RSC Advances



PAPER

View Article Online
View Journal | View Issue



Cite this: RSC Adv., 2020, 10, 27474

Heterostructures of ϵ -Fe₂O₃ and α -Fe₂O₃: insights from density functional theory

Imran Ahamed, oa Nicola Seriani, Ralph Gebauer and Arti Kashyap*c

Many materials used in energy devices or applications suffer from the problem of electron-hole pair recombination. One promising way to overcome this problem is the use of heterostructures in place of a single material. If an electric dipole forms at the interface, such a structure can lead to a more efficient electron-hole pair separation and thus prevent recombination. Here we model and study a heterostructure comprised of two polymorphs of Fe₂O₃. Each one of the two polymorphs, α-Fe₂O₃ and ε-Fe₂O₃, individually shows promise for applications in photoelectrochemical cells. The heterostructure of these two materials is modeled by means of density functional theory. We consider both ferromagnetic as well as anti-ferromagnetic couplings at the interface between the two systems. Both individual oxides are insulating in nature and have an anti-ferromagnetic spin arrangement in their ground state. The same properties are found also in their heterostructure. The highest occupied electronic orbitals of the combined system are localized at the interface between the two iron-oxides. The localization of charges at the interface is characterized by electrons residing close to the oxygen atoms of ε -Fe₂O₃ and electron-holes localized on the iron atoms of α -Fe₂O₃, just around the interface. The band alignment at the interface of the two oxides shows a type-III broken band-gap heterostructure. The band edges of α -Fe₂O₃ are higher in energy than those of ϵ -Fe₂O₃. This band alignment favours a spontaneous transfer of excited photo-electrons from the conduction band of α - to the conduction band of ε -Fe₂O₃. Similarly, photo-generated holes are transferred from the valence band of ε - to the valence band of α -Fe₂O₃. Thus, the interface favours a spontaneous separation of electrons and holes in space. The conduction band of ϵ -Fe₂O₃, lying close to the valence band of α -Fe₂O₃, can result in band-to-band tunneling of electrons which is a characteristic property of such type-III broken band-gap heterostructures and has potential applications in tunnel field-effect transistors.

Received 4th May 2020 Accepted 17th July 2020

DOI: 10.1039/d0ra04020g

rsc.li/rsc-advances

Introduction

At the interface between two different materials one can often observe new emergent physical properties and phenomena which are not found in the individual materials. For example, LaAlO₃ and SrTiO₃ both are insulating materials, but in a heterostructure, the interface of these systems was found to be metallic. In general, oxides can have properties ranging from ferroelectric to piezoelectric, bandgap insulating or superconducting, *etc.* Such properties are related to the lattice structure and the symmetry of the materials. By forming heterostructures of these oxides, the crystal lattice is disturbed and the symmetries are broken, which alters the properties of the combined system. Using various techniques, heterostructures

of oxides can be prepared with novel properties, such as the presence of two dimensional electron gas (2DEG) at the interface of LaAlO₃/SrTiO₃ (ref. 3) and also in KNbO₃/BaTiO₃, KNbO₃/PbTiO₃, KNbO₃/SrTiO₃ heterointerfaces.⁴ Very high electron mobilities $\sim 10^6$ cm² V⁻¹ s⁻¹ were observed at the heterointerface of MgZnO/ZnO.⁵ The interface of LaAlO₃/SrTiO₃ was also found to be superconductive.^{6,7} Very recently, a high-mobility spin-polarized 2DEG was observed at the interface of EuO/KTaO₃.⁸ Emergent giant topological Hall effect is also observed in heterostructures of LaSrMnO₃/SrIrO₃.⁹

Heterostructures can also be important for electron–hole separation in photoactive devices. Here we are interested in iron oxides that have demonstrated potential as photocatalysts, but suffer from high recombination. Bulk ϵ -Fe₂O₃ is an indirect band-gap semiconducting material with a gap of 1.9 eV,^{10,11} whereas bulk α -Fe₂O₃ is a direct band-gap semiconducting material with 2.2 eV of band-gap.^{12,13} Bulk ϵ -Fe₂O₃ is magnetically hard with a room temperature coercivity of 20 kOe,¹⁴⁻¹⁶ while bulk α -Fe₂O₃ is magnetically very soft with a room temperature coercivity of a few 100 Oe.¹⁷⁻¹⁹ Single crystals of ϵ -Fe₂O₃ are not naturally found nor prepared experimentally, but

[&]quot;School of Basic Sciences, Indian Institute of Technology, Mandi, Himachal Pradesh, 175005, India

^bThe Abdus Salam International Centre for Theoretical Physics (ICTP), Strada Costiera 11. 34151 Trieste. Italy

School of Basic Sciences, School of Computing and Electrical Engineering, Indian Institute of Technology, Mandi, Himachal Pradesh, 175005, India. E-mail: arti@itmandi.ac.in

it is always obtained in mixtures with $\alpha\text{-Fe}_2O_3$ and its other polymorphs. Also, both $\epsilon\text{-}$ and $\alpha\text{-Fe}_2O_3$ being charge-transfer insulators, 10,20 the heterostructures of these two polymorphs can show exciting phenomena at the interface, just like various other transition metals oxide heterostructures.

