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Laser-induced crystallization of anodic TiO₂ nanotube layers

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In this study, crystallization of amorphous TiO₂ nanotube (TNT) layers upon optimized laser annealing is shown. The resulting anatase TNT layers do not show any signs of deformation or melting. The crystallinity of the laser annealed TNT layers was investigated using X-ray diffraction, Raman spectroscopy, and high-resolution transmission electron microscopy (HRTEM). The study of the (photo-) electrochemical properties showed that the laser annealed TNT layers were more defective than conventional TNT layers annealed in a muffle oven at 400 °C, resulting in a higher charge recombination rate and lower photocurrent response. However, a lower overpotential for hydrogen evolution reaction was observed for the laser annealed TNT layer compared to the oven annealed TNT layer.

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Introduction

Since the first reports,^{1,2} highly ordered, anodic TiO₂ nanotube (TNT) layers received great attention due to their potential use in many applications, such as dye sensitized solar cells,^{3–5} sensors,^{6–8} photocatalysis^{9–11} or water splitting.^{12,13} These TNT layers are produced *via* anodic oxidation of Ti substrates, resulting in highly ordered nanostructures attached to the underlying Ti substrate.^{14,15} However, the as-prepared TNT layers are amorphous and have therefore a low conductivity and provide a high number of defects leading to a high carrier recombination rate.^{16–18} Thus, the TNT layers are usually annealed before further use to obtain a crystalline structure with significantly improved electronic properties compared to the amorphous structure. Usually, the annealing is carried out thermally in a muffle furnace at 400–450 °C to obtain an anatase structure.^{19,20} Higher annealing temperatures lead to mixtures of anatase and rutile structures, and temperatures higher than ~800 °C lead to a collapse of the nanotubular structure.²¹

As the annealing procedure in a muffle oven is time- and energy-consuming (several hours for heating, soaking and

cooling of the furnace), numerous other annealing procedures were investigated in the last years, such as rapid thermal annealing,²² flame annealing,^{23–25} water, hydrothermal and hydrothermal vapour treatments at low temperatures,^{26–30} plasma³¹ or microwave^{32,33} annealing. Nonetheless, by now none of these annealing procedures could replace the thermal annealing in a muffle oven due to, for instance, a carbon contamination during flame annealing or a very long annealing time in case of water or hydrothermal treatments or simply a less well-developed crystalline phase compared to oven annealing. For example, Xu *et al.*²⁴ reported that flame annealing at 820 °C results in the incorporation of carbon into the TNT layers and Mazare *et al.*²⁵ state clearly that the UV photo-electrochemical response achieved with flame annealed TNT layers was not comparable with that achieved by classical furnace annealing.

The spontaneous phase and morphology transformations of TNT layers after soaking in water for several days, so called water annealing, were first reported by Wang *et al.*²⁶ However, except the very long soaking time of several days, the morphology changed from nanotubes to nanowires during the soaking. Other studies do not show a change of morphology to nanowires but a decoration of the TNT layers with nanoparticles during water annealing as well as during hydrothermal (vapour) treatment.^{27–30} A comparison between water, thermally and hydrothermally annealed TNT layers showed the highest degree of crystallinity and a better performance in photocurrent generation and photocatalysis in case of thermally annealed TNT layers.²⁷

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Plasma and microwave annealing of TNT layers have the advantage of very fast crystallization times of a few minutes,^{31–33} probably due to a high energy density, high enough to overcome the thermodynamic energy barrier quickly to induce crystallization. With both annealing methods, pure anatase or mixtures of anatase and rutile were obtained for nanotube layers with thicknesses of approximately 2.5–3.5 μm and diameters of ~ 90 – 100 nm, depending on the power and processing time. A comparative study of oven and microwave annealed TNT layers showed similar morphological and electrical properties for both kind of samples³³ thus showing the possibility of replacing muffle oven by microwave assisted annealing.

An alternative to the above-mentioned annealing methods is the annealing by means of a laser. The laser annealing of TiO_2 can be carried out in relatively short time compared to the annealing in a muffle oven, *i.e.* a few minutes compared to several hours, similar as for plasma or microwave annealing. However, a distinct advantage of laser annealing in contrast to all other annealing techniques is that only the TNT layer but not the underlying Ti substrate can be annealed. This prevents the formation of a thermal oxide layer, between the TNT layer and the Ti substrate. Such thermal oxide layer is undesirable as it reduces the conductivity of the TNT layers and complicates photo-electrochemical investigations.^{20,34,35} Furthermore, laser annealing gives a possibility of selected area annealing, meaning that just selected parts of a TNT layer can be annealed. Although laser treatment of TiO_2 thin films has been studied intensively using different laser parameters, see ref. 36–42 and references herein, laser annealing of TNT layers has been less explored.^{43–48} Furthermore, two additional publications show an additional laser treatment after thermal annealing as an effective method to increase the charge carrier density on the anatase TiO_2 surface.^{49,50}

