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## L- and *rac*-lactide polymerisation using scandium and aluminium permethylindenyl complexes†

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The synthesis and characterisation of constrained geometry scandium and aluminium permethylindenyl complexes  $\text{Me}_2\text{SB}(\text{R}^i\text{N}, \text{I}^*)\text{ScCl}(\text{THF})$  ( $\text{R} = i\text{Pr}$  (**1**),  $n\text{Bu}$  (**2**) and  $\text{Ph}$  (**3**)),  $\text{Me}_2\text{SB}(i\text{PrN}, \text{I}^*)\text{Sc}(\text{O}-2,6-i\text{Pr}-\text{C}_6\text{H}_3)(\text{THF})$  (**4**),  $\text{Me}_2\text{SB}(i\text{PrN}, \text{I}^*)\text{Sc}(\text{O}-2,4-t\text{Bu}-\text{C}_6\text{H}_3)(\text{THF})$  (**5**),  $\text{Me}_2\text{SB}(n\text{BuN}, \text{I}^*)\text{Sc}(\text{O}-2,6-i\text{Pr}-\text{C}_6\text{H}_3)(\text{THF})$  (**6**),  $\text{Me}_2\text{SB}(i\text{PrN}, \text{I}^*)\text{Sc}(\text{O}-2,6-i\text{Pr}-\text{C}_6\text{H}_3)(\text{THF})$  (**7**),  $\text{Me}_2\text{SB}(t\text{BuN}, \text{I}^*)\text{AlCl}(\text{THF})$  (**8**),  $\text{Me}_2\text{SB}(t\text{BuN}, \text{I}^*)\text{Al}(\text{O}-2,6-\text{Me}-\text{C}_6\text{H}_3)(\text{THF})$  (**9**) and  $\text{Me}_2\text{SB}(t\text{BuN}, \text{I}^*)\text{Al}(\text{O}-2,4-t\text{Bu}-\text{C}_6\text{H}_3)(\text{THF})$  (**10**) are reported. All complexes were characterised by NMR spectroscopy. Solid-state structures of **2–4**, **6** and **8–10** were determined by X-ray crystallography. Ring-opening polymerisation of L- and *rac*-lactide using all complexes with the exception of **6** show first-order dependence on monomer concentration and produced polylactide with unimodal molecular weight distribution. First-order dependence on catalyst concentration was determined from L-lactide polymerisation using **4** and **9**. Moderately heterotactic polylactide ( $P_r = 0.53–0.68$ ) was achieved from *rac*-lactide polymerisation using **4**, **5**, **7** and **9**. The effects of the metal centre (Sc and Al), the amido substituent ( $i\text{Pr}$ ,  $t\text{Bu}$ ,  $n\text{Bu}$  and  $\text{Ph}$ ) and the aryloxy initiating group ( $\text{O}-2,6-\text{Me}-\text{C}_6\text{H}_3$ ,  $\text{O}-2,6-i\text{Pr}-\text{C}_6\text{H}_3$  and  $\text{O}-2,4-t\text{Bu}-\text{C}_6\text{H}_3$ ) on the catalytic activity are discussed.

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rscl/polymers

## Introduction

Poly(lactide) (PLA) has diverse usage due to its biodegradability, biocompatibility and production from renewable feedstocks such as corn starch and sugar cane.<sup>1</sup> Two stereogenic centres per lactide (LA) molecule result in L-LA (*S,S*-LA), D-LA (*R,R*-LA) and *meso*-LA (*R,S*-LA). A racemic mixture of L-LA and D-LA is referred to as *rac*-LA. Ring-opening polymerisation (ROP) of lactide initiated by single-site metal catalysts *via* a coordination–insertion mechanism can form well-controlled polymers in terms of molecular weight, molecular weight distribution and microstructure.<sup>2</sup> Single-site initiators are based on Lewis acidic metal centre surrounded by ancillary ligand(s), and an initiating nucleophile which is commonly an alkoxide group.<sup>2a</sup>

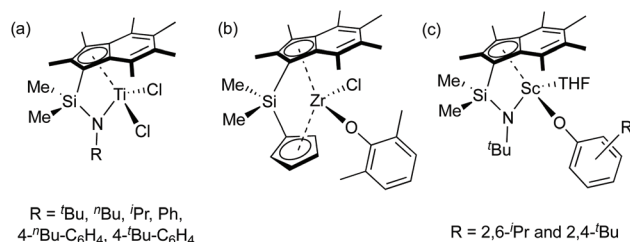
Constrained geometry complexes (CGCs) were originally developed in the academic literature by Bercaw *et al.* for scandium centres with a dicationic ligand and a dimethylsilyl *ansa*-bridge ( $\text{SiMe}_2$ ) linking a cyclopentadienyl ring and an amido ligand.<sup>3</sup> Afterwards, Okuda *et al.* reported titanium and ferro-

cene CGCs containing a bridged amido-cyclopentadienyl  $\{\text{C}_5\text{H}_4(t\text{Bu})^-\}$  ligand.<sup>4</sup> Since then, several synthesis and applications of CGCs containing different substituted cyclopentadienyl, indenyl and fluorenyl groups, coordinating heteroatoms, *ansa*-linkages and metal centres were reported in the literature,<sup>5</sup> particularly Group 4 CGCs for olefin polymerisations.<sup>6</sup> The enhanced ability of Group 4 CGCs for ethylene polymerisation and (co)polymerisation of ethylene and  $\alpha$ -olefins is ascribed to a smaller  $\text{Cp}_{\text{centroid}}\text{--M--N}$  bite angle than the typical  $\text{Cp}_{\text{centroid}}\text{--M--Cp}_{\text{centroid}}$  in metallocene systems and a reduced tendency to undergo chain transfer reactions, resulting in high molecular weight polymers.<sup>3a,7</sup> More electron deficient metal centres (an amido moiety formerly donates two electrons less than a cyclopentadienyl-based ligand) also promote olefin insertion into the metal–carbon bond and increase reactivity.<sup>3a,7</sup> Due to the higher thermal stability than related metallocenes, higher polymerisation temperatures are permitted by alkyl or dialkyl CGCs.<sup>8</sup> The indenyl ligand ( $\text{C}_9\text{H}_7^-$ , Ind, I) has been studied as an alternative to the cyclopentadienyl ligand ( $\text{C}_5\text{H}_5^-$ , Cp).<sup>6h,8a,9</sup> The indenyl ring slip-page from  $\eta^5$  to  $\eta^3$ -hapticity was observed when the formal number of metal electrons increased by two, resulting in a higher activity of ligand substitution reactions of electronically unsaturated complexes compared to their analogous Cp complexes.<sup>10</sup> Permethylation of the indenyl ring has been proposed to increase steric congestion around the metal centre compared to the indenyl ligand, and afford kinetic stability to the

