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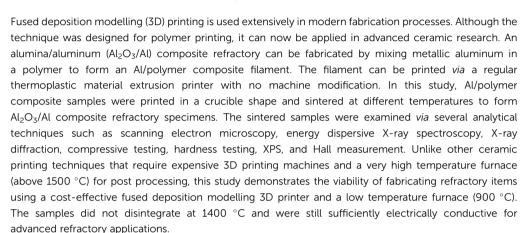
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# Fabrication of a ceramic/metal ( $Al_2O_3/Al$ ) composite by 3D printing as an advanced refractory with enhanced electrical conductivity

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#### Introduction

Over the last decade, additive manufacturing has seen extraordinary developments that have led to its commercial adoption worldwide. Three-dimensional (3D) printing, a subset of additive manufacturing, has seemingly limitless applications such as biomedical applications that involve making models for medical education, such as artificial cranial elements, artificial fingers, heart valves, and cell-printing.1-6 The applications have also been expanded to sensors, food, injection molds, metal casting, batteries, actuators, nanomaterials, building materials, and robotic parts.7-12 Continuous research on 3D printing is necessary to accommodate future application ideas. There are numerous types of 3D printing techniques such as stereolithography, selective laser sintering (SLS) or selective laser melting (SLM), ram extrusion printing, and gel jet printing. 13-16 Compared to other techniques, Fused Deposition Modelling 3D printing (FDM) is the most widely used 3D printing technique due to its low investment cost and easy access.17 The material for FDM printing is typically a polymer, though metallic samples can be obtained via a lost wax post processing method if required. However, ceramics are not a common material for FDM. Printing ceramics is not a simple task in additive manufacturing. Most ceramic printing technologies are

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expensive and complicated in terms of the equipment.<sup>18–20</sup> For example, in order to print ceramics with SLS, a high power laser is required, and the price of the machine is relatively high.<sup>21</sup> For the paste extrusion technique, the ceramic paste is extruded by a ram that provides a lower resolution than that of typical FDM systems with a more complicated setup.<sup>22,23</sup> Even an inkjet technique that uses a jet valve to print liquid polymer on ceramic particles is both expensive and complicated.<sup>24</sup>

However, this study demonstrates that ceramics can be printed using a typical FDM machine. The technique is much simpler than competing ceramic additive manufacturing techniques since it does not rely on expensive 3D printer systems or require a complicated setup.25 In this study, a refractory alumina/aluminum (Al<sub>2</sub>O<sub>3</sub>/Al) composite item was fabricated using a regular FDM printer. Although Al<sub>2</sub>O<sub>3</sub> is well known and thoroughly researched, the refractory properties of the Al<sub>2</sub>O<sub>3</sub>/Al composite have not been extensively studied. For Al<sub>2</sub>O<sub>3</sub> fabrication, the usual sintering temperature of alumina must be above 1400 °C, which requires high energy consumption and is a wasteful process.26,27 This is not unexpected because Al2O3 has a very high melting point (2072 °C), and the atomic movement to fill the particle gaps is very limited at low temperature.28,29 Being a high temperature process, the energy consumption is very high and the instrumentation, such as a high-temperature furnace, is expensive. An Al<sub>2</sub>O<sub>3</sub>/Al composite can avoid such issues as it requires a much lower sintering temperature to produce a material that is capable of surviving as a refractory at

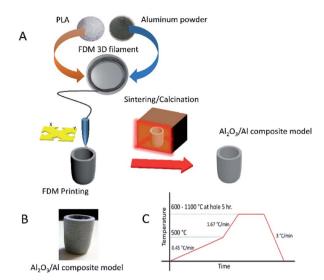


Fig. 1 (A) Schematic of the fabrication of the  $Al_2O_3/Al$  composite refractory. (B) The  $Al_2O_3/Al$  composite refractory after sintering and calcination. (C) Temperature profile during the sintering and calcination process.

high temperatures. The technique developed in this study allows anyone to fabricate a refractory with an inexpensive and simple FDM machine at a low temperature.

