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# Acetone-derived luminescent polymer dots: a facile and low-cost synthesis leads to remarkable photophysical properties†

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Carbon-based dots have been attracting much attention as potentially superior alternatives to more conventional semiconductor nanoparticles, due to their fascinating optical properties, chemical and photochemical stability, unique environmental-friendliness, and the versatility of fabrication routes. Many commercial materials and organic compounds have been considered so far as carbon precursors but in many cases the fabrication required high-temperature conditions or led to inhomogeneous final products. Here we report on a simple low-cost synthesis of non-conjugated carbon-rich polymer dots (PDs) that uses acetone as carbon precursor. Both hydrophilic and hydrophobic fractions of PDs were obtained, with the respective average diameters of 2–4 nm and ca. 6 nm. The as-obtained PDs reveal greenish-blue photoluminescence (PL) and high quantum yields (~5–7%) and complex kinetics of the decays with the average lifetime of ~3.5 ns. Such luminescent acetone-derived PDs may find application in several fields, including sensing and bioimaging.

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## Introduction

In the past decade, carbon-based dots (CDs), an emerging class of carbon-based nanostructures, have attracted considerable attention as they possess remarkable optical properties, high resistance to photobleaching,<sup>1–3</sup> long term colloidal stability,<sup>4–6</sup> and substantial resistance to aging.<sup>7,8</sup> Due to their tunable photoluminescence (PL) in the wide wavelength range (including also the so-called “biological windows”)<sup>9</sup> and low cytotoxicity,<sup>2,4,10–13</sup> CDs are considered as promising biocompatible alternatives to common semiconductor nanostructures, containing heavy metals (*e.g.* Cd, Pb or Hg). Novel fabrication methods are currently intensely developed, to fully explore the advantageous properties of their PL, applicable in bioimaging,<sup>4,7,11,13–19</sup> biological and chemical sensing,<sup>4,6,14,20–22</sup> drug delivery,<sup>23–26</sup> but also in optoelectronic and photovoltaic devices

(*e.g.* supercapacitors,<sup>27</sup> light-emitting diodes,<sup>16,28–31</sup> solar cells<sup>28,32,33</sup>), and photocatalysis.<sup>34–36</sup>

Since the first observation of the carbon-based dots in the residue of single-walled carbon nanotubes (SWCNTs) purification reported by Xu *et al.*,<sup>37</sup> many specific fabrication approaches have been elaborated. Although they can generally follow either top-down or bottom-up routines,<sup>1,38–41</sup> bottom-up approaches attract increasing interest, due to the versatility of potential carbon precursors and to the possibility to modify CDs chemical composition by using heteroatom-containing doping agents. A variety of commercial and natural precursors were already tried, like starch,<sup>3,42</sup> gelatin,<sup>43</sup> grass,<sup>44,45</sup> banana and orange juices,<sup>46</sup> bread,<sup>47</sup> chocolate,<sup>8</sup> meat,<sup>48</sup> soy milk,<sup>49</sup> instant coffee,<sup>17</sup> black tea,<sup>50</sup> beer,<sup>51,52</sup> and egg white.<sup>53</sup> While such attempts are *a priori* interesting, the natural materials suffer from their heterogeneity, unknown impurities, and often unknown chemical composition that is strongly affected by uncontrolled factors (*e.g.* environmental and geographical aspects). Therefore, simple organic molecules such as citric acid (CA),<sup>28,29,54–56</sup> melamine,<sup>57</sup> urea,<sup>13,54,56,58</sup> *trans*-aconitic acid,<sup>4,12</sup> malic acid,<sup>59</sup> gallic acid,<sup>58,60</sup> *o*-phenylenediamine,<sup>16</sup> hydroquinone,<sup>22</sup> as well as biogenic entities, like L-ascorbic acid,<sup>23,61</sup> saccharides,<sup>23</sup> lysozyme, amine acids,<sup>20</sup> and aspirin<sup>19</sup> were also considered as CD precursors.

Here we report on the use of acetone, a low-cost precursor of carbon-based nanomaterials that are essentially non-conjugated (but are likely to contain a certain number of double bonds). Acetone is a simple molecule containing a reactive carbonyl moiety that may be effectively condensed to

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form long, polymerized organic chains, that further organize into polymer-like nanostructures; these structures are called polymer dots (PDs).

The idea of using acetone as carbon precursor was introduced in 2015 by Hou *et al.*,<sup>62</sup> who reported a PD synthesis protocol involving acetone and sodium hydroxide that react according to alkali-assisted aldol reaction mechanism. However, optical properties of such nanostructures (dispersed in ethanol) were not analyzed in detail, the attention being focused on PD-based 3D porous frameworks for sodium-ion batteries. To the best of our knowledge, to date, Hou's approach was not further explored. Therefore, in this paper we describe a simple preparation route for acetone-derived PDs, mediated by NaOH and KOH, using only conventional organic synthesis equipment at room temperature, and yielding hydrophilic and hydrophobic fractions of blue-emitting, non-conjugated PDs. The optical features of as-prepared PDs were thoroughly scrutinized with steady-state and time-resolved spectroscopic techniques; in the case of aqueous phases, pH-sensitivity of the optical properties has been also analyzed.