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**Chart 1** Group 3 and 4 permethylindenyl complexes reported by O'Hare and co-workers.<sup>12–14</sup>

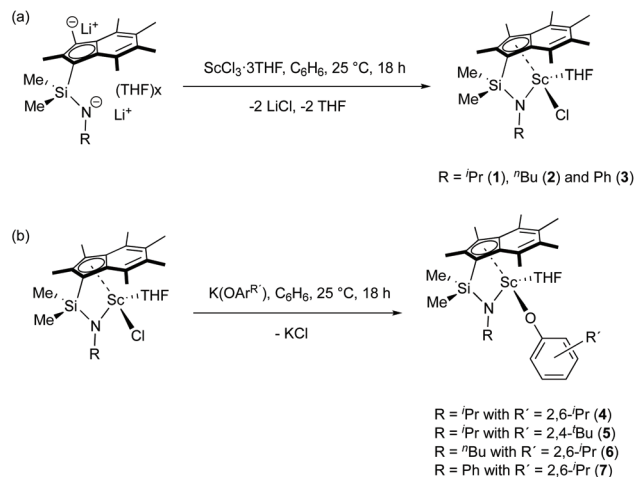
metal–Ind\* bond.<sup>11</sup> Group 4 CGCs with variation of the amido moieties, *ansa*-bridges and permethylindenyl ligands have been developed by O'Hare and co-workers from  $\text{Me}_2\text{SB}(\text{tBuN}, \text{I}^*)\text{TiCl}_2$  (Chart 1a).<sup>12</sup> These complexes are efficient for slurry-phase ethylene polymerisation and ethylene/1-hexene and ethylene/styrene (co)polymerisations. Another family of *ansa*-bridged permethylindenyl Group 4 metallocenes were used as catalysts for slurry-phase ethylene polymerisation and lactide polymerisation (Chart 1b).<sup>13</sup> A bimodal molecular weight distribution was observed from poly(L-lactide) obtained from ROP of L-LA using an *ansa*-bridged permethylindenyl zirconium dichloride complex in the presence of benzyl alcohol.<sup>13b</sup> We recently reported constrained geometry scandium permethylindenyl aryloxy complexes,  $\text{Me}_2\text{SB}(\text{tBuN}, \text{I}^*)\text{Sc}(\text{O}-2,6\text{-}^i\text{Pr}-\text{C}_6\text{H}_3)$  (THF) and  $\text{Me}_2\text{SB}(\text{tBuN}, \text{I}^*)\text{Sc}(\text{O}-2,4\text{-}^t\text{Bu}-\text{C}_6\text{H}_3)$ (THF), as initiators for lactide polymerisation (Chart 1c).<sup>14</sup> The single-site nature of scandium permethylindenyl CGCs leads to high molecular weight polylactide and unimodal molecular weight distribution ( $M_w/M_n < 1.2$ ).

In this work, constrained geometry permethylindenyl complexes with variation of the metal centre (Sc and Al), an amido substituent (<sup>i</sup>Pr, <sup>t</sup>Bu, <sup>n</sup>Bu and Ph) and an aryloxy initiating group (O-2,6-Me-C<sub>6</sub>H<sub>3</sub>, O-2,6-<sup>i</sup>Pr-C<sub>6</sub>H<sub>3</sub> and O-2,4-<sup>t</sup>Bu-C<sub>6</sub>H<sub>3</sub>) were synthesised and studied as catalysts for polymerisation of L- and *rac*-lactide.

## Results and discussion

### Synthesis of constrained geometry scandium complexes

Reactions of  $\text{Me}_2\text{SB}(\text{R}^*\text{N}, \text{I}^*)\text{Li}_2(\text{THF})_x$  (R = <sup>i</sup>Pr, <sup>n</sup>Bu and Ph) and  $\text{ScCl}_3 \cdot 3\text{THF}$  in a 1 : 1 molar ratio were carried out in benzene at room temperature (Scheme 1a).  $\text{Me}_2\text{SB}(\text{PrN}, \text{I}^*)\text{Sc}(\text{Cl})(\text{THF})$  (**1**),  $\text{Me}_2\text{SB}(\text{nBuN}, \text{I}^*)\text{Sc}(\text{Cl})(\text{THF})$  (**2**) and  $\text{Me}_2\text{SB}(\text{PhN}, \text{I}^*)\text{Sc}(\text{Cl})(\text{THF})$  (**3**) were isolated as yellow solids in 35, 6 and 41% yield, respectively. A series of aryloxy complexes  $\text{Me}_2\text{SB}(\text{PrN}, \text{I}^*)\text{Sc}(\text{O}-2,6\text{-}^i\text{Pr}-\text{C}_6\text{H}_3)(\text{THF})$  (**4**),  $\text{Me}_2\text{SB}(\text{PrN}, \text{I}^*)\text{Sc}(\text{O}-2,4\text{-}^t\text{Bu}-\text{C}_6\text{H}_3)(\text{THF})$  (**5**),  $\text{Me}_2\text{SB}(\text{nBuN}, \text{I}^*)\text{Sc}(\text{O}-2,6\text{-}^i\text{Pr}-\text{C}_6\text{H}_3)(\text{THF})$  (**6**) and  $\text{Me}_2\text{SB}(\text{PhN}, \text{I}^*)\text{Sc}(\text{O}-2,6\text{-}^i\text{Pr}-\text{C}_6\text{H}_3)(\text{THF})$  (**7**) were synthesised from reactions between complexes **1–3** and appropriate potassium aryloxy salts (Scheme 1b). Complexes **4**, **5** and **7** were isolated in 44, 34 and 41% yields, respectively. The <sup>1</sup>H NMR spectra of **1–7** (see ESI†) show five singlets corresponding to the indenyl methyl protons at 1.50–3.00 ppm and two singlets corresponding to



