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Anthracene derivatives as broadband nonlinear optical materials: nonlinear absorption and excited-state dynamics analysis†

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Two anthracene derivatives, **AN-1** and **AN-2**, with different π -bridge lengths were designed and synthesized to investigate their optical nonlinearities. The nonlinear absorption (NLA) properties of both derivatives were measured via the femtosecond Z-scan technique with the wavelength range from 532 nm to 800 nm. The reverse saturable absorption (RSA) of both compounds results from two-photon absorption induced excited-state absorption (TPA-ESA). At all wavelengths, the reverse saturable absorption of **AN-2** is superior to that of **AN-1** due to a better molecular planarity for **AN-2**. Compared with the results of **AN-1**, the two-photon absorption coefficient of **AN-2** can be increased by nearly 8 times (from 0.182×10^{-2} cm GW⁻¹ for **AN-1** to 1.42×10^{-2} cm GW⁻¹ for **AN-2**) at 600 nm by extending the π -bridge. The evolution of femtosecond transient absorption (TA) spectra reveals the relaxation process from the singlet local excited-state (LES) to charge transfer state (CTS) for both compounds. The results imply that anthracene derivatives may be potential candidates for applications in future laser photonics.

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1 Introduction

In recent decades, organic materials have attracted increasing attention in the fields of optoelectronics and photonics. They have been used in various practical applications, such as optical limiters, all-optical switches, organic light-emitting diodes, *etc.*^{1–8} Previous studies have revealed that the nonlinear optical (NLO) response is dependent on the strength of the donor and acceptor, the extension of the π -conjugated length and the planarity of the π -conjugated system.^{9–13} Although a variety of organic materials have been synthesized, and the NLO characteristics have been presented, there are still many unknown relationships between these structures and their properties. It is vital to investigate the NLO properties of new π -conjugated molecules to optimize their structures and enhance their NLO response.

Among the wide variety of π -centers used, we considered anthracene to be a potential conjugated π -center not only

because it is planar but also because it is convenient to effectively adjust its electronic and photonic properties due to the nature of the active groups and how they are linked.^{14–16} Previous studies have indicated that anthracene derivatives exhibit excellent NLO response,^{17,18} but few studies have been conducted on their internal photophysical mechanisms. On the one hand, some researchers have reported that intramolecular charge transfer (ICT) is significant for obtaining two-photon absorption (TPA) chromophores with large TPA cross sections, and these molecules may be potential candidates for using as two-photon materials and optical limiters in laser photonics.^{19–22} On the other hand, Planells *et al.* reported that a small torsion angle improved the planarity of the molecule and enhanced the NLO response.²³ Therefore, not only the strength of the donor or acceptor but also the planarity of the molecule can influence the NLO response. Our previous work revealed that extending the π -conjugated length and molecules with a better π -conjugated planar shows obvious enhancement of the NLO response.^{24,25}

From the perspective of molecular planarity, two anthracene derivatives with different π -bridge were synthesized (Fig. 1): (*E*)-3-(anthracen-9-yl)-1-(4-(dimethylamino)phenyl)prop-2-en-1-one (**AN-1**) and (*2E,4E*)-5-(anthracen-9-yl)-1-(4-(dimethylamino)phenyl)penta-2,4-dien-1-one (**AN-2**). Compared to the structure of **AN-1**, the structure of **AN-2** contained one more ethylene double bonds, which extended the length of the π -bridge. Using the femtosecond Z-scan technique, the NLO responses of these derivatives were studied, and the mechanism was analysed. The magnitude and sign of nonlinear absorption coefficients for **AN-**

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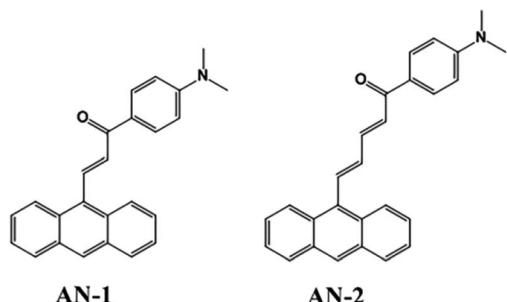


Fig. 1 Chemical structures of *(E*)-3-(anthracen-9-yl)-1-(4-(dimethylamino)phenyl)prop-2-en-1-one (AN-1) and *(2E,4E*)-5-(anthracen-9-yl)-1-(4-(dimethylamino)phenyl)penta-2,4-dien-1-one (AN-2).

