


 Cite this: *RSC Adv.*, 2020, **10**, 965

 Received 28th November 2019
 Accepted 20th December 2019

DOI: 10.1039/c9ra10689h

rsc.li/rsc-advances

Stereodivergent access to all four stereoisomers of chiral tetrahydrobenzo[*f*][1,4]oxazepines, through highly diastereoselective multicomponent Ugi–Joullié reaction†

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Starting from easily accessible chiral enantiopure 1,2-amino alcohols and salicyldehydes, a concise route to cyclic imines has been developed. These chiral cyclic imines undergo a highly diastereoselective Ugi–Joullié reaction to give *trans* tetrahydrobenzo[*f*][1,4]oxazepines with the introduction of up to 4 diversity inputs. The *cis* isomer may also be attained, thanks to a thermodynamically controlled base catalysed epimerization. Free secondary amines have been obtained using an unprecedented “removable” carboxylic acid.

Introduction

Multicomponent reactions (MCRs) are processes where three or more substrates are combined in a single step, to give a product that contains all the essential parts of the used components.¹ Thanks to their high step economy and to the possibility to introduce several diversity inputs in a single step, they are considered a very powerful tool for the construction of libraries of drug-like entities.^{2–4} While the use of MCRs in medicinal chemistry is well assessed, their application in other fields is less pronounced. Recently, MCRs were found to be successful in the synthesis of functionalized fluorophores.^{5–7} On the other hand, only a few papers deal with the use of MCRs for the combinatorial assembly of chiral organocatalysts.^{8–10} For this latter goal, the control of stereochemistry is clearly essential.

Isocyanide-based processes (e.g. the Ugi and Passerini reactions) are among the most popular MCRs. However, they suffer from a typical lack of diastereoselection when chiral inputs are employed. This limits their utility, because of the generation of diastereomeric mixtures.

Recently, some examples of diastereoselective Passerini reactions on chiral enantiopure aldehydes or ketones have been reported,^{11–16} but the related Ugi reaction is more problematic, not only because of the low diastereoselectivity typically achieved, but also for the easy racemization of aldehydes with α -stereogenic centres.¹⁷

Better results have been accomplished with the so called Ugi–Joullié reaction.^{18,19} This is a 3-component variant of the Ugi reaction that employs preformed cyclic imines. When these cyclic imines are chiral and enantiopure, racemization at the α -position is less likely, although yet possible.²⁰ Furthermore, thanks to a higher rigidity, several examples of highly diastereoselective Ugi–Joullié reactions have been reported. Most of the previous studies involve 5-membered (pyrrolines, thiazolines and so on),^{9,21–31} or 6-membered cyclic imines (e.g. tetrahydropyridines).^{32–38} The use of compounds with other ring size is much less investigated. Only recently an Ugi–Joullié reaction of a 3-membered azirine was reported.³⁹ In our group we are particularly interested in applying MCRs for the synthesis of benzo-fused 7-membered nitrogen heterocycles,⁴⁰ whose interest in medicinal chemistry is steadily growing.⁴¹ Towards this goal, we have already studied the diastereoselective Ugi–Joullié reaction of some 7-membered chiral enantiopure cyclic imines.^{20,42}

We thought that enantiopure dihydrobenzoxazepines of general formula 3 could be very useful intermediates for the diastereoselective synthesis of a variety of tetrahydrobenzoxazepines 4 or 5, through multicomponent processes. For example, the Ugi–Joullié reaction would give peptide-like compounds 4. Removal of the acyl group from 4 will then afford secondary amines 5. Secondary amines 5 might also be directly obtained by exploiting other MCRs, such as the Betti, or the Ugi-tetrazole reactions. Compounds 4 and 5, containing a typical privileged structure, may be interesting for library production, whereas chiral enantiopure amines 5 may be investigated as potential organocatalysts (Scheme 1).

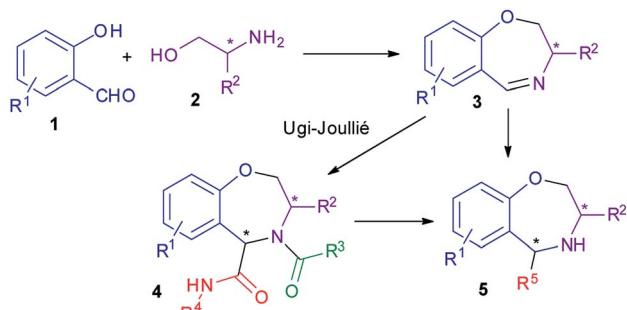
In order to make the whole methodology highly practical, it was essential to develop a fast and diversity-oriented synthesis of chiral imines 3 in enantiopure form. Our plan was to employ

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† Electronic supplementary information (ESI) available. See DOI: 10.1039/c9ra10689h





Scheme 1 General strategy.

