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# Effect of alcohol addition on the structure and corrosion resistance of plasma electrolytic oxidation films formed on AZ31B magnesium alloy†

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The effect of the addition of alcohol to a  $\text{Na}_3\text{PO}_4$ -based electrolyte on plasma electrolytic oxidation (PEO) of AZ31B magnesium alloy was investigated. Anodization with spark discharge was conducted in  $\text{Na}_3\text{PO}_4$ -based electrolyte containing various alcohols (*e.g.*, ethanol, ethylene glycol, and glycerol) at a constant current density of  $200 \text{ A m}^{-2}$  and a constant temperature of  $25 \text{ }^\circ\text{C}$ . Voltage–time curves during the PEO process, the film structure, surface roughness, crystallographic structure, composition, corrosion resistance, and withstand voltage were investigated using various analytical equipment and electrochemical measurements. When the electrolyte containing alcohol was used, the initial bending voltage was higher than that observed using the basic electrolyte without alcohol addition, as was the oscillation voltage during the PEO process. For a given amount of electricity supplied, the addition of alcohol into the basic electrolyte tended to increase the thickness and corrosion resistance of PEO films formed while effectively reducing surface roughness. In particular, the addition of a polyhydric alcohol (*i.e.*, ethylene glycol and glycerol) could act not only as a leveler for the formation of compact film but also as an enhancer for film qualities, such as corrosion resistance and withstand voltage. The patterns observed for  $\text{Na}_3\text{PO}_4$ -based electrolyte containing alcohol also hold for  $\text{Na}_2\text{SiO}_3$ -based electrolyte containing alcohol.

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## 1 Introduction

Magnesium and its alloys have attracted attention as light-weight materials with various advantages, such as low density, high strength-to-weight ratio, and substantial recyclability. While such advantages have attracted the interest of researchers and engineers in various fields, including automotive, electronic, and medical devices, drawbacks remain, in particular, poor corrosion resistance. To improve corrosion resistance, alloying magnesium with other elements and/or various surface treatments (*e.g.*, chemical conversion, electroless plating, and anodization) have been studied in recent decades.<sup>1–6</sup>

Among several types of surface treatments, anodization with spark discharges at a high voltage (so-called plasma electrolytic oxidation (PEO) or micro-arc oxidation (MAO)) is expected to improve the corrosion resistance of magnesium and its alloys.<sup>7–9</sup> In the PEO process for magnesium alloys, alkaline solutions containing phosphate,<sup>10–13</sup> silicate,<sup>14–16</sup> mixtures of phosphate and silicate,<sup>17–19</sup> or fluoride<sup>20–23</sup> are generally used. In recent years, the PEO process in an electrolyte containing various particles as additives has also been studied extensively as an

approach for improving film properties.<sup>24–26</sup> When corrosive solutions penetrate in the structural defects (including pores and cracks) of the PEO films, the localized corrosion of the substrate occurs. Therefore, the PEO films having a compact barrier-type passive layer give excellent corrosion resistance. In particular, the PEO process in an electrolyte containing various additives provides a beneficial impact on corrosion resistance due to reduction in coating porosity as well as increase in compactness. For details of corrosion resistance of the PEO films, see review papers.<sup>8,9</sup>

Recently, we investigated the microstructures and corrosion resistance of the anodic oxide films formed on AZ31B magnesium alloy by direct current (DC) anodization under continuous sparking in alkaline phosphate electrolyte.<sup>27–29</sup> In our previous studies, we found that the microstructures (*e.g.*, diameter and number of pores/spherical cavities) of the anodic films formed under sparking were strongly affected not only by the formation voltage itself but also by the degree of sparking, in particular, the size, number, and appearance frequency of sparks.<sup>27</sup>

In general, corrosion and wear resistance of PEO films are improved by the hard, ceramic-like coatings several tens of microns in thickness. Therefore, the formation of such PEO films requires voltage and current considerably higher than those required in conventional DC anodization without sparking. In addition, corrosion resistance is considered difficult to ensure *via* the PEO process alone. Therefore, the improvement

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of corrosion resistance by secondary treatments, such as a two-step PEO<sup>30,31</sup> and post-sealing treatment,<sup>32–34</sup> are also being studied. In other words, further fundamental study is needed to develop a PEO process with lower energy consumption, low cost, and high efficiency.

In the present study, the effect of electrolysis conditions on the electrochemical formation behavior, microstructure, thickness, composition, and corrosion resistance of anodic films formed on AZ31B magnesium alloy was investigated with a focus on the addition of alcohols to the electrolyte. This focus has been relatively little studied compared with the numerous PEO studies using fluoride or particles as additives,<sup>20–26</sup> especially with respect to the properties of the formed films. We have previously reported on anodization of magnesium in an electrolyte containing ethylene glycol, noting that organic species, unlike simple aqueous electrolytes, have a positive effect on corrosion resistance.<sup>35</sup> Moreover, in recent years, we found that the addition of alcohol into an electrolyte improves the formation efficiency of anodic alumina on aluminum during mild anodization.<sup>36,37</sup> Based on this knowledge, we focused on the effect of alcohol as an additive and investigated the influence of electrolyte composition on the properties of PEO films. Sodium phosphate (Na<sub>3</sub>PO<sub>4</sub>), which is generally used for the PEO process, was used as the main electrolyte, whereas sodium silicate (Na<sub>2</sub>SiO<sub>3</sub>) was used for comparison. Corrosion resistance and passivity were evaluated by simple salt immersion tests and voltage sweeps instead of typical electrochemical tests (*e.g.*, potentiodynamic polarization and electrochemical impedance spectroscopy measurement using a three-electrode system).

## 2 Experimental

### 2.1 Specimens and PEO process

An extruded plate of AZ31B alloy with a thickness of 1 mm was cut into pieces to give a working area of 5 cm<sup>2</sup> to be used as specimens. The chemical composition of the alloy was the same as previously used.<sup>29</sup> Prior to PEO treatment, the specimens were degreased in acetone in an ultrasonic bath for 3 min and immersed in a mixed acid solution of 10 vol% HNO<sub>3</sub> and 2 vol% H<sub>3</sub>PO<sub>4</sub> for 20 s at room temperature.