1 and AN-2 were obtained. The evolution of the TA spectra indicated that the relaxation process of the particles in AN-1 and AN-2 was the transition from the local excited-state (LES) to the charge transfer state (CTS). Our results show that extending the π -bridge *via* increasing the amount of ethylene can adjust the molecular planarity, which can enhance the nonlinear absorption (NLA) response.

2 Experiments and theoretical calculation

2.1 Synthesis of AN-1 and AN-2

Synthesis of *(E*)-3-(anthracen-9-yl)-1-(4-(dimethylamino)phenyl)prop-2-en-1-one (AN-1). The mixture of 9-anthraldehyde (206 mg, 1 mmol) and 4'-dimethylaminoacetophenone (163 mg, 1 mmol) were dissolved in 20 mL of ethanol. The PH was adjusted to 7–8 with 1 sodium hydroxide (NaOH, 10%) solution. The reaction system was stirred for 10 h at room temperature. After washing the crude product three times with ethanol, the residue was separated through a column chromatography (silica gel) with petroleum ether/dichloromethane (v/v, 8 : 1). The yield was 78%. ^1H NMR δ /ppm (400 MHz, DMSO) δ 8.66 (s, 1H), 8.53 (d, J = 15.8 Hz, 1H), 8.23 (t, J = 14.1 Hz, 1H), 8.15 (d, J = 8.6 Hz, 1H), 8.03 (d, J = 8.8 Hz, 1H), 7.68 (t, J = 16.7 Hz, 1H), 7.58 (p, J = 6.8 Hz, 2H), 6.77 (d, J = 8.8 Hz, 1H), 3.04 (s, 3H). See ESI Fig. S1 \dagger (Fig. 2).

Synthesis of *(2E,4E*)-5-(anthracen-9-yl)-1-(4-(dimethylamino)phenyl)penta-2,4-dien-1-one (AN-2). The mixture of 3-(9-

anthryl)acrolein (232 mg, 1 mmol) and 4'-dimethylaminoacetophenone (163 mg, 1 mmol) were dissolved in 20 mL of ethanol. The PH was adjusted to 7–8 with sodium hydroxide (NaOH, 10%) solution. The reaction system was stirred for 16 h at room temperature. After washing the crude product three times with ethanol, the residue was separated through a column chromatography (silica gel) with petroleum ether/dichloromethane (v/v, 8 : 1). The yield was 72%. ^1H NMR δ /ppm (400 MHz, DMSO) δ 8.61 (s, 1H), 8.34 (d, J = 7.0 Hz, 1H), 8.12 (s, 1H), 7.97 (d, J = 7.9 Hz, 1H), 7.79 (t, J = 12.5 Hz, 1H), 7.57 (s, 1H), 7.15–6.93 (m, 1H), 6.77 (d, J = 7.2 Hz, 1H), 3.04 (s, 1H). See ESI Fig. S2. \dagger

2.2 Z-scan experiment

The nonlinear absorption of AN-1 and AN-2 was measured by the open-aperture Z-scan method. 26 The light source was an optical parametric amplifier (OPA, Light Conversion ORPHEUS, 190 fs, 20 Hz) pumped by a mode-locked Yb:KGW-based fiber laser. The output wavelength of OPA was tuned from 532 nm to 800 nm. The spatial and temporal distributions of the pulse were all nearly Gaussian profiles. A dimethyl sulfoxide (DMSO) solution at a concentration of 4.3×10^{-3} mol L $^{-1}$ contained in 2 mm quartz cells was used as the sample. The beam waist radii were 24.5 μm at 532 nm, 25.4 μm at 560 nm, 27.1 μm at 600 nm, 31.6 μm at 700 nm and 35.7 μm at 800 nm, respectively.

2.3 Transient absorption measurement

The wavelength of 400 nm fs pulse output from the OPA was used as pump pulse. The probing beams of the white light supercontinuum from sapphire crystal covered from 465 nm to 765 nm. In the experiment, the laser pulse width was 190 fs with the repetition rate of laser pulse was 6 kHz. The time resolution of the experimental system used is approximately 250 fs. The solutions in 2 mm quartz cells were used as samples.

