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Expected and unexpected photoreactions of 9-(10-)substituted anthracene derivatives in cucurbit[*n*]uril hosts†

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By arranging substrates in a “reaction ready” state through noncovalent interactions, supramolecular nanoreactors/catalysts show high selectivity and/or rate acceleration features. Herein, we report the host–guest complexation of 9-(10-)substituted anthracene derivatives (**G1–G3**) with cucurbit[*n*]uril (CB[*n*], *n* = 8, 10), and the photoreactions of these derivatives in the presence of CB[*n*] hosts. Both CB[10] and CB[8] showed no obvious effects on the photoreaction of 9,10-disubstituted derivative **G1**. For **G2** and **G3**, CB[10] operated as either a nanoreactor or catalyst (10%) for the photodimerization of two compounds with high selectivity and high yield. However, although CB[8] formed a 1 : 2 complex with **G2**, as also observed with CB[10], the photosolvolysis product (9-anthracenemethanol) was obtained quantitatively after photoirradiation of the CB[8]·2**G2** complex. This unexpected photosolvolysis was rationalized by a plausible catalytic cycle in which anthracene acts as a photoremovable protecting group (PPG) and the carbonium ion intermediate is stabilized by CB[8].

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Introduction

Inspired by natural enzymes, supramolecular nanoreactors/catalysts have been developed to achieve reactions with high selectivity and/or rate acceleration.^{1,2} In host–guest chemistry, many types of macrocyclic host molecules have been explored as supramolecular nanoreactors/catalysts, such as cyclodextrins,³ calixarenes,⁴ pillararenes,⁵ and nanocages, among others.^{6–9} Photochemical reactions of anthracene and its derivatives, including anthracene dimerization and other unimolecular photoreactions, have been widely investigated over the last 100 years owing to the unique photo-responsive properties of these compounds.^{10,11} However, the anthracene group acted as photoremovable protecting group (PPG) in only one example.^{12,13} In supramolecular chemistry, host–guest interactions have been introduced to regulate the photodimerization of anthracene derivatives.^{14–18} Furthermore, anthracene dimerization has been further used in the design of host–guest-related supramolecular polymers and optical materials/devices.^{19–25}

Cucurbit[*n*]urils (CB[*n*], *n* = 5–8, 10) are a family of pumpkin-like macrocyclic hosts. CB[*n*] compounds bear a hydrophobic cavity and two identical portals surrounded by negative carbonyl groups as structural features, and have potential applications in many fields.^{26–29} Similar to other hosts, CB[*n*]s have also been employed to promote various organic reactions, especially photodimerization reactions,^{30–32} but have

Fig. 1 Structures of (a) hosts CB[*n*] (*n* = 8, 10), (b) guests **G1–G3**, and (c) photoreaction products.

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Scheme 1 Schematic illustration of CB[n]-mediated photoreactions of 9-substituted anthracene derivative G2.

acted as supramolecular catalysts in only a few examples because the product is usually “trapped” in the CB[n] cavity, inhibiting the catalytic process.^{33,34}

In this work, we investigated host–guest complexation between CB[n] ($n = 8, 10$) and 9-(10)-substituted anthracene derivatives (G1–G3) (Fig. 1) in aqueous solution, and the CB[n]-mediated ($n = 8, 10$) photoreaction of these guests. Notably, macrocyclic host-promoted photoreactions of 9-(10)-substituted anthracene derivatives have not been reported previously.^{35–39} Our results showed that CB[10] and CB[8] had no obvious effects on the photoreaction of G1, despite encapsulating G1. However, for G2 and G3, CB[10] operated as either a nanoreactor or catalyst (10%) for the photodimerization of two compounds with high selectivity and high yield. Although CB[8] formed a 1 : 2 complex with G2, as also observed with CB[10], photo-solvolysis of G2 was unexpectedly observed instead of dimerization after photoirradiation of the CB[8]·2G2 complex (Scheme 1). A plausible reaction mechanism referring to anthracene as a PPG is provided.

