


 Cite this: *RSC Adv.*, 2020, **10**, 86

Boronate sol–gel method for one-step fabrication of polyvinyl alcohol hydrogel coatings by simple cast- and dip-coating techniques†

 Ryuhei Nishiyabu,^a *^a Yuki Takahashi,^a Taro Yabuki,^a Shoji Gommori,^a Yuki Yamamoto,^a Hiroaki Kitagishi ^b and Yuji Kubo *^a

The self-assembly of polyvinyl alcohol (PVA) and benzene-1,4-diboronic acid (DBA) is employed as a sol–gel method for one-step fabrication of hydrogel coatings with versatile functionalities. A mixture of PVA and DBA in aqueous ethanol is prepared as a coating agent. The long pot life of the mixture allows for the coating of a wide range of materials with hydrogel films by simple cast- and dip-coating techniques. The resultant films show negligible dissolution in water and the intrinsic hydrophilicity of PVA provides the films with functional properties, such as improved antifogging property and resistance to protein and cell fouling. The self-assembling process shows adaptive inclusion properties toward nanoscale materials, such as metal–organic coordination polymers and inorganic nanoparticles, affording composite films. Furthermore, the coating film exhibits a unique secondary functionalization reactivity toward boronic acid-appended fluorescent dyes, through which a variety of materials are converted into fluorescent materials.

 Received 9th October 2019
 Accepted 16th December 2019

DOI: 10.1039/c9ra08208e

rsc.li/rsc-advances

Introduction

The self-assembly of molecules on solid surfaces offers rich opportunities to build functional structures as coating films. For the control of the self-assembling processes, supramolecular chemistry serves as a valuable tool through the design of molecular structures and their intermolecular interactions in equilibrium and even nonequilibrium systems.^{1–3} Among the known supramolecular strategies, harnessing specific interactions between component molecules and material surfaces leads to the formation of well-defined monolayers and multi-layers on the materials in a simple manner, and therefore, Langmuir–Blodgett methods,^{4–9} self-assembled monolayer methods,^{10–15} and layer-by-layer adsorption methods^{16–20} have been employed widely for practical applications. More recently, mussel-inspired methods^{21–24} and metal–phenolic assembly methods^{25–27} have emerged as alternative coating methods. These methods are based on the self-assembly of network structures from component molecules through oxidative polymerization of dopamine and coordination complexation of polyphenol tannic acid with metal ions, respectively, instead of

being driven by specific interactions between component molecules and substrates, and thus allow for the fabrication of stable molecular networks as universal coatings for a wide range of material surfaces.^{28,29} However, such self-assembling processes often cause spontaneous aggregation of molecular networks that results in the deactivation of the molecular components even in solution, which shortens the lifetime of the solutions as coating agents and, concomitantly, limits their practical usability. Therefore, the development of methods and materials that prevent the deactivation of component molecules in the coating agents is an important task.^{30–32}

Herein, we report the controlled self-assembly of boronate networks from polyvinyl alcohol (PVA) and benzene-1,4-diboronic acid (DBA) as a sol–gel coating method (Fig. 1). A mixture of PVA and DBA in aqueous ethanol shows negligible changes in viscosity and no precipitation after being stored for more than one month in a sealed bottle, and solvent evaporation of the mixture in open air leads to the formation of a clear and colorless film. Importantly, the resultant PVA/DBA film displays excellent durability in water as well as in organic solvents due to the formation of boronate networks by cross-linking of PVA with DBA through boronate esterification. The films have improved hydrophilicity with antifogging property and resistance to protein and cell fouling owing to the intrinsic properties of PVA. The long pot life of the mixture as a coating agent allows for the coating of a variety of materials including plates of plastics, metals, glass, and silicon, polymer sponges, and glass fiber filter papers by simple cast- and dip-coating methods. The controlled self-assembling process shows

^aDepartment of Applied Chemistry, Graduate School of Urban Environmental Sciences, Tokyo Metropolitan University, 1-1 Minami-ohsawa, Hachioji, Tokyo 192-0397, Japan. E-mail: ryuhei@tmu.ac.jp

^bDepartment of Molecular Chemistry and Biochemistry, Faculty of Science and Engineering, Doshisha University, Kyotanabe, Kyoto 610-0321, Japan

† Electronic supplementary information (ESI) available: Synthesis, spectroscopic and analytical data. See DOI: 10.1039/c9ra08208e



adaptive inclusion properties toward a variety of nanoscale materials such as metal–organic coordination polymers and inorganic nanoparticles, affording nanocomposite films. Furthermore, the PVA/DBA film exhibits a unique secondary functionalization reactivity toward boronic acid-appended dyes that converts nonfluorescent materials into fluorescent materials through a simple soaking method under ambient conditions.

Results and discussion

Controlled boronate self-assembly of polyvinyl alcohol and benzene-1,4-diboronic acid

Boronate self-assembly of PVA and DBA was employed as a key approach for the development of a coating method. Molecules having more than two boronic acid groups such as DBA, benzene-1,3-diboronic acid, and biphenyl-4,4'-diboronic acid are known to crosslink PVA in solutions through boronate esterification to form gels with three dimensional network structures at room temperature.^{33–35} Interestingly, the resultant gels exhibit excellent durability in aqueous solutions over a wide pH range due to the strong binding of the boronic acid groups to the 2,4-pentanedioyl motifs,³⁶ while boronic acid inherently shows reversible covalent bonding with diols based on the relatively small free energy barriers of the reverse reactions.^{37–41} Therefore, such boronate gels have been utilized as platforms for adsorbing materials,^{42,43} microcapsules,⁴⁴ and solid-based chemosensors⁴⁵ functioning in aqueous solutions even under basic conditions.⁴² The excellent durability of the boronate networks prompted us to employ boronate crosslinking of PVA with DBA for the development of a coating agent. However, as in the case of mussel-inspired methods and metal–phenolic assembly methods, PVA and DBA show spontaneous crosslinking reactions in solution that results in the deactivation of the mixtures as coating agents. In fact, the viscosity of the mixture significantly increases through the spontaneous crosslinking reactions, forming gels in less than one minute in dimethylsulfoxide, dimethylformamide, and 2-ethoxyethanol,³⁴ which is a significant drawback for the practical use of the mixture as a coating agent. To overcome this issue, we attempted to prevent the viscosity increase of the mixture by controlling the self-assembling process. After screening several additives such as acids or bases, we found that the addition of water prevents the increase in viscosity in ethanol, and the