The first report on laser annealing of amorphous TNT layers was published in 2008 by Likodimos *et al.*⁴³ who used the laser with the highest power from a Raman spectrometer for a phase transformation to anatase phase. The Raman investigation of the laser annealed sample showed typical signatures of hydrogenated amorphous carbon, which were assigned to a trapping of carbon species from the electrolyte. These species are usually burned out during thermal annealing. Morphological investigations of the TNT layers after the laser treatment were not carried out in this study. Further approaches, carried out by different groups,^{44–48} showed a deeper investigation of the laser treatment of amorphous TNT layers, with thicknesses up to 7 μm , irradiated by focussed laser beams of different wavelength and pulse duration. Hsu *et al.* performed laser annealing of TNT layers with a nanosecond excimer laser. Although they achieved crystallization to anatase phase, in all irradiation regimes applied, the tops of TNT layers were molten and recrystallized with formation of a “flower-like” structure. In extensive studies,^{46–48} a similar effect of fusion of a layer of ~ 0.3 μm on the TNT tops was observed upon annealing with ns Nd:YAG laser at wavelengths of 266 nm and 355 nm. The regimes of minimizing material distortion have been explored. Enachi *et al.* applied continuous wave lasers (532 nm and multimode, UV and visible wavelength range) at low power densities focused onto a spot of

15 μm . They demonstrated that, within the same irradiation spot, it was possible to find areas with rutile and anatase phases of TNTs, being molten and recrystallized or intact depending on the local irradiation dose.

Summarizing these results, it is clear that even though different irradiation conditions (fluence, wavelength, power density, irradiation time) were used by the different authors, all reports have one commonality: the laser annealed TNT layers are either, at least partly, molten on top or they do not show crystallinity in terms of presence of anatase or rutile crystals.

In this communication, for the first time the possibility of laser annealing of TNT layers without any melting of the nanotubes is shown. The crystallinity of the laser annealed TNT layers was investigated using X-ray diffraction (XRD), Raman spectroscopy and high-resolution transmission electron microscopy (HRTEM). Photocurrent densities, Mott-Schottky plots and linear scan voltammetry were used to investigate the electrochemical properties of the laser annealed TNT lasers in comparison to their muffle oven annealed counterparts.

Experimental and characterization

The TiO_2 nanotube (TNT) layers with a thickness of ~ 20 μm and a nanotube diameter of ~ 110 nm were prepared as described elsewhere.⁵¹ Shortly, after degreasing in isopropanol and acetone the Ti foils (Sigma-Aldrich, 0.127 mm thick, 99.7% purity) were anodized at 60 V for 4 h in an ethylene glycol-based electrolyte containing 170 mM NH_4F (Sigma-Aldrich, reagent grade) and 1.5 vol% deionized water. The electrochemical cell consisted of a high-voltage potentiostat (PGU-200 V, Elektroniklabor GmbH) in a two-electrode configuration, with the Ti foil as anode and a Pt foil as cathode. After anodization the Ti foils were rinsed and sonicated in isopropanol and dried in air. For comparison, samples were annealed by two different procedures: muffle oven annealing and laser treatment. The oven annealing was carried out at 400 $^\circ\text{C}$ for 1 hour with a heating ramp of 2.1 $^\circ\text{C min}^{-1}$, which represents a standard procedure used in our laboratory.

Laser annealing of the TNT layers was performed by a pico-second diode pumped thin-disk laser source Perla-C developed at the HiLASE centre (fundamental laser wavelength of 1030 nm).^{52,53} Fourth harmonics of the laser ($\lambda = 257.5$ nm) was used for annealing to ensure single photon absorption of laser light by TNT layers. The following irradiation parameters were applied: 89 kHz repetition rate, 2 ps pulse duration, 5 W maximum average power, and ~ 56.2 μJ maximum pulse energy. During experiments, the laser pulse energy was controlled with the help of a combination of a $\lambda/2$ wave plate and a thin film polarizer. The high available pulse energy of the HiLASE laser source enabled to use the direct (plane wavefront) laser output beam without focusing optics to irradiate the samples. To verify the fluence distribution on the sample surface, the 2D spatial profile of the laser beam was mapped with the help of a CMOS-based beam profiler. The fluence distribution on the sample surface could be approximated by an elliptical Gaussian beam with $1/e^2$ diameters of 2.9 mm and 2.5 mm along *x* and *y*



directions respectively with the peak fluence of $\sim 1 \text{ mJ cm}^{-2}$ (at $\sim 28 \text{ } \mu\text{J}$ per pulse energy). Scanning of the samples to anneal them over the whole area was performed in a bidirectional

fashion (right-left-right). The time to anneal one sample with the surface area of 1 cm^2 was $\sim 14 \text{ min}$ at 0.25 mm s^{-1} scanning speed along x direction with 0.5 mm step size along y direction.

