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# Dependence on co-adsorbed water in the reforming reaction of ethanol on a Rh(111) surface†

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We have studied the reforming reaction of ethanol co-adsorbed with atomic oxygen (O\*, \* denotes adspecies) and deuterated water (D<sub>2</sub>O\*) on a Rh(111) surface, with varied surface probe techniques under UHV conditions and with density-functional-theory calculations. Adsorbed ethanol molecules were found to penetrate readily through pre-adsorbed water, even up to eight overlayers, to react at the Rh surface; they decomposed at a probability promoted by the water overlayers. The production probabilities of H<sub>2</sub>, CO, CH<sub>2</sub>CH<sub>2</sub> and CH<sub>4</sub> continued to increase with co-adsorbed D<sub>2</sub>O\*, up to two D<sub>2</sub>O overlayers, despite separate increasing rates; above two D<sub>2</sub>O overlayers, those of H<sub>2</sub>, CO and CH<sub>2</sub>CH<sub>2</sub> were approximately saturated while that of CH<sub>4</sub> decreased. The increased (or saturated) production probabilities are rationalized with an increased (saturated) concentration of surface hydroxyl (OD\*, formed by O\* abstracting D from D<sub>2</sub>O\*), whose intermolecular hydrogen bonding with adsorbed ethanol facilitates proton transfer from ethanol to OD\* and thus enhances the reaction probability. The decreasing behavior of CH<sub>4</sub> could also involve the competition for H\* with the formation of H<sub>2</sub> and HDO.

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## 1. Introduction

As an efficient approach to produce hydrogen for use in fuel-cells, the reforming reaction of ethanol has drawn considerable attention.<sup>1</sup> Ethanol has advantages of low toxicity, high availability, high hydrogen density and ease of handling and storage; it can be readily extracted from fermentation of biomass like sugarcane and corn.<sup>2–4</sup> Among various reforming reactions, oxidative steam reforming of ethanol (OSR, C<sub>2</sub>H<sub>5</sub>OH + (3 – x)H<sub>2</sub>O + xO<sub>2</sub> → (6 – 2x)H<sub>2</sub> + 2CO<sub>2</sub>) is promising, because its hydrogen yield and exothermicity can be balanced by controlling molar ratios of reagents (ethanol, steam and oxygen).<sup>4,5</sup> The mechanism of OSR of ethanol has thus been widely investigated. Earlier mechanistic studies find that the reaction is initiated with scission of O–H bond of adsorbed ethanol, forming surface ethoxy (CH<sub>3</sub>CH<sub>2</sub>O\*, \* denoting adspecies);<sup>6–9</sup> either C–H<sub>α</sub> or C–H<sub>β</sub> bond is sequentially cleaved, producing surface acetaldehyde (CH<sub>3</sub>CHO\*) and oxametallacycle (CH<sub>2</sub>CH<sub>2</sub>O\*), respectively. The surface acetaldehyde ultimately leads to the production of CH<sub>3</sub>CHO, CH<sub>4</sub>, CH<sub>3</sub>COOH, CO

and CO<sub>2</sub>, while the surface oxametallacycle to CH<sub>2</sub>CH<sub>2</sub> and CO.<sup>6,7,10</sup>

The reagent oxygen (molecular) is dissociated into atomic oxygen (O\*) on catalyst surfaces; the O\* promotes the decomposition probability of ethanol and could also alter the reaction path toward acetaldehyde, as indicated on Rh(100) and Rh(111) surfaces.<sup>11–13</sup> This alteration highly promotes the production of H<sub>2</sub>, along with side products CO, CH<sub>4</sub> and H<sub>2</sub>O. With increased oxygen content, the reaction path shifts further to acetate (CH<sub>3</sub>COO\*) intermediates; the production of H<sub>2</sub> is suppressed but that of CO<sub>2</sub> is highly promoted.<sup>13</sup> The reagent water (steam) in OSR is typically regarded as another supplier of reagent oxygen or an assistance to the side process—water–gas–shift reaction—of the reforming reaction. Preceding studies on a Rh(111) surface showed comparable effects of hydroxyl (OH\*, from dissociated H<sub>2</sub>O\*) and O\*; the OH\* further enhanced the reaction probability of ethanol on the Rh surfaces pre-covered with O\* but affected little the reaction path.<sup>13</sup> Nevertheless, how this effect evolves with the quantities of adsorbed water is not clarified. This issue becomes critical as the advantages of OSR depend largely on the molar ratios of its reagents. The present study aims to remedy this lack of knowledge and to shed light on detailed mechanisms.

We have studied the reactions of ethanol co-adsorbed with O\* and deuterated water (D<sub>2</sub>O\*) on a Rh(111) single crystal under ultrahigh vacuum (UHV) conditions. The Rh(111) substrate, as a model system, was chosen because Rh-based catalysts become the most promising catalyst in the reforming reaction<sup>6,14–19</sup> and (111) facets typically make up a great fraction of the surface of the

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Rh catalysts.<sup>20–23</sup> Temperature-programmed desorption (TPD) and synchrotron-based photoelectron spectroscopy (PES) were applied to probe the catalyzed reactions, and density-functional-theory (DFT) modelling to illuminate the picture how ethanol interacts with co-adsorbed water. The results show that the reactions of ethanol adsorbed on the Rh surface pre-covered with O\* and molecular water proceeded despite adsorbed water increased up to eight overlayers. The reactions persisted as the pre-adsorbed water did not obstruct completely the adsorption of ethanol; besides, the adsorbed ethanol diffused, through exchanging positions with the pre-adsorbed water, toward the Rh surface to react. Furthermore, the decomposition probability was evidently enhanced. The production probabilities of all species, including H<sub>2</sub>, CO, CH<sub>2</sub>CH<sub>2</sub> and CH<sub>4</sub>, were increased with co-adsorbed water, up to two water overlayers; above two water overlayers, those of H<sub>2</sub>, CO and CH<sub>2</sub>CH<sub>2</sub> exhibited a trend of saturation while that of CH<sub>4</sub> decreased. The behavior is strongly correlated with the concentration of surface OD\*. We discussed in detail the mechanisms with our DFT simulations.

