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## Tetrahydrofuran-based two-step solvent liquefaction process for production of lignocellulosic sugars†

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Large-scale production of biofuels and chemicals will require cost-effective, sustainable, and rapid deconstruction of woody biomass into its constituent sugars. Here, we introduce a novel two-step liquefaction process for producing fermentable sugars from red oak using a mixture of tetrahydrofuran (THF), water and dilute sulfuric acid. THF promotes acid-catalyzed solubilization of lignin and hemicellulose in biomass achieving 61% lignin extraction and 64% xylose recovery in a mild pretreatment step. The pretreatment opens the structure of biomass through delignification and produces a cellulose-rich biomass, which is readily solubilized at low temperature giving 65% total sugar yields in a subsequent liquefaction process employing the same solvent mixture. This process achieves competitive sugar yields at high volumetric productivity compared to conventional saccharification methods. THF, which can be derived from renewable resources, has several benefits as solvent including ease of recovery from the sugar solution and relatively low toxicity and cost.

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### 1. Introduction

Lignocellulosic sugar has been proposed as feedstock for production of low carbon fuels from renewable feedstocks.<sup>1–3</sup> Agricultural waste, forestry residue, and grasses are potential sources of lignocellulosic sugars.<sup>4</sup> Cellulose, the most abundant component of biomass, can be depolymerized to its constituent monosaccharide, D-glucose, which could then be fermented to bioethanol or upgraded catalytically to other advanced biofuels such as 2,5-dimethylfuran.<sup>3,5</sup> Similarly, hemicellulose can be converted to xylose, which is fermentable to ethanol by engineered microbes.<sup>6</sup>

The inherent recalcitrance of lignocellulosic biomass represents a major barrier to commercial production of cellulosic sugars. Cellulose, hemicellulose and lignin intertwine to form a complex polymeric matrix. Cellulose is highly crystalline and has intensive intra- and intermolecular hydrogen bonding making it resistant to physical, chemical, or enzymatic degradation.<sup>7,8</sup> Additionally, lignin sheaths the polysaccharides, protecting the carbohydrate from enzymatic

and chemical decomposition.<sup>9,10</sup> Clearly, the success of biomass conversion to produce fermentable sugars depends on the effectiveness of fractionating lignocellulose and depolymerizing the polysaccharides into processable sugars.

The conventional approach to producing cellulosic sugars employs physical and/or chemical pretreatment of biomass followed by enzymatic hydrolysis to yield monosaccharides.<sup>4</sup> However, the estimated cost of enzyme production is as high as \$1.47 per gallon of ethanol, based on typical glucose yields of around 70% and enzyme loadings of 20 mg g<sup>-1</sup> of glucan.<sup>11</sup> Glucose yields greater than 70% can take up to days and sometimes weeks to complete enzymatic hydrolysis.<sup>12–16</sup> These slow rates translate into relatively high capital and operating costs. Potentially faster and more economical routes to saccharification of cellulosic biomass include pyrolysis, processing in molten salt hydrates, and solvent liquefaction, including acid hydrolysis, processing in ionic liquids and deep eutectic solvents.<sup>17–22</sup> These processes have demonstrated cellulosic sugar yields ranging from 50–96% although each has unique challenges.<sup>23–26</sup> Pyrolysis and processing in molten salt hydrates are accompanied by secondary reactions that degrade carbohydrates. In contrast, products from solvent liquefactions are diluted in the solvent, suppressing secondary reactions that degrade sugars. Solvent liquefaction has the ability to process wet biomass, which is challenging for most other thermochemical processes.<sup>27</sup> However, many solvent liquefaction technologies pose practical challenges to lignocellulosic sugar production.

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For example, ionic liquids are extremely expensive and difficult to recover. Although deep eutectic solvents are relatively cheaper than ionic liquids, they may also involve complicated solvent recovery.<sup>28</sup> Acid hydrolysis can lead to corrosion of equipment and can entail expensive wastewater treatment. Biomass processing in subcritical water can produce undesired humins by secondary repolymerization reactions of sugar derivatives. Use of supercritical water mitigates these secondary reactions, but adds the complexity and expense of high-pressure operation.<sup>29,30</sup> Organic protic solvents such as primary alcohols lead to formation of functionalized sugars from biomass that needs additional upgrading strategies prior to production of biofuels.<sup>31</sup>

Polar aprotic solvents can overcome many of these challenges to producing sugars *via* solvent liquefaction. Many polar aprotic solvents are relatively low-cost, environmentally friendly, and can be derived from biomass. These solvents are capable of significantly reducing the apparent activation energy of cellulose depolymerization.<sup>32,33</sup> With water as co-solvent, polar aprotic solvents can also reduce the apparent activation energy of hydrolysis of cellobiose (structural unit of cellulose) to glucose by 38% compared to water alone.<sup>34</sup> In fact, these solvents enhance the reactivity of strong Brønsted acid catalysts (*e.g.* sulfuric acid), which are widely used in biomass conversion for sugar production.<sup>35</sup> Sugar degradation and formation of humins, the product of secondary reactions of monosaccharides and/or their dehydration products,<sup>35–38</sup> are less of a problem for polar aprotic solvents.

Luterbacher *et al.*<sup>39</sup> demonstrated one of the first semi-continuous processes for deconstructing lignocellulosic biomass using a polar aprotic solvent. In their work,  $\gamma$ -valerolactone (GVL) with co-solvent water and acid catalyst was used as the solvent mixture to depolymerize and fractionate biomass at 157–217 °C to lead to soluble sugar monomers at 68% yield. Unfortunately, GVL is an expensive solvent, requiring almost quantitative recovery for economic viability of the process. The high boiling point of GVL (207–208 °C) necessitates complex recovery involving high pressure liquid CO<sub>2</sub> extraction.<sup>40</sup> Bai *et al.*<sup>41</sup> has reported use of 1,4-dioxane, a relatively low boiling point polar aprotic solvent, in the solubilization of carbohydrates from switchgrass. Since this process employs high temperatures, operation at high pressures is required to prevent the solvent from boiling. It also entails a complicated solvent recovery process since the solvent forms an azeotrope with water.

We have recently shown that addition of dilute acid catalyst to aprotic solvents eliminates much of the performance difference among them, regardless of polarity and boiling points.<sup>42</sup> This previous work points the way to developing a low temperature, and low pressure solvent liquefaction process.

In the present study, we report a new approach to solvent liquefaction that offers enhanced production of lignocellulosic sugars from red oak, which represents a hardwood type forestry residue, using tetrahydrofuran (THF),

a low polarity aprotic solvent, with co-solvent water and dilute sulfuric acid as catalyst. The boiling point of THF is only 66 °C, offering the prospect of a one-step process that is efficient in deconstructing biomass and entails low-cost solvent recovery. THF, a relatively inexpensive solvent, is miscible with water at typical solvent liquefaction conditions. It can be produced from hemicellulose-sourced furfural through hydrogenation.<sup>43,44</sup> THF is considerably less toxic than many widely used polar aprotic solvents such as dimethyl formamide, methylene chloride and dimethyl sulfoxide,<sup>45</sup> with an Occupational Safety and Health Administration permissible exposure limit of 200 ppm.