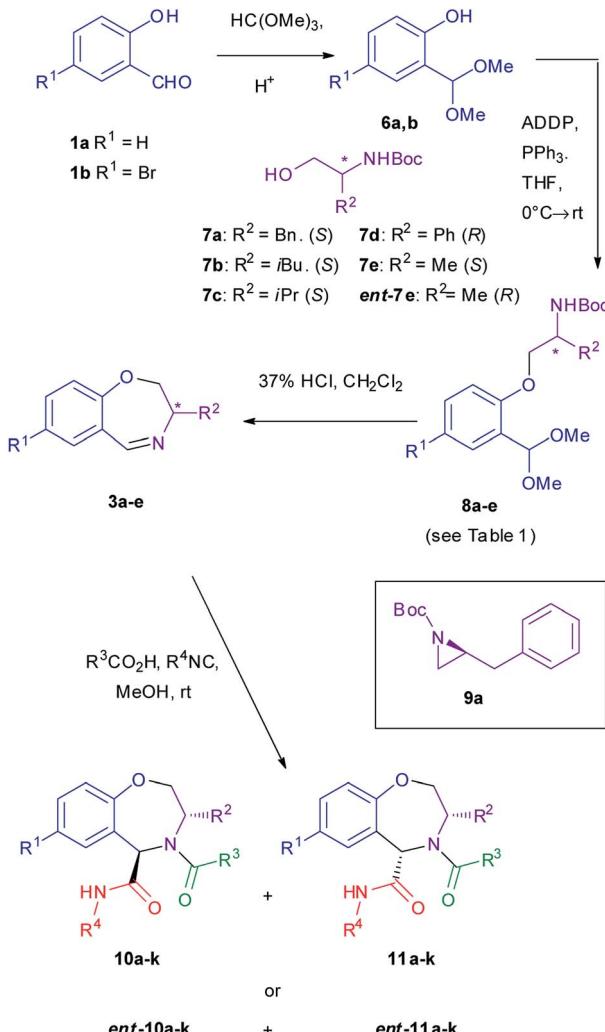
amino alcohols 2, in turn derived from widely available α -amino acids.

In this paper we report the successful accomplishment of a quite short synthesis of imines 3, and their diastereodivergent conversion into both diastereomers of 4, through an Ugi–Joullié reaction. Since α -amino acids are available in both enantiomeric forms, this means the possibility to get all four stereoisomers of 4. Furthermore, the efficient obtainment of secondary amines 5 is demonstrated as well.

Results and discussion

The key step for the synthesis of cyclic imines 3 is the Mitsunobu coupling of salicylaldehydes 1 with Boc-protected aminoalcohols 7. All employed alcohols 7 are known,^{43–45} and they can be easily synthesized by reduction of Boc-protected α -amino acids, in turn commercially available in both enantiomeric forms with a wide variety of R^2 substituents. Alternatively, some of them (7d, 7e) have been obtained by protection of the commercially available enantiopure amino alcohols (see ESI†).

We have previously shown⁴² that protection of the aldehyde group was essential. Thus, salicylaldehydes 1 were quantitatively converted into the acetals 6. They were not isolated but, after a very simple work-up, directly coupled with amino alcohols 7a–e. This step proved to be very critical and was optimized using 1a and 7a. Working on Boc-protected 1-amino-2-alkanols, we had previously selected di-*tert*-butylazodicarboxylate (TBAD) and PPh₃ as the best reagents. However, in the present case, the yield was rather poor (25%) and the known aziridine 9a⁴⁶ was obtained as a major product. Shifting to other typical



Scheme 2 Synthetic sequence from salicylaldehydes 1 to Ugi adducts 10–11 (or ent-10–ent-11).

azodicarboxylates such as DEAD or DIAD, the yield remained unsatisfactory, due to the formation of substantial amounts of 9a. This result was not completely unexpected, taking into account some literature precedents on Mitsunobu reactions of phenols with this type of Boc-amino alcohols, where yields are often low and strongly depend on the nature of the starting phenol.^{47–49} After some investigation (see ESI for details†), we