## 2. Methods

### 2.1 Experimental section

Our experiments were conducted in UHV chambers at a base pressure  $4 \times 10^{-10}$  torr. The Rh(111) single crystal, polished to a roughness <10 nm and an orientation accuracy <0.1°, was purchased from MaTeck GmbH. Before each experiment, alternative cycles of sputtering and subsequent annealing (900 K) were conducted to clean the crystal surface. We confirmed the cleanliness of the crystal surface with surface probe techniques such as low-energy electron diffraction and Auger electron spectroscopy. The crystal was then quenched to desired temperatures for adsorption: molecular oxygen (O<sub>2</sub>) at 300 K, deuterated water (D<sub>2</sub>O) and ethanol at 120 K. The adsorption was performed with a doser pointing toward the crystal, at a background pressure  $5 \times 10^{-8}$  to  $5 \times 10^{-9}$  torr. Adsorbed O<sub>2</sub> on Rh(111) at 300 K was dissociated into atomic oxygen (O\*). The deuterated water (purchased from Merck, 99.8%) was further purified by several freeze–pump–thaw cycles before the adsorption experiments. Their exposures were reported in Langmuir units ( $1.0 \text{ L} = 10^{-6}$  torr s). We collected TPD spectra with a quadrupole mass spectrometer (Hiden) to monitor various masses and by ramping the sample at a rate of  $3 \text{ K s}^{-1}$ ; we shielded and placed the spectrometer near the crystal surface (about 2 mm). The PES experiments were conducted at the BL09A2 beamline (U5 spectroscopy) at National Synchrotron Radiation Research Center in Taiwan.<sup>24</sup> The photon beam had a fixed energy 600 eV and was incident normal to the surface; emitted photoelectrons were detected at an angle 58° off from the surface normal. The energy resolution attained 0.1 eV. All PES spectra shown in the current work were normalized to their photon flux. The binding energy (BE) indicated in the spectra is referred to the bulk Rh 3d<sub>5/2</sub> at 307.1 eV.

### 2.2 Computational section

Our computations were performed with Vienna *Ab initio* Simulation Package (VASP),<sup>25–27</sup> a DFT-based computational package

with a 3D periodic boundary condition. The computational level was at GGA-PAW, the generalized gradient approximation<sup>28</sup> with Perdew–Wang 1991 formulation<sup>29</sup> utilized for the exchange–correlation function. The valence electrons were treated by plane waves with a maximal kinetic energy (cutoff energy) of 600 eV; the core electrons were treated by the cost-effective pseudopotentials implemented in VASP, the projector-augmented wave method (PAW). The integration in the Brillouin-Zone (BZ) was sampled by the Monkhorst–Pack scheme<sup>30</sup> with the *k*-point at  $0.05 \times 2$  ( $1/\text{\AA}$ ) interval in the reciprocal space. For the structural optimizations and energetic calculations of stable adsorptions, we applied quasi-Newton method with an energetic convergence of  $1 \times 10^{-4}$  eV and a gradient convergence of  $1 \times 10^{-2}$  eV  $\text{\AA}^{-1}$ ; those of transition states were utilized by Nudged Elastic Band method<sup>31</sup> at the same convergence criterions. The chosen convergence condition has been widely applied in previous studies,<sup>21,32,33</sup> a convergence test, with a more strict convergence condition ( $1 \times 10^{-6}$  eV and  $1 \times 10^{-3}$  eV  $\text{\AA}^{-1}$ ), had also been performed to justify the present calculations.<sup>34</sup> The vibrational analysis, with the finite displacement approach at the  $\Gamma$  point,<sup>35,36</sup> was utilized to confirm the optimized local minimums (without imaginary frequency) and apply zero-point energy (ZPE) corrections on the DFT computed energies.

The Rh(111) surface was constructed with a Rh slab consisting of five layers of  $4 \times 4$  surface units and equivalent five-layer distant vacuum space to avoid artificial interaction between separate Rh slabs; the bottom two Rh layers were fixed at the computed lattice constants to represent the semi-infinite bulk crystal beneath the surface and the top three layers were free to relax. The adspecies, such as water, ethanol and their fragments, were then placed on the Rh surface for optimization of their adsorption structures and related energies.

## 3. Results and discussion

### 3.1 TPD and PES experiments

The reactions of ethanol were monitored primarily with TPD. We compared the TPD spectra from ethanol on Rh(111) pre-covered with O\* at 0.08 ML and water at varied coverages to investigate quantitatively the effect of water on the reactions. Adsorbed water molecules alter the OSR reaction of ethanol because they are dissociated into OH\*. The dissociation on Rh(111) is largely assisted by pre-adsorbed O\*.<sup>37</sup> Our previous work showed, in line with other studies,<sup>37</sup> that water adsorbed on Rh(111) pre-adsorbed with 0.08 monolayer (ML) O\* (denoted as Rh(111)<sub>O\*(0.08 ML)</sub>) yielded a maximal production of OH\*,<sup>13</sup> so we examined the present effect on Rh(111)<sub>O\*(0.08 ML)</sub>. We used deuterated water (D<sub>2</sub>O), instead of typical water (H<sub>2</sub>O), for our TPD measurements. These isotopic variants behavior similarly, since their adsorption energies, activation energies for dissociation and their interaction with ethanol are determined by their electronic structures, rather than their isotopic properties. Adsorbed D<sub>2</sub>O, unlike H<sub>2</sub>O, contributed no TPD signals of H<sub>2</sub> and H<sub>2</sub>O, two major products from decomposed ethanol on Rh(111)<sub>O\*(0.08 ML)</sub>, but gave clear, separate D<sub>2</sub> (or DH) and D<sub>2</sub>O (or DHO) signals. The use of D<sub>2</sub>O avoids mixing signals from



different processes and thus permits ready identification of the role of water in the ethanol reaction.

We noted in the series of TPD experiments that adsorbed ethanol penetrated readily through pre-adsorbed water overlayers to react at the  $\text{Rh}(111)_{\text{O}^*(0.08 \text{ ML})}$  surface. Fig. 1a shows the  $\text{D}_2\text{O}$  ( $m/z = 20$  u) TPD spectra from  $\text{Rh}(111)_{\text{O}^*(0.08 \text{ ML})}$  exposed to  $\text{D}_2\text{O}$  of varied amounts (denoted as  $\text{Rh}(111)_{\text{D}_2\text{O}^*/\text{O}^*(0.08 \text{ ML})}$ ). 0.3 L  $\text{D}_2\text{O}$  adsorbed on  $\text{Rh}(111)_{\text{O}^*(0.08 \text{ ML})}$  at 120 K gave a single desorption feature around 195 K (the bottom in Fig. 1a), assigned to desorbing sub-monolayer  $\text{D}_2\text{O}$  from the surface. The desorption temperature of the sub-monolayer or monolayer  $\text{D}_2\text{O}$  on  $\text{Rh}(111)_{\text{O}^*(0.08 \text{ ML})}$  is higher than that on  $\text{Rh}(111)$  (about 170 K, Fig. S1†), because of the formation of a hydrogen-bonded network of  $\text{D}_2\text{O}^*$  and  $\text{OD}^{*37}$  and also the interaction of  $\text{D}_2\text{O}^*$  with  $\text{O}^*$ . The desorbing  $\text{D}_2\text{O}$  came from two channels:  $\text{D}_2\text{O}^*$  in the  $\text{D}_2\text{O}^*-\text{OD}^*$  hydrogen bonded network and that from disproportionation of  $\text{OD}^*$  ( $2\text{OD}^* \rightarrow \text{D}_2\text{O}^* + \text{O}^*$ ).<sup>37</sup> As the exposure of  $\text{D}_2\text{O}$  increased, the monolayer feature was enhanced; above 1.0 L, the monolayer feature remained similar whereas an additional feature grew about 150 K (top and second in Fig. 1a), which is assigned to the desorption of multilayer  $\text{D}_2\text{O}$ . As the integrated intensity of the  $\text{D}_2\text{O}$  desorption feature increased almost linearly with the exposure and as the desorption feature of 1.0 L  $\text{D}_2\text{O}$  corresponds about to a full monolayer