In light of our recent work with acid-catalyzed cellulose solubilization in low polarity aprotic solvent and water, we hypothesize that a mixture of THF and water in the presence of dilute acid catalyst can facilitate biomass depolymerization at temperatures less than 300 °C.<sup>56</sup> However, at these low temperatures, lignin is not readily dislodged from the polymeric matrix of biomass, an important requirement for effective carbohydrate solubilization. The present study further hypothesizes that biomass pretreatment also using a solution of THF, water, and acid can solubilize part of the lignin and hemicellulose to produce a cellulose-rich feedstock more susceptible to subsequent carbohydrate solubilization. Previous research shows that the high affinity of lignin and phenolic compounds to THF makes it an effective delignification agent.<sup>12,47–51</sup> Nguyen *et al.*<sup>12</sup> have showed that pretreatment of biomass *via* THF-based solvent processing improves enzymatic hydrolysis of lignocellulosic biomass. The goals of this study are two-fold: (1) evaluate a two-step THF solvent liquefaction process to enhance cellulosic sugar production at low temperature; and (2) demonstrate the effectiveness of a simple solvent recovery method.

## 2. Experimental section

### 2.1 Materials

As detailed in Table 1, the composition of the red oak used in this study includes 40.00 wt% glucan, 15.66 wt% xylan, 23.24 wt% lignin. The details of the analytical procedure for quantifying the biomass components are given in ESI†

**Table 1** Composition of untreated red oak

| Component           | Composition (wt%) |
|---------------------|-------------------|
| Glucan              | 40.00             |
| Xylan               | 15.66             |
| Mannan              | 1.30              |
| Arabinan            | 0.34              |
| Galactan            | 0.92              |
| Klason lignin       | 20.29             |
| Acid-soluble lignin | 2.95              |
| Extractives         | 6.85              |
| Ash                 | 0.40              |
| Moisture            | 7.30              |
| Total mass          | 96.01             |

Determined by Celnigis Analytical.



(section 1). The air-dried red oak sample was ground and sieved to particle size of 300–710  $\mu\text{m}$ . D-Glucose (purity >99%) was obtained from Fisher Scientific and D-xylose (purity >99%) and D-sorbitol (purity >98%) were shipped from Acros Organics. Carbosynth was the source of levoglucosan (LG, purity >99.2%) and cellobiosan (purity >98.7%) for the study. Furfural (purity >99%) and 5-hydroxymethylfurfural (5-HMF, purity >99%) were purchased from Sigma Aldrich. Levoglucosan also served as the calibration standard for its isomer product, 1,6-anhydro- $\beta$ -D-glucopyranose (AGF). HPLC grade and submicron filtered solvents tetrahydrofuran (THF), ethyl acetate, acetone and methanol were all purchased from Fisher Scientific. Additionally, 96.6 wt% sulfuric acid ( $\text{H}_2\text{SO}_4$ ) was obtained from Fisher Scientific. Deionized (DI) water at 18.2 M $\Omega$  was supplied on-site in the laboratory.

## 2.2 Two-stage solvent liquefaction process

The solvent liquefaction of red oak was conducted in a two-step process, a pretreatment step and a carbohydrate solubilization step, as illustrated in Fig. 1.

**2.2.1 Pretreatment of biomass.** Red oak was pretreated in a 500 mL Parker Autoclave Engineers EZE-Sealed reactor.<sup>52</sup> A solution of 80/20 vol% THF/water was prepared with 2.5–10 mM sulfuric acid. The biomass was slurried with the solvent solution to achieve 5 wt% biomass loading. After the reactor was sealed, it was purged with nitrogen gas. The reactor was electrically heated to 120 °C. A pressure regulator on the gas vent of the reactor controlled the pressure at 2.9 bar. The impeller on the reactor mixed the contents at 200 rpm.

Reaction time, which was 30–60 minutes, was determined from the point when the temperature of reactor reached the desired set point to when cooling commenced. To cool the reactor, the heating jacket was removed and the reactor convectively cooled with a fan to 120 °C. Further cooling was achieved by circulating coolant at 10 °C through an internal cooling coil.

Solids and liquid products from the pretreatment reaction were separated by vacuum filtration using a 0.45  $\mu\text{m}$  filter paper. The filter paper and residuals were rinsed with acetone to wash out any residual solvent (THF, water). The filtrate was collected and used for recovery of a solubilized lignin and xylose-rich fraction. The solids collected on the filter paper were dried at 105 °C for approximately 4 h to drive off any residual acetone and subsequently weighed to determine the yield of pretreated red oak.

**2.2.2 Lignin extraction and xylose recovery from pretreatment liquid.** The liquid recovered from the pretreated biomass was extracted to recover solubilized lignin and xylose. DI water was added to the liquid at 2:1 volumetric ratio, which caused the lignin to precipitate over 24 hours (see Fig. 1). This solid–liquid mixture was placed in a rotary evaporator (Heidolph, Germany) maintained at 25 °C and reduced pressure of 90 mbar to flash the THF to vapor. A chiller at –10 °C was used to condense the THF vapor in a collection flask. Upon removal of THF after 40 min of rotary evaporation operation, the remaining liquid separated into two distinct phases: a water-soluble (clear) solution and dark brown solid precipitate. The solid precipitate was dried at 50 °C for 2 days and then at 75 °C for 1 day. The dried solid was weighed for mass of extracted lignin. Lignin extraction was

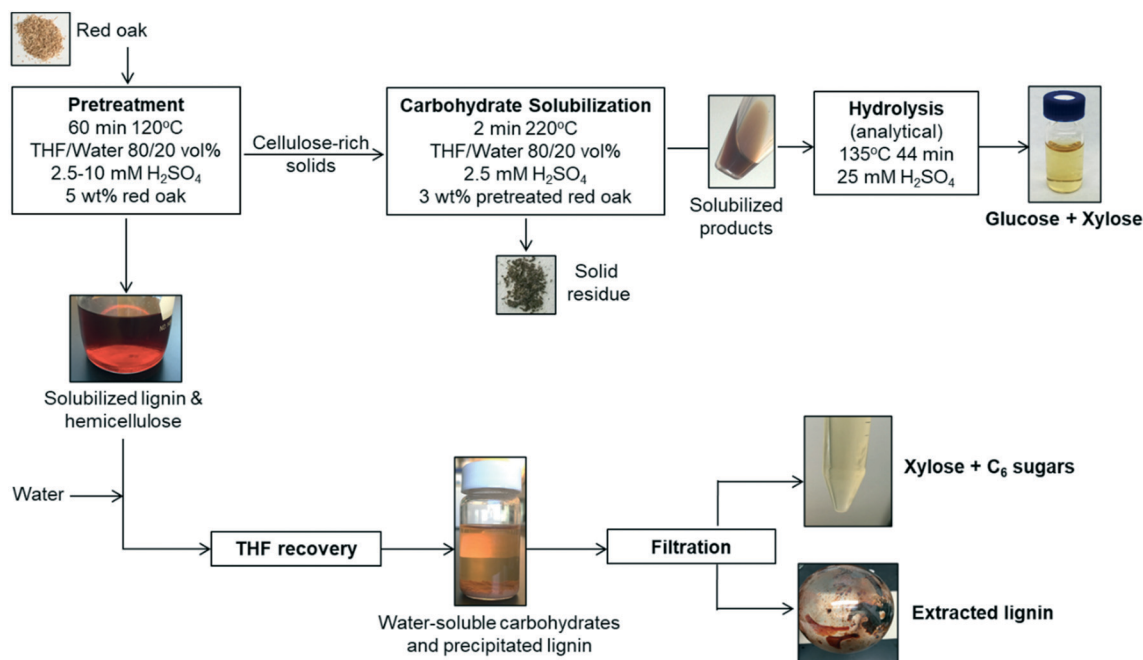


Fig. 1 Process flow diagram of two-step solvent liquefaction of red oak for production of fermentable, solubilized carbohydrates using THF/water and sulfuric acid catalyst integrating lignin extraction and sugar recovery.



computed according to:

$$\text{Lignin extraction (\%)} = \frac{M_1}{M_i \times \left(\frac{l}{100}\right)} \times 100\% \quad (1)$$

where:

$M_1$  = mass of lignin extracted from pretreatment liquid product (mg)

$l$  = lignin content of biomass feedstock on an extractives and ash free basis (wt%)

$M_i$  = initial mass of biomass feedstock (mg).