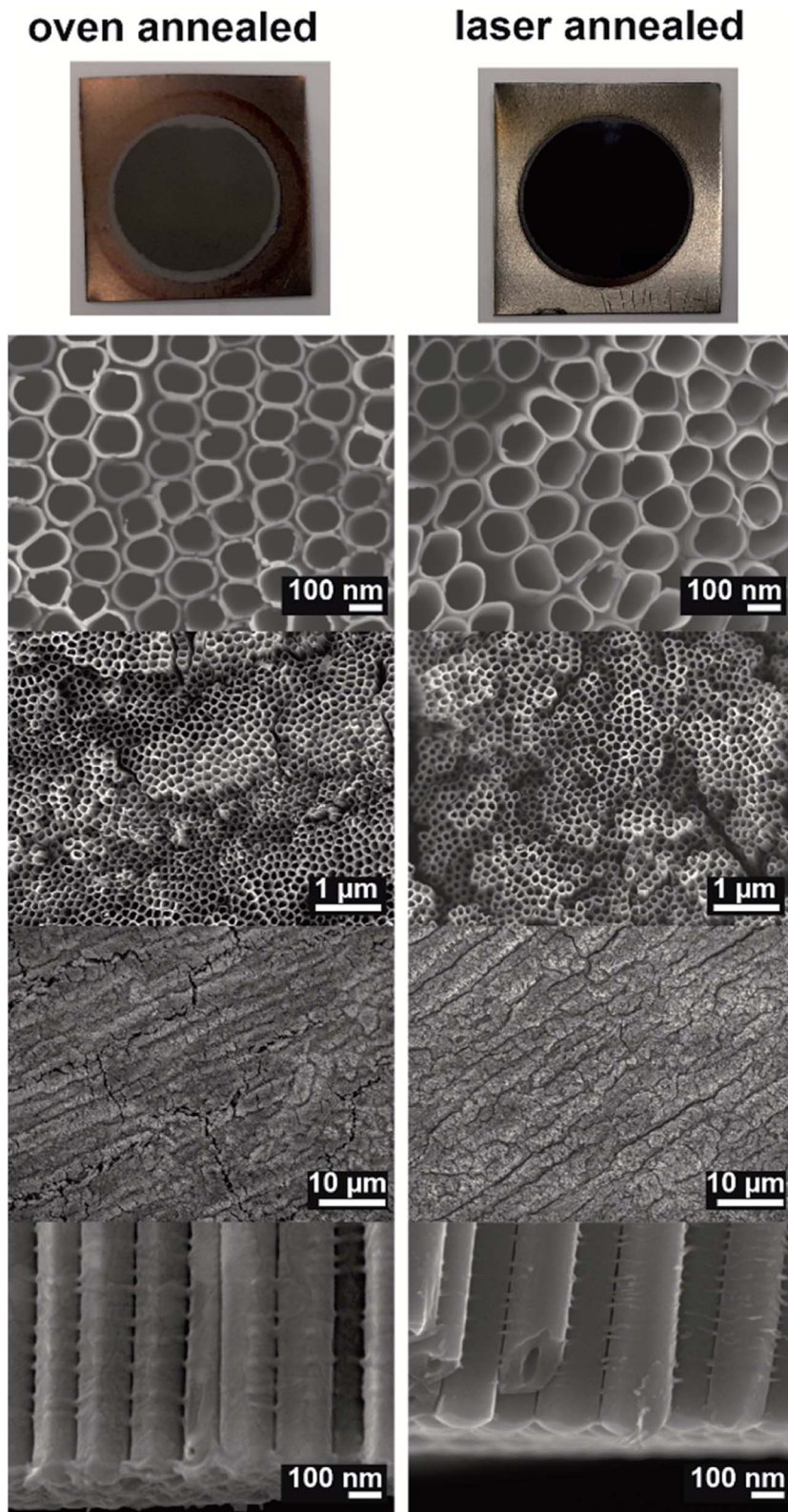
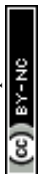


Fig. 1 Photographs and SEM images of different magnifications of the oven and the laser annealed samples.



The number of laser shot per irradiation site along the scanline can be evaluated as $\sim 10^6$.

The morphologies of the TNT layers were characterized by a field-emission scanning electron microscope (FE-SEM JEOL JSM 7500F) and by a high-resolution transmission electron microscope (FEI Titan Themis 60–300) operated at 300 keV and equipped with a Cs (spherical aberration) image corrector. The X-ray diffraction (XRD) patterns were measured on Panalytical Empyrean diffractometer using a Cu X-ray tube and a scintillation detector Pixcel3D. The measurements were performed in the 2θ range of $5\text{--}65^\circ$, the step size was 0.026° .

Raman spectra were recorded by LabRAM HR (Horiba Jobin Yvon) and acquired in a continuous scanning mode under a laser excitation wavelength of 532 nm in the range of $100\text{--}500\text{ cm}^{-1}$, grating 800 g mm^{-1} , acquisition set-up $3 \times 100\text{s}$, $100\times$ objective and 100% ND filter.

The composition of the TNT layers was monitored by X-ray photoelectron spectroscopy (XPS) (ESCA2SR, Scienta-Omicron) using a monochromatic Al K α (1486.7 eV) X-ray source. The binding energy scale was referenced to adventitious carbon (284.8 eV). The quantitative analysis was performed using the elemental sensitivity factors provided by the manufacturer.

