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Characterization of coal-based fulvic acid and the construction of a fulvic acid molecular model†

Guan-qun Gong, ^{‡a} Xin Yuan, ^{‡b} Ying-jie Zhang, ^{‡*a} Ya-jun Li, ^b Wei-xin Liu, ^b Ming Wang, ^b Yu-feng Zhao ^b and Liang-wei Xu ^b

Fulvic acid (FA) is important in modern agriculture, ecological restoration, life science, and medicine. The precise characterization of the composition and molecular structure of FA has become a key scientific issue in both basic and applied research. In this study, coal-based FA was separated by microwave-assisted oxygenation from lignite originating from Inner Mongolia in China. Through elemental analysis, infrared spectroscopy, nuclear magnetic resonance spectroscopy, classical quantitative titration experiments, and quantum chemistry combined with software analysis, the representative microscopic molecular structure of FA was determined. The results show that coal-based FA mainly contains three kinds of benzene ring substituents, ether bonds, hydrogen bonds, carbonyl groups, hydroxyl groups, carboxyl groups, phenolic hydroxyl groups, and semiquinonyl groups. The oxygen content is high, the carbon-to-oxygen ratio is less than 1, and the hydrogen-to-carbon ratio is 1.09. The ratio of aromatic carbon to total carbon is approximately 0.6, and benzene rings are connected to each other by an ether–oxygen bridge. The fat chain length of FA is approximately 0.47. FA has a small molecular structure with many acidic groups, primarily carboxyl groups and phenolic hydroxyl groups. The two-dimensional planar molecular structure of FA was established; the chemical formula is $C_{38}H_{32}NO_{24}$, and the relative molecular mass is 886. The lowest-energy, structurally optimized three-dimensional characteristic ball-and-stick and stick models were also constructed. The calculated infrared spectrum of the molecular structure matches well with the experimental spectrum of FA, and the types and distributions of functional groups agree with the findings of previous studies. The quantum chemical data confirm that the proposed molecular structure is reasonable. The findings provide a scientific reference for applied research on FA in the future.

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Introduction

FA is originally derived from low-rank coal and is obtained by acid–base reaction followed by solvent extraction, and it is a mixture of special chemical activities and biological activities composed of a series of molecules whose special complex molecular composition determines the specificity of the action of FA. In recent years, FA has been found to have important applications in many fields such as agriculture, ecological restoration, environmental protection, animal husbandry and aquaculture, veterinary medicine, human medicine,^{1–6} and life science.^{7–10} However, the unclear molecular structure of FA constrains the characterization of its properties and

mechanism of action. Accurately characterizing the composition and molecular structure of FA has become a key scientific issue in research on FA and related industries.

While the composition of FA has been reported, research on the construction of the FA molecular structure is lacking. Schellekens *et al.*¹¹ used pyrolysis gas chromatography/mass spectrometry to compare the molecular compositions of humic acid (HA) and FA and found no significant differences among their pyrolysis products. The main chemical groups included carbohydrates, phenols, benzene, and lignin phenols. Murray and Linder¹² studied the FA model based on the random assignment of functional groups and aromatic rings and derived the relationship between the percentage of aromatic carbon and the hydrogen-to-carbon molar ratio. Senesi¹³ found that both FA and HA can be described by a general model structure consisting of a network of differently extended aromatic properties with aliphatic, glucosyl, peptidyl, and lipid-based surface chains along with different chemical functional groups including carboxyl, phenolic hydroxyl and alcoholic hydroxyl, and carbonyl groups with different aromatic, oxygen, nitrogen, or sulfur bridges. Jing *et al.*¹⁴ studied the morphological transformation and structural changes of FA during ozone

^aKey Laboratory of Coal Processing and Efficient Utilization of Ministry of Education, Xuzhou 221116, China. E-mail: zhangcumt123@126.com

^bSchool of Chemical Engineering & Technology, China University of Mining and Technology, Xuzhou 221116, China

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‡ Guan-qun Gong, Xin Yuan and Yingjie Zhang contributed equally to this work and all of them are the co-first author.

oxidation and investigated the molecular weight distribution, types of intermediate products, and changes in polar functional groups. Klencsař and Köntös¹⁵ completed the EPR analysis of Fe³⁺ and Mn²⁺ complex sites in FA, which can be used to track and characterize the structural changes in FA complexes under different conditions and chemical reaction conditions. Chaaban *et al.*¹⁶ showed that a major component of Suwannee River FA is an individual, negatively charged amphiphile with a molecular size similar to that of dodecyl trimethyl ammonium chloride.

