. NNAS compounds show trifluoromethyl groups in A, B and D and steroidal structures in C and D. Chemical B, in particular, is an interesting case as it shows relatively high Tanimoto similarity (0.364) while appearing quite different. In this case, the highest Tanimoto similar chemicals are probably the most useful for identifying a read-across relationship.

Across the three case studies, the concordance between reference chemical experimental activity and analogue activities was calculated. When considering the five most similar analogues, network, Tanimoto and RF similarities show similar concordances (93%, 87% and 80% respectively). When

additional most similar cases are considered the NNAS show a steadily more impressive concordance (98%, 72% and 60% for twenty, and 98%, 65% and 76% for fifty most similar chemicals). The RFS particularly struggled in the Andarine task – identifying only 17 experimental actives in its top 50 most similar compounds, and otherwise should also be considered a potentially useful metric when RF classifiers are used. While the most Tanimoto similar chemicals can be useful for read-across in a more traditional sense when more cases are considered NNAS is far more useful and does provide insight into the otherwise “black box” NN predictions. All three similarity measures provide potentially useful molecular candidates for read-across, and it is useful that they each provide different molecules giving more options for toxicologists using NNs and RFs in decision making. It is certainly the case that all three similarities should be used together to gain confidence in *in silico* predictions in predictive safety assessment.

Conclusions

In this work, we have constructed DNNs to predict binary activity at human MIEs and developed a new similarity measure, NNAS, which increases confidence in the predictions. Key advantages of this work include the development of a large number of models covering many human toxicology, the use of a balanced dataset with an almost equal number of active and inactive chemicals, high-quality predictions with a high level of



statistical performance which outperforms SAs and RF on identical prediction tasks and the introduction of NNAS for use in toxicity safety evaluation to increase confidence in the predictions made. The developed networks use chemical fingerprint inputs to learn and correctly classify molecules as binders or non-binders. The classifiers show high performance, with an average ROC-AUC value of 0.96 ± 0.04 in clustered five-fold cross-validation. The DNNs can also be used to provide positive probability values associated with each prediction, and these values can provide additional information and confidence values a risk assessor can use in a safety evaluation, including the ability to adjust the threshold for activity depending on the prediction task. These DNNs have been considered against SA and RF models and show a statistically significant ($\alpha = 0.05$) improvement in MCC. We introduce a new measure of similarity, NNAS which can be used as read-across in a safety evaluation decision. These values provide information on how the DNN evaluates the test compound, providing information that Tanimoto similarity alone does not and considerably improving our ability to predict adverse outcomes using computational methods.

The NNAS improves our understanding of these highly predictive DNNs, and so can contribute to the safety evaluation of new chemicals. These powerful machine learning approaches can be used alongside other computational methods, such as QSARs, SAs or expert systems to provide additional confidence when a consensus is reached among predictions. More impact for these *in silico* methods will assist in reducing the reliance on animal experiments, in line with 3Rs objectives.^{51,52} These methods can also be considered in other predictive tasks using DNNs, particularly those using chemical inputs. Neural networks have the potential to assist in a number of chemical-based tasks, including biological activity prediction, choice of chemical reactants or solvents, and spectra interpretation. As such, understanding gained through methods such as this can assist in the discovery of new chemical reactions, the streamlining of chemical synthesis, and reduction in cost associated with experimental discovery.

Author contributions

The manuscript was written through the contributions of all authors. All authors have given approval to the final version of the manuscript.

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Data statement

According to the University of Cambridge data management policy, all the data used in this paper are available either in the paper or in the SI. A copy of the data is also available in the University of Cambridge repository at: <https://doi.org/10.17863/CAM.50429>.

Conflicts of interest

There are no conflicts to declare.

Abbreviations

ACC	Accuracy
AOP	Adverse outcome pathways
DASB	3-Amino-4-(2-dimethylaminomethylphenylsulfanyl)-benzonitrile
DNN	Deep neural network
ECFP	Extended connectivity fingerprint
FN	False negative
FP	False positive
MCC	Matthews correlation coefficient
MIE	Molecular initiating event
NNAS	Neural network activation similarity
QSAR	Quantitative structure–activity relationship
ReLU	Rectified linear unit
RF	Random forest
RFS	Random forest similarity
ROC-AUC	Area under receiver operating curve
SA	Structural alert
SE	Sensitivity
SERT	Serotonin transporter
SP	Specificity
TN	True negative
TP	True positive

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