$\text{D}_2\text{O}$ , the sticking coefficient of  $\text{D}_2\text{O}$  onto the sample at 120 K is nearly 1; 1.0 L  $\text{D}_2\text{O}$  yielded about a single water overlayer on either  $\text{Rh}(111)_{\text{O}^*(0.08 \text{ ML})}$  or  $\text{Rh}(111)_{\text{D}_2\text{O}^*/\text{O}^*(0.08 \text{ ML})}$ . The  $\text{D}_2\text{O}$  TPD spectra altered significantly when ethanol was adsorbed atop  $\text{Rh}(111)_{\text{D}_2\text{O}^*/\text{O}^*(0.08 \text{ ML})}$ . For  $\text{Rh}(111)_{\text{D}_2\text{O}^*/\text{O}^*(0.3 \text{ L})/\text{O}^*(0.08 \text{ ML})}$  and  $\text{Rh}(111)_{\text{D}_2\text{O}^*/\text{O}^*(0.5 \text{ L})/\text{O}^*(0.08 \text{ ML})}$  exposed to ethanol (the third and bottom in Fig. 1b), the monolayer feature of  $\text{D}_2\text{O}$ , about 195 K, attenuated, in comparison to those without ethanol (the third and bottom in Fig. 1a), while the multilayer one, about 150 K, emerged. At higher  $\text{D}_2\text{O}$  coverages (the first and second in Fig. 1b), the multilayer feature became obviously enhanced whereas the monolayer one remained smaller than its counterparts without ethanol (the first and second in Fig. 1a). Nevertheless, the integrated intensities of the  $\text{D}_2\text{O}$  lines with and without co-adsorbed ethanol were similar, as plotted in Fig. 1c. The comparison implies that the diminished monolayer  $\text{D}_2\text{O}$  was compensated by the increased multilayer  $\text{D}_2\text{O}$  – a fraction of the first overlayer  $\text{D}_2\text{O}$  on  $\text{Rh}(111)$  migrated to the multilayer region and desorbed. The migration was induced because the adsorbed ethanol diffused toward the Rh surface and exchanged position with the underneath  $\text{D}_2\text{O}$ . The involvement of  $\text{D}_2\text{O}$  in the ethanol reaction is reflected on systematically increased DHO desorption signals, which result from surface  $\text{OD}^*$  (from  $\text{D}_2\text{O}^* + \text{O}^* \rightarrow 2\text{OD}^*$ , discussed below) abstracting H from ethanol and desorbing as DHO. On such ethanol on  $\text{Rh}(111)_{\text{D}_2\text{O}^*/\text{O}^*(0.08 \text{ ML})}$ ,  $\text{O}^*$  were entirely consumed and no trace of it was observed with increased temperature,<sup>13</sup> contrasting ethanol and  $\text{D}_2\text{O}$  separately adsorbed on  $\text{Rh}(111)_{\text{O}^*(0.08 \text{ ML})}$ .<sup>13,37</sup> Details are explained in ESI (Fig. S2†) and DFT calculations below.

The TPD spectra for the reaction products of ethanol reveal more the effect of  $\text{D}_2\text{O}$ . Fig. 2a–c show the TPD spectra from 3.0 L ethanol adsorbed on  $\text{Rh}(111)_{\text{O}^*(0.08 \text{ ML})}$ ,  $\text{Rh}(111)_{\text{D}_2\text{O}^*(1.0 \text{ L})/\text{O}^*(0.08 \text{ ML})}$  and  $\text{Rh}(111)_{\text{D}_2\text{O}^*(2.0 \text{ L})/\text{O}^*(0.08 \text{ ML})}$ . The ethanol ( $\text{C}_2\text{H}_5\text{OH}$ ,  $m/z = 45$  u) spectra (top lines in Fig. 2a–c) show desorption at 150 and 200 K, corresponding to multilayer and monolayer ethanol respectively. The CO ( $m/z = 28$  u), ethylene ( $\text{CH}_2\text{CH}_2$ ,  $m/z = 27$  u),  $\text{H}_2\text{O}$  ( $m/z = 18$  u), methane ( $\text{CH}_4$ ,  $m/z = 16$  u) and  $\text{H}_2$  ( $m/z = 2$  u) spectra also show their desorption at various temperatures, the second to the bottom lines in Fig. 2a–c, reflecting the reforming reaction of adsorbed ethanol. Preceding studies argued that adsorbed ethanol on  $\text{Rh}(111)$  produced ethoxy readily *via* O–H bond scission and the ethoxy decomposed predominantly *via* C–H<sub>β</sub> bond cleavage, which led to formation of oxometallacycle intermediate ( $\text{CH}_2\text{CH}_2\text{O}^*$ ) and further decomposition producing CO,  $\text{H}_2$  and surface carbon ultimately;<sup>12,38,39</sup> on  $\text{Rh}(111)_{\text{O}^*}$ , the decomposition probability was enhanced and the reaction pathway was largely altered to the one *via* C–H<sub>α</sub> bond cleavage, which formed acetaldehyde ( $\text{CH}_3\text{CHO}^*$ ) intermediates and promoted the production of  $\text{H}_2$  along with the side products of  $\text{CH}_4$  and  $\text{H}_2\text{O}$ .<sup>11,13,39,40</sup> The evident  $\text{CH}_4$  and  $\text{H}_2\text{O}$  signals in Fig. 2a confirm the altered reaction pathway; the  $\text{CH}_2\text{CH}_2$  signals implies the existence of  $\text{CH}_2\text{CH}_2\text{O}^*$ , whose C–O bond cleavage yields  $\text{CH}_2\text{CH}_2$ ,<sup>40</sup> and hence that the channel *via*  $\text{CH}_2\text{CH}_2\text{O}^*$  remained active. The observed desorbing species from  $\text{Rh}(111)_{\text{D}_2\text{O}^*/\text{O}^*(0.08 \text{ ML})}$  (Fig. 2b and c) were the same as those from  $\text{Rh}(111)_{\text{O}^*(0.08 \text{ ML})}$  (Fig. 2a) whereas their intensities differed. Both desorbing multilayer (150