2.4 Quantum chemical calculations

The Gaussian 09 program package was used for density functional theory (DFT). The B3LYP/6-31G model was employed to optimize the structures of all the molecular systems. 27 The energy and electron cloud distribution of frontier molecular orbitals were calculated (Fig. 3). The possession percentage of each component at frontier molecular orbitals was determined by Gauss Sum 28 (Table 1).

In both compounds, the electrons transfer from donor (anthracene group) to acceptor (dimethylaminoacetophenone group). The possession percentage changing from the highest occupied molecular orbital (HOMO) to the lowest unoccupied molecular orbital (LUMO) of the anthracene group in AN-1 changed from 91% to 75%, while that in AN-2 changed from 82% to 59%. The results show that there were obvious π – π^* transition features in both molecules. Moreover, the possession percentage (changes from HOMO to LUMO) of the acceptor for AN-1 changes from 7% to 19%, while that for AN-2 changes from 8% to 21%. Both molecules exhibited ICT. Compared with the structure of AN-1, the π -bridge length in AN-2 was extended, which may lead to the molecular conformation to change due to

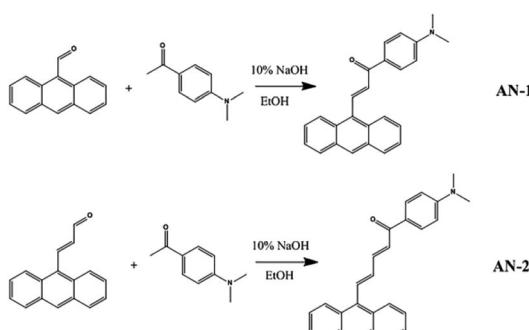


Fig. 2 Synthetic scheme of AN-1 and AN-2.



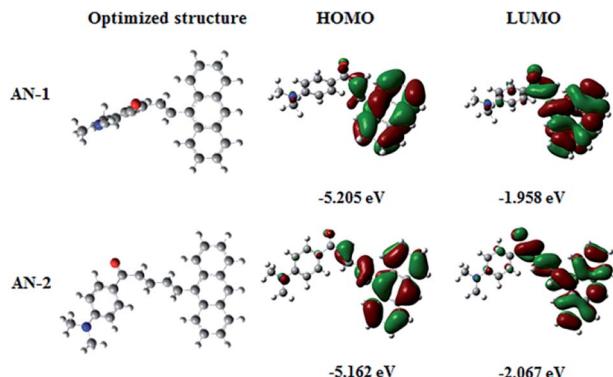


Fig. 3 The optimized structure and frontier molecular orbital distributions of **AN-1** and **AN-2** extracted from DFT calculation.

the steric effects. As shown in the DFT optimized structure in Fig. 3, the torsion angles between donor and acceptor for **AN-1** and **AN-2** were approximately 103° and 22° , respectively. It indicated that the molecular planarity of **AN-2** is better than that of **AN-1**, which is consistent with our original molecular design idea. Generally, molecules with better plane exhibit large optical nonlinear responses.^{20,23,25,29,30}

3 Results and discussion

3.1 UV-vis absorption spectra

The UV-vis absorption spectra of compounds **AN-1** and **AN-2** were investigated in the solvents of different polarities at room temperature (Fig. 4). The concentration of compounds **AN-1** and **AN-2** dissolved in dimethylformamide (DMF) and DMSO were on the order of 10^{-5} mol L⁻¹, respectively. The similar absorption broad absorption profiles with low-energy maxima suggested the existence of ICT. **AN-1** and **AN-2** dissolved in DMSO display maximum absorption peaks at 400 nm and 420 nm, respectively. With excitation at the maximum absorption wavelength, no fluorescence signal was observed for both materials, which caused by charge transfer and implied that ultrafast non-radiative deactivation is the mainly decay way of the excited state. As shown in Fig. 3, the HOMO-LUMO gap of both anthracene derivatives can be obtained (3.247 eV for **AN-1** and 3.095 eV for **AN-2**). Then, the position of the maximum absorption peaks can be calculated and it was consistent with the results of UV-vis absorption. Compared to the absorption