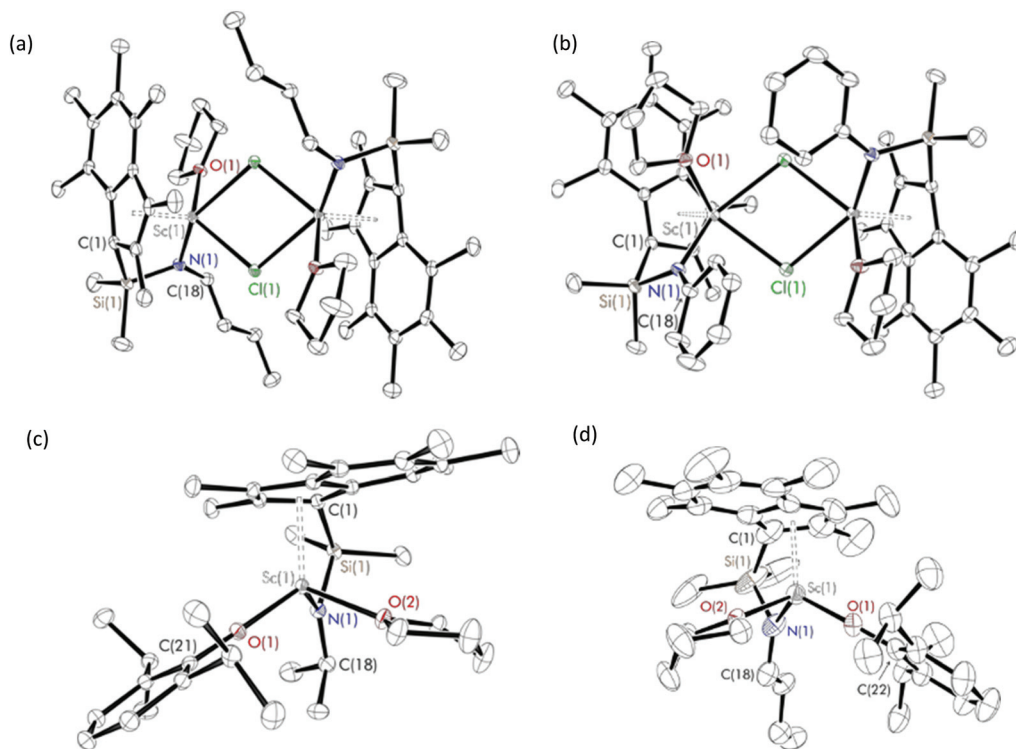
**Scheme 1** (a) Synthesis of  $\text{Me}_2\text{SB}(\text{R}^*\text{N}, \text{I}^*)\text{ScCl}(\text{THF})$  (**1–3**) and (b)  $\text{Me}_2\text{SB}(\text{R}^*\text{N}, \text{I}^*)\text{Sc}(\text{OAr}^{\text{R}'})\text{(THF)}$  (**4–7**).

the silylmethyl groups between 0.50–1.20 ppm. Resonances of methylene protons of a THF molecule coordinated to the metal centre were also observed. The X-ray crystal structures of complexes **2–4** and **6** have been determined and are shown in Fig. 1. Selected bond lengths and angles are listed in Table 1.

Single crystals suitable of X-ray diffraction studies of **2** and **3** were grown at room temperature of saturated benzene solution and pentane solution, respectively, and found to crystallise in the space group  $P\bar{1}$  and  $C2/c$ . The solid-state structures of **2** and **3** are dimeric, consisting of two chloride-bridged scandium centres. Each scandium centre has a distorted square pyramidal geometry, evidenced by the  $\tau_5$  values of 0.22 and 0.02 for **2** and **3**,<sup>15</sup> respectively, with  $\eta^5$ -coordination with the C<sub>9</sub>Me<sub>6</sub> ring. The oxygen of the THF ligand and nitrogen of the amido group also coordinate to the metal centre. The Sc (1)–I\*<sub>cent</sub> bond length of **3** (2.1845(1) Å) is slightly longer than **2** (2.1836(1) Å) due to the increased steric bulk of the phenyl group on the amido ligand compared with the *n*-butyl group. The two C<sub>9</sub>Me<sub>6</sub> rings on **2** have a *trans* arrangement while those on **3** have a *cis* arrangement. Therefore, the plane containing scandium and chlorine atoms of **2** is planar while that of **3** is puckered with an interplanar angle of 24.3° (Fig. S61†) in order to reduce steric repulsion between the C<sub>9</sub>Me<sub>6</sub> rings.

The average Sc–Cl bond lengths of **2** and **3** (2.5987 and 2.5894 Å) are comparable to those observed from reported complexes.<sup>16</sup> Compared to **3**, an analogous Cp-based scandium CGC [ $\text{Me}_2\text{SB}(\text{PhN}, \text{C}_5\text{Me}_4)\text{Sc}(\mu\text{-Cl})(\text{THF})_2$ ] reported by Hou *et al.* has comparable Sc–Cl (2.545 Å), Sc–Cp<sub>cent</sub> (2.171 Å), Sc–N (2.142 Å) and Sc–O (2.224 Å) bond lengths.<sup>16c</sup> The crystal structure of [Cp<sub>2</sub>Sc(μ-Cl)]<sub>2</sub> was reported with a Sc–Cl distance of 2.575 Å.<sup>16a</sup> Another THF-free complex [Sc(N<sub>2</sub>N<sup>C<sup>3</sup>,Me</sup>)Sc(μ-Cl)]<sub>2</sub> where N<sub>2</sub>N<sup>C<sup>3</sup>,Me</sup> = MeN{(CH<sub>2</sub>)<sub>3</sub>NSiMe<sub>3</sub>}<sub>2</sub><sup>1a</sup> was reported with a Sc–Cl bond length of 2.5685 Å.<sup>16c</sup> [Sc(C<sub>8</sub>H<sub>8</sub>)(μ-Cl)(THF)]<sub>2</sub><sup>16g</sup> and [Sc(C<sub>8</sub>H<sub>6</sub>(1,4-SiMe<sub>3</sub>)<sub>2</sub>)(μ-Cl)]<sub>2</sub>(THF)<sup>16b</sup> were reported with Sc–Cl bond distances of 2.5972 and 2.5155 Å, respectively. A scandium chloride complex containing C<sub>5</sub>Me<sub>4</sub>SiMe<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>Ph ligand has a tetrameric structure with the average Sc–Cl bond





**Fig. 1** Solid-state structures of (a)  $\text{Me}_2\text{SB}(\text{}^n\text{BuN,I}^*)\text{Sc}(\text{Cl})(\text{THF})$  (**2**), (b)  $\text{Me}_2\text{SB}(\text{PhN,I}^*)\text{Sc}(\text{Cl})(\text{THF})$  (**3**), (c)  $\text{Me}_2\text{SB}(\text{}^i\text{PrN,I}^*)\text{Sc}(\text{O-2,6-}^i\text{Pr-C}_6\text{H}_3)(\text{THF})$  (**4**) and (d)  $\text{Me}_2\text{SB}(\text{}^n\text{BuN,I}^*)\text{Sc}(\text{O-2,6-}^i\text{Pr-C}_6\text{H}_3)(\text{THF})$  (**6**). Ellipsoids are drawn at the 30% probability level and H atoms omitted for clarity.