Table 1 Synthesis of ethers 8a–h

Entry	Salicyl-aldehyde ^a (equiv.)	Boc-amino alcohol (config.)	Product	Yield ^b %
1	1a (1.2)	7a (S)	8a	78
2	1a (1.0)	7b (S)	8b	65
3	1a (1.1)	7c (S)	8c	55
4	1a (1.1)	7d (R)	8d	42
5	1b (1.1)	7e (S)	8e	60
6	1b (1.1)	7e (R)	ent-8e	60

^a Relative to Boc-amino alcohol. ^b Isolated yield from Boc-amino alcohol.

found out that the formation of **9a** could be nearly completely suppressed by using a special azodicarboxylate, namely 1,1'-(azodicarbonyl)dipiperidine (ADDP).⁵⁰ Its use led to a 78% yield of the desired product **8a**. With other amino alcohols the yields were somehow lower (see Table 1), but still satisfactory. Chromatographic separation from aziridines **9** were quite easy, whereas the remaining excess of phenol **6** was conveniently removed by basic extraction (Scheme 2).

Ethers **8** were then converted very easily into the cyclic imines **3**, by acid promoted removal of both protecting group. The crude imines were subjected, without any intermediate purification, to the Ugi–Joullié reaction with a variety of isocyanides and carboxylic acids. It is worth noting that the whole sequence from **1** to the Ugi adducts **10–11** required just a single intermediate purification, at the level of ethers **8**. This straightforward route to enantiopure cyclic imines **3** allows the introduction of two diversity inputs and, due to the wide availability of both (*S*) and (*R*) α -amino acids, gives an access to both enantiomeric series.

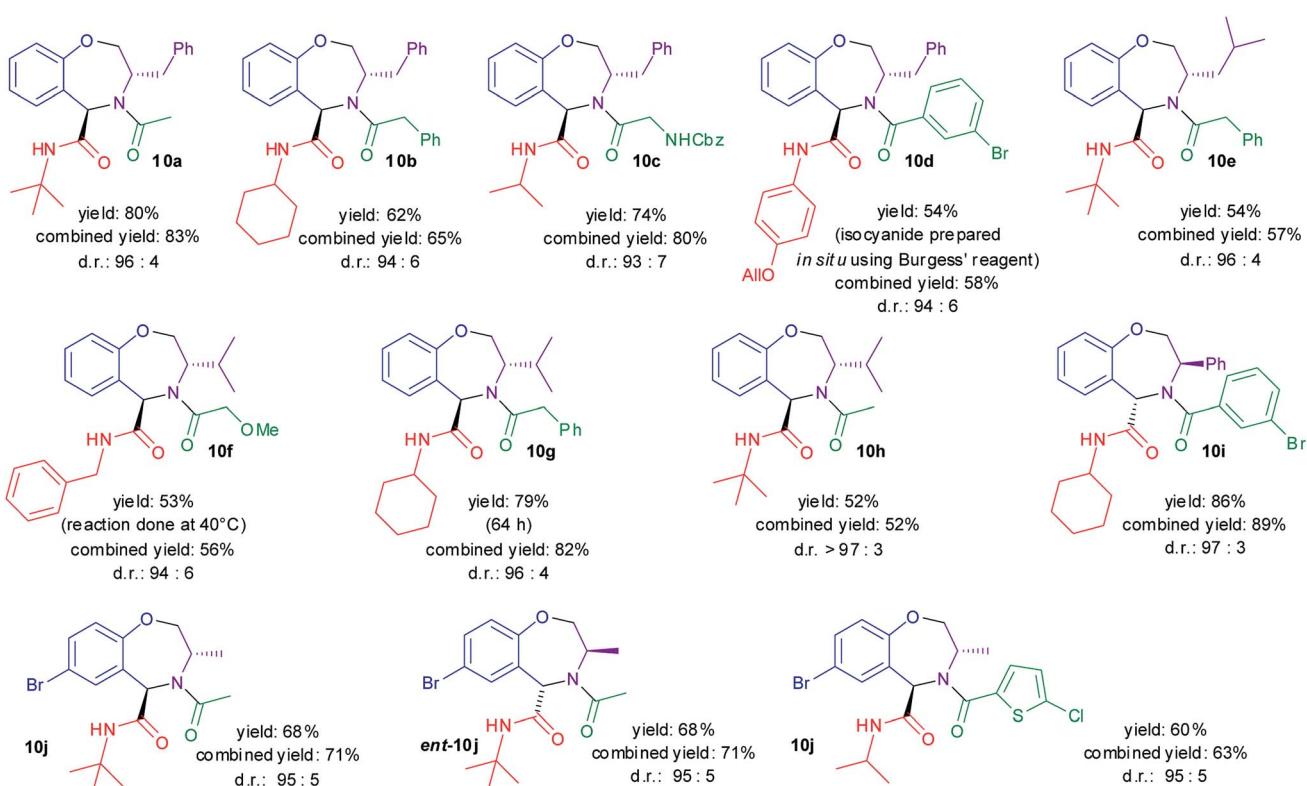
As for the Ugi–Joullié reaction, we tried different reaction conditions, as detailed in the ESI,[†] eventually finding that the best conditions, both in terms of isolated yield and of diastereoselectivity, involved the use of methanol as solvent with no additive at room temperature.

