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# Resistive switching effect and magnetic properties of iron oxide nanoparticles embedded-polyvinyl alcohol film†

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In this study, the memory device of iron oxide (IO) nanoparticles (NPs) embedded in polyvinyl alcohol (PVA) demonstrates the bipolar resistive switching characteristics under an external electric field. The phase and magnetic properties of iron oxide nanoparticles change corresponding to its resistive states. At the high resistance state (HRS) of device, iron oxide nanoparticles are primarily in  $\text{Fe}_2\text{O}_3$  phase and the ferromagnetism behavior is observed. In contrast, the iron oxide nanoparticles clustered by the bridging oxygen vacancies lead to mainly  $\text{Fe}_3\text{O}_4$  phase and no hysteresis magnetic curve is observed at the low resistance state (LRS) of device. The results reveal that oxygen vacancies/ions in nanoparticles notably influence the resistance and magnetic behavior of nanocomposite thin films. Our study indicated that the magnetic NPs is high potential of multi-dimensional storage fields.

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## 1. Introduction

Resistance random access memory (RRAM) has attracted much attention because of ideal memory characteristics such as simple structures, fast programmable, non-volatility, low power consumption, high-density integration, and low fabrication cost.<sup>1,2</sup> A RRAM has the capacitor – like structure in which the insulator layer is sandwiched between two metal electrodes. One principle operation is based on repeatedly switching between a high resistance state (HRS) and low resistance state (LRS) by applying an external electric field. A numerous materials including metal oxides, perovskites, organic and hybrid nanocomposites were studied for RRAM devices.<sup>3–5</sup>

Polyvinyl alcohol (PVA) is a nontoxic, friendly environmental, thermalstable polymer, good water solubility, biodegradability and semi-crystalline.<sup>6</sup> Amazing optical/mechanical properties, good dielectric capacity and attractive charge storage ability make PVA effectively in multiple applications.<sup>7</sup> PVA with their crystalline structure consist of H-atoms interlinked between the hydroxyl group and these hydrogen atoms could be interlinked. The physical, chemical and optoelectrical properties of PVA can be modified by doping with nanofillers as well as governed by the number of hydroxyl groups present in the PVA matrix.

Interfacial interactions between the filler and the matrix improve dielectric, optical and electrical properties of composites.<sup>8</sup> Metal NPs (Cu, Ag, Co...), oxides ( $\text{CuO}$ ,  $\text{ZnO}$ ...), graphene are incorporated into PVA polymer forming nanocomposites for nanophotonic, biomedical and optoelectronic devices.<sup>7</sup> Some recent papers reported the resistive switching (RS) effect of PVA based nanocomposite with high potential for memory devices. Kim *et al.* investigated the multi-stacked PVA/GO + PVA/PVA layer based RRAM devices which were distributed the high ON/OFF ratio ( $\sim 10^4$ ) and good retention ( $> 2 \times 10^3$  s).<sup>9</sup> Hmar reported the devices using hybrid composite of ZnO nanoparticles embedded in PVA and PEDOT:PSS with low operating voltage and switching current ratio larger than five orders of magnitude.<sup>10</sup> The flexible devices remain good performance even after bending the device from 60–120°. M. Rehman *et al.* fabricated the RRAM configuration of PET/Ag/MoS<sub>2</sub>-PVA/Ag by printing with mechanical robustness via various bending diameters (50–2 mm) for 1500 cycles.<sup>11</sup> The nanocomposite of PVA and TiO<sub>2</sub> nanotubes distributed the high resistive switching performance with high ON/OFF ratio ( $\sim 10^3$ ) and long life retention ( $\sim 10^4$  s).<sup>12</sup>

Besides, some studies reported that magnetic oxide materials show the high potential with RS characteristics. A. Odagawa *et al.* demonstrated that the RS behavior in  $\text{Fe}_3\text{O}_4$  thin film is controlled by the formation of maghemite  $\gamma\text{-Fe}_2\text{O}_3$  phase from  $\text{Fe}_3\text{O}_4$  near the interface of an anode.<sup>13</sup> Kim *et al.* observed RS in magnetite ( $\text{Fe}_3\text{O}_4$ ) NP assembly that was driven by the responsive migration of  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  charges to the external field.<sup>14</sup> Additionally, another report described the colloidal maghemite phase of iron oxide ( $\gamma\text{-Fe}_2\text{O}_3$ ) NPs on a Pt electrode, with the memory controlled by the bias polarity. The

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transparent and flexible RS of Al/Fe<sub>3</sub>O<sub>4</sub>-PMMA/Al devices can be attributed to the trapping/de-trapping of electrons at the sites of Fe<sub>3</sub>O<sub>4</sub> nanoparticles.<sup>15</sup> Wei Chen *et al.* reported the high potential of multi-dimensional memory devices with simultaneous changes in resistance and magnetization of Fe<sub>3</sub>O<sub>4</sub> thin films in an Ag/Ti/Fe<sub>3</sub>O<sub>4</sub>/Pt device.<sup>16</sup>

Most of studies of RRAM based on magnetic materials above revealed carefully of the performance and the RS mechanism of memory devices. Unfortunately, the correlation between switching mechanism and magnetic properties was rarely figured out. In this research, we report the bipolar resistive switching characteristics of the iron oxide (IO) nanoparticles (NPs) embedded in polyvinyl alcohol (PVA) and also comprehend a correlation between the RS and magnetic properties of nanoparticles. It is found that the data storage process closely relates to the majority phase ratio of the iron oxides in nanocomposite.