Both these phases of iron-oxide have been theoretically studied and also experimentally proven for H_2 production from sunlight in photoelectrochemical (PEC) cells with different production rates. The application of ϵ - and α -Fe₂O₃ in energy devices such as PEC cells suffers from the presence of surface states acting as trap sites for electron-holes which also favour the recombination of photo-generated electron-hole pairs. To increase the efficiency of the PEC cells with α -Fe₂O₃ photoelectrodes, the surface states can be passivated by growing overlayers of Al₂O₃, Ga₂O₃ or In₂O₃. The efficiency of ϵ -Fe₂O₃ is found much higher in H_2 production in PEC cells in comparison with α -Fe₂O₃. Like in the case of BiFeO₃, 33, 44 the magnetoelectric/ferroelectric nature of ϵ -Fe₂O₃ (ref. 35) reduces the recombination of photo-generated charges thus giving higher H_2 yield in comparison with α -Fe₂O₃ used in PEC cells.

Heterostructures are proven to show a great amount of reduction of electron–hole recombination by separating the two charges. The energy band alignment of the two materials at the interface of the heterostructure of BiFeO $_3/\epsilon$ -Fe $_2$ O $_3$ is such that it facilitates the separation of electron–hole pairs. Very recently, epitaxial thin films of α -Fe $_2$ O $_3$ was grown on multiferroic ϵ -Fe $_2$ O $_3$ supported on SrTiO $_3$ as a substrate for a possible application as a 4-resistive state multiferroic tunnel junction (MFTJ). Since then, heterojunctions of semiconductors, insulators or semiconductor–insulator junctions show unique electronic and magnetic properties. For this reason, we have explored the heterostructure of two semiconducting oxides, namely the two different polymorphs of Fe $_2$ O $_3$.

Here, we have investigated the heterostructures of Fe₂O₃ by first-principles calculations. We modelled the heterointerface of ε -Fe₂O₃ and α -Fe₂O₃, the two polymorphs of Fe₂O₃. The interface formation energy of the heterointerface is calculated for the various magnetic couplings, yielding the stable magnetic ordering in the heterostructure. The electronic structure of the heterostructure of the anti-ferromagnetic α-Fe₂O₃ and multiferroic ε-Fe₂O₃ is calculated and the interface states are determined. We have obtained the charge transfer in the ε/α -Fe₂O₃ system by means of the charge density difference and also from the band alignment. We have shown the band alignment at the interface of ε-Fe₂O₃ and α-Fe₂O₃ subsystems forming the heterostructure by taking a common vacuum level as reference for the combined system as well as for the individual subsystems. In this way, the band offset and the direction of the charge flow across the interface is determined.

Calculation details

We have performed spin-polarized density functional theory (DFT) calculations as implemented in VASP (Vienna *Ab initio* Simulation Package).^{41–43} The Perdew–Burke–Ernzerhoff (PBE)⁴⁴ form of the generalized gradient approximation was used for the treatment of the exchange–correlation effects. We have used

the projected augmented wave (PAW)45 method and pseudopotentials with d⁷s¹ and s²p⁴ as the valence configurations for Fe- and O-atoms, respectively. The DFT+U formalism46,47 was used to account for the strongly correlated nature of the localized electrons. An effective Hubbard-U parameter⁴⁸ is introduced. This *U*-correction is applied to the Fe 3d-states, and its value is chosen to be 4 eV. This value is commonly used for hematite.²⁰ U - J = 4 eV is reported to give a band gap in close agreement with the ab initio study for bulk ε-Fe₂O₃ (ref. 10 and 11) and also for bulk α -Fe₂O₃.²⁰ The *U* value chosen for the Featoms are same for the surface and bulk atoms as opposed to the work of Lewandowski et al.49 because the Fe-atoms at the interface has the same environment above and below it. Structural optimizations of each slab of Fe2O3 and of their heterostructure and calculation of the density of states of the heterostructure were carried out using a Monkhorst-Pack (M-P)⁵⁰ k-point mesh of $5 \times 3 \times 1$ points in the Brillouin zone. To represent the electronic wave orbitals, we have used a planewave basis set with an energy cutoff of 530 eV. The atoms of the heterostructure were selectively relaxed in the z-direction only in a constant volume cell using a conjugate gradient optimization⁵¹ algorithm. The convergence criteria for electronic self-consistency was set to 10⁻⁷ eV and for the forces in relaxations to 0.005 eV ${\mathring{A}}^{-1}$ for each atom. Due to the noncentrosymmetric nature of bulk E-Fe₂O₃ and also the heterostructures of ε/α -Fe₂O₃, the two surfaces are not same and thus are not dipole neutral. In order to apply a dipole correction, compensating dipoles^{52,53} are introduced in the vacuum region of the slab of each iron oxide and also for their heterostructure.