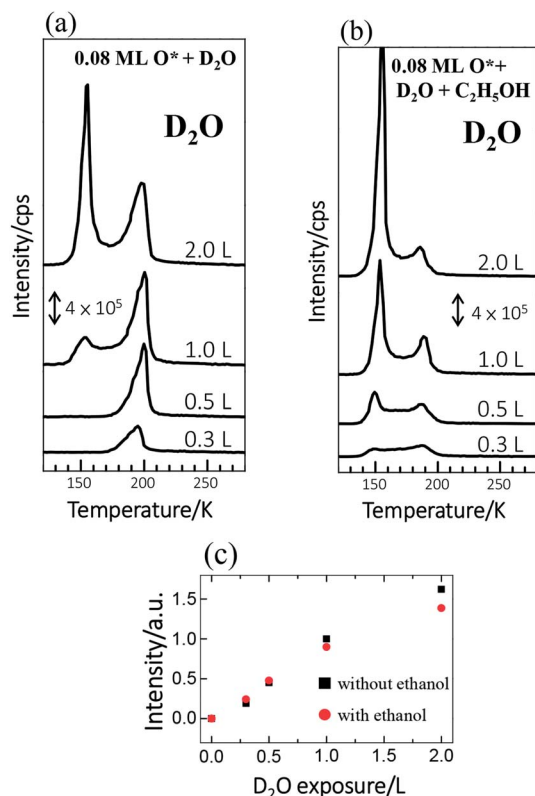


Fig. 1  $\text{D}_2\text{O}$  TPD spectra from  $\text{Rh}(111)_{\text{O}^*(0.08 \text{ ML})}$  exposed to (a)  $\text{D}_2\text{O}$  of varied amounts, as indicated, and to (b)  $\text{D}_2\text{O}$  of varied amounts and subsequently 3.0 L ethanol. (c) Plots the integrated intensities of the  $\text{D}_2\text{O}$  desorption features in (a) and (b) as a function of  $\text{D}_2\text{O}$  exposure; black squares and red spheres denote the data from the sample without and with ethanol, respectively.



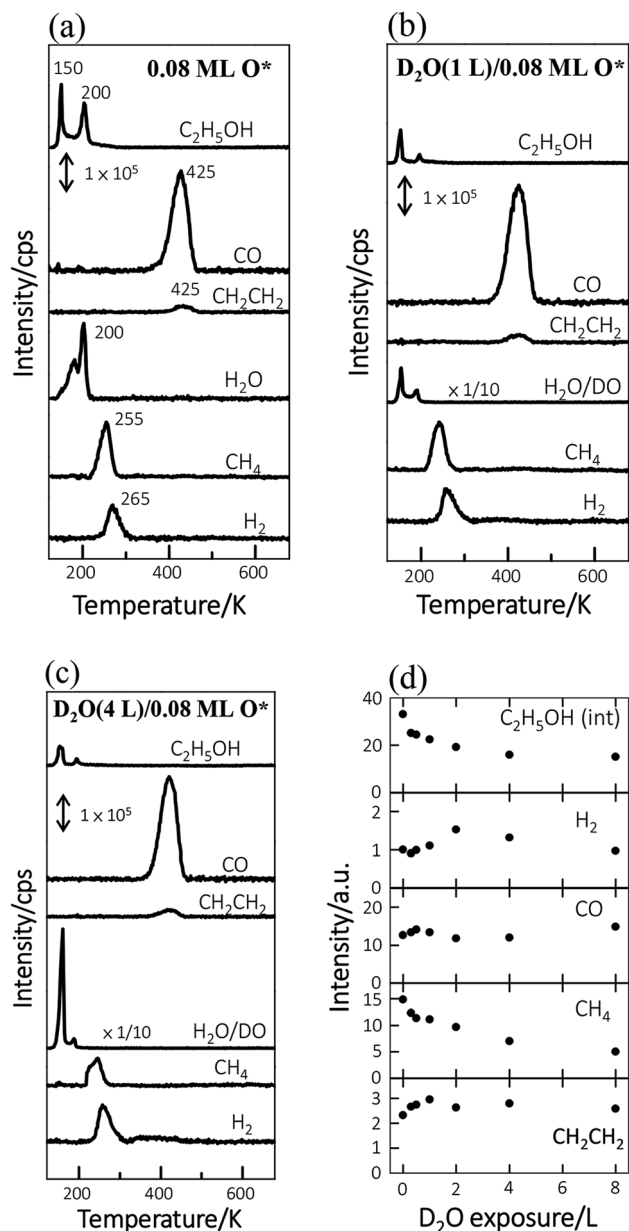


Fig. 2 TPD spectra from 3.0 L ethanol adsorbed on (a) Rh(111)<sub>O\*(0.08 ML)</sub>, (b) Rh(111)<sub>D<sub>2</sub>O\*(1.0 L)/O\*(0.08 ML)</sub> and (c) Rh(111)<sub>D<sub>2</sub>O\*(4.0 L)/O\*(0.08 ML)</sub>. (d) Plots the quantities of ethanol<sub>(int)</sub> and reaction products, measured with the integrated intensities of the corresponding desorption features, as a function of D<sub>2</sub>O exposure. The ethanol<sub>(int)</sub> includes those desorbing from and reacting at Rh(111) surface.

K) and monolayer ethanol (200 K), which refer respectively to the ethanol on or in the D<sub>2</sub>O–ethanol mixed overlayers and that diffusing to the Rh surface, obviously decreased with increased D<sub>2</sub>O (Fig. 2b and c); the sticking coefficient for ethanol onto water overlayers was smaller than that for ethanol onto Rh(111)<sub>O\*(0.08 ML)</sub> (Fig. S3†). The H<sub>2</sub>O (*m/z* = 18 u) spectra became highly enhanced (Fig. 2b and c) and resembled the corresponding D<sub>2</sub>O spectra (Fig. 1b), as the signals were contributed primarily from adsorbed background H<sub>2</sub>O and the cracking pattern of desorbing D<sub>2</sub>O (DO, *m/z* = 18 u). The CO, H<sub>2</sub> and CH<sub>2</sub>CH<sub>2</sub> signals altered

only a little while the CH<sub>4</sub> ones attenuated systematically with increased D<sub>2</sub>O (Fig. 2a–c). The signals of either D<sub>2</sub> or DH were very small (Fig. S4†), indicating few D\* and hence limited dissociation of D<sub>2</sub>O\* into D\* and OD\*; the OD\* was formed predominantly *via* the process D<sub>2</sub>O\* + O\* → 2OD\*.

