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# Experimental and DFT study of the effect of mercaptosuccinic acid on cyanide-free immersion gold deposition†

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In order to search for an effective alternative to cyanide for gold plating, mercaptosuccinic acid (MSA) was selected as the complexing agent of Au<sup>+</sup> by open circuit potential tests and gold plating compared with 1-hydroxyethylidene-1,1-diphosphonic acid and aminomethylphosphonic acid. For the first time, a novel, stable, slightly acidic and cyanide-free gold plating bath was prepared. Scanning electron microscopy, Tafel tests, and tin dipping tests showed that the Cu/Ni-P/Au coating had a fine and even grain size, no black pad, good corrosion resistance, and good weldability. Quantum chemical calculations based on density functional theory were used to further study complexants and complexes. Molecular electrostatic potential indicates that Au<sup>+</sup> approaches MSA in the direction of C=O. Frontier molecular orbital theory, atomic contribution to orbital composition, condensed local softness, and average local ionization energy indicate that the coordination capacity of the S atom in MSA is much stronger than that of other atoms. Fuzzy bond order analysis shows that the S–Au–S coordination structure is the most stable form in the plating solution. UV-visible absorption spectroscopy clarifies that the wavelength is redshifted when MSA–Au(I) ions form.

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## 1. Introduction

A gold film based on Ni–P matrix has excellent properties, such as conductivity, good solderability, and corrosion resistance.<sup>1</sup> So it has been widely used in printed circuit boards as a typical surface processing. Usually, this processing consists of an electroless Ni–P coating covered with a thin gold film. A traditional plating bath contains cyanide as a complexing agent to keep the bath stable, preventing Au(I) from forming Au(III) and metallic Au(0) because of disproportionation reaction,<sup>2</sup> and allowing the formation of an excellent gold film. However, cyanide brings extremely high risks to human health and the environment.<sup>3</sup> In addition, cyanide easily penetrates into photoresist, resulting in photoresist dissolution or cracking,<sup>2</sup> so a series of cyanide-free gold plating solutions have been developed as alternatives.

For a cyanide-free gold plating solution, many researchers have developed their own plating systems, but there are still various shortcomings. The electrolyte solution contains sulfite as a main complexing agent which is easily decomposed under

acidic condition. In order to ensure the stability of the plating solution, it is necessary to operate in alkaline condition. However, the photoresist easily dissolves in this condition.<sup>4</sup> A mixed sulfite–thiosulfate bath can be operated at near-neutral conditions, but the regulation of pH value in the preparation of the solution is cumbersome.<sup>5,6</sup> In recent years, researchers have mainly used HAuCl<sub>4</sub> as the gold salt. Wang *et al.* used choline chloride as the complexing agent of HAuCl<sub>4</sub> for immersion gold.<sup>7</sup> The plating solution had good stability, but the pH was too low and the dosage of choline chloride was as high as 500 g L<sup>−1</sup>, which was not suitable for preparation as concentrate for a commercial plating solution. Lin *et al.* measured the stability constant of theophylline–Au(III) ions, which is 2.7 × 10<sup>35</sup>, by cyclic voltammetry,<sup>8</sup> but the solubility of theophylline in water was low. 5,5-Dimethylhydantoin (DMH) is a kind of low-cost, good-solubility, and environmentally friendly complexing agent.<sup>9</sup> Ren *et al.* used DMH as a complexing agent to electroplate a dense and bright gold coating,<sup>10</sup> but the stability constant of Au(DMH)<sub>4</sub><sup>−</sup> is only 10<sup>21</sup>.<sup>4</sup> Although Au(III) is more stable, it consumes more electricity during electroplating and corrodes the nickel layer more severely during electroless plating, so the study of Au(I) is still of great significance.<sup>11</sup> Is it possible to find a complexing agent more suitable for cyanide-free gold plating than DMH?

Nowadays, the search for more stable complexants of gold ions is an important research topic. According to the theory of hard and soft acids and bases, Au<sup>+</sup> has a unit of positive charge,

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a relatively large ion radius, and a relatively easy polarization and deformation, being a typical soft acid, so it easily combines with a soft base in the form of coordination covalent bond into a stable complex.<sup>12</sup> A compound containing the elements S, O or N is likely to be a suitable complexant of gold ions, which is an important basis for screening a complexant from many compounds. Mercaptosuccinic acid (MSA), 1-hydroxyethylidene-1,1-diphosphonic acid (HEDP), and amino-methylphosphonic acid (ATMP) have very strong complexation ability with metal ions and are soluble and stable in water.<sup>13–15</sup> In particular, MSA, first reported in 2003, has been shown to be a complexing agent in electroless gold plating. However, the properties of its coatings have not been studied and the used gold salt is uncommon, thus limiting researchers' attention to it.

In this study, we chose MSA, HEDP, and ATMP containing S, O or N elements for open circuit potential tests and gold plating, for screening complexing agents of Au<sup>+</sup> in a quick, efficient, and low-cost method. Subsequently, the coatings and solution using MSA were studied in detail. The microstructure of the coating was observed by scanning electron microscopy (SEM). Corrosion resistance and weldability of the coating were evaluated by Tafel tests and tin dipping tests, respectively. The stability of the plating solution and the complexation behavior between MSA and Au<sup>+</sup> were studied by UV-visible absorption spectroscopy. Through a variety of novel quantum chemical calculations based on density functional theory (DFT) methods which are similar in accuracy to some other quantum mechanical methods but the calculations can be completed in a shorter time,<sup>16</sup> the complexing agents and complexes were further understood. This novel work can prompt a modern research gateway for facilitating the next generation of gold plating agents based on organics with sulfhydryl groups and quantum chemical calculation for the development of a sustainable future environment.

## 2. Experimental

### 2.1 Cu/Ni-P/Au coating preparation

In this work, Na<sub>3</sub>Au(SO<sub>3</sub>)<sub>2</sub> was used as the gold salt, purchased from Changzhou Institute of Chemical Research, China. Other chemical reagents were analytically pure and obtained from Shanghai Aladdin Bio-Chem Technology Co. Ltd. The solvent used was ultrapure water with an electrical conductivity of 18.2 MΩ cm.