**Table 1** Selected bond lengths (Å) and angles (°) for  $\text{Me}_2\text{SB}(\text{}^n\text{BuN,I}^*)\text{Sc}(\text{Cl})(\text{THF})$  (**2**) and  $\text{Me}_2\text{SB}(\text{PhN,I}^*)\text{Sc}(\text{Cl})(\text{THF})$  (**3**),  $\text{Me}_2\text{SB}(\text{}^i\text{PrN,I}^*)\text{Sc}(\text{O-2,6-}^i\text{Pr-C}_6\text{H}_3)(\text{THF})$  (**4**),  $\text{Me}_2\text{SB}(\text{}^n\text{BuN,I}^*)\text{Sc}(\text{O-2,6-}^i\text{Pr-C}_6\text{H}_3)(\text{THF})$  (**6**) and  $\text{Me}_2\text{SB}(\text{}^t\text{BuN,I}^*)\text{Sc}(\text{O-2,6-}^i\text{Pr-C}_6\text{H}_3)(\text{THF})$ <sup>14</sup> (E.S.D.s are given in parentheses)

Complex	2	3	4	6	Ref <sup>a</sup>
Sc(1)–I* <sub>cent</sub>	2.1836(1)	2.1845(1)	2.1704(1)	2.1735(1)	2.1718(1)
Sc(1)–Cl(1)	2.5700(5)	2.5732(5)	—	—	—
Sc(1)–Cl(1')	2.6273(5)	2.6055(5)	—	—	—
Sc(1)–O(1)	2.2257(12)	2.2174(12)	1.9344(10)	1.9298(1)	1.9450(9)
Sc(1)–O(2)	—	—	2.1705(10)	2.1820(1)	2.1686(9)
Sc(1)–N(1)	2.0412(14)	2.0909(14)	2.0458(12)	2.0265(1)	2.0593(11)
I* <sub>cent</sub> –Sc(1)–N(1)	102.77	102.50	103.82(1)	103.63	103.99(1)
Sc(1)–Cl(1)–Sc(1)	105.173(15)	102.264(15)	—	—	—
Sc(1)–O(1)–C <sub>OAr</sub> <sup>b</sup>	—	—	176.91(9)	169.94(1)	175.63(9)

<sup>a</sup>  $\text{Me}_2\text{SB}(\text{}^t\text{BuN,I}^*)\text{Sc}(\text{O-2,6-}^i\text{Pr-C}_6\text{H}_3)(\text{THF})$ .<sup>14</sup> <sup>b</sup> Sc(1)–O(1)–C(21) for **4** and Sc(1)–O(1)–C(22) for **6**.

length of 2.5243 Å.<sup>16f</sup> The crystal structures of **2** and **3** also show similar Sc(1)–I\*<sub>cent</sub> bond lengths to those of cyclopentadienyl based scandium chloride complexes (2.12–2.18 Å).<sup>16a,d,f</sup>

In contrast to the dimeric structure of **2** and **3**, their titanium analogues were described as monomeric with no THF ligand coordinated to the metal centre.<sup>12a</sup> The Ti–Cp<sub>cent</sub> (2.03 Å) and Ti–N (1.89–1.94 Å) bond lengths of  $\text{Me}_2\text{SB}(\text{}^R\text{N,I}^*)\text{TiCl}_2$  (R = <sup>t</sup>Bu, <sup>i</sup>Pr and 4-<sup>t</sup>Bu-C<sub>6</sub>H<sub>4</sub>) are smaller than those of **2** and **3**.

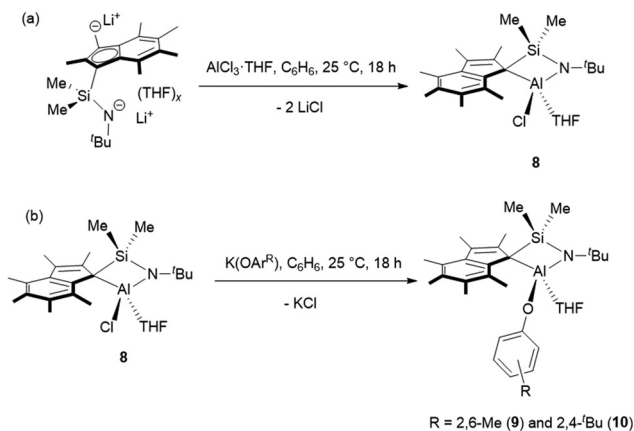
Solid-state structures of  $\text{Me}_2\text{SB}(\text{}^i\text{PrN,I}^*)\text{Sc}(\text{O-2,6-}^i\text{Pr-C}_6\text{H}_3)(\text{THF})$  (**4**) and  $\text{Me}_2\text{SB}(\text{}^n\text{BuN,I}^*)\text{Sc}(\text{O-2,6-}^i\text{Pr-C}_6\text{H}_3)(\text{THF})$  (**6**) are monomeric with a distorted tetrahedral geometry at the scandium centre, indicated by the  $\tau_4$  parameters of 0.89 and 0.86 for **4** and **6**,<sup>17</sup> respectively. The bond distances of Sc(1)–O(1)