## 2. Experimental procedures

### 2.1 Synthesis of iron metal oxide nanoparticles

In this report, the ferromagnetic nanoparticles were synthesized by co-precipitation method. 1 gram iron(II) chloride tetrahydrate and 2.7 gram iron(III) chloride hexahydrate was dissolved in 40 mL distilled water. Then, the mixture was ultrasonicated for 15 minutes at 70 °C in nitrogen atmosphere for homogeneity and 40 mL NaOH (1 M) was slowly dripped into the mixture. After dripping NaOH, the reaction mixture continues to be

ultrasonicated 70 °C in nitrogen atmosphere for an hour to form ferromagnetic nanoparticles. After that, 3.6 mL oleic acid was added into the ferromagnetic nanoparticle solution. The solution was continuously ultrasonicated an hour at 70 °C in nitrogen atmosphere to form a coating around ferromagnetic nanoparticles to help them disperse better. The ferromagnetic nanoparticles were separated by a magnetic bar, washed four times with a mixture of hexane and ethanol, three times with distilled water. Then, the produce was dried at 80 °C in vacuum for 8 hours.

### 2.2 Fabrication of memory devices

To fabricate the devices, the IO NPs were dissolved in a mixture of ethanol and deionized water and ultrasonicated simultaneously for 30 min at room temperature. The NPs solution (0.01 wt%) was mixed with PVA solution (1.0 wt%) to obtain a homogeneous solution. Then, the 40 nm-thick nanocomposite films were coated on a commercial fluorine-doped tin oxide substrate (FTO) by conventional spin coating. Finally, the top Ag electrode, which had an approximately thickness of 100 nm, was deposited onto the insulator layer by using a DC sputtering system. The details of device fabrication process are shown in Fig. 1.

### 2.3 Analysis techniques

The crystalline structure of the IO NPs was characterized by using a Bruker D8 Advance X-ray diffractometer (XRD) with Cu-K $\alpha$  radiation ( $\lambda = 0.154$  nm). Fourier transform infrared

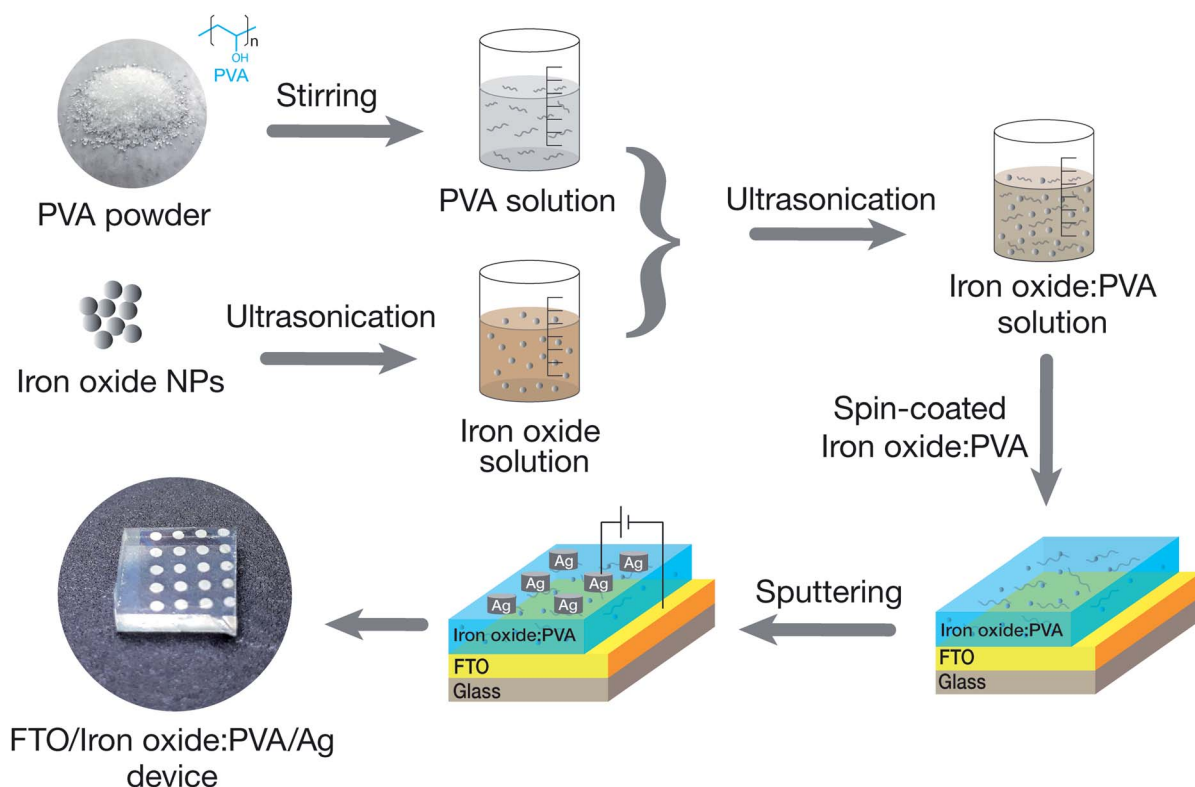


Fig. 1 Schematic diagram of the FTO/nanocomposite/Ag memory fabrication process.