Results and discussion

Complexation of G1 with CB[n] and photoreactions of complexes

Initially, the host–guest complexation of G1 with CB[n] ($n = 8, 10$) was investigated by NMR, UV/Vis, and fluorescence spectroscopy, and ESI-MS analysis. ¹H NMR titration experiments clearly demonstrated the formation of host–guest complexes CB[10]·G1 and CB[10]·2G1. As shown in Fig. 2b, binding between G1 and CB[10] exhibited slow exchange kinetics on the ¹H NMR time scale, because both free and bound proton signals were observed. The slow exchange kinetics also allowed the binding ratio to be calculated as 1 : 2 (host/guest) from the integrals for peaks of bound guest and host. When the amount of CB[10] added was increased to 0.5 equiv. (Fig. 2c), a new set of bound guest peaks (marked as ‘&’) was observed, along with a tiny amount of free guest (marked as ‘*’). Clearly the new inclusion complex also exhibited slow exchange kinetics on the ¹H NMR time scale. When excess CB[10] was added to a solution of G1

Fig. 2 ¹H NMR spectra (600 MHz, D₂O, 298 K): of (a) free G1 (2.5 mM); (b) 4 : 1 mixture of G1 and CB[10]; (c) 2 : 1 mixture of G1 and CB[10]; (d) G1 and excess CB[10] (resonances of free G1 are marked with ‘*’; resonances of CB[10]·2G1 are marked with ‘#’, resonances of CB[10]·G1 are marked with ‘&’; proton signals of impurities are marked with ‘x’).

(free CB[10] is insoluble in water and complexation with the guest usually allows CB[10] to enter the aqueous phase⁴⁰), we calculated the binding ratio of CB[10] with G1 to be 1 : 1, according to ¹H NMR peak integration (Fig. 2d). ESI-MS also indicated the existence of two inclusion complexes, CB[10]·G1 and CB[10]·2G1. As shown in Fig. S1a,† ion peaks at m/z 577.5, 769.6, and 781.6 were observed, corresponding to 1 : 2 complex CB[10]·2G1 ($[CB[10] + 2G1^{4+} - 4H^+]^{4+} = 577.5$; $[CB[10] + 2G1^{4+} - 5H^+]^{3+} = 769.6$; $[CB[10] + 2G1^{4+} - 4H^+ + Cl^-]^{3+} = 781.6$). The ion peak at m/z 996.4, corresponding to 1 : 1 complex CB[10]·G1, was also observed ($[CB[10] + G1^{4+} - 2H^+]^{2+} = 996.4$) (Fig. S1†).

UV/Vis and fluorescence titrations were used to examine the complexation of CB[10] with G1 in aqueous solution. With the addition of CB[10], the long-wavelength absorption of free G1 at $\lambda_{max} = 373$ nm showed a bathochromic shift (Fig. S2†). In the absence of CB[10], G1 showed the anthracene emission with a maximum wavelength of 422 nm. The fluorescence intensity of G1 changed when CB[10] was added continuously, owing to host–guest complexation of G1 with the CB[10] host (Fig. 3).⁴¹ Furthermore, before the amount of CB[10] was increased to ~0.5 equiv., the fluorescence intensity of G1 was observed to continuously decrease. However, at 1.0 equiv., the intensity increased about two-fold compared with that of G1 itself, with a slight redshift. Combined with the NMR data, we speculated that CB[10] initially increased π – π stacking of G1 by encapsulating two guest molecules in the cavity, causing fluorescence quenching through formation of the H-dimer of G1.⁴² The further formation of 1 : 1 inclusion complex CB[10]·G1 prevented G1 from stacking and exterior aqueous environment, resulting in a stronger G1 emission.⁴¹ Meanwhile, host–guest complexation between CB[8] and G1 was examined by ¹H NMR (Fig. S3†) and ESI-MS (Fig. S4†). Broadening of all proton signals, including those of CB[8], was observed when the amount of CB[8] was 0.5 equiv. (Fig. S3c†), indicating that

Fig. 3 Fluorescence emission spectra recorded for **G1** (31.25 μM) upon titration with CB[10]. Inset: emission of **G1** vs. CB[10] equivalent ($\lambda_{\text{ex}} = 250 \text{ nm}$, $\lambda_{\text{em}} = 422 \text{ nm}$).

binding between CB[8] and **G1** underwent intermediate exchange on the ^1H NMR time scale.⁴³ ESI-MS showed one ion peak at m/z 826.2, corresponding to 1 : 1 complex CB[8]·**G1** ($[\text{CB}[8] + \text{G1}^{4+} - 2\text{H}^+]^{2+} = 826.2$).