Copper sheets were used as the substrates in this study. Before plating, the copper sheets underwent the following pretreatment: oil removal, microetching, acid dip, activation, and electroless nickel plating. The nickel layer acts as a barrier for copper and gold to prevent their diffusion.<sup>17</sup> The thickness of the gold film was controlled to 0.05 μm by adjusting the reaction time.

### 2.2 Characterization

All electrochemical measurements were carried out using an H-cell in a typical three-electrode system consisting of a saturated calomel electrode (SCE) as the reference electrode (RE),

a platinum electrode (Φ 16 mm) as the counter electrode (CE), and a nickel electrode as the working electrode (WE) in open circuit potential (OCP) tests. The area of WE exposed to the solution was 1 cm<sup>2</sup>. The chambers between RE and WE were connected by a Rugin capillary to reduce the IR drop. The electrochemical workstations used for OCP tests and the Tafel tests with a rate of 5 mV s<sup>-1</sup> were an Autolab PGSTAT302N and CHI760E, respectively. OCP test was employed to evaluate the electrochemical properties during the electroless plating process on the Ni-P substrate and Tafel curve was used to evaluate the corrosion resistance of coatings. In the OCP tests, electrolyte solutions consisted of 5 × 10<sup>-3</sup> mol L<sup>-1</sup> Na<sub>3</sub>Au(SO<sub>3</sub>)<sub>2</sub> and 0.01 mol L<sup>-1</sup> complexing agent. In the Tafel tests, 3.5 wt% NaCl solution was an electrolyte solution to simulate a neutral corrosion environment.

Thickness was measured by an X-ray coating thickness meter (XDLM-PCB200, Fischer, Germany). Morphology of coatings was investigated by SEM (Phenom ProX, Phenom-World, Netherlands) at a 15 kV working voltage and the elemental composition of coatings was identified by EDS. An atomic absorption spectrophotometer (AA-6880, Shimadzu Corporation, Japan) was used for the concentration analysis of Au<sup>+</sup> and Ni<sup>2+</sup>. The weldability test was done in a tin oven with lead-free solder. The temperature of the oven was 235 °C and the sample soaking time was 5 s. UV-visible absorption spectra were measured with a spectrophotometer (UV-2700, Shimadzu Corporation, Japan) for investigation of the lifetime of MSA-Au(i) plating solution and the coordination behavior between MSA and Au<sup>+</sup>. The spectra were recorded after filling a standard quartz cuvette (cell path length of 1.0 cm) with a particular electrolyte.

### 2.3 Quantum chemical calculation

Quantum chemical calculation based on DFT was used to determine the reaction site between complexants and gold ions, compare the complexing ability of different complexants, and analyze the stability of the complex structure.

The Gaussian 09 program was used to conduct quantum chemistry calculations.<sup>18</sup> In the process of geometric optimization, the B3LYP<sup>19</sup> functional was chosen as the theoretical method. The LANL2DZ ECP<sup>20,21</sup> basis set was used for gold atoms, and the 6-311++G\*\*<sup>22–27</sup> basis set was used for other atoms. Water in the IEFPCM theoretical model was selected as solvent. Since all quantum chemical calculations in this study are not affected by temperature, the default temperature of the Gaussian 09 program was used as the simulated temperature. Quantitative electrostatic potential (ESP), frontier molecular orbital theory, atomic contribution to orbital composition, condensed local softness, average local ionization energy (ALIE), and fuzzy bond order (FBO) were investigated *via* the Multiwfn program.<sup>28</sup> All images relating to quantum chemical calculations were generated by the VMD program.<sup>29</sup>

## 3. Results and discussion

### 3.1 Open circuit potential–time curves

Based on the characteristic of electroless plating in that it does not need an external power source and redox reaction occurs



between solution and substrate when complexing agent is added to  $\text{Na}_3\text{Au}(\text{SO}_3)_2$  solution, the surrounding environment will change the oxidation rate of nickel or reductant, accompanied by a change of gold-complex ion. Therefore, the reduction process of gold-complex ion can be simulated by the OCP test method. By comparing the reaction time of different complexing agents in the initial stage, we can estimate the influence of the complexing agent on the reaction rate. The test result is shown in Fig. 1.

The OCP curves can be divided into two stages. In the first stage, the potential is extremely negative initially and significantly increases as the Ni-P electrode surface is gradually covered by deposited gold. In the second stage, as the reaction approaches the steady state, the potential attains a plateau. In the presence of complexing agents, the time for the potential to reach the plateau increases compared to no complexant (about 75 s), showing that the selected complexing agents have a certain inhibitory effect on reducing the reaction rate. However, note that time is significantly different. The time for MSA (about 250 s) is longer than that for HEDP (about 90 s) and ATMP (about 200 s), which indicates that MSA significantly reduces the initial rate of plating due to a more stable complexation with  $\text{Au}^+$ .

To further determine the appropriate complexing agent, gold coatings were deposited. The composition and operating conditions of the cyanide-free gold plating bath are shown in Table 1.

### 3.2 Characterization of Cu/Ni-P/Au coating

**3.2.1. Macroscopic and microscopic morphologies.** Fig. 2 shows photographs of Cu/Ni-P/Au coatings obtained from various plating solutions. It can be seen that the gold coatings of Fig. 2(a, c, and d) are a little dark brown and have some black spots, and the surface of Fig. 2(b) is golden.

The coating appearances indicate that MSA is better than ATMP and HEDP as a complexing agent. In addition, it is reported that MSA can even replace part of  $\text{CN}^-$  and thus coordinate with gold ions.<sup>30</sup> Therefore, the coating obtained from

Table 1 Composition and operating conditions for gold plating bath

| Bath constituents/conditions                     | Details                |
|--|------------------------|
| $\text{Na}_3\text{Au}(\text{SO}_3)_2$            | $7.1 \times 10^{-3}$ M |
| Complexing agent                                 | 0.15 M                 |
| $\text{C}_6\text{H}_8\text{O}_7$                 | 0.041 M                |
| $\text{Na}_2\text{HPO}_4$                        | 0.12 M                 |
| $\text{C}_{12}\text{H}_{25}\text{SO}_4\text{Na}$ | $1 \times 10^{-3}$ M   |
| pH   | 6.0                    |
| pH adjustment                                    | NaOH                   |
| Temperature                                      | 75 °C                  |

the bath with MSA was further studied by directly observing the micromorphology by using SEM images.