## Experimental

### Materials

Acetone (99.9%), acetylacetone (99.0%), 2,2-dimethoxy-2-phenylacetophenone (AP, 99.0%), potassium hydroxide (KOH, ~98%), sodium hydroxide (NaOH, ~98%), concentrated hydrochloric acid (HCl, 36%), 1-butanol (99.9%), and 2-propanol (99.9%), were purchased from Sigma-Aldrich Co. LLC. Milli-Q ultrapure water was provided by Milli-Q Integral Water Purification System. All chemicals were used throughout the experiments without further purification processes.

### Synthesis of acetone-based PDs

We have modified the PDs synthesis procedure proposed by Hou<sup>62</sup> in the following way. First, 0.20 mole of acetone and 0.55 mole of a proper base (NaOH or KOH) were loaded into a three-neck flask and stirred vigorously in anhydrous conditions and without heating, for 72 hours. To monitor the synthesis progress, aliquots of the reaction mixture were taken out at various time intervals, and their corresponding UV-Vis extinction and PL spectra were recorded. Changes in the color of the reacting mixture were also observed *in situ*, as depicted in Fig. S1, ESI.† The redundant amount of hydroxides within a reaction mixture was neutralized through 1 M HCl solution, thereby blocking the synthesis process. Subsequently, crude products were extracted with the (*n*-butanol : water) mixture (in the ratio 1 : 1), resulting in two dark brown fractions which include only hydrophilic (**C-1Na** and **C-1K**), or only hydrophobic (**C-2Na** and **C-2K**) PDs. As-prepared fractions were lyophilized, then the hydrophilic samples were washed with isopropanol to reduce the emerged NaCl/KCl salts as adverse synthesis products and dried again, to get a brown powder. All spectroscopic studies of hydrophilic samples (**C-1Na** and **C-1K**) were performed in aqueous suspensions, at room temperature. The hydrophobic **C-2Na** and **C-2K** PDs were suspended in *n*-butanol or methanol.

### Characterizations

Solid-state attenuated-total reflectance Fourier-transform infrared (ATR-FTIR) spectra in the middle infrared range (MIR: 4000–400 cm<sup>-1</sup>) of each PDs sample were recorded on a Nicolet iS10 FTIR spectrometer (Thermo Scientific). Besides, the MIR FTIR spectra were also taken with an IFS 66v/S spectrometer (Bruker), operating in transmission (TR-FTIR) mode. X-ray diffraction (XRD) diagrams were measured on the home-built experimental setup (using a copper K $\alpha$  anode,  $\lambda_{\text{beam}} = 0.15418$  nm). Transmission electron microscopy (TEM) samples were prepared by applying a 3  $\mu$ L drop of 0.5 mg mL<sup>-1</sup> solution to the standard carbon on copper grid and air-drying. Imaging single PDs near the borders of the densest areas was conducted on a W-filament Hitachi H-800 conventional TEM instrument, working at 150 kV accelerating voltage. To determine precisely the size distribution of PDs, 150 nanoobjects from each sample were analyzed. The static light-scattering measurements (SLS) were conducted with a multi-angle dynamic and static light scattering instrument Photocor Complex (638 nm). Raman spectroscopy measurements were performed on two different experimental setups: (i) an RF6 100/S Bruker spectrometer (1064 nm) and (ii) a home-built system, consisting of a Model 3900S laser system (with the excitation at 750 nm) and an iHR 550 Spectrometer. The XPS spectra were acquired at the high-vacuum conditions with a hemispheric analyser VG SCIENTA using a monochromatic X-ray source Al K $\alpha$  excitation ( $E = 1486.6$  eV, MX650, VG Scienta) and a He lamp (UVS 40A, PRE-VAC). Changes in pH values were monitored by a Mettler Toledo instrument (SevenCompact Series).

The UV-Vis extinction spectra were collected on a JASCO V-730 spectrophotometer. The emission, excitation spectra, and the two-dimensional excitation–emission maps of PDs were recorded on a FluoroMax-4 spectrofluorimeter (Horiba Jobin Yvon). The absolute PL quantum yields (PLQYs) were determined using a FLS 980 Edinburgh Instruments spectrometer, equipped with an integrating sphere and a BDL-375-SMN Picosecond Laser Diode (20 MHz, 377 nm) as an excitation source.

The PL decays of PDs were collected on a conventional time-correlated single-photon counting (TCSPC) setup (Becker&Hickl GmbH) using the BDL-375-SMN Picosecond Laser Diode.