spectroscopy (FTIR) was conducted on the NPs by using a 4800S spectrometer (Shimadzu Corporation) in the mid-infrared range from 500 to 4000  $\text{cm}^{-1}$ . An X-ray photoelectron spectroscopy (XPS) system [K-alpha, Thermo Fisher Scientific (UK)] with monochromated source Al  $K_{\alpha}$  ( $h\nu = 1486.6$  eV) and a spot size of 400  $\mu\text{m}$  was used for the chemical state analysis. The survey scan was at 200 eV pass energy with a step of 1 eV, while the narrow scan was at 50 eV pass energy with a step of 0.1 eV. The size and morphology of the magnetic NPs were studied by using a JEOL JEM-2100F transmission electron microscope (TEM) and an S4800 Hitachi scanning electron microscope (SEM). The magnetic properties of magnetic NPs and thin films were investigated by using a vibrating sample magnetometer (VSM, MicroSense EasyVSM). A semiconductor characterization system (Keithley 4200 SCS) was employed to measure the  $I$ - $V$  characteristics. When a DC voltage was applied to the FTO electrode, the Ag electrode was grounded. The current and voltage were measured under ambient conditions and at room temperature.

### 3. Results and discussion

#### 3.1 Structural and morphology of iron oxide NPs

The XRD pattern of the ferromagnetic NPs is shown in Fig. 2(a). In the range,  $2\theta = 20/80$ , representative diffraction peaks at  $2\theta = 30.3^\circ$ ,  $35.7^\circ$ ,  $56.7^\circ$ , and  $62.8^\circ$ , which correspond to the (220), (311), (511), and (440) planes (JCPDS card no. 79-0417), respectively can be identified.<sup>17</sup> The phase of iron metal oxide NPs may belong to  $\text{Fe}_3\text{O}_4$  phase and/or  $\gamma\text{-Fe}_2\text{O}_3$  phase. The average crystallite size of magnetite NPs can be calculated by the width of the reflection peak according to the Debye-Scherrer equation:  $D = 0.9\lambda/(\beta \cos \theta)$ , where  $\lambda$ ,  $\beta$ , and  $\theta$  are the wavelength of the X-ray radiation, the full width at half maximum (FWHM) of the peak, and the angle of diffraction, respectively. The average crystallite size of iron metal oxide NPs that is estimated from the most intense peak of (311) plane is  $\sim 12$  nm.

Fig. 2(b) shows the XPS survey spectra of iron oxide NPs in the binding energy range from 0 to 1200 eV. The results confirm that the main elements of Fe and O in NPs. Furthermore, the O/Fe ratio from quantification is  $\sim 2.8$ , which demonstrates that O bonds with Fe in ferric stoichiometry and there exists other oxidation states. The O 1s core-level X-ray photoelectron spectra can be deconvoluted into three peaks at 529.6, 531.1, and 532.5 eV, as in Fig. 2(c). The highest intensity peak at 529.6 eV (*i.e.*, lowest binding energy peak) is attributed to oxygen from O-Fe bonds, with a prominent 66.2% ratio.<sup>18</sup> The other lower intensity peak is at 531.1 eV, corresponding to oxygen vacancies ( $\text{V}_\text{O}$ ) with a relatively high ratio in the NPs (26%).<sup>18</sup> The number of oxygen vacancies can influence the electrical properties of NP-based devices. A small ratio of oxygen (5.8%) can be distributed in O-C bonds through surface contamination.<sup>18</sup> The convolution of Fe 2p is presented in Fig. 2(d). Two main peaks are related to the  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  oxidation states at 709.8 and 711.5 eV, corresponding to  $\text{Fe}_3\text{O}_4$  and  $\gamma\text{-Fe}_2\text{O}_3$  stoichiometry, respectively.<sup>19</sup> In addition, the presence of a satellite peak at 718.4 eV also indicate that the magnetic NPs are not completely a  $\text{Fe}_3\text{O}_4$  phase;<sup>19</sup> a complete  $\text{Fe}_3\text{O}_4$  stoichiometry produces no