The water-soluble fraction of the liquid after lignin extraction was hydrolyzed using an analytical dilute acid hydrolysis method, as described in ESI† (section 2) to determine the total fermentable sugars present in the liquid. Whatman glass fiber syringe-filters of pore size 0.45  $\mu\text{m}$  were used to sample the water-soluble fraction of the liquid for the purpose of quantifying carbohydrate products prior to the analytical hydrolysis method. The process of pretreatment was duplicated for one condition of pretreatment (60 min pretreatment with 10 mM sulfuric acid) and the lignin extraction and carbohydrate yields were reported based on the average results with standard error within 10% of mean.

### 2.2.3 Carbohydrate solubilization of pretreated biomass.

Mini-reactors assembled from Swagelok (316 SS) one 3/8 inch tube fitting union and two sealed plugs were used for carbohydrate solubilization experiments. The volume capacity of the reactors was 2.5 mL. The pretreated red oak was dried and 30 mg was loaded with 1.2 mL of co-solvent comprising 80/20 vol% THF/water. Sulfuric acid was added as catalyst at concentration of 0.625–2.5 mM in the total solvent mixture. Mini-reactors were heated by dropping them into a temperature-controlled Techne Industrial Bed 51 fluidized sand bath for the desired reaction time after which they were removed and immersed in cold water. A thermocouple inside the reactor established that the contents reached the desired reaction temperature range of 220–270  $^{\circ}\text{C}$  (94–95% of the sand bath's set temperature) within 3.1 min on average. Reaction time, defined as starting when the mixture reached the desired reaction temperature and ending when the reactor was removed from the fluidized sand bath and immersed in cold water. Reaction times, as defined, varied between zero and 12 min for this study.

Solids were filtered from the liquid and dried in an oven at 75  $^{\circ}\text{C}$  overnight. The separated liquid and dried solids were weighed using an analytical scale (Mettler Toledo, XPE205 DeltaRange® analytical balance, 220 g maximum capacity, readability 0.01 mg). Gas products were quantified by the difference in reactor mass before and after venting non-condensable gases from the cooled reactor:

$$\text{Gas yield (wt\%)} = \frac{M_s - m_s}{M_i} \times 100\% \quad (2)$$

Solubilized product yields were calculated according to:

$$\text{Solubilized products yield (wt\%)} = \left(1 - \frac{M_s + M_G}{M_i}\right) \times 100\% \quad (3)$$

where:

$M_s$  = change in mass of reactor content after processing feedstock and solvent (mg)

$m_s$  = change in mass of reactor content after processing only solvent (mg)

$M_s$  = mass of solid product of carbohydrate solubilization (mg)

$M_G$  = mass of gas product of carbohydrate solubilization (mg)

$M_i$  = initial mass of biomass feedstock (mg).

Each test was at least duplicated and standard errors of the presented yields in this work were within 10% of the mean yields.

**2.2.4 Solvent separation and sugar recovery.** As illustrated in Fig. 2, products from carbohydrate solubilization of pretreated red oak in 80/20 vol% THF/water and 2.5 mM sulfuric acid were separated using a simple distillation setup at 80  $^{\circ}\text{C}$  and 1 bar for recovery of THF and concentrating solubilized carbohydrates in the aqueous phase of the solvent mixture. After distillation, solvent and water washes were performed on the bottom product of distillation containing sugars, furans and phenolics products of red oak carbohydrate solubilization.

The distillate was collected and analyzed for sugars and THF purity. Fresh DI water was added into the bottom fraction of distillation to dissolve the water-soluble products and precipitate water-insoluble products. The phenolic

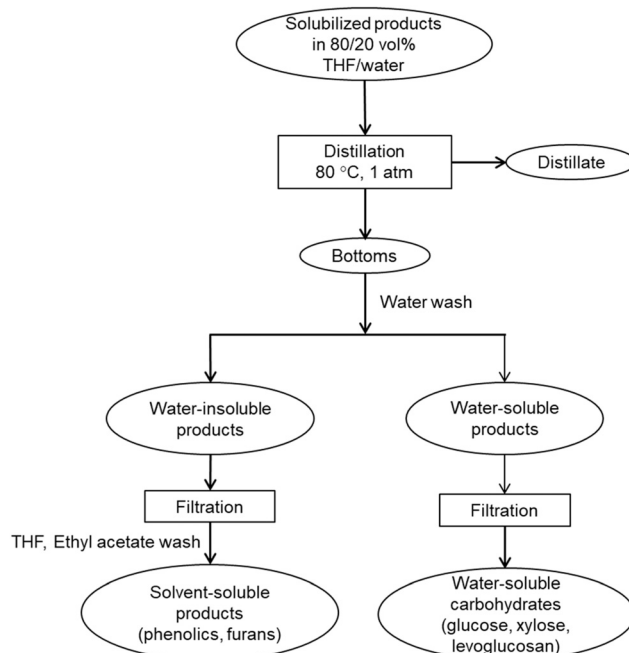


Fig. 2 Schematic of distillation setup for recovery of THF and solubilized carbohydrates.



products were recovered by diluting in solvents, THF and ethyl acetate. The solvent-soluble products were filtered and analyzed for phenolic monomers and furan. Presence of phenolic oligomers was also investigated using molecular weight distribution of distillation bottoms. The water-soluble products were filtered and analyzed to determine recovery of solubilized carbohydrates and presence of trace amounts of furans and phenolic monomers.

### 2.3 Analytical methods

Carbohydrate products such as glucose, xylose, LG, sorbitol and cellobiosan from both pretreatment and carbohydrate solubilization steps were identified and quantified using high performance liquid chromatography (HPLC) system. For this study, HyperREZ XP Carbohydrate H+ 8  $\mu\text{m}$  ( $300 \times 7.7$  mm) column was used at 8 bar pressure at 25  $^{\circ}\text{C}$  with ultrapure 18.2 M $\Omega$  deionized water as the mobile phase with 0.2 mL  $\text{min}^{-1}$  flow rate. Refractive index (RI) detector and appropriate calibration standards were used for identification and quantification of the sugars. Details of the above HPLC method, calibration and detector response characteristics are given in ESI† section 2 with Fig. S2 and Tables S1 and S2. All C<sub>5</sub> and C<sub>6</sub> carbohydrate products, detected in HPLC before and after analytical hydrolysis, including LG, cellobiosan and sorbitol were transformed into their equivalent glucose or xylose amounts before determining the theoretical yields of carbohydrates for a consistent basis of our analysis. Conversion factors of 1.111 for LG, 0.989 for sorbitol and 1.111 for cellobiosan were used for the above analysis. Solubilized carbohydrates yields were expressed as theoretical yields as follows:

$$\text{Glucose yield (\%)} = \frac{C_g \times V_s}{1.111 \times \left(\frac{g}{100}\right) \times M_i} \times 100\% \quad (4)$$

$$\text{Xylose yield (\%)} = \frac{C_x \times V_s}{1.136 \times \left(\frac{x}{100}\right) \times M_i} \times 100\% \quad (5)$$

$$\text{Total carbohydrate yield (\%)} \quad (6)$$

$$= \frac{M_g + M_x}{M_i \left[ \left( f_{C_5} \times \left( \frac{p}{100} \right) \right) + \left( f_{C_6} \times \left( \frac{h}{100} \right) \right) \right]} \times 100\%$$

where:

$C_g, C_x$  = concentration of glucose and xylose, respectively, in solution ( $\text{mg mL}^{-1}$ )

$V_s$  = volume of solution (mL)

$g, x$  = glucan and xylan content of biomass feedstock, respectively (wt%)

$M_i$  = initial mass of biomass feedstock (mg)

$M_g, M_x$  = mass of glucose and xylose product, respectively (mg)

$f_{C_5}, f_{C_6}$  = conversion factor for hydrolysis of pentosan (1.136) and hexosan (1.111), to C<sub>5</sub> and C<sub>6</sub> monosaccharides, respectively

$p, h$  = pentosan and hexosan content of biomass feedstock, respectively (wt%).

A gas chromatograph with mass spectrometer and flame ionization detector (Agilent 7890B GC-MS/FID) was employed to analyze furfural and 5-HMF, carbohydrate monomer AGF, and phenolic monomers. Carbohydrate products and phenolic monomers were identified using the MS followed by quantification of only the carbohydrate products using the FID with appropriate standards. Details of this GC-MS/FID instrument is given elsewhere.<sup>32</sup> Mass yields of furfural, 5-HMF and AGF were calculated according to:

$$\text{Mass yield (wt\%)} = \frac{M_p}{M_i} \times 100\% \quad (7)$$

where:

$M_p$  = mass of product (mg)

$M_i$  = initial mass of biomass feedstock (mg).

Gel permeation chromatography (GPC) was employed to determine molecular weight distribution of phenolic compounds in solubilized products of pretreatment and carbohydrate solubilization, extracted lignin, and the bottoms and water-soluble products from distillation. GPC was conducted using Dionex Ultimate 3000 series HPLC system equipped with a Shodex refractive index (RI) detector and diode array detector (DAD) where THF was used as the eluent with a flow rate of 1 mL  $\text{min}^{-1}$ . Since phenolic monomers could co-elute with levoglucosan during GPC, they were analyzed using GC-MS. The GPC spectrum was only used for analyzing phenolic dimers and phenolic oligomers. Details of the GPC methodology and product analysis is found in section 3 of the ESI†

Field-emission scanning electron microscopy (FEI Quanta FEG 250 SEM) was used to generate images of untreated and pretreated red oak. Due to a highly heterogeneous structure of lignocellulosic biomass, areas of common features were compared between biomass particles. Air-dried samples were mounted with carbon tape. The samples were sputter coated with Ir. A beam acceleration voltage of 10 kV was used for imaging the surface structures of the samples.

## 3. Results and discussion

### 3.1 Enhanced carbohydrate production from two-step liquefaction process

**3.1.1 Pretreatment of biomass to solubilize lignin and hemicellulose.** Total carbohydrate yields from pretreatment of red oak as determined before analytical hydrolysis are presented in Fig. 3(a). Analytical hydrolysis resulted in an increase of xylose recovery by 23%, likely due to hydrolysis of xylo-oligosaccharides in the water-soluble product of pretreatment. C<sub>6</sub> carbohydrate yields did not increase after the analytical hydrolysis test, indicating an absence of gluco-oligosaccharides (see ESI† section 2, Fig. S1(c)). Xylose yield increased with both increasing acid concentration and pretreatment time. However, acid concentration had a large impact on xylose yield than did pretreatment time. The



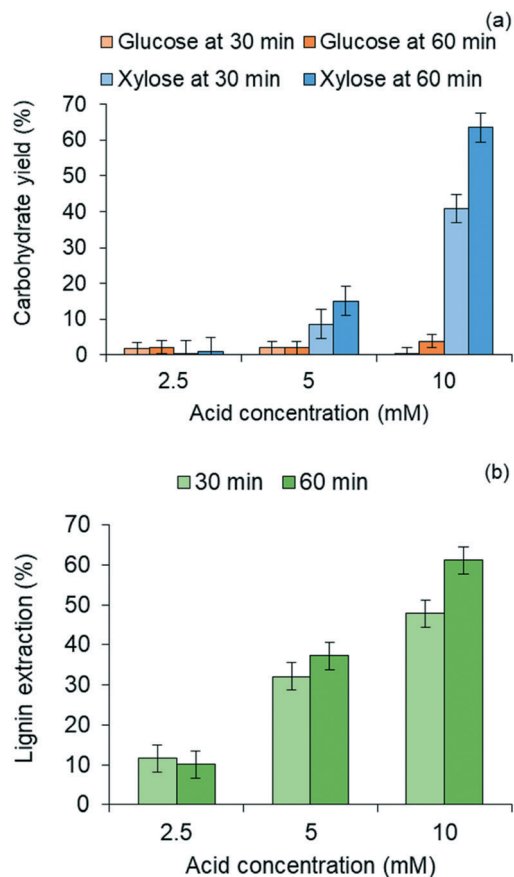


Fig. 3 Results from pretreatment of red oak in 80/20 vol% THF/water mixture at 120 °C as a function of reaction time and sulfuric acid concentration (a) C<sub>5</sub> and C<sub>6</sub> carbohydrate yields; (b) lignin extraction.

highest xylose yield obtained was 63.5% at 10 mM acid concentration and 60 min of pretreatment. Glucose yields from the pretreatment were within 0.2–3.8%, largely insensitive to pretreatment conditions. This suggests that cellulose deconstruction was almost negligible during pretreatment and most of the solubilization could be attributed to hemicellulose depolymerization, as reflected by the high recovery of xylose.

Lignin residues extracted from the water-insoluble fraction of the solubilized product of red oak pretreatment is illustrated in Fig. 3(b) as a function of acid catalyst concentration and reaction times of pretreatment. Like xylose recovery, higher acid concentration and longer pretreatment time gave rise to higher lignin extraction. The effect of acid concentration on xylose yields was greater than the effect of pretreatment time. Maximum lignin extraction of 61.1% corresponded to the maximum xylose yield. The pretreated red oak solids yields decreased with increasing pretreatment temperature and time due to increased solubilization of hemicellulose and lignin, and were collected at 56 wt% yields at 10 mM acid concentration and 60 min of pretreatment (ESI<sup>†</sup> section 4, Fig. S3).

**3.1.2 Carbohydrate solubilization of pretreated biomass for sugar production.** The cellulose-rich solid from the

optimum pretreatment was used as a feedstock in subsequent carbohydrate solubilization for production of sugars. An optimum condition of 220 °C and 2 min of reaction time in 80/20 vol% THF/water with 2.5 mM H<sub>2</sub>SO<sub>4</sub> was selected for the carbohydrate solubilization step based on a preliminary screening study on key reaction parameters, illustrated in ESI<sup>†</sup> (section 5, Fig. S4). As depicted in Fig. 4(a), carbohydrate solubilization of pretreated red oak achieved total carbohydrate yield of 65% while the yield was limited to 41% for carbohydrate solubilization of untreated red oak under the same reaction conditions. Thus, the combination of pretreatment and carbohydrate solubilization was more effective in releasing solubilized carbohydrates from biomass than was carbohydrate solubilization without pretreatment.