DC anodization was conducted mainly in 0.25 mol dm<sup>-3</sup> Na<sub>3</sub>PO<sub>4</sub> or Na<sub>2</sub>SiO<sub>3</sub> containing alcohol, at a constant current density of 200 A m<sup>-2</sup> at 25 °C. A stainless steel sheet was used as a cathode. Various alcohols, such as ethanol (abbreviated EtOH), ethylene glycol (EG), and glycerol (GLY), were used as additives without further purification. To clarify the effect of alcohol itself on the PEO process, other additives, such as fluoride, were not added to the basic electrolyte. As a reference, the single electrolyte without the addition of alcohol and 0.5 mol dm<sup>-3</sup> Na<sub>3</sub>PO<sub>4</sub> were also used for the PEO process.

### 2.2 Characterization

The morphology of anodic film obtained by the PEO process was evaluated using field-emission scanning electron microscopy (FESEM, JEOL JSM-6701F) and three-dimensional laser

scanning microscopy (KEYENCE VK-X200). The thickness of the anodic films was measured using an eddy-current coating-thickness tester (Kett Electric Laboratory LH-373). The average value of 20 point measurements was used. The crystallographic structure of PEO films was evaluated by X-ray diffractometry (XRD, Rigaku MiniFlex600). Glow discharge optical emission spectroscopy (GD-OES, Jobin-Yvon JY5000RF) was used for measuring the depth profiles of constituent elements in the films accompanied by argon ion sputtering.

The corrosion resistance of PEO films was evaluated using an immersion test in a 0.1 mol dm<sup>-3</sup> NaCl aqueous solution at 30 °C for 100 h, as described elsewhere.<sup>29</sup> The passivity (the effectiveness of the insulation) of anodized specimens was evaluated by voltage sweeping of the specimen at 1 V s<sup>-1</sup> in 0.25 mol dm<sup>-3</sup> Na<sub>3</sub>PO<sub>4</sub>. Because solvent action induces a more complex situation in the case of electrolytes that dissolve the oxide (*e.g.*, acid or neutral solution), 0.25 mol dm<sup>-3</sup> Na<sub>3</sub>PO<sub>4</sub> was used as the test solution. Voltage sweeping was conducted using DC power supply (Takasago GP0500-1R) and sweep adapter (Takasago AP-4KS). In all cases, the test solution, exposed to ambient air, was thermostatically controlled at 25 °C. Here the current–voltage (*I*–*V*) characteristic for anodized specimens was defined as the relationship of the measured leakage current through the PEO films to the given voltage.

## 3 Results and discussion

### 3.1 Voltage–time curves during the PEO process in Na<sub>3</sub>PO<sub>4</sub>-based electrolytes

Fig. 1 presents typical voltage–time (*V*–*t*) curves for constant current density anodization of AZ31B magnesium alloys at 200 A m<sup>-2</sup> in Na<sub>3</sub>PO<sub>4</sub> solutions with and without different types of alcohol as an additive. To investigate the influence of the purity of magnesium substrate on the PEO process, *V*–*t* curves for 99.95% magnesium are shown for comparison (Fig. 1a).

At an initial stage of anodization (~1 min), the voltage increased almost linearly, suggesting a formation of a compact oxide film with electrical resistance. *V*–*t* curves bent at around 200 V; 200 V was defined as the bending voltage (*i.e.*, the first breakdown voltage). At this time, the film growth process changed from the formation of a barrier-type oxide film to a porous-type film and PEO film, as previously reported.<sup>29</sup> Subsequently, voltage increased gradually with voltage oscillation. Fundamental variation of voltage was basically similar regardless of the purity of magnesium substrate.

In the case of electrolyte containing EtOH as an additive, no obvious increase in voltage was observed after the *V*–*t* curve bent at around 200 V. The electrolyte containing EtOH was not suitable for film formation probably due to slightly low pH (pH = 12.65) and weak base compared with that containing Na<sub>3</sub>PO<sub>4</sub> alone (pH = 12.73). It is well known that the dielectric constant of the medium plays an important role, controlling the properties of the solution, such as conductivity and degree of dissociation.<sup>38,39</sup> Because the dielectric constant of an alcohol–water mixture generally decreases with increasing alcohol content,<sup>38</sup> the dissociation of electrolyte might be suppressed by the addition of EtOH. That is, this result



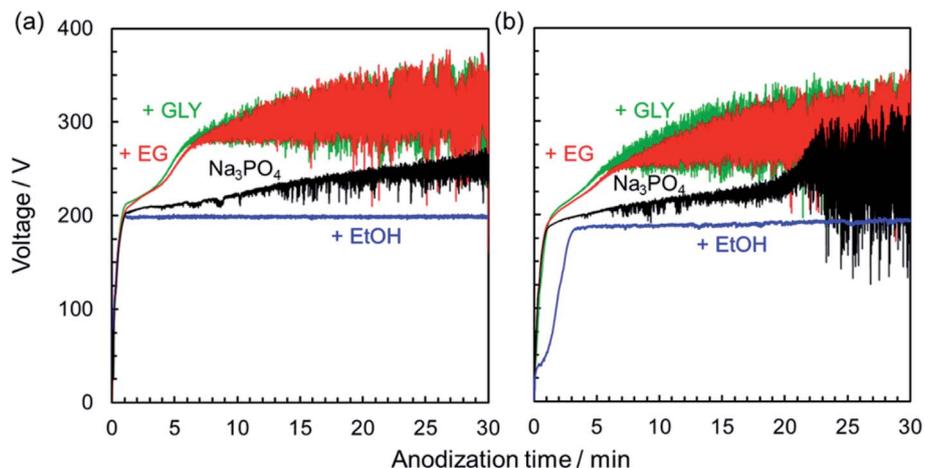


Fig. 1 Voltage–time curves for the anodization of (a) 99.95% magnesium and (b) AZ31B magnesium alloy in  $0.25 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  with 5 vol% various alcohols under a constant current density of  $200 \text{ A m}^{-2}$  at  $25^\circ \text{C}$ .

indicates that a certain concentration of dissociated ions is necessary to start the growth of an anodic oxide film through the PEO process.

On the other hand, when EG or GLY was used as an additive, the rates of the increase of voltage and attainable voltage were higher than when  $\text{Na}_3\text{PO}_4$  was used without alcohol. Ionic conductivity might be reduced by the addition of alcohol in the  $\text{Na}_3\text{PO}_4$ -based electrolyte. In other words, the electrolyte resistance was increased simply by the viscosity contribution of added EG or GLY.