Photocurrent measurements were carried out using a photoelectric spectrophotometer (Instytut Fotonowy) with a 150 W Xe lamp and a monochromator with a bandwidth of 10 nm connected with a modular electrochemical system AUTOLAB (PGSTAT 204; Metrohm Autolab B. V.; Nova 1.10 software) in an aqueous 1 M HClO₄ solution at 0.4 V vs. Ag/AgCl in the spectral range from 300 to 450 nm. The incident photon-to-electron conversion efficiency (IPCE) value for each wavelength was calculated as in our previous work.^{20,54} Mott–Schottky plots were recorded using the same setup and the same electrolyte, at frequency of 1 kHz and in the potential range from 1 to $-0.4\text{ V vs. Ag/AgCl}$. Linear sweep voltammograms were recorded with a sweep rate of 5 mV s^{-1} in 1 M HClO₄.

Results and discussion

Fig. 1 shows photographs as well as SEM images of the oven and laser annealed samples. The oven annealing was carried out at 400°C for 1 hour. Under this conditions the amorphous TNT layers are transformed into pure anatase phase, *i.e.* no rutile phase can be found.²¹ As can be seen from the photographs, the oven and the laser annealed samples revealed different colours: while the oven annealed sample was light brownish, the laser annealed sample showed a dark brown, almost black colour. This dark colour might be a sign for partly reduced TiO₂, *i.e.* for a higher concentration of Ti³⁺ species in the laser annealed samples compared to the oven annealed samples. Furthermore, the non-anodized parts of the samples also show differences in colours. These differences can be attributed to the fact that in case of the oven annealed sample the non-anodized Ti foil was annealed as well resulting in a thermal oxide layer. This thermal oxide layer can also be found at the interface between the TNT layer and the underlying Ti foil.^{20,34,35} In case of laser annealing only the TNT layer was annealed and, thus, no thermal oxide

was found on the Ti foil which kept its initial colour. The cross-section images of the nanotube bottoms in Fig. 1 show a clear evidence for this as for the oven annealed sample some remnants of stay on the nanotube bottoms belonging to the thermal oxide layer (most of the thermal oxide layer stayed attached to the Ti foil). We recently showed and discussed the thickness of thermal oxide layer for oven annealing at different temperatures on the same kind of $20\text{ }\mu\text{m}$ thick TNT layers.⁵⁵ However, for the laser annealed samples the TNT layer shows clear nanotube bottoms without any remnants.

The SEM images in Fig. 1 show clearly, that the TNTs themselves were not molten, ablated or deformed during the laser treatment. This is due to the optimized laser treatment conditions used herein, in contrast to previously published studies on laser annealing of TNT layers.^{44–47} When a higher energy density ($>1.1\text{ mJ cm}^{-2}$) of the laser or a slower scanning velocity (providing more than 10^6 laser shots per irradiation site at $\sim 1\text{ mJ cm}^{-2}$) were applied, the TNT layers deformed or even melted on the top.

Fig. 2a shows the XRD pattern of the laser and the oven annealed TNT layer. As one can see, for both samples the typical diffraction signals for anatase were obtained while no signals for rutile phase were visible. The laser annealed TNT layer revealed lower signals and a higher background, thus a lower

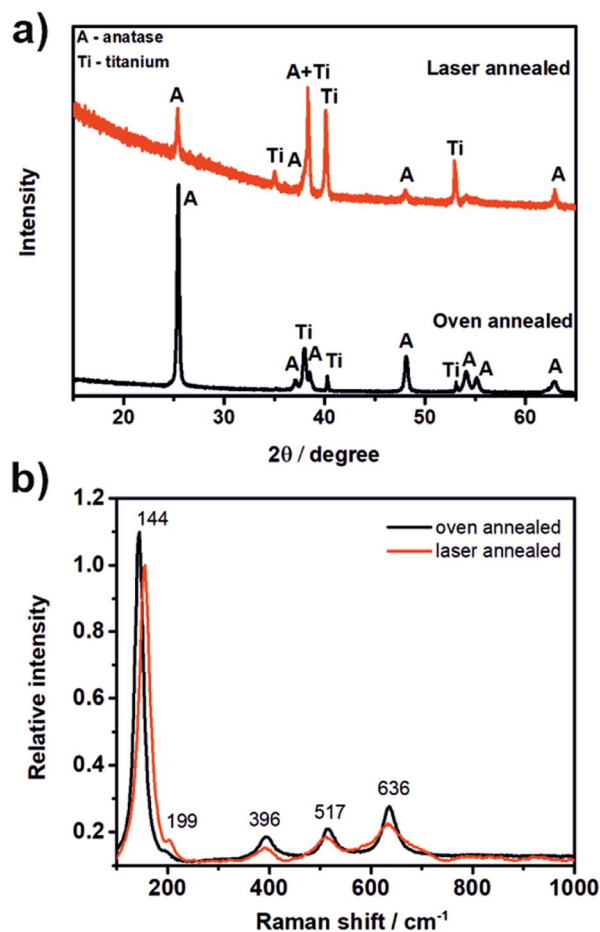


Fig. 2 XRD pattern (a) and normalized Raman spectra (b) of the laser and the oven annealed samples.



crystallinity compared to the oven annealed TNT layer which was exposed to high temperatures for several hours. The crystallite size of both TNT layers was calculated using Scherrer's equation⁵⁶ as 456 Å and 583 Å for the laser annealed and the oven annealed TNT layer, respectively. The diffraction signals of Ti visible in the XRD patterns of both samples stem from the underlying Ti foil.