More detailed descriptions of the instruments are given in the ESI.†

## Results

### Structural characterizations

To determine the correlations between the structure (internal and external) and optical properties of the PDs, their detailed structural characterization is required. Fig. 1a, b and S3a, b† shows TEM images of NaOH and KOH derived PDs, dispersed in water (for hydrophilic PDs) or simple alcohols (for hydrophobic PDs). Both fractions reveal a nearly spherical morphology, with relatively narrow distributions of average diameters (Fig. 1d, e and S3c, d†). The diameters of hydrophilic PDs (**C-1Na** and **C-1K**) are centered around 2–4 nm, whereas the



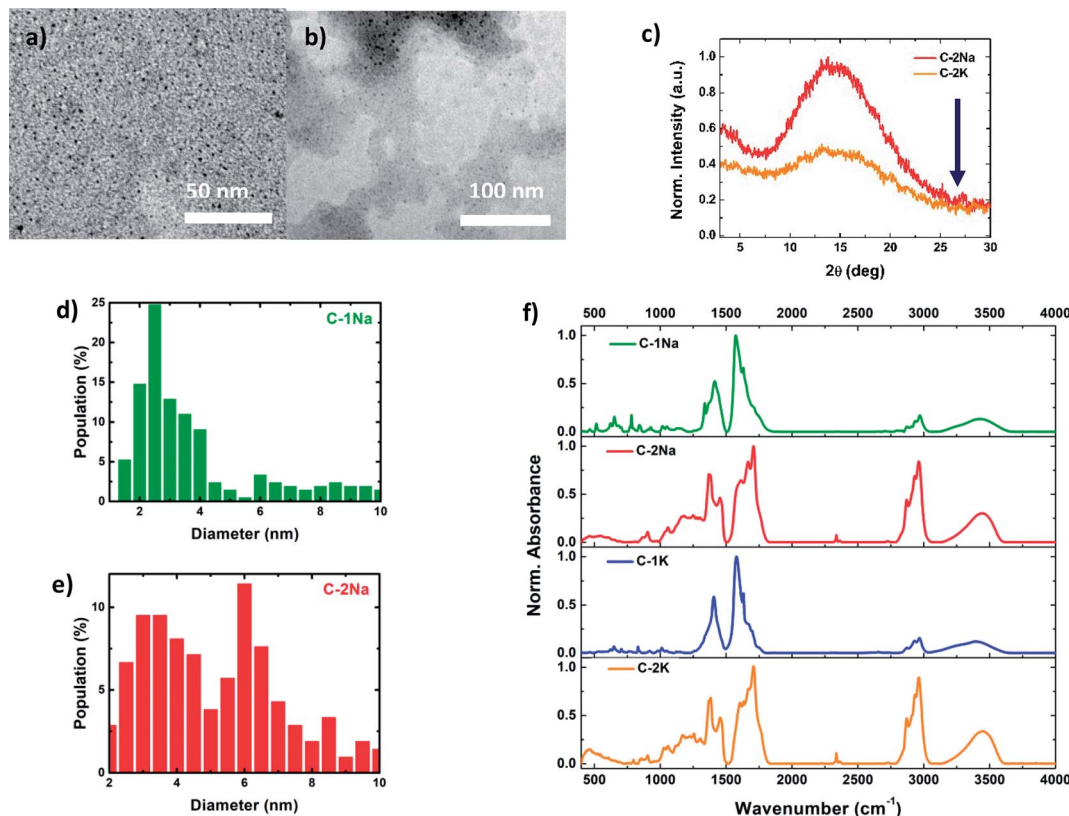


Fig. 1 TEM images of hydrophilic (a) and hydrophobic (b) NaOH-derived PDs and the corresponding size distributions (d and e); the XRD pattern of hydrophobic samples – the expected (002) peak at 26° is indicated by an arrow (c) TR-FTIR spectra of NaOH and KOH derived PDs fractions (f).

hydrophobic PDs (C-2Na and C-2K) are slightly larger, *ca.* 6 nm, with wider polydispersity. The hydrophobic fractions show tendency to aggregate into bigger, 16 nm to 25 nm width structures. Those results are in a good agreement with the size distributions determined by the SLS measurements (Fig. S3e and f†). The XRD diagrams (Fig. 1c) show a broad and asymmetric band characteristic for amorphous structures centred at  $\sim 13.5^\circ$ , and less pronounced peak at  $15.1^\circ$ . This results differ from diffraction spectra of graphitic CDs that should show narrow bands at  $\sim 26^\circ$  (0.34 nm, 002 direction) and  $\sim 43.0^\circ$  (0.21 nm, 100 direction).<sup>63–66</sup> Unfortunately, despite the careful rinsing with isopropanol, hydrophilic samples contain significant amount of unwashed NaCl or KCl nanocrystals that make more advanced analysis of XRD spectra difficult (Fig. S4†). The absence of graphitized structures was further confirmed by Raman spectroscopy: the spectra did not show any of the peaks characteristic for  $sp^2$ -hybridized carbon domains and located at around  $1340\text{--}1360\text{ cm}^{-1}$  (the D-band), and  $1560\text{--}1590\text{ cm}^{-1}$  (the G-band).<sup>16,45,54,67</sup>

