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# Massive red shift of Ce<sup>3+</sup> in Y<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> incorporating super-high content of Ce

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In light emitting diodes, Y<sub>3</sub>Al<sub>5</sub>O<sub>12</sub>:Ce (YAG:Ce) is used as a yellow phosphor in combination with blue LEDs but lacks a red component in emission. Therefore, considerable efforts have been directed toward shifting the emission of YAG:Ce to longer wavelengths. In this study, a Y<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> (YAG) crystal incorporating a high content of Ce, (Y<sub>1-x</sub>Ce<sub>x</sub>)<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> (0.006 ≤ *x* ≤ 0.21), was successfully prepared by a polymerized complex method in which low-temperature annealing (650–750 °C) was employed prior to sintering at 1080 °C. X-ray diffraction (XRD) and transmission electron microscopy (TEM) analysis indicated that the obtained sample was a single phase YAG crystal with *x* ≤ 0.21. Interestingly, orange-red emission was observed with *x* ≥ 0.07 with UV-blue light irradiation. With excitation at 450 nm, the emission peak increases from 538 nm (*x* = 0.006) to 606 nm (*x* = 0.21). This massive red shift in the high-*x* region was not observed without the 1<sup>st</sup> step of low-temperature annealing, which implied that low-temperature annealing was essential for incorporating a high concentration of Ce. The precursor formed by low-temperature annealing was amorphous at *x* = 0.04, whereas CeO<sub>2</sub> nanocrystals were formed in the amorphous material with *x* ≥ 0.11, based on the XRD and TEM results. CeL<sub>III</sub> X-ray absorption edge structure revealed that Ce existed as Ce<sup>4+</sup> in the precursor and Ce<sup>3+</sup> in the obtained crystal. It was speculated that CeO<sub>2</sub> was formed at low temperature, releasing oxygen, with sintering at 1080 °C, leading to the incorporation of Y<sup>3+</sup> in the Ce–O framework. The lattice constant increased significantly from 12.024 Å to 12.105 Å with increasing *x*, but the crystal field splitting did not increase and was constant from *x* = 0.06 to *x* = 0.21. Hence, the massive red shift in emission was not explained by the large crystal field splitting, but instead by the Stokes shift.

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## 1. Introduction

White light emitting diodes (w-LEDs) are the new lighting devices for the 21<sup>st</sup> century and are replacing conventional lighting such as fluorescent lamps and incandescent lights. In w-LEDs, Y<sub>3</sub>Al<sub>5</sub>O<sub>12</sub>:Ce (YAG:Ce) is used as a yellow phosphor in combination with blue LEDs. However, such a simple combination is limited by low color rendering index (CRI) because of a lack of red component in emission in YAG:Ce. To achieve high CRI, Eu<sup>2+</sup> doped nitride phosphors such as M<sub>2</sub>Si<sub>5</sub>N<sub>8</sub>:Eu<sup>2+</sup> (M = Ca, Sr, and Ba)<sup>1–4</sup> and CaAlSiN<sub>3</sub>:Eu<sup>2+</sup>,<sup>5,6</sup> exhibiting a broad red emission with high luminescence efficiency, are used in combination with YAG:Ce. However, high temperature and pressure are necessary to synthesize nitride phosphors leading to a high processing cost. Therefore, there is a demand for oxide-based red phosphors for w-LEDs, and various oxide-based

red-emitting phosphors such as Ca<sub>2</sub>SiO<sub>4</sub>:Eu<sup>2+</sup>,<sup>7</sup> Rb<sub>3</sub>YSi<sub>2</sub>O<sub>7</sub>:Eu<sup>2+</sup>,<sup>8</sup> and Sr<sub>3</sub>Sc<sub>4</sub>O<sub>9</sub>:Ce<sup>3+</sup><sup>9</sup> have been recently reported.

Besides numerous studies exploring red-emitting oxide phosphors for use in combination with YAG:Ce, significant efforts have also been directed toward shifting the emission of YAG:Ce to longer wavelengths.<sup>10,11</sup> YAG:Ce has a garnet structure, A<sub>3</sub>B<sub>2</sub>C<sub>3</sub>O<sub>12</sub>, where Y<sup>3+</sup> is in dodecahedral site (A) and Al<sup>3+</sup> is both in octahedral (B) and tetrahedral sites (C).<sup>12</sup> These cations (Y<sup>3+</sup> and Al<sup>3+</sup>) can be replaced by ions with different sizes, which allow the flexibility of crystal structure and optimization of luminescence properties. Variation of photoluminescent property by the substitution of Y and Al sites has been extensively studied. Although classical crystal field theory predicts that the substitution of Y or Al by larger cations shifts the emission to short or long wavelengths, experimental results obtained till date show that the substitution of Y site by larger cations results in red shift, while that of Al site causes blue shift.<sup>10</sup> Substitution of Y by Lu,<sup>13,14</sup> Tb,<sup>15,16</sup> Gd,<sup>14,17–20</sup> and La<sup>19</sup> has been extensively studied and among these, Y<sub>1-x</sub>Gd<sub>x</sub>Al<sub>5</sub>O<sub>12</sub>:Ce (*x* = 0.9) exhibits the largest red shift (peak position: 578 nm). Recently, it was reported that double substitution by Mg<sup>2+</sup>–Si<sup>4+</sup> induced a significant red shift.<sup>21–24</sup> Du *et al.* reported a massive red shift

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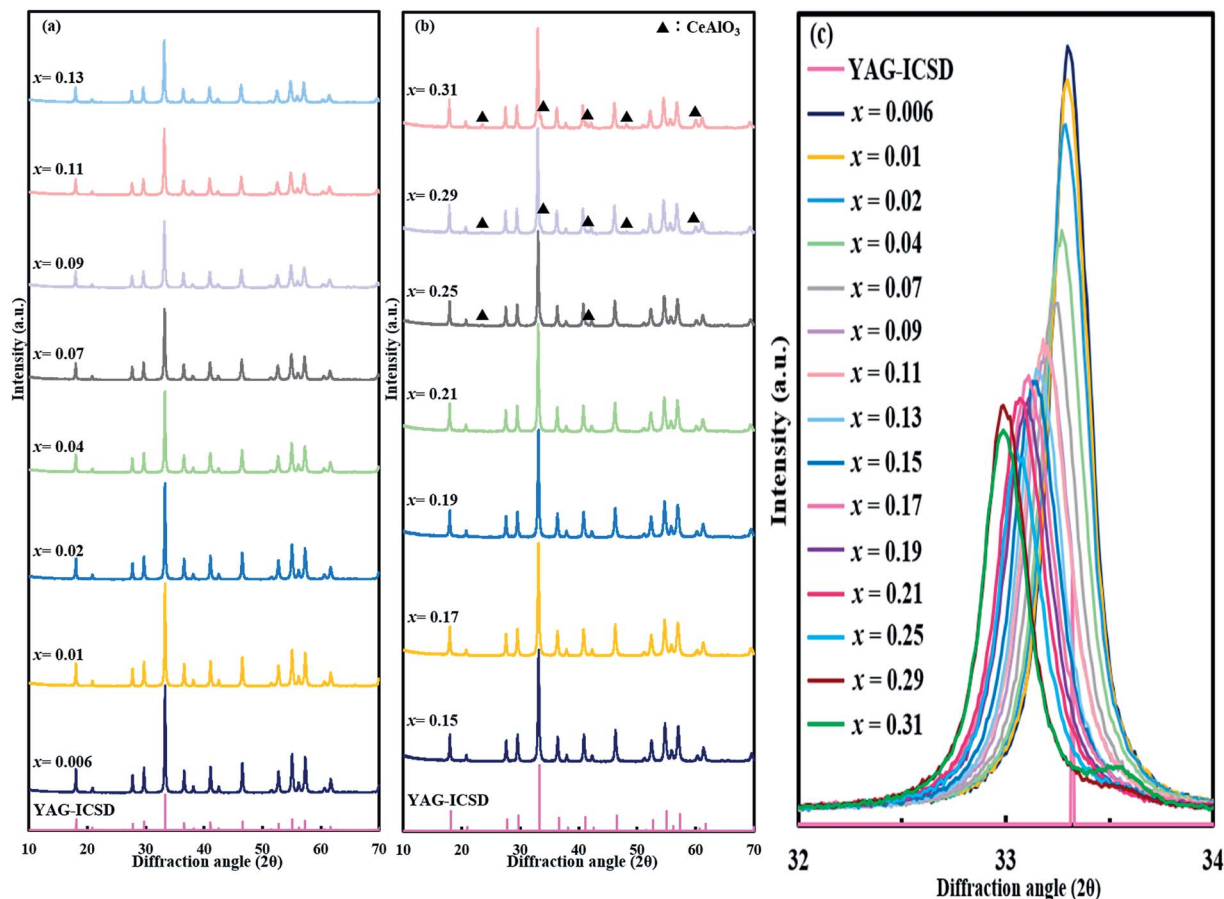