Lignite from the northeastern mining area of Inner Mongolia in China was used as a source of FA in this study. The coal-based FA in the lignite was obtained *via* solvent extraction assisted by the oxygenation of hydrogen peroxide and microwave oxygenation. The purified lignite FA was a dark-brown powder that was easily soluble in water. Preliminary laboratory experiments showed that FA promoted the growth of high-grade flowers such as Clivia, aloe, and vegetables such as cucumber and pepper, typical of FA. In this paper, the physical properties, physicochemical properties, chemical properties, and molecular structure of FA were evaluated using a series of techniques. Through elemental analysis, infrared spectroscopy, nuclear magnetic resonance (NMR) spectroscopy, titration analysis for classical functional groups, and quantum chemistry combined with accurate microstructural characterization, model construction, computational simulation, and experimental detection, the microscopic molecular structure of FA was obtained. The results show that FA contains representative functional groups such as phenolic hydroxyl, carboxyl, keto, and semiquinone groups along with multiple benzene rings connected by bridge bonds. FA is a biologically active class of soluble platform compounds that exhibit acid/base buffering, easy complexation, and reversible dissociation.

Materials and methods

Samples and chemicals

The coal sample used in the experiment was Hulunbuir lignite from Inner Mongolia. First, the lignite was collected using the quarter method. After being broken into smaller particles, the coal sample was crushed and collected with a small universal crusher. All coal samples were passed through a 100-mesh sieve (particle size = 0.147 mm) and then dried at 80 °C for 24 h to remove most of the water in the coal. After drying, the sample was placed into a dry bag followed by sealing and storing.

Concentrated sulfuric acid was purchased from Sinopharm Chemical Reagent Co., Ltd, China. Hydrogen peroxide (H₂O₂) was purchased from Shanghai Zhanyun Chemical Co., Ltd, China. Absolute ethanol was purchased from Damao Chemical Reagent Factory, China.

Experimental

Microwave-assisted H₂O₂ oxylysis of lignite for extracting FA

A certain amount of the coal sample, H₂O₂ solution, and deionized water were added to a 250 mL single-neck round-bottom flask. The reaction flask was placed on a polytetrafluoroethylene tray in the center of the bottom of a furnace in a microwave chemical reactor. The mouth of the reaction flask

was then connected with a glass connection tube, which was passed through the top of the furnace and connected to a reflux condenser. The reaction parameters of the microwave reactor were set, and the reaction was performed. After the reaction, the reaction flask was removed. The mixture in the flask was cooled to room temperature and then filtered with a circulating water vacuum pump. The filter residue was repeatedly washed with deionized water to reduce the FA residue. Subsequently, the filtrate was concentrated at 60 °C in a rotary evaporator with a vacuum pump. The evaporation dish containing the concentrated liquid was then placed in a blast drying box to evaporate and dry at 60 °C, after which primary FA was obtained.

Purification of FA using the sulfuric acid–ethanol method

A certain amount of ethanol, deionized water, and concentrated sulfuric acid were added to a three-necked round-bottom flask and mixed thoroughly. The three-necked flask was then connected to a reflux condenser and heated in a water bath with magnetic stirring. Subsequently, the filtrate was concentrated at 60 °C. The evaporation dish containing the concentrated liquid was then placed in a drying box to obtain purified FA.