#### Material and methods

The fabrication of the  $Al_2O_3/Al$  composite in this work started by blending polylactic acid (polylactic acid (PLA), Ingeo<sup>TM</sup> biopolymer 3251D, NatureWorks Asia Pacific) with Al powder (aluminum powder with 10  $\mu$ m average size and 99+% purity, SkySpring Nanomaterials, Inc.) with a composition of 70% Al by weight. As shown in Fig. 1A, the blended composite was formed into a filament with a diameter of 1.75 mm by an extruder machine. This filament had a very high Al loading content but was still printable by the FDM printer. The samples were formed having a crucible shape. The crucibles were placed in a furnace to eliminate the binder and to sinter the particles. The debinding process allows the PLA to completely decompose, thus permitting Al atoms to diffuse so as to bond the particles to each other in the succeeding sintering process. Because the melting temperature of Al is only 660 °C, the diffusion of Al

within the Al particles was much faster than that within Al<sub>2</sub>O<sub>3</sub>. Therefore, the sintering of Al particles could also be accomplished at much lower temperature as well. At a higher temperature, the surface of the Al particles was oxidized and a refractory Al/Al<sub>2</sub>O<sub>3</sub> core/shell structure was formed. The formation mechanism initiated on the particle surface is possible due to the high surface per volume ratio of the particles. Fig. 1B shows the Al<sub>2</sub>O<sub>3</sub>/Al crucible sample. The initial color of the Al particles and the as-printed Al/PLA blended samples was black but the sample turned a white-grey color after the sintering process that formed the final Al<sub>2</sub>O<sub>3</sub>/Al composite material. As shown in Fig. 1C, the temperature profile of the sintering process implied that a low heating rate (0.4 °C min<sup>-1</sup>) was critical to obtain any desired 3D shape because a high heating rate might destroy the original printed structure. After the sintering process, the Al<sub>2</sub>O<sub>3</sub>/Al composite can function as a refractory. The composite Al<sub>2</sub>O<sub>3</sub>/Al could withstand temperatures above the melting temperature of Al (660 °C) without molten Al metal loss because the Al<sub>2</sub>O<sub>3</sub>/Al shell encapsulated Al within each particle and endured the elevated temperatures.

#### Results and discussion

Differential scanning calorimetry (DSC) and thermal gravimetric analysis (TGA) of PLA were performed at a heating rate of 2 °C min<sup>-1</sup>, and indicated that the glass transition temperature of PLA was 65 °C, as shown in Fig. 2A. The melting point and decomposition temperature of PLA was found to be 175 °C and 365 °C, respectively. Thus, the PLA filament could be printed via FDM 3D printing at temperatures in the range of 175-365 °C. Above this temperature range, the polymer was entirely burned away. In Fig. 2B, DSC and TGA show that the melting point of Al particles is at 660 °C. The particles were oxidized during heating and were oxidized even more at temperatures above 800 °C. The mass of Al particles had increased due to the incorporation of oxygen atoms during the oxidation process. The DSC and TGA curves of the as-printed PLA/Al composite are shown in Fig. 2C. The results are similar to the combination between the results from the PLA and Al particles. The glass transition temperature of PLA appeared at 65 °C, the melting point of PLA occurred at 175 °C, and the decomposition temperature was determined to be 375 °C. Above this point, the polymer was decomposed. The

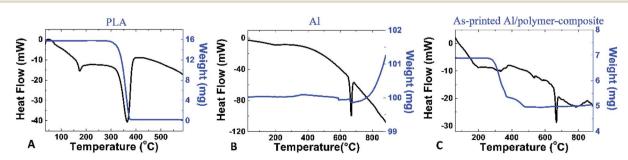


Fig. 2 (A), (B) and (C) DSC and TGA of PLA, Al, and the as-printed Al/polymer-composite, respectively. The phase transformation of the as-printed Al/polymer-composite was the same as those of PLA and Al in combination.