With the binding results in hand, we checked the photoreactions of **G1** in the absence and presence of CB[n] ($n = 8, 10$). Prior to UV irradiation at room temperature, all samples (in D_2O or pure water) were degassed with nitrogen for 15 min ($[\text{G}] = 2.5 \text{ mM}$), and the reaction was monitored by ^1H NMR and UV/Vis spectroscopy. As shown in Fig. S5a,† the photoproducts of **G1** were complicated and unidentified. In the presence of 0.25 or 0.5 equiv. of CB[10], ratios which benefitted the dimerization reaction, the dimerization product was not observed as expected (Fig. S5b and c†). Molecular modeling (MMFF) suggested two **G1** molecules could adopt an “X” shape in the CB[10]·2**G1** complex owing to electrostatic repulsion between the 9,10-

substituted positive groups (Fig. 4),⁴³ which was not a “reaction ready” state for dimerization. Similar results were obtained for the photoreaction of **G1** with various contents of CB[8]. Therefore, guest **G2** containing one substituent at the 9-position was designed.

Complexation of **G2** with CB[n] and photoreactions of complexes

Similar to **G1**, we first investigated the host–guest complexation of **G2** with CB[n] hosts, and then conducted the photoreaction of **G2** with/without CB[n] ($n = 8, 10$). ^1H NMR titrations showed that the aromatic proton and H_a signals of **G2** were shifted upfield ($\Delta\delta = 0.97, 0.87, 0.97, 1.28, 1.05$, and 1.63 ppm for protons $\text{H}_1\text{--H}_5$ and H_a , respectively) more than the H_b and H_c proton signals ($\Delta\delta = 0.47$ and 0.28 ppm , respectively) by at least 0.40 ppm , suggesting that the anthracene moiety had advanced deeper and that the substituent was located around the portals of CB[10] (Fig. S6†). Broadening of the guest signals again implied that the binding of CB[10] and **G2** underwent intermediate exchange on the ^1H NMR time scale.⁴³ Upon adding excess CB[10], the ratio of CB[10] to **G2** was calculated to be 1 : 2 based on the integrals of the host and guest signals (Fig. S6c†). ESI-MS data further confirmed the formation of 1 : 2 complex CB[10]·2**G2**. As shown in Fig. S7,† an ion peak was observed at m/z 1081.9, which corresponded to the 1 : 2 complex ($[\text{CB}[10] + 2\text{G2}^{2+} - 2\text{H}^+]^{2+} = 1081.9$). UV/Vis and fluorescence titration experiments also corroborated the host–guest interaction of **G2** and CB[10] in the aqueous phase. The fluorescence emission intensity of **G2** decreased upon adding 0.5 equiv. of CB[10] (Fig. S8†), suggesting the existence of π – π stacking between the encapsulated anthracene units of **G2**, similar to that of **G1**. Interestingly, compared with **G1**, the binding of monosubstituted **G2** with CB[8] exhibited slow exchange kinetics on the ^1H NMR time scale, allowing the binding ratio to be calculated as 1 : 2 (host/guest) through integration (Fig. S9†). This

Fig. 4 Plausible binding modes of guests with CB[n] (MMFF-minimized).

1 : 2 binding was further verified by ESI-MS (Fig. S10†). The differentiation of proton signals on the left and right phenyl rings in **G2**, and no splits in the proton signals of CB[8] under slow exchange kinetics, suggested that the anthracene moieties were inserted into the cavity of CB[8] with the two incorporated **G2** molecules adopting a head-to-tail (h-t) orientation. Indeed, the MMFF-minimized model of complex CB[8]·2**G2** showed partial aromatic rings of two **G2** molecules located in the CB[8] cavity. The dihedral angle between the plane of the included anthracene group and the equatorial plane of CB[8] was about 59° (Fig. 4 and S11†). The distance between two included parallel anthracene groups was about 3.42 Å, suggesting the presence of π - π stacking.