Modelling of heterostructure

 ε -Fe₂O₃ has an orthorhombic structure with space group $Pna2_1$. The DFT-optimized lattice parameters were found to be a=5.125 Å, b=8.854 Å and c=9.563 Å which are in good agreement with the experimental lattice parameters.²⁷ The bulk unit cell contains eight formula units of Fe₂O₃ having four inequivalent Fe sites. The four inequivalent (Fe_A, Fe_B, Fe_C, Fe_D) type

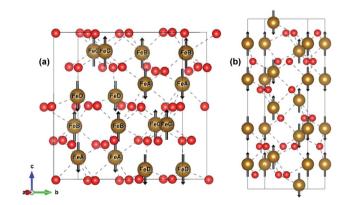


Fig. 1 Bulk structure of (a) ϵ -Fe₂O₃ and (b) α -Fe₂O₃. Bonds are shown in broken grey lines. The arrows represents the direction of the magnetic moment of the Fe-atoms. Red and brown atoms represents the O- and Fe-atoms, respectively.

atoms have the following respective spins: β , α , α , β , which gives an A-type anti-ferromagnetic coupling as shown in Fig. 1(a) α -Fe₂O₃ has a corundum structure and there are six formula units of Fe₂O₃ in its unit cell. The structure of hematite is rhombohedrally centered hexagonal with space group $R\bar{3}c$ having DFT optimized lattice parameters as a=5.038 Å and c=13.772 Å which are in close agreement with the experimental lattice parameter.^{54,55} It consists of hexagonal closed pack arrays of oxygen stacked along the [001] direction. Hematite has an antiferromagnetic spin arrangement as shown in Fig. 1(b) and has net zero magnetization.

Since the unit cell of $\alpha\text{-Fe}_2O_3$ and $\epsilon\text{-Fe}_2O_3$ is hexagonal and orthorhombic, respectively, the lattice mismatch is huge and the modelling of the interface is difficult. For the interface modelling, we have taken one unit cell thick slab of $\epsilon\text{-Fe}_2O_3$ having 8 formula units of Fe $_2O_3$ and an orthorhombic slab of $\alpha\text{-Fe}_2O_3$ having one oxygen atom less than 11 formula units of Fe $_2O_3$ modelled from its hexagonal super cell as shown in Fig. 2.

The preferred growth direction of the slabs of ε-Fe₂O₃ and α-Fe₂O₃ is along the [001] direction. ^{40,50-61} The slabs are prepared from optimized bulk structures by the supercell approach in the crystallographic c-axis with a vacuum of 15 Å and the ions were allowed to relax. The lattice mismatch between the orthorhombic ϵ -Fe₂O₃ and the modelled orthorhombic α -Fe₂O₃ is 1.69% and 1.44% along the x- and y-directions, respectively. The slab of ε-Fe₂O₃ and α-Fe₂O₃ have the same thickness as that of its bulk unit cell, i.e., of 9.563 Å and 13.772 Å, respectively. The layers of α-Fe₂O₃ consist of Fe-atoms in octahedral coordination with oxygen, so the only choice would be to have an oxygen or an iron terminated surface. However, this cancels out with the choice of the termination in the other phase, because the interface must respect the alternation of iron and oxygen layers in order to be stable. For ε-Fe₂O₃, the layers consist of Fe-atoms in octahedral, tetrahedral, and a mix of octahedral and tetrahedral coordination with oxygen atoms. In order to make a perfect interface with the α -Fe₂O₃ so that we have an interface of low defect and low trap density, we have chosen the ε-Fe₂O₃ slab with a top surface as an octahedral coordination. Any other choice would greatly increase the number of interface atoms with non-optimal coordination. The optimized orthorhombic

slabs of both iron-oxides for the heterostructure modelling are shown in Fig. 3.

The heterostructure is modelled by placing the slab of \$\alpha\$-Fe $_2O_3$ on top of \$\epsilon\$-Fe $_2O_3$ with a separation of 2 Å and allowed to selectively relax in the z-direction of the heterostructure with 15 Å vacuum provided along z-direction. Since both these phases of Fe $_2O_3$ have layered anti-ferromagnetic spin arrangement, therefore the interface could be prepared with ferromagnetic or anti-ferromagnetic coupling between \$\epsilon\$- and \$\alpha\$-Fe $_2O_3$ at the interface. The interface heterostructure of \$\epsilon\$/\$\alpha\$-Fe $_2O_3$ is obtained by removing the two Fe-atoms and four O-atoms (marked in blue rectangle) from the bottom of the slab of \$\alpha\$-Fe $_2O_3$ and combining it with the \$\epsilon\$-Fe $_2O_3$ slab. The 2 \times FeO $_2$ units are removed from the bottom surface of \$\alpha\$-Fe $_2O_3$ in order to make a perfectly coordinated interface consisting of only octahedrally coordinated Fe-atoms.

Results and discussion

Interface stability

The stability of the modelled heterostructure is checked by calculating the interface formation energy of the heterostructure having different magnetic couplings at the interface. The interface formation energy $(E_{\text{form}})^{62}$ is expressed as

$$E_{\text{form}} = \frac{E_{\epsilon+\alpha} - \left[N_{\epsilon} \mu_{\epsilon} + N_{\alpha} \mu_{\alpha} + E_{\text{surf}} \pm n \mu_{\text{O}} \right]}{A} \tag{1}$$

where $E_{\epsilon+\alpha}$ is the DFT total energy of the ϵ - and α -Fe₂O₃ heterostructure; N_{ϵ} and N_{α} are the number of formula units of bulk ϵ -Fe₂O₃ and α -Fe₂O₃, respectively, in the heterostructure; μ_{ϵ} and μ_{α} are the chemical potential of bulk ϵ -Fe₂O₃ and α -Fe₂O₃ per formula unit, respectively; $E_{\rm surf}$ is the sum of the surface energy of the top and bottom surfaces of the heterostructure system; n is the number of O atoms in excess/deficient (+/-) relative to the ϵ -Fe₂O₃ and α -Fe₂O₃ stoichiometry; $\mu_{\rm O}$ is the chemical potential of oxygen vapour and taken as half of the chemical potential of oxygen molecule and A is the interface area.