The chemical groups present in PDs have been further identified using ATR-FTIR and TR-FTIR spectroscopies (Fig. 1f and S5, S6†).<sup>68</sup> No significant differences were found in ATR-FTIR and TR-FTIR spectra of PDs prepared using either NaOH or KOH bases. The broad, asymmetric absorption band at *ca.*  $3400\text{ cm}^{-1}$  indicates the presence of numerous hydroxyl (–OH) groups from different oligomeric chains, involved in

intermolecular hydrogen bonds.<sup>6,69–71</sup> Sharp peaks located between  $2840\text{ cm}^{-1}$  and  $3050\text{ cm}^{-1}$  can be tentatively attributed to methyl (–CH<sub>3</sub>) and methylene (–CH<sub>2</sub>–) moieties, respectively.<sup>33,62,72,73</sup> The ratios of absorbance of –OH to –CH<sub>3</sub> peaks were estimated to be 0.77–0.80 for hydrophilic and 0.36–0.37 for hydrophobic fractions of PDs (Table S2†), which suggests that non-polar –CH<sub>3</sub> moieties are more frequently occurring in hydrophobic PDs.

The low wavenumber domain of FTIR spectra (between  $400\text{ cm}^{-1}$  and  $1950\text{ cm}^{-1}$ ) may be considered as the fingerprint region that allows to differentiate between the hydrophilic and hydrophobic PDs fractions. In the case of hydrophilic PDs, the appearance of an intense band at  $1576\text{ cm}^{-1}$ ,<sup>71,74,75</sup> characteristic for enol form with intramolecular resonance, reveals that the carbonyl (C=O) groups are mostly coupled with –OH groups (weak signals at  $2655\text{ cm}^{-1}$ ,  $2704\text{ cm}^{-1}$ ,  $2725\text{ cm}^{-1}$ ,  $2800\text{ cm}^{-1}$ , and  $2839\text{ cm}^{-1}$ ) by hydrogen bonding (Fig. S7†).<sup>71,74–77</sup> The strong peaks at  $1620\text{ cm}^{-1}$  and  $1656\text{ cm}^{-1}$  can be attributed to the unsaturated C=C bonds.<sup>71,73,74,78</sup> FTIR spectra of hydrophobic PDs are more complex. The characteristic peak of free C=O groups at  $1703\text{ cm}^{-1}$  is more pronounced in the hydrophobic fraction.<sup>62,74,75,78</sup> The absorption peaks at  $1602\text{ cm}^{-1}$  and  $1638\text{ cm}^{-1}$  can be ascribed to the C=C groups.<sup>69,71,74</sup> The additional peak from groups containing C=O or C=C bonds emerges at  $1666\text{ cm}^{-1}$ .<sup>62,71,74</sup> The strong peaks at  $1368\text{ cm}^{-1}$  and  $1450\text{ cm}^{-1}$  arise from different methyl groups.<sup>71,72</sup> In both



fractions, the series of the overlapping peaks in the range from  $800\text{ cm}^{-1}$  to  $1320\text{ cm}^{-1}$  can be related to different forms of complex C–C–C and C–C–O backbones, which may indicate the abundance of ketones (e.g. C–C(=O)–C), and branched alcohol moieties.<sup>7,27,71,75,79</sup> The analysis of XPS spectra (Fig. S9–S11 and Table S3†) provided strong and sharp C 1s and O 1s peaks at 284.8 eV and 532.2 eV, respectively. Their Gaussian deconvolution allowed us to evidence that C–C moieties (284.4 eV) play a predominant role in hydrophobic PDs (~75%) while their hydrophilic counterparts are rich in polar groups. The deconvoluted high-resolution O 1s indicate different polar moieties, such as carbonyl and hydroxyl groups. The interpretation of XPS spectra is in a good agreement with the FTIR results.

### Optical properties

Fig. 2a shows the UV-Vis extinction, excitation and emission spectra of hydrophobic and hydrophilic KOH-derived PDs. The relatively narrow peak (the full width at half maximum FWHM ~38 nm) in extinction spectra of hydrophobic samples, centered at 298 nm, is attributed to  $n\text{--}\pi^*$  transitions; a much broader band located at shorter wavelengths may be due to a variety of  $\pi\text{--}\pi^*$  transitions.<sup>2,6,11,21,23,28,80–84</sup> Such an extinction

profile indicates the molecular character of optical properties, typical for heteroatom-free CDs and PDs in which  $n\text{--}\pi^*$  transitions originate mainly from different carbonyl groups, whilst  $\pi\text{--}\pi^*$  transitions indicate the presence of C=C bonds.<sup>28,38,82–84</sup> For hydrophilic PDs the weak  $n\text{--}\pi^*$  and  $\pi\text{--}\pi^*$  bands seem to overlap, and show slight bathochromic shift compared to their hydrophobic counterparts. The weakening of  $n\text{--}\pi^*$  absorption components in hydrophilic PDs confirms the low content of free carbonyl groups with lone electron pairs, previously indicated by FTIR measurements.