satellite peak. From the structural analysis, the magnetic NPs have the combination of  $\text{Fe}_3\text{O}_4$  and  $\gamma\text{-Fe}_2\text{O}_3$  phase. The integrated intensity ratio of  $\text{Fe}^{3+}/\text{Fe}^{2+}$  may examined relatively ratio between  $\gamma\text{-Fe}_2\text{O}_3$  and  $\text{Fe}_3\text{O}_4$  phase, in which  $\gamma\text{-Fe}_2\text{O}_3$  is the majority. The elemental composition of NPs is also revealed by using EDX analysis for comparison as presented in Fig. S1(a) and (b) ESI.† The O/Fe ratio is approximately 1.7 which indicates that the iron oxide NPs are not including single phase of  $\text{Fe}_3\text{O}_4$  or  $\text{Fe}_2\text{O}_3$ . Furthermore, the FT-IR spectrum of iron oxide nanoparticles was also deconvoluted into three peaks in the range of 400–800  $\text{cm}^{-1}$  (see Fig. S1(c)†). The absorption peak at 564 and 620, 703  $\text{cm}^{-1}$  were attributed to the  $\text{Fe}_3\text{O}_4$  and  $\gamma\text{-Fe}_2\text{O}_3$  phase,<sup>19</sup> in which, the  $\gamma\text{-Fe}_2\text{O}_3$  phase was also a dominant component.

Fig. 2(e) and (f) show TEM and HRTEM images of NPs which are mostly spherical/spheroidal in shape and the diameter is estimated to be 10–15 nm, matching the calculated size from XRD data. The clear atomic lattice fringes can be observed in Fig. 2(f), and an interplanar spacing of the crystallographic planes is about 0.25 nm, which is close to the (311) lattice planes of crystal. The other crystalline direction may be referred to (220) plane. The corresponding surface morphology of the FTO substrate and nanocomposite thin film were investigated, as shown in Fig. S2(a) and (b) ESI,† respectively.

#### 3.2 Current-voltage characteristics

Fig. 3(a) shows the typical  $I$ - $V$  curve of the device in a bipolar RS characteristics. When a positive voltage sweep (0–3 V) applied to a bottom electrode, the low current from the initial state increased rapidly at  $V_\text{S} \sim 2$  V and reached the current compliance,  $I_\text{C} = 10^{-3}$  A. As a result, the device switched from an HRS to an LRS. The LRS remained during backward voltage from 3 V to 0 V. When the voltage was swept to  $-3$  V and backward to zero in the negative direction, the current decreased at  $V_\text{RS} \sim -0.7$  V and the device changed back to an HRS. This  $I$ - $V$  characteristic was followed by bipolar bi-stable RS behavior. An endurance test of 100 cycles and the cumulative probability of HRS and LRS are shown detail in Fig. 3(b) and (c), respectively. The resistance of LRS ( $0.8 \times 10^{-2}$  A) is more stable than that of HRS ( $0.7 \times 10^{-3}/10^{-3}$  A). The operating voltages range from 0.5 to 2 V for set process and from  $-1.7$  to  $-0.7$  V for reset process, as seen in Fig. 3(d).

#### 3.3 Photoluminescence spectrum

The PL spectrum were determined to clarify the energy-band structure and defect states in the NPs. Fig. 4(a) shows the PL results with seven major peaks near 425, 555, 641, 708, 740, 777, and 846 nm, when the excitation wavelength is 325 nm. Inter-band defect states and/or quantum confinements play important roles in NPs. The many peaks in Fig. 3(a) demonstrate the existence of some energy levels of defects in NPs. The corresponding electronic band structures of NPs are shown in Fig. 4(b). The PL peaks at 425 nm (2.92 eV) and 641 nm (1.93 eV) can be attributed to the radiative recombination of trapped electrons from octahedral sites to O 2p. The peak at 555 nm (2.23 eV) refers to the transition of electrons from  $e_\text{g}$  to  $t_{2g}$  at an