Fig. 2d plots the quantities of the ethanol interacting with Rh(111)<sub>D<sub>2</sub>O\*/O\*(0.08 ML)</sub> (denoted as ethanol<sub>(int)</sub>) and the produced species from ethanol<sub>(int)</sub>, as a function of D<sub>2</sub>O exposure. These quantities were measured with the integrated intensities of their desorption features and had taken into account their various ionization cross-sections. Ethanol<sub>(int)</sub> consisted of ethanol adsorbed directly on Rh(111) and also those which adsorbed atop D<sub>2</sub>O overlayers and migrated to the D<sub>2</sub>O–Rh(111) interface to react or desorb, so contained desorbing and decomposing ethanol at the Rh(111) surface; they were estimated according to desorbing and remaining carbon-related species.<sup>41</sup> The ethanol<sub>(int)</sub> decreased when D<sub>2</sub>O overlayers increased; it decreased at 8.0 L D<sub>2</sub>O (corresponding about to 8.0 water overlayers) to 50% of that on Rh(111)<sub>O\*(0.08 ML)</sub> (top of Fig. 2d). The decrease occurred largely because of a smaller sticking coefficient for ethanol onto D<sub>2</sub>O overlayers than that onto Rh(111)<sub>O\*(0.08 ML)</sub>. We note that the ethanol<sub>(int)</sub> decreased remarkably between 0.0–2.0 D<sub>2</sub>O overlayers but only a little between 2.0–8.0 D<sub>2</sub>O overlayers; increasing D<sub>2</sub>O above 2.0 overlayers blocked ineffectively the diffusion of adsorbed ethanol toward the Rh(111) surface. Additionally, total adsorbed ethanol (including both multilayer ethanol and ethanol<sub>(int)</sub>) decreased with D<sub>2</sub>O overlayers in a similar manner (Fig. S3†); the ethanol<sub>(int)</sub> made up a great proportion, about 70 ± 5%, of total adsorbed ethanol and the proportion varied insignificantly with increasing D<sub>2</sub>O overlayers. The result agrees with the above D<sub>2</sub>O TPD spectra (Fig. 1). The produced species responded with increased D<sub>2</sub>O in separate manners. The produced CH<sub>4</sub>, like ethanol<sub>(int)</sub>, decreased monotonically with increased D<sub>2</sub>O; the H<sub>2</sub> increased at D<sub>2</sub>O overlayers ≤ 2.0 L but decreased at higher ones; the CO varied little; the CH<sub>2</sub>CH<sub>2</sub> increased with D<sub>2</sub>O whereas became saturated above 1.0 L. As ethanol<sub>(int)</sub> decreased with the D<sub>2</sub>O overlayers, the comparison implies that the probability of the ethanol<sub>(int)</sub> undergoing decomposition to produce CO and CH<sub>2</sub>CH<sub>2</sub> was enhanced under the D<sub>2</sub>O overlayers.

Fig. 3 plots the ratios of the quantities of the produced species to ethanol<sub>(int)</sub> as a function of D<sub>2</sub>O exposure, to illuminate the altered probability; the red lines are drawn to guide the eyes. The ratios for all products have a similar trend below 2.0 L D<sub>2</sub>O exposure—they all increased with D<sub>2</sub>O exposure despite of varied increasing rates. Above 2.0 L D<sub>2</sub>O exposure, two separate trends are exhibited. For the first kind, the ratio was either saturated, such as H<sub>2</sub> and CH<sub>2</sub>CH<sub>2</sub> (first and bottom), or increased slowly, such as CO (second); the other kind, for CH<sub>4</sub>, showed a decreasing trend (third). Among these four products, CH<sub>2</sub>CH<sub>2</sub> was exclusively contributed from the reaction route *via* CH<sub>2</sub>CH<sub>2</sub>O\* intermediates and CH<sub>4</sub> *via* CH<sub>3</sub>CHO\* intermediates; the other two products, H<sub>2</sub> and CO, were produced from both the reaction routes. The dissimilar production probabilities of these four products above 2.0 L D<sub>2</sub>O exposure are not simply concluded according to the separate reaction routes. Nevertheless, the similar increasing



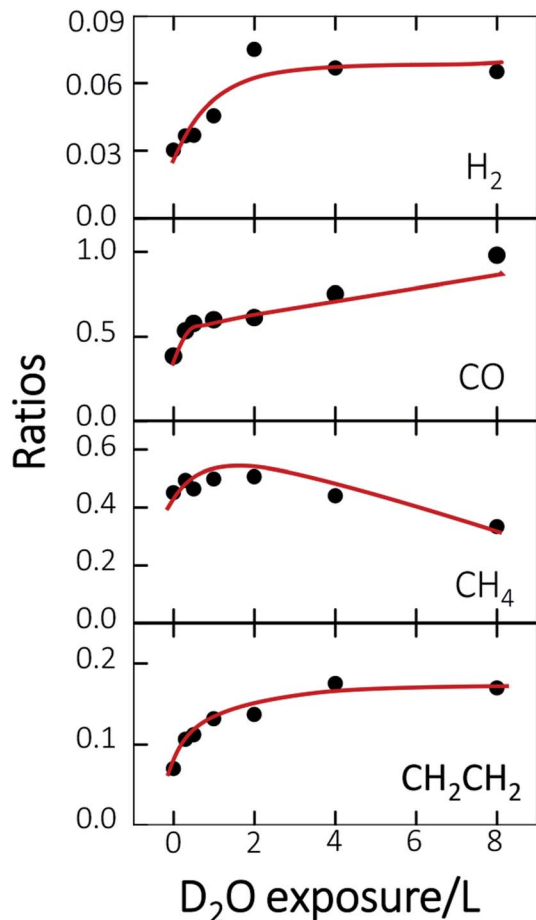


Fig. 3 Ratios of the quantities of reaction products to ethanol<sub>(int)</sub> as a function of O\* coverage. These quantities were measured with the integrated intensities of the corresponding desorption features. The ethanol<sub>(int)</sub> contains those desorbing from and reacting at Rh(111) surface. The red lines are drawn to guide the eyes.

trend below 2.0 L D<sub>2</sub>O exposure can be understood through the formation and increased concentration of surface hydroxyl (OH\*).