Fig. 3(a) shows the surface morphology of Ni-P coating which has a typical nodular structure. It can be seen that nickel particles are arranged in the same direction, which is caused by the selected copper sheet. The copper substrate is not completely smooth but is inevitably alternating with concave and convex linear shape, which has an impact on the deposition of the Ni-P coating. Fig. 3(b and c) show the surface morphology of the Ni-P/Au coating at different magnifications. Compared with the Ni-P coating, the gold particles are also nodular but smaller, more uniform in size, and more closely arranged. The gap between particles is smaller and appears more continuous. This is due to the addition of MSA and coordination with  $\text{Au}^+$ , inhibiting the growth of grains and making grains even smaller. Moreover, no pinhole or pitting was visible, which means that the coating is qualified. Fig. 3(d) shows that the gold film was very pure. The presence of Ni and P elements is due to the thin gold film, which results from the analysis by EDS of the Ni-P coating.

The phenomenon of “black pad” (BP) easily occurs in the chemical gold-plating process. BP, which can cause brittle fracture at the interface between a metal pad and solder, should be avoided in the production of circuit boards.<sup>31</sup> BP is caused by the uneven distribution of P content on the surface of the nickel layer which causes the formation of corrosion galvanic cells.<sup>32</sup> The area with high P content acted as the cathode, and the area

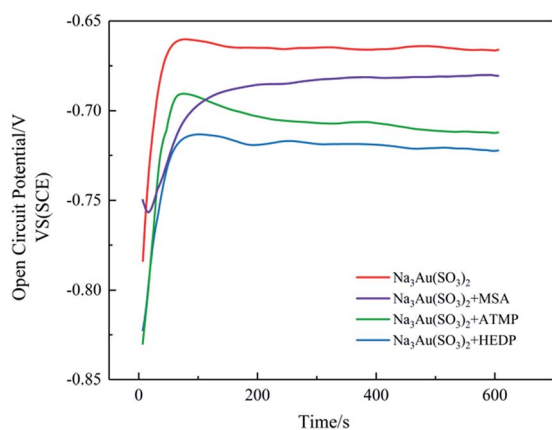


Fig. 1 Open circuit potential–time curves of electroless gold plating with different complexing agents.

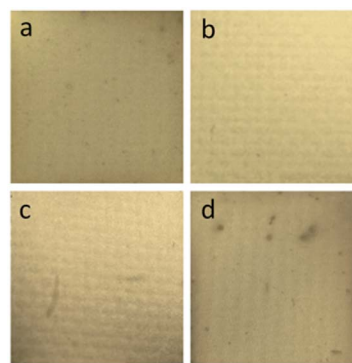


Fig. 2 Photographs of Cu/Ni-P/Au coatings obtained from different plating solutions: (a)  $\text{Na}_3\text{Au}(\text{SO}_3)_2$ , (b)  $\text{Na}_3\text{Au}(\text{SO}_3)_2 + \text{MSA}$ , (c)  $\text{Na}_3\text{Au}(\text{SO}_3)_2 + \text{ATMP}$ , and (d)  $\text{Na}_3\text{Au}(\text{SO}_3)_2 + \text{HEDP}$ .





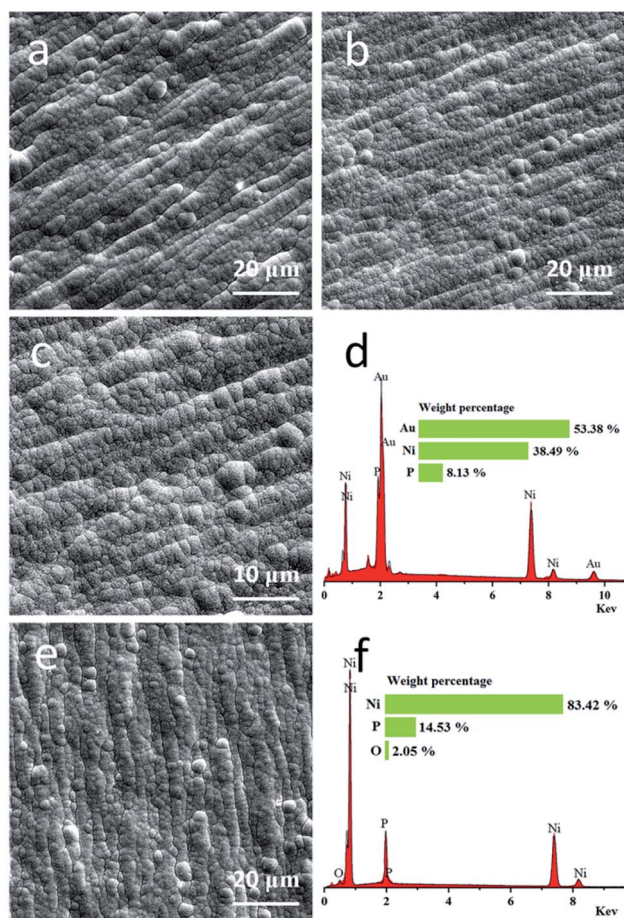
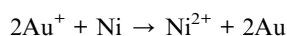


Fig. 3 SEM images of coatings: (a) Cu/Ni-P coating (3k $\times$ ), (b) Cu/Ni-P/Au coating (3k $\times$ ), (c) Cu/Ni-P/Au coating (5k $\times$ ) with its EDS pattern in (d), and (e) Cu/Ni-P coating after etching Au (3k $\times$ ) with its EDS pattern in (f).

with low P content was the anode. As a result, the low P side was corroded, and the greater was the P content difference, the more obvious was the corrosion. Milad showed BP using SEM, which manifests as an extended fissure or crevice between nodules; see Fig. S1 (ESI).<sup>†33</sup>

Gold deposition is carried out according to the following chemical reaction equation, which tends to cause the occurrence of BP:



As long as the reaction continues, the nickel element on the surface is continuously converted into  $\text{Ni}^{2+}$  and is dissolved into the plating solution, resulting in the change of surface P content. If the reaction rate of gold deposition is too fast, it is easy to lose control, causing the reaction rate to be non-uniform. When the reaction rate is fast, the P content is higher; on the contrary, when the reaction rate is slow, the P content is low.