As shown in Scheme 3, the diastereoselectivities were in all cases excellent. The yields were moderate to good, but it should be stressed that they refer to the overall yield of two steps,

including also formation of the cyclic imines **3** by removal of the protecting groups. In some instances, the Ugi–Joullié reactions were incomplete, and thus a higher yield could probably be obtained by using a higher excess of isocyanide or by longer reaction times.

The results reported in Scheme 3 show that it is possible to synthesize enantiopure *trans* tetrahydrobenzo[*f*][1,4]oxazepines **10**, introducing up to four diversity inputs: the salicylaldehyde **1**, the amino alcohol **7**, the isocyanide, and the carboxylic acid. The methodology is operationally simple (just two chromatographies) and highly diastereoselective. Finally, both enantiomeric series are accessible, thanks to the easy availability of 1,2-amino alcohols or α -amino acids in either enantiomeric form. Tetrahydrobenzoxazepines **10** may be useful in the medicinal chemistry realm, since several *N*-acylated tetrahydrobenzo[*f*][1,4]oxazepines have been reported to be endowed with very interesting pharmacological properties.^{51–55} On the other hand, the 5-substituted compounds are largely unexplored from this point of view, although some stimulating examples can be found in the literature.^{56–58} The possibility to control stereochemistry in their synthesis will facilitate the exploration of their chemical space.

However, apart from medicinal chemistry applications, we also wanted to use this chemistry for the combinatorial synthesis of chiral enantiopure secondary amines, to be used as organocatalysts. A possibility to obtain amines like **13** or **14** would be to apply a “truncated” Ugi on cyclic imines **3**. In the



Scheme 3 Scope of the methodology. Ugi–Joullié reaction were carried out at rt for 48 h, unless otherwise noted. All reactions were carried out on 200–400 μ mol of starting **8**. Yield = isolated yield of **10** from **8**. Combined yield = isolated or calculated yield of **10 + 11** from **8**. D.r. were determined by HPLC on the crude product.