solution state was thereby significantly prolonged (ESI Fig. S1†). Thus, a mixture at a water fraction of 40% (v/v) showed negligible changes in viscosity even after one month (4.0 ± 0.1 cSt) in a sealed bottle, whereas a mixture at a water fraction of 5% (v/v) underwent gel formation within a few minutes (ESI Fig. S1†). The prolonged pot life with relatively low viscosity allowed us to use the mixture of PVA and DBA as a coating agent. Fig. 2 shows a film prepared on a polystyrene (PS) Petri dish by a cast-coating method using a mixture of PVA and DBA in aqueous ethanol at a 42% (v/v) water fraction ($[PVA]_{\text{unit}} = 2.3 \times 10^{-1}$ M and $[DBA] = 1.0 \times 10^{-2}$ M). The resultant clear and colorless film had a thickness of 3.0 ± 0.3 μm (Fig. 2) and the smooth surface was observed by means of field-emission scanning electron microscopy (FE-SEM) (ESI Fig. S2†). Its optical transparency was evinced by a high visible light transmittance (ESI Fig. S3†). Importantly, the film exhibited high durability in water as well as in organic solvents (ESI Fig. S4†). In fact, negligible changes in the weight, thickness, and optical transparency of the film were observed upon immersion in water over a wide pH range even after one week (ESI Tables S1–S3†). To further validate the durability of the film, its structural features were studied by attenuated total reflection Fourier transform infrared (ATR-FT-IR) spectroscopy (ESI Fig. S5†). The spectrum showed a characteristic peak of boronate ester linkages at 660 cm^{-1} in addition to a peak at 1294 cm^{-1} (B–O stretching) and peaks arising from PVA at 2926 cm^{-1} (C–H stretching), 2943 cm^{-1} (C–H stretching) and 3374 cm^{-1} (O–H stretching).^{46–49} Thus, the durability of the film can be attributed to the crosslinking of PVA with DBA through boronate esterification. These results indicate that the addition of water plays a crucial role in preventing boronate esterification between PVA and DBA in the solution state (Fig. 3). In the self-assembling process, water could govern the thermodynamic equilibrium of the dehydrative condensation reaction between the diol moieties of PVA and the boronic acid group of DBA (Fig. 3, (eqn (1))), and also drive thermodynamically and kinetically the reaction toward the solvolysis of the boronic acid groups (Fig. 3, (eqn (2))). Accordingly, in ^1H NMR experiments using (2*R*,4*R*)-(–)-2,4-pentanedioyl and phenylboronic acid as model compounds, the addition of water to the mixture in methanol-*d*₄ led to an equilibrium shift toward the reactants (ESI Fig. S6†).

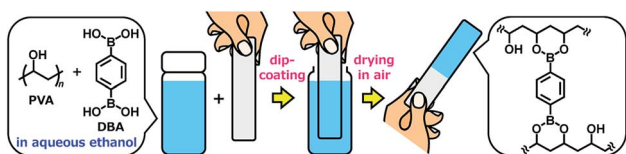


Fig. 1 A schematic representation of a sol–gel coating method based on the self-assembly of polyvinyl alcohol (PVA) and benzene-1,4-diboronic acid (DBA) through boronate esterification in aqueous ethanol. Solvent evaporation of the mixture in open air leads to the formation of boronate networks self-assembled from PVA and DBA as a coating film.

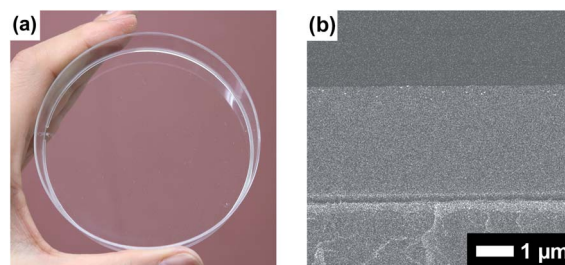


Fig. 2 (a) Photograph of the coating film prepared on a polystyrene Petri dish (9 cm in diameter) through a cast-coating method using a mixture of PVA and DBA in aqueous ethanol as a coating agent. The photograph was taken under ambient light. (b) FE-SEM image of the cross section of the PVA/DBA coating film.



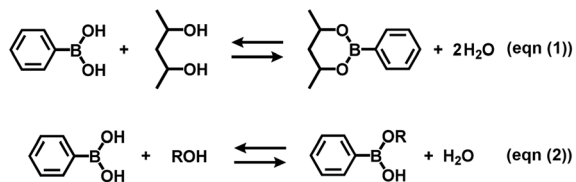


Fig. 3 Equilibrium equation of the dehydrative condensation between phenylboronic acid and 2,4-pentanediol (eqn (1)), and typical solvolysis reactions of phenylboronic acid with water ($R = H$) and ethanol ($R = CH_2CH_3$) (eqn (2)). Phenylboronic acid and 2,4-pentanediol are represented instead of DBA and PVA, and the formation of sp^3 -hybridized boronate species in the equilibrium equations are omitted for clarity.

Intrinsic properties of the PVA/DBA film

The hydrophilic property of the PVA/DBA film was first evaluated because the hydrophilic modification of material surfaces provides a myriad of functional properties such as improved wettability,^{50,51} antifogging property,^{52,53} self-cleaning property,^{54–56} antifouling property,^{57–59} and biocompatibility.^{60–64} These functional properties would be expected for the present PVA/DBA film due to the intrinsic high hydrophilicity of PVA. Thus, the antifogging properties and protein- and cell fouling resistance of the PVA/DBA film were also investigated.

Hydrophilicity. The hydrophilic property of the PVA/DBA film was evaluated by measuring the contact angle of a water droplet on the film (Fig. 4). Water droplets on a uncoated PS Petri dish and a PVA/DBA-coated PS Petri dish showed contact angles of $79^\circ \pm 2^\circ$ and $54^\circ \pm 2^\circ$, respectively. This significant decrease of the contact angle for the PVA/DBA-coated PS Petri dish indicates that the film maintains the intrinsic hydrophilicity of PVA even after the crosslinking with DBA.

Antifogging property. In this experiment, the inner surface of a PS Petri dish cover was coated with a PVA/DBA film through a cast-coating method. Then, the PVA/DBA-coated PS Petri dish cover and an uncoated PS Petri dish cover were put on respective PS Petri dishes filled with warm water (Fig. 5). From visual inspection, the dish cover without coating treatment showed an obvious fogging (Fig. 5a). On the other hand, the transparency of the PVA/DBA-coated dish cover remained unaltered under the same conditions (Fig. 5b). The optical transmittance at 660 nm of the coated sample showed negligible changes from $91.4\% \pm 0.2\%$ to $91.8\% \pm 0.1\%$, whereas that of the uncoated parent PS Petri dish cover decreased significantly from $90.8\% \pm 0.3\%$ to $55.3\% \pm 2.2\%$ after the exposure (ESI Fig. S7†). The antifogging property can be attributed to the hydrophilicity of the PVA/DBA coating film.

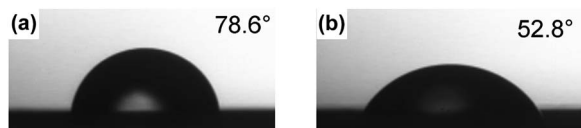


Fig. 4 Photographs of water droplets on (a) an uncoated PS Petri dish and (b) a PVA/DBA-coated PS Petri dish.