Fig. 1 (a and b) XRD patterns of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  crystals and (c) magnified XRD patterns between diffraction angles of  $32^\circ$  and  $34^\circ$ .

to 598 nm in  $Y_3Mg_xAl_{5-2x}Si_xO_{12}:Ce$  transparent ceramic,<sup>23</sup> which was the largest red shift achieved till date in cation-substituted YAG:Ce.

In addition to the modification of host crystal, the doping control of Ce in YAG ( $(Y_{1-x}Ce_x)_3Al_5O_{12}$ ) is another method to induce the red shift. For instance, the position of emission shifts to a longer wavelength from 536 nm to 558 nm by increase in  $x$  from 0.00033 to 0.033 (ref. 25) (Ce concentration is described in a few different ways in literature. Data included here is converted to represent the description for  $(Y_{1-x}Ce_x)_3Al_5O_{12}$ ). Many studies have reported high  $x$  values for  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  prepared by solid-state reaction,<sup>26</sup> precipitation,<sup>27</sup> and sol-gel<sup>28,29</sup> method. With an increase in  $x$ , the emission further increases; however, with  $x \geq 0.09$ – $0.12$ , Ce cannot be incorporated in YAG crystal and  $CeO_2$  is precipitated as impurity. Despite numerous investigations, the highest  $x$  value for obtaining single-phase YAG:Ce is 0.12, and the emission observed is 568 nm, which is also the highest peak position.

In this study,  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  emitting orange-red luminescence is reported for the first time. A high Ce content ( $x = 0.13$ – $0.21$ ) is successfully incorporated by the polymerized complex method with low-temperature annealing in air prior to sintering at  $1080^\circ C$ . Emission with excitation at 450 nm varies progressively from 538 nm to 606 nm, exhibiting green-yellow-orange-red emission. To our knowledge, this is the largest red

shift achieved till date in pure YAG:Ce. X-ray diffraction (XRD), X-ray extended absorption fine structure (XANES), and transmission electron microscopy (TEM) is used to investigate the rationale for the unusual orange-red emission when a large content of Ce is incorporated in YAG:Ce.

## 2. Experimental

### 2.1 Synthesis

YAG:Ce was synthesized using a polymerized complex method.<sup>30</sup>  $Y(NO_3)_3 \cdot 6H_2O$  (99.9%, Kishida Chemical Co., Ltd., Osaka, Japan),  $Al(NO_3)_3 \cdot 9H_2O$  (98+%, FUJIFILM Wako Pure Chemical Corporation, Osaka, Japan),  $Ce(NO_3)_3 \cdot 6H_2O$  (98+%, FUJIFILM Wako Pure Chemical Corporation),  $C_3H_4(OH)(COOH)_3 \cdot H_2O$  (99.5%, Kishida Chemical Co., Ltd.) and ethylene glycol (EG, 99.5%, Kishida Chemical Co., Ltd.) were used as starting materials. All chemicals were used without further purification.  $Y(NO_3)_3 \cdot 6H_2O$ ,  $Al(NO_3)_3 \cdot 9H_2O$ , and  $Ce(NO_3)_3 \cdot 6H_2O$  were dissolved in 15 mL distilled water and 1.5 g of  $C_3H_4(OH)(COOH)_3 \cdot H_2O$  and 5 mL of EG were added. The solution with a composition of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  ( $0.006 \leq x \leq 0.31$ ) was prepared, where 0.006 mol of  $Y^{3+}$  was used. The well-mixed solution was heated at  $90^\circ C$  for 20 h in an oil bath and the solution turned into a yellowish gel. Prior to the calcination above  $1000^\circ C$ , the gel was heated at  $650^\circ C$  for 3 h and