Characterization

The infrared spectrum of purified FA was measured using a Fourier-transform infrared spectrometer (VERTEX 80v, Bruker, Germany). Dried FA and potassium bromide were mixed and fully ground, and a tablet of the sample powder was created using a tablet press. The sample was then scanned in the wavenumber range of 400–4000 cm^{−1}.

The solid ¹³C spectrum of FA was measured by a fully digital NMR spectrometer (AVANCE III HD 600 MHz, Bruker, Germany). The ¹³C spectrum was measured in the range of 0–220 ppm using a 4 mm dual resonance solid-state probe.

Results and discussion

Experimental determination of elemental content and characteristic acid functional groups

Studies have shown that FA is mainly composed of C, H, and O and sometimes N and S. An elemental analyzer (5E-CHN2000, China Changsha Kaiyuan Instrument Co., Ltd) and CTS7000 automatic sulfur detector (China Xuzhou Tairui company) were used for the elemental analyses of C, H, N, and S; the O content was obtained by subtraction. The results are shown in Table 1.

Elemental analysis showed that the FA had a lower carbon content and a higher oxygen content than Suwannee River I FA,

Table 1 Elemental analysis of FA

Sample	Elemental analysis (W%, daf)					Atomic molar ratio	
	C	H	N	S	O ^a	C/H	C/O
FA	39.98	3.63	1.93	0.3	54.16	0.92	0.98

^a Determined by subtraction.

the standard FA reported by the International Humic Substances Society (IHSS). This difference is attributed to the use of H_2O_2 in the extraction of FA.

The FA molecule is composed of a plurality of groups, including methoxy, phenolic hydroxyl, and carboxyl groups.^{2,17–20} These acidic groups are the structural basis for the unique biological and chemical activities of FA. The acidic groups also contribute the basic characteristics of FA and determine the mechanism of FA action. In this paper, the titration of common barium hydroxide and calcium acetate was used to determine the total acidic functional group and carboxyl functional group contents in FA. The phenolic hydroxyl group content was obtained by subtraction. The results are shown in Table 2.

The infrared spectrum of the FA in this study is shown in Fig. 1. The spectrum and characteristic peaks are similar to those of Suwannee River I FA, the standard FA published by the IHSS.

PeakFit software was used to fit the superimposed characteristic absorption peaks, and the approximate number and position were determined. By continuously adjusting and optimizing the number of characteristic peaks and peak parameters, the fitted spectrum agreed well with the original spectrum.

According to the different functional groups indicated by the FA infrared spectrum shown in Fig. 1, the spectrum can be divided into four main intervals: the hydroxy functional group interval from $3700\text{--}3000\text{ cm}^{-1}$; the aliphatic functional group interval from $3000\text{--}2800\text{ cm}^{-1}$; the oxygen-containing functional group interval from $1800\text{--}1000\text{ cm}^{-1}$; and the aromatic functional group interval from $900\text{--}700\text{ cm}^{-1}$. PeakFit was used to fit the peaks of the four intervals. According to the peak area intensity of each characteristic absorption peak, the attributions of specific characteristic peaks in each interval and the contents of different functional groups were determined quantitatively. The functional group contents were used to characterize the molecular structure of FA and provide partial structural parameters for the molecular model of FA.

The results for each characteristic peak are shown in Fig. 2. According to the characteristic peak information, the corresponding attributions were obtained and are listed in Table 3.

Fig. 2 shows that the theoretical fitting curves of the characteristic peaks are in good agreement with the original experimental spectral curves. The correlation coefficients R^2 of the peak fittings were high, while the mean-square errors were low, indicating that the data of characteristic peaks obtained by peak fitting are reliable and can be used for the construction of the molecular structure model of FA.

From the peak fitting data of the FA infrared spectrum combined with the results of elemental analysis, important molecular model structural parameters such as aromatic hydrogen rate (f_{ar}^{H}), hydrogen-to-carbon atomic ratio (H/C), fat

Fig. 1 Fourier-transform infrared spectrum of FA.

chain length (CH_3/CH_2), and aromatic-to-carbon ratio (f_{ar}) were calculated as 0.3314, 1.09, 0.4717, and 0.5951, respectively. The specific calculation process can be found in the ESI.†

¹³C NMR characterization and related parameter calculations

The FA sample was characterized by NMR spectrometry (AVANCE III HD 600 MHz, Bruker, Germany). The ¹³C spectrum of the sample in the range of 0–220 ppm is shown in Fig. 3.