and Sc(1)–N(1) of **4** (1.9344(10) and 2.0458(12) Å) are slightly longer than those of **6** (1.9298(1) and 2.0265(1) Å). The bond lengths of Sc(1)–I\*<sub>cent</sub>, Sc(1)–O(1), Sc(1)–O(2) and Sc(1)–N(1) of **4** and **6** are comparable to those previously reported from  $\text{Me}_2\text{SB}(\text{}^t\text{BuN,I}^*)\text{Sc}(\text{O-2,6-}^i\text{Pr-C}_6\text{H}_3)(\text{THF})$ <sup>14</sup> (Table 1). As a consequence of the less sterically demanding <sup>n</sup>Bu group on the amido ligand of **6** compared to the <sup>i</sup>Pr group on **4** or <sup>t</sup>Bu group on  $\text{Me}_2\text{SB}(\text{}^t\text{BuN,I}^*)\text{Sc}(\text{O-2,6-}^i\text{Pr-C}_6\text{H}_3)(\text{THF})$ , the aryloxy group is more oriented towards the amido ligand on **6** than **4** or  $\text{Me}_2\text{SB}(\text{}^t\text{BuN,I}^*)\text{Sc}(\text{O-2,6-}^i\text{Pr-C}_6\text{H}_3)(\text{THF})$ . Hence, the Sc(1)–O(1)–C<sub>OAr</sub> angle of 169.94(1) in **6** is considerably smaller than that of 176.91(9)<sup>o</sup> in **4** and 175.63(9)<sup>o</sup> in  $\text{Me}_2\text{SB}(\text{}^t\text{BuN,I}^*)\text{Sc}(\text{O-2,6-}^i\text{Pr-C}_6\text{H}_3)(\text{THF})$ .



### Synthesis of constrained geometry aluminium complexes

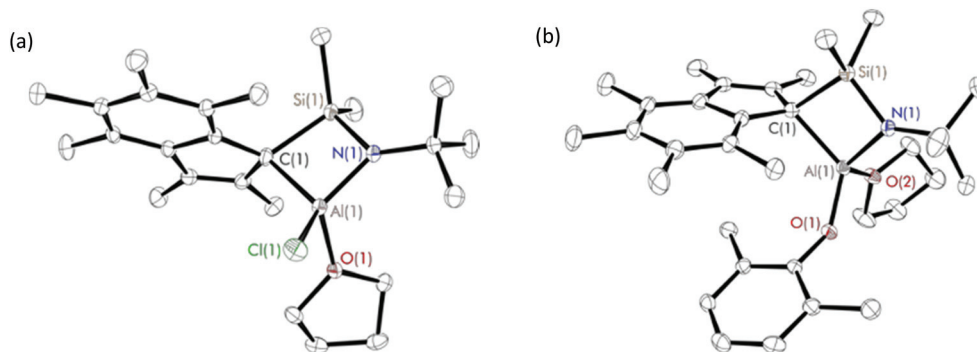
$\text{Me}_2\text{SB}^{(t\text{Bu})\text{N},\text{I}^*}\text{Al}(\text{Cl})(\text{THF})$  (**8**) was prepared in 49% yield *via* the salt elimination reaction of  $\text{Me}_2\text{SB}^{(t\text{Bu})\text{N},\text{I}^*}\text{Li}_2(\text{THF})_x$  and  $\text{AlCl}_3 \cdot \text{THF}$  in benzene at room temperature (Scheme 2a). The aryloxide complexes,  $\text{Me}_2\text{SB}^{(t\text{Bu})\text{N},\text{I}^*}\text{Al}(\text{O}-2,6\text{-Me-C}_6\text{H}_3)(\text{THF})$  (**9**)



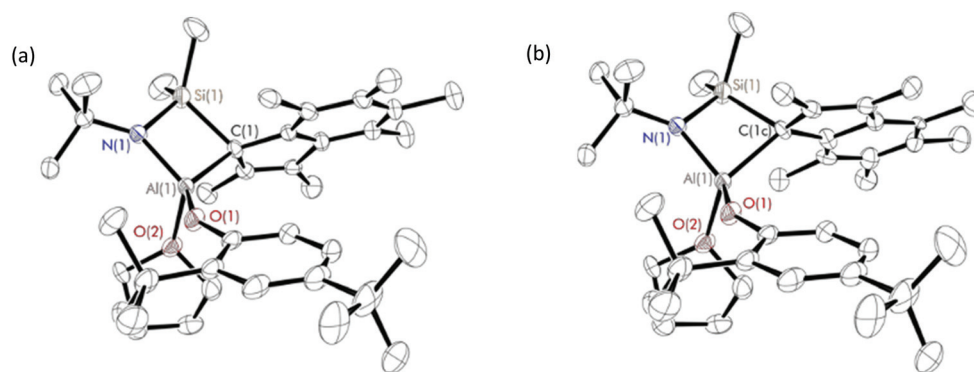
**Scheme 2** (a) Synthesis of  $\text{Me}_2\text{SB}^{(t\text{Bu})\text{N},\text{I}^*}\text{Al}(\text{Cl})(\text{THF})$  (**8**), (b)  $\text{Me}_2\text{SB}^{(t\text{Bu})\text{N},\text{I}^*}\text{Al}(\text{O}-2,6\text{-Me-C}_6\text{H}_3)(\text{THF})$  (**9**) and  $\text{Me}_2\text{SB}^{(t\text{Bu})\text{N},\text{I}^*}\text{Al}(\text{O}-2,4\text{-}^t\text{Bu-C}_6\text{H}_3)(\text{THF})$  (**10**).

and  $\text{Me}_2\text{SB}^{(t\text{Bu})\text{N},\text{I}^*}\text{Al}(\text{O}-2,4\text{-}^t\text{Bu-C}_6\text{H}_3)(\text{THF})$  (**10**), were synthesised by reactions of **8** and  $\text{K}(\text{O}-2,6\text{-Me-C}_6\text{H}_3)$  or  $\text{K}(\text{O}-2,4\text{-}^t\text{Bu-C}_6\text{H}_3)$  in benzene at room temperature (Scheme 2b), and were isolated in 41 and 24% yield, respectively. Reaction of **8** with  $\text{K}(\text{O}-2,6\text{-}^i\text{Pr-C}_6\text{H}_3)$  to form  $\text{Me}_2\text{SB}^{(t\text{Bu})\text{N},\text{I}^*}\text{Al}(\text{O}-2,6\text{-}^i\text{Pr-C}_6\text{H}_3)(\text{THF})$  was carried out. However, several attempts to isolate clean product of  $\text{Me}_2\text{SB}^{(t\text{Bu})\text{N},\text{I}^*}\text{Al}(\text{O}-2,6\text{-}^i\text{Pr-C}_6\text{H}_3)(\text{THF})$  were unsuccessful. The  $^1\text{H}$  NMR spectra of **8–10** (Fig. S18, S20 and S22†) show two sets of resonances corresponding to a mixture of two isomers.