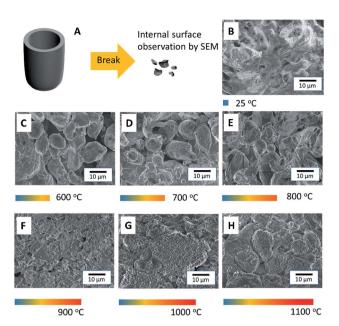


Fig. 3 (A) Schematic of the process to obtain the samples so as to observe the internal structure from the as-printed sample. (B, C, D, E, F, G and H) The SEM images of the printed samples without sintering, printed samples with 600 °C, 700 °C, 800 °C, 900 °C, 1000 °C, and 1100 °C sintering temperatures, respectively.

results also suggest that sintering above 800  $^{\circ}\mathrm{C}$  could enhance the oxidation on the surface of the Al particles.

Although DSC and TGA sufficiently analyzed the phase transformation in the composite, the sintering mechanism of

the Al particles could not be satisfactorily elucidated by their application. Hence, scanning electron microscopy (SEM) was conducted to observe the sintering of the particles in the printed samples. The schematic in Fig. 3A shows the process for breaking the samples into small pieces in order to observe their internal structure. The SEM image of the as-printed internal structure of the Al/polymer composite in Fig. 3B shows the continuous bulk structure of the PLA polymer that completely surrounds the Al particles. Fig. 3C shows the printed sample after being sintered at 600 °C; only the Al particles were left with no remnant traces of the polymer material. The Al particles in the sample were only slightly fused to each other and the structure still contained a significant volume of cavities. When the sample was sintered at 700 °C, as shown in Fig. 3D, the interparticle fusion improved. In Fig. 3E, at the sintering temperature of 800 °C, most particles were sintered together and the porosity was much lesser than that at lower sintering temperatures. As shown in Fig. 3F, the particles sintered at 900 °C demonstrated even greater fusion with each other. At this point, even the shape of the particles had started to reform. Above 1000 °C, as shown in Fig. 3G and H, the structures were totally reformed. Almost none of the cavities were observed in the sample with a sintering temperature of 1100 °C. Unlike the typical sintering of the Al<sub>2</sub>O<sub>3</sub> refractory, the result showed that an extremely high sintering temperature is not required in this work and that a temperature of 900 °C or above is sufficient to sinter the particles to each other.

Fig. 4A shows the SEM image of the Al/polymer composite structure of an FDM printed sample before the sintering process. Energy dispersive X-ray (EDS) mappings of aluminum,

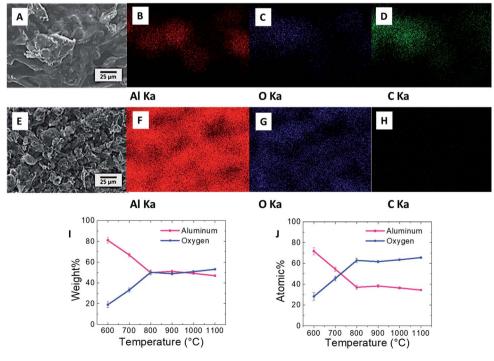


Fig. 4 (A) SEM image of the printed sample without sintering. (B), (C) and (D) EDS mappings in the same area as that in (A) with Al, O, and C signals, respectively. (E) SEM image of the sample at the sintering temperature of 900 °C. (F), (G) and (H) EDS mapping at the same area as that in (E) with Al, O, and C signals, respectively. (I) and (J) the weight and atomic percent of the sample at different sintering temperatures, respectively.