Previous studies indicate that hydroxyl (OH\* or OD\*) can further enhance the reaction probability of ethanol on Rh surfaces pre-covered with O\*, through the intermolecular hydrogen bonding between surface OH\* (OD\*) with ethanol or its fragments.<sup>13</sup> The dependence on D<sub>2</sub>O coverages of the above production probabilities is strongly correlated with the quantities of OD\*. To examine the correlation, we have monitored the production of OH\* on Rh(111)<sub>H<sub>2</sub>O\*/O\*(0.08 ML)</sub> with PES spectra. No substantial difference is anticipated in the formation of OH\* on Rh(111)<sub>H<sub>2</sub>O\*/O\*(0.08 ML)</sub> and OD\* on Rh(111)<sub>D<sub>2</sub>O\*/O\*(0.08 ML)</sub>. Fig. 4a exemplifies the O 1s PES spectra for the produced OH\* as a function of H<sub>2</sub>O exposure. The bottom panel shows the O 1s line, centered about 529.6 eV, for 0.08 ML O\*; upon adsorption of 0.3 L H<sub>2</sub>O at 120 K, the O 1s signals for OH\* appeared about 530.5 eV (the second from the bottom), in addition to those for H<sub>2</sub>O\* centered about 532.4 eV.<sup>37</sup> The OH\* was formed mainly by O\* abstracting H from H<sub>2</sub>O\* (H<sub>2</sub>O\* + O\* → 2OH\*). With increased H<sub>2</sub>O coverage up to 1.0 L, both H<sub>2</sub>O\* (light blue fitting curve) and OH\* (blue) signals increased while

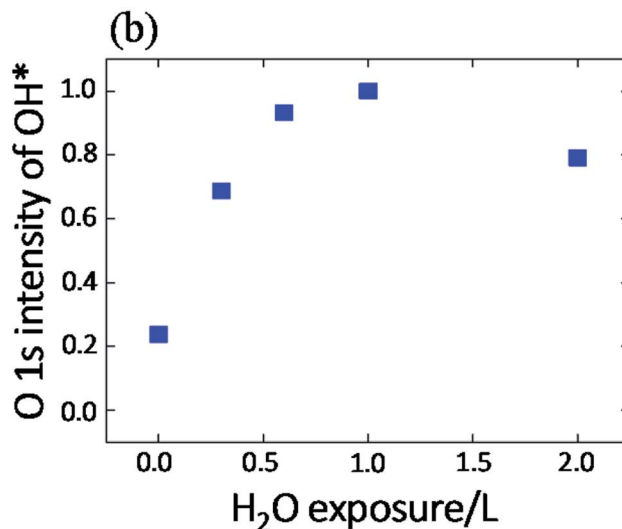
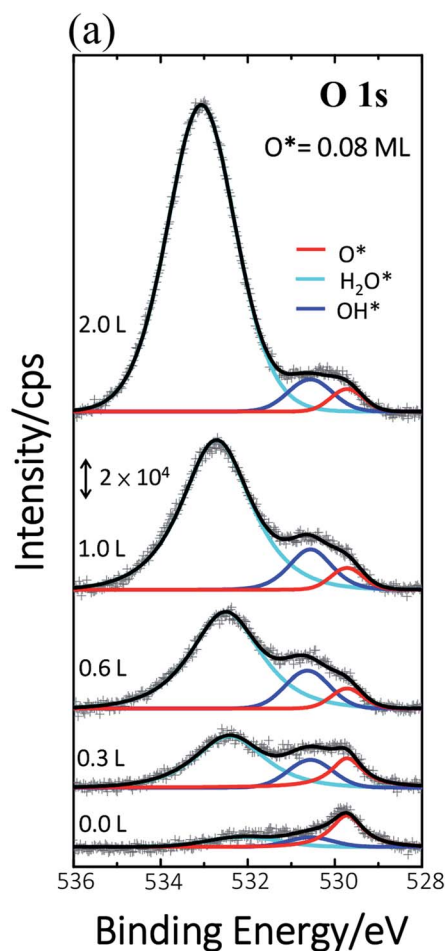


Fig. 4 (a) O 1s spectra for Rh(111)<sub>O\*(0.08 ML)</sub> (bottom) and subsequently exposed to 0.0–2.0 L H<sub>2</sub>O, as indicated, at 120 K. The black fitting curves in (a) consist of those for O\* (red), OH\* (blue) and H<sub>2</sub>O\* (light blue). (b) Plots the quantities of OH\*, measured with the integrated intensities of the fitting curve (blue) for OH\*, as a function of H<sub>2</sub>O exposure.



O\* (red) ones decreased – O\* was protonated to OH\*; above 1.0 L, the OH\* signals became saturated despite the H<sub>2</sub>O\* ones continued to grow (top). As the observed OH\* signals measured the numbers of OH\* on the Rh surface, the quantities of OH\* increased monotonically with H<sub>2</sub>O exposure up to 1.0 L and became saturated above 1.0 L (Fig. 4b). The signal at 2.0 L was slightly attenuated by multilayer water; annealing to 160 K to remove multilayer water restored the OH\* signals to about that at 1.0 L. The increased OH\* corresponds well to the increased production probabilities of these four products (Fig. 3) below 2.0 L D<sub>2</sub>O exposure: the OD\* (OH\*) promoted the production probabilities. Above 2.0 L D<sub>2</sub>O exposure, the OD\* was saturated, so the production probabilities of H<sub>2</sub> and CH<sub>2</sub>CH<sub>2</sub> were saturated and that of CO increased only slightly; either of them agreed with the saturation of OD\* to a great extent. The saturated OD\* however could not explain the declining production probability of CH<sub>4</sub> above 2.0 L D<sub>2</sub>O exposure. Our analysis based on DFT calculations below gives a more comprehensive picture to understand the evolution of the production probabilities with co-adsorbed D<sub>2</sub>O.

### 3.2 DFT computation and discussion

The experimentally observed phenomena of water and ethanol co-adsorbed on Rh(111) and Rh(111)<sub>O\*</sub> surfaces are mechanistically rationalized according to the schematic plot in Fig. 5. We used H<sub>2</sub>O molecule for the computation and compared the results to the above experimental ones with D<sub>2</sub>O, because we focused on the properties associated with the electronic structures of Rh(111) surface and adsorbed water (for which H<sub>2</sub>O and D<sub>2</sub>O are identical), such as desorption energies ( $E_{\text{des}}$ ), reaction

energy ( $\Delta E$ ), activation energies ( $E_a$ ) and electronic distributions. For water molecularly adsorbed on the surfaces, its  $E_{\text{des}}$  on Rh(111) surface (top panel, 0.37 eV) is slightly smaller than that on Rh(111)<sub>O\*</sub> surface (middle panel, 0.48 eV), due mainly to a weak hydrogen bond between adsorbed H<sub>2</sub>O\* and surface O\*; the hydrogen bond is evident through the analysis of induced charge that some negative charge is induced on O\* (green transparent sphere) and some positive charge on H<sub>2</sub>O\* (yellow transparent sphere); the computed Bader charge for O\* is  $-0.90|e|$  and those for O and H of H<sub>2</sub>O\* are 1.00 and  $-1.92|e|$ , respectively. The increased  $E_{\text{des}}$  on Rh(111)<sub>O\*</sub> contributes partly to the increased desorption temperature of first water overlayer (from 170 K to 195 K on Rh(111) surface) in the TPD experiment (Fig. 1a).