Diffraction-quality crystals were grown from a concentrated benzene solution of **8** and **9** and a pentane solution of **10** at room temperature. X-ray crystal structures of **8** and **9** (Fig. 2) were obtained for one isomer, while for complex **10** (Fig. 3), both isomers were obtained (Fig. S22†). In contrast to the scandium constrained geometry complexes (**1–7**), **8–10** display  $\sigma$ -instead of  $\pi$ -bonding interactions between the metal centre and  $\text{C}_9\text{Me}_6$  ring due to the absence of accepting d-orbitals on the aluminium centre. The hapticity of one between the  $\text{C}_9\text{Me}_6$  ring and the metal centre is consistent with the known Group 13<sup>18</sup> and 15<sup>19</sup> cyclopentadienyl constrained geometry complexes reported in literature. Cowley *et al.* synthesised and crystallographically characterised complexes  $\text{Me}_2\text{SB}^{(t\text{Bu})\text{N},\text{C}_5\text{Me}_4}\text{M}(\text{CH}_3)(\text{THF})$  ( $\text{M} = \text{Al}$  and  $\text{Ga}$ ).<sup>18b</sup> The  $\text{C}_5\text{Me}_4$  ring possesses a



**Fig. 2** Solid-state structures of (a)  $\text{Me}_2\text{SB}^{(t\text{Bu})\text{N},\text{I}^*}\text{Al}(\text{Cl})(\text{THF})$  (**8**) and (b)  $\text{Me}_2\text{SB}^{(t\text{Bu})\text{N},\text{I}^*}\text{Al}(\text{O}-2,6\text{-Me-C}_6\text{H}_3)(\text{THF})$  (**9**). Ellipsoids are drawn at the 30% probability level and H atoms omitted for clarity.



**Fig. 3** Solid-state structures of isomer **1** (a) and **2** (b) of  $\text{Me}_2\text{SB}^{(t\text{Bu})\text{N},\text{I}^*}\text{Al}(\text{O}-2,4\text{-}^t\text{Bu-C}_6\text{H}_3)(\text{THF})$  (**10**). Ellipsoids are drawn at the 30% probability level and H atoms omitted for clarity.



localised diene structure, and the  $\sigma$ -attachment occurs at the metal centre at an  $\alpha$  position with respect to the  $\text{SiMe}_2$  group affording a five-membered ring  $\text{M}-\text{C}-\text{C}-\text{Si}-\text{N}$  ring. The solid-state structures of **8–10** show  $\sigma$ -bonds between the aluminium centre and the carbon on the  $\text{C}_9\text{Me}_6$  ring adjacent to  $\text{SiMe}_2$  group, and the nitrogen of the  $^t\text{BuN}$  moiety linked between the metal centre and the  $\text{SiMe}_2$  group. The four-membered ring of  $\text{Al}-\text{C}-\text{Si}-\text{N}$  is perpendicular to the  $\text{C}_9\text{Me}_6$  ring. Distorted tetrahedral geometry at the aluminium centre was observed, confirmed by the  $\tau_4$  values of 0.81, 0.76 and 0.72 for **8**, **9** and **10**, respectively.<sup>17</sup> The presence of the four-membered ring species was reported by Rieger *et al.* for the solid-state structure of aluminium constrained geometry cyclopentadienyl complex containing the lutidynyl moiety.<sup>18c</sup>

In contrast to the analogous dimeric scandium complexes (**2** and **3**), **8** was obtained as a monomer with smaller  $\text{M}(1)-\text{Cl}$  (**1**) bond length of 2.1375(7) Å than those in **2** and **3** (2.5700–2.5732 Å). A similar trend was observed for the aryloxide complexes **9** and **10** with the shorter  $\text{M}(1)-\text{O}(1)$ ,  $\text{M}(1)-\text{O}(2)$  and  $\text{M}(1)-\text{N}(1)$  distances comparing to those of **4** and **6**. The  $\text{C}(1)-\text{Al}(1)-\text{O}(1)$  and  $\text{Al}(1)-\text{O}(1)-\text{C}_{\text{OAr}}$  angles of **9** (124.96(8) and 143.72(14)°) are considerably smaller than those of **10** (133.04(13) and 147.2(2)°) as a result of the less sterically hindered aryloxide group on **9**.

X-ray crystal structures of **10** show two components of the complex in which the  $\text{C}_9\text{Me}_6$  ring featuring in two different positions (Fig. 3, Table S6†). The  $\text{C}_9\text{Me}_6$  ring in isomer **1** and isomer **2** are labelled as  $\text{C}(1)-\text{C}(9)$  and  $\text{C}(1c)-\text{C}(9c)$ , respectively. The direction of the six-membered ring on the  $\text{C}_9\text{Me}_6$  ring of isomer **1** is at the front side of the five-membered ring, while that of isomer **2** is at the backside of the five-membered ring. Bond lengths and angles of the two isomers of **10** are shown in Table 2. The significant differences between the  $\text{C}(1)-\text{Al}(1)-\text{O}(1)$  and  $\text{C}(1)-\text{Al}(1)-\text{O}(2)$  angles in isomer **1** and those in isomer **2** were observed, and reflect a different conformation of the  $\text{C}_9\text{Me}_6$  ring found in the solid state structures of **10**. The ratio of these two components from the crystal structure of **10** (59 : 41) is consistent with those from the solution  $^1\text{H}$  NMR spectrum (55 : 45) (Fig. S22†). Two isomers found in the  $^1\text{H}$  NMR spectra of **8** and **9** (Fig. S18 and S20†) are also proposed to be attributed to the different  $\text{C}_9\text{Me}_6$  ring position.