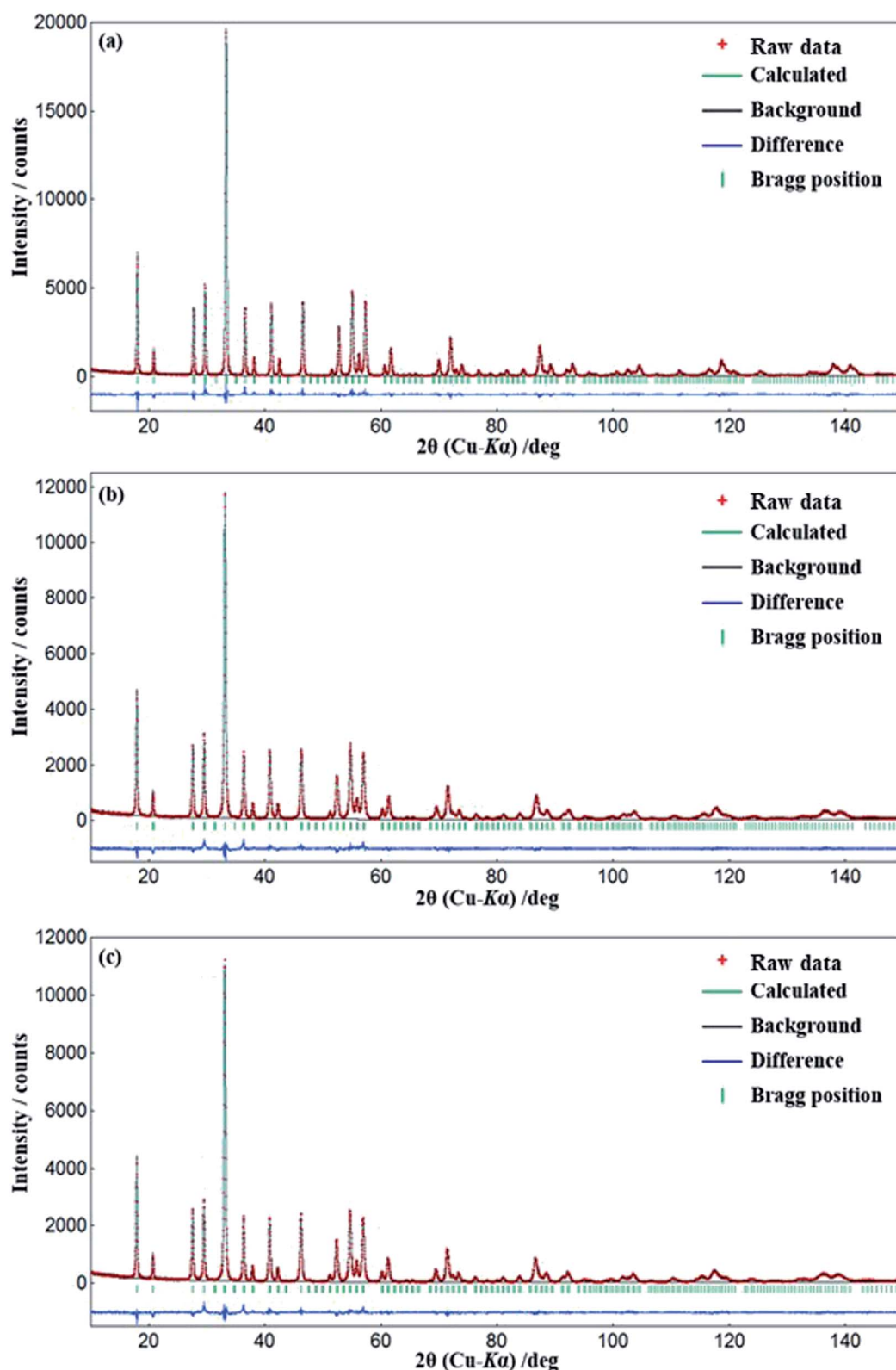
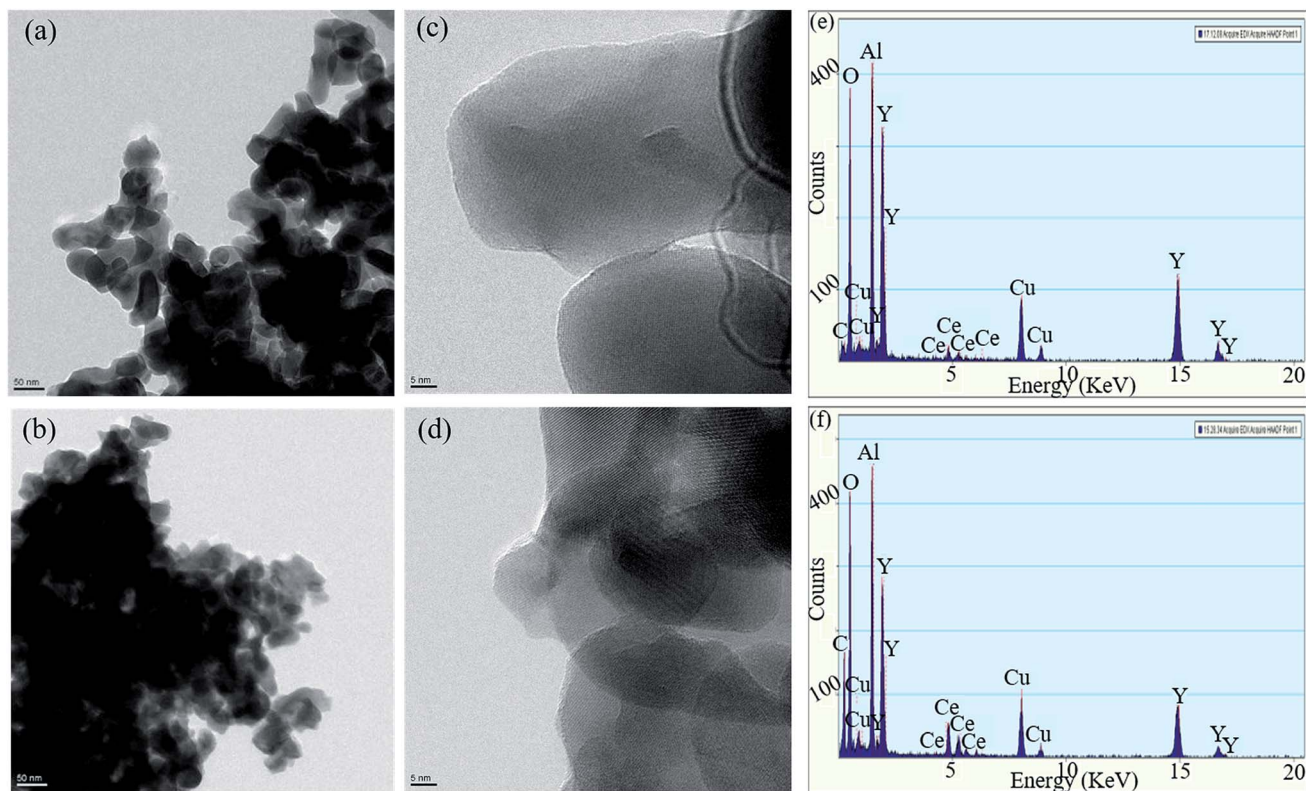


Fig. 2 Observed (+) and calculated (solid lines) XRD patterns of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$ , (a)  $x = 0.006$ ; (b)  $x = 0.17$ ; (c)  $x = 0.21$  after the completion of Rietveld refinements. The vertical bars below the background level indicate the calculated positions of  $K_{a1}$  and  $K_{a2}$  components of the Bragg peaks. The curve at the bottom of the plots represents the difference between the observed and calculated intensities ( $Y_i^{obs} - Y_i^{calc}$ ).

**Table 1** Refined structure parameters and crystallographic data of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  ( $x = 0.006, 0.17$  and  $0.21$ )

Chemical formula	$(Y_{0.994}Ce_{0.006})_3Al_5O_{12}$		$(Y_{0.83}Ce_{0.17})_3Al_5O_{12}$		$(Y_{0.79}Ce_{0.21})_3Al_5O_{12}$	
Crystal system	Cubic		Cubic		Cubic	
Space group	$Ia\bar{3}d$		$Ia\bar{3}d$		$Ia\bar{3}d$	
Lattice parameter ( $\text{\AA}$ )	$a = 12.0168(2)$		$a = 12.0893(4)$		$a = 12.1034(4)$	
Z Value	8		8		8	
$R_{wp}$ (%)	8.017		8.209		8.172	
$R_B$ (%)	1.681		2.039		2.191	
S	1.408		1.309		1.266	
Atom	Site	x	y	z	g	$B_{iso}$ ( $\text{\AA}^2$ )
<b><math>x = 0.006</math></b>						
Y, Ce	24c	1/8	0	1/4	1.0	0.407(9)
Al1	16a	0	0	0	1.0	0.275(14)
Al2	24d	3/8	0	1/4	1.0	0.275(14)
O	96h	0.10133(11)	0.20070(12)	0.28146(10)	1.0	0.28(3)
<b><math>x = 0.17</math></b>						
Y, Ce	24c	1/8	0	1/4	1.0	0.627(14)
Al1	16a	0	0	0	1.0	0.33(2)
Al2	24d	3/8	0	1/4	1.0	0.33(2)
O	96h	0.10236(13)	0.20124(15)	0.28206(12)	1.0	0.37(4)
<b><math>x = 0.21</math></b>						
Y, Ce	24c	1/8	0	1/4	1.0	0.425(14)
Al1	16a	0	0	0	1.0	0.17(2)
Al2	24d	3/8	0	1/4	1.0	0.17(2)
O	96h	0.10228(14)	0.20173(16)	0.28225(13)	1.0	0.25(4)

**Fig. 3** (a) TEM image, and (c) HRTEM photograph of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  ( $x = 0.07$ ); (b) TEM image, and (d) HRTEM photograph of YAG:Ce ( $x = 0.21$ ); (e) EDS patterns of YAG:Ce at  $x = 0.07$  and (f)  $x = 0.21$ .