The excitation and emission spectra of all PDs colloidal systems studied here are centered at slightly different wavelengths. Unlike several previously-reported CDs,<sup>4,8,33,48,70</sup> all excitation peaks are red-shifted (by ~80–100 nm) with respect to  $n\text{--}\pi^*$  absorption peaks. The most intense greenish-blue emission is observed for hydrophobic PDs, at 500 nm (C-2Na) and 480 nm (C-2K), upon excitation with 400 nm and 380 nm, respectively. On the contrary, the hydrophilic fractions of PDs show a hypsochromic shift (emission centered at 460 nm (C-1Na) and 470 nm (C-1K)), for the most efficient excitation wavelengths (~370 nm). The emission peaks of hydrophilic PDs fractions are narrower (FWHM ~115–120 nm) than their

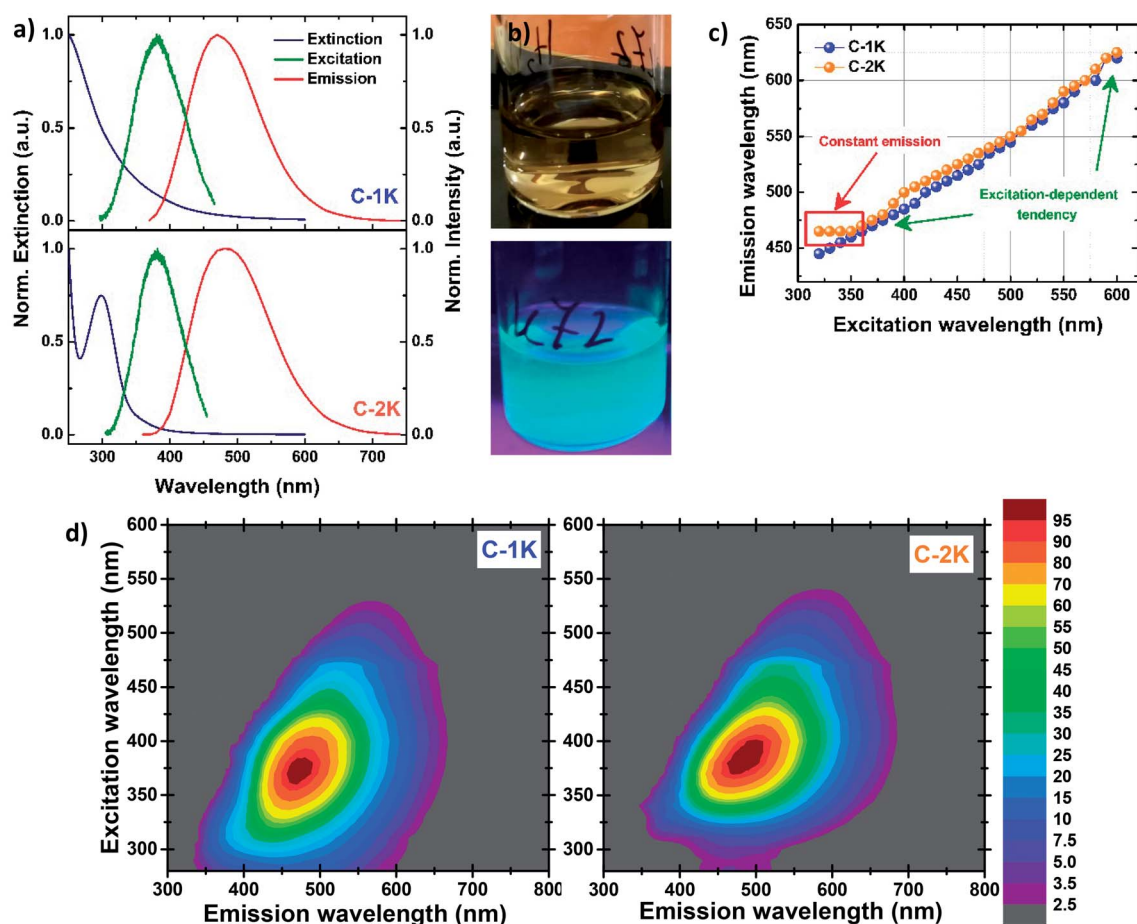


Fig. 2 The normalized extinction (blue), excitation (green) and emission (red curve) spectra of KOH-based PDs (a); aqueous dispersion of C-1K under the daylight (top) and the UV light (down) (b); the relation between emission maxima and the excitation wavelength for KOH-based PDs (c); the PL excitation–emission maps of KOH-based PDs. The legend in the panel corresponds to normalized PL intensity (d).



hydrophobic analogs (FWHM  $\sim 135$ – $140$  nm) while the FWHM values of all excitation bands are estimated to be *ca.* 80 nm. All PDs exhibit a large Stokes shift. The bright greenish-blue PL of the PDs suspensions exposed to the UV light (Fig. 2b) is easily observed with the naked eye.

As expected, for excitation wavelengths in the visible range both PDs fractions show excitation-dependent emission: the emission maximum gradually red-shifts when the excitation wavelength increases. Such a behavior has been also observed for most of CDs obtained by bottom-up methods.<sup>17,18,32,81,83,85–88</sup> A surprising difference between hydrophobic and hydrophilic PDs is observed upon excitation with UV wavelengths ( $\lambda_{\text{exc}} < 350$  nm): the position of the emission maxima is excitation-independent for hydrophobic PDs, while the emission of their hydrophilic counterparts is strongly excitation-dependent in the UV regime (Fig. 2c, d and S12–S15†). In the case of KOH-based PDs, the red-shifted emission component is observed at  $\sim 550$  nm for increasing excitation wavelengths (Fig. S16a†). This fact suggests that the same emission transition can be induced by exciting samples in a wide wavelength range.