Anodization of magnesium alloy with spark discharge is considered to be divided into three or four stages: normal anodization, spark anodization, micro-arc oxidation, and arc oxidation.<sup>9,40–42</sup> This behavior is similar to that observed in the PEO treatment of aluminum.<sup>43</sup> To analyze in greater detail the  $V$ - $t$  response and phenomena occurring on the magnesium

substrate surface during the PEO process, the relationship between the  $V$ - $t$  curves and discharge characteristics observed on the specimen surface was investigated. Fig. 2 presents the change in the surface appearance of AZ31B magnesium alloys during the PEO process, corresponding to marked points on  $V$ - $t$  curves. In the case of a  $0.25 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  solution, the voltage increased almost linearly at the initial stage. After the  $V$ - $t$  curve bent at around 190 V, voltage oscillation was observed. Voltage increased gradually up to approximately 210 V after 20 min. During this stage, many small, bright sparks appeared and moved along the surface.

After PEO treatment for 20 min, strong voltage oscillation with intense sparking discharge and gas evolution began. During this stage, the small white sparks turned into large orange sparks, and sparks were localized at the specimen edge.

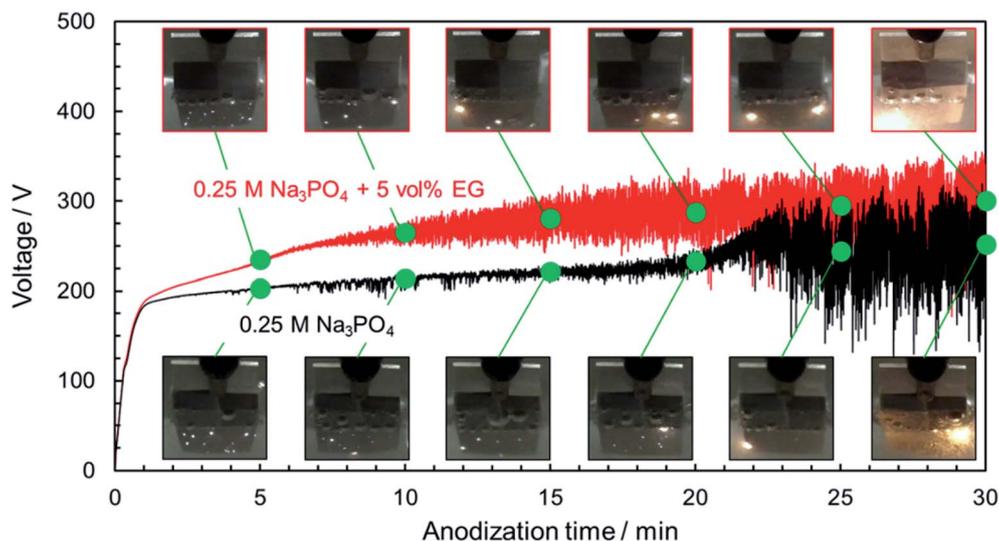


Fig. 2 Photographs showing the change in surface appearance of AZ31B specimens during the PEO process, corresponding to marked points in  $V$ - $t$  curves. Anodization conditions were the same as those in Fig. 1(b).



The spark size and strength increased, whereas the number of sparks decreased with time.

In the case of  $0.25 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  with 5 vol% EG, the rate of voltage increase was higher than that for  $\text{Na}_3\text{PO}_4$  alone in the region above 190 V. Strong voltage oscillation began earlier than that for  $\text{Na}_3\text{PO}_4$  alone. If a specific thickness (or a specific oxide resistance) is necessary to initiate voltage oscillation, the addition of EG is thought to be effective in forming a compact oxide with higher resistance at an earlier stage. Moreover, sparking was distributed more widely than in the case of  $\text{Na}_3\text{PO}_4$  alone. Although intense voltage oscillation occurred in the region after 10 min, the orange sparks moved slowly across the surface. It is

clear that the addition of alcohol into electrolyte affects the behavior of film formation during the PEO process.

### 3.2 PEO film thickness, surface morphology, and roughness

Fig. 3 presents the relationship between film thickness and reaction time. Corresponding data with error bar are shown in the ESI (Fig. S1†). In the case of DC constant-current anodization, the thickness of the anodic oxide film is known to be determined predominantly by the amount of electric charge (*i.e.*, the anodization time at constant current). Even in the present PEO process, it was confirmed that the relationship between film thickness and anodization time was approximately linear in all cases, although the slope of the linear trend varied depending on the type of electrolyte. The proportionality constant of film thickness for a given reaction time, *i.e.*, the growth rate of the anodic films, was approximately  $2 \mu\text{m min}^{-1}$ .

In the case of ethanol addition, however, the growth rate of the anodic film was less than  $1 \mu\text{m min}^{-1}$ . Within the present experimental conditions, the growth rate in  $\text{Na}_3\text{PO}_4$  with EtOH was lower than in  $\text{Na}_3\text{PO}_4$  with EG or GLY. The relatively low efficiency of film formation in  $0.25 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  with 5 vol% EtOH is attributable mainly to low alkaline phosphate level as described above. In the case of  $0.25 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  with 5 vol% EG (pH = 12.73) and GLY (pH = 12.70), electrolyte pH was almost the same as that of  $0.25 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  (pH = 12.73), and the growth rate was equal to or greater than that for  $\text{Na}_3\text{PO}_4$  alone. PEO films formed under present conditions exhibited grayish appearance. In particular, PEO films formed in  $\text{Na}_3\text{PO}_4$  with GLY dark gray (see Fig. 10).

The effect of electrolyte composition on surface roughness was also evaluated using three-dimensional laser scanning

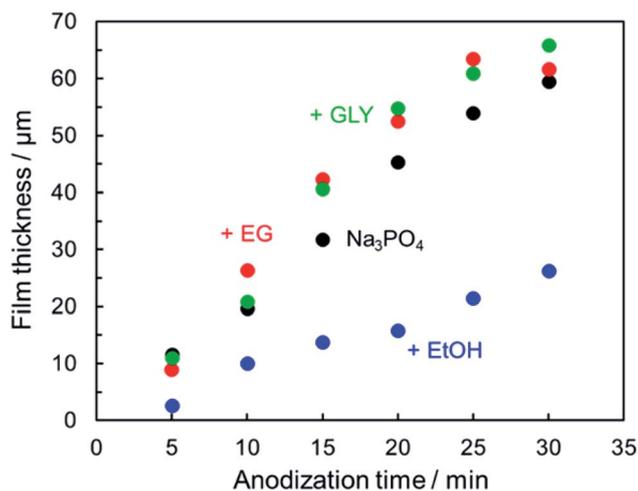


Fig. 3 Thickness of the resulting PEO film as a function of anodization time. Anodization conditions were the same as those in Fig. 1(b).