The ¹³C NMR spectrum of FA can be obtained by peak fitting to obtain the detailed attributions of various carbon atoms observed at different chemical shifts. The ¹³C spectrum and chemical shifts of FA were similar to those of Suwannee River I FA. The specific parameters for each NMR peak are shown in Table 4.

According to the intensity and position of the NMR peaks corresponding to different carbon atoms in FA (Table 4), the relative contents of various carbon atoms were calculated. According to the calculation method^{23–25} of carbon skeleton parameters, the 12 parameters of the FA carbon skeleton structure and the derived parameter information were obtained as follows:

f_{a} : aromatic carbon, the ratio of sp^2 hybrid carbon atoms to the total number of carbon atoms, $\delta = 90\text{--}220\text{ ppm}$;

f_{c} : the ratio of carboxyl carbon or carbonyl carbon to the total number of carbon atoms, $\delta = 165\text{--}220\text{ ppm}$;

f_{a}' : ratio of sp^2 -hybridized carbon atoms in the aromatic ring to the total number of carbon atoms, $\delta = 90\text{--}165\text{ ppm}$;

f_{a}^{H} : the ratio of the number of protonated carbon atoms in the aromatic ring to the total number of carbon atoms, $\delta = 100\text{--}129\text{ ppm}$;

f_{a}^{N} : the ratio of the number of non-protonated carbon atoms in the aromatic ring to the total number of carbon atoms;

f_{p} : the ratio of the number of carbon atoms to which the phenolic hydroxyl or ether linkage is attached to the total number of carbon atoms, $\delta = 150\text{--}165\text{ ppm}$;

f_{a}^{S} : the ratio of alkyl-substituted aromatic carbon atoms in the aromatic ring to the total number of carbon atoms, $\delta = 135\text{--}150\text{ ppm}$;

f_{a}^{B} : the ratio of the carbon atoms of the bridgehead in the aromatic ring to the total number of carbon atoms, $\delta = 129\text{--}135\text{ ppm}$;

Table 2 Contents of acidic functional groups in FA

Sample	Total acidity (mmol g ^{−1})	Carboxyl (mmol g ^{−1})	Phenolic hydroxyl (mmol g ^{−1})
FA	11.15	8.65	2.50

Fig. 2 Infrared spectra of FA in different structural intervals showing the peak fitting curves for different functional groups: (a) aromatic functional groups; (b) oxygen-containing functional groups; (c) aliphatic functional groups; and (d) hydroxyl functional groups.

f_{ai} : aliphatic carbon, the ratio of sp^3 -hybridized carbon atoms to the total number of carbon atoms;

f_{ai}^H : the ratio of the number of carbon atoms in the methine and methylene groups to the total number of carbon atoms, $\delta = 22\text{--}50$ ppm;

f_{ai}^* : the ratio of methyl carbon and quaternary carbon atoms to the total number of carbon atoms;

f_{ai}^O : the ratio of the number of aliphatic carbon atoms attached to oxygen to the total number of carbon atoms, $\delta = 50\text{--}90$ ppm;

f_a^O : the ratio of the number of carbon atoms in a ketone to an aldehyde to the total number of carbon atoms, $\delta = 185\text{--}220$ ppm; and

f_a^{OO} : the ratio of amide, lipid, and carboxyl carbon atoms to the total number of carbon atoms, $\delta = 165\text{--}185$ ppm.

Using the NMR spectrum processing software MestReNova, the resonance peak areas (I_x , where x is the wavenumber) of different types of carbon atoms in the spectrum were integrated. The total peak area (I_{total}) in the range of 0–220 ppm was

normalized to calculate the relative contents of various carbon atoms in the FA carbon skeleton structure (Table 5).