The surface energy (E_{surf}) used in eqn (1) is obtained from the sum of two separate calculations for both the bottom and top

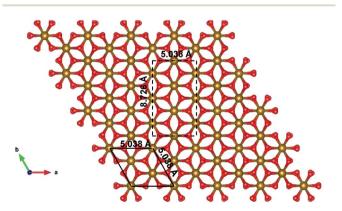


Fig. 2 Modelled orthorhombic structure of α -Fe₂O₃ from the supercell of hexagonal unit cell of α -Fe₂O₃. Bulk unit cell is in black solid line and the modelled orthorhombic cell is in black broken line.

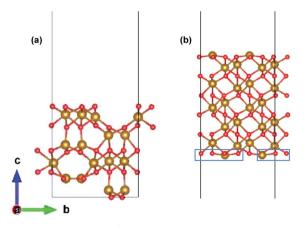


Fig. 3 Optimized slab of (a) ϵ -Fe₂O₃ and (b) α -Fe₂O₃ for the preparation of the heterostructure.

surface made due to the slabs of $\epsilon\text{-Fe}_2O_3$ and $\alpha\text{-Fe}_2O_3,$ respectively, by using the equation 25,27 as

$$E_{\text{surf}} = \frac{1}{2} \left[E_{\text{slab}} - \frac{1}{2} N_{\text{Fe}} \mu_{\text{Fe}_2 \text{O}_3} + \left(\frac{3}{2} N_{\text{Fe}} - N_{\text{O}} \right) \mu_{\text{O}} \right]$$
 (2)

where $E_{\rm slab}$ is the total energy of the respective slab; $N_{\rm Fe}$ and $N_{\rm O}$ are the numbers of iron and oxygen atoms, respectively, in the respective slab; $\mu_{\rm Fe_2O_3}$ is the chemical potential of the respective bulk ${\rm Fe_2O_3}$ per formula unit; $\mu_{\rm O}$ is the chemical potential of oxygen vapour and taken as half of the chemical potential of oxygen molecule and A is the surface area in each slab. Due to the non-centrosymmetric nature of the $\varepsilon\text{-Fe}_2{\rm O}_3$ bulk structure, the calculation of the surface energy of bottom surface is done with the help of a symmetric slab of $\varepsilon\text{-Fe}_2{\rm O}_3$ (as explained in our previous work²⁷) which has the same top surface as that of $\varepsilon\text{-Fe}_2{\rm O}_3$ slab considered here.

For all energies in eqn (1) and (2) we employ the DFT total energies, neglecting entropic effects, which however will not affect the relative stability of the interface. 62

The interface energy calculated for the heterostructure with ferromagnetic and anti-ferromagnetic coupling at the interface between the two slabs is 0.099 and 0.086 eV Å $^{-2}$, respectively. Comparing the two numbers we find that the magnetic coupling at the interface is slightly preferred to be anti-ferromagnetic. The calculated interface energy is positive, but very small, which is indicating that the formation of a heterostructure is energetically not hindered.

Electronic properties and interface states

The electronic structure is shown in layer wise manner along with the heterostructure in Fig. 4. The heterostructure is divided into layers such that each partial density of states (PDOS) correspond to each layer consisting of two formula units of Fe_2O_3 except for the first-bottom and the tenth-top PDOS. The first-bottom PDOS consists of two formula units of Fe_2O_2 and the top tenth-layer PDOS consists of Fe_2O_6 .

The interface formed (marked as a green rectangle in Fig. 4(a)) between the two slabs of Fe_2O_3 polymorphs is made up of O-atoms of ϵ -Fe $_2O_3$. As it is seen from the partial density of

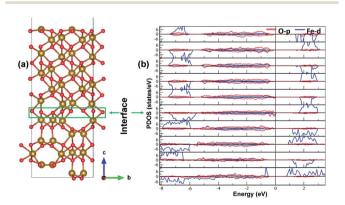


Fig. 4 The interface of ϵ -Fe₂O₃ and α -Fe₂O₃ along with the partial density of states (PDOS) of the heterostructure in a layer-wise manner. Red and blue curve in the PDOS represents the density of O-p and Fed orbitals, respectively.

states (PDOS) in Fig. 4(b), each layer of the heterostructure is an insulator and no conducting state appears at the interface. A sharp peak below the Fermi level in the first bottom layer of PDOS corresponds to a surface state due to the d-orbitals of Featoms. In the interface layer, fifth from the bottom, there are states appearing just below the Fermi level which are otherwise not present in any of the layers. These states correspond to the highest occupied molecular orbital (HOMO) and are mainly contributed from the O-atoms in the interface. This is also evident from the partial charge density corresponding to the HOMO as shown in Fig. 5. The Fe-atoms in the interface layer of the DOS just above the O-atoms also contribute, but very weakly, in the HOMO.