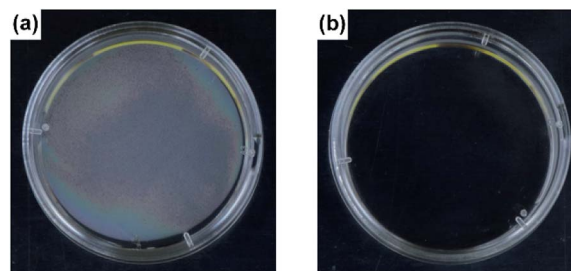


Fig. 5 Photographs of (a) a PS Petri dish and (b) a PVA/DBA-coated PS Petri dish (4 cm in diameter) after exposure to water vapor from warm water (2 mL, 40 °C).

Resistance to protein fouling. The improvement of the resistance to protein and cell fouling of coating films is also significant for applications in various industrial fields including biomedical science.^{56–64} In the present study, we evaluated the resistance of the PVA/DBA coating film to bovine serum albumin (BSA). Uncoated and PVA/DBA-coated PS samples were immersed in aqueous solutions of BSA (5 mg mL^{-1} in PBS buffer at pH 7.4, 5 mL) for 24 h at 25 °C, and the adsorption of BSA by the samples were analyzed by means of X-ray photoelectron spectroscopy (XPS). The spectrum of the control PS sample showed an intense peak of N 1s at 400 eV, clearly indicating the adsorption of the BSA to the PS surface (Fig. 6a). In contrast, the coated PS sample gave rise to a minor peak attributable to N 1s at 399 eV (Fig. 6b), which suggests that the PVA/DBA coating film prevents the absorption of the BSA to the surface of the coated PS sample.

Resistance to cell fouling. HeLa cells were cultured for 24 h using PS 24-well culture plates with and without coating treatment (ESI Fig. S8†). The uncoated culture plate showed adhesion of HeLa cells on the surface (Fig. 7a and ESI Fig. S9a†). On the other hand, the formation of aggregated HeLa cells in suspended states was observed in the coated culture plate (ESI Fig. S9b†). In fact, after replacing the culture solution with a fresh solution, negligible adhesion of HeLa cells was detected on the PVA/DBA-coated culture plate (Fig. 7b) and the resistance to cell fouling was also observed toward HaCaT skin keratinocytes (ESI Fig. S10b†). It is noteworthy that HeLa cells incubated using the coated culture plate showed a high survival rate of $86\% \pm 5\%$ with an abundant cell proliferation from 0.67×10^6

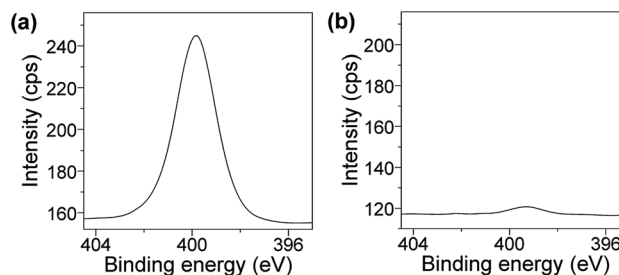


Fig. 6 XPS spectra at N 1s regions of (a) an uncoated PS Petri dish and (b) a PVA/DBA-coated PS Petri dish treated with BSA in PBS buffer solutions (pH 7.4) for 24 h.



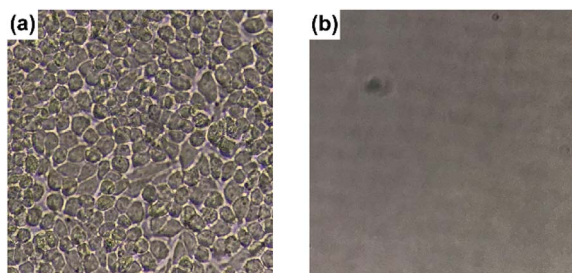


Fig. 7 Photographs of (a) an uncoated 24-well PS tissue culture plate and (b) a PVA/DBA-coated 24-well PS tissue culture plate after replacing the HeLa cell-culture solutions with fresh culture media.

to 2.00×10^6 cells per mL after 24 h, as indicated by trypan blue exclusion assays. The high survival rate of HeLa cells and their robust proliferation are indicative of the low cytotoxicity of the PVA/DBA coating film, which is probably due to the excellent biocompatibility of PVA.^{65,66}

Potential use of the PVA/DBA film as universal coating

Since the formation of the stable PVA/DBA film is based on the network structures of PVA and DBA and does not require specific interactions with substrates, we envisioned that the present method could be used for the preparation of universal coatings.^{28,29} To prove our hypothesis, we employed plates of plastics, metals, glass, and silicon, polymer sponges, and glass fiber filter papers as substrates.

First, plate substrates (50 mm \times 10 mm \times 1 mm) of polystyrene (PS), polymethylmethacrylate (PMMA), polyvinyl chloride (PVC), polyethylene (PE), polypropylene (PP), polycarbonate (PC), polytetrafluoroethylene (PTFE), aluminum (Al), copper (Cu), stainless steel (SUS), glass (Glass), and silicon (Si) were evaluated (Fig. 8). In this experiment, a dipping method was employed for the coating of these materials with PVA/DBA films, as shown in Fig. 1. Typically, a PE plate was dipped in the coating agent for a few seconds. The resultant plate was dried in air and then rinsed with water several times to afford a colorless

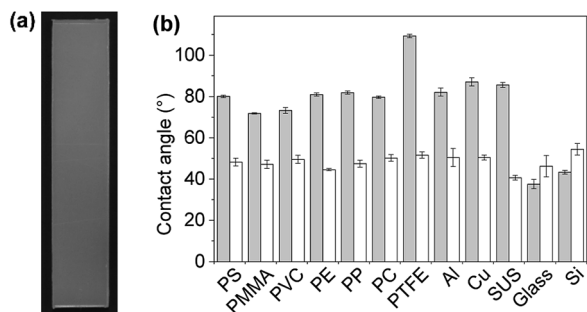


Fig. 8 (a) Photograph of a PVA/DBA-coated polyethylene (PE) substrate (50 mm \times 10 mm \times 1 mm) prepared by a dip-coating method. (b) Water contact angles on the uncoated substrates (gray bars) and PVA/DBA-coated substrates (white bars) of PS, polymethyl methacrylate (PMMA), polyvinyl chloride (PVC), PE, polypropylene (PP), polycarbonate (PC), polytetrafluoroethylene (PTFE), aluminum (Al), copper (Cu), stainless steel (SUS), glass (Glass), and silicon (Si).

and transparent film with a thickness of $0.50 \pm 0.13 \mu\text{m}$ on the PE substrate (Fig. 8a and ESI Fig. S11†). Fig. 8b shows the contact angles of water droplets on the whole series of plate substrates before and after performing the coating treatment. As can be seen, constant values of $48.6 \pm 2.6^\circ$ were obtained for the coated substrates regardless of their chemical composition (ESI Fig. S12–S14†), indicating the formation of PVA/DBA films on these materials. It is noteworthy that this method is applicable to PTFE substrates without requiring any pretreatment such as plasma treatment, most likely due to the amphiphilic nature of PVA. Moreover, the dipping method is applicable not only to plate-shaped substrates but also to materials with complicated structures, such as porous and fibrous materials due to the relatively low viscosity of the coating agent, as was evidenced by the use of polyurethane sponges and glass fiber filter papers as porous and fibrous materials, respectively (Fig. 9). The coating of polyurethane sponges and glass fiber filters was also performed through the dip-coating method. The ATR-FT-IR spectra (ESI Fig. S15 and S16†) and FE-SEM images of the resultant materials (Fig. 9) confirmed the formation of the PVA/DBA coating, while the porous and fibrous structures were preserved.