The H<sub>2</sub>O\* on Rh(111)<sub>O\*</sub> surface can further cleave its O–H bond and yield OH\*, with energies  $\Delta E/E_a = 0.17/0.93$  eV. Upon adsorbing ethanol on the OH\* covered surface, the hydrogen bond is readily formed (CH<sub>3</sub>CH<sub>2</sub>OH\*...OH\*, middle panel), revealed through the induced charges – positive one (yellow) on the H of CH<sub>3</sub>CH<sub>2</sub>OH\* and negative one (green) on the O of OH\*; the computed Bader charges for H and O of OH\* are 1.00 and  $-1.52|e|$ , respectively and that for O of CH<sub>3</sub>CH<sub>2</sub>OH\* is  $-1.63|e|$ . The hydrogen bond (0.5 eV) stabilizes the adsorption of ethanol and significantly lowers the energies for ethanol dissociation forming CH<sub>3</sub>CH<sub>2</sub>O\* + H<sub>2</sub>O\* ( $\Delta E/E_a = -0.66/0.23$  eV), compared to the dissociation without the hydrogen bond ( $-0.19/0.58$ ).<sup>9,13</sup> The yielded CH<sub>3</sub>CH<sub>2</sub>O\*, with a much stronger adsorption energy ( $-2.47$  eV), further decomposes (lower panel), while the yielded H<sub>2</sub>O\* desorbs easily from the surface. As a result, the intermolecular hydrogen bond between co-adsorbed

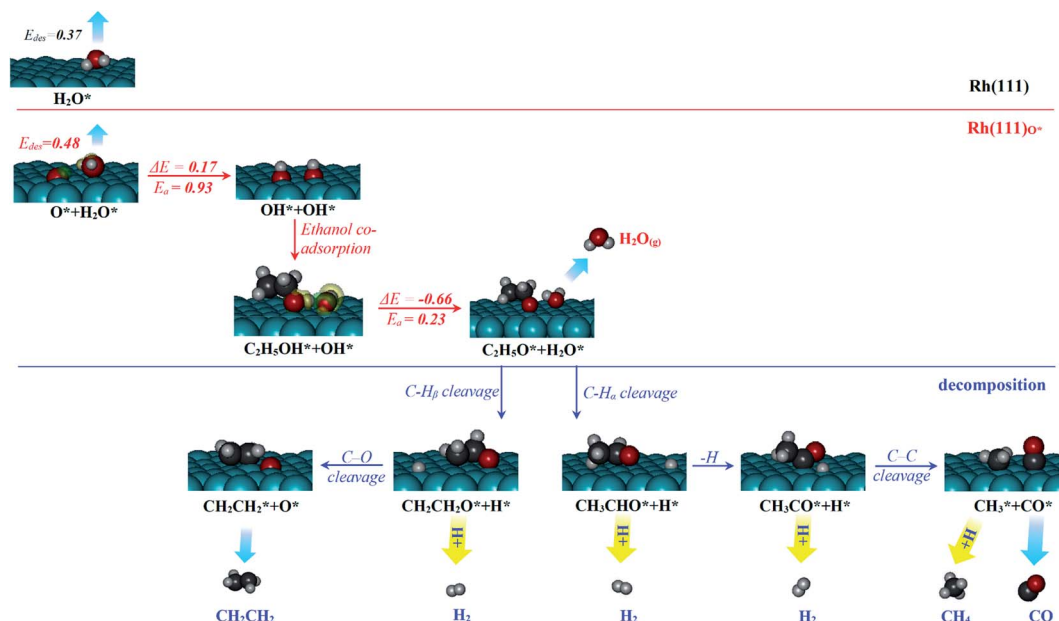


Fig. 5 Schemes of reactions of molecular water, atomic oxygen and ethanol co-adsorbed on a Rh(111) surface. The top panel shows that sole water on the Rh(111) surface has a smaller  $E_{\text{des}}$ . The middle one shows that water adsorbs on the Rh(111)<sub>O\*</sub> surface with a greater  $E_{\text{des}}$  and dissociates into OH\*, the OH\* abstracts H from co-adsorbed ethanol with a small energetic barrier; the green and yellow transparent spheres denotes induced negative and positive charge respectively. The bottom panel shows that the decomposition of CH<sub>3</sub>CH<sub>2</sub>O\* produces CH<sub>2</sub>CH<sub>2</sub>, H<sub>2</sub>, CH<sub>4</sub> and CO.



$\text{CH}_3\text{CH}_2\text{OH}^*$  and  $\text{OH}^*$  stabilizes the ethanol adsorption and induces a low-barrier and highly exothermic proton transfer process so assists the ethanol dissociation and squeezes water out from the surface. The result explains the TPD observation in Fig. 1b that later adsorbed ethanol exchanged positions with pre-adsorbed surface water so water desorbing from the water multilayer regime increased.

The surface ethoxy ( $\text{CH}_3\text{CH}_2\text{O}^*$ ) is further dissociated on the surface (bottom panel, Fig. 5) through sequences of C–H, C–O and C–C bond cleavages and ultimately produces the products  $\text{CH}_2\text{CH}_2$ ,  $\text{H}_2$ ,  $\text{CH}_4$  and  $\text{CO}$ , as observed in the TPD spectra (Fig. 2). The detailed energetics and reaction routes are plotted in Fig. S5 in the ESI;† the energetics showed trends similar to those from previous studies (Table S1†).<sup>20,21,32,33,42</sup> The four products are formed through routes of two kinds, shown with the cyan and yellow arrows in the figure; the measured  $\text{CH}_2\text{CH}_2$  and  $\text{CO}$  came from direct desorption of their surface adspecies (cyan arrows), while the measured  $\text{H}_2$  and  $\text{CH}_4$  from combinative desorption with proton (yellow ones) as their precursors were  $\text{H}^*$  and  $\text{CH}_3^*$ , respectively. The quantities of  $\text{CH}_2\text{CH}_2$  and  $\text{CO}$  correspond mostly to that of decomposing ethanol (schemes (a) and (b) in Fig. S5†); the increased ratios (production probabilities) of  $\text{CH}_2\text{CH}_2$  and  $\text{CO}$  (Fig. 3) thus reflect a promoted decomposition probability of ethanol, by co-adsorbed water (or  $\text{OD}^*$ ). When  $\text{OD}^*$  was saturated above 2.0 L  $\text{D}_2\text{O}$  exposure, the decomposition probability became (or nearly) saturated so the production probabilities of  $\text{CH}_2\text{CH}_2$  and  $\text{CO}$  either remained constant or increased only little.