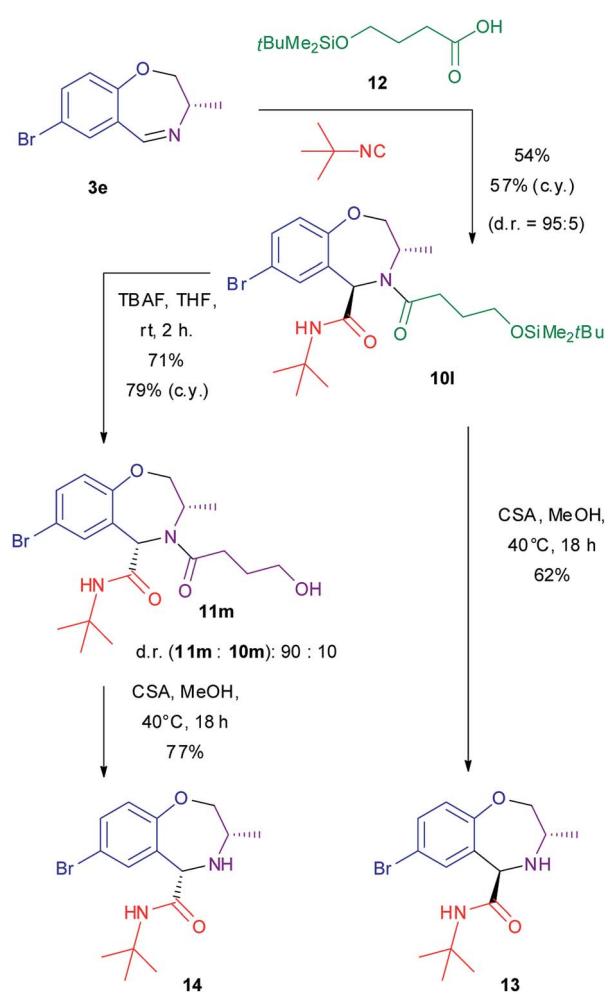


literature we could find only few examples of “truncated” Ugi reaction of aldehydes, primary amines and isocyanides,^{59–61} employing either Lewis or Brønsted acids (or enzymes). However, to our knowledge, no example of “truncated” Ugi applied to cyclic imines was reported.

We tried various Lewis acids (*e.g.* ZnBr₂ or ZnCl₂),⁶² obtaining in all cases poor results in terms of yields. Similar unsatisfactory outcomes were obtained using boric acid⁶³ or sulphuric acid.⁶⁴

Thus, we decided to follow a different approach, performing a normal Ugi–Joullié reaction, but using an easily removable carboxylic acid. While there are many examples of “convertible” isocyanides, the use of removable carboxylic acids in the Ugi or Ugi–Joullié reaction is less explored. An acid component sometimes used for this scope is 4-pentenoic acid, that can be removed by treatment with iodine.^{28,65}

However, as removable carboxylic acid, we selected known compound **12** (Scheme 4), that was prepared by a modification of the reported procedures (see ESI†).^{66,67} The Ugi–Joullié reaction using this acid proceeded as usual, affording adduct **10l** with high d.r.

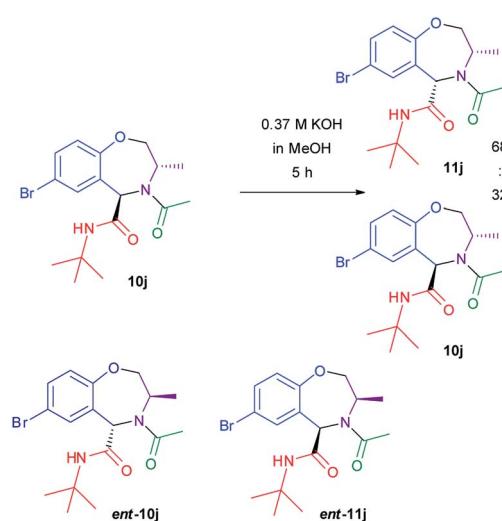


Scheme 4 Synthesis of diastereomeric amines **13** and **14**. c.y. = combined yield of both diastereomers. CSA = camphorsulphonic acid. TBAF = tetrabutylammonium fluoride.

Our initial plan was to use tetrabutylammonium fluoride to promote desilylation of the alcohol and to catalyse the subsequent intramolecular acyl transfer to give γ -butyrolactone and the free secondary amine **13**. With our surprise, not only the reaction stopped at the level of free alcohols **10m**–**11m**, TBAF being unable to promote intramolecular acyl transfer, but a nearly complete epimerization took place, converting *trans* **10m** into *cis* epimer **11m** (d.r. = 90 : 10). Alcohol **11m** can be isolated in diastereomerically pure form by chromatography.

On the other hand, by treatment of **10l** with an acid catalyst in methanol, the desilylation reaction takes place quickly without any epimerization at rt. Then, by raising the temperature to 40 °C intramolecular acyl transfer leads to the release of γ -butyrolactone and to the formation of diastereomerically pure amine **13**. The same conditions convert *cis* alcohol **11m** to diastereomerically pure amine **14**. Thus, thanks to the TBAF promoted epimerization, it is possible to stereodivergently convert *trans* **10** into both *trans* and *cis* amines **13** and **14**, depending on reaction conditions. Since both enantiomers of amino alcohols **7** are available, this means that all four stereoisomers of these secondary amines are easily accessible. This fact is quite useful in view of investigation of these secondary amines as organocatalysts: we can explore both decoration diversity (up to 3 diversity inputs) and stereochemical diversity. It is worth noting that amines **13** and **14** are completely stable against epimerization under basic conditions (TBAF or KOH), indicating that only *N*-acylated compounds are prone to epimerization.