Adaptive inclusion of guest materials in the self-assembling process

Adaptive encapsulation of guest materials is a unique characteristic of molecular self-assembly.^{67–70} The adaptability allows for the encapsulation of various materials such as organic dyes, inorganic nanocrystals, and biomacromolecules, regardless of their size, shape, and chemical composition, into the network structures. Thus, the encapsulation ability of the present boronate networks was evaluated. Erio green B (EG), tris(2,2'-bipyridyl)ruthenium(II) chloride (Rubpy), Prussian blue (PB), and gold nanoparticles (AuNPs) were selected as guest materials (Fig. 10). These guest materials were dissolved in water, and the resultant solutions were simply added to the coating agents, respectively. Casting the resultant coating agents on top of PS Petri dish covers afforded transparent films, indicating that the encapsulation of these guest materials proceeded without aggregation in the resultant films. Interestingly, significant leakages of the anionic organic dye EG and the cationic dye

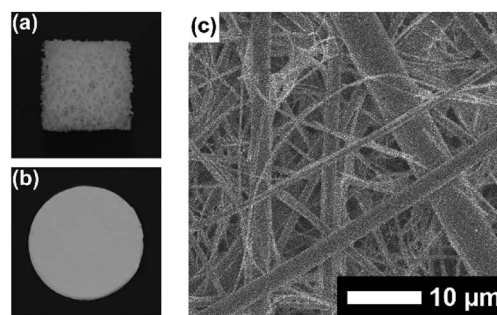


Fig. 9 Photographs of (a) a coated polyurethane sponge and (b) a coated glass fiber filter paper. (c) FE-SEM image of a coated glass fiber filter paper.



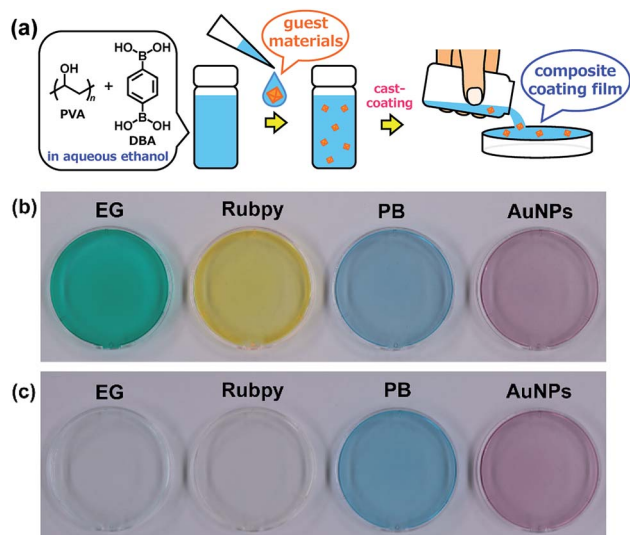


Fig. 10 (a) Schematic representation of the preparation of composite PVA/DBA coating films. Guest materials in the coating agents are encapsulated into the boronate networks of PVA and DBA upon solvent evaporation of the mixtures. Photographs of composite coating films before (b) and after (c) immersion in water for 2 h. These coating films were prepared on PS Petri dishes (4 cm in diameter) using coating agents containing erio green B (EG), tris(2,2'-bipyridyl)ruthenium(II) chloride (Rubpy), Prussian blue (PB), and gold nanoparticles (AuNPs) as guest materials, respectively.

Rubpy were observed within a few minutes when the films were immersed in water, whereas negligible leakages of metal-organic coordination polymer nanoparticles (PB) and inorganic nanoparticles (AuNPs) were detected even after immersion in water for 24 h (Fig. 10 and ESI Fig. S17–S21[†]). This selective leakage of guest materials clearly indicates the guest-dependent diffusive mass transfer in the boronate networks. It is also noteworthy that nanoscale materials such as metal-organic coordination polymer nanoparticles and inorganic nanoparticles are entrapped stably in the films, which could provide a platform for the fabrication of nanocomposite materials in many applications.^{71–80}

Secondary functionalization reactivity of the PVA/DBA film

Secondary functionalization of coating films is also important for the practical usability of coating agents because it provides the materials with desired properties by attaching chemical modifiers to the coating films.^{21,29}

Owing to the presence of abundant unreacted diol moieties in PVA in the films, the PVA/DBA coating films can be modified with boronic acids because we have previously reported the modification of microparticles, films, fibers, and sponges of solid PVA with boronic acid-appended dyes by a simple soaking technique.^{81–83} Therefore, we investigated the applicability of this functionalization technique to the present PVA/DBA coating films (Fig. 11).

A series of fluorescent dyes having boronic acid groups were employed as chemical modifiers to evaluate the secondary functionalization reactivity of the PVA/DBA coating films

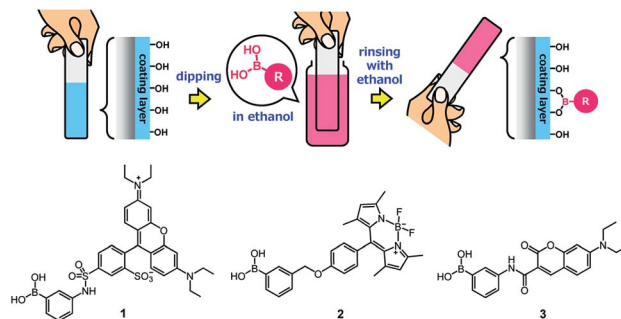


Fig. 11 Secondary functionalization of a PVA/DBA-coated substrate with a boronic acid as a chemical modifier and chemical structures of boronic acid-appended rhodamine (1), borondipyrromethene (2), and diethylaminocoumarin (3) dyes employed for the evaluation of the secondary functionalization of the PVA/DBA coating films.