Establishment of the molecular structure model and its theoretical basis

Currently, when constructing the molecular structure model of coal-based materials, there are two methods for determining the number of carbon atoms in the model. The first way is to directly determine the number of carbon atoms in the model based on relevant research results and experimental data. For example, according to coal quality data from North China, East China, South China, and Southwest China, Wenkuan²⁶ constructed the molecular structure model of lignite; the molecular formula was $C_{102}H_{96}O_{36}$, and the relative molecular mass was 1736. Kuangzong *et al.*²⁷ used this method to construct the molecular structure model of brown coal in Huangxian County in China; the relative molecular mass was 1746. The second way is based on relevant experience; the relative molecular weight of

Table 3 Assignment of characteristic peaks in the infrared spectrum of FA

Number	Peak position (cm ⁻¹)	Half width (cm ⁻¹)	Peak height	Peak area intensity	Area percentage (%)	Attribution
1	717	14.51	0.0014	0.022	4.3	Di-substituted benzene ring
2	744	25.05	0.0012	0.0316	6.16	Tri-substituted benzene ring
3	772	26.9	0.0035	0.0989	19.29	Tri-substituted benzene ring
4	787	15.66	0.0007	0.0124	2.42	Tri-substituted benzene ring
5	810	27.35	0.0091	0.2644	51.58	Tetra-substituted benzene ring
6	822	9.83	0.0024	0.0252	4.91	Tetra-substituted benzene ring
7	831	14.65	0.0037	0.0581	11.33	Tetra-substituted benzene ring
1	1067	74.96	0.0333	2.6535	4.1	Alkyl ether C–O–C stretching vibration
2	1167	74.96	0.0676	5.3958	8.33	Aryl ether C–O–C stretching vibration
3	1231	74.96	0.0959	7.6523	11.82	Phenolic hydroxyl C–O stretching vibration
4	1302	74.96	0.0757	6.0384	9.33	Long carbon chain carboxylic acid C–O–H stretching vibration
5	1403	74.96	0.1052	8.3949	12.96	Hydroxyl C–O stretching vibration
6	1636	74.96	0.1158	9.2425	14.27	COO ⁻ antisymmetric stretching vibration
7	1723	74.96	0.2734	21.8138	33.69	Carbonyl C=O stretching vibration
8	1780	74.96	0.0446	3.5623	5.5	Carboxyl group C=O stretching vibration
1	2872	47.4	0.0015	0.0768	7.42	RCH ₃ stretching vibration
2	2927	47.4	0.0095	0.4786	46.29	R ₂ CH ₂ stretching vibration
3	2963	47.4	0.0044	0.2239	21.66	R ₂ CH ₂ stretching vibration
4	2999	47.4	0.005	0.2546	24.62	RCH ₃ stretching vibration
1	3225	225.34	0.0783	18.7853	25.84	Alcoholic hydroxyl hydrogen bond
2	3435	204	0.1903	41.3142	56.83	Phenolic hydroxyl hydrogen bond
3	3547	116.91	0.0697	8.6708	11.93	Phenolic hydroxyl hydrogen bond
4	3606	75.65	0.0488	3.9312	5.41	Hydroxyl π hydrogen bond

the molecular model is first determined, and the number of carbon atoms in the model is then calculated based on experimental detection data to establish the molecular model. For example, based on previous research results, Sanyue *et al.*²⁸ assumed the relative molecular weight of the lignite molecular structure model to be approximately 3000 and then determined the molecular formula of the Yima brown coal molecular model to be C₁₉₇H₁₅₂O₃₂N₂S₂. The relative molecular mass was 3120. Diallo *et al.*²⁹ generated a three-dimensional structural model of HA by combining experimental characterization, computer-aided structural analysis, and atomic simulation. These models are consistent with the analytical data and the overall thermodynamic/structural properties of HA. According to the relationship between the structural characteristics of lignite yellow and brown HA and lignite, the second method was used to determine the number of carbon atoms in the model.