Under the same conditions as for **G1**, photoreactions of **G2** were conducted in the absence and presence of CB[*n*] (*n* = 8, 10). As shown in Fig. S12,† after UV irradiation for 6 h, h-t dimer product **DG2** (purified and identified by irradiating complex CB[10]·2**G2**) was observed with unidentified side products and unreacted **G2**. In comparison, in the presence of 0.5 equiv. of CB[10], almost 100% of **G2** was converted into a single product within 25 min. As shown in Fig. 5b, under UV irradiation for 10 min, **G2** was partially converted into presumed new photoproduct(s). **G2** was consumed after 25 min, with only one set of CB[10] host and bound product(s) peaks remaining (Fig. 5c). After the appropriate amount of 3,5-dimethylamantidine hydrochloride (3,5-DMADA) was added to the irradiated solution of CB[10]·2**G2** to displace the product(s) from the CB[10] cavity (CB[10]·2(3,5-DMADA) is insoluble in water⁴⁴), only one exclusive product, characterized as h-t dimer **DG2**, was obtained in almost quantitative yield (characterization data for **DG2** is shown in Fig. S13–S15 in ESI†). By monitoring absorbance changes of **G2** at λ = 370 nm, we calculated the yields of **DG2** with various irradiation times. As “the intra-complex photodimerization is unimolecular in nature”,¹⁸ an apparent rate constant (k_1) of $0.1158 \pm 0.0079 \text{ min}^{-1}$ for the dimerization reaction of **G2** with 50% CB[10] was calculated with first-order kinetics (Fig. S16†). The half-conversion time ($t_{1/2}$, time for

Table 1 Half-conversion time ($t_{1/2}$) for guests with/without CB[*n*] (*n* = 8, 10) in water at 298 K

Host	$t_{1/2}$ (G2)	$t_{1/2}$ (G3)
None	1.7 h ^a	7.5 h ^a
CB[10] (50%)	6 min ^b	37 min ^b
CB[10] (10%)	44 min ^b	110 min ^b
CB[8] (50%)	8 min ^c	— ^d
CB[8] (5%)	35 min ^c	— ^d

^a Estimated from NMR integrals (Fig. S12 and S32). ^b Calculated by fitting equation (Fig. S16, S18 and S39). ^c Estimated from diagrams of product yields (Fig. S26). ^d Not determined.

conversion of half the substrate) was used because the rate constant could not be accurately measured in some cases. Clearly, in the presence of 50% CB[10], the dimerization reaction of **G2** was accelerated tremendously (Table 1). The high conversion, high selectivity, and rate acceleration of this photoreaction of **G2** within CB[10] suggested that two **G2** molecules were preorganized by CB[10] to adopt a “reaction ready” h-t orientation, which was in good agreement with the MMFF-minimized model of complex CB[10]·2**G2** in Fig. 4.

Inspired by a previous sample,³³ we investigated whether CB[10] could act as a supramolecular catalyst to convert **G2** into **DG2** exclusively. In the presence of 0.1 equiv. of CB[10] (10%), almost 100% of **G2** was converted into photoproduct **DG2** with trace amounts of side products within 120 min (Fig. S17†). The $t_{1/2}$ of 44 min was calculated from the first-order kinetics equation (Fig. S18†). In comparison, the $t_{1/2}$ of **G2** without CB[10] was estimated to be 1.7 hours (Table 1). All data suggested that CB[10] operated as the supramolecular catalyst in this case, although the photoreaction took longer to achieve than that with 0.5 equiv. of CB[10] (Scheme 1). As mentioned above, effective replacement of the product (**DG2**) with the starting material (**G2**) was necessary for host (CB[10]) to operate as a catalyst. To verify this, ¹H NMR competition experiments between **DG2** and **G2** with CB[10] were performed.