oxygen, and carbon (Al, O, and C) from the same area as Fig. 4A are shown in Fig. 4B, C, and D, respectively. The EDS signals appear in the same geometric area because PLA and the Al particles were mixed homogeneously. The carbon and oxygen signals can be attributed to the PLA functional groups and the Al signal can be attributed to the Al particles, which were mixed inside the composite. The SEM image of the 900 °C sintered structure is shown in Fig. 4E and the EDS mappings of Al, O, and C are shown in Fig. 4F, G, and H, respectively. The Al and O signal appeared across the structure according to the geometry, with only Al and Al<sub>2</sub>O<sub>3</sub> being left in the sample. After sintering, the polymer completely was decomposed, as evidenced by the absence of the EDS signal for C. The average mass that was measured by EDS is shown in Fig. 4I. The weight ratio of Al decreased and the weight ratio of O increased drastically until 800 °C. When the outer shell of the particles had been significantly oxidized, most of the X-ray signal came from the Al<sub>2</sub>O<sub>3</sub> shell, which could be hardly oxidized further. This meant that the oxygen content could only increase slightly at temperatures above 800 °C because X-rays penetrated poorly deep into the Al core. The results from the EDS analysis of atomic ratios in Fig. 4J suggests that this is the same mechanism wherein oxidation was slower when the sintering temperature was increased above 800 °C. Although the O signal increased when the temperature was raised, it was difficult to totally oxidize the Al particles to the core at 900 °C. This oxidation condition can be observed in detail in Fig. 5A where the 3D printed samples under different sintering conditions were measured by Solid

State Nuclear Magnetic Resonance (SS-NMR). For the SS-NMR result of pure aluminum, the oxidation peaks appeared to be  $AlO_5$  and  $AlO_6$ , and the signal is weak. This oxidation state changed to  $AlO_4$  and  $AlO_6$  with a stronger oxidation signal at higher sintering temperatures in the range of 600–800 °C. At 900 °C, the oxidation peak appeared to be only for  $AlO_6$ , and the intensity of  $AlO_6$  at 1100 °C is more than 10 times higher than the intensity of  $AlO_6$  of pure aluminum without the sintering process, which means that the oxidation of the 3D printed green aluminum sample was severely oxidized after the sintering process and the atomic structure of pure aluminum changed to the alumina structure.

The XRD spectrum at different sintering temperatures is shown in Fig. 5B. The peaks of Al appeared in the samples at every sintering temperature. At a sintering temperature of 900  $^{\circ}$ C, the peaks of Al<sub>2</sub>O<sub>3</sub> emerged, and became more prominent at higher sintering temperatures. This valuable information confirmed that the sintering temperature must be at least 900  $^{\circ}$ C to form the Al<sub>2</sub>O<sub>3</sub> shell.

From the results above, the formation mechanism of  ${\rm Al_2O_3/Al}$  could be summarized in Fig. 5C–G. The composite filament was printed out in a crucible shape and the Al particles were mixed inside the polymer, as shown in Fig. 5C. The Al particles were dispersed close to each other due to a high Al loading content. PLA acted as a polymeric binder between the Al particles, which allowed the Al particles to adhere together and flow through the 3D printing nozzle. In Fig. 5D, the polymeric binder disappeared due to decomposition at 365 °C, which was

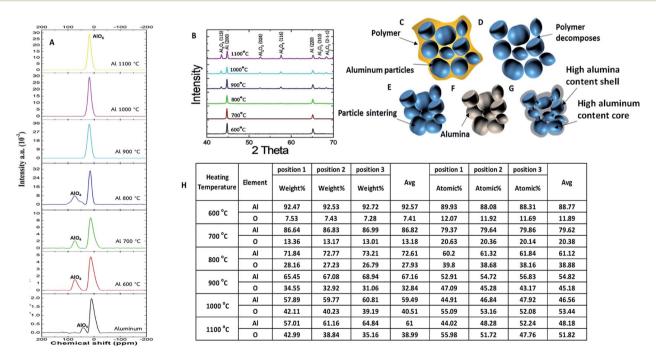


Fig. 5 (A) The solid-state NMR spectrum of  $Al_2O_3$  at different sintering temperatures. (B) XRD signals of the printed samples at different sintering temperatures. (C) Schematic of the polymer/Al composite. (D) Schematic of the Al particles in the sample after debinding. (E) Schematic of the Al particles after sintering. (F) Schematic of  $Al_2O_3$  for the oxidation at a high temperature. (G) Schematic of the cross-section of the  $Al_2O_3$ /Al composite. (H) The EDX result of the sintered sample at different temperatures. Position 1 is the EDX result at the outer part of a particle, position 2 is the EDX result from 3  $\mu$ m from the outer part of a particle.