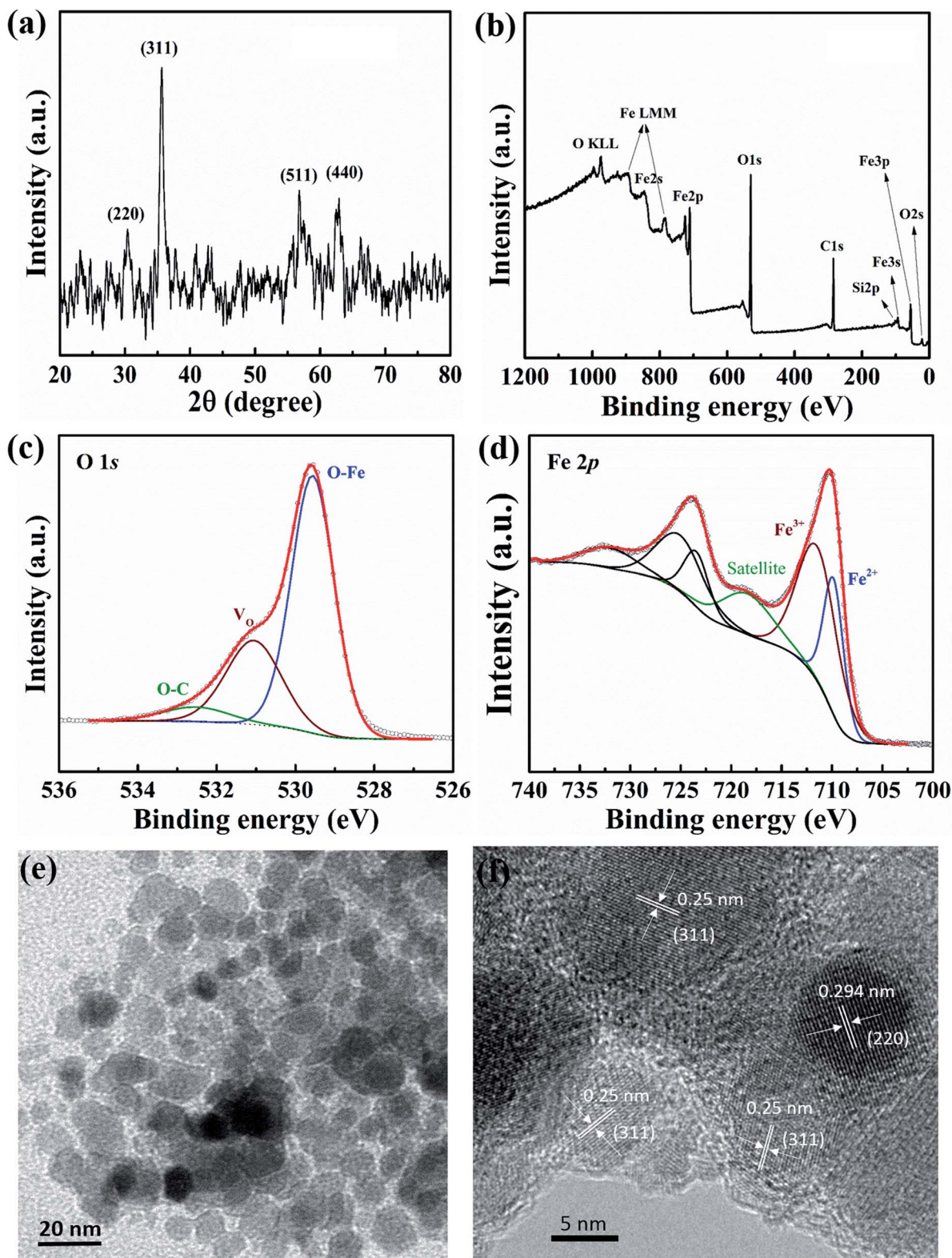


Fig. 2 Structure and morphology of the IO NPs: XRD (a), survey XPS spectra (b), and O 1s (c) and Fe 2p (d) core-level X-ray photoelectron spectra, TEM (e) and HRTEM (f) images.

octahedral site. The low peak at 708 (1.75 eV) and high peak at 740 nm (1.67 eV) are related to the recombination of electrons from  $t_2$  to O 2p at a tetrahedral site. The two PL peaks at 777 nm

(1.59 eV) and 846 nm (1.46 eV) can be attributed to the transition of electrons, which may be trapped at oxygen vacancies, to O 2p at a tetrahedral site.<sup>20,21</sup> In the previous report, Boxall *et al.*