Charge-density difference

The charge distribution in the heterostructure due to the formation of interface is analysed by taking the charge density difference of the heterostructure and each part of Fe_2O_3 slabs. The charge density difference is calculated by the use of following equation:

$$\Delta \rho = \rho_{\varepsilon/\alpha} - \rho_{\varepsilon} - \rho_{\alpha} \tag{3}$$

where $\rho_{\epsilon/\alpha}$ is the charge density of the ϵ/α -Fe₂O₃ heterostructure, ρ_{ϵ} is the charge density of the ϵ -Fe₂O₃ part of the heterostructure and ρ_{α} is the charge density of the α -Fe₂O₃ part of the heterostructure. The charge density difference is shown in Fig. 6.

The charge density difference shows that the charge is redistributed mainly at the interface of ϵ - and α -Fe₂O₃. The maximum charge accumulation is at the interface, on the O-atoms which belong to ϵ -Fe₂O₃ and also very small on Featoms above the interface. The Fe-atoms above the interface belonging to α -Fe₂O₃ have more charge depletion. The Fe-atoms below the interface belonging to the ϵ -Fe₂O₃ side have very little or no charge accumulations. This charge redistribution suggests that the electron is transferred from α -Fe₂O₃ to the ϵ -Fe₂O₃ slab and the holes remains on the bottom Fe-atoms of the α -Fe₂O₃ slab. The localization of charges on the atoms at the interface does not contributes to any conducting states, making the heterostructure act like an insulator. The transfer of charges form one material to the other leads to net charge accumulation and thus creates a built-in electric field at the interface. This

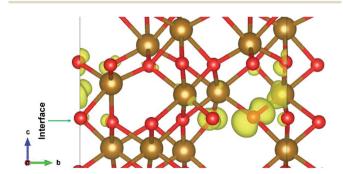


Fig. 5 The interface of ϵ -Fe₂O₃ and α -Fe₂O₃ showing the orbitals contributing to the highest occupied states at the interface.

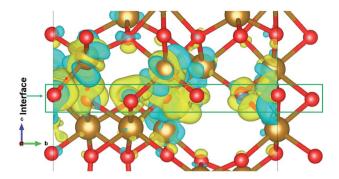


Fig. 6 The charge density difference of $\epsilon/\alpha\text{-Fe}_2O_3$ interface heterostructure. The yellow region shows the charge accumulation and the cyan region shows charge depletion. The isosurface value is ± 0.005 e Å^{-3}

electric field can contribute to a more efficient separation of electrons and holes in the heterostructure, thus suppressing charge recombination.⁶³

Electrostatic potential and the band offsets

The nature of the electronic energy levels plays an important role for the use of materials in energy applications. The formation of a heterostructure interface of two semiconductors requires that their vacuum levels align at the interface. This is known as Anderson's electron affinity rule. ^{64,65} The band positions with respect to the vacuum energy levels were obtained from our DFT calculations on the optimized slabs of ϵ -Fe₂O₃ and α -Fe₂O₃ separately. Since the separate slabs of ϵ - and α -Fe₂O₃ are not dipole neutral, a dipole correction was applied for obtaining the correct value of vacuum potential. With the correct value of the vacuum potential, the average electrostatic potential (ESP) of the slab of ϵ -Fe₂O₃, α -Fe₂O₃ and their

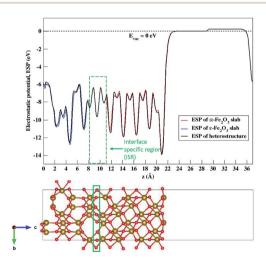


Fig. 7 Average electrostatic potential (ESP) of the separate slabs of ϵ -Fe₂O₃ (blue curve), α -Fe₂O₃ (red curve) and their complete heterostructure (black curve). The broken line represents the vacuum potential. The rectangle in green broken lines represents the interface specific region (ISR).

complete heterostructures with a common reference vacuum level is plotted and shown in Fig. 7.

The ESP of the heterostructure matches well with the ESP of the individual separate slabs of ε - and α -Fe₂O₃. The O-atoms of ε -Fe₂O₃ slabs contributes in interface formation, so they are counted as the interface and lie in the interface specific region (ISR). The position of the valence band and conduction band with respect to the vacuum energy level was calculated for both the separate iron-oxide slabs and plotted as shown in Fig. 8. The conduction band CB, valence band VB, electron affinity χ and band gap $E_{\rm g}$ are shown for both the slabs in Fig. 8. The subscript ε and α in the band gap and electron affinity represents that these quantities are associated with the slab of ε - and α -Fe₂O₃, respectively.