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confirmed by DSC and TGA analyses. The particles remained close together with a very low strength in the absence of a proper binder. This temperature was critical since the structure could be deformed or cracked if the heating rate was too high. Because external force could break the sample once the binder is decomposed, all sintering FDMs must be executed inside the same furnace to minimize the sample movement. As shown in Fig. 5E, the Al atoms diffused between the particles at high sintering temperatures, forming junctions at 900 °C. The strength of the sample increased and the sample could be handled outside the furnace; however, during the sintering process, the particles were also calcined and the surfaces were oxidized. The outer surfaces of the Al particles were oxidized into the Al<sub>2</sub>O<sub>3</sub> shells, as shown in Fig. 5F, but the Al cores were still not fully oxidized (Fig. 5G). Both Al<sub>2</sub>O<sub>3</sub> and Al were partially connected between the particles. The fact that the printed samples in this work could endure temperatures above 1100 °C while normal Al samples should be molten at 660 °C was a strong proof that the printed samples could be used as refractory materials. The concept of partial oxidation was proved by Fig. 5H, which shows the table of EDS results of different positions at different sintering temperatures. It was obvious that the oxygen to aluminum ratio was higher at the surface compared with the inner core. This was because oxygen can oxidize the aluminum surface easier than penetrating it to oxidize the core. The lower sintering temperatures provided lower oxidation with lower oxygen to aluminum ratios in all the areas. At a higher temperature, oxygen can penetrate deeper, which can be implied from the oxygen to aluminum ratios at deeper position. The aluminum ratio at the core was still higher than the expected ratio of Al<sub>2</sub>O<sub>3</sub>, in which the aluminum to oxygen ratio should be 2/3. This result can be used to deduce

that the assumption of partial oxidation at the core was reasonable; however the core and shell did not have a sharp transition but rather a gradient transition between the highly oxidized area and the less oxidized area. Partial oxidation leads to many other effects such as the semi-conduction of Al<sub>2</sub>O<sub>3</sub>/Al composites.

Fig. 6A shows the compressive stress tests of the FDM printed samples. The as-printed Al/polymer composite had the highest strength than that of the other samples, which had ceramic scaffolds. The stress increased linearly at the beginning of the as-printed Al/polymer stress-strain curve and became constant with the increase in strain for a certain period thereafter. After the strain reached 0.1%, the stress rose again and increased until the sample broke at 44 MPa stress and 0.48% strain. The stress-strain characteristics of the Al<sub>2</sub>O<sub>3</sub>/Al composites, however, were not linear, and the maximum strains of the composites were in the range of 0.44-0.48%, indicating that the Al<sub>2</sub>O<sub>3</sub>/Al composites were brittle. As seen in Fig. 6B, the strength of the Al/polymer composite was much higher than that of Al<sub>2</sub>O<sub>3</sub>/Al composites, implying that the polymer binder was stronger than the ceramic interconnection between the particles. The strength of the sample at the sintering temperature of 600 °C was not reported because the sample immediately broke after the compressive test started. The sudden breakdown occurred because the sintering temperature of 600 °C was not sufficient to form proper interconnections between the particles, making the sample too weak for compressive testing. The strengths of other Al<sub>2</sub>O<sub>3</sub>/Al composites increased exponentially with higher sintering temperatures. A higher sintering temperature promotes better Al diffusion, which can strengthen the interconnection between the particles. As shown in Fig. 6C, the hardness of the sample at the sintering temperature of

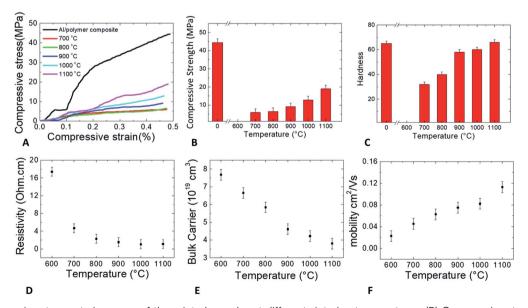


Fig. 6 (A) Compressive stress-strain curves of the printed samples at different sintering temperatures. (B) Compressive strength at different sintering temperatures. (C) Hardness of the printed samples at different sintering temperatures. (D) Electrical resistivity of the printed samples at different sintering temperatures. (E) Electron carrier concentration of the printed samples at different sintering temperatures. (F) Electron mobility of the printed samples at different sintering temperatures.