In order to get some additional information on the epimerization mechanism, we submitted **10j** (obtained from imine (*S*)-**3e**) to basic conditions (KOH in MeOH) (Scheme 5). Again, epimerization took place, giving a *cis* : *trans* ratio of 68 : 32. Analysis by HPLC on chiral stationary phase of the two crudes, showed that both **10j** and **11j** were enantiomerically pure, and different from *ent*-**10j** and *ent*-**11j** obtained from Ugi–Joullié reaction of imine (*R*)-**3e**. This fact clearly demonstrates that epimerization occurs at carbon 5 and not at carbon 3, otherwise **10j** would have been converted into *ent*-**11j**.



Scheme 5 Epimerization of **10j** and *ent*-**10j**.



Treatment of isolated pure *cis* compound **11j** under the same conditions again affords a 68 : 32 *cis* : *trans* ratio, proving that this is the thermodynamic ratio. Finally, also in the case of compound **10a** epimerization takes place under these conditions, affording a *cis* : *trans* ratio = 68 : 32.

These experiments demonstrate that, for **10a–11a**, **10j–11j**, or **10m–11m** (and probably also for all the other Ugi–Joullié products here described) the “kinetic” product is not the most stable one. Furthermore, the thermodynamic preference for the *cis* isomer is stronger for **10m–11m** than for **10a–11a** or **10j–11j**. This suggest some influence of the free hydroxy group.

The relative configuration was established, at the level of amines **13** and **14**, by a very clear NOE effect between *H*-5 and *H*-3, which is present only in the *cis* compound. Although the conformational equilibrium of these benzoxazepines is rather complex (see a discussion in the ESI†), no conformation of the *trans* compound would be able to experience this NOE.

We could not find in the literature conformational studies on these systems, apart from our own paper on products similar to **10–11**, but with the substituent bound at carbon 2, instead of at carbon 3.⁴² The ESI† contains a discussion on these complex conformational equilibria, applied to **10j–11j**. The most stable conformations are either half-chair or twist, where the substituent at C-5 occupies an axial position. Among them, the best one is a twist conformation of the *cis* stereoisomer **11j** where the methyl group is in equatorial position. This result agrees with the thermodynamic preference for the *cis* product.

The conformation of imine **3e** is a sort of half-chair, where the C=N bond is coplanar with the benzene ring. Among the two possible half-chairs, the one with the methyl in pseudo-equatorial position seems more stable. In any case the face opposite to the substituent is less encumbered, leading to a kinetic preference for the *trans* compound.

Conclusions

In conclusion we have developed a concise and diversity-oriented methodology to obtain both *N*-acylated, and *N*-free tetrahydrobenzo[*f*][1,4]oxazepines with a high control of configuration of the two stereogenic centres. The enantioselective purity is granted by the starting materials (1,2-amino alcohols of α -amino acids), which are easily accessible from the chiral pool. The methodology is based on a highly diastereoselective Ugi–Joullié reaction that strongly favours the *trans* products. However, also the thermodynamically favoured *cis* isomers can be obtained thanks to a base mediated epimerization. These seven-membered nitrogen heterocycles can find applications in medicinal chemistry, because tetrahydro-1,4-benzoxazepines are typical privileged structures. On the other hand, secondary amines such as **13**, **14** or their analogues may be explored as asymmetric organocatalysts. An easy combinatorial synthesis of such potential catalysts, exploring both decoration and stereochemical diversity, is indeed possible. Studied directed towards this goal are in progress.

Experimental section

Typical procedure for the Mitsunobu reaction: (S)-*tert*-butyl (1-(2-(dimethoxymethyl)phenoxy)-3-phenylpropan-2-yl) carbamate **8a**