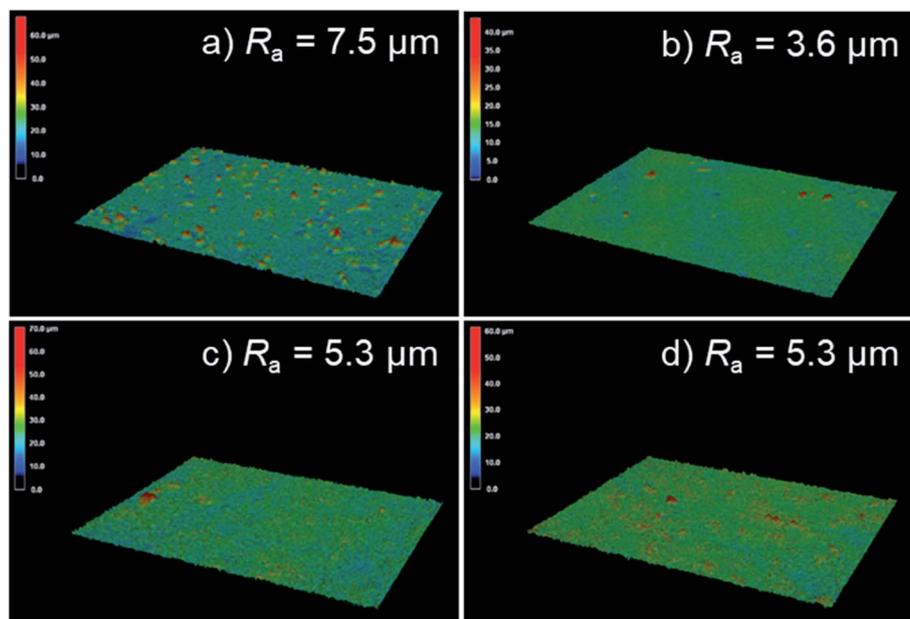


Fig. 4 3D laser microscope images of anodized AZ31B specimen surfaces prepared in  $0.25 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  with 5 vol% various alcohols under a constant current density of  $200 \text{ A m}^{-2}$  at  $25 \text{ }^\circ\text{C}$  for 5 min: (a)  $\text{Na}_3\text{PO}_4$  without addition of alcohol, (b)  $\text{Na}_3\text{PO}_4$  with 5 vol% EtOH, (c) 5 vol% EG, and (d) 5 vol% GLY.



microscopy. Fig. 4 presents the surface laser microscope images obtained after anodization for 5 min in  $0.25 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  with 5 vol% various alcohols. The addition of alcohol led to an obvious change in the surface morphology of PEO films. The arithmetic average roughness  $R_a$  of the film formed in  $\text{Na}_3\text{PO}_4$  was  $7.5 \mu\text{m}$ , as presented in Fig. 4a. The  $R_a$  for the film formed in  $\text{Na}_3\text{PO}_4$  containing EtOH was the least rough, at  $3.6 \mu\text{m}$ , but this is probably because the film itself was thin, as presented in Fig. 3. On the other hand, the films formed in  $\text{Na}_3\text{PO}_4$  with EG or GLY showed lower  $R_a$  even at similar film thicknesses and higher voltage conditions than that in  $\text{Na}_3\text{PO}_4$  alone at the same anodization duration (Fig. 4c and d). Although the spark discharge was expected to be intense due to the high voltage reached, it was actually possible to form a relatively compact film with a low surface roughness. This is thought to be due to the improvement of spark dispersion by the addition of alcohol into  $\text{Na}_3\text{PO}_4$ -based electrolyte, especially highly viscous alcohols (*i.e.*, EG and Gly).

Fig. 5 presents surface SEM images obtained after anodization for 10 min in  $0.25 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  with 5 vol% various alcohols. Numerous pores and cracks were observed at the surface of the uneven PEO films. The characteristic film structure implies that the PEO film was formed in a molten state

caused by plasma and solidified after rapid cooling, as reported by many researchers. In the case of  $\text{Na}_3\text{PO}_4$  alone, surface roughness was higher than that for other anodization conditions. This result was consistent with the surface roughness trend presented in Fig. 4.

Surface morphology was strongly affected by electrolyte composition. As seen in Fig. 5c–f, the number of protrusions, pores, and cracks decreased with the addition of alcohols, especially GLY. Because numerous intense sparks were distributed over the entire surface of the specimen, PEO films could be formed with smoother surfaces and with fewer voids and cracks than those obtained for  $\text{Na}_3\text{PO}_4$  alone. Concerning the addition of GLY, Wu *et al.* reported a similar leveling effect for the PEO of AZ91D magnesium alloy in  $\text{Na}_2\text{SiO}_3$ – $\text{NaOH}$ – $\text{Na}_2\text{EDTA}$  electrolyte with GLY addition.<sup>44</sup> They argued that the addition of GLY into silicate electrolyte leads to increase of the unit-area adsorptive capacity of the negative ions at electrolyte/anode interface and thus improves the compactness and corrosion resistance of the PEO films.<sup>44</sup> Qiu *et al.* also studied the PEO of ZK60 magnesium alloy in  $\text{Na}_2\text{SiO}_3$ – $\text{KOH}$ – $\text{NaF}$  electrolyte with GLY addition.<sup>45</sup> The results of molecular dynamics simulations showed that GLY molecule adsorbed at the surface of the PEO films and could decrease the number of cracks and