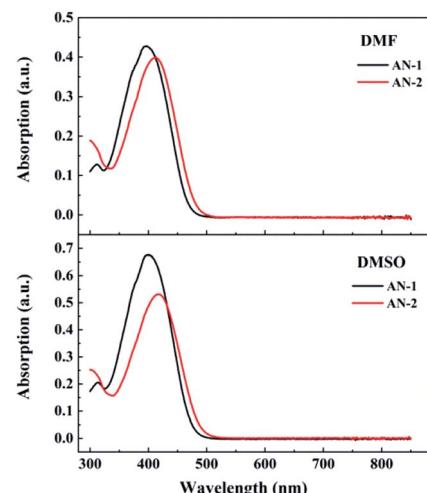


Fig. 4 UV-vis absorption spectra of **AN-1** (black lines) and **AN-2** (red lines) dissolved in DMSO and DMF solutions.

peak of **AN-1**, the absorption peak of **AN-2** is approximately 20 nm red shifted, which could be attributed to the decrease in the energy HOMO-LUMO gap, and implies that the effective π -conjugated length of **AN-2** may be larger than that of **AN-1**.³¹

3.2 Open aperture Z-scan experiment

A femtosecond Z-scan was carried out to study the NLO properties of both anthracene derivatives. The excitation wavelengths were covered a broadband range from 532 nm to 800 nm, which belonged to the off-resonance range. Both compounds displayed high linear transmittance (**AN-1** $\geq 97\%$ and **AN-2** $\geq 90\%$). Here, three wavelengths (532 nm, 600 nm, 800 nm) were selected as examples to show the difference of strength of NLA between **AN-1** and **AN-2** (Fig. 5). The experimental data are fitted by Sheik Bahae's theory.²⁶ All these results are summarized in Table 2. The observed NLA of both compounds was evaluated to originate from the solution molecule because the pure solvent displayed no NLA in the experimental conditions. Obviously, both compounds exhibit reverse saturable absorption (RSA), and the reverse saturable absorption (RSA) of **AN-2** is stronger than that of **AN-1** at all excited wavelengths. The effective nonlinear absorption coefficients of **AN-2** are approximately 3–5 times greater than that of **AN-1** with the same intensity at each wavelength.

Table 1 The possession percentage of each component occupied in frontier molecular orbitals of **AN-1** and **AN-2**

AN-1 (%)				AN-2 (%)			
LUMO	75	6	19	59	20	21	8
HOMO	91	2	7	82	10		



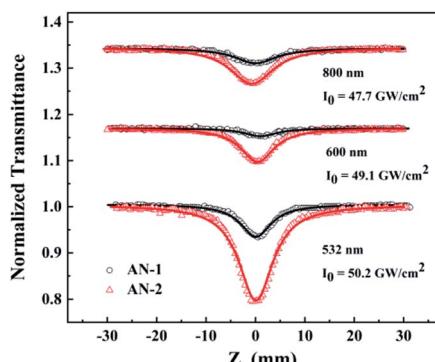


Fig. 5 Open-aperture Z-scan experiment under 190 fs at 532 nm, 600 nm, and 800 nm. Circles and triangles are experimental data. Solid lines represent the theoretical fitting.

Since high linear transmittance was measured at all excitation wavelengths and the femtosecond laser pulse was used as light source, we consider that TPA could be the main mechanism. To further analyze the optical nonlinear mechanisms for both compounds, open-aperture Z-scan measurements at different intensities I_0 for both compound solutions at all excited wavelengths were performed (Fig. S3 and S4†). Through numerical fitting, it can be found that the effective nonlinear absorption coefficients β_{eff} grow linearly with incident intensity under photoexcitation for each wavelength. Here, take the experimental results at 600 nm as an example. By theoretical fitting, the effective nonlinear absorption coefficients β_{eff} at different intensities I_0 were obtained (Fig. 6). These results indicate that TPA is not the only mechanism because TPA coefficients are independent of the intensity.^{32,33} We considered that there was higher-order NLA. In order to further analyze the mechanism of NLA in both materials, femtosecond TA was conducted by using a 190 fs pump pulse with a wavelength of 400 nm. The results show that the positive signal appeared in a range from 465 nm to 765 nm (Fig. 7), which resulted from ESA. We considered that the higher-order NLA can be assigned as TPA-induced ESA (TPA-ESA).^{25,30}

In general, organic molecules may display both TPA and TPA-ESA processes under off-resonant excitation.^{34,35} Generally, the expression of the absorption coefficient of materials with TPA-ESA can be expressed as $\alpha = \alpha_0 + \beta I + \gamma I^2$. Herein, α_0 represents the linear absorption coefficient. β and γ represent TPA and the effective fifth-order nonlinear absorption coefficient, respectively. The TPA cross section σ_{TPA} can be obtained with the expression of $\sigma_{\text{TPA}} = \hbar\omega\beta/N$.³⁰ Here, \hbar and ω are