The systems calculated based on quantum chemistry generally have uniform and fixed molecular structures; however, FA is a relatively complex mixture, and its molecular structure and specific molecular weight are difficult to determine. The structure of FA can be considered to be composed of many molecular structural units with similar characteristic structures. Therefore, based on a combination of molecular dynamics, quantum chemistry, software simulation, chemical bond theory, and experimental data, the characteristic molecular structure was determined, and the structural model was

constructed. Because FA is derived from the catalytic oxygenation extraction of lignite, the molecular weight should be significantly smaller than that of lignite. Combined with existing research results and the author's previous experimental research, we can reasonably assume the molecular weight of the constructed FA molecular structure model to be approximately 1000. Combined with the relative molecular weight limitation of FA and the data shown in Table 1, sulfur was neglected when constructing the model due to its low content. Therefore, the molecular model of FA calculated based on elemental analysis data is similar to the chemical formula, which can be C₃₃H₃₆NO₃₃, C₃₄H₃₇NO₃₅, or C₃₅H₃₈NO₃₆. Considering the relative ratio of N to other elements, we chose C₃₃H₃₆NO₃₃, and the relative molecular mass was 974. From the infrared spectrum of FA and the peak-matching data of the ¹³C solid-state NMR spectrum, the molecular structural parameters of FA were obtained to calculate the compositions of different carbon atoms in the carbon skeleton of the FA molecular model. The calculation process was as follows:

Number of carbon atoms in the benzene ring: $33 \times 0.61 = 20.13$, approximately 20;

Number of carbon atoms attached to aryl ethers and phenols: $33 \times 0.06 = 1.98$, approximately 2;

Number of carbon atoms on the carboxyl or carbonyl group: $33 \times 0.39 = 12.87$, approximately 13;

Fig. 3 ^{13}C NMR spectrum of FA (top) and ^{13}C NMR spectrum curve fitting of FA (bottom).

Number of carbon atoms in aliphatic carbon: $33 \times 0.39 = 12.87$, approximately 13;

Number of carbon atoms in $-\text{OCH}$ and $-\text{OCH}_2$: $33 \times 0.1 = 3.3$, about 3;

Table 5 Structural parameters obtained from calculating the ^{13}C NMR spectrum of FA

Parameter	Proportion (%)	Parameter	Proportion (%)
f_a^f	61	f_{al}^f	39
f_a^c	39	f_{al}^H	20
f_a^p	22	f_{al}^*	28
f_a^B	6	f_a^O	10
f_a^S	4	f_a^{OO}	24
f_a^H	11	$f_{al}^{OCH_3}$	2
f_a^B	3	$f_{al}^{OCHOCH_2}$	10
f_a^N	13		

Number of carbon atoms in methylene and methine groups: $33 \times 0.20 = 6.6$, approximately 7; and

Number of carbon atoms in the alkyl-substituted aromatic carbon on the benzene ring: $33 \times 0.04 = 1.32$, approximately 2.

The number of carbon atoms on the benzene ring was approximately 20; thus, the number of benzene rings in the molecular model was tentatively set to three. According to the IR spectral data of FA in the range of $700\text{--}900\text{ cm}^{-1}$, the substitution pattern of the benzene ring in the aromatic structure includes three primary forms: di-substituted, tri-substituted, and tetra-substituted. Among these three substitution patterns, the content tetra-substituted benzene ring was the highest (67.82%) followed by tri-substituted (27.87%) and di-substituted (4.30%). Thus, di-substituted benzene ring was ignored when constructing the molecular model, and the ratio of tetra-substituted to tri-substituted benzene ring was 2.43 : 1 (approximately 2 : 1 according to the simulation calculations and the optimal value principle). Thus, the tentative model contained two tetra-substituted benzene rings and one tri-substituted benzene ring. The results of titration experiments with acidic functional groups showed that the carboxyl group content in FA was approximately three times the phenolic hydroxyl group content. Combined with the spectral data for oxygen-containing functional groups in the range of $1000\text{--}1800\text{ cm}^{-1}$ and the relative content of oxygen, the molecular