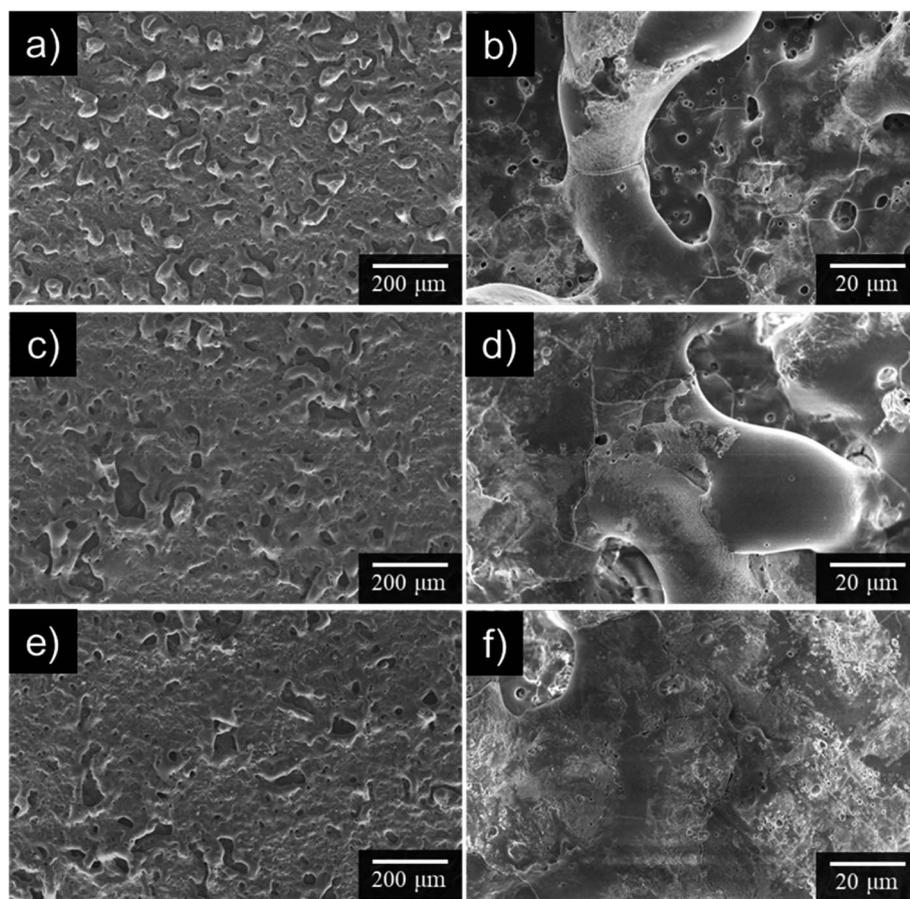


Fig. 5 SEM images of anodized AZ31B specimen surfaces prepared in  $0.25 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  with different additives under a constant current density of  $200 \text{ A m}^{-2}$  at  $25 \text{ }^\circ\text{C}$  for 10 min: (a and b) no addition, (c and d) 5 vol% EG, and (e and f) 5 vol% GLY. (b, d and f) High-magnification images of each specimen.



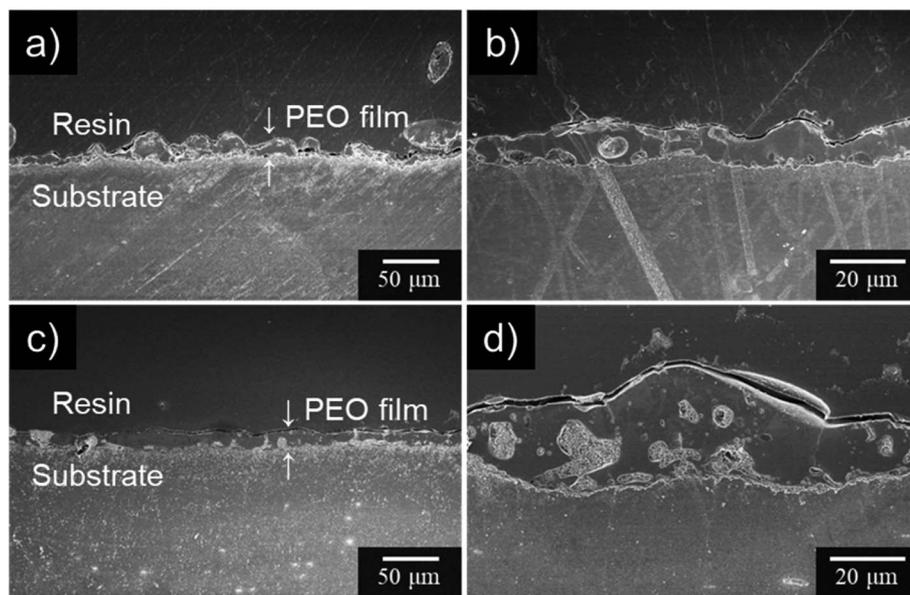


Fig. 6 Cross-sectional SEM images of anodized AZ31B specimens prepared in  $0.25 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  with EG addition under a constant current density of  $200 \text{ A m}^{-2}$  at  $25^\circ\text{C}$  for 10 min: (a and b) no addition, (c and d) 5 vol% EG.

increase the thickness of the PEO films. Moreover, they reported that the active sites on the surface during the PEO process are divided into smaller sizes leads to the decrease of the volume of oxygen bubble.<sup>45</sup> Because the composition of the electrolyte in their studies was complex, however, it is difficult to extract a simple explanation of the effect of alcohol as an additive.

Cross-sectional SEM images of the specimens after PEO treatment for 10 min are presented in Fig. 6. When PEO was conducted in  $0.25 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  alone, the resulting PEO film thickness was uneven. The spatial heterogeneity of the film thickness is thought to be due to the manner of discharge and movement of sparks. This tendency was consistent with the results of Fig. 3 and 4. On the other hand, PEO film formed in  $\text{Na}_3\text{PO}_4$  with EG exhibited relatively uniform film thickness (Fig. 6b and d).