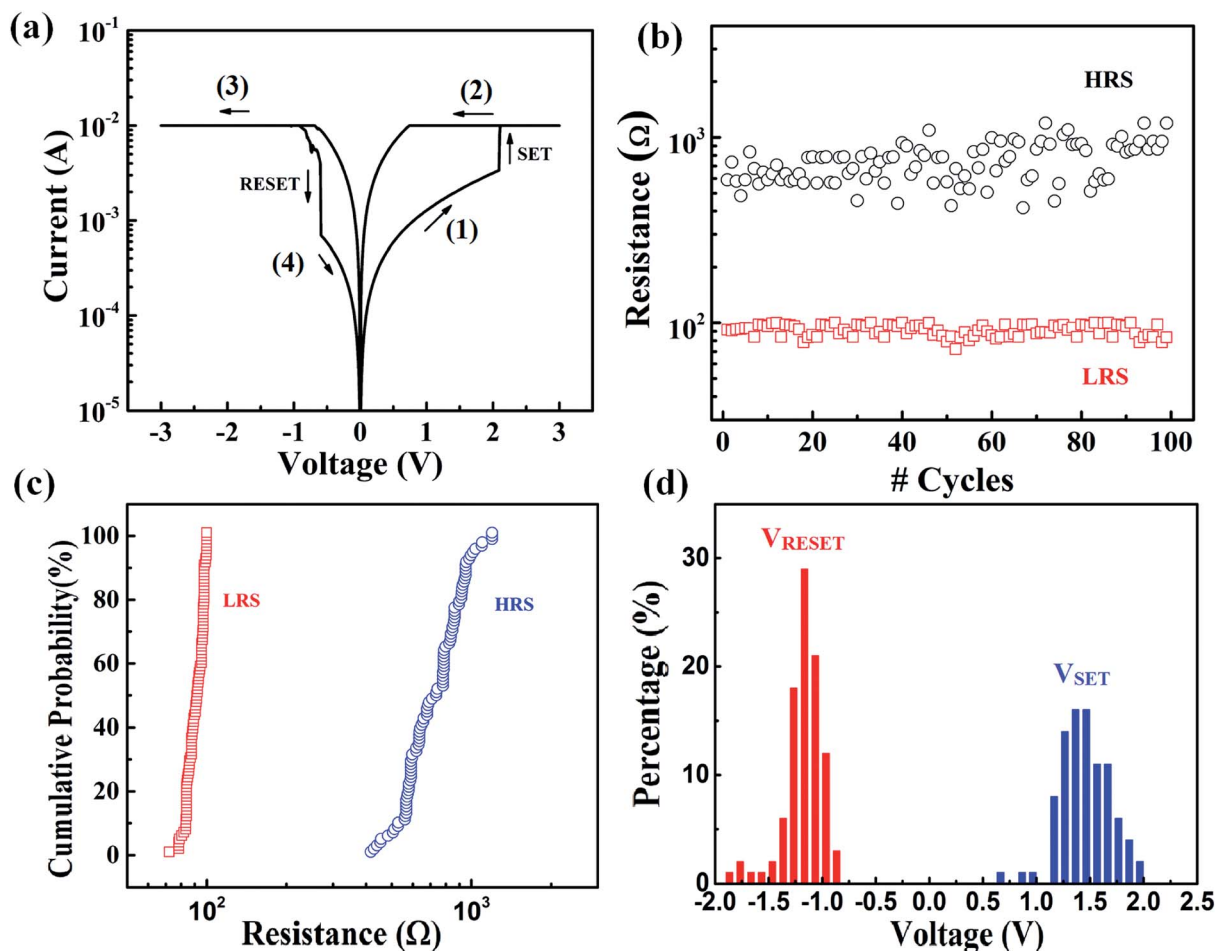


Fig. 3 The bipolar resistive switching of memory devices: (a) current–voltage ( $I$ – $V$ ) characteristics, (b) endurance, (c) cumulative probability of LRS and HRS and (d) percentage of operation voltage.

also showed that colloidal  $\text{Fe}_3\text{O}_4$  nanoparticles exhibit three distinct mobility changes at 1.8, 2.2, and 3.1 eV, which are consistent with the electronic band structures of  $\text{Fe}_3\text{O}_4$ .<sup>22</sup> Based

on the small changes in these three peaks and additional peaks in our results, we propose that the electronic band structures of NPs are primarily similar to those of NPs. However, more

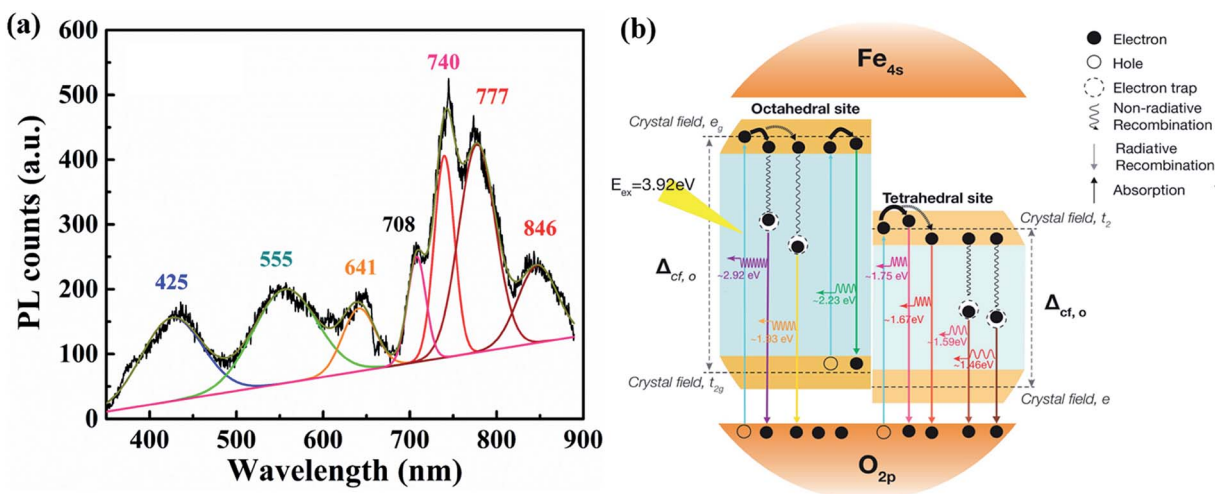


Fig. 4 Photoluminescence spectrum of the iron metal oxide NPs (a) and schematic of the energy-band structure of the NP systems (b).