Fig. 4(b) further illustrates that yields of both glucose and xylose from carbohydrate solubilization of pretreated red oak were higher than for untreated red oak. However, glucose yields demonstrated a dramatic improvement, increasing from 27% to 60% as a result of biomass pretreatment while xylose yield as a result of pretreatment only increased from 82% to 89%. Thus, the enhancement of total carbohydrate yield from carbohydrate solubilization of pretreated biomass could be chiefly attributed to increased glucose production.

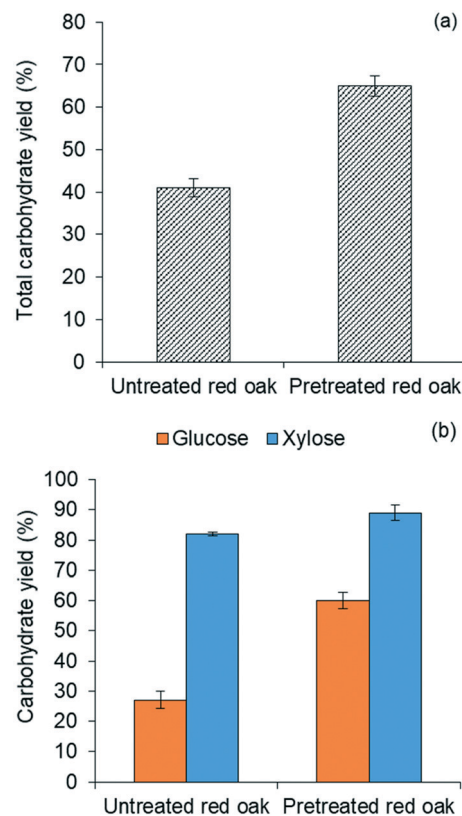


Fig. 4 (a) Total solubilized carbohydrates yield and (b) glucose and xylose yields from combined pretreatment and carbohydrate solubilization using 80/20 vol% THF/water and 2.5 mM H<sub>2</sub>SO<sub>4</sub> at 220 °C for 2 min reaction time.



### 3.2 Product distribution of combined pretreatment and carbohydrate solubilization

**3.2.1 Overall mass balance.** Yield of solubilized products and solid residues from the combined processes of pretreatment and carbohydrate solubilization, on the basis of starting mass of red oak, is presented in Table 2. Total mass closure of products was 96.3 wt%. Yield of total solubilized products was 67.4 wt%, yield of solid residue was 14.3 wt%, and yield of gas was negligible. The products do not include extractives, ash or moisture, which account for 14.6 wt% of initial biomass and hence initial masses of these components of biomass were included as “Other” in the product distribution to close the product mass balance. Solid lignin was extracted at 14.2 wt% yield from the solubilized product of pretreatment. The remaining solubilized products of the two-step liquefaction comprised mainly polysaccharide-derived products and phenolic compounds.

**3.2.2 Polysaccharides conversion.** As shown in Table 2, overall mass yields of C<sub>6</sub> and C<sub>5</sub> carbohydrates recovered from solubilized products of both pretreatment and carbohydrate solubilization steps were 29.0 wt% and 14.8 wt% on a biomass basis, respectively. The carbohydrate products in pretreatment step consisted primarily of xylose with small quantities of glucose, sorbitol, levoglucosan and cellobiosan. Sorbitol production arising from the pretreatment is not fully understood, but is possibly the result of glucose hydrogenation.<sup>53</sup> In carbohydrate solubilization, the main C<sub>6</sub> carbohydrate products were levoglucosan and glucose, while the only C<sub>5</sub> carbohydrate product was xylose. Although some xylo-oligosaccharides were present in water-soluble product of pretreatment, neither gluco-oligosaccharides nor xylo-oligosaccharides were generated in the water-soluble products of carbohydrate solubilization as evident from the analytical dilute acid hydrolysis tests. The liquid from pretreatment contained furfural and acetic acid as minor compounds, likely derived from xylose. On the other hand, the solubilized products from carbohydrate solubilization contained 1,6-anhydro-β-D-glucofuranose (AGF), isomer of levoglucosan, 5-HMF, furfural

and levoglucosenone (trace) which could be produced by dehydration and isomerization reactions of the C<sub>6</sub> and C<sub>5</sub> sugar monomers.<sup>35</sup> Carbohydrate solubilization of red oak produced 5-HMF and furfural at 5.1 wt% and 4.3 wt% yield, respectively. Overall polysaccharide conversion of 96.7% with high selectivity toward solubilized carbohydrates (77.8%) over furanic products was achieved in this two-step liquefaction process.

**3.2.3 Lignin conversion.** GPC analysis showed that lignin-derived solubilized products from the pretreatment had a considerably larger average molecular weight ( $M_w = 1113$  Da) than the lignin-derived products of carbohydrate solubilization ( $M_w = 684$  Da) (see ESI,† section 6, Fig. S5). This suggests that carbohydrate solubilization was more effective in depolymerizing lignin than the pretreatment. Furthermore, GC-MS analysis showed that lignin-derived solubilized products from the pretreatment contained only trace amounts of phenolic monomers including syringaldehyde, desaspidinol, and 2-methoxy-4-propylphenol. On the other hand, the only phenolic monomer from carbohydrate solubilization was 2-methoxy-4-propylphenol and in trace amounts. Thus, lignin did not substantially depolymerize to phenolic monomers in this two-step liquefaction process.

The molecular weight of lignin extracted by the pretreatment, as shown in Table S3,† was 1593 Da, a value that falls between those for milled and organosolv red oak lignin.<sup>54,55</sup> The average molecular weight of THF-extracted lignin was also in the range reported for lignin extracted using GVL.<sup>54</sup> This suggests that lignin extracted by THF/water pretreatment was less modified than occurs during organosolv processing. Furthermore, analytical pyrolysis of the extracted lignin from pretreatment revealed a large proportion of phenolic compounds, furfural, and a small amount of levoglucosan (see ESI,† section 7, Fig. S6(d)). The furfural in extracted lignin was likely derived from the water-insoluble xylo-oligomers, co-precipitated during lignin extraction after pretreatment. Analytical pyrolysis of the solid residue from carbohydrate solubilization showed a relatively reduced proportion of phenolic compounds (see ESI,† section 7, Fig. S6(c)). Most of the products from the analytical pyrolysis of the solid residue are typical of pyrolysis of carbohydrate, arising from unconverted C<sub>5</sub> and C<sub>6</sub> polysaccharides in the biomass. The above results show an incomplete but successful fractionation of lignin and carbohydrates of biomass in the two-step THF liquefaction process.

### 3.3 Impact of delignification in carbohydrate solubilization of biomass

**3.3.1 Relationship between delignification and polysaccharide solubilization.** Removing the protective sheath of lignin around the polysaccharides in biomass is expected to improve solubilization of polysaccharide during carbohydrate solubilization. Fig. 5 illustrates the correlation

**Table 2** Product distribution of red oak from two-step liquefaction process

| Products <sup>a</sup> | Yield <sup>b,c</sup> (wt%) |
|-----------------------|----------------------------|
| C <sub>6</sub> sugars | 29.03                      |
| C <sub>5</sub> sugars | 14.78                      |
| 5-HMF                 | 5.10                       |
| Furfural              | 4.30                       |
| Lignin extracted      | 14.20                      |
| Solid residue         | 14.30                      |
| Other                 | 14.55                      |
| Total products        | 96.26                      |

<sup>a</sup> Reaction was performed at 220 °C for 2 min using 80/20 vol% THF/water and 2.5 mM H<sub>2</sub>SO<sub>4</sub>. <sup>b</sup> Yields of products from combined pretreatment and carbohydrate solubilization. <sup>c</sup> Based on initial red oak.