Table 4 Assignment of carbon atoms to chemical shifts based on the ^{13}C NMR spectrum of FA

Number	Chemical shift (ppm)	Half width (ppm)	Peak height	Area strength (%)	Carbon atom attribution
1	12.48	84.63	1036	23.23	Aliphatic methyl
2	16.89	15.70	1438	6.23	Aromatic methyl
3	29.09	7.99	1390	3.06	Methylene and methine
4	38.44	8.89	1661	4.07	Methylene and methine
5	66.75	12.56	675	2.34	Oxygen connecting methine
6	77.50	10.29	1077	3.06	Oxygen connecting methine
7	88.63	11.97	750	2.48	Oxygen connecting aliphatic carbon in the ring
8	105.22	18.74	1269	6.56	Protonated aromatic carbon
9	121.82	15.41	1019	4.33	Protonated aromatic carbon
10	131.28	10.59	818	2.39	Aromatic bridge carbon
11	139.48	20.71	720	4.11	Lateral aromatic carbon
12	163.37	17.28	1498	7.14	Oxygen substituted aromatic carbon
13	174.47	13.81	6582	25.07	Carboxy carbon
14	215.86	51.37	256	3.23	Carbonyl carbon

model contained two ether bonds, one alcoholic hydroxyl group, two phenolic hydroxyl groups, and seven carboxyl groups. The remaining oxygen content corresponds primarily to the carbonyl group. Combining the test data^{3,21,23–27,29–31} with the findings of past research on HA and FA, the numbers and positions of functional groups were continuously adjusted to approximate the experimental test parameters. The resulting two-dimensional planar molecular structure model of FA is shown in Fig. 4.

The molecular formula is $C_{38}H_{32}NO_{24}$, and the relative molecular mass is 886. In the molecular structure model of FA, the benzene ring is the main component of the aromatic structure, including the tetra-substituted and tri-substituted benzene rings. The model contains many oxygen-containing functional groups, including carboxyl, carbonyl, hydroxyl, ether, and semiquinone groups, consistent with the high oxygen content obtained by elemental analysis. Most of the carboxyl groups are present at the end of the aliphatic structure, and each benzene ring structure is connected by an ether oxygen bridge. The relative content of each element in the molecular model is close to the experimental test data. The parameters of the constructed FA molecular structure model and the experimental test values are in good agreement (within the range of instrumental test error and calculation error). The molecular model can be considered to be close to the true molecular structure of FA.

Quantum chemistry optimization and testing of molecular structure models

In this study, the molecular model was optimized using quantum chemical calculations to minimize the theoretical energy, thereby obtaining the theoretical steady state. A two-dimensional average molecular structure model of FA was constructed using ChemDraw. The model was transformed into a three-dimensional molecular structure using ChemBio3D. The molecular structure was then optimized using molecular

mechanics, and the structure data were imported into Gauss-View software. Quantum chemical calculations were then performed on the molecular model using Gaussian 09W software. According to Hartree–Fock (HF) theory, the molecular structure was optimized *via* energy minimization at the HF/3-21G level of theory. As the molecular structure was iteratively calculated, the iterative result was gradually reduced. When the result of multiple iterations was below a certain fixed value, the molecular model was considered to be in a convergent state, and the average molecular structure was constructed. At this time, the model reached the theoretical steady state with an optimal structure. Finally, the theoretical frequency spectrum of the determined optimal structure was calculated and compared with the actual experimental spectrum. The optimized molecular model was then adjusted until the calculated and experimental spectra matched closely.

We used the same HF/3-21G basis set in the energy optimization process for frequency calculation. The two three-dimensional structural models (ball-and-stick and stick models) corresponding to the optimal average molecular structure of FA were constructed by calculation, as shown in Fig. 5.