The absolute PL quantum yield (PLQY) is around 5% values for NaOH-based PDs (5.7% (**C-1Na**) and 5.0% (**C-2Na**)), and around 7% for KOH-based PDs (7.2% (**C-1K**) and 7.5% (**C-2K**)) (Table 1). To the best of our knowledge, it is the first evaluation of PL efficiency for acetone-based PDs.

### Temporal photoluminescence profiles

The time dependences of PL characteristics were monitored by recording the PL decays at two different emission wavelengths (480 nm and 580 nm) using the TCSPC technique (Fig. 3a and S18–S21†). The decay curves were fitted by a triple-exponential function, resulting in three PL lifetime components (Table S4†). Similar fitting models were previously proposed by Choudhury *et al.*<sup>69</sup> and Kwon *et al.*,<sup>28</sup> although in most studies of CDs the bi-exponential decay profiles have been favoured, particularly for highly graphitized dots.<sup>4,16,19,20,55,87,89,90</sup> The three PL decay times (denoted as  $\tau_1$ ,  $\tau_2$ , and  $\tau_3$ ) were found to be  $\sim 0.7$  ns,  $\sim 2.5$  ns, and  $\sim 6.7$  ns, although  $\tau_1$  and  $\tau_2$  play a dominating role (with the sum of the components' amplitudes of  $\sim 90\%$ ). The resultant averaged PL lifetimes ( $\langle \tau \rangle$ ) were estimated to be  $\sim 3.5$  ns, for all PDs (Table 1). Moreover, the lifetime components and their amplitudes are emission-independent (see the comparison in Table S4†).

The combination of PLQYs and the average PL lifetimes allowed us to estimate the radiative ( $k_r$ ) and non-radiative ( $k_{nr}$ ) rate constants for all PDs (Table 1). The relatively high  $k_{nr}/k_r$  ratios indicate that the non-radiative processes (*e.g.* vibrational and solvent relaxations)<sup>91</sup> play a predominant role. In fact the mechanism of PDs emission is more complex, with numerous available radiative transition pathways, suggesting the presence of different local molecular PL centers (*i.e.* sub-fluorophores).<sup>63,92</sup>

### pH-dependence of photoluminescence

Fig. 3b shows evolution of PL spectra (and of normalized PL intensity) of aqueous suspensions of **C-1K** PDs, as a function of solution pH, in the wide range of pH values from 4.8 to 10.0. The PL intensity ( $\lambda_{\text{exc}} = 370$  nm) remains high and constant close to the physiological pH value (of 7.4), and in the range  $4.8 < \text{pH} < 8.0$ . The stability of PL intensity in the wide range of pH is probably due to the presence of numerous hydroxyl groups that act as basic sites, and require stronger acidic conditions to be fully protonated than carboxyl moieties.<sup>69,80,81</sup> The PL intensity gradually decreases when solution pH is lowered below 4.8. Additionally, a slight blue-shift of PL of  $\Delta\lambda = 5$  nm (reported also by Song *et al.*)<sup>6</sup> is observed when the pH value is reduced to 3.17. In contrast, strongly alkaline pH leads to more intense PL, the intensity enhancement reaching up to 10%. At such strong alkalic conditions all hydroxyl groups are fully deprotonated, forming negatively charged sites that may be responsible for the PL enhancement, however, the exact mechanism of such an enhancement is still unclear.<sup>93</sup> At the same time the extinction spectrum remains almost unchanged. A similar behavior was previously observed by Pan *et al.* for nitrogen-doped CDs, that also showed pH-independent PL, but in narrower pH range.<sup>81</sup> In general, for previously synthesized CDs a large variety of PL responses to pH changes was reported, from reversed sigmoidal,<sup>94</sup> linear behaviors,<sup>6,83</sup> to even a complete inertness.<sup>7,17</sup>

An intriguing feature is observed for the excitation spectrum ( $\lambda_{\text{em}} = 470$  nm) under the acidic conditions: the intensities of the middle and red-side excitation peaks exhibit a monotonous decrease for lowering pH values while blue-side components remain constant (Fig. S22†). We attribute the pH-independent excitation peak to non-polar moieties and red-shifted, pH-dependent components to polar groups.