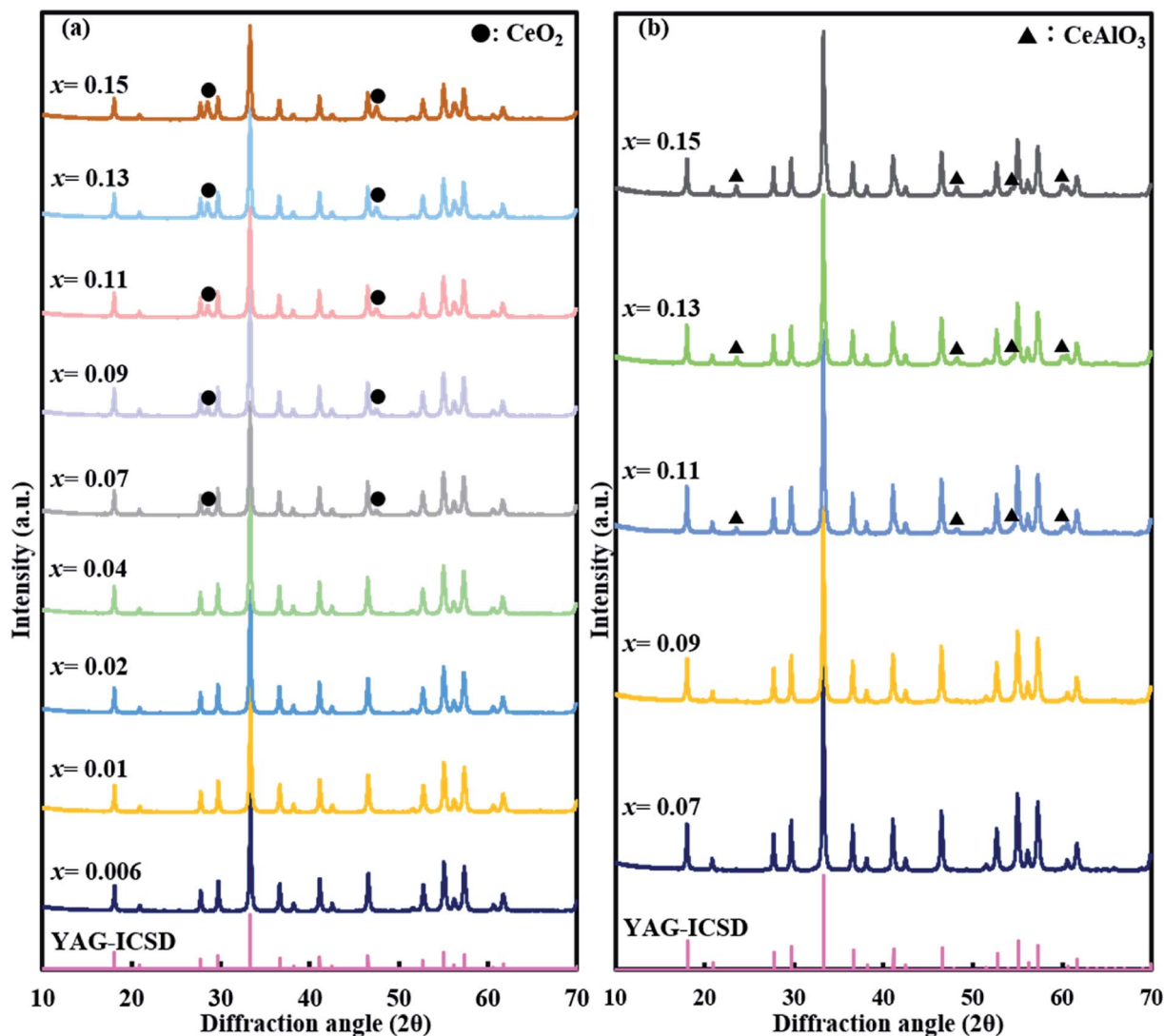


Fig. 4 XRD patterns of (a) ref-O and (b) ref-R.

then at 750 °C for 3 h in air for the combustion of organic substances (1<sup>st</sup> step: low temperature annealing). Thereafter, the obtained yellowish precursors were sintered at 1080 °C for 6 h under 3% H<sub>2</sub>/Ar gas flow at 5.6 cc/min (2<sup>nd</sup> step: sintering).

To examine the effect of low temperature (650–750 °C) annealing, two samples (ref-O and ref-R) sintered without low-temperature annealing were prepared. The precursor solution for (Y<sub>1-x</sub>Ce<sub>x</sub>)<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> (0.006 ≤ x ≤ 0.15) was heated at 90 °C for 20 h in an oil bath. The obtained gel was directly heated at 1080 °C for 3 h in air to yield the crystal material (ref-O). For the other sample (ref-R), the obtained gel was heated at 1080 °C for 3 h in air and further heat-treated at 1080 °C in a reducing atmosphere (3% H<sub>2</sub>/Ar) to determine the effect of atmosphere during sintering.

## 2.2 Characterization

The excitation and emission spectra of the prepared samples were measured with a fluorescence spectrophotometer F-7100

(Hitachi High-Tech Science Corporation, Tokyo, Japan) at room temperature. Quantum efficiency and CIE color coordinates were measured using a quantum efficiency measurement system QE-1100 (Otsuka Electronics Co., Ltd., Osaka, Japan) at room temperature under excitation at 450 nm. Optical reflectance spectra were measured with an optical spectrophotometer U-4000 (Hitachi High-Technologies Corporation, Tokyo, Japan). Al<sub>2</sub>O<sub>3</sub> was used for reflectance standard. XRD was measured using powder X-ray diffractometer (UltimaIV; Rigaku, Tokyo, Japan) with Ni-filtered Cu-Kα radiation at 40 kV and 40 mA at room temperature. Regarding the Rietveld refinement, XRD data were collected using X'Pert Pro MPD (PANalytical, Almelo, Netherlands) equipped with a reactor chamber (Anton Paar, XRK900, Graz, Austria), with graphite mono-chromated Cu-Kα radiation at 45 kV and 40 mA, at 25 °C. The Rietveld refinements were performed using RIETAN-FP Software.<sup>31</sup> High-resolution transmission electron microscopy (HRTEM) and energy-dispersive X-ray spectroscopy (EDS) were carried using a TEM system (Tecnai G20 F20 and Tecnai Osiris; FEI Company;

Oregon, USA). The analysis of the valence state of Ce ion was conducted through corresponding Ce<sub>L</sub>III-edge XANES measurements. XANES spectra were obtained in transmission mode using a laboratory-type X-ray spectrometer (EXAC-820; Technos, Japan). CeO<sub>2</sub> and Ce(CH<sub>3</sub>COO)<sub>3</sub> were used as reference samples.

### 3. Results and discussion

#### 3.1 Structural characterization of the obtained crystals

XRD patterns of (Y<sub>1-x</sub>Ce<sub>x</sub>)<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> ( $x = 0.006$ – $0.31$ ) are shown in Fig. 1(a) and (b). Only YAG:Ce phase exists in the samples at  $x = 0.006$ – $0.21$ . Diffraction peaks for CeAlO<sub>3</sub> ( $2\theta = 23.5^\circ$ ,  $33.5^\circ$ ,  $41.3^\circ$ ,  $48.0^\circ$ , and  $59.8^\circ$ ) are slightly observed at  $x = 0.25$ , and become evident with  $x \geq 0.29$ , therefore it is not pure YAG phase at  $x = 0.25$ – $0.31$ . To date, the boundary of formation of single-phase YAG:Ce garnet crystal is  $x \sim 0.09$ – $0.12$ , and the highest  $x$  value reported is  $0.12$ . Above this value, CeO<sub>2</sub> crystals are usually segregated. The significantly high  $x$  value for single-phase (Y<sub>1-x</sub>Ce<sub>x</sub>)<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> observed herein is different from the previous studies. Magnified 420 diffraction lines ( $2\theta \sim 33^\circ$ ) are shown in Fig. 1(c). The position of diffraction line shifts toward a low angle with an increase in Ce<sup>3+</sup> doping concentration. The shifting of diffraction line is not observed from  $x = 0.29$  to  $x = 0.31$ . This implies that the substitution of Y site by Ce occurs, leading to the expansion of lattice up to  $x = 0.29$ . Fig. 2 illustrates the results of the Rietveld refinement for (Y<sub>1-x</sub>Ce<sub>x</sub>)<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> ( $x = 0.006$ ,  $0.17$  and  $0.21$ ). The crystallographic data and initial structural model were obtained from Y<sub>3</sub>Al<sub>5</sub>O<sub>12</sub>.<sup>32</sup> All of the observed XRD patterns of (Y<sub>1-x</sub>Ce<sub>x</sub>)<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> ( $x = 0.006$ ,  $0.17$  and  $0.21$ ) were well fitted with the calculated patterns, thereby confirming the phase purity. Despite the high Ce content ( $x = 0.21$ ), the results in Fig. 2 (c) indicate that the crystal phase is pure YAG:Ce. The structural parameters estimated from the Rietveld refinements are listed in Table 1. The lattice parameter of  $x = 0.21$  is greater than that of  $x = 0.006$ . A substitution of Y with Ce occurs the cell expands, thereby resulting in an increase in the lattice parameter. This is consistent with the phenomenon of the 420 diffraction peak shifting toward a low angle.