From Fig. 8 it is clear that the valence band edge of $\alpha\text{-Fe}_2O_3$ is higher than the conduction band edge of $\epsilon\text{-Fe}_2O_3$. Both band edges of $\alpha\text{-Fe}_2O_3$ are higher than that of $\epsilon\text{-Fe}_2O_3$ and this arrangement of band edges falls in the category of type-III broken-gap heterostructures. Fince the band edges of $\alpha\text{-Fe}_2O_3$ lies above the band edges of $\epsilon\text{-Fe}_2O_3$, the work function of $\alpha\text{-Fe}_2O_3$ will be lower than that of the $\epsilon\text{-Fe}_2O_3$. Before the formation of the interface in the heterojunction, the band of each slab system is unaffected by each other. As soon as the junction is formed and the charges flow spontaneously across it and reach equilibrium, a band bending occurs at the interface. This flow of charges takes place across the interface and a built in voltage is developed across it. The direction of the electric field across the interface will be from $\epsilon\text{-}$ to the $\alpha\text{-Fe}_2O_3$ as shown in Fig. 9.

The band bending at the interface of the heterostructure is shown in Fig. 9. When the photons are incident on the heterostructure, the generation of electron-hole pairs takes place and the electron jumps to the CBs in both the Fe_2O_3 slabs leaving the holes in the VBs of the respective Fe_2O_3 material. Due to the nature of the alignment of the bands and the difference in their respective conduction band edges, which is the conduction band offset (CBO), the electrons flow from the CB of α -Fe₂O₃ to the CB of ϵ -Fe₂O₃. Similarly, the holes flow

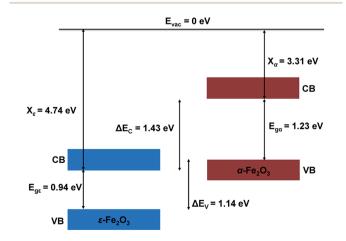


Fig. 8 Schematic diagram showing the band alignment of $\epsilon\text{-Fe}_2\text{O}_3$ and $\alpha\text{-Fe}_2\text{O}_3$ slabs before connection.

Paper

000000000 000000000 E_{gg} = 1.23 eV $E_{qs} = 0.94 \text{ eV}$

ε-Fe₂O₃

000000000

Fig. 9 Schematic diagram showing the band bending and the charge flow at the interface of $\epsilon\text{-Fe}_2\text{O}_3$ and $\alpha\text{-Fe}_2\text{O}_3$ heterostructure after connection.

a-Fe₂O₃

 \mathbf{p}

from the VB of ε -Fe₂O₃ to the VB of α -Fe₂O₃. This type-III of band alignment at the interface results in the separation of photogenerated charges. The electron prefers to reside in ε-Fe₂O₃ and the hole prefers α -Fe₂O₃.

Since the valence band maximum (VBM) of the α -Fe₂O₃ slab is higher than the conduction band minimum (CBM) of ε -Fe₂O₃, the electron can tunnel from the VBM of α-Fe₂O₃ to the CBM of ε-Fe₂O₃. This band-to-band tunneling (BTBT) in type-III heterostructure results in negative differential resistance (NDR) and can be used in tunnel field-effect transistors (TEFT).67-70

Conclusion

In summary, we have modelled the heterostructure of the two readily available polymorphs of Fe_2O_3 . Both $\epsilon\text{-}Fe_2O_3$ and $\alpha\text{-}$ Fe₂O₃ are charge-transfer insulators and their heterostructure also remains an insulator. The interface energy explains the anti-ferromagnetic spin arrangement at the interface which results in overall reduced magnetization. There is a localization of charges at the interface which occurs because of the strain at the interface between the two slabs of Fe₂O₃. The charge density difference also suggests that electrons are localized at the interface on oxygen atoms of $\epsilon\text{-Fe}_2O_3$ and holes above the interface on iron atoms of α-Fe₂O₃. The band alignment with respect to a reference vacuum potential at zero eV, gives a rare type-III heterostructure. The band bending at the interface shows the transfer of electrons from α -Fe₂O₃ to the ϵ -Fe₂O₃ and the holes from ε -Fe₂O₃ to α -Fe₂O₃. The heterostructure showing charge separation at the interface reduces the recombination rate of the photo-generated electron-hole pairs and can thus give better efficiency in comparison to the use of a single material as photoelectrode in PEC cells. The broken band type-III heterostructure can show band-to-band tunneling and find applications in field-effect transistors.

Conflicts of interest

There are no conflicts of interest to declare.

Acknowledgements

This work is supported by Nano Mission, DST, India (SR/NM/ NS-1198/2013, IA, AK). AK acknowledges Simons Associate, ICTP, Italy and IA acknowledges ICTP, Italy.