### Monitoring of the synthesis progress

The progress of synthesis was followed by analyzing the evolution of extinction and emission spectra of reaction solution at different reaction times. As shown in Fig. S2,† only the hydrophilic fraction of PDs, characterized by the emission band centered at 480 nm, is formed at initial stages of synthesis (during the first 90 min). The PL intensity reaches the maximum after *ca.* 56 h of reaction and then remains constant. The hydrophobic fraction of PDs, characterized by a strong absorption peak at 298 nm and a broad emission band at 470 nm, emerges after *ca.* 2 hours of reaction and progressively becomes

**Table 1** The fundamental PL parameters of all PDs samples: PL quantum yield (PLQY), average PL lifetime  $\langle \tau \rangle$ , radiative and non-radiative rate constants  $k_r$  and  $k_{nr}$ , and the ratio between them  $k_r/k_{nr}$

Sample	PLQY (%)	$\langle \tau \rangle$ (ns)	$k_r$ (ns <sup>-1</sup> )	$k_{nr}$ (ns <sup>-1</sup> )	$k_r/k_{nr}$
<b>C-1Na</b>	5.7	3.7	0.015	0.25	16.7
<b>C-2Na</b>	5.0	3.2	0.015	0.29	19.3
<b>C-1K</b>	7.2	3.5	0.021	0.27	12.9
<b>C-2K</b>	7.4	3.5	0.022	0.27	12.3



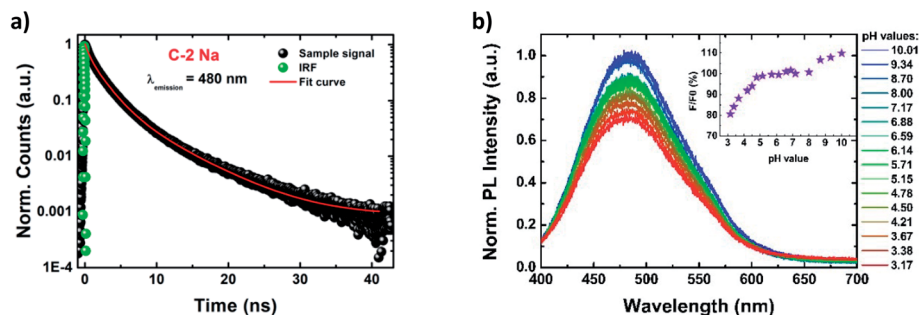


Fig. 3 The PL decay profile of C-2Na recorded at 480 nm and the instrument response function (IRF) (a); evolution of PL spectra and of normalized PL intensity (the inset) of C-1K as a function of suspension pH ( $\lambda_{\text{exc}} = 370 \text{ nm}$ ) (b).

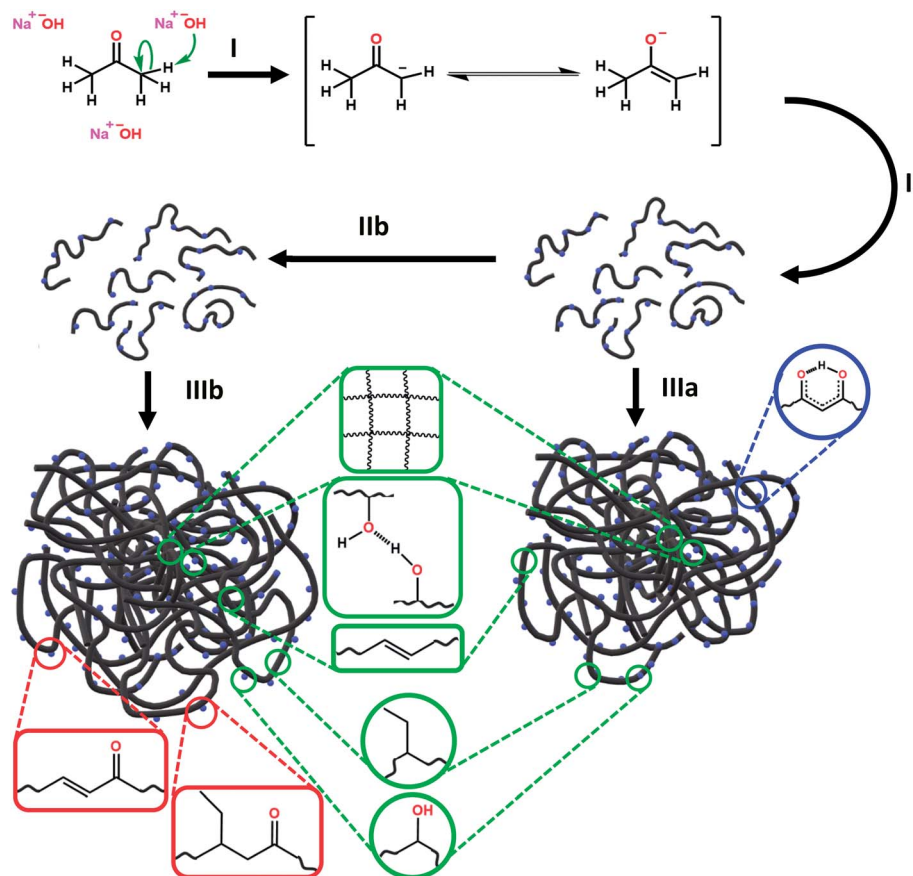
the dominant component of the reaction mixture; the intensity of PL of this phase reaches the maximum after 72 hours.