The microstructures of the samples were analyzed by HRTEM (Fig. 3). As observed in Fig. 3(a) and (b), the size of the nanoparticles is 30–40 nm. In Fig. 3(c) and (d), lattice fringes are clearly observed. EDS analysis was performed at a few spots and it was confirmed that atomic ratio of Y : Al : Ce : O was mostly the same with composition ratio (Fig. 3(e) and (f)). The observed C and Cu are from the grid mesh. No impurity is detected in the sample.

XRD and TEM results indicate that Ce is incorporated up to  $x = 0.21$  in the single YAG:Ce crystal when two-step sintering is adopted. It was hypothesized that the incorporation of such a high content of Ce in YAG crystal was caused by the low-temperature annealing (1<sup>st</sup> step) because most of the prior studies adopted one-step sintering above 1000 °C in the preparation of YAG:Ce crystal. Hence, the samples were prepared without low-temperature annealing. Fig. 4(a) and (b) show the XRD data for ref-O and ref-R. Diffraction peaks for CeO<sub>2</sub> are observed with  $x \geq 0.07$  in ref-O, while those for CeAlO<sub>3</sub> ( $2\theta =$

$23.5^\circ$ ,  $48.0^\circ$ , and  $59.8^\circ$ ) are observed with  $x \geq 0.11$  in ref-R. Thus, the incorporation of a high content of Ce is not observed without low-temperature annealing. Therefore, it is concluded that heat treatment at low temperature is essential to achieve a high Ce concentration in (Y<sub>1-x</sub>Ce<sub>x</sub>)<sub>3</sub>Al<sub>5</sub>O<sub>12</sub>.

#### 3.2 Structure of (Y<sub>1-x</sub>Ce<sub>x</sub>)<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> precursor

After clarifying that low-temperature annealing (1<sup>st</sup> step) was a key process to achieve a high content of Ce in YAG crystal, the valence state of Ce and structure of the precursor sample heat-treated at low temperature were investigated to elucidate the incorporation mechanism of high Ce content in YAG garnet structure. Fig. 5 displays Ce<sub>L</sub>III XANES spectra of (Y<sub>1-x</sub>Ce<sub>x</sub>)<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> at  $x = 0.04$ ,  $0.09$ ,  $0.17$ , and  $0.29$  and the precursor at  $x = 0.11$ ,  $0.17$ , and  $0.21$  together with those of Ce(CH<sub>3</sub>COO)<sub>3</sub> and CeO<sub>2</sub>. The peak at 5724 eV corresponds to Ce<sup>3+</sup>, and the double peaks at 5728 eV and 5735 eV correspond to Ce<sup>4+</sup>.<sup>33</sup> It is clearly observed that Ce exists as Ce<sup>3+</sup> in (Y<sub>1-x</sub>Ce<sub>x</sub>)<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> crystals, whereas almost all Ce is in Ce<sup>4+</sup> state in the precursor. Prior investigations of the precursor of YAG:Ce prepared by co-precipitation or sputtering<sup>33,34</sup> show that Ce<sup>3+</sup> is oxidized to Ce<sup>4+</sup> when it is annealed at 600–800 °C. The oxidation of Ce by low-temperature annealing observed herein is consistent with the previously reported results.

Fig. 6 shows the TEM images of the precursor samples for  $x = 0.04$ ,  $0.11$ , and  $0.21$ . At  $x = 0.04$ , the domain with lattice fringes is not observed, which implies that the sample is mostly amorphous. In contrast, a nanocrystal domain as large as 3 nm exists showing lattice fringes at  $x = 0.11$  and  $0.21$ . Based on the EDS analysis, the crystal phase is composed of Y, Ce, and Al, but the difference in compositions is not clearly observed. XRD

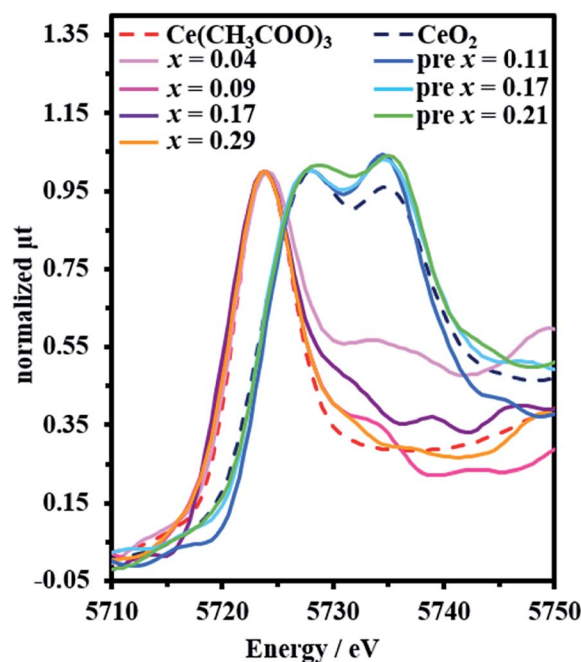


Fig. 5 Ce L<sub>3</sub>-edge XANES spectra of (Y<sub>1-x</sub>Ce<sub>x</sub>)<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> crystal and the precursor. CeO<sub>2</sub> and Ce(CH<sub>3</sub>COO)<sub>3</sub> were used as reference samples.