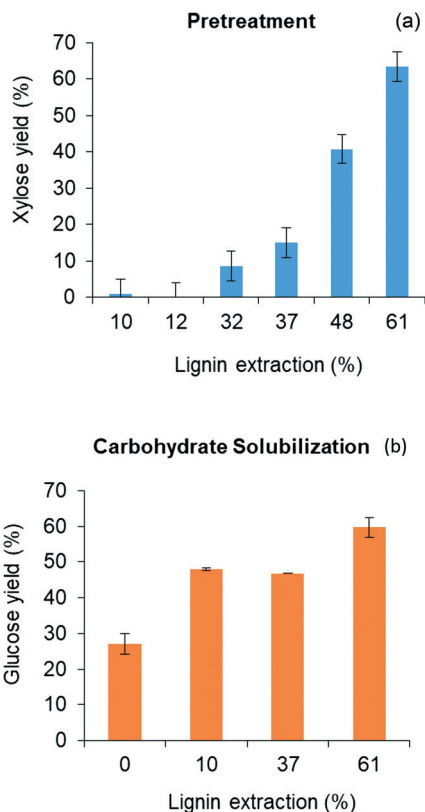


Fig. 5 Sugar yields from two-step solvent liquefaction process: (a) xylose from pretreatment step; (b) glucose carbohydrate solubilization step.

between yields of C<sub>5</sub> and C<sub>6</sub> monosaccharides and the extent of lignin removal. Xylose yield increased monotonically from 0.1% to 63.5% as lignin extraction increased from 10% to 61%. Glucose yield increased from 27.1% for untreated red oak to 59.7% for 61% lignin extraction. Clearly, delignification ahead of carbohydrate solubilization dramatically improved polysaccharide solubilization, doubling glucose yields and increasing xylose yields by orders of magnitude for pretreatments achieving the highest levels of lignin extraction.

**3.3.2 Effect of pretreatment on lignocellulosic composition.** In Fig. 6, differences in composition of carbohydrates and lignin were compared between untreated and pretreated red oak to understand the effect of pretreatment on these biomass components.

Fig. 6 shows that THF/water/acid pretreatment substantially altered the composition of the biomass. While glucan content reduced by only 14% due to pretreatment, loss of xylan and lignin during pretreatment were as high as by 67% and 53%, respectively. This clearly indicates that THF/water/acid pretreatment could effectively solubilize hemicellulose and lignin fractions of biomass, rendering the pretreated biomass highly enriched with glucan, representing over 60 wt% of the delignified biomass. This cellulose-rich substrate is expected to be readily deconstructed during carbohydrate solubilization in low polarity solvents.<sup>46</sup>

**3.3.3 Effect of pretreatment on lignocellulosic structure.** Fig. 7 compares SEMs of red oak before and after

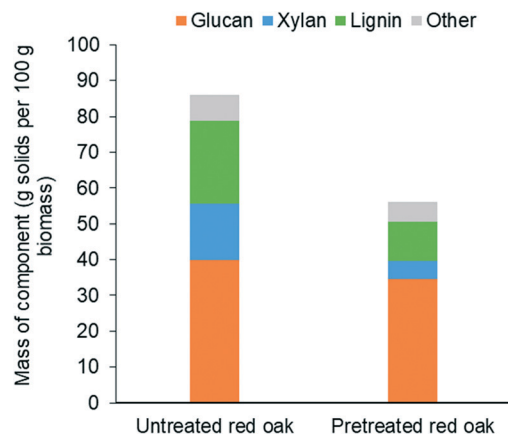


Fig. 6 Composition of untreated and pretreated red oak solids on dry basis.

pretreatment. In Fig. 7(a) it is clear that the outer surface of untreated red oak was highly ordered with a dense texture associated with the polymeric matrix of intertwining lignocellulosic biopolymers. In Fig. 7(b), this lignocellulosic polymeric matrix has completely collapsed as a result of the THF/water pretreatment. The pretreated biomass showed significantly different macro- and microstructures, and multiple porous regions. This change can be attributed to extensive removal of lignin. The edges of these pores were heavily wrinkled, which implies loss of structural strength in the polymer, making it more susceptible to modification by the solvent and acid catalyst. Additionally, Fig. 7(b) indicates that pretreatment created microgrooves in the surface of the biomass particles, indicating removal of the lignin sheath. These grooves present additional exposed surface area that help solvent and catalyst penetrate deeply into the biomass. Fig. 7(c) presents another view of pretreated biomass showing distinctive textural features of holes, cracks, and rips on the surface of the particles.

**3.3.4 Effect of co-solvent on lignin solubilization.** Solvent-polymer interactions through polar-polar forces and hydrogen bonding could be significantly contributing overall rapid solubilization and deconstruction of biomass. Hansen solubility parameter can be used to analyze the above types of interactions between the solvent and biomass polymers. Co-solvents can facilitate polar-polar and hydrogen bonding interactions favorable to lignin solubilization.<sup>32</sup> As shown in Fig. 8(a) and (b), a mixture of THF/water shows greater lignin solubilization potential than pure THF. The Hansen solubility parameter distance between solvent lignin and related biomass products decreases in presence of 20 vol% water in THF medium. Thus, a high degree of lignin solubilization in biomass during pretreatment could be supported by the above analysis.

### 3.4 Solvent separation and sugar recovery

A simple distillation technique readily separated THF and facilitated recovery of carbohydrate products from the liquid



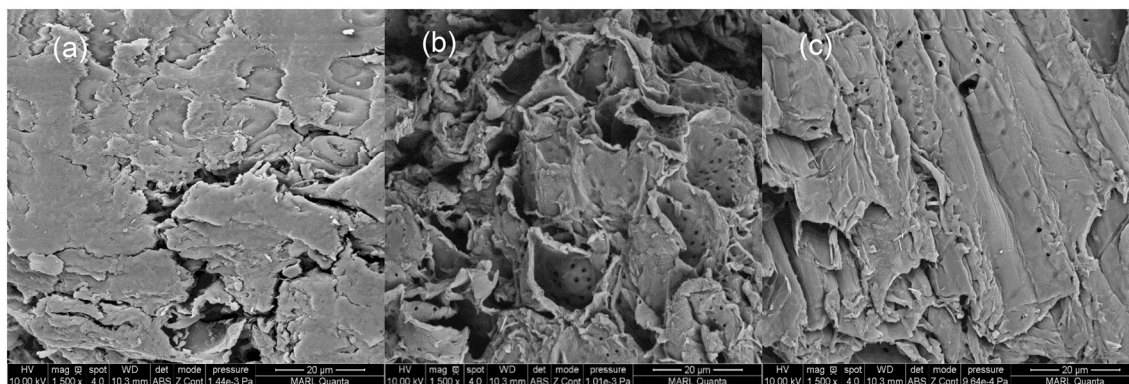


Fig. 7 SEM images of red oak from different stages of process (a) untreated red oak, (b) pretreated red oak (example 1) and (c) pretreated red oak area (example 2).