As predicted, the mixture of **G2**, **DG2**, and CB[10] with a 2 : 1 : 1 ratio resulted in most CB[10] being occupied by **G2** (Fig. S19c†). An approximate equilibrium constant (K_{eq}) was calculated as $8.8 \times 10^5 \text{ M}^{-1}$ (Fig. S20 and S21†), suggesting that CB[10] operated as a supramolecular catalyst owing to the spontaneous replacement of product **DG2** with starting material **G2**. The results showed that, as either the supramolecular nanoreactor or catalyst, CB[10] not only shortened the photoreaction time, but also tremendously improved the reaction selectivity.

When the host molecule was switched to CB[8], an unexpected photoreaction of **G2** occurred, although CB[8] bound **G2** with the same binding ratio as CB[10]. In the presence of 0.5 equiv. of CB[8], precipitate formation was observed when the **G2** solution was irradiated with UV light. With extended irradiation time, additional yellow precipitate was generated. Therefore, the solutions after centrifugation were carefully monitored by ¹H NMR. By analyzing the stacking NMR spectra (Fig. 6) and the precipitate, the bound peaks of **G2** were found to fully disappear in 60 min, while a singlet peak at 3.1 ppm appeared and

Fig. 5 ¹H NMR spectra (600 MHz, D₂O, 298 K) of CB[10]·2**G2** ([**G2**] = 2.5 mM) solution with various UV irradiation times: (a) 0, (b) 10, (c) 25 min, and (d) free dimerization product **DG2**.

Fig. 6 ^1H NMR spectra (600 MHz, D_2O , 298 K) of 2 : 1 mixture of **G2** (2.5 mM) and **CB[8]** with various UV irradiation times.

remained, and the yellow precipitate contained no **CB[8]** and was soluble in DMSO and CDCl_3 . First, we suspected that the precipitate was anthraquinone, because anthracene derivatives can easily be oxidized,^{45,46} despite the photoreaction being conducted under N_2 atmosphere. However, the NMR signals for the precipitate did not match those of anthraquinone. Further hypotheses included the photosolvolysis of **G2**. Eventually, the yellow precipitate was verified to be 9-anthracenemethanol by EI-MS and ^1H NMR (Fig. S22a and S23†). When irradiated in air, **G2** first generated 9-anthracenemethanol as a precipitate (suspended), which was then converted to anthraquinone (Fig. S22b†), further confirming the occurrence of photosolvolysis prior to photooxidation. The singlet peak in the aqueous phase represented the ethylene proton signal of ethylenediamine (EDA). And the binding of protonated EDA in **CB[8]** helped solubilize **CB[8]** in water at high concentrations (maximum solubility of **CB[8]** in water is about 0.2 mM). Indeed, NMR titration experiments proved that small EDA molecules could be encapsulated by **CB[8]** (Fig. S24†). Furthermore, **CB[8]** was tested to determine whether it could act as a supramolecular catalyst in the photosolvolysis of **G2**. In the presence of 0.05 equiv. of **CB[8]** (5%), **G2** was almost all converted to 9-anthracenemethanol within 4 h (Fig. S25†). In comparison, no precipitate was observed without **CB[8]**. The $t_{1/2}$ of **G2** in the presence of **CB[8]** was estimated because the data could not be ideally fitted with first-order kinetics (Table 1 and Fig. S26†). **CB[8]** doubtless operated as a supramolecular catalyst for the photosolvolysis of **G2**. Therefore, why photosolvolysis occurred when **G2** itself did not show the same reactivity required further investigation.

After a broad search of the photosolvolysis literature, we noted that a “photoremovable protecting group (PPG)” could be involved in this reaction.^{12,47} To date, only one example of an anthracene unit acting as a PPG has been reported, with the plausible mechanism involving heterolysis and/or homolysis of

Scheme 2 Plausible reaction mechanism for the photosolvolysis reaction of **G2** in the presence of **CB[8]** host (5%).