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600 °C was also not reported since the sample was destroyed before the hardness was properly tested. These effects confirm that a sintering temperature of 600 °C is not suitable for Al<sub>2</sub>O<sub>3</sub>/ Al composite fabrication. The hardness of the surface was greatly improved in the samples with sintering temperatures above 800 °C due to the faster Al diffusion rates between the particles. When the sintering temperature approached 1100 °C, the hardness of the Al/polymer and the Al<sub>2</sub>O<sub>3</sub>/Al composite was almost equal. From the compressive stress and hardness tests, the optimum sintering temperature of the Al<sub>2</sub>O<sub>3</sub>/Al composite was determined to be 900 °C or above in order to ensure that the composite could behave like a refractory with proper strength and hardness.

As shown in Fig. 6D, the resistivity of the Al<sub>2</sub>O<sub>3</sub>/Al composite was analyzed by 4-point probe measurement. The resistivity of the sample with a sintering temperature of 600 °C was high, which implied that the particles were not well connected and electrical current could not be easily conducted through the particles in the sample. For the samples with higher sintering temperatures, the resistivity exponentially decreased and the electrical conductivity increased. At higher temperatures, the Al

atoms diffused and bonded between the interconnecting particles, thus increasing the electrical conductivities. The resistivity was lowered to the saturation value of 1 ohm cm in the samples with sintering temperatures in the range of 1000-1100 °C. The measured value was higher than the Al resistivity but lower than the Al<sub>2</sub>O<sub>3</sub> resistivity, 30,31 which is strong proof that the Al<sub>2</sub>O<sub>3</sub>/Al composite was still a conductor. The high temperature did not completely oxidize the Al particles to the core because if the Al particles had been totally calcined, the resistivity of the samples would be very high due to the dominance of non-electrically conductive Al<sub>2</sub>O<sub>3</sub>. In this work, the samples were still partially conductive after the high temperature sintering process.

The bulk carrier concentration and electron mobility were determined by Hall measurement and are shown in Fig. 6E and F, respectively. Both the terms are closely related to the oxidation and interconnection of the particles in the sintered samples. The carrier concentration depended mainly on the remnant amount of Al phase in the composite because Al has a high carrier concentration while Al<sub>2</sub>O<sub>3</sub> has a significantly lower carrier concentration. Carrier mobility governs the ability

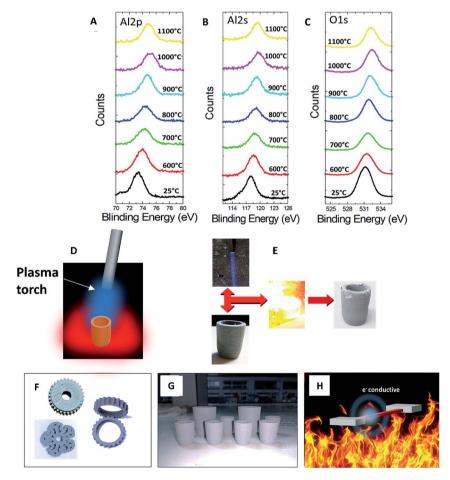


Fig. 7 (A), (B) and (C) XPS signals of Al 2p, Al 2s, and O 1s of the printed samples at different sintering temperatures, respectively. (D) Schematic of the oxygen-propane flame burning experiment. (E) Picture of the flame, the  $Al_2O_3/Al$  composite sample before burning, during burning, and after burning. (F) Printed samples in other forms. The samples can be designed in any desired shape to fit any application. (G) Mass-produced  $Al_2O_3/Al$ composite crucibles. (H) Schematic of the composite under a high temperature condition. The sample is still electrically conductive and can be used as a refractory conductor. The shape can be designed in a computer program and fabricated without a mold or without handcrafting.