### 3.3 XRD measurements

Fig. 7 presents the XRD patterns of films formed by PEO treatment in  $0.5 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  with EG addition under a constant current density of  $200 \text{ A m}^{-2}$  at  $25^\circ\text{C}$  for 10 min. Here, the concentration of electrolyte was changed from  $0.25 \text{ mol dm}^{-3}$  to  $0.5 \text{ mol dm}^{-3}$ , which was the standard concentration in our previous studies.<sup>29</sup> Corresponding data for anodization in  $0.5 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  alone were published earlier,<sup>29</sup> and they are shown in Fig. S2.† Although the concentration of  $\text{Na}_3\text{PO}_4$  used for PEO treatment was different from the standard concentration ( $0.25 \text{ mol dm}^{-3}$ ) of the present study, the alcohol addition effect on  $V-t$  transient and spark discharge showed almost the same trend as shown in Fig. S2.† Therefore, the influence of the base concentration on the crystallinity and constituent phases of the obtained PEO film is considered to be small. From the XRD results, the diffraction peaks of Fig. 7b and c were almost the same. Both PEO films were composed mainly

of  $\text{Mg}(\text{OH})_2$ ,  $\text{Mg}_3(\text{PO}_4)_2$ , and a small amount of  $\text{MgO}$ . It is credible that the addition of EG had little influence on the constituent phases and the crystallographic structures of PEO films. For details, see Fig. S3 in the ESI.†

### 3.4 GD-OES measurements

To evaluate the distribution of constituent elements in the PEO film formed in  $\text{Na}_3\text{PO}_4$  with EG or GLY, the GD-OES depth profiles were measured as shown in Fig. 8. The GD-OES depth

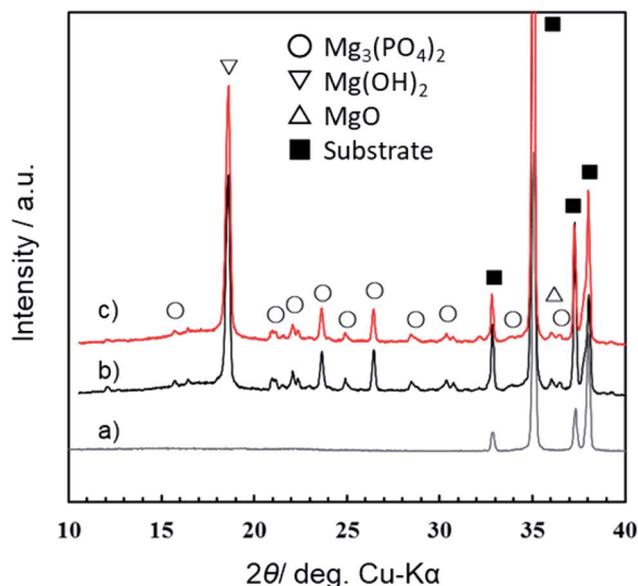


Fig. 7 XRD patterns for the specimens after PEO treatment in  $0.5 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  with EG addition under a constant current density of  $200 \text{ A m}^{-2}$  at  $25^\circ\text{C}$  for 10 min: (a) substrate, (b)  $\text{Na}_3\text{PO}_4$  without addition, (c) 5 vol% EG addition.



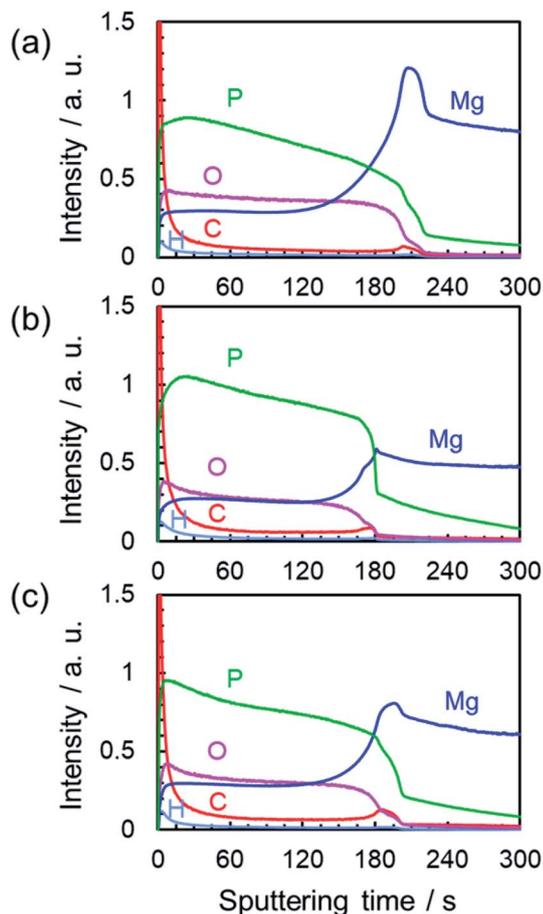


Fig. 8 GD-OES depth profiles of constituent elements in the PEO films prepared in  $0.25 \text{ mol dm}^{-3} \text{ Na}_3\text{PO}_4$  with 5 vol% various alcohols under a constant current density of  $200 \text{ A m}^{-2}$  at  $25^\circ\text{C}$  for 10 min: (a)  $\text{Na}_3\text{PO}_4$  without addition of alcohol, (b)  $\text{Na}_3\text{PO}_4$  with 5 vol% EG, and (c) 5 vol% GLY.

profiles for the film formed in  $\text{Na}_3\text{PO}_4$  without alcohol addition are shown for comparison (Fig. 8a). The depth profiles of constituent elements in the film indicate the composition of the film involving substrate-derived element (Mg) and electrolyte-derived P, O and H species. The Mg and O profiles show relatively uniform distributions of these species in the thickness direction while the concentration of P increases toward the outer regions irrespective of the electrolyte composition.

On the other hand, carbon (C) was also detected in the film and seemed to be slightly condensed at the outermost surface and the film/substrate interface in all cases. However, there was little difference between the C profiles in Fig. 8. It should be noted that there is no carbon source in the case of  $\text{Na}_3\text{PO}_4$  alone (Fig. 8a). Therefore, the carbon was considered to be deposited as organic contaminants when surfaces were exposed to electrolyte and room air in all cases. Thus, it was estimated that the addition of alcohol (EG and GLY) had little influence on the composition of the PEO films. This tendency is consistent with the XRD results (Fig. 7 and S3†).

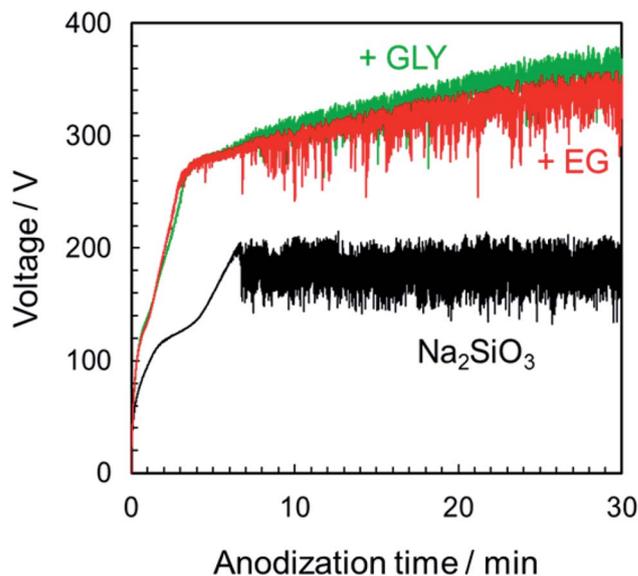


Fig. 9 Voltage–time curves for the anodization of AZ31B magnesium alloy in  $0.25 \text{ mol dm}^{-3} \text{ Na}_2\text{SiO}_3$  with 5 vol% various alcohols under a constant current density of  $200 \text{ A m}^{-2}$  at  $25^\circ\text{C}$ .