In contrast, the production of combinatively desorbing  $\text{CH}_4$  and  $\text{H}_2$  depends to a great extent on the fragments from decomposed ethanol as well as surface  $\text{H}^*$  (schemes (c) and (d) in Fig. S5†). Surface  $\text{OH}^*$  ( $\text{OD}^*$ ) from adsorbed water not only enhances ethanol decomposition (by abstracting H of ethanol) but also consumes surface  $\text{H}^*$  to yield  $\text{H}_2\text{O}^*$  ( $\text{HDO}^*$ ), *via* a moderate energetic reaction ( $\Delta E/E_a = -0.01/0.85$  eV). Accordingly, the production of  $\text{CH}_4$  or  $\text{H}_2$  is balanced between the ethanol decomposition and the availability of  $\text{H}^*$ . The raised production probabilities of  $\text{CH}_4$  and  $\text{H}_2$  at smaller water exposure (<2.0 L) correspond largely to a promoted ethanol decomposition, while the decreased (saturated) production probability of  $\text{CH}_4$  ( $\text{H}_2$ ) at a greater water exposure ( $\geq 2.0$  L) to not only a saturated probability of ethanol decomposition but also a high consumption rate of  $\text{H}^*$  (a high ratio of  $\text{OH}^*/(\text{OD}^*)$  to  $\text{ethanol}_{(\text{int})}$ ). As the consumption of  $\text{H}^*$  by  $\text{OH}^*$  ( $\text{OD}^*$ ) is completed about/below 200 K (Fig. 3), the formation of  $\text{H}_2$  and  $\text{CH}_4$  competes for the rest  $\text{H}^*$ . It is noted that the formation of  $\text{H}_2$  was more competitive than that of  $\text{CH}_4$  even at a smaller water exposure (<2.0 L); with increased water exposure, the  $\text{H}_2$  production was increased at a rate much greater than that for the  $\text{CH}_4$  production (Fig. 3). The formation of  $\text{CH}_4$  was not favored because of an inhomogeneous distribution of  $\text{CH}_3^*$ . The channel of producing  $\text{CH}_3^*$ , *via*  $\text{CH}_3\text{CHO}^*$  intermediates, yields less  $\text{H}^*$ , so less  $\text{H}^*$  is directly available to  $\text{CH}_3^*$ ; in contrast, both channels produce  $\text{H}^*$  so  $\text{H}^*$  readily finds another  $\text{H}^*$  nearby to form  $\text{H}_2$ . Additionally, a considerable fraction of the precursor  $\text{CH}_3^*$  underwent dissociation, leading ultimately to formation of surface  $\text{C}^*$ .<sup>9,13</sup> Consequently, with limited  $\text{H}^*$  at a greater water

exposure, the production of  $\text{H}_2$  was sustained while that of  $\text{CH}_4$  decreased. Our DFT calculations show that an increased  $\text{OH}^*$  ( $\text{OD}^*$ ) concentration decreases the adsorption energies of  $\text{CH}_3^*$ ,  $\text{H}^*$  and  $\text{OH}^*$  but in contrast, enhances the  $E_a$  for formation of  $\text{CH}_4$ ,  $\text{H}_2$  and  $\text{H}_2\text{O}$ , which implies equally raised difficulty for their formation. The energetics varied with the  $\text{OH}^*$  ( $\text{OD}^*$ ) concentration accounts little for the decreased production probability of  $\text{CH}_4$ .

## 4. Conclusion

We have used TPD, PES and DFT calculations to investigate the reactions of ethanol co-adsorbed with atomic oxygen ( $\text{O}^*$ ) and deuterated water ( $\text{D}_2\text{O}^*$ ) on a Rh(111) surface under UHV conditions. The results show that adsorbed ethanol penetrated readily through pre-adsorbed water overlayers to react with the Rh surface; for 2.0 L ethanol adsorbed on Rh(111) <sub>$\text{D}_2\text{O}^*(8.0 \text{ L})/\text{O}^*(0.08 \text{ ML})$</sub> , the  $\text{ethanol}_{(\text{int})}$  (which interacted with Rh surface) made up about 75% of total adsorbed ethanol ( $\text{ethanol}_{(\text{int})} + \text{ethanol}$  in multilayer regime), a fraction similar to that on Rh(111) <sub>$\text{O}^*(0.08 \text{ ML})$</sub> , but amounted to 50% of the  $\text{ethanol}_{(\text{int})}$  on Rh(111) <sub>$\text{O}^*(0.08 \text{ ML})$</sub> . The decreased  $\text{ethanol}_{(\text{int})}$  with water overlayers results primarily from a smaller sticking coefficient of ethanol onto the water overlayers. In the reaction aspect, the decomposition probability of  $\text{ethanol}_{(\text{int})}$  was remarkably enhanced, as the surface  $\text{OD}^*$ , from  $\text{D}_2\text{O}^* + \text{O}^* \rightarrow 2\text{OD}^*$ , abstracted readily H from  $\text{ethanol}_{(\text{int})}$ . The production probabilities of  $\text{CO}$ ,  $\text{H}_2$ ,  $\text{CH}_2\text{CH}_2$  and  $\text{CH}_4$  were increased in proportion to the concentration of  $\text{OD}^*$ , despite their increasing rates differed. Above two water overlayers, corresponding to a saturated concentration of  $\text{OD}^*$ , the production probabilities of  $\text{CO}$ ,  $\text{H}_2$ ,  $\text{CH}_2\text{CH}_2$  were about saturated, whereas that of  $\text{CH}_4$  was decreased. The atypical behavior of  $\text{CH}_4$  could be additionally associated with the availability of  $\text{H}^*$ . As the formation of  $\text{CH}_4$  ( $\text{CH}_3^* + \text{H}^* \rightarrow \text{CH}_4$ ) competes for  $\text{H}^*$  with that of  $\text{H}_2$  ( $\text{H}^* + \text{H}^* \rightarrow \text{H}_2$ ) and  $\text{HDO}$  ( $\text{OD}^* + \text{H}^* \rightarrow \text{HDO}$ ), both a greater ratio  $\text{OD}^*/\text{ethanol}_{(\text{int})}$  at a great water coverages and an inhomogeneous distribution of the precursor  $\text{CH}_3^*$  could result in the decreased production probability of  $\text{CH}_4$ .

## Conflicts of interest

There are no conflicts to declare.

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