(Fig. 11). Fig. 12 shows the photographs of an uncoated PE plate and a PVA/DBA-coated PE plate after soaking in ethanol solutions of a boronic acid-appended rhodamine dye (1) at room temperature. The PVA/DBA-coated PE plate clearly developed a red color due to the attachment of 1, whereas the uncoated PE plate remained colorless. The attachment of 1 was also evinced by the observation of red fluorescence from the PVA/DBA-coated PE plate under UV light ($\lambda_{em} = 365$ nm), and a fluorescence peak was detected at 592 nm (Fig. 12e) with a fluorescent quantum yield of 0.40. The selective binding of 1 to the PVA/DBA-coated PE plate indicates the occurrence of boronate esterification of 1 with the PVA/DBA coating film on the PE plate. In fact, the use of a boronic acid-free rhodamine dye as a control dye resulted in negligible adsorption of this dye to the PVA/DBA-coated PE plate (ESI Fig. S22 and S23[†]). The PVA/DBA-coated PE substrates were also converted into fluorescent materials when a boronic acid-appended borondipyrromethene dye (2) (Fig. 13a) and a boronic acid-appended diethylaminocoumarin dye (3) were employed (ESI Fig. S24–S28[†]). In addition to the PE plates, PVA/DBA-coated polyurethane sponges (Fig. 13b) and glass fiber filter papers (Fig. 13c) were functionalized with these boronic acid-

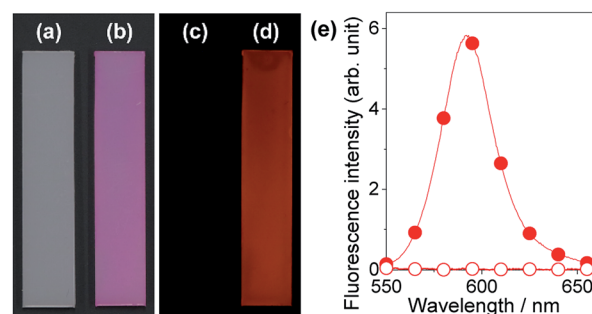


Fig. 12 Photographs of an uncoated PE substrate (a and c) and a PVA/DBA-coated PE substrate (b and d) after immersion in ethanol in the presence of a boronic acid-appended rhodamine dye (1) as a chemical modifier. The photographs were taken under ambient light (a and b) and UV light at 365 nm (c and d), respectively. (e) Fluorescence spectra of the uncoated PE substrate (open circle) and the PVA/DBA-coated PE substrate (solid circle) after the treatments.



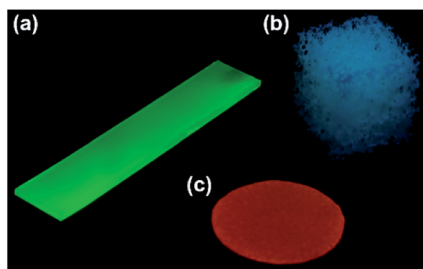


Fig. 13 Photographs of secondary functionalized PVA/DBA-coated PE substrate with **2** (a), PVA/DBA-coated polyurethane sponge with **3** (b), and PVA/DBA-coated glass fiber filter paper with **1** (c). The photograph was taken under UV light (365 nm).

appended dyes, affording fluorescent materials with relatively high quantum yields (ESI Fig. S29–S40†). The digital microscope image of the fluorescence glass fiber filter papers revealed that the fluorescent boronic acids were uniformly attached to the fibrous structures (ESI Fig. S41†). The durability of the series of functionalized materials in water (ESI Fig. S42–S44†) illustrates the potential utility of the secondary functionalization method for the creation of functional hydrogel films on a wide range of materials *via* the boronate sol-gel method (ESI Fig. S45†).

Conclusions

We have demonstrated the controlled self-assembly of polyvinyl alcohol (PVA) and benzene-1,4-diboronic acid (DBA) as a sol-gel coating method. A mixture of PVA and DBA in aqueous ethanol showed negligible changes in viscosity and no precipitation after being stored for more than one month in a sealed bottle, and the solvent evaporation of the mixture in open air afforded a clear and colorless film. The resultant film exhibited excellent durability in water and in organic solvents, as well as improved antifogging property and resistance to protein and cell fouling. The prolonged pot life of the mixture allowed preparing coating films by using cast- and dip-coating methods. In addition, due to the formation of boronate network structures, the coating method could be applied to a variety of materials regardless of their shapes and chemical characteristics. The adaptive encapsulation of nanoscale guest materials such as metal-organic coordination polymer nanoparticles and inorganic nanoparticles to form nanocomposite materials was observed during the self-assembling process. Furthermore, the PVA/DBA film exhibited a unique secondary functionalization reactivity, binding a series of boronic acid-appended dyes, which allowed converting nonfluorescent materials into fluorescent materials by a simple immersion method under ambient conditions. Antimicrobial evaluation of the present coating films such as experiments using Gram-negative bacteria, Gram-positive bacteria and fungi is the subject of future investigation to evaluate their practical utility.

The present boronate self-assembly constitutes a supramolecular sol-gel coating method that offers rapid and easy access to various functional coating films with desired properties for

a wide range of materials used in the laboratory and those in our daily life.

Experiment

Materials and methods

Unless otherwise described, reagents and solvents used for this study were commercially available and used as supplied. Water-soluble polyvinyl alcohol (PVA) was purchased from Sigma-Aldrich (MW = 9000–10 000, 80% hydrolyzed, product number: 360627) and used as supplied. PB was purchased from Sigma-Aldrich (product number: 03899) and used as supplied. Gold nanoparticles were prepared according to a reported method using *N*-(2-hydroxyethyl)piperazine-*N'*-(2-ethanesulfonic acid) (HEPES) as a reducing agent.⁶⁹ Compounds **1** and **2** were synthesized following reported procedures.^{81,84} HeLa cells were cultured in Dulbecco's modified Eagle's medium supplemented with 10% fetal bovine serum (heat inactivated at 56 °C before use) and 1% penicillin/streptomycin/amphotericin B at 37 °C in a humidified atmosphere with 5% CO₂.

FE-SEM was conducted by a JSM-7500F (JEOL, acceleration voltage: 5 kV). For FE-SEM measurements, specimens were coated with osmium by using a Meiwafoysis Neoc-Pro osmium coater. FT-IR spectra were recorded on a JASCO FT/IR-4100 spectrometer equipped with a diamond ATR crystal (JASCO PRO 450S). Contact angle measurements were performed using a Drop Master DM300 (Kyowa Interface Science). XPS spectra were acquired using a JPS-9010MX (JEOL). Digital photographs were taken with a digital camera (Canon, EOS Kiss X8i). UV-vis absorption spectra were recorded on a UV-3600 spectrometer (Shimadzu). Fluorescence measurements were performed using a spectrofluorometer (JASCO, FP-8500) equipped with a substandard light source (ESC-842), and the fluorescence quantum yields were determined by using an integrating sphere (IL835). The digital microscope images of the coated glass fiber filter paper functionalized with **1** were recorded by a HIROX RH-8800 digital microscope.

NMR spectra were recorded on an AVANCE-500 spectrometer (Bruker). Tetramethylsilane (TMS) was used as an internal standard ($\delta = 0$ ppm) for ¹H NMR (500 MHz) and ¹³C NMR (126 MHz) measurements. For ¹¹B NMR (160 MHz) measurements, BF₃·OEt₂ ($\delta = 0$ ppm) was used as an external standard. All NMR spectra were recorded at 298 K. High-resolution mass spectrometry (HRMS) was performed by a microTOF mass spectrometer (Bruker) in an electrospray ionization (ESI) mode, and Tuning-Mix was used as a calibration standard.