Fig. 2b shows the Raman spectrum of the laser annealed sample. According to the literature⁵⁷ anatase shows six Raman active modes: A_{1g} (519 cm^{-1}), $2B_{1g}$ (399 cm^{-1} and 519 cm^{-1}) and $3E_g$ (144 cm^{-1} , 197 cm^{-1} , and 639 cm^{-1}). Thus, in total five peaks are expected in the Raman spectrum as the signals of the A_{1g} and one B_{1g} mode appear at the same position. All five peaks can be seen in the Raman spectrum of the laser annealed sample (Fig. 2b) and confirm that the prior amorphous TNT layer was successfully transferred into anatase phase by laser annealing. The slight peak shifts that were found compared to

the values given in the literature, *i.e.* for the signal at 144 cm^{-1} , can be attributed to three different reasons: (i) in case of TiO_2 nanoparticles the peak at 144 cm^{-1} shows a strong dependence on the sample nanostructure and dimensions and has been attributed to quantum confinement effects;⁵⁷ (ii) a difference in the stoichiometry of TiO_2 ;⁵⁷ and (iii) a difference in the crystallite size.⁵⁸

For a deeper investigation of the crystallinity of the laser annealed nanotube layers, HRTEM imaging with use of fast Fourier transformation (FFT) analysis of the TNT structure was carried out (Fig. 3). Within a sample of one TNT layer, essentially three different morphologies of TNT structure were found: (1) fully crystalline nanotubes with a single crystal structure of the walls, (2) fully amorphous nanotubes, and (3) partly crystalline nanotubes, having an amorphous structure containing many nano-crystals with different orientations maybe showing early stages of the nanotube crystallization. This confirms the

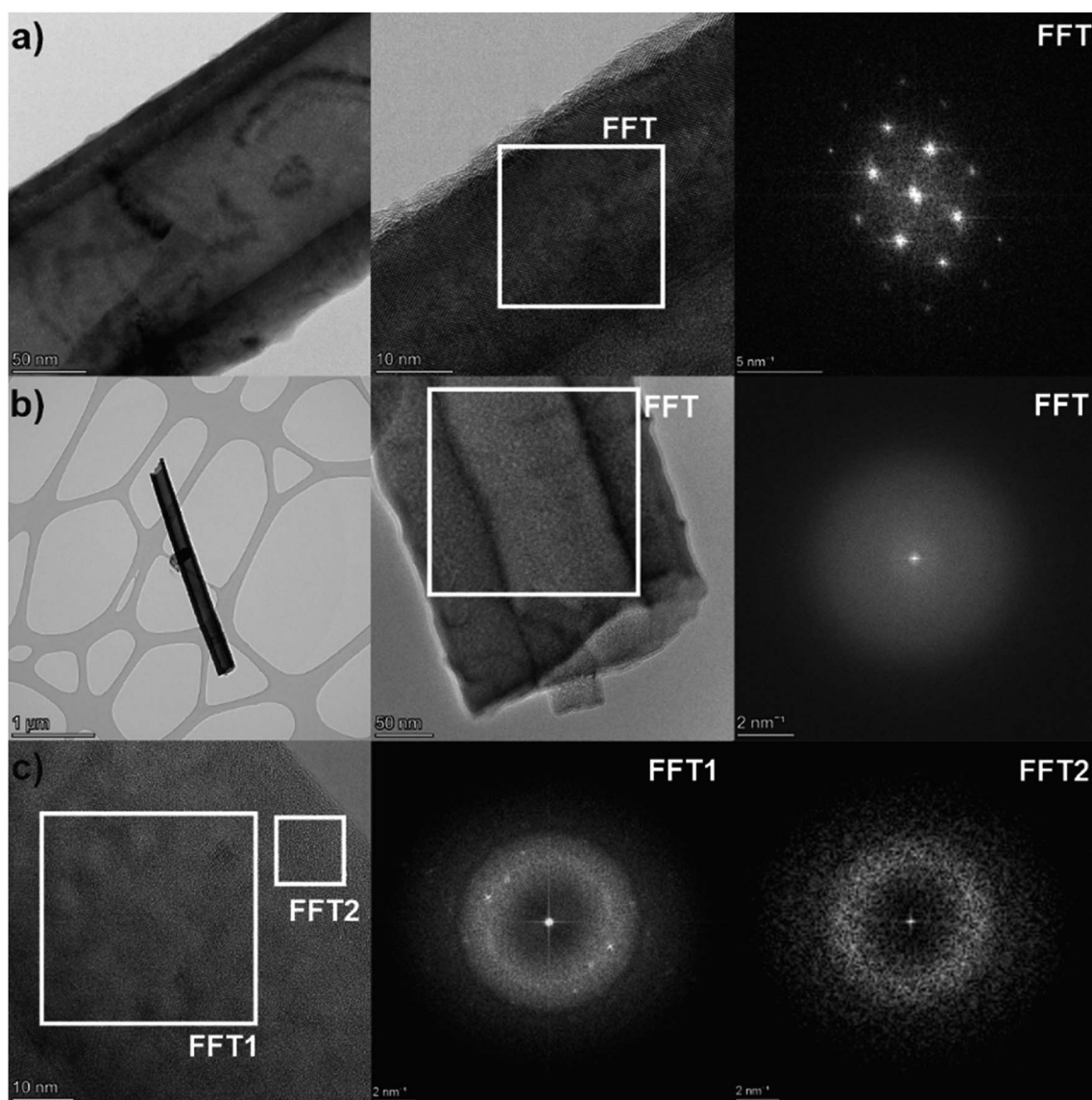


Fig. 3 HR-TEM images and related FFT patterns for (a) fully crystalline, (b) fully amorphous, and (c) partly crystalline nanotubes (where FFT1 reveals a mixture of many nano-crystals with different orientation and amorphous structure) in the laser annealed TNT layer.