Fig. 5 shows that after the optimization using the HF/3-21G basis set, the molecular structure model of FA became very compact, and the three-dimensional structural features became obvious. At this time, the molecular energy of the model is the smallest, and the molecular configuration achieves theoretical stability. In the steady state, the constructed molecular model is closer to the real FA molecular structure, indicating that the model data are highly reliable.

Frequency calculations are usually based on the second-order derivation of the atomic position of the energy. Using Gaussian software and HF theory, the theoretical infrared spectrum of the FA molecular model was calculated at the 3-21G level of theory. It is worth noting that HF calculation does not consider the electron correlation effect, which results in a small amount of systematic error in the infrared spectrum and causes the overall peak position of the characteristic absorption to be too high. To reduce the systematic error, the calculated infrared spectrum was corrected using the HF-related frequency correction factor of 0.9085.³² Fig. 6 compares the theoretical spectrum after error correction with the experimentally measured spectrum. The two are similar in peak position and peak shape, showing a high degree of matching. There is no virtual frequency in the calculation results, indicating that the optimized molecular configuration is located at a small point on the potential energy surface. However, due to the idealization of the Gaussian calculation process, the anharmonic effect of the molecules in the real state is neglected, resulting in a smaller portion of the absorption peak in the calculated spectrum that is not completely consistent with the experimental spectrum.

In addition, as shown in Fig. 6, the experimental spectrum includes four wavenumber intervals (3000–3800, 1500–1900, 1000–1500, and 500–900 cm^{-1}), and the calculated spectrum shows obvious characteristic absorption peaks corresponding to those intervals. The positions of most of the characteristic absorption peaks are quite consistent; however, some

Fig. 4 Average molecular structure model of FA.

Fig. 5 Optimized geometries of FA depicted by ball-and-stick and stick models. In the ball-and-stick model, gray balls represent carbon atoms, white balls represent hydrogen atoms, red balls represent oxygen atoms, and blue balls represent nitrogen atoms. In the stick model diagram, the gray sticks represent carbon bonds, the white sticks represent hydrogen bonds, the red sticks represent oxygen bonds, and the blue sticks represent nitrogen bonds.

differences in absorption peak intensity are observed. For example, the experimental spectrum shows a broad and large absorption peak in the range of $3000\text{--}3800\text{ cm}^{-1}$, which is mainly attributed to the vibrations of different types of hydroxyl hydrogen bonds in FA. Several obvious peaks are found in the calculated spectrum in this range (e.g., two strong absorption peaks at 3385 and 3507 cm^{-1} , which are attributed to the stretching vibrations of the alcohol hydroxyl group and the phenolic hydroxyl group). In the calculated spectrum, more characteristic absorption peaks are found in the range of $400\text{--}2000\text{ cm}^{-1}$ than from $3000\text{--}3800\text{ cm}^{-1}$. The coincidence degree of the vibration frequency for the low-wavenumber interval in the two spectra is higher than that of the high-frequency band. Calculating the infrared spectrum reveals the characteristic peaks of each functional group in the molecular structure; therefore, the calculated spectrum contains many narrow and small characteristic peaks. Because of the superposition of the peaks and the absorption of various functional groups in the molecule, the experimental spectrum shows less broad and large superimposed absorption peaks.

Fig. 6 Comparison of the calculated and experimental spectra of FA.

Conclusions

In this study, based on classical chemical titration experiments, modern chemical detection techniques, and theoretical molecular modeling using Gaussian 09W, ChemDraw, ChemBio3D, and PeakFit software, the elemental components and molecular structure of FA were revealed. The results indicated a chemical formula of $\text{C}_{38}\text{H}_{32}\text{NO}_{24}$ and relative molecular mass of 886. Both a two-dimensional planar molecular structure and three-dimensional characteristic model were constructed for FA. Using quantum chemistry and HF theory, the molecular configuration was optimized based on energy minimization, and the three-dimensional structure and characteristic functional group distribution of FA were revealed. The molecular structure model of FA matches well with the experimental data. The model effectively explains the molecular structure of FA and provides a theoretical basis for fundamental and applied FA research.

Conflicts of interest

There are no conflicts to declare.

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