The concentrations of  $\text{Au}^+$  and  $\text{Ni}^{2+}$  before and after the reaction were measured by atomic absorption spectrometry

(AAS) to study the ratio of immersion gold. The calculated results are shown in Table S1 (ESI).<sup>†</sup> The ratio is up to 83.68%, which means there is a possibility of BP. The reduction reaction may be caused by  $\text{SO}_3^{2-}$  or MSA. But the plating solution containing MSA as the complexing agent did not produce BP. As shown in Fig. 3(e) and according to Fig. 3(f), the gold film has been completely etched and no obvious crevices are observed between nodules. This is due to the formation of  $\text{MSA-Au(I)}$  ions, which reduces the reaction rate of gold deposition and leads to it being under control.

**3.2.2. Corrosion resistance.** Fig. 4 shows the Tafel curves for various coatings in 3.5 wt% NaCl solution, which include Cu sheet, Cu/Ni-P coating and Cu/Ni-P/Au coating. The corrosion current density ( $I_{\text{corr}}$ ) and corrosion potential ( $E_{\text{corr}}$ ) were calculated and are given in Table 2. The larger  $E_{\text{corr}}$  is, the more difficult is the coating to be corroded, and the smaller  $I_{\text{corr}}$  is, the slower is the corrosion rate. The uncoated Cu sheet showed an  $E_{\text{corr}}$  of  $-0.246$  V (vs. SCE) and an  $I_{\text{corr}}$  of  $9.738 \mu\text{A cm}^{-2}$ . After electroless plating of Ni-P on Cu, the  $E_{\text{corr}}$  increased to  $-0.218$  V, and the  $I_{\text{corr}}$  decreased to  $8.315 \mu\text{A cm}^{-2}$ . When Cu was protected by Ni and Au, the  $E_{\text{corr}}$  increased to  $-0.130$  V, and the  $I_{\text{corr}}$  decreased to  $7.439 \mu\text{A cm}^{-2}$ , which is similar to the  $E_{\text{corr}}$  ( $-0.132$  V) but lower than the  $I_{\text{corr}}$  ( $41 \mu\text{A cm}^{-2}$ ) of Cu/Ni-P/Au coating reported in ref. 7. These results indicated that the Cu/Ni-P/Au coating has excellent corrosion resistance. Low  $I_{\text{corr}}$  further proved that there was no intergranular corrosion of the Ni-P layer.<sup>7</sup>

**3.2.3. Weldability.** The coating weldability was tested by tin dipping. The appearances of samples before and after the test were compared, and the results are shown in Fig. S2 (ESI).<sup>†</sup> It can be seen that after tin dipping, all the coating positions were coated with solder and solder pads were full as well, indicating that the coating had good weldability.

### 3.3 Characterization of bath stability

The lifetime of the  $\text{MSA-Au(I)}$  plating bath was measured by UV-visible absorption spectroscopy. The bath was placed at room

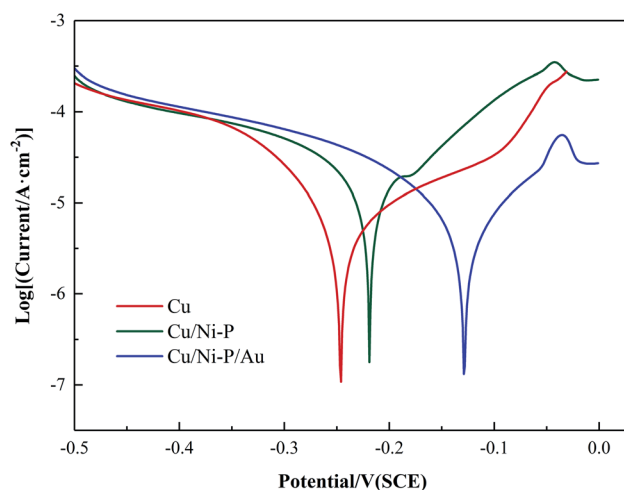


Fig. 4 Tafel curves of coatings in 3.5 wt% NaCl solution.



**Table 2** The corrosion resistance of Cu sheet, Cu/Ni–P coating, and Ni–P/Au coating in 3.5 wt% NaCl solution

| Coating    | $E_{\text{corr}}$ (V) | $I_{\text{corr}}$ ( $\mu\text{A cm}^{-2}$ ) |
|------------|-----------------------|---|
| Cu         | −0.264                | 9.738                                       |
| Cu/Ni–P    | −0.218                | 8.315                                       |
| Cu/Ni–P/Au | −0.130                | 7.439                                       |

temperature and measured every 3 days for 30 days. As shown in Fig. 5, the maximum absorption wavelength of the bath is 285 nm. On the first day, the absorbance value at 285 nm was 0.70, and on the 30<sup>th</sup> day, it dropped to 0.66. For these 30 days, the relative standard deviation of absorbance is only 2.5%, from which it can be said that the stability of the bath is good. In addition, plating solutions containing each of MSA and DMH as complexing agents were placed at room temperature, and appearances of them are shown in Fig. S3 (ESI).† The bath containing MSA remained colorless and transparent after 200 days, without observed precipitation of gold. However, after the same number of days, gold can be observed to precipitate out in the bath containing DMH. Some of the precipitates were at the bottom, and some of them were floating on the solution surface.

### 3.4 Quantum chemical calculation

**3.4.1. Molecular electrostatic potential.** The coordination reaction of gold ions is completed through the formation of coordinate covalent bonds, rather than relying on electrostatic interaction as the main way to bind metal ions and complexing agents, so electrostatic force is not suitable for the study of reaction sites.<sup>12</sup> However, in the early stage of the chemical reaction,  $\text{Au}^+$  and complexing agents are far away. At this time, they are attracted to each other by electrostatic force and gradually get close. When the distance between them is close to a certain degree, the coordinate covalent bonds will form. Therefore, it is of great significance to study the electrostatic

effect of MSA, which can help to understand the movement direction of  $\text{Au}^+$ . ESP is used to study the electrostatic interaction. It is defined as follows:<sup>34</sup>