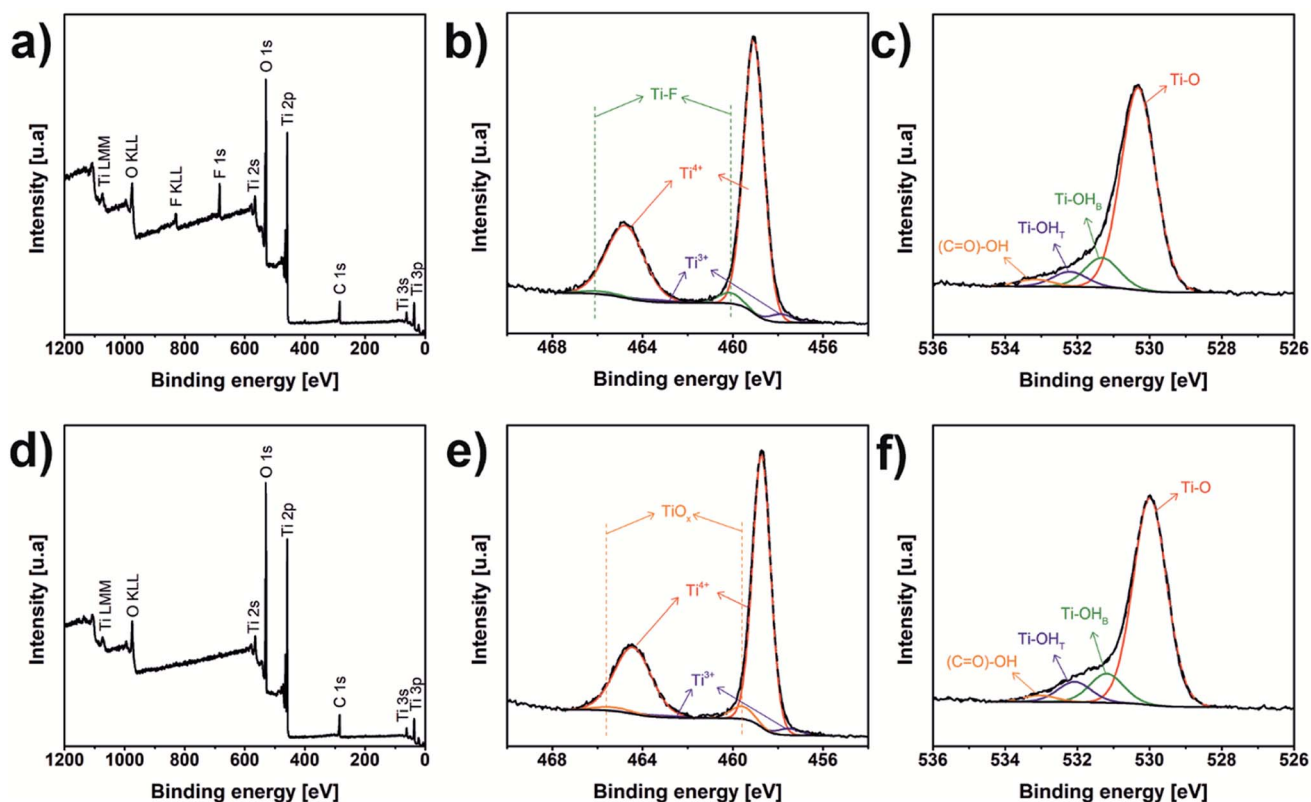


Fig. 4 XPS spectra of laser (a–c) and oven (d–f) annealed samples: (a and d) survey, (b and e) Ti 2p, and (c and f) O 1s spectra.

assumption from the XRD results in Fig. 2a that the laser annealing leads to a lower degree of crystallinity than the oven annealing. This is similarly to other reports in the literature on laser annealing of TNT layers.^{44,47,48} A reason for the lower degree of crystallinity of the laser annealed samples is most likely a non-homogenous laser irradiation during scanning, possibly due to the fact that the laser has about 8–10% instability (e.g. in pulse energy).