### Polymerisation of *l*- and *rac*-lactide using scandium complexes

$\text{Me}_2\text{SB}(^i\text{PrN},\text{I}^*)\text{Sc}(\text{Cl})(\text{THF})$  (**1**),  $\text{Me}_2\text{SB}(^n\text{BuN},\text{I}^*)\text{Sc}(\text{Cl})(\text{THF})$  (**2**) and  $\text{Me}_2\text{SB}(\text{PhN},\text{I}^*)\text{Sc}(\text{Cl})(\text{THF})$  (**3**) were tested as initiators for the polymerisation of *l*-lactide in the presence of benzyl alcohol. *In situ* protonolysis is commonly used for lactide polymerisation catalysed by scandium alkyl,<sup>20</sup> amide<sup>21</sup> or chloride<sup>13b,14</sup> complexes. It is hypothesised that benzyl alcohol reacts *in situ* with the chloride ligand of **1–3** to form the benzyloxide group which initiates the polymerisation *via* coordination–insertion mechanism. Under the same conditions, the polymerisation rate follows the order of **1** > **2** > **3** with  $k_{\text{obs}}$  values of 1.21, 0.89 and 0.57  $\text{h}^{-1}$ , respectively (Table 3, entries 1–3). This indicates the effect of increasing nucleophilicity of the amido substituent on polymerisation activity ( $^i\text{Pr} > ^n\text{Bu} > \text{Ph}$ ). The introduction of the electron donating substituent on the amido ligand can increase the Lewis acidity of the metal centre, which is favourable for scandium–alkoxide bond cleavage. Kinetic measurements show a first-order dependence on *l*-lactide concentration (Fig. 4). No initiation period was observed with high monomer conversion reached within 2–4.5 h. Polymer molecular weights determined by GPC are in a fair agreement with those calculated for one chain per metal centre, and narrow  $M_w/M_n$  values (1.17) were observed. The presence of polylactide terminated with  $\text{OCH}_2\text{Ph}$  end-group was observed in the  $^1\text{H}$  NMR spectrum (Fig. S51†). End-group analysis by MALDI-ToF mass spectrometry (Fig. S56†) also shows peaks corresponding to polylactide with  $\text{OCH}_2\text{Ph}$  and  $\text{OH}$  end-groups and peak envelopes separated by  $\Delta(m/z)$  of 72.0 Da indicating intermolecular transesterification.

Complexes **1–3** exhibit superior performance compared to reported scandium monoamide or monoalkyl complexes.<sup>20c,21a</sup> Carpentier *et al.* reported scandium alkyl complex supported by phenoxy-aminopyridinate ligand for polymerisation of *rac*-LA with  $^i\text{PrOH}$  as co-initiator ( $[\text{rac-LA}]_0 : [\text{Sc}]_0 : [^i\text{PrOH}]_0 = 500 : 1 : 1$ ).<sup>20c</sup> Only 4% conversion was achieved after 1.5 h in toluene at 60 °C. Okuda *et al.* used bis(phenolato)scandium amide complex with  $^i\text{PrOH}$  to polymerise 83% of 300 equivalents of *rac*-lactide after 72 h.<sup>21a</sup>

$\text{Me}_2\text{SB}(^i\text{PrN},\text{I}^*)\text{Sc}(\text{O}-2,6\text{-}^i\text{Pr}-\text{C}_6\text{H}_3)(\text{THF})$  (**4**),  $\text{Me}_2\text{SB}(^i\text{PrN},\text{I}^*)\text{Sc}(\text{O}-2,4\text{-}^t\text{Bu}-\text{C}_6\text{H}_3)(\text{THF})$  (**5**) and  $\text{Me}_2\text{SB}(\text{PhN},\text{I}^*)\text{Sc}(\text{O}-2,6\text{-}^i\text{Pr}-\text{C}_6\text{H}_3)(\text{THF})$  (**7**) were used as initiators for ring-opening polymeris-

**Table 2** Selected bond lengths (Å) and angles (°) for  $\text{Me}_2\text{SB}(^t\text{BuN},\text{I}^*)\text{Al}(\text{Cl})(\text{THF})$  (**8**),  $\text{Me}_2\text{SB}(^t\text{BuN},\text{I}^*)\text{Al}(\text{O}-2,6\text{-Me}-\text{C}_6\text{H}_3)(\text{THF})$  (**9**) and isomer **1** and **2** of  $\text{Me}_2\text{SB}(^t\text{BuN},\text{I}^*)\text{Al}(\text{O}-2,4\text{-}^t\text{Bu}-\text{C}_6\text{H}_3)(\text{THF})$  (**10**) (E.S.D.s are given in parentheses)

Complex	<b>8</b>	<b>9</b>	<b>10</b> (Isomer 1)	<b>10</b> (Isomer 2)
$\text{Al}(1)-\text{Cl}(1)$	2.1375(7)	—	—	—
$\text{Al}(1)-\text{O}(1)$	1.8600(13)	1.7150(15)	1.712(2)	1.712(2)
$\text{Al}(1)-\text{O}(2)$	—	1.8917(15)	1.880(2)	1.880(2)
$\text{Al}(1)-\text{N}(1)$	1.8047(15)	1.8221(18)	1.815(2)	1.815(2)
$\text{Al}(1)-\text{C}(1)$	2.0257(18)	2.027(2)	2.051(4)	2.032(8)
$\text{C}(1)-\text{Al}(1)-\text{O}(1)$	110.78(7)	124.96(8)	133.04(13)	111.5(2)
$\text{C}(1)-\text{Al}(1)-\text{O}(2)$	—	115.06(8)	101.68(15)	129.1(3)
$\text{C}(1)-\text{Al}(1)-\text{N}(1)$	89.06(7)	88.36(8)	89.13(14)	86.8(3)
$\text{Si}(1)-\text{C}(1)-\text{Al}(1)$	82.08(7)	82.67(8)	81.13(16)	80.4(3)
$\text{C}(1)-\text{Si}(1)-\text{N}(1)$	94.17(7)	94.34(8)	94.87(14)	90.0(2)
$\text{Al}(1)-\text{O}(1)-\text{C}_{\text{OAr}}$	—	143.72(14)	147.2(2)	147.2(2)