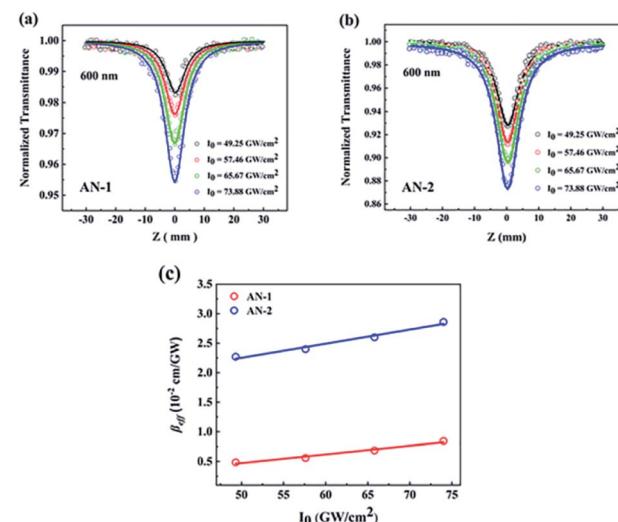


Fig. 6 The results of the open-aperture Z-scan at 600 nm for (a) AN-1 and (b) AN-2. The solid lines represent theoretical fitting. (c) Nonlinear absorption coefficients as a function of input intensity at 600 nm for AN-1 and AN-2.

reduced Planck constant and angular frequency, respectively. β is the TPA coefficient, and N is the population density in unit volume. All the results are summarized in Table 3. For AN-2, a better molecular planarity in AN-2 not only increased the effective π -conjugation length of molecule but also enhanced the charge delocalization extent, which could be conducive to charge transfer. This could be main reason that the TPA coefficients of AN-2 are larger than that of AN-1.^{36,37} Here, the TPA coefficients of both compounds at 800 nm were larger than that at 600 nm, which may be attributed to two-photon resonance of $S_0 \rightarrow S_1$ transition.

In addition, comparing the TPA coefficients of both compounds at 532 nm with other organic materials, it is superior to the previously reported nonlinear materials (Table 4). It reveals that extending the π -bridge to adjust the molecular planarity *via* increasing the amount of ethylene can greatly modulate the strength of NLA.

3.3 Transient absorption spectra

To further investigate the mechanism of the photophysical process for both compounds under photoexcitation in detail, TA spectra of two materials were obtained at a pump pulse wavelength of 400 nm (Fig. 7). The changes in the absorption intensity at specific wavelengths obtained from transient

Table 2 Parameters of femtosecond open-aperture Z-scan experiments at 532 nm, 600 nm and 800 nm

Wavelength (nm)	I_0 (GW cm $^{-2}$)	AN-1		AN-2	
		$\beta_{\text{eff}} \times 10^{-2}$ (cm GW $^{-1}$)			
532	50.2	2.15			8.35
600	49.1	0.48			2.3
800	47.7	1.07			2.38



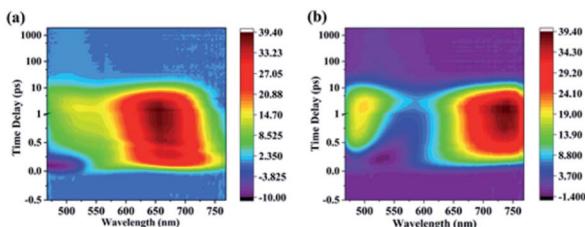


Fig. 7 TA spectra of (a) AN-1 and (b) AN-2 excited at the wavelength of 400 nm.

absorption spectra experiments are represented by changes in optical density (ΔOD), which can be expressed as follows:

$$\Delta OD = -\lg(T/T_0) \quad (1)$$

Here, T is the transmittance of the sample after pumping, and T_0 is the linear transmittance of the sample. The positive signal represents RSA, while the negative signal represents saturable absorption. Several absorptive spectra are selected at different delay times to discuss the changed process of the spectra for AN-1 and AN-2 (Fig. 8). The results show that a positive signal appeared in the wavelength range from 465 nm to 765 nm for both compounds, which can be regarded as ESA. Moreover, broadband RSAs are observed in both compounds. For AN-1, two signal bands appear near zero delay time, namely, a negative signal band centered at 475 nm and a positive signal band peaking at 575 nm and 710 nm. As the time delay became longer, the negative signal band gradually disappeared, and the absorption peak of the positive signal band exhibited a blue shift. The absorption peak of the positive signal band stabilized at 500 nm and 650 nm, after which the amplitude of spectra for AN-1 attenuated according to this waveform. However, after dozens of picoseconds, the profile of the spectra varied, leaving only an absorption peak with a central wavelength of 500 nm. The generation and disappearance of the negative signal is caused by the combination of ground state bleaching and excited-state absorption. The spectral change of AN-2 is similar to that of AN-1. First, the absorption peak of its positive signal band stabilized at 500 nm and 740 nm, after which the amplitude of its spectra attenuated according to this waveform. This spectral form changed after dozens of picoseconds, leaving only an absorption peak with a center wavelength of 525 nm. The spectral changes of AN-1 and AN-2 mean that ESA does not originate from the same excited-state.^{40,41} Compared to the

transient absorption peak of AN-1, the transient absorption peak of AN-2 exhibited a redshift, which indicated the impact of the extended π -bridge on the ESA of anthracene compounds.

The dynamics of AN-1(480 nm, 500 nm and 532 nm) and AN-2 (500 nm, 532 nm and 550 nm) after photoexcitation were extracted from the transient absorption spectra to analyze the relaxation process, as shown in Fig. 9. The transient photodynamic traces for AN-1 and AN-2 can be depicted by a convolution of three e-exponential functions and instrument response curves following this fitting equation:

$$\Delta T(t) = \sum_{i=1,n} \int_{-\infty}^{\infty} R_{\text{IRF}}(\tau) \left[A_i \exp\left(-\frac{t-\tau}{\tau_i}\right) \right] d\tau \\ = R_{\text{IRF}} \otimes \left[\sum_{i=1,n} A_i \exp\left(-\frac{t}{\tau_i}\right) \right] \quad (2)$$

where $\Delta T(t)$ and R_{IRF} are the normalized transient absorption signal and instrument response curve, respectively. τ_i and A_i represent the time and amplitude terms of the e-exponential function, respectively. \otimes is the convolution operation. The parameters for the two anthracene derivatives are summarized in Table 5. According to the results of quantum chemical calculations, both compounds exhibited ICT, which could activate the NLO response. Therefore, the NLO responses were the result of the relaxation of particles from the singlet local excited-state (LES) to the charge transfer state (CTS). Due to the resonance excitation (400 nm), the mechanism of positive nonlinear absorption is excited-state absorption induced by one-photon absorption. We tend to consider the singlet ESA and choose a simplified energy model to demonstrate the excited-state dynamics (Fig. 10).

Under photoexcitation, particles transition to LES, and there may be three relaxation processes: (1) since the waveform of spectra for both compounds did not change within several picoseconds, the particles were in the same excited-state and we considered that the first relaxation process could be regarded as the establishment of LES and the vibrational cooling relaxation in the LES with a lifetime of τ_1 . (2) The amplitude of the spectrum attenuated after a several picoseconds until there was only one absorption peak remaining, which indicated that the particles relaxed from the LES to the CTS with the time of τ_2 . The charge transfer may lead to fluorescence quenching.⁴²⁻⁴⁴ (3) The long lifetime (τ_3) was the time that particles slowly decayed back from CTS to ground state S_0 . Our results show that AN-1

Table 3 Parameters of NLA at different wavelengths extracted from femtosecond open-aperture Z-scan

Wavelength (nm)	AN-1			AN-2		
	$\beta \times 10^{-2}$ (cm GW $^{-1}$)	σ_{TPA} (GM)	$\gamma \times 10^{-4}$ (cm 3 GW $^{-2}$)	$\beta \times 10^{-2}$ (cm GW $^{-1}$)	σ_{TPA} (GM)	$\gamma \times 10^{-4}$ (cm 3 GW $^{-2}$)
532	1.28	184.9	3	5.63	813.1	15
560	0.712	97.7	2	3.42	469.3	10
600	0.182	23.3	1.5	1.42	181.9	3.5
700	0.336	36.9	2.13	0.667	73.2	6.5
800	0.625	60	1.6	1.54	147.9	4.3



Table 4 Comparison of the TPA coefficients for AN-1 and AN-2 at 532 nm with literature data