XPS analyses of laser and oven annealed samples are shown in Fig. 4a–f. Both survey spectra (Fig. 4a and b) reveal the presence of C, O, Ti, however the laser annealed sample has F stemming from the electrolyte. The fact that no F was detected for the oven annealed sample may be attributed to the long duration of dwell of the sample in the oven. During this time, the amount of C and F species is reduced by the formation of volatile compounds.²² The Ti 2p spectrum of the laser annealed sample (Fig. 4c) was decomposed in six contributions corresponding to three different chemical environments. Peaks

obtained at 459.1 and 464.8 eV were attributed to Ti^{4+} , that is TiO_2 .^{59–61} Signals at 457.9 and 463.6 eV were associated with Ti^{3+} .^{60,61} Finally, contributions to 460.1 and 465.8 eV were assigned to Ti–F.⁶¹ The Ti 2p signal of the oven annealed sample (Fig. 3d) also has three chemical species, Ti^{4+} with the doublets centred at 458.7 and 464.4 eV, Ti^{3+} at 457.5 and 463.2 eV and non-stoichiometric titanium oxide, TiO_x , at 459.6 and 465.3 eV.⁶² O 1s spectra of the laser and oven annealed (Fig. 4e and f) samples displayed the same four chemical species. The signals at 530.3 and 530.0 eV, respectively, correspond to oxygen bond with titanium, Ti–O (TiO_2).^{59–61} The Ti bonding with hydroxyl bridge groups (Ti-OH_B) appears at 531.3 and 531.2 eV.^{63–65} Peaks at 532.2 and 532.1 eV were assigned to Ti bonding with hydroxyl terminal group (Ti-OH_T)^{63–65} And the signals at 533.2 and 533.1 eV were associated to carboxyl group, $(\text{C=O})-\text{OH}$.^{59,66} The chemical shifting, evident in the common titanium and oxygen species in the samples, could be due to the presence of F in the laser annealed sample, which generates

Table 1 Composition of laser and oven annealed samples, measured by XPS. Ti–O corresponds to oxygen bonded to Ti (related to TiO_2), Ti-OH_B is the Ti bonding with a hydroxyl bridge, Ti-OH_T means Ti bonding with a hydroxyl terminal group, $(\text{C=O})-\text{OH}$ is the carboxyl-group, Ti–F is the bonding between Ti and F. TiO_x is non-stoichiometric TiO_2

Sample	Atomic concentration [%]									
	C	F	Ti–O	Ti-OH_B	Ti-OH_T	$(\text{C=O})-\text{OH}$	Ti^{4+}	Ti^{3+}	Ti–F	TiO_x
Laser annealed	17.19	6.97	42.00	6.13	3.12	1.49	20.21	0.69	0.90	—
Oven annealed	19.64	—	46.15	6.45	4.53	1.45	20.01	0.60	—	1.16



a change in the electronic cloud of these elements. XPS measurements were also used to confirm the stoichiometry of the TiO_2 ; the results of composition are summarized in Table 1. The stoichiometry of the samples can be calculated by the ratio of the concentrations of the Ti bonded to O (Ti–O) and Ti^{4+} resulting in ratios of 2.08 : 1 (O : Ti) and 2.313 : 1 (O : Ti) for the laser annealed and the oven annealed TNT layers, respectively, meaning, the stoichiometry of the laser annealed TNT layer is better than of the oven annealed TNT layer. Thus, the Raman peak shifts are probably connected with the differences in crystallite size.

Fig. 5a and b show the incident photon-to-electron conversion efficiency (IPCE) and the photocurrent density transients for the laser and the oven annealed TNT layers. As one can see, a strong increase of the IPCE and the photocurrent density was recorded for the oven annealed TNT layer with a photocurrent density maximum at a wavelength of ~ 360 nm. Compared to the oven annealed TNT layer the laser annealed TNT layer revealed significantly lower IPCE values and photocurrent densities with a photocurrent density maximum at a wavelength of ~ 320 nm. This means that the recombination rate of the charge carriers is much higher in case of the laser annealed TNT layer, thus it contains more defects. This can be confirmed by

the majority carrier concentration (N_D) calculated from the Mott–Schottky plots (Fig. 5c)^{16,18} which is significantly increased for the laser annealed TNT layer (*i.e.* $6.21 \times 10^{20} \text{ cm}^{-3}$ vs. $4.73 \times 10^{19} \text{ cm}^{-3}$ for the laser and the oven annealed TNT layer, respectively). By extrapolation of the linear part of the Mott–Schottky plots to $1/C^2 = 0$ the flatband potential E_{fb} of the TNT layers can be calculated and results in -0.18 V for the laser annealed and $+0.26$ V for the oven annealed TNT layer. This significant cathodic shift of E_{fb} for the laser annealed TNT layer could be explained by specific ion adsorption from the electrolyte due to the surface states⁶⁷ or by a higher density of defects in the nanotube walls. In general, the higher N_D and the lower E_{fb} indicate a higher conductivity of the laser annealed TNT layer, as shown in the literature for Ti^{3+} self-doped TNT layers.^{68,69} Another reason for the higher conductivity of the laser annealed TNT layers is the absence of the thermal oxide layer between the TNTs and the Ti substrate which can be found for oven annealed samples (Fig. 1).^{20,34,35} Finally, Fig. 5d shows linear scan voltammograms recorded on both samples in the dark at a low sweep rate of 5 mV s^{-1} . As one can see, the onset potential for the hydrogen evolution reaction is shifted towards a more positive potential for the laser annealed TNT layers compared to the oven annealed one (*i.e.* ca. -0.45 V for the laser