A solution of salicylaldehyde (0.770 mL, 901 mg, 7.38 mmol) in dry MeOH (8.1 mL) was treated with trimethyl orthoformate (3.50 mL, 32.0 mmol) and Amberlyst 15 (100 mg). The resulting mixture was stirred at room temperature for 20 h, then diluted with CH₂Cl₂ and filtered. The filtrate was treated with solid NaHCO₃ (25 mg) and filtered again. The filtrate was evaporated, and the residue was taken up in CH₂Cl₂/toluene, and again evaporated to azeotropically remove all methanol. The crude acetal **6a** was obtained as a yellow oil (1.380 g). This acetal (301.7 mg, theoretical 1.61 mmol, 1.2 equiv.) was dissolved in dry THF (2.60 mL), cooled to 0 °C, and treated sequentially with Boc-amino alcohol **7a** (330.5 mg, 1.31 mmol, 1 equiv.), PPh₃ (171.8 mg, 0.655 mmol, 0.5 equiv.) and 1,1'-(azodicarbonyl) dipiperidine (ADDP) (165.5 mg, 0.656 mmol, 0.5 equiv.). After stirring at 0 °C for 60 min, further PPh₃ (171.8 mg, 0.655 mmol) and ADDP (165.5 mg, 0.656 mmol) were added. Finally, after 60 minutes, an identical amount of both reagents was added. The mixture was further stirred overnight at rt and evaporated to dryness. The crude was taken up in Et₂O and washed with 1 M NaOH, to remove excess of **6a**. The organic phase was washed with aqueous saturated NH₄Cl, evaporated and purified by chromatography (PE/AcOEt 11 : 1) to give pure **8a** as an oil (411.4 mg, 78% from **7a**). For characterization data, see ESI.†

Typical procedure for the Ugi–Joullié reaction: (3*S*,5*R*)-4-acetyl-3-benzyl-*N*-(*tert*-butyl)-2,3,4,5-tetrahydrobenzo[*f*][1,4]oxazepine-5-carboxamide **10a**

Acetal **8a** (312.4 mg, 778 μ mol) was dissolved in CH₂Cl₂ (3.0 mL) and treated with 37% aqueous HCl (640 μ L, 7.73 mmol). The mixture was stirred at rt for 5 h. Then it was diluted with CH₂Cl₂, and cautiously treated with 5% aqueous Na₂CO₃ (25 mL). After checking that pH > 9, the two phases were separated, washed with brine, and evaporated to dryness. The resulting crude imine **3a** (oil) was taken up in dry methanol (3.90 mL), and treated with acetic acid (53 μ L, 927 μ mol), and *tert*-butyl isocyanide (106 μ L, 937 μ mol). The mixture was stirred at rt for 45 h and evaporated to dryness. It was taken up in AcOEt, washed with saturated aqueous NaHCO₃ (to remove excess carboxylic acid), evaporated, and chromatographed (PE/AcOEt 2 : 1) to give pure **10a** (237 mg, 80%). The ratio **10a** : **11a** was determined by HPLC on the crude product and resulted = 95 : 5. For HPLC conditions and characterization data, see ESI.†

(3*S*,5*R*)-7-Bromo-*N*-(*tert*-butyl)-3-methyl-2,3,4,5-tetrahydrobenzo[*f*][1,4]oxazepine-5-carboxamide **13**

Compound **10l** (75.2 mg, 139 μ mol) was dissolved in a 1.0 M solution of camphorsulfonic acid in dry MeOH (0.60 mL), and stirred overnight at 40 °C. Then it was diluted with AcOEt and washed with a 1 : 1 mixture of saturated aqueous NaHCO₃ and brine. The final pH of aqueous phase was 8. The phases were separated, and the organic one evaporated to dryness and



chromatographed (PE/AcOEt 2 : 1 + 1% EtOH → PE/AcOEt 1 : 1 + 1% EtOH) to give pure **13** as a white solid (29 mg, 62%). R_f = 0.55 (PE/AcOEt 2 : 1). $[\alpha]_D$ = +28.6 (*c* 0.6, CHCl_3). Mp: 154.0–156.2 °C. ^1H NMR (300 MHz, CDCl_3 , 20 °C): δ = 7.34 (1H, dd, *J* = 8.4, 2.4 Hz), 7.29 (1H, d, *J* = 2.4 Hz), 6.92 (1H, d, *J* = 8.4 Hz), 5.96 (1H, broad s, NH), 4.40 (1H, s, $\text{CHC}=\text{O}$), 4.12 (1H, dd, *J* = 11.6, 2.0 Hz, CHHO), 3.50–3.31 (2H, m, CHHO , CHN), 1.32 (9H, s, $\text{C}(\text{CH}_3)_3$), 1.07 (3H, d, *J* = 6.3 Hz, CH_3CH). ^{13}C NMR (75 MHz, CDCl_3 , 25 °C): δ = 169.3 ($\text{C}=\text{O}$), 157.7, 133.7, 116.2 (quat.), 133.6, 132.3, 123.4 (aromatic CH), 78.4 (CH_2O), 64.3 ($\text{CHC}=\text{O}$), 51.5 (CNH), 50.8 (CHN), 28.7 ($\text{C}(\text{CH}_3)_3$), 17.8 (CH_3CH). IR (ATR): ν_{max} 3320, 3295, 2995, 2970, 2930, 2869, 1745, 1673, 1520, 1502, 1483, 1452, 1391, 1363, 1345, 1298, 1268, 1243, 1222, 1194, 1166, 1114, 1080, 1045, 1016, 985, 941, 928, 907, 874, 819, 786, 761, 726, 661 cm^{-1} . HRMS (ESI $^+$): found 341.0870 [calcd for $\text{C}_{15}\text{H}_{22}\text{BrN}_2\text{O}_2^+$ ($\text{M} + \text{H}$) $^+$ 341.0865].