Synthesis

7-(Diethylamino)-*N*-(3-dihydroxyborylphenyl)-2-oxo-2*H*-chromene-3-carboxamide (**3**): 3-aminophenylboronic acid (0.16 g, 1.17 mmol) and 7-(diethylamino)coumarin-3-carboxylic acid (0.30 g, 1.15 mmol) were dissolved in dry dichloromethane (20 mL) under a nitrogen atmosphere, and the resultant solution was cooled using an ice bath. Then, 1-[bis(dimethylamino)methylene]-1*H*-benzotriazolium 3-oxide hexafluorophosphate (HBTU, 0.46 g, 1.20 mmol) and *N,N*-diisopropylethylamine (0.19 g, 1.5 mmol) were



added to the solution under a nitrogen atmosphere at 0 °C, and the mixture was stirred at room temperature for 12 h. Dichloromethane (200 mL) was then added to the reaction mixture. The organic layer was washed with saturated aqueous ammonium chloride solution (200 mL) and then dried over anhydrous sodium sulfate. The resultant solution was concentrated using a rotary evaporator to afford compound **3** as a yellow precipitate (0.38 g) in 57% yield. ¹H NMR (500 MHz, DMSO-*d*₆): δ (ppm) = 1.16 (t, 6H, *J* = 7.0 Hz), 3.51 (q, 4H, *J* = 7.0 Hz), 6.69 (d, 1H, *J* = 2.2 Hz), 6.86 (dd, 1H, *J* = 2.4 and 9.1 Hz), 7.34 (dd, 1H, *J* = 7.7 and 7.7 Hz), 7.53 (d, 1H, *J* = 7.4 Hz), 7.75 (d, 1H, *J* = 9.1 Hz), 7.83 (s, 1H), 7.99 (d, 1H, *J* = 9.3 Hz), 8.10 (s, 2H), 8.78 (s, 1H), 10.76 (s, 1H); ¹³C NMR (126 MHz, DMSO-*d*₆): δ (ppm) = 12.2, 44.3, 95.8, 107.8, 109.0, 110.3, 119.6, 123.7, 128.9, 131.8, 138.2, 148.1, 152.7, 157.3, 160.6, 162.1; ¹¹B NMR (160 MHz, DMSO-*d*₆): δ (ppm) = 28.1; HRMS (ESI): *m/z* [**3** + H]⁺ calcd. for C₂₀H₂₂BN₂O₅, 381.1620; found, 381.1621.

Preparation of coating agents

PVA (1.21 g) was dissolved in a mixture of water (42 mL) and ethanol (33 mL). The suspension was kept at 60 °C until complete dissolution, and the resultant PVA solution was cooled down to room temperature. Separately, DBA (0.17 g) was dissolved in ethanol (25 mL). The ethanol solution was then added to the PVA solution to obtain a colorless solution with 1.2% (w/v) of PVA (2.3×10^{-1} unit M) and 0.17% (w/v) of DBA (1.0×10^{-2} M) in a 58% (v/v) ethanol solution with 42% (v/v) water.

Coating procedures

To prepare PVA/DBA films in Petri dishes (9 cm in diameter), the mixture of PVA and DBA in aqueous ethanol (2.4 mL) was poured into the Petri dish directly through a cast-coating method. The sample was dried under ambient conditions and the resultant film was rinsed with water several times. Plate samples of polystyrene, polymethyl methacrylate, polyvinyl chloride, polyethylene, polypropylene, polycarbonate, polytetrafluoroethylene, aluminum, copper, stainless steel, glass, and silicon plates were employed without pretreatment. These materials were coated by a dipping method. Polyurethane sponges and glass fiber filter papers were also coated as described for the plate samples.

Antifogging property and resistance to protein and cell fouling

The inner surface of a PS Petri dish cover (4 cm in diameter) was coated with the PVA/DBA film through the cast-coating method by using 0.45 mL of mixture. The coated dish cover was put on a PS Petri dish bottom with warm water (2 mL, 40 °C), and the optical transmittance of the exposure cover was recorded at 660 nm by UV-vis absorption spectroscopy.

To evaluate the resistance of the PVA/DBA film toward protein fouling, the uncoated PS sample and the coated PS sample were immersed in aqueous solutions of BSA (5 mg mL⁻¹, PBS buffer, pH 7.4, 5 mL) for 24 h at 25 °C, and the resultant samples were analyzed by means of XPS. In the experiments for evaluating the antifouling property of the PVA/

DBA film toward HeLa cells, the inner surface of a PS 24-well culture plate was coated with the PVA/DBA films by casting 20 μL of mixture into each well (1.5 cm in diameter) to obtain films with a thickness of 0.52 ± 0.14 μm. HeLa cells (0.67×10^6 cells per mL) in the culture media (500 μL) were cultured in each cell of the coated culture plate for 24 h. The resultant cells were collected by centrifugation, and 250 μL of a 0.25 w/v% trypsin solution was added to the collected cells. After 1 min incubation, the culture media were added to the mixtures, and the cell viability was evaluated by a trypan blue exclusion assay using a Countess II automated cell counter. HaCaT skin keratinocytes (1×10^6 cells per mL) in the culture media (400 μL) were also employed.

Preparation of composite PVA/DBA coating films

EG, Rubpy and PB were dissolved in water (4 mM). The particle diameters of PB (4 mM) and AuNPs (5 mM) in the aqueous solutions were determined to be 85 ± 23 nm and 6.2 ± 1.4 nm, respectively. The aqueous solutions were added to mixtures of PVA and DBA in aqueous ethanol to obtain solutions with 1.2% (w/v) of PVA and 0.17% (w/v) of DBA in a 58% (v/v) ethanol solution with 42% (v/v) water containing the guest materials (0.8 mM for EG, Rubpy and PB, and 0.5 mM for AuNPs, respectively). The top surfaces of PS Petri dish covers (4 cm) were coated with the coating agents (0.48 mL) by a cast-coating method. The samples were dried under ambient conditions, and then the UV-vis absorption spectra of the resultant samples were measured before and after immersion in water.

Secondary functionalization of PVA/DBA coating films

PE substrates, polyurethane sponges, and glass fiber filters were coated with PVA/DBA films through a dip-coating method, and the resultant samples were dried under ambient conditions. The coated PE substrates were then immersed in ethanol solutions of dyes (50 mL, 2.0×10^{-5} M) for 10 min, and the resultant samples were rinsed with ethanol several times. The coated polyurethane sponges and glass fiber filter papers were immersed in the ethanol solutions for 1 min and 30 s, respectively, and the resultant samples were rinsed with ethanol several times.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

This work was supported by JSPS KAKENHI Grant Numbers JP18K05088 and JP19H02704.

References

- 1 J.-M. Lehn, *Proc. Natl. Acad. Sci. U. S. A.*, 2002, **99**, 4763–4768.
- 2 G. M. Whitesides and B. Grzybowski, *Science*, 2002, **295**, 2418–2421.