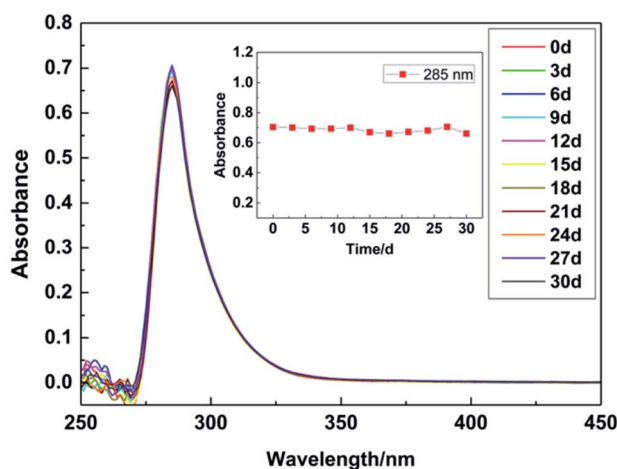
$$V_{\text{total}}(r) = V_{\text{nuc}}(r) + V_{\text{elec}}(r) = \sum_A \frac{Z_A}{|r - R_A|} - \int \frac{\rho(r')}{|r - r'|} dr' \quad (1)$$

where  $Z$  is the nuclear charge and  $R$  is the nuclear coordinate.  $r$  is the coordinate of the point to be measured in space, where ESP is equal to the energy of the electrostatic interaction between a unit of positive charge and the molecule after placing it there, and any influence of this positive charge on the distribution of the molecular charge is ignored in the calculation. The analysis of ESP uses the isosurface with electron density  $\rho = 0.001 \text{ e Bohr}^{-3}$  as molecular van der Waals (vdW) surface.<sup>35</sup> At the surface of a molecule, there are positive and negative ESP because of the interaction between the nucleus and the electrons. If ESP at  $r$  is positive, it means that the charge in the nucleus is more influential. If it is negative, it means that the contribution of the electron is predominant.

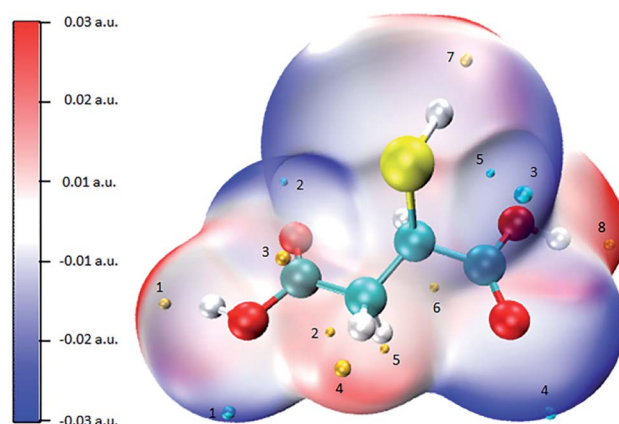
The molecule surface is made up of countless positions, so it is impossible to list the energy of all points. Therefore, the maxima and minima in each region are calculated for comparison. Fig. S4 (ESI)† shows the structure of the complexing agents used in this work and Fig. 6 shows the ESP mapped molecular vdW surface of MSA. The blue and red areas represent the negative and positive ESP areas respectively, and the blue and yellow spheres represent the minima and maxima respectively. The more negative the ESP, the more likely are the nearby atoms to attract electrophiles.<sup>36</sup> Table 3 shows the minima and maxima values of ESP of MSA.

Combined with Fig. 6 and Table 3, it can be seen that, compared with other extreme points, ESP near two C=O bonds is the smallest, which is −0.0538 a.u. (point 2) and −0.0531 a.u. (point 4), respectively, which means that these two C=O bonds have the strongest attraction to  $\text{Au}^+$ . Before the complexation, gold ions approach MSA along the direction of the C=O bonds first.

**3.4.2. Frontier molecular orbital theory.** The highest occupied molecular orbital (HOMO) and the lowest unoccupied



**Fig. 5** Evolution of the UV-visible absorption curves of the MSA–Au(I) plating bath over 30 days.



**Fig. 6** ESP mapped molecular vdW surface (i.e.  $\rho = 0.001 \text{ e Bohr}^{-3}$  isosurface) of MSA.



Table 3 The minima and maxima of ESP of MSA

| Number (minimum) | ESP (a.u.) | Number (maximum) | ESP (a.u.) |
|------------------|------------|------------------|------------|
| 1                | -0.0243    | 1                | 0.0924     |
| 2                | -0.0538    | 2                | 0.0387     |
| 3                | -0.0296    | 3                | 0.0173     |
| 4                | -0.0531    | 4                | 0.0226     |
| 5                | -0.0144    | 5                | 0.0389     |
|                  |            | 6                | 0.0471     |
|                  |            | 7                | 0.0416     |
|                  |            | 8                | 0.0974     |

molecular orbital (LUMO) are the most important of many molecular orbitals, and frontier molecular orbital theory considers that these two are responsible for chemical reactions.<sup>37</sup> Fig. S5 and S6 (ESI)<sup>†</sup> exhibit the distribution of electron cloud densities of HOMO and LUMO, respectively. As established in the study of bonding reaction and cloud distributions of organics, these prasinous and azure clouds with symmetrical structures represent the positive and negative phases of orbital wave functions, respectively. The isovalues of positive and negative phases are 0.03 and -0.03 a.u., respectively. Among different molecules, the contribution of the same element to orbitals is obviously different. The S atom in MSA contributes significantly to the electron cloud distribution of HOMO compared with the O atom. The N and O atoms in DMH, O atoms in HEDP, and N atoms in ATMP are the reaction sites of electrons given in their respective molecules. For LUMO, atoms surrounded by the electron clouds in MSA, DMH, and HEDP are similar to those for HOMO, suggesting that these are also sites for accepting electrons. The difference is that the O atom of ATMP is the main contributor to the electron cloud, not the N atom.

According to the frontier molecular orbital theory, HOMO is related to the ability of a molecule to donate electrons, and a higher  $E_{\text{HOMO}}$  means it is easier for the molecule to donate electrons. In order to form a coordination bond, the complexing agent needs to donate lone-pair electrons to an empty orbital of gold ions, so the complexing agent is required to have a strong electron donating ability, which means that  $E_{\text{HOMO}}$  should be high. Some organic compounds not only have complexation effects but also have a certain adsorption effect, leading to an inhibition effect for gold deposition on the nickel surface. Theoretically, smaller  $E_{\text{LUMO}}$  and  $\Delta E$  (the gap between  $E_{\text{HOMO}}$  and  $E_{\text{LUMO}}$ ) means that organic matter has strong adsorption on a metal surface.<sup>38</sup> Furthermore, lower  $E_{\text{LUMO}}$  indicates that it has a strong ability to form back-donation bonds when accepting electrons from the anti-bonding orbitals within gold ions.<sup>10</sup>

As shown in Fig. 7, MSA and ATMP have similar  $E_{\text{HOMO}}$  values of -7.15 eV and -6.94 eV, respectively, and are significantly higher than those of DMH and HEDP, indicating that they have similar strong electron donating capability. The  $E_{\text{LUMO}}$  and  $\Delta E$  of MSA were significantly lower than that of other complexing agents, which were more easily adsorbed on the metal surface and reduced the reaction rate theoretically.