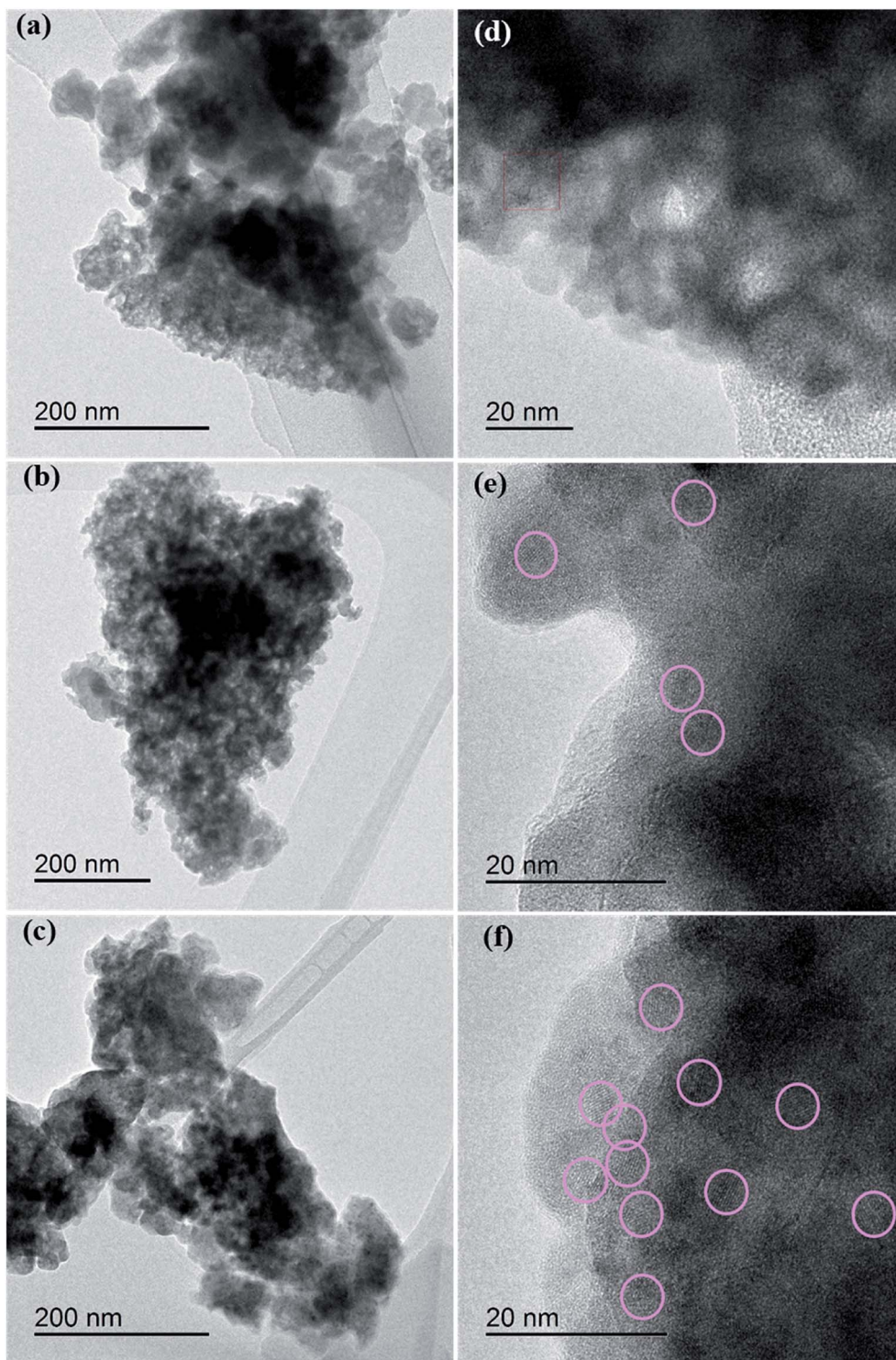


Fig. 6 TEM images of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  crystals. (a and d)  $x = 0.04$ , (b and e)  $x = 0.11$ , (c and f)  $x = 0.21$ .

patterns of the precursor of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  prepared by sintering at 650 °C and 750 °C in air are shown in Fig. 7. Except for a small diffraction peak observed at  $x = 0.11$ , only broad diffraction lines are observed. An interesting feature in the figure is that the shapes of the diffraction lines significantly change with  $x \geq 0.13$ , and four major broad diffraction peaks centered at 29°, 33°, 48°, and 57° are observed. To identify the

origin of these four peaks, XRD patterns in Fig. 7 were compared with those of crystals likely to be formed in the  $Y_2O_3$ – $CeO_2$ – $Al_2O_3$  system such as YAG,  $YAlO_3$  (YAP),  $CeAlO_3$ ,  $Y_4Al_2O_9$  (YAM)<sup>35</sup> and  $CeO_2$ . The positions of the diffraction lines mostly coincide with those of the  $CeO_2$  crystal. The crystallite size of the crystalline phase,  $D_{\text{cryst}}$ , is estimated using Scherrer's equation,<sup>36</sup>

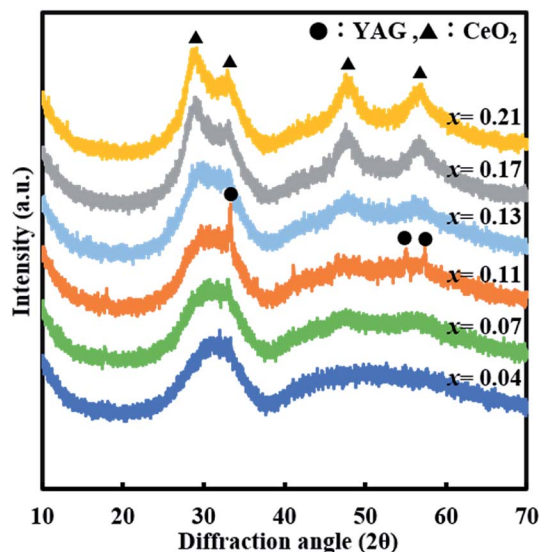


Fig. 7 XRD spectrum of the precursor of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$ .

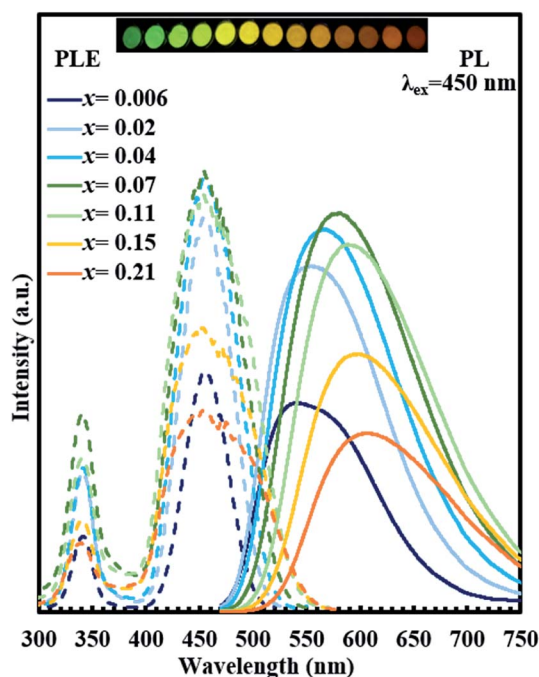


Fig. 8 Excitation and emission spectra, and the photographs of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  at 365 nm excitation. From left to right:  $x = 0.006, 0.01, 0.02, 0.04, 0.07, 0.09, 0.11, 0.13, 0.15, 0.17, 0.19$  and  $0.21$ .

$$D_{\text{cryst}} = \frac{K\lambda}{B \cos \theta}, \quad (1)$$

where  $\lambda$  is the X-ray wavelength, and  $B$  and  $\theta$  are the width and angle of the 220 diffraction line. Here, constant  $K$  is used as 0.9.  $D_{\text{cryst}}$  is thus calculated as 2.9 nm, which is similar to the crystal size observed by TEM. As the polymerized complex method is believed to form a homogeneous precursor at atomic level, it appears unlikely that  $CeO_2$  nanocrystals are formed at low

temperature. However, the phase separation of  $CeO_2$  from the amorphous  $Ce-Y-Al-O$  phase can occur in low-temperature annealing, leading to the formation of nanocrystals.

In the conventional synthesis of YAG:Ce,  $Y_2O_3$  progressively reacts with  $Al_2O_3$  to form YAM, YAP, and YAG. As the ionic radius of  $Ce^{3+}$  (1.143 Å, coordination number = 8) is significantly larger than that of  $Y^{3+}$  (1.019 Å, coordination number = 8),<sup>37</sup> only a small fraction of Y can be substituted by Ce. In contrast, in the synthesis described in this study,  $CeO_2$  nano-domain is first formed in Y-Al-O amorphous phase with low-temperature annealing. With successive high-temperature sintering at 1080 °C, Ce-O nano-domains becomes highly oxygen defective because of the reduction of  $Ce^{4+}$  to  $Ce^{3+}$ .  $Y^{3+}$  existing in the boundary between  $CeO_2$  crystal and amorphous phase reacts with the Ce-O framework and finally forms YAG:Ce crystals. This specific reaction, which occurs at low temperatures, may enable the incorporation of high Ce contents.

### 3.3 Photoluminescent properties of crystals

Fig. 8 shows the excitation and emission spectra for single phase  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  ( $x = 0.006-0.21$ ) together with a photograph of samples under UV irradiation (365 nm). With an increase in  $Ce^{3+}$  concentration from  $x = 0.006$  to  $x = 0.21$ , the emission peak of  $Ce^{3+}$  shows a remarkably large red shift from 538.4 nm to 606.2 nm with excitation at 450 nm. Intensity of emission increases with an increase in Ce content from  $x = 0.006$  to  $x = 0.07$  but decreases with further increase in Ce content, probably due to concentration quenching. Excitation bands observed at 340 and 450 nm correspond to 4f-5d<sub>2</sub> and 4f-5d<sub>1</sub> transitions.<sup>10</sup> Notably, the excitation bands at 340 and 450 nm do not show any changes in positions despite the massive red shift of emission. Diffuse reflection spectra of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  are shown in Fig. 9. Absorptions at approximately 355 and 460 nm correspond to 4f-5d<sub>2</sub> and 4f-5d<sub>1</sub> transitions. Positions of these two absorption bands do not vary

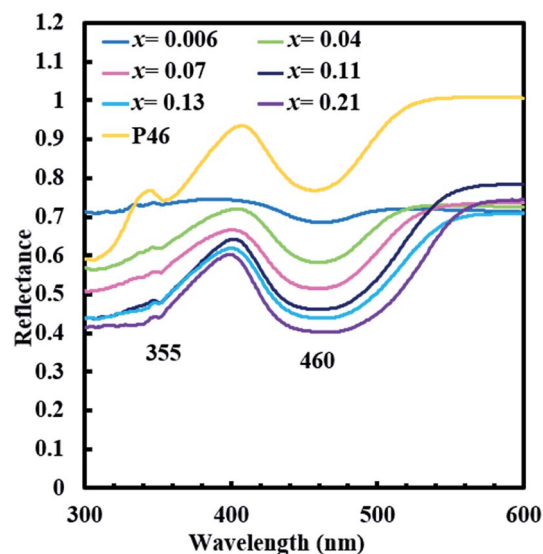


Fig. 9 Diffuse reflectance spectra of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  crystals.