References

- 1 H. Y. Hwang, Y. Iwasa, M. Kawasaki, B. Keimer, N. Nagaosa and Y. Tokura, Nat. Mater., 2012, 11, 103.
- 2 J. W. Reiner, F. J. Walker and C. H. Ahn, Science, 2009, 323, 1018.
- 3 A. Ohtomo and H. Y. Hwang, Nature, 2004, 427, 423.
- 4 Y. Wang, M. K. Niranjan, S. S. Jaswal and E. Y. Tsymbal, Phys. Rev. B: Condens. Matter Mater. Phys., 2009, 80, 165130.
- 5 J. Falson, Y. Kozuka, M. Uchida, J. H. Smet, T. Arima, A. Tsukazaki and M. Kawasaki, Sci. Rep., 2016, 6, 26598.
- 6 J. A. Bert, B. Kalisky, C. Bell, M. Kim, Y. Hikita, H. Y. Hwang and K. A. Moler, Nat. Phys., 2011, 7, 767.
- 7 A. Kalaboukhov, P. P. Aurino, L. Galletti, T. Bauch, F. Lombardi, D. Winkler, T. Claeson and D. Golubev, Phys. Rev. B, 2017, 96, 184525.
- 8 H. Zhang, Y. Yun, X. Zhang, H. Zhang, Y. Ma, X. Yan, F. Wang, G. Li, R. Li, T. Khan, Y. Chen, W. Liu, F. Hu, B. Liu, B. Shen, W. Han and J. Sun, Phys. Rev. Lett., 2018, **121**, 116803.
- 9 Y. Li, L. Zhang, Q. Zhang, C. Li, T. Yang, Y. Deng, L. Gu and D. Wu, ACS Appl. Mater. Interfaces, 2019, 11, 21268.
- 10 M. Yoshikiyo, K. Yamada, A. Namai and S. Ohkoshi, J. Phys. Chem. C, 2012, 116, 8688.
- 11 I. Ahamed, R. Pathak, R. Skomski and A. Kashyap, AIP Adv., 2018, 8, 055815.
- 12 N. Beermann, L. Vayssieres, S. Lindquist and A. Hagfeldt, J. Electrochem. Soc., 2000, 147, 2456.
- 13 A. Kleiman-Shwarsctein, Y. Hu, A. J. Forman, G. D. Stucky and E. W. McFarland, J. Phys. Chem. C, 2008, 112, 15900.
- 14 R. Zboril, M. Mashlan and D. Petridis, Chem. Mater., 2002,
- 15 M. Popovici, M. Gich, D. Nižňanský, A. Roig, C. Savii, L. Casas, E. Molins, K. Zaveta, C. Enache, J. Sort, S. de Brion, G. Chouteau and J. Nogués, Chem. Mater., 2004, 16, 5542.
- 16 J. Jin, S. Ohkoshi and K. Hashimoto, Adv. Mater., 2004, 16, 48.
- 17 A. P. Roberts, Y. Cui and K. L. Verosub, J. Geophys. Res.: Solid Earth, 1995, 100, 17909.
- 18 O. Özdemir and D. J. Dunlop, J. Geophys. Res.: Solid Earth, 2014, 119, 2582.
- 19 M. Ahmadzadeh, J. Marcial and J. McCloy, J. Geophys. Res.: Solid Earth, 2017, 122, 2504.
- 20 G. Rollmann, A. Rohrbach, P. Entel and J. Hafner, Phys. Rev. B: Condens. Matter Mater. Phys., 2004, 69, 165107.
- 21 J. H. Kennedy and K. W. Frese, J. Electrochem. Soc., 1978, 125, 709.
- 22 M. P. Dare-Edwards, J. B. Goodenough, A. Hamnett and P. R. Trevellick, J. Chem. Soc., Faraday Trans. 1, 1983, 79, 2027.

- 23 P. Liao, J. A. Keith and E. A. Carter, J. Am. Chem. Soc., 2012, 134, 13296.
- 24 M. J. Katz, S. C. Riha, N. C. Jeong, A. B. Martinson, O. K. Farha and J. T. Hupp, *Coord. Chem. Rev.*, 2012, 256, 2521.
- 25 M.-T. Nguyen, N. Seriani and R. Gebauer, J. Chem. Phys., 2013, 138, 194709.
- 26 M. H. Dahan and M. Caspary Toroker, J. Phys. Chem. C, 2017, 121, 6120.
- 27 I. Ahamed, K. Ulman, N. Seriani, R. Gebauer and A. Kashyap, J. Chem. Phys., 2018, 148, 214707.
- 28 G. Carraro, C. Maccato, A. Gasparotto, T. Montini, S. Turner, O. I. Lebedev, V. Gombac, G. Adami, G. Van Tendeloo, D. Barreca and P. Fornasiero, Adv. Funct. Mater., 2014, 24, 372.
- 29 N. Ansari, K. Ulman, M. F. Camellone, N. Seriani, R. Gebauer and S. Piccinin, *Phys. Rev. Mater.*, 2017, 1, 035404.
- 30 F. Le Formal, N. Tétreault, M. Cornuz, T. Moehl, M. Grätzel and K. Sivula, *Chem. Sci.*, 2011, 2, 737.
- 31 T. Hisatomi, F. Le Formal, M. Cornuz, J. Brillet, N. Tétreault, K. Sivula and M. Grätzel, *Energy Environ. Sci.*, 2011, 4, 2512.
- 32 K. Ulman, M.-T. Nguyen, N. Seriani and R. Gebauer, *J. Chem. Phys.*, 2016, **144**, 094701.
- 33 W. Ji, K. Yao, Y.-F. Lim, Y. C. Liang and A. Suwardi, *Appl. Phys. Lett.*, 2013, **103**, 062901.
- 34 D. Cao, Z. Wang, W. L. Nasori, Y. Mi and Y. Lei, *Angew. Chem.*, 2014, **126**, 11207.
- 35 M. Gich, C. Frontera, A. Roig, J. Fontcuberta, E. Molins, N. Bellido, C. Simon and C. Fleta, *Nanotechnology*, 2006, 17, 687.
- 36 Z. Zhang and J. T. Yates, Chem. Rev., 2012, 112, 5520.
- 37 L. Li, P. A. Salvador and G. S. Rohrer, Nanoscale, 2014, 6, 24.
- 38 W. Yang, Y. Yu, M. B. Starr, X. Yin, Z. Li, A. Kvit, S. Wang, P. Zhao and X. Wang, *Nano Lett.*, 2015, 15, 7574.
- 39 L. T. Quynh, C. N. Van, Y. Bitla, J.-W. Chen, T. H. Do, W.-Y. Tzeng, S.-C. Liao, K.-A. Tsai, Y.-C. Chen, C.-L. Wu, C.-H. Lai, C.-W. Luo, Y.-J. Hsu and Y.-H. Chu, *Adv. Energy Mater.*, 2016, 6, 1600686.
- 40 M. Watanabe, *Determinations in Nanomedicine and Nanotechnology*, 2019, 1, 000502.
- 41 G. Kresse and J. Furthmüller, Comput. Mater. Sci., 1996, 6, 15.
- 42 G. Kresse and J. Furthmüller, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1996, **54**, 11169.
- 43 G. Kresse and D. Joubert, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1999, **59**, 1758.
- 44 J. P. Perdew, K. Burke and M. Ernzerhof, *Phys. Rev. Lett.*, 1997, 78, 1396.
- 45 P. E. Blöchl, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1994, **50**, 17953.