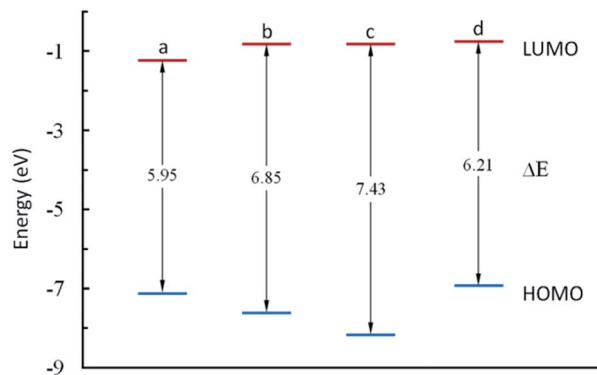


Fig. 7 Schematic diagram of the frontier molecular orbitals of (a) MSA, (b) DMH, (c) HEDP, and (d) ATMP.

Frontier molecular orbital theory is the study of whole organic molecules; however, coordination reactions involve the breaking and formation of bonds between atoms, so it is necessary to study the contribution of individual atoms in molecules to the orbitals.<sup>39</sup> Table S2 (ESI)<sup>†</sup> shows the composition of main atoms for HOMO. According to Table S2 (ESI),<sup>†</sup> the S atom of MSA contributes mostly to HOMO, up to 85.7%, which means the S atom is likely to be the site of complexation. The contribution of 3N in DMH reached 57.0%. In ref. 27, coordination between N atom and Au atom was calculated and the result is consistent with ours that 3N coordinates with Au<sup>+</sup>. Both O and P atoms in HEDP contribute to the composition, but neither of them has a strong electron donating ability. The N atom of ATMP contributed the most to the orbital, up to 45.4%. Many of the remaining P and O atoms make small contributions to the orbital composition.

**3.4.3. Condensed local softness.** The Fukui function proposed by Parr and Yang is an important concept of conceptual density functional theory and has been widely used to predict reaction sites.<sup>40</sup>

Condensed Fukui function can strictly be used only to describe the reactivity of different atoms in the same molecule. In order to simultaneously compare the reactivity of atoms among different molecules, condensed local softness is used. By further calculation of condensed Fukui function, the condensed local softness of each atom can be obtained quantitatively, which is used to describe softness. Eqn (2)–(4) describe the relationship between condensed Fukui function ( $f_A$ ) and condensed local softness ( $s_A$ ), where  $S$  is the reciprocal of  $\mu$ .<sup>41,42</sup>

$$\text{For nucleophilic attack: } s_A^+ = S f_A^+ \quad (2)$$

$$\text{For electrophilic attack: } s_A^- = S f_A^- \quad (3)$$

$$\text{For radical attack: } s_A^0 = S f_A^0 \quad (4)$$

Since the coordination reaction between gold ions and the complexing agent is an electrophilic attack for the complexing agent, obtained values are calculated by eqn (3). Condensed local softness can not only compare the intramolecular atomic softness to judge the reaction site, but also compare the





intermolecular atomic softness to analyze the complexing ability of various complexing agents with soft acid. The higher the value of softness, the easier it is to coordinate with  $\text{Au}^+$ .

As shown in Fig. 8, the condensed local softness of each atom is visually shown by color. The redder the atom, the greater is the softness. Meanwhile, the value of maximum softness in each molecule is marked. The condensed local softness of the other major atoms is summarized in Table S2 (ESI).<sup>†</sup>

The softest atoms in MSA, DMH, HEDP, and ATMP were S (1.155 hartree e), 3N (0.570 hartree e), 9O (0.463 hartree e), and 19N (0.619 hartree e), respectively. The softness of the S atom is obviously higher than that of the other complexing agents, almost twice that of N atom and O atom. Therefore, compared with the other complexing agents, MSA has a stronger coordination ability with  $\text{Au}^+$ , which can significantly reduce the reaction rate and improve the stability of the plating solution, which is consistent with the results of the OCP tests and the stability test of the plating solution. Moreover, in MSA, the S atom is 3.16 times softer than 7O (0.366 hartree e), 9.06 times softer than 8O (0.128 hartree e), 5.99 times softer than 11O (0.193 hartree e), and 10.72 times softer than 12O (0.108 hartree e), suggesting that the reaction site with  $\text{Au}^+$  is most likely to be the S atom.

**3.4.4. Average local ionization energy.** ALIE was proposed by Per in 1990, which has a wide range of applications, such as reproducing atomic shell structures, measuring electronegativity, and quantifying local polarizability and hardness, but the most important use is predicting the reaction sites of molecules.<sup>43</sup> It can be understood as the energy of the removal of an electron at any point in space. It is defined as follows:<sup>44</sup>

$$\bar{I}(r) = \sum_i \frac{\rho_i(r)|\varepsilon_i|}{\rho(r)} \quad (5)$$

where  $\rho_i(r)$  is the electronic density of the  $i^{\text{th}}$  molecular orbital at the point  $r$ ,  $\varepsilon_i$  is the orbital energy, and  $\rho(r)$  is the total electron

density. Use of ALIE is a method of quantitative analysis of molecular surfaces. At low values, electrons are more weakly bound and tend to react with electrophiles. In order to visually express the situation of all positions, the ALIE of each position is described by color. Red represents larger ALIE, blue represents lower ALIE, white acts as the transition color from red to blue, and blue spheres are the minima. The ALIE mapped molecular vdW surface is shown in Fig. 9, and the values of the minima are shown in Table S3 (ESI).<sup>†</sup>

The blue area on the molecular surface of MSA, where the electrons are weakly bound, is concentrated near the sulfhydryl group, suggesting that the sulfhydryl group is more likely to donate electrons to the orbital of  $\text{Au}^+$  and coordinate with it than the two carboxyl groups. There are two minimum points near the sulfhydryl group, point 4 and point 9, and their ALIE are 0.293 a.u. and 0.292 a.u., respectively, which are the smallest two out of ten points. In the other complexing agents, there is no visible blue area, indicating that at any position electrons are bound more strongly than near the sulfhydryl group. Complexing reaction is a reversible reaction and the generated complexes are not stable indefinitely in water. When electrons are easily attracted by the nucleus for complexants, coordination bonds will dissociate and complexes will decompose. So the resulting complexes are less stable than the MSA- $\text{Au}(\text{I})$  complex.