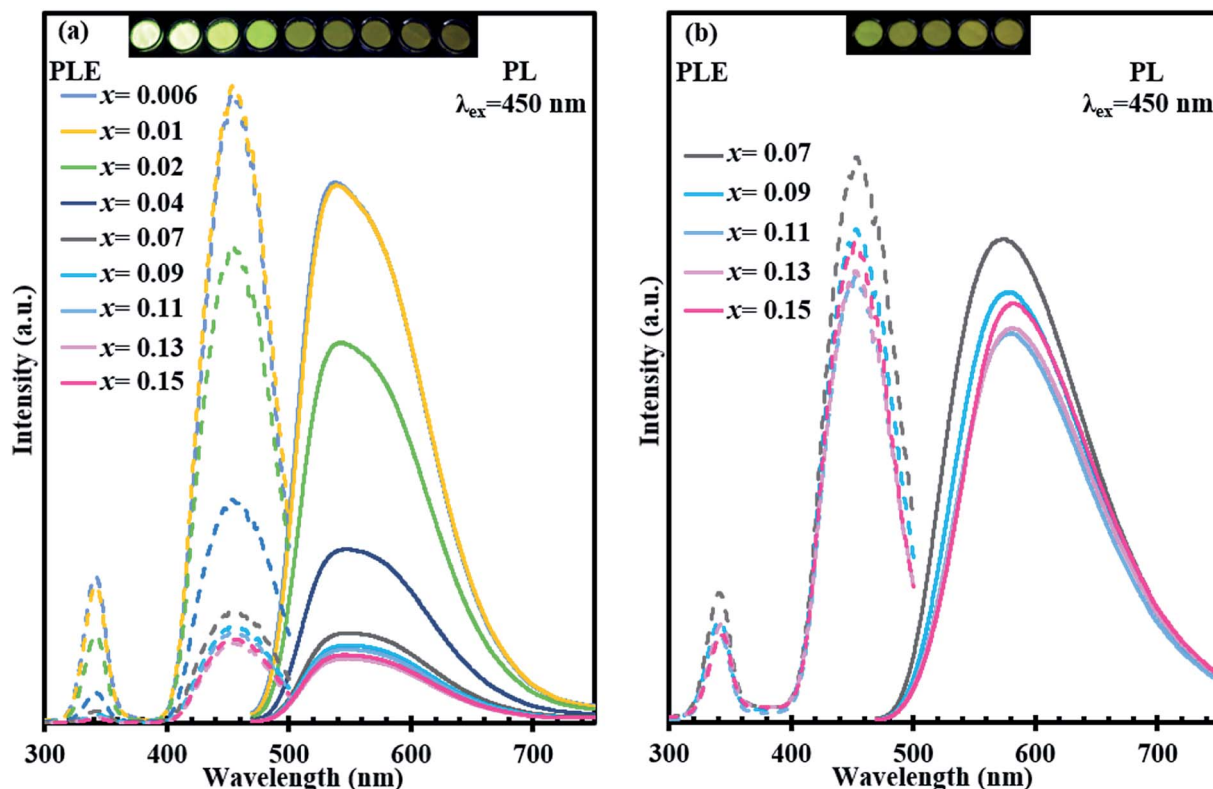


Fig. 10 Excitation and emission spectra, and the photographs of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  (365 nm excitation). (a) Ref-O sample and (b) ref-R sample.

significantly, which is consistent with the behavior of excitation bands in photoluminescent spectra.

The massive red shift of emission is not observed for the samples prepared without low-temperature annealing (ref-O and ref-R) as shown in Fig. 10. The emission peak of ref-O varies slightly from 538 nm to 549 nm. For ref-R, intensity and position of wavelength changes only slightly with increasing  $x$ . These results combined with XRD data indicate that low-temperature annealing causes the incorporation of high content of Ce in YAG, resulting in a massive red shift.

Fig. 11 includes a comparison of the relationship between the emission peak and Ce content ( $x$ ) in  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  with that of Y-substituted YAG:Ce reported till date.<sup>25–29</sup> Notably,  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  in this study shows a remarkably large red shift, which is significantly larger than that observed in cation-substituted YAG:Ce reported previously. The emission peak of  $(Y_{1-x}RE_x)_3Al_5O_{12}$  (RE = Lu, Tb, Gd, and La) is within the 530–570 nm range. Even in the case of double substitution in YAG:Ce by  $Mg^{2+}$ - $Si^{4+}$ , the longest emission is up to 598 nm.

Fig. 12 shows the CIE chromaticity coordinates of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  prepared by two-step sintering. Compared with those for commercial YAG:Ce (P46) (0.428, 0.545), the CIE color chromaticity coordinates of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  change from (0.415, 0.549) at  $x = 0.006$  to (0.563, 0.434) at  $x = 0.21$ , clearly tuned from green to orange-red region. The maximum internal quantum efficiency was 34% at  $x = 0.04$ . As  $x$  increased, the efficiency gradually decreased and reached approximately 14% at  $x = 0.21$ . The efficiency is not high probably because the

small size of particle as large as 30 nm. The internal quantum efficiency of YAG:Ce nanoparticle powder reported so far was 18–38%.<sup>38,39</sup>

Finally, a possible mechanism for the large red shift is discussed. Although the mechanism of red shift in YAG:Ce is not fully understood, the shift in emission of  $Ce^{3+}$  during luminescence is generally explained by the lowering of 5d energy level in  $Ce^{3+}$  through centroid shift, crystal field splitting, and

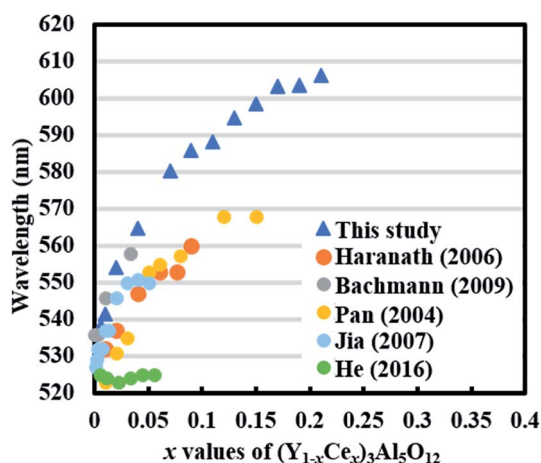


Fig. 11 Emission peaks of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  in relation to  $x$ . The values are acquired from literature reports.<sup>25–29</sup> Ce concentration is described in a few different ways in literature. Data included here is converted to represent the description for  $(Y_{1-x}Ce_x)_3Al_5O_{12}$ .