	$\beta \times 10^{-2}$ (cm GW ⁻¹)	Wavelength (nm)	Concentration (mol L ⁻¹)	Laser pulse (fs)
AN-1	1.28	532	0.0043	190
AN-2	5.63	532	0.0043	190
DBPy ²⁵	0.7	532	0.002	190
P2 (ref. 30)	1.0	532	0.0137	190
15# ³⁸	1.6	500	0.01	200
L1 (ref. 39)	2.5	532	0.01	190

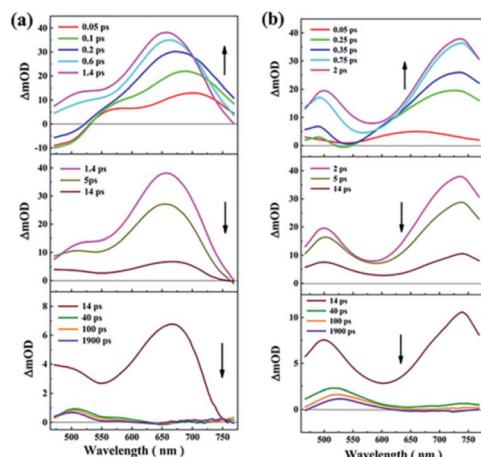


Fig. 8 Several TA spectra of (a) AN-1 and (b) AN-2 at selected delay times are displayed.

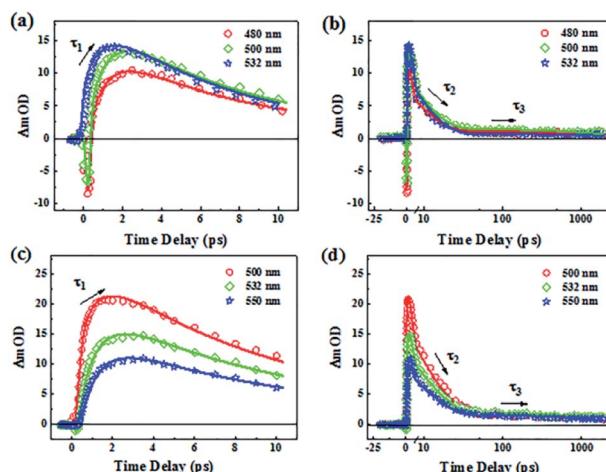


Fig. 9 The dynamic traces of AN-1 at a short delay time (a) and a long delay time (b). The dynamic traces of AN-2 at a short delay time (c) and a long delay time (d). Solid lines are theoretical fitting. The solid lines are theoretical fitting.

and AN-2 has different excited-state lifetimes, and it revealed that increasing the amount of ethylene double bonds to extend the π -bridge in these compounds can also modulate the TA spectra.

Table 5 Fitting results of dynamics traces for AN-1 and AN-2 after photoexcitation

	τ_1 (ps)	τ_2 (ps)	τ_3 (ns)
AN-1	0.89	7.02	1.84
AN-2	0.92	8.86	2.27

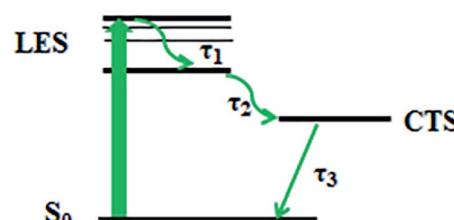


Fig. 10 The energy relaxation diagram for AN-1 and AN-2.

4 Conclusions

The NLO properties of two novel anthracene derivatives were studied in the off-resonant region. The multi-wavelength femtosecond Z-scan results show that both derivatives exhibit good RSA responses, and the RSA of AN-2 is stronger than that of AN-1, which is related to the better molecular planarity. By theoretical fitting, the difference of the TPA coefficients between AN-1 and AN-2 was nearly 8 times (from 0.182×10^{-2} cm GW⁻¹ for AN-1 to 1.42×10^{-2} cm GW⁻¹ for AN-2) at 600 nm. Furthermore, the TPA coefficients of both compounds at 532 nm were compared with reported organic materials. Further dynamics analysis was performed, and the photo-physical parameters of both compounds were obtained. The difference of the NLA response between AN-1 and AN-2 implied that extending the π -bridge to adjust the molecular planarity *via* increasing the amount of ethylene can modulate the NLO response. The experimental results imply that anthracene derivatives are potential NLO materials that can be used in future optoelectronics.



Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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