**3.4.5. Fuzzy bond order.** In the MSA molecule, O and S are both atoms that may coordinate with gold ions. Based on the above analysis of complexing agents, it was found that the coordination capacity of S was significantly greater than that of O. In order to consider the influence of Au atom and study the bond strength of the complex, the possible structures of complexes are shown in Fig. S7 (ESI).<sup>†</sup> It is not an accurate method to judge bond strength by calculating bond dissociation energy (BDE), because BDE depends on two states, the dissociated state and the nondissociated state.<sup>45</sup> It is difficult to judge the way of dissociation, so the choice of dissociation state is somewhat arbitrary. FBO, originally proposed by Mayer, is an

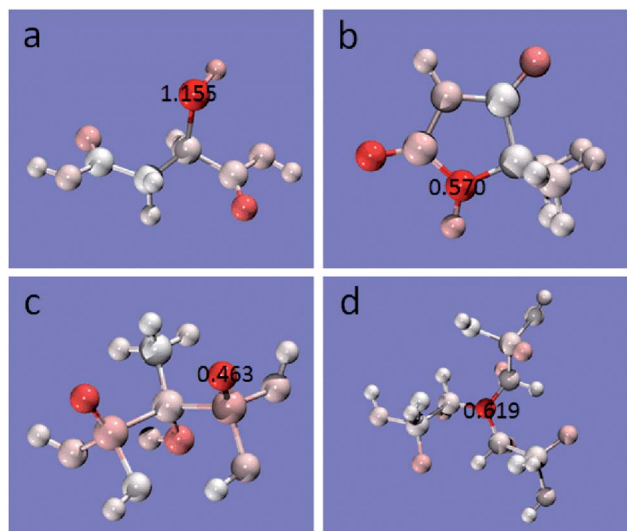


Fig. 8 Coloring of atoms based on condensed local softness: (a) MSA, (b) DMH, (c) HEDP, and (d) ATMP.

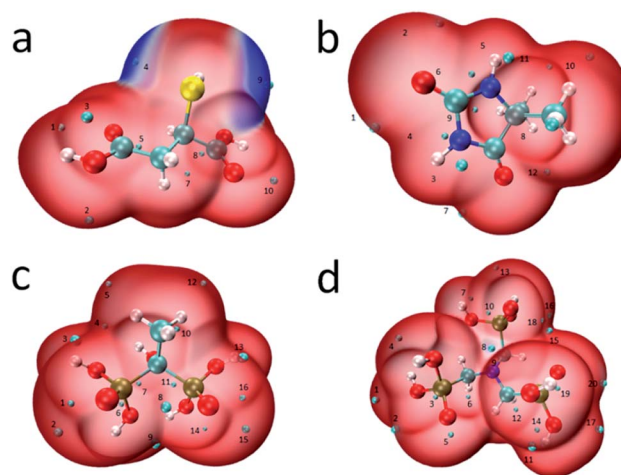


Fig. 9 ALIE mapped molecular vdW surface (i.e.  $\rho = 0.001 \text{ e Bohr}^{-3}$  isosurface) of (a) MSA, (b) DMH, (c) HEDP, and (d) ATMP.



effective method to determine bond strength, which only needs to consider the nondissociated state.<sup>46,47</sup> The physical nature of it describes the same electron-sharing between the atoms of a molecular system. The larger the bond order, the more stable the bond.

The calculation results for FBO are shown in Table 4. When two coordination atoms are supplied by different groups, the FBO of the two coordination bonds formed is obviously different. In particular, when mercapto and carboxyl groups interact with Au<sup>+</sup>, the difference in FBO between the two coordination bonds is the largest, about 0.5, possibly due to the different electron donating capability of the atoms. In order to form a stable complex, FBO of two coordination bonds must be larger at the same time, otherwise the weaker coordination bond will still cause the decomposition of complexes. Among all complexes, the FBO of complexation in the form of S–Au–S is 1.46 and 1.44, which is significantly larger than that of other complexation structures, indicating that the S–Au–S coordination structure is the most stable form of Au<sup>+</sup>. Moreover, the FBO of 3N–Au–3N complexation structures of DMH with Au<sup>+</sup> is calculated, and the results are all 1.14, indicating that the complexation ability of MSA is stronger than that of DMH.

All the results of quantum chemical calculation match the experimental results and show that the coordination ability of the sulfhydryl group with gold ion is significantly stronger than that of the carboxyl group, so the complexes in the plating solution mainly exist in the form of S–Au–S coordination.

### 3.5 Coordination behavior between MSA molecule and Au(I) ion

Coordination behavior between MSA molecule and Au(I) ion was studied using UV-visible absorption spectroscopy.  $5 \times 10^{-3} \text{ mol L}^{-1}$  Na<sub>3</sub>Au(SO<sub>3</sub>)<sub>2</sub> solution and  $5 \times 10^{-2} \text{ mol L}^{-1}$  MSA solution were investigated first. Then different amounts of Na<sub>3</sub>Au(SO<sub>3</sub>)<sub>2</sub> were added to  $5 \times 10^{-2} \text{ mol L}^{-1}$  MSA solution as a mixed solution, with different molar ratios ( $C_{\text{MSA}} : C_{\text{Au(I)}}$ ) of

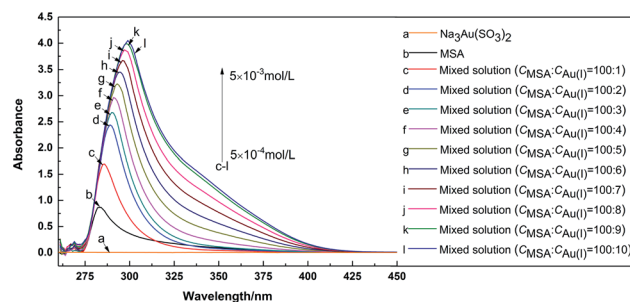


Fig. 10 UV-visible absorption spectra: (a) Na<sub>3</sub>Au(SO<sub>3</sub>)<sub>2</sub>, (b) MSA, and (c–l) mixed solution with different  $C_{\text{MSA}} : C_{\text{Au(I)}}$  of 100 : 1, 100 : 2, 100 : 3, 100 : 4, 100 : 5, 100 : 6, 100 : 7, 100 : 8, 100 : 9, and 100 : 10, respectively.