- 46 V. I. Anisimov, J. Zaanen and O. K. Andersen, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1991, 44, 943.
- 47 M. Cococcioni and S. de Gironcoli, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2005, 71, 035105.
- 48 J. Hubbard, Proc. R. Soc. London, Ser. A, 1963, 276, 238.
- 49 M. Lewandowski, I. M. N. Groot, Z.-H. Qin, T. Ossowski, T. Pabisiak, A. Kiejna, A. Pavlovska, S. Shaikhutdinov, H.-J. Freund and E. Bauer, *Chem. Mater.*, 2016, 28, 7433.
- 50 H. J. Monkhorst and J. D. Pack, *Phys. Rev. B: Solid State*, 1976, 13, 5188.
- 51 M. R. Hestenes and E. Stiefel, J. Res. Natl. Bur. Stand., 1952, 49, 409.
- 52 J. Neugebauer and M. Scheffler, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1992, **46**, 16067.
- 53 G. Makov and M. C. Payne, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 1995, **51**, 4014.
- 54 L. Pauling and S. B. Hendricks, J. Am. Chem. Soc., 1925, 47, 781.
- 55 L. W. Finger and R. M. Hazen, J. Appl. Phys., 1980, 51, 5362.
- 56 M. Gich, J. Gazquez, A. Roig, A. Crespi, J. Fontcuberta, J. C. Idrobo, S. J. Pennycook, M. Varela, V. Skumryev and M. Varela, Appl. Phys. Lett., 2010, 96, 112508.
- 57 L. Corbellini, C. Lacroix, C. Harnagea, A. Korinek, G. A. Botton, D. Ménard and A. Pignolet, *Sci. Rep.*, 2017, 7, 3712.
- 58 A. Tanskanen, O. Mustonen and M. Karppinen, *APL Mater.*, 2017, 5, 056104.
- 59 A. Rohrbach, J. Hafner and G. Kresse, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2004, **70**, 125426.
- 60 W. Bergermayer, H. Schweiger and E. Wimmer, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2004, **69**, 195409.
- 61 C. M. Eggleston, Am. Mineral., 1999, 84, 1061.
- 62 Y. F. Dong, Y. P. Feng, S. J. Wang and A. C. H. Huan, *Phys. Rev. B: Condens. Matter Mater. Phys.*, 2005, 72, 045327.
- 63 J. Liu, J. Phys. Chem. C, 2015, 119, 28417.
- 64 R. L. Anderson, IBM J. Res. Dev., 1960, 4, 283.
- 65 R. Anderson, Solid-State Electron., 1962, 5, 341.
- 66 J. Zhang, M. Zhang, R.-Q. Sun and X. Wang, *Angew. Chem.*, *Int. Ed.*, 2012, **51**, 10145.
- 67 R. Yan, S. Fathipour, Y. Han, B. Song, S. Xiao, M. Li, N. Ma, V. Protasenko, D. A. Muller, D. Jena and H. G. Xing, *Nano Lett.*, 2015, **15**, 5791.
- 68 J. Shim, S. Oh, D.-H. Kang, S.-H. Jo, M. H. Ali, W.-Y. Choi, K. Heo, J. Jeon, S. Lee, M. Kim, Y. J. Song and J.-H. Park, *Nat. Commun.*, 2016, 7, 13413.
- 69 C. Xia, J. Du, M. Li, X. Li, X. Zhao, T. Wang and J. Li, *Phys. Rev. Appl.*, 2018, **10**, 054064.
- 70 C. Lei, Y. Ma, X. Xu, T. Zhang, B. Huang and Y. Dai, J. Phys. Chem. C, 2019, 123, 23089.