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of the electrons to move inside the composite sample, which relies on the quality of interconnection after sintering. Without Al diffusion to form the interconnection during the sintering process, the carrier mobility cannot increase. In this work, the carrier concentration gradually decreased, which indicated that Al continued to be oxidized with increasing temperature. The electron mobility in the samples, however, increased with higher sintering temperatures, which suggests that increasing the temperature increased the diffusion of Al atoms to interconnect the particles.

Fig. 7A, B, and C are the X-ray photoelectron spectroscopy (XPS) spectra of Al 2p, Al 2s, and O 1s, respectively. The center of the Al 2p peak was at 73.6 eV in the sample with a sintering temperature of 600 °C. When the sintering temperatures increased, the binding energy shifted to higher energies, causing the center of the Al 2p peak to shift to 75.1 eV in the sample with a sintering temperature of 1100 °C. This blue shift was a result of the surface oxidation since a higher oxidation state yielded a higher binding energy.32,33 A blue shift also occurred in the peaks of Al 2s and O 1s. When the sintering temperature increased, the XPS peaks shifted to higher energy. These characteristic shifts occurred in temperatures ranging from 600 °C to 1100 °C. XPS analysis confirmed that the oxidation was initiated at temperatures above 600 °C, while TGA analysis indicated that more extensive oxidation occurred at temperatures above 800 °C.

The FDM-printed Al<sub>2</sub>O<sub>3</sub>/Al composite was also tested with a propane flame torch. Propane gas was mixed with oxygen gas to obtain a completely oxidized flame having a blue flame color and a temperature above 2000 °C. The gas was strongly blown out from the torch to the sample, as shown in the schematic in Fig. 7D. Fig. 7E shows the result of the blue flame adjustment and the sample during the experiment. The sample in this experiment was the sample sintered at 900 °C, which was the previously determined optimum condition for refractory fabrication. A reference thermocouple was used to measure the sample temperature and was removed after the temperature reached 1400 °C (within 1 min) to avoid thermal cracking of the sample and damage to the thermocouple itself. The sample was burned for 1 min in order to test the durability of the composite material at high temperatures and high thermal shock. The last picture in Fig. 7E shows the sample post-flame test. The sample retained its shape and dimension but minor damage was apparent due to excessive Al diffusion to the surface.34,35 The damages also occurred during the removal of tiny Al metallic spheres from the surface after the test. Nevertheless, this sample was able to endure high temperature conditions including a strong force from hot gas. The tests performed in this study demonstrate that FDM could be used to fabricate refractory components with a low investment cost. This technique can potentially fabricate any complicated Al<sub>2</sub>O<sub>3</sub>/Al composite structure, such as those shown in Fig. 7F. The mass production of the Al<sub>2</sub>O<sub>3</sub>/Al composite refractory is possible, as shown by the large number of printed samples in Fig. 7G. The printed composites could either have the same or different shapes and sizes. The schematic picture in Fig. 7H demonstrates the conclusive properties of the 3D printed Al<sub>2</sub>O<sub>3</sub>/A composite, which was similar to electrically insulating alumina and was able to withstand high temperatures while maintaining electrical conductivity. We have thus demonstrated that this refractory composite can be shaped into any 3D form and can be used in advanced refractory applications.

#### Conclusions

The FDM 3D printing technique was used to fabricate an  $Al_2O_3/Al$  composite with a desired shape. The sample was printed as an Al/polymer composite and then sintered and calcined to form the  $Al_2O_3/Al$  composite, which works as a refractory. A higher sintering temperature provided better mechanical properties and better interconnection between the particles. The samples demonstrated electrical conductivity despite being partially oxidized. This technique offers an option for scientists and common users to fabricate advanced ceramics and refractory materials via FDM 3D printer systems without the typical large resource investment required in competing techniques.

#### Conflicts of interest

There are no conflicts to declare.

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