- 3 M. Fialkowski, K. J. M. Bishop, R. Klajn, S. K. Smoukov, C. J. Campbell and B. A. Grzybowski, *J. Phys. Chem. B*, 2006, **110**, 2482–2496.
- 4 J. A. Zasadzinski, R. Viswanathan, L. Madsen, J. Garnæs and D. K. Schwartz, *Science*, 1994, **263**, 1726–1733.
- 5 G. Decher, J. D. Hong and J. Schmitt, *Thin Solid Films*, 1992, **210**, 831–835.
- 6 K. Ariga and T. Kunitake, *Acc. Chem. Res.*, 1998, **31**, 371–378.
- 7 D. H. McCullough and S. L. Regen, *Chem. Commun.*, 2004, 2787–2791.
- 8 K. Ariga, T. Nakanishi and J. P. Hill, *Soft Matter*, 2006, **2**, 465–477.
- 9 K. Ariga, Y. Yamauchi, T. Mori and J. P. Hill, *Adv. Mater.*, 2013, **25**, 6477–6512.
- 10 L. H. Dubois and R. G. Nuzzo, *Annu. Rev. Phys. Chem.*, 1992, **43**, 437–463.
- 11 A. Ulman, *Chem. Rev.*, 1996, **96**, 1533–1554.
- 12 R. Maoz, E. Frydman, S. R. Cohen and J. Sagiv, *Adv. Mater.*, 2000, **12**, 424–429.
- 13 D. S. Ginger, H. Zhang and C. A. Mirkin, *Angew. Chem., Int. Ed.*, 2004, **43**, 30–45.
- 14 S. Onclin, B. J. Ravoo and D. N. Reinhoudt, *Angew. Chem., Int. Ed.*, 2005, **44**, 6282–6304.
- 15 J. C. Love, L. A. Estroff, J. K. Kriebel, R. G. Nuzzo and G. M. Whitesides, *Chem. Rev.*, 2005, **105**, 1103–1170.
- 16 G. Decher, *Science*, 1997, **277**, 1232–1237.
- 17 P. Bertrand, A. Jonas, A. Laschewsky and R. Legras, *Macromol. Rapid Commun.*, 2000, **21**, 319–348.
- 18 P. T. Hammond, *Adv. Mater.*, 2004, **16**, 1271–1293.
- 19 K. Ariga, J. P. Hill and Q. M. Ji, *Phys. Chem. Chem. Phys.*, 2007, **9**, 2319–2340.
- 20 J. Borges and J. F. Mano, *Chem. Rev.*, 2014, **114**, 8883–8942.
- 21 H. Lee, S. M. Dellatore, W. M. Miller and P. B. Messersmith, *Science*, 2007, **318**, 426–430.
- 22 Q. Wei, K. Achazi, H. Liebe, A. Schulz, P. L. M. Noeske, I. Grunwald and R. Haag, *Angew. Chem., Int. Ed.*, 2014, **53**, 11650–11655.
- 23 Y. Liu, K. Ai and L. Lu, *Chem. Rev.*, 2014, **114**, 5057–5115.
- 24 Q. Ye, F. Zhou and W. Liu, *Chem. Soc. Rev.*, 2011, **40**, 4244–4258.
- 25 H. Ejima, J. J. Richardson, K. Liang, J. P. Best, M. P. van Koevorden, G. K. Such, J. Cui and F. Caruso, *Science*, 2013, **341**, 154–157.
- 26 J. Guo, Y. Ping, H. Ejima, K. Alt, M. Meissner, J. J. Richardson, Y. Yan, K. Peter, D. von Elverfeldt, C. E. Hagemeyer and F. Caruso, *Angew. Chem., Int. Ed. Engl.*, 2014, **53**, 5546–5551.
- 27 M. A. Rahim, H. Ejima, K. L. Cho, K. Kempe, M. Müllner, J. P. Best and F. Caruso, *Chem. Mater.*, 2014, **26**, 1645–1653.
- 28 H. A. Lee, Y. Ma, F. Zhou, S. Hong and H. Lee, *Acc. Chem. Res.*, 2019, **52**, 704–713.
- 29 Q. Wei and R. Haag, *Mater. Horiz.*, 2015, **2**, 567–577.
- 30 S. H. Hong, S. Hong, M.-H. Ryou, J. W. Choi, S. M. Kang and H. Lee, *Adv. Mater. Interfaces*, 2016, **3**, 1500857.
- 31 C. Schlaich, M. Li, C. Cheng, I. S. Donskyi, L. Yu, G. Song, E. Osorio, Q. Wei and R. Haag, *Adv. Mater. Interfaces*, 2018, **5**, 1701254.
- 32 X. Yao, J. Liu, C. Yang, X. Yang, J. Wei, Y. Xia, X. Gong and Z. Suo, *Adv. Mater.*, 2019, **31**, 1903062.
- 33 R. Nishiyabu, H. Kobayashi and Y. Kubo, *RSC Adv.*, 2012, **2**, 6555–6561.
- 34 T. T. Duncan and R. G. Weiss, *Colloid Polym. Sci.*, 2018, **296**, 1047–1056.
- 35 T. T. Duncan, B. H. Berrie and R. G. Weiss, *ACS Appl. Mater. Interfaces*, 2017, **9**, 28069–28078.
- 36 C. D. Roy and H. C. Brown, *J. Organomet. Chem.*, 2007, **692**, 784–790.
- 37 N. Fujita, S. Shinkai and T. D. James, *Chem.–Asian J.*, 2008, **3**, 1076–1091.
- 38 K. Severin, *Dalton Trans.*, 2009, 5254–5264.
- 39 R. Nishiyabu, Y. Kubo, T. D. James and J. S. Fossey, *Chem. Commun.*, 2011, **47**, 1124–1150.
- 40 S. D. Bull, M. G. Davidson, J. M. H. van den Elsen, J. S. Fossey, A. T. A. Jenkins, Y.-B. Jiang, Y. Kubo, F. Marken, K. Sakurai, J. Zhao and T. D. James, *Acc. Chem. Res.*, 2013, **46**, 312–326.
- 41 M. Arzt, C. Seidler, D. Y. W. Ng and T. Weil, *Chem.–Asian J.*, 2014, **9**, 1994–2003.
- 42 G. M. Peters, X. Chi, C. Brockman and J. L. Sessler, *Chem. Commun.*, 2018, **54**, 5407–5409.
- 43 S. Ren, P. Sun, A. Wu, N. Sun, L. Sun, B. Dong and L. Zheng, *New J. Chem.*, 2019, **43**, 7701–7707.
- 44 M. A. P. Nunes, P. M. P. Gois, M. E. Rosa, S. Martins, P. C. B. Fernandes and M. H. L. Ribeiro, *Tetrahedron*, 2016, **72**, 7293–7305.
- 45 R. Nishiyabu, S. Ushikubo, Y. Kamiya and Y. Kubo, *J. Mater. Chem. A*, 2014, **2**, 15846–15852.
- 46 R. W. Tilford, W. R. Gemmill, H.-C. zur Loye and J. J. Lavigne, *Chem. Mater.*, 2006, **18**, 5296–5301.
- 47 B. M. Rambo and J. J. Lavigne, *Chem. Mater.*, 2007, **19**, 3732–3739.
- 48 R. Nishiyabu, S. Teraoka, Y. Matsushima and Y. Kubo, *ChemPlusChem*, 2012, **77**, 201–209.
- 49 M. K. Smith and B. H. Northrop, *Chem. Mater.*, 2014, **26**, 3781–3795.
- 50 S. Li, J. Huang, Z. Chen, G. Chen and Y. Lai, *J. Mater. Chem. A*, 2017, **5**, 31–55.
- 51 R. K. Gupta, G. J. Dunderdale, M. W. England and A. Hozumi, *J. Mater. Chem. A*, 2017, **5**, 16025–16058.
- 52 I. R. Durán and G. Laroche, *Adv. Colloid Interface Sci.*, 2019, **263**, 68–94.
- 53 I. R. Durán and G. Laroche, *Prog. Mater. Sci.*, 2019, **99**, 106–186.
- 54 P. Ragesh, V. Anand Ganesh, S. V. Nair and A. S. Nair, *J. Mater. Chem. A*, 2014, **2**, 14773–14797.
- 55 V. A. Ganesh, H. K. Raut, A. S. Nair and S. Ramakrishna, *J. Mater. Chem.*, 2011, **21**, 16304–16322.
- 56 I. P. Parkin and R. G. Palgrave, *J. Mater. Chem.*, 2005, **15**, 1689–1695.
- 57 R. Zhang, Y. Liu, M. He, Y. Su, X. Zhao, M. Elimelech and Z. Jiang, *Chem. Soc. Rev.*, 2016, **45**, 5888–5924.
- 58 I. Banerjee, R. C. Pangule and R. S. Kane, *Adv. Mater.*, 2011, **23**, 690–718.
- 59 D. Rana and T. Matsuura, *Chem. Rev.*, 2010, **110**, 2448–2471.