## Discussion

### Mechanism of PDs formation

We propose the mechanism of PDs formation in three steps (Scheme 1). First, free acetone molecules react with each other, undergoing the initiation process (I) in accordance with the base-catalyzed aldol reaction.<sup>95</sup> In principle, the emerging

negatively-charged enolate attacks an acetone's carbonyl group, and forms aldol that is able to attach more ketone or other aldol molecules. The molecules grow progressively during the condensation process (II), resulting in longer oligomeric aldol chains. The enolate-like aldol reaction is one of the most fundamental organic reactions that might be induced by alkali hydroxides (*e.g.* NaOH and KOH). Indeed, NaOH and KOH play pervasive role in PDs formation, acting as sources of  $\text{OH}^-$  anions. Strong alkaline conditions favor also an intense dehydration process (IIb) which produces more unsaturated carbon



Scheme 1 The three steps of formation of hydrophilic and hydrophobic PDs: initiation (I), condensation (II), dehydration (IIb), and organization into spherical polymeric dots (IIIa and IIIb). Some plausible chemical groups (red – hydrophobic PDs, blue – hydrophilic PDs, and green – common to both types of PDs) are indicated.



bonds. These two competitive reaction ways promote formation of two different types of aldol chains. As the reaction progresses, the hydrophobic fraction starts to dominate. During the assembling process (III), the two kinds of aldol oligomeric chains curl up separately into nearly spherical nanostructures.

These two types of PDs differ slightly in their composition, although both have polymeric structure (Scheme 1). All coiled long chains are rich in diverse polar (*e.g.* hydroxyl and carbonyl) and non-polar (*e.g.* methyl and unsaturated hydrocarbons) functional groups. Such substituents are exposed to solvent molecules and determine the dispersibility in various solvents. The non-polar moieties are more frequent in hydrophobic than in hydrophilic PDs. No extended graphitized domains were identified. Therefore, the internal stability of PDs is enhanced with the covalent cross-linking and supramolecular interactions, such as intermolecular hydrogen bonding and van der Waals forces.<sup>92</sup>

### Origin of photoluminescence

The combination of the complex structure of polymeric nano-clusters, multiple PL decays, and excitation-dependent emission indicates the existence of an ensemble of emissive states.<sup>92,96</sup> Such local PL centers possess a molecular nature, and act as sub-fluorophores. Their relations with versatile polar moieties is confirmed by high pH-sensitivity in the case of aqueous systems at strong acidic and basic conditions. Besides, the excitation with the UV light ( $\lambda_{\text{exc}} < 350$  nm) and the existence of a pH-resistant excitation peak ( $\lambda_{\text{em}} \sim 343$  nm) suggest that the radiative transitions from the residual non-polar moieties may also appear. In contrast, hydrophobic PDs provide also strong excitation-independent emission peak upon excitation with the UV light, indicating the radiative transitions from the same electronic state (Fig. 2c and S13b†). Knowing that non-polar groups (*i.e.* unsaturated C=C bonds) are more often in hydrophobic PDs, they can be related to these radiative transitions. In order to gain a deep insight into this intriguing feature time-resolved spectroscopic studies should be performed, using different pulsed light sources ( $\lambda_{\text{exc}} < 350$  nm). Dual surface-core emission (like in carbon nanodots) cannot be considered due to the absence of graphitic subdomains.<sup>84</sup>

It should be noted that autofluorescence of sub-fluorophores is weaker than that of typical fluorophores.<sup>92</sup> Therefore, the covalent crosslinking and the supramolecular interactions in our PDs should play a dominant role in the PL process. Intuitively, they should rigidify the skeleton of non-conjugated PDs, thereby decreasing the strong rotational (and vibrational) oscillations, and reducing non-radiative relaxations after exposure to the excitation light. In consequence, the PL intensity increases.<sup>38,92,97,98</sup> This effect is called the crosslink-enhanced emission (CEE), and may appear here in the covalent-bond and supramolecular ways.

## Conclusions

We described a convenient synthesis route that leads to strongly blue-emitting polymer carbon dots (PDs) on a large scale. This simple fabrication process consists in base-mediated aldol

reaction of acetone molecules, followed by the self-organization of collapsed aldol chains, at relatively low temperature. The necessary precursors and laboratory equipment are inexpensive, therefore the synthesis can be easily reproduced in any chemical laboratory. The reaction produces two fractions of PDs, hydrophilic and hydrophobic, with the average diameters of 2–4 nm and 6 nm, respectively, differing slightly in their compositions. Structural characterization evidenced the amorphous organic design of PDs, consisting of polar and non-polar functional groups: their relative contents within a fraction determine the PDs solubility in water or other polar solvents. All prepared PDs show the greenish-blue PL whose position can be tuned by excitation wavelength.

Combining structural and optical measurements allowed us to propose the mechanisms governing PL, with two plausible ways of radiative transitions consisting in intrinsic emission (i) from non-polar residuals and (ii) from local polar emissive centers. All the prepared PD nanostructures display promising PLQY values ( $\sim 7\%$ ); therefore, they seem to be good candidates for diverse PL-based applications, in chemical sensing or bioimaging.

## Conflicts of interest

There are no conflicts to declare.

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