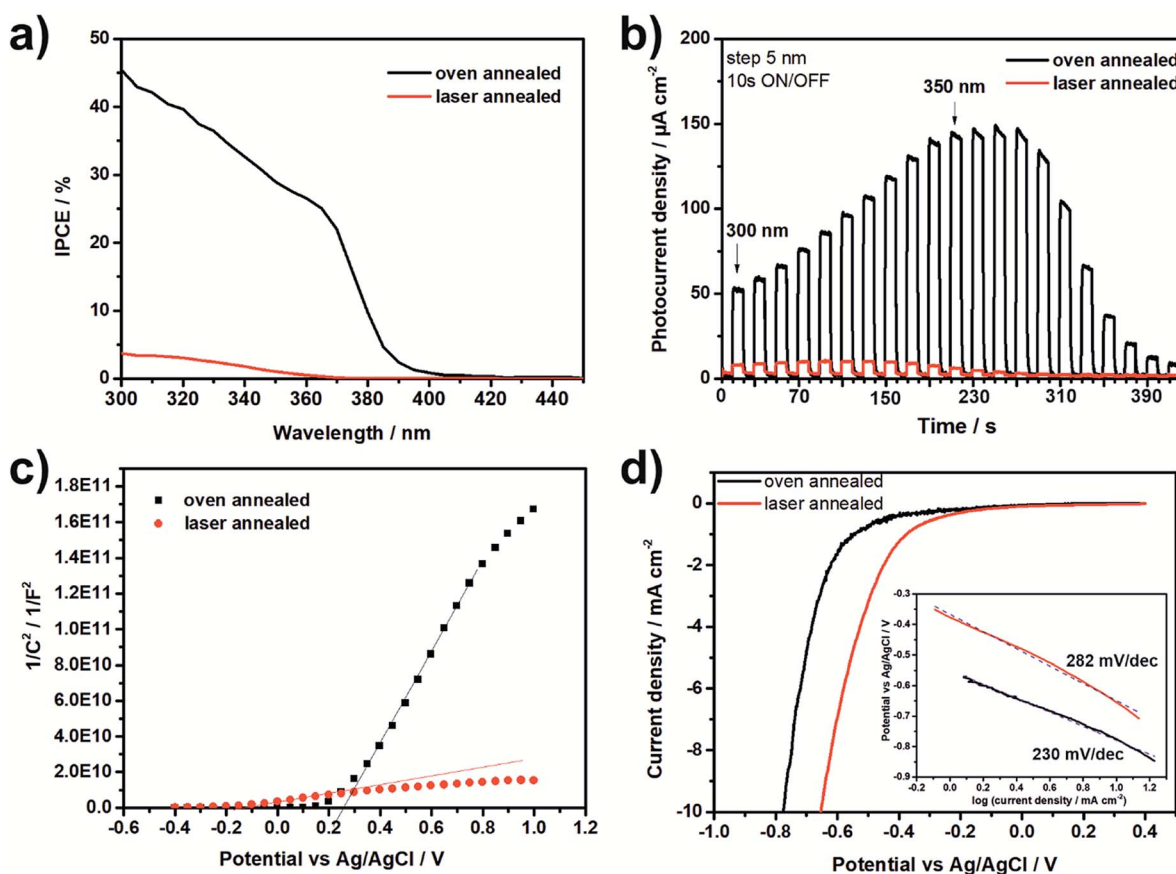
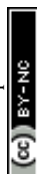


Fig. 5 IPCE vs. wavelength (a), photocurrent density transients recorded at wavelength between 300 nm and 400 nm with a step of 5 nm (b), Mott–Schottky plots recorded at a frequency of 1 kHz in the voltage range between 1 V and -0.4 V (c) and linear sweep voltammograms recorded in the dark at a sweep rate of 5 mV s^{-1} for the laser and the oven annealed TNT layers (d). The inset in (d) shows the calculated Tafel slopes. All measurements were carried out in 1 M HClO_4 .



annealed TNT layer and *ca.* -0.6 V for the oven annealed layer), thus showing an improved overpotential for hydrogen evolution. However, the calculated Tafel slopes, as shown in the inset of Fig. 5d, show faster kinetics for the oven annealed TNT layer than for the laser annealed TNT layer. A reason for this could be that the laser annealed TNT layers are not fully crystalline.

Conclusions

In conclusion, the successful annealing of TNT layers by means of a picosecond laser treatment under optimised processing conditions was shown. SEM investigations of the laser annealed TNT layers revealed that the tops of the layers did not show any differences in comparison to conventional oven annealed TNT layers. No melting or deformation of the TNTs was observed for the first time. Furthermore, the conversion of the as-prepared amorphous TNT layers to anatase phase was confirmed by XRD and Raman spectroscopy. However, extensive HRTEM investigation of the laser annealed TNT layers showed that it consists of fully crystalline, fully amorphous and partly crystalline nanotubes. XPS spectra show a better surface stoichiometry of the laser annealed samples compared to their oven annealed counterparts. (Photo-)electrochemical measurements reveal that the laser annealed TNT layers contain more defects than the oven annealed TNT layers, resulting in lower photocurrent densities, a lower flatband potential and higher donor concentration. However, a lower overpotential for hydrogen evolution reaction was observed for the laser annealed TNT layer.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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