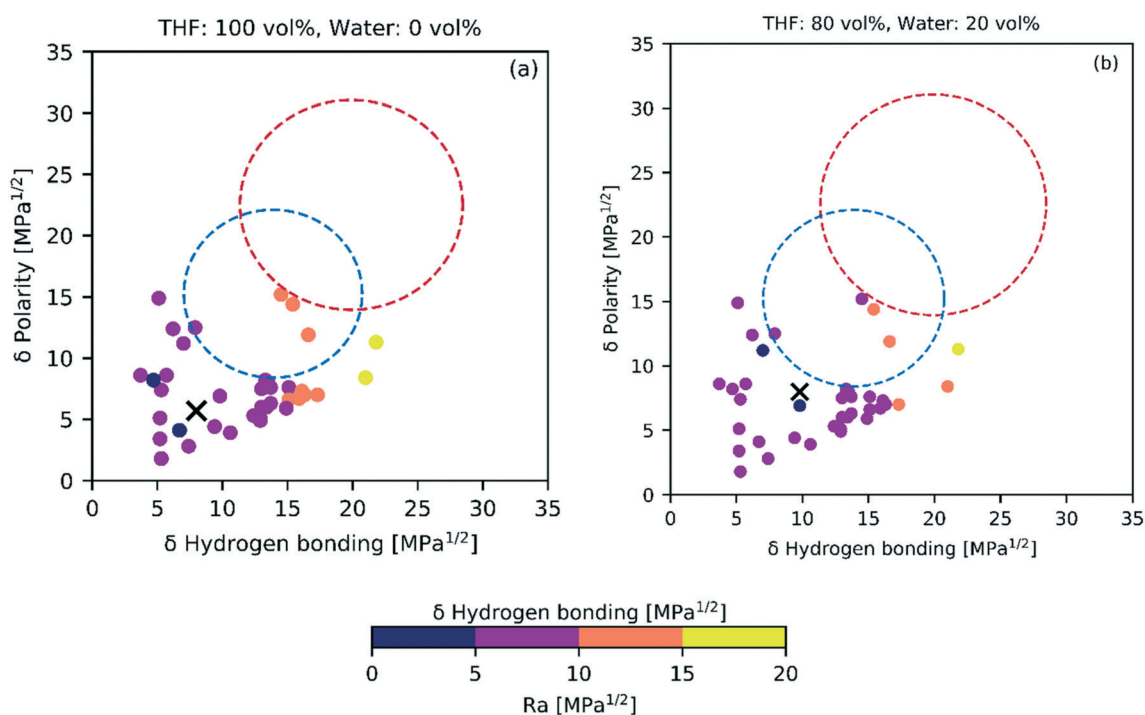


Fig. 8 The HSP distance ( $R_a$ ) between solvent (cross mark) and biomass products (colored circles) indicates potential solubility in the solvent, where the shorter distances (darker colors) likely have better solvation. This solvation potential changes as tetrahydrofuran (a) is blended in an 80 : 20 vol% ratio with water (b). Blue and red dashed circles represent lignin and cellulose, respectively.

product from carbohydrate solubilization of pretreated red oak. Fig. 9(a) and (b) illustrate that glucose, xylose and levoglucosan in the distillation feed partitioned to the bottom fraction of the distillation products. On the other hand, the distillate fraction contained no solubilized carbohydrates.

As shown in Table 3 recovery of glucose, xylose and levoglucosan in the bottom fraction individually was 106%, 101%, and 83%, respectively while the total recovery of these above sugars was 99% in the same fraction. Loss of xylose and levoglucosan could be attributed to minor hydrolysis or degradation occurring during distillation at bench-scale setup. This effect could easily be avoided in a flashing unit at large-scale operation for THF separation from the solubilized products of biomass.

Table 3 also shows that THF could be recovered at high efficiency by one-step simple distillation. Overall recovery of the solvent in distillate fraction was 106.3% while a trace amount of 0.3% remained in the bottom fraction after distillation. The recovered THF was almost pure and should be easy to recycle to the pretreatment and carbohydrate solubilization units for large-scale applications. The detailed mass balance of THF distillation and images of distillation fractions are presented in ESI† (section 8, Table S4 and Fig. S7). The bottom fraction of distillation appeared dark brown in color, which could be due to presence of phenolic species. In this work, GPC of bottom product indicates that phenolic oligomers were likely present in this fraction (Fig. S5†). However, after washing the bottom products with water, no



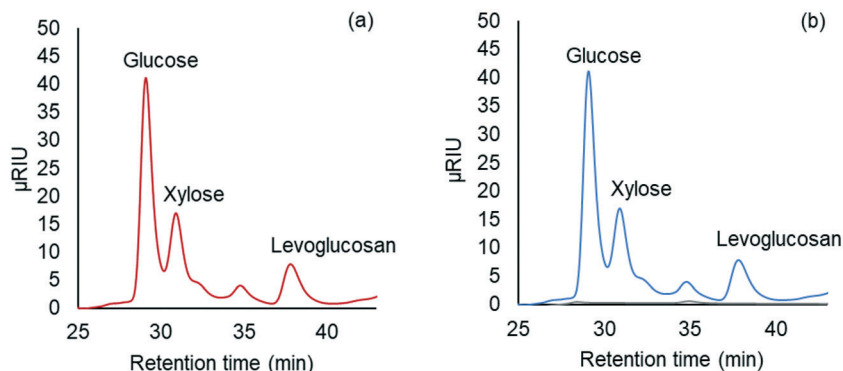


Fig. 9 HPLC chromatogram of (a) solubilized products fed to distillation (red line), and of (b) products recovered after distillation. Blue and grey lines represent bottom products of distillation containing water-soluble products and distillate containing THF, respectively.

Table 3 Recovery of THF and water-soluble carbohydrate products

| Distillation fraction | Contents  | THF recovery | Sugar recovery   |
|-----------------------|---|--------------|--|
| Distillate            | THF (almost pure, with low level of contaminants)           | 106.3%       | 0.0%   |
| Bottom                | Water and recovered products of carbohydrate solubilization | 0.3%         | Glucose: $106 \pm 4\%$<br>Xylose: $101 \pm 10\%$<br>Levoglucosan: $83 \pm 5\%$<br>Total sugars: $99 \pm 7\%$ |

phenolic oligomers were found in the water-soluble products (filtered) based on GPC analysis (ESI,† section 6, Fig. S5(e)). Additionally, GC-MS of filtered water-soluble products showed no evidence of phenolic monomers and furanic compounds in it. For a more detailed analysis of the phenolics, an analytical Folin-Ciocalteu method can also be employed to further confirm the presence of the phenolic species and determine their mass fraction in the distillation bottom fraction.<sup>57</sup>

### 3.5 Prospects for sugar production *via* THF-based solvent liquefaction of lignocellulose

Two-step THF-based solvent liquefaction of biomass offers several advantages for cellulosic sugar production. THF is a relatively inexpensive, low-toxicity, and biomass-derived polar aprotic solvent that is miscible with water at typical solvent liquefaction conditions and can be readily recovered at low cost owing to its low boiling point.<sup>58–60</sup> We also investigated thermal stability of THF at the reaction conditions employed. GC-FID peak area analysis indicates that only 0.1% of THF decomposed during each cycle of carbohydrate solubilization at 220 °C producing mainly 1,4-butanediol. Thus, the acid catalyzed THF/water system represents a robust, recyclable and sustainable solvent medium for producing lignocellulosic sugars.