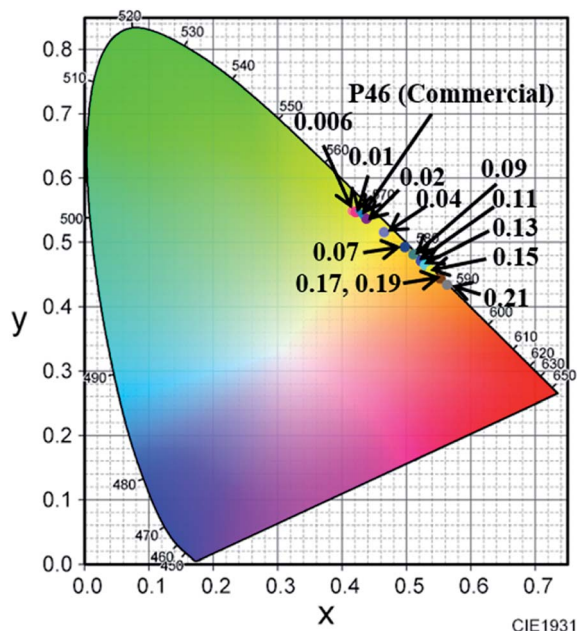


Fig. 12 CIE chromaticity coordinates of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  crystals.

additional Stokes shift (Fig. 13(a)).<sup>11</sup> The crystal field in cubic site induces the splitting of 5d energy level into two levels separated by  $10D_q$ , where  $D_q$  is the strength of crystal field which is inversely proportional to the cation-ligand distance in classical point charge model. The crystal field strength is also strongly altered by the distortion of cubic symmetry in cation site leading to an additional splitting of the degenerate lower energy levels into two levels,  $d_1$  and  $d_2$ . This additional ligand field effect is believed to be the reason for the unique long-wavelength emission of  $Ce^{3+}$  in YAG crystal. The energy difference between  $d_1$  and  $d_2$  ( $\Delta_{12}$ ) is typically used to estimate this crystal field strength.<sup>18,40</sup> In an attempt to understand the reason for the occurrence of a massive red shift, the Stokes shift and crystal field strength ( $\Delta_{12}$ ) in  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  is plotted against the lattice constant in Fig. 13(b) together with the values for  $(Y_{0.98-x}Gd_x)_3Al_5O_{12}:Ce_{0.06}$  reported by Shi *et al.*<sup>41</sup> Here, lattice spacing,  $d_{hkl}$ , is calculated from the  $hkl$  reflection using the equation,

$$d_{hkl} = \frac{a}{\sqrt{h^2 + k^2 + l^2}},$$

where the 420 diffraction peak ( $2\theta \sim 33.3^\circ$ ) is used for calculation. The lattice constant increases from 12.024 Å to 12.105 Å and 12.008 Å to 12.106 Å for  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  and  $(Y_{0.98-x}Gd_x)_3Al_5O_{12}$ , respectively. Despite the large lattice expansion,  $\Delta_{12}$  does not change significantly in  $(Y_{1-x}Ce_x)_3Al_5O_{12}$ , while a gradual increase is observed in  $(Y_{0.98-x}Gd_x)_3Al_5O_{12}:Ce_{0.06}$ . In contrast, the observed Stokes shift in  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  is much larger than that in  $(Y_{0.98-x}Gd_x)_3Al_5O_{12}$ . Notably, the Stokes shift determined from the difference of emission and excitation peak is not accurate because the position of emission can also shift to a large wavelength by re-excitation from the emission. However, it is speculated that the re-excitation does not have a dominant

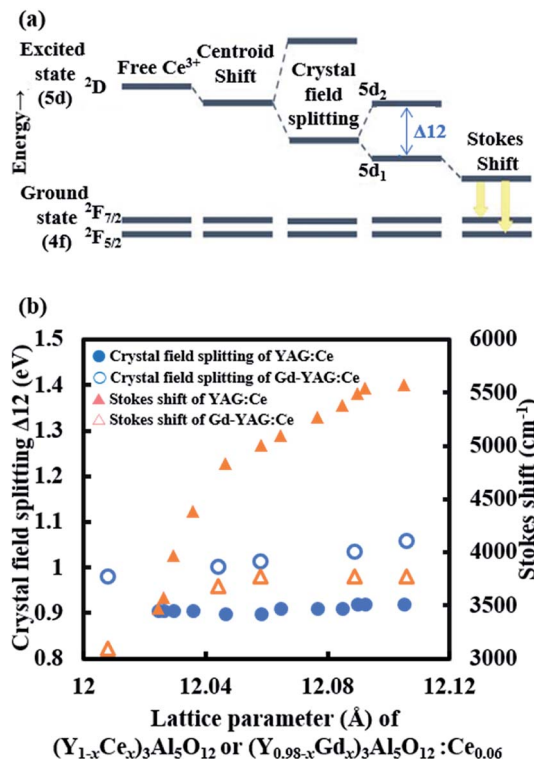


Fig. 13 (a) Energy level structure of  $Ce^{3+}$ . (b) Crystal field splitting and Stokes shift of  $(Y_{1-x}Ce_x)_3Al_5O_{12}$  in relation to the lattice constant. The value for  $(Y_{0.98}Gd_x)_3Al_5O_{12}:Ce_{0.06}$  is calculated from the data reported by Shi *et al.*<sup>41</sup> Ce concentration is described in a few different ways in literature. Data included here is converted to represent the description for  $(Y_{1-x}Ce_x)_3Al_5O_{12}$ .

effect on the massive red shift because the overlap energy between excitation and emission is within 3–4% in the entire range of  $x$ , which is very small to cause a considerable red shift.

Although the large Stokes shift is not frequently observed, it occurs in some materials.<sup>34,42</sup> For instance, Pan *et al.* reported the Stokes shift of up to 4456  $cm^{-1}$  in  $MgY_2Al_4SiO_{12}:Ce^{3+}$ <sup>40</sup> while that for YAG:Ce is 3221  $cm^{-1}$ . They emphasized the importance of anisotropy around  $Ce^{3+}$  from the neighboring  $Mg^{2+}$  ions in the dodecahedral site. Using the configuration coordinate model, it was explained that the large Stokes shift was induced by a large equilibrium between the excited and ground state due to anisotropy. As described in the previous section, it is speculated that a  $CeO_2$  framework with a size of a few nanometers is initially formed due to low temperature annealing, and  $Y^{3+}$  enters this framework to form  $Y_3Al_5O_{12}:Ce$ . In this case, it is possible that the spatial distribution of the Ce substituting the Y site is spatially inhomogeneous. Such inhomogeneous Ce distribution can induce local anisotropy around  $Ce^{3+}$ , which can induce a large Stokes shift as in the case of  $Mg^{2+}-Si^{4+}$ -doping. However, a detailed analysis of X-ray and neutron diffraction data is needed to confirm the existence of the nano-level elemental distribution.<sup>43</sup> In addition, another possible mechanism such as red shift by the defect due to carbon<sup>44</sup> should be considered. These studies are currently in progress.



## 4. Conclusion

(Y<sub>1-x</sub>Ce<sub>x</sub>)<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> crystal with high Ce content ( $x = 0.006\text{--}0.21$ ) was successfully prepared by a polymerized complex method *via* a two-step heating process for the first time. Low temperature annealing (650–750 °C) was essential to obtain (Y<sub>1-x</sub>Ce<sub>x</sub>)<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> ( $x \geq 0.11$ ). The precursor formed by annealing was in amorphous state with  $x < 0.11$ , while CeO<sub>2</sub> nanocrystals with sizes up to 3 nm were formed in the amorphous material with  $x \geq 0.11$ . The emission peak in (Y<sub>1-x</sub>Ce<sub>x</sub>)<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> ( $x = 0.006\text{--}0.21$ ) shifted from 538.4 to 606.2 nm, exhibiting orange-red emission. Despite the large lattice expansion of host crystal, crystal field splitting ( $\Delta_{12}$ ) did not show a significant increase. Hence, the large red shift could not be explained by the change in crystal field splitting, but instead by the Stokes shift. This study shows that a new optical property can be discovered in a well-known crystal. Future studies will focus on the detailed analysis of the crystal structure and dynamic process of electrons.

## Conflicts of interest

There are no conflicts of interest to declare.

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