- 60 E. Ostuni, R. G. Chapman, R. E. Holmlin, S. Takayama and G. M. Whitesides, *Langmuir*, 2001, **17**, 5605–5620.
- 61 C. Blaszykowski, S. Sheikh and M. Thompson, *Chem. Soc. Rev.*, 2012, **41**, 5599–5612.
- 62 S. R. Meyers and M. W. Grinstaff, *Chem. Rev.*, 2012, **112**, 1615–1632.
- 63 Q. Wei, T. Becherer, S. Angioletti-Uberti, J. Dzubiella, C. Wischke, A. T. Neffe, A. Lendlein, M. Ballauff and R. Haag, *Angew. Chem., Int. Ed.*, 2014, **53**, 8004–8031.
- 64 N. Hadjesfandiari, K. Yu, Y. Mei and J. N. Kizhakkedathu, *J. Mater. Chem. B*, 2014, **2**, 4968–4978.
- 65 A. Miyamoto, S. Lee, N. F. Cooray, S. Lee, M. Mori, N. Matsuhisa, H. Jin, L. Yoda, T. Yokota, A. Itoh, M. Sekino, H. Kawasaki, T. Ebihara, M. Amagai and T. Someya, *Nat. Nanotechnol.*, 2017, **12**, 907–913.
- 66 A. C. Wilkinson, R. Ishida, M. Kikuchi, K. Sudo, M. Morita, R. V. Crisostomo, R. Yamamoto, K. M. Loh, Y. Nakamura, M. Watanabe, H. Nakauchi and S. Yamazaki, *Nature*, 2019, **571**, 117–121.
- 67 I. Imaz, J. Hernando, D. Ruiz-Molina and D. Maspoch, *Angew. Chem., Int. Ed.*, 2009, **48**, 2325–2329.
- 68 R. Nishiyabu, N. Hashimoto, T. Cho, K. Watanabe, T. Yasunaga, A. Endo, K. Kaneko, T. Niidome, M. Murata, C. Adachi, Y. Katayama, M. Hashizume and N. Kimizuka, *J. Am. Chem. Soc.*, 2009, **131**, 2151–2158.
- 69 R. Nishiyabu, C. Aimé, R. Gondo, T. Noguchi and N. Kimizuka, *Angew. Chem., Int. Ed.*, 2009, **48**, 9465–9468.
- 70 X. Yan, P. Zhu, J. Fei and J. Li, *Adv. Mater.*, 2010, **22**, 1283–1287.
- 71 Q. Wu, X. J. Wang, S. A. Rasaki, T. Thomas, C. X. Wang, C. Zhang and M. H. Yang, *J. Mater. Chem. C*, 2018, **6**, 4508–4515.
- 72 W. Zhou, J. Zhuang, W. Li, C. Hu, B. Lei and Y. Liu, *J. Mater. Chem. C*, 2017, **5**, 8014–8021.
- 73 A. Cosgun, R. Fu, W. Jiang, J. Li, J. Song, X. Song and H. Zeng, *J. Mater. Chem. C*, 2015, **3**, 257–264.
- 74 R. Z. Liang, D. P. Yan, R. Tian, X. J. Yu, W. Y. Shi, C. Y. Li, M. Wei, D. G. Evans and X. Duan, *Chem. Mater.*, 2014, **26**, 2595–2600.
- 75 Z. Q. Cheng, F. L. Zhang, W. Liu, L. Y. Cui and L. J. Kang, *RSC Adv.*, 2015, **5**, 54182–54187.
- 76 E. F. de Melo, N. D. C. Santana, K. G. B. Alves, G. F. de Sá, C. P. de Melo, M. O. Rodrigues and S. A. Júnior, *J. Mater. Chem. C*, 2013, **1**, 7574–7581.
- 77 Y. Deng, D. Zhao, X. Chen, F. Wang, H. Song and D. Shen, *Chem. Commun.*, 2013, **49**, 5751–5753.
- 78 V. K. Rao and T. P. Radhakrishnan, *J. Mater. Chem. A*, 2013, **1**, 13612–13618.
- 79 X. Fang, H. Ma, S. L. Xiao, M. W. Shen, R. Guo, X. Y. Cao and X. Y. Shi, *J. Mater. Chem.*, 2011, **21**, 4493–4501.
- 80 H. M. Yang, J. R. Hwang, D. Y. Lee, K. B. Kim, C. W. Park, H. R. Kim and K. W. Lee, *Sci. Rep.*, 2018, **8**, 11476.
- 81 R. Nishiyabu and A. Shimizu, *Chem. Commun.*, 2016, **52**, 9765–9768.
- 82 R. Nishiyabu, S. Iizuka, S. Minegishi, H. Kitagishi and Y. Kubo, *Chem. Commun.*, 2017, **53**, 3563–3566.
- 83 R. Nishiyabu, M. Tomura, T. Okade and Y. Kubo, *New J. Chem.*, 2018, **42**, 7392–7398.
- 84 R. Nishiyabu, Y. Sugino and Y. Kubo, *Chem. Commun.*, 2013, **49**, 9869–9871.