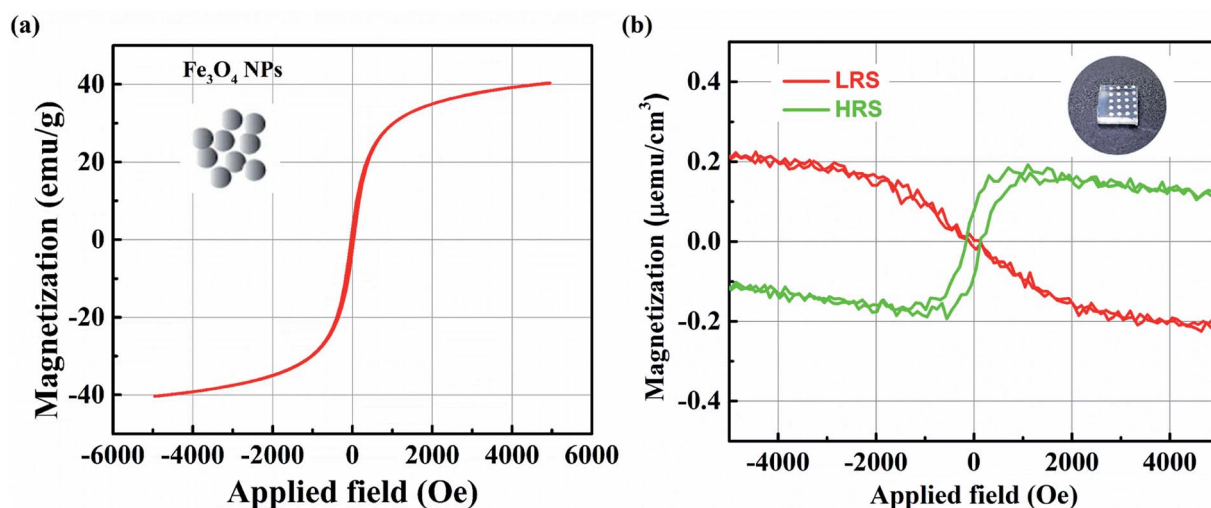


Fig. 5 Magnetization hysteresis curves of the iron oxide NPs (a) and hybrid nanocomposite thin films at the LRS and HRS (b) corresponding to bipolar bi-stable RS at 300 K. The diamagnetic contribution from the sample holder and FTO substrate was subtracted.

defect states may be included in the energy-band structure of our NPs.

### 3.4 Magnetic properties

In this study, the magnetic properties of the device have also been investigated. According to the simple ferrimagnetic picture,  $\text{Fe}_3\text{O}_4$  exhibits a magnetic moment of  $+4\mu_B$  per formula unit solely because of the  $\text{Fe}_{\text{oct}}^{2+}$  cations since,  $\text{Fe}_{\text{tet}}^{3+}$  ( $-5\mu_B$ ) and  $\text{Fe}_{\text{oct}}^{3+}$  ( $+5\mu_B$ ) cations cancel each other.<sup>23</sup> Otherwise, the ferromagnetism of the oxide thin film may be induced by donor defects, such as oxygen vacancies or metal impurities.<sup>24,25</sup> Fig. 5(a) shows the magnetization curve of the NPs. The coercive force was approximately negligible, suggesting superparamagnetic behavior. The curve also shows that the saturation magnetization ( $M_s$ ) was  $40 \text{ emu g}^{-1}$ . It is reported that the diameter of the primary particles can influence the  $M_s$  value. The smaller the particles' size, the smaller the particle volume that contributes to the effective magnetic volume, and the saturation magnetization decreases because of surface effects.<sup>26</sup>

The magnetic field's ( $H$ ) dependence on the room-temperature magnetization ( $M$ ) after diamagnetic correction for the hybrid nanocomposite film corresponding to the LRS and HRS is shown in Fig. 5(b). The magnetic curves at the LRS

show no hysteresis, and the direction follows diamagnetic properties, similarly to that of the substrate. A magnetic-hysteresis loop in the nanocomposite film at an HRS can be observed, showing ferromagnetism at room temperature. The  $M_s$  value is  $\sim 0.2 \mu\text{emu cm}^{-3}$  at the field  $H$  of  $\sim 1100 \text{ Oe}$ .  $M_s$  is particularly low because of the extremely low concentration of NPs in the nanocomposite films. The other reason for the low  $M_s$  value is believed to be the existence of a PVA matrix in the magnetic film, which simply limits magnetic effects from the magnetite NPs.

In our device, the observed ferromagnetism behavior at HRS may be explained based on some points as follows: (1) it is well-known that  $\text{Fe}_3\text{O}_4$  phase is more conductive due to its stable in the reducing medium compared to the  $\alpha$ -,  $\beta$ -,  $\gamma$ - $\text{Fe}_2\text{O}_3$  (noted as  $\text{Fe}_2\text{O}_3$ ) phases; (2) in the oxidation medium,  $\text{Fe}_3\text{O}_4$  phase will be  $\text{Fe}_2\text{O}_3$  phases; (3) the  $\text{Fe}_3\text{O}_4$  and  $\text{Fe}_2\text{O}_3$  nanoparticles can be ferromagnetism or superparamagnetism behavior depending on their sizes. It is noted from the Fig. 6(b) that the magnetic behavior of LRS is not observed (the observed diamagnetic behavior is originated from the FTO bottom electrode). It might that the ion oxides nanoparticles are primarily in  $\text{Fe}_3\text{O}_4$  phase due to the LRS (point 1 above). Fortunately, the observed magnetic behavior from the HRS is ferromagnetism. The low