### 3.5 Voltage–time curves during the PEO process in $\text{Na}_2\text{SiO}_3$ -based electrolytes

To understand the nature of the effects of basic electrolyte, the basic electrolyte was changed from  $\text{Na}_3\text{PO}_4$  to  $\text{Na}_2\text{SiO}_3$ . Fig. 9 presents the  $V$ - $t$  curves in  $\text{Na}_2\text{SiO}_3$  solutions with and without different alcohols as additives. As in Fig. 1b, the voltage increased in the additive system. In the case of  $\text{Na}_2\text{SiO}_3$  alone, the maximum attainable voltage was approximately 200 V. After 7 min, the voltage reached 200 V, and voltage oscillation began. However, because the spark was localized at the edge of specimen, uniform film formation over the entire specimen surface could not be achieved. By addition of alcohol, the voltage increased to approximately 280 V and began to oscillate. Subsequently, the voltage continued to rise slowly as presented in Fig. 9. The PEO film could form uniformly over the entire specimen surface.

### 3.6 Film properties

To evaluate the corrosion resistance of anodized specimens, immersion tests were conducted in  $0.1 \text{ mol dm}^{-3} \text{ NaCl}$  aqueous solution for 100 h. Here, no posttreatment was applied, *e.g.*, sealing to improve corrosion resistance. Fig. 10 presents the surface appearance of each specimen before and after the immersion test. Discoloration was observed on the specimen surfaces treated in electrolytes without alcohol addition, as well as on those treated in  $\text{Na}_3\text{PO}_4$  with EtOH addition. The insufficient corrosion resistance for the specimens treated in  $\text{Na}_3\text{PO}_4$  with EtOH addition, as well as for those treated in  $\text{Na}_2\text{SiO}_3$  alone, is mainly due to the thin-film thickness. Although the average film thickness of specimens prepared in  $\text{Na}_3\text{PO}_4$  alone was relatively thick at  $21 \mu\text{m}$ , obvious discoloration was observed. Probably the thickness of the PEO film was uneven, as



	Na <sub>3</sub> PO <sub>4</sub>	+ EtOH	+ EG	+ GLY	Na <sub>2</sub> SiO <sub>3</sub>	+ EG	+ GLY
As-anodized							
Immersion for 100 h							
Film thickness / μm	21	10	24	23	1	17	16

Fig. 10 Photographs of anodized AZ31B specimens before and after immersion test for 100 h in 0.1 mol dm<sup>-3</sup> NaCl aqueous solution. Film thickness of the corresponding PEO film is also shown.

presented in Fig. 6a and b, the corrosion resistance of thin parts of the film being consequently insufficient.

For PEO films prepared in electrolytes with EG and GLY, no discoloration or pitting was observed regardless of the type of basic electrolyte. Corrosion resistance clearly increased with the addition of alcohol. Even in the case of Na<sub>2</sub>SiO<sub>3</sub> with EG or GLY, specimens exhibited good corrosion resistance despite film thicknesses under 20 μm and without posttreatment, such as sealing. It seems the relatively compact films prevent the penetration of corrosive chemicals (*e.g.*, chloride ion) into the films, acting as protective films with good corrosion resistance.

Corrosion resistance is often evaluated by the corrosion potential or corrosion current density obtained from potentiodynamic polarization curves. Although polarization curves may show the susceptibility of the material to corrosion in designated environments, it is not a suitable method for evaluating the compactness and withstand voltage of PEO films with relatively thick films ranging from several microns to several tens of microns.

To evaluate the corrosion resistance and passivity of such PEO films from a macroscopic point of view, *I-V* characteristics measured by the voltage sweep method were analyzed. The principle of investigating the characteristics of oxide coatings is similar to that described in a previous study reported by Hunter *et al.*<sup>46</sup> According to their report, if any DC voltage less than the anodization voltage is applied, only leakage current will be detected. If any value above the anodization voltage will induce current flow greater than the leakage value. Here, to evaluate the passivity (the effectiveness of the insulation) of anodized specimens, the classical technique was applied.

Typical current transients obtained for anodized specimens are presented in Fig. 11. The specimens were prepared by PEO treatment for 10 min in various electrolytes with and without alcohol addition. In the *I-V* curves obtained by voltage sweep, the withstand voltage was defined as the voltage at the point at which the current increased rapidly (Fig. 11a and c). The withstand voltage provides useful information for the limits of insulation systems of the PEO films depending on the type of

electrolyte. Therefore, *I-V* characteristics of both PEO films formed in Na<sub>3</sub>PO<sub>4</sub> and Na<sub>2</sub>SiO<sub>3</sub> were compared.

In the case of Na<sub>3</sub>PO<sub>4</sub>, relatively low current density of less than 15 A m<sup>-2</sup> was observed at voltages of up to approximately 210 V (Fig. 11b). An increase in current was observed immediately after reaching 210 V. No such rapid current increase was observed at that juncture for the specimens prepared in Na<sub>3</sub>PO<sub>4</sub> with EG or GLY. The currents measured at 210 V for both these specimens were less than 20 A m<sup>-2</sup>, which was the same as that for the specimen prepared in Na<sub>3</sub>PO<sub>4</sub> alone. After reaching 230 V, a rapid current increase was observed for the specimens prepared in Na<sub>3</sub>PO<sub>4</sub> with EG and GLY. Based on these results, the withstand voltage of specimens prepared in Na<sub>3</sub>PO<sub>4</sub> with alcohol was approximately 20 V higher than that of the specimen prepared in Na<sub>3</sub>PO<sub>4</sub> alone.