100 : 1, 100 : 2, 100 : 3, 100 : 4, 100 : 5, 100 : 6, 100 : 7, 100 : 8, 100 : 9, and 100 : 10. The results of the solution absorbance against wavelength are presented in Fig. 10.

As shown in Fig. 10(a), there is no obvious absorption peak from 260 to 450 nm for the Na<sub>3</sub>Au(SO<sub>3</sub>)<sub>2</sub> solution. In Fig. 10(b), the absorption peak of MSA is at 283 nm, primarily owing to the  $\pi/\pi^*$  transition of the lone pair of S or O in the MSA molecule.

In Fig. 10(c–l), the absorption peaks are redshifted and the absorbance increases with the addition of Na<sub>3</sub>Au(SO<sub>3</sub>)<sub>2</sub>. Since Na<sub>3</sub>Au(SO<sub>3</sub>)<sub>2</sub> has no absorption peak in the wavelength range studied, the changes are caused by the interaction between Na<sub>3</sub>Au(SO<sub>3</sub>)<sub>2</sub> and MSA. These changes are ascribed to the decrease of energy gap between  $\pi$  and  $\pi^*$  after S or O in the MSA coordinates with Au(I). When the amount of Na<sub>3</sub>Au(SO<sub>3</sub>)<sub>2</sub> added is small, there is enough MSA for coordination. As a result, obvious changes in wavelength and absorbance of mixtures can be observed, but this trend decreases with the continuing addition of Na<sub>3</sub>Au(SO<sub>3</sub>)<sub>2</sub>. When the ratio is 100 : 10, the wavelength and absorbance change little compared to those for 100 : 9, changing 0.8 nm and 0.049, respectively, meaning that the coordination reaction might be in equilibrium.

## 4. Conclusion

The results of the OCP tests showed that MSA, compared with HEDP and ATMP, could significantly reduce the rate of gold deposition. The environmentally friendly bath was operated under mild and nearly neutral conditions and had good stability. No gold particles were precipitated after being placed at room temperature for 200 days. The Cu/Ni–P/Au coating is golden yellow, with a typical nodular structure and uniform arrangement of gold particles. Although deposition of gold is the main reaction during the gold electroless deposition in our bath, after etching the gold film, there is no BP in the nickel layer. The Tafel curves and tin dipping test showed that the coating had good corrosion resistance and weldability. Compared with the plating solution with DMH as the complexing agent, the plating solution we developed is more stable. Therefore, a complexing agent for use in cyanide-free gold plating with more application prospects than DMH has been successfully found.

Table 4 Structures and FBO calculated with DFT for the gold(I) complexes

| Number | Coordination structure | Bond 1 | FBO  | Bond 2 | FBO  |
|--------|------------------------|--------|------|--------|------|
| a      | S–Au–S                 | S–Au   | 1.46 | Au–S   | 1.44 |
| b      | 7O–Au–8O               | 7O–Au  | 0.99 | Au–8O  | 1.18 |
| c      | 7O–Au–12O              | 7O–Au  | 0.99 | Au–12O | 1.21 |
| d      | 12O–Au–S               | 12O–Au | 1.06 | Au–S   | 1.56 |
| e      | 7O–Au–7O               | 7O–Au  | 1.15 | Au–7O  | 1.06 |
| f      | 7O–Au–11O              | 7O–Au  | 1.03 | Au–11O | 1.03 |
| g      | 8O–Au–8O               | 8O–Au  | 1.14 | Au–8O  | 1.14 |
| h      | 8O–Au–12O              | 8O–Au  | 1.14 | Au–12O | 1.15 |
| i      | 11O–Au–11O             | 11O–Au | 1.02 | Au–11O | 1.03 |
| j      | 7O–Au–S                | 7O–Au  | 0.87 | Au–S   | 1.60 |
| k      | 8O–Au–S                | 8O–Au  | 1.04 | Au–S   | 1.57 |
| l      | 11O–Au–8O              | 11O–Au | 0.99 | Au–8O  | 1.17 |
| m      | 11O–Au–12O             | 11O–Au | 1.00 | Au–12O | 1.20 |
| n      | 11O–Au–S               | 11O–Au | 0.88 | Au–S   | 1.60 |
| o      | 12O–Au–12O             | 12O–Au | 1.15 | Au–12O | 1.15 |





The results of the quantum chemical calculations agreed with the experimental results. When  $\text{Na}_3\text{Au}(\text{SO}_3)_2$  was added into MSA solution, the gold ion approached in the direction of the C=O bond to MSA first. MSA had high  $E_{\text{HOMO}}$ , and the contribution of the S atom to HOMO was up to 85.7%. The condensed local softness of the S atom was up to 1.155 hartree e, which was much larger than that of all the atoms in DMH, HEDP, and ATMP, and also larger than that of other atoms in its own molecule, which meant that the S atom tended to coordinate with gold ion as a soft base. Compared with the other three complexants, the ALIE near the sulfhydryl group was the smallest. FBO analysis of complexes formed by MSA and  $\text{Au}^+$  showed that S–Au–S was the most stable structure, which was more stable than  $\text{Au}(\text{DMH})_2^-$ . The results of all theoretical calculations showed that MSA had a strong coordination ability, and the sulfhydryl group was the reaction site. UV-visible absorption spectroscopy clarifies that the wavelength was redshifted when MSA–Au(I) ions were formed.

In the future, water-soluble organic compounds containing sulfhydryl groups can be studied to find more novel and powerful complexing agents.

## Conflicts of interest

There are no conflicts to declare.

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