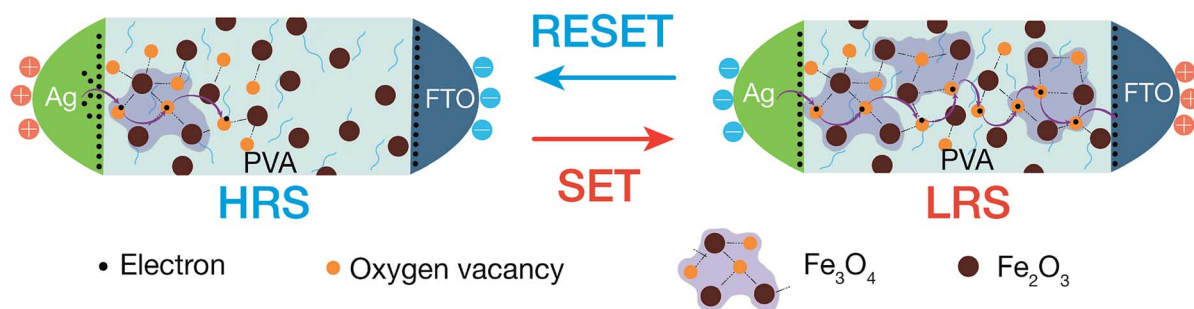


Fig. 6 Mechanisms of the bipolar resistive switching effect of memory devices.



conductivity (HRS) informs that the iron oxide nanoparticles now seem to be common in  $\text{Fe}_2\text{O}_3$  phases (point 1 above). It is well-known that the ferromagnetism behavior is observed in large size iron oxide nanoparticles. Therefore, the transition from the  $\text{Fe}_3\text{O}_4$  (LRS) to  $\text{Fe}_2\text{O}_3$  (HRS) can occur through the migration of oxygen ions/oxygen vacancies under electric field (point 2 above). The large clusters of  $\text{Fe}_2\text{O}_3$  nanoparticles also can be formed through the oxygen ions bridging (point 3 above) leading to observed ferromagnetism behavior.

### 3.5 Resistive switching mechanism of memory devices

From the discussion of microstructure and magnetic properties of hybrid nanocomposites, the switching mechanism can be proposed as the redistribution of oxygen vacancies/ions under the external electric field leading the change of main stoichiometry in magnetic NPs constituent as in Fig. 6. According to XPS and FTIR discussion in Section 3.1, the  $\gamma\text{-Fe}_2\text{O}_3$  is the majority of iron metal oxides at pristine state. Under positive bias (the FTO is positive), the oxygen ions in the hybrid layer near the FTO are pulled out to the FTO electrode (as the oxygen reservoir layer). This process makes the deficiency of oxygen in the magnetic NPs, or removes the oxygen bridges in  $\gamma\text{-Fe}_2\text{O}_3$  phase to form disconnecting  $\text{Fe}_3\text{O}_4$  clusters. The resistance of device switches from HRS to LRS. Numerous attempts have been conducted to understand and model the conduction mechanisms in  $\text{Fe}_3\text{O}_4$  material, including the hopping of electrons on the  $\text{Fe}_{\text{oct}}$  sublattice (ionic model), band conduction, and/or small-polaron hopping (metallic conduction). In our iron oxide NPs, the interband defect states of the energy band in the octahedral and tetrahedral sites, as shown in Fig. 4(b), may have assisted the hopping of electrons through the nanocomposite layer. In the another explanation, the electrons can hop through the oxygen vacancy sites – binding bridges of  $\text{Fe}_2\text{O}_3$  clusters. Whenever the voltage is reversed to the negative direction (FTO with negative voltage), the oxygen ions are pushed into the interface region of the FTO/insulator. The oxygen vacancies could be annihilated and rupture the electron hopping paths. The device switches back to the HRS, corresponding to the alternation of main phase from  $\text{Fe}_3\text{O}_4$  into  $\text{Fe}_2\text{O}_3$  phase.

## 4. Conclusions

In summary, the bipolar RS is observed in iron oxide NPs embedded into PVA matrix under the external electric field. Simultaneously, the magnetic properties of the nanocomposites alter from the superparamagnetism at LRS to ferromagnetism at HRS corresponding to the majority phase from  $\gamma\text{-Fe}_2\text{O}_3$  and  $\text{Fe}_3\text{O}_4$  phases. The switching mechanisms are elucidated through the pushing in/pulling out of oxygen ions at the FTO/nanocomposite interface under the external electric fields. Recently, the development of such memory device with the data storage applications both in electric and magnetic properties, which has begun to attract considerable attention. Therefore, our memory can be expected to provide more opportunities in multi-dimension memories.

## Conflicts of interest

There are no conflicts of interest to declare.

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