the $\text{CH}_2\text{-X}$ bond in $\text{PPG-CH}_2\text{-X}$ (X, leaving group) to produce a carbonium ion intermediate. **CB[n]** hosts are known to be capable of stabilizing active carbocations.^{34,48,49} Therefore, a plausible mechanism for the photosolvolysis of **G2** with **CB[8]** as the supramolecular catalyst in aqueous solution was proposed. As shown in Scheme 2, the initial step is light-induced heterolytic cleavage of the C–N bond of encapsulated **G2**, and/or homolytic cleavage of the C–N bond followed by rapid electron transfer (ET), to give the carbonium ion intermediate and EDA. The **CB[8]**-stabilized carbonium ion is then attacked by solvent molecule H_2O to give product 9-anthracenemethanol. Owing to its weak binding with **CB[8]** and insolubility, 9-anthracenemethanol is displaced by **G2** or EDA and precipitated out of solution, allowing the catalytic process to continue. Compared with the observation of radical side products (caused by homolysis) in most reported examples,¹² the generation of a single product with quantitative yield in this case suggested that, with the assistance of **CB[8]**, either C–N bond heterolysis was favored over homolysis or the electron transfer step after homolysis was accelerated.

The high conversion and high selectivity of this photosolvolysis of **G2** suggested that the orientation of the two **G2** molecules in **CB[8]** was not only unfavorable for dimerization, but also favorable for stabilization of the carbonium ion intermediate by **CB[8]**. In addition to acting as the supramolecular catalyst, the most interesting role of **CB[8]** in this case was efficiently switching the reaction pathway of **G2** from familiar photodimerization to unusual photosolvolysis.

Complexation of **G3** with **CB[n]** and photoreactions of complexes

Guest molecule **G3** was synthesized to explore the effect of different substituent groups on the photochemical reaction. The host–guest complexation of **G3** with **CB[n]** ($n = 8, 10$) was investigated by ^1H NMR, UV/Vis, and fluorescence spectroscopy, and ESI-MS analysis (Fig. S27–S31†). The ESI-MS data indicated

that the complexation ratio of CB[*n*] with G3 was 1 : 2 (ion peaks at *m/z* 1100.9 and 934.8 corresponding to 1 : 2 complexes CB[10]·2G3 and CB[8]·2G3, respectively) (Fig. S29 and S31†). Similar to G2, the photoreaction of G3 slowly produced h-t dimerization product DG3 and unidentified side products (Fig. S32†). Furthermore, the dimerization of G3 with CB[10] operating as a supramolecular nanoreactor and catalyst, exclusively gave DG3 (Fig. S33–S39†). No bound peaks of DG3 were observed in the NMR competition experiments, indicating that the binding of G3 with CB[10] was much stronger than that of DG3 (Fig. S38†). The dimerization reaction of G3 was also greatly accelerated with assistance from CB[10] (Table 1 and Fig. S39†). However, in the presence of CB[8], no precipitate and an extremely complicated ¹H NMR spectrum of photoproducts were observed, suggesting the negative effect of CB[8] on the photoreaction of G3 (Fig. S40†). It is unclear whether the lack of photosolvolysis of G3 was due to the deactivating effect of the pyridinium substituent group or the unfavorable orientation of G3 in CB[8].

Conclusions

In summary, we have reported the effects of CB[*n*] (*n* = 8, 10) hosts on the photoreaction of 9-(10)-anthracene derivatives. Both CB[8] and CB[10] operated as supramolecular nanoreactors and catalysts in the photoreaction of 9-substituted anthracene derivative G2. However, CB[10] promoted the photodimerization of G2, which occurred slowly with lower selectivity without a host. In contrast, CB[8] exclusively induced the photosolvolysis of G2, which did not occur without a host. Furthermore, the photoreactions of two anthracene derivatives, G1 and G3, were investigated in the presence of CB[*n*] to compare the effect of different CB[*n*] on the reactivity of anthracene derivatives with various substituents. The results showed that small differences in the host structures could cause large different effects on the host-involved reaction selectivity.

As the first example of CB[10] operating as a supramolecular nanoreactor/catalyst, we anticipate that more reactions, involving large-sized or more than two substrate molecules, can be promoted by the large cavity of CB[10]. The selectivity for photosolvolysis of aromatic substrates with a PPG is also expected to be improved by the ability of CB[*n*] to stabilize cations. These finding will further benefit CB[*n*] chemistry and supramolecular catalysis.

Conflicts of interest

There are no conflicts to declare.

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