(3*S*,5*S*)-7-Bromo-N-(*tert*-butyl)-3-methyl-2,3,4,5-tetrahydrobenzo[*f*][1,4]oxazepine-5-carboxamide **14**

Compound **10l** (103.7 mg, 191 μmol) was dissolved in dry THF (1.22 mL) and treated with a 1 M solution of tetrabutylammonium fluoride (TBAF) in THF (230 μL , 230 μmol). The mixture was stirred at rt for 2 h. Then it was diluted with AcOEt and washed with a 1 : 1 mixture of brine and water. The organic phases were evaporated. HPLC analysis of this crude product indicated a d.r. of 90 : 10 (see ESI for details[†]). This crude product was chromatographed (PE/AcOEt 1 : 2 + 1% EtOH) to give pure *cis* compound **11m** as a colorless oil (58 mg, 71%). The overall yield, calculated from d.r., was 79%. This intermediate was dissolved in a 1.0 M solution of camphorsulfonic acid in dry MeOH (0.63 mL), and stirred overnight at 40 °C. Then it was diluted with AcOEt and washed with a 1 : 1 mixture of saturated aqueous NaHCO_3 and brine. The final pH of aqueous phase was 8. The phases were separated, and the organic one evaporated to dryness and chromatographed (PE/AcOEt 2 : 1 + 1% EtOH → PE/AcOEt 1 : 1 + 1% EtOH) to give pure **14** as a white solid (36 mg, 77%). R_f = 0.55 (PE/AcOEt 2 : 1). $[\alpha]_D$ = -57.0 (*c* 1.0, CHCl_3). Mp: 107.6–110.0 °C. ^1H NMR (300 MHz, CDCl_3 , 20 °C): δ = 7.39 (1H, d, *J* = 2.4 Hz), 7.30 (1H, dd, *J* = 8.4, 2.4 Hz), 7.10 (1H, broad s, NH), 6.90 (1H, d, *J* = 8.4 Hz), 4.53 (1H, s, $\text{CHC}=\text{O}$), 4.24 (1H, d, *J* = 9.0 Hz, CHHO), 3.32–3.18 (2H, m, CHHO , CHN), 1.43 (9H, s, $\text{C}(\text{CH}_3)_3$), 1.03 (3H, d, *J* = 5.6 Hz, CH_3CH). ^{13}C NMR (75 MHz, CDCl_3 , 25 °C): δ = 169.6 ($\text{C}=\text{O}$), 158.0, 137.0, 116.8 (quat.), 131.6, 130.4, 123.3 (aromatic CH), 78.6 (CH_2O), 61.4 ($\text{CHC}=\text{O}$), 54.5 (CHN), 51.1 (CNH), 28.8 ($\text{C}(\text{CH}_3)_3$), 17.7 (CH_3CH). IR (ATR): ν_{max} 3338, 3286, 2965, 2931, 2875, 1661, 1513, 1477, 1457, 1392, 1365, 1316, 1300, 1286, 1264, 1245, 1223, 1164, 1144, 1104, 1085, 1047, 1009, 994, 939, 915, 903, 884, 874, 852, 829, 823, 805, 774, 751, 730, 675, 623 cm^{-1} . HRMS (ESI $^+$): found 341.0866 [calcd for $\text{C}_{15}\text{H}_{22}\text{BrN}_2\text{O}_2^+$ ($\text{M} + \text{H}$) $^+$ 341.0865].

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

We thank Mr Francesco Bellina for his collaboration to this work, Dr Giuliana Ottonello and Dr Andrea Armirotti for HRMS.

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