From a biorefinery perspective, the THF liquefaction process could enable successful fractionation and utilization of biomass components by producing high-purity streams of monomeric C<sub>5</sub> and C<sub>6</sub> sugars *via* rapid and selective solubilization and depolymerization of hemicellulose and cellulose, respectively, and a high-quality technical lignin as

the byproduct that can be further upgraded to fuels or chemicals.<sup>61</sup> One of the unique advantages of THF liquefaction process is its prospects for high volumetric sugar productivity, defined as the mass production rate of sugar per unit of reactor volume. Volumetric sugar productivity in these laboratory-scale experiments reached 2334 g L<sup>-1</sup> h<sup>-1</sup>, orders of magnitude greater than is possible with conventional enzymatic hydrolysis and other solvent liquefaction processes. Enzymatic hydrolysis, despite >70% sugar yields, exhibits volumetric sugar productivity of only 0.7 g L<sup>-1</sup> h<sup>-1</sup> for sugar production owing to a considerably slow rate of bioconversion.<sup>62</sup> Even the non-enzymatic GVL liquefaction process only achieves 23 g L<sup>-1</sup> h<sup>-1</sup> in the production of cellulosic sugars.<sup>39</sup> Whether the impressive volumetric sugar yields achieved with this two-step process in the laboratory can be replicated at commercial-scale would appear to be heat transfer limited for this endothermic process rather than chemical reaction rate limited.

Our work further demonstrated total solubilized carbohydrates and glucose could be produced at concentrations of 125 g L<sup>-1</sup> and 91 g L<sup>-1</sup>, respectively. The glucose concentration are well within the titer requirement for fermentation to ethanol.

A concept for cellulosic ethanol production using the proposed two-step process for solvent liquefaction of lignocellulose into sugars is illustrated in Fig. 10. Wet biomass is directly loaded in a high-solids reactor (*e.g.* 20 wt% solids loading) that is heated to pretreatment temperature by steam injection in a manner similar to a steam explosion reactor.<sup>63</sup> This ensures rapid heating and uniform temperature. Additionally, this type of reactor is able to use larger particles of biomass and hence obviates the



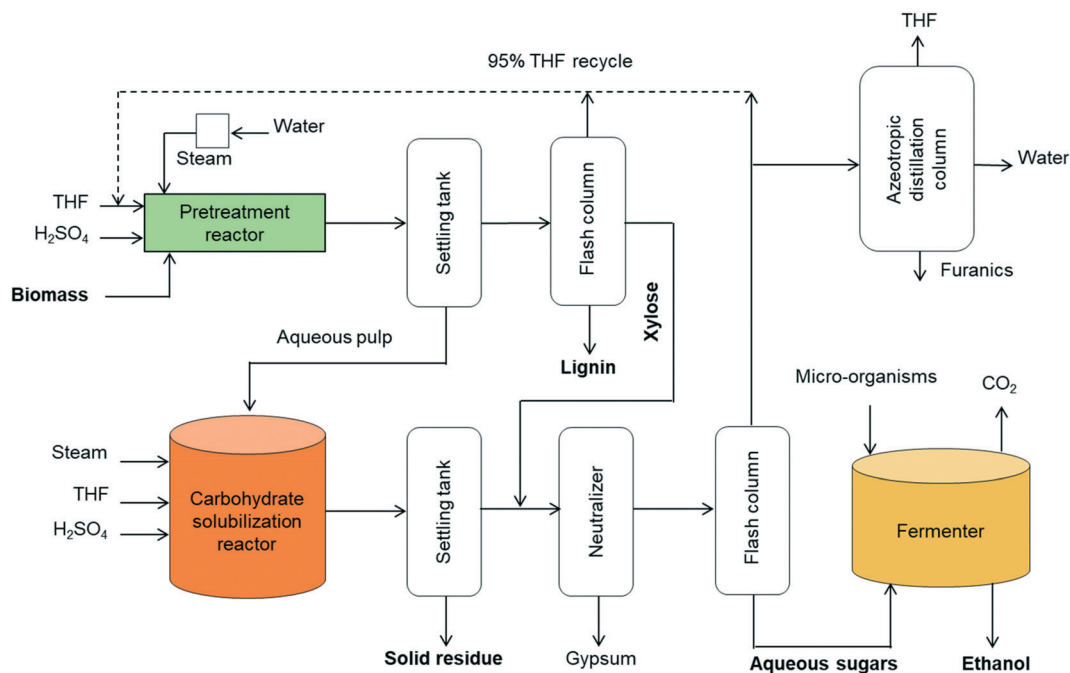


Fig. 10 Block diagram of cellulosic ethanol plant based on two-stage THF-based solvent liquefaction of lignocellulose.

need for energy-intensive comminution processes.<sup>64</sup> Moreover, pressure release at the end of the process assures rapid cooling of products. The pretreated biomass is separated and transferred to the carbohydrate solubilization unit.

The liquid from the settling tank (top fraction) is flashed to recover THF, which also precipitates lignin. The recovered lignin is clean and only moderately modified, making it attractive for manufacture of chemicals and fuels or burned as solid fuel for stationary power generation. The water-soluble stream from the distillation column is rich in xylose, which can be blended with the products from the carbohydrate solubilization reactor for subsequent fermentation as a mixture of C<sub>6</sub> and C<sub>5</sub> sugars.

Carbohydrate solubilization of the pretreated biomass can also be performed in a steam-injected explosion reactor with fast heating and cooling capabilities. Solubilized products from this reactor are delivered to a neutralization unit where lime neutralizes the sulfuric acid. The liquid is flashed to produce a sugar-rich aqueous fraction and THF-rich fraction. The THF fraction includes a small amount of water, but further drying is unnecessary before recycling to the pretreatment and carbohydrate solubilization reactors because these employ THF/water mixtures containing 20 vol% water. However, further separation of THF from water and furanics (furfural, 5-HMF) could be achieved *via* azeotropic distillation.

The water-soluble fraction from the flash column containing glucose, levoglucosan and xylose are highly concentrated in the aqueous phase after removal of THF. This sugar stream is delivered to the fermenter for production of ethanol. Conventional yeast (*e.g.* *Saccharomyces*

*cerevisiae*) can be employed for conversion of glucose whereas engineered microorganisms would typically be employed to ferment xylose and levoglucosan.<sup>6,65</sup> In some cases, separate fermenters for C<sub>5</sub> and C<sub>6</sub> carbohydrates may be desired. Alternatively, anhydrosugars in the solubilized carbohydrates could be hydrolyzed to fully hydrated sugars (glucose and xylose) prior to sending them to the fermenter.

## Conclusions

We have developed a novel pathway for saccharification of hardwood biomass using THF/water and dilute acid to overcome current challenges in biological and thermochemical conversion of lignocellulose to sugars. The pretreatment step in this two-step process removed up to 61% of the lignin and 64% of the hemicellulose, producing a cellulose-rich solid that was rapidly converted in a second solvent process at relatively moderate temperatures to fermentable carbohydrates at yields up to 65%. This two-step solvent liquefaction process achieved average glucose and xylose yields of 60% and 89% xylose, respectively. These yields are comparable to biological and other kinds of thermochemical saccharification processes while achieving volumetric sugar productivity orders of magnitude higher than possible through enzymatic hydrolysis or the GVL process. Furthermore, THF was not significantly degraded in the pretreatment or carbohydrate solubilization step and was readily recovered by one-step distillation. The solubilized carbohydrates were extracted at 99% recovery in water with no phenolic and furanic contaminants present after distilling THF.



## Conflicts of interest

There are no conflicts to declare.

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