The same test was conducted for the specimens prepared using Na<sub>2</sub>SiO<sub>3</sub>. In the case of Na<sub>2</sub>SiO<sub>3</sub> alone, a current density of less than 40 A m<sup>-2</sup> was observed at voltages of up to approximately 170 V (Fig. 11d). After reaching 170 V, the current increased rapidly. On the other hand, for the specimens prepared in Na<sub>2</sub>SiO<sub>3</sub> with EG and GLY, a rapid current increase was observed only after reaching approximately 220 V. Thus, the withstand voltage clearly increased with the addition of a polyhydric alcohol (EG and GLY) into Na<sub>2</sub>SiO<sub>3</sub>-based electrolyte.

PEO films can be roughly divided into two parts: the inner layer and the outer layer. In general, the void sizes in the outer layer of PEO films are larger than those in the inner layer, indicating relatively greater compactness of the inner layer. Therefore, the present method was probably evaluating the compactness of the inner layer lying adjacent to the substrate. Weak spots in the PEO films likely allowed electrolyte solutions to migrate inward, resulting in substrate dissolution underneath the PEO films and subsequent rapid current increase during the voltage sweep. The tendency to increase withstand voltage by adding alcohol into basic electrolyte was confirmed even when the type of basic electrolyte was changed. In addition, highly corrosion-resistant films presented in Fig. 10 indicated high withstand voltage. Adding alcohol not only reduced the surface roughness of the PEO films due to the leveling



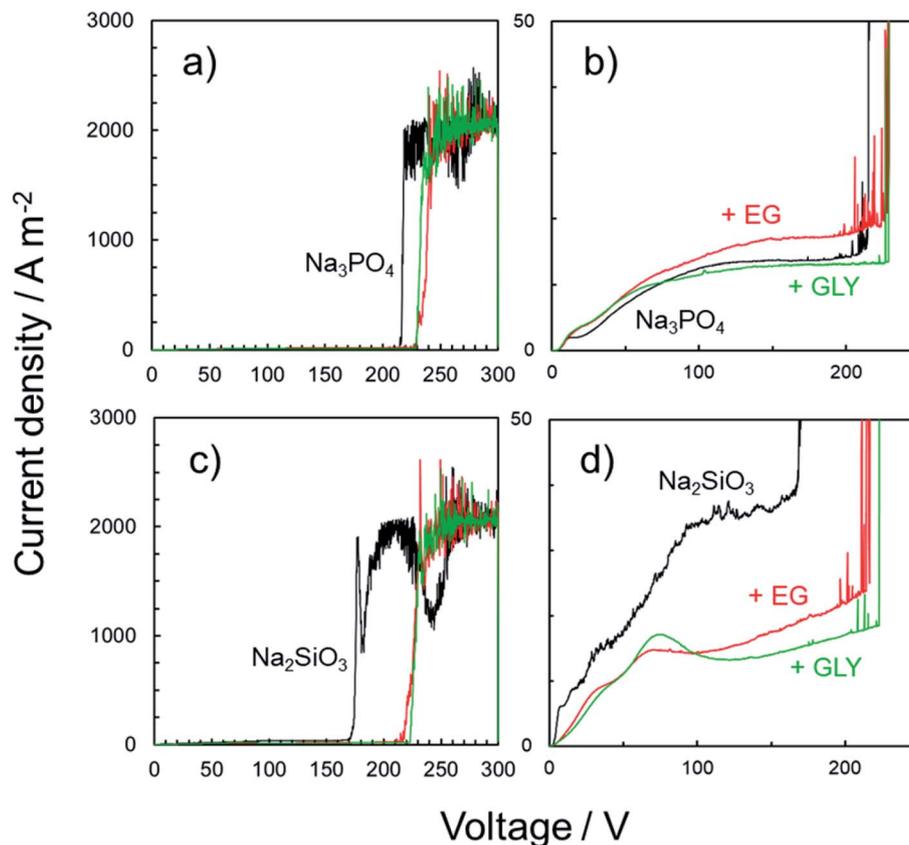


Fig. 11  $I$ - $V$  characteristic curve for the anodized specimens evaluated by voltage sweep. Anodization was carried out in (a and b)  $0.25 \text{ mol dm}^{-3}$   $\text{Na}_3\text{PO}_4$  and (c and d)  $0.25 \text{ mol dm}^{-3}$   $\text{Na}_2\text{SiO}_3$  with 5 vol% various alcohols under a constant current density of  $200 \text{ A m}^{-2}$  at  $25^\circ\text{C}$  for 10 min. After PEO treatment, voltage sweep was conducted in  $0.25 \text{ mol dm}^{-3}$   $\text{Na}_3\text{PO}_4$  at  $1 \text{ V s}^{-1}$  up to 300 V at  $25^\circ\text{C}$ . (b and d) Enlarged  $I$ - $V$  curves for each specimen.

action but was also effective in improving film properties (*e.g.*, withstand voltage, compactness, and corrosion resistance) by reducing structural defects (including pores, voids and cracks) in the PEO films. Although there is room for further investigation, the present approach is considered to constitute a valid method for the formation of compact PEO films with high corrosion resistance.

## 4 Conclusions

This research explored the effect of alcohol addition (*e.g.*, EtOH, EG, and GLY) to a  $\text{Na}_3\text{PO}_4$ -based electrolyte on the formation behavior, structure, and various properties of the PEO films formed on AZ31B magnesium alloy.  $\text{Na}_2\text{SiO}_3$ -based electrolyte was also used for comparison. The following conclusions were obtained as a result.

(1) The initial bend voltage and the steady-state oscillation voltage were both strongly dependent on electrolyte composition, both increasing with the addition of alcohol into the basic electrolyte, except for EtOH addition.

(2) For a given amount of electricity supplied, the thickness and corrosion resistance of PEO films were increased by the addition of EG and GLY. PEO films prepared in  $\text{Na}_3\text{PO}_4$  containing EG or GLY were thicker than films prepared in  $\text{Na}_3\text{PO}_4$

alone. In addition, surface roughness was effectively reduced by the addition of EG or GLY compared with that of films prepared in  $\text{Na}_3\text{PO}_4$  alone.

(3) EG or GLY used as an additive could act not only as a leveler for the formation of compact film but also as an enhancer for film qualities (*e.g.*, corrosion resistance and withstand voltage), resulting in the formation of the PEO films with fewer structural defects.

## Conflicts of interest

There are no conflicts to declare.

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