Table 3 Selected polymerisation data using complexes 1–5 and 7<sup>a</sup>

Entry	Complex	LA	[LA] <sub>0</sub> :[Sc] <sub>0</sub>	T (°C)	t (h)	Conv. <sup>b</sup> (%)	k <sub>obs</sub> (h <sup>-1</sup> )	M <sub>n</sub> (GPC) <sup>c</sup> (g mol <sup>-1</sup> )	M <sub>n</sub> (Calcd) <sup>d</sup> (g mol <sup>-1</sup> )	M <sub>w</sub> /M <sub>n</sub>	P <sub>r</sub>
1	1	L-	400:1	70	2	90	1.21 ± 0.03	42290	51 984	1.17	0.00
2	2	L-	400:1	70	3	91	0.89 ± 0.02	44 920	52 560	1.17	0.00
3	3	L-	400:1	70	4.5	90	0.57 ± 0.02	39 810	51 984	1.16	0.00
4	4	L-	600:1	70	2.25	87	1.18 ± 0.05	69 700	99 319	1.16	0.00
5	4	L-	800:1	70	3	86	0.81 ± 0.01	103 670	150 618	1.14	0.00
6	4	L-	1000:1	70	3.5	85	0.66 ± 0.02	91 500	122 663	1.13	0.00
7	4	L-	1200:1	70	5	87	0.46 ± 0.01	103 670	150 618	1.14	0.00
8	4	L-	1000:1	60	8	91	0.34 ± 0.01	105 980	130 309	1.09	0.00
9	4	L-	1000:1	80	2.5	92	1.35 ± 0.04	85 090	132 750	1.18	0.00
10	4	L-	1000:1	100	1.25	90	2.68 ± 0.09	75 280	129 868	1.19	0.00
11	4	rac-	1000:1	70	2.5	93	1.27 ± 0.04	83 100	134 191	1.21	0.59
12	5	L-	1000:1	70	0.5	91	6.32 ± 0.37	77 560	131 337	1.18	0.00
13	5	rac-	1000:1	70	0.5	91	7.40 ± 0.50	64 540	131 337	1.23	0.68
14	7	L-	1000:1	70	4	84	0.48 ± 0.01	69 570	121 222	1.15	0.00
15	7	rac-	1000:1	70	3.5	86	0.58 ± 0.01	64 820	124 104	1.17	0.63

<sup>a</sup> Conditions for ROP using 1–3: [LA]<sub>0</sub>:[Sc]<sub>0</sub>:[BnOH]<sub>0</sub> = 400:1:1, [LA]<sub>0</sub> = 0.5 M, 7.0 mL toluene and conditions for ROP using 4, 5 and 7: [LA]<sub>0</sub>:[Sc]<sub>0</sub> as stated, [LA]<sub>0</sub> = 0.5 M, 7.0 mL toluene. <sup>b</sup> Measured by <sup>1</sup>H NMR spectroscopic analyses. <sup>c</sup> Determined by GPC in THF against PS standards using the appropriate Mark–Houwink corrections. <sup>d</sup> Calculated M<sub>n</sub> for PLA synthesised by using 1–3 = conv.(%) × 400 × 144.1 + 108.1, calculated M<sub>n</sub> for PLA synthesised with 4 and 7 = conv.(%) × [LA]<sub>0</sub>:[Sc]<sub>0</sub> × 144.1 + 178.1 and calculated M<sub>n</sub> for PLA synthesised by using 5 = conv.(%) × [LA]<sub>0</sub>:[Sc]<sub>0</sub> × 144.1 + 206.2.

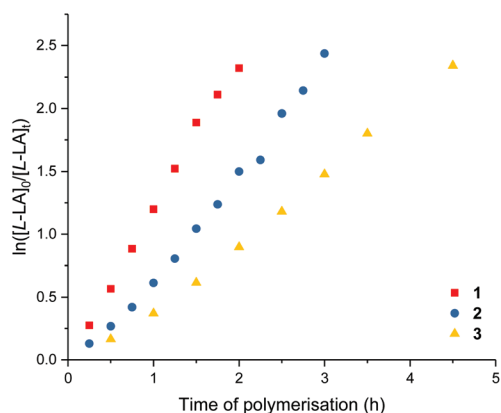


Fig. 4 Plots of  $\ln([L-LA]_0/[L-LA]_t)$  vs. time of polymerisation. Red squares: ROP of L-lactide using 1,  $k_{obs} = 1.21 \pm 0.03 \text{ h}^{-1}$ ,  $R^2 = 0.995$ . Blue circles: ROP of L-lactide using 2,  $k_{obs} = 0.89 \pm 0.02 \text{ h}^{-1}$ ,  $R^2 = 0.994$ . Yellow triangles: ROP of L-lactide using 3,  $k_{obs} = 0.57 \pm 0.02 \text{ h}^{-1}$ ,  $R^2 = 0.992$ . Conditions: [L-LA]<sub>0</sub>:[Sc]<sub>0</sub>:[BnOH]<sub>0</sub> = 400:1:1, [L-LA]<sub>0</sub> = 0.5 M, 7.0 mL toluene at 70 °C.

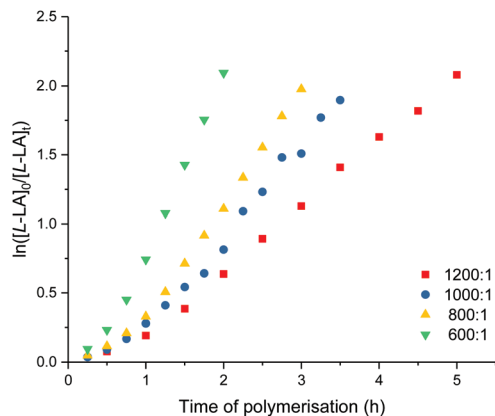
ation of L- and rac-lactide (Table 3, entries 4–15). First-order dependence on monomer concentration was observed in all cases, evidenced by linear plots of  $\ln([L-LA]_0/[L-LA]_t)$  vs. time (see ESI†). Under the same conditions, complexes 4 and 5 exhibited greater polymerisation rate than 7 suggesting the effect of the electron donating ability of amido substituent on polymerisation activity (<sup>i</sup>Pr > Ph). Despite bearing the same amido substituent (<sup>i</sup>PrN), polymerisations using 5 are significantly greater than those using 4 which could be attributed to the 2,4-substitution pattern of the aryloxide ligand of 5, relative to the 2,6-substitution of 4, resulting in reduced steric crowding around the metal centre and an increased rate of lactide insertion into the metal–aryloxide bond in the initiation step.<sup>23</sup> For 4, 5 and 7, the polymerisation rate of rac-

lactide is faster than those of L-lactide, suggesting a preference for racemic linkages. The polymer tacticity measured by homonuclear decoupled <sup>1</sup>H{<sup>1</sup>H} NMR spectroscopy showed that 4, 5 and 7 produced slightly heterotactic polylactide with P<sub>r</sub> values of 0.59–0.68, suggesting the initiators favour racemic enchainment with chain-end control, where the next monomer to insert has an opposing stereocentre from the last monomer. This suggests that the substituent on the amido group has marginal influence on the stereoselectivity. Isotactic pure poly(L-lactide) was formed without epimerisation during polymerisation of L-lactide with 4, 5 and 7, confirmed by a single resonance in the methine region of the <sup>1</sup>H{<sup>1</sup>H} NMR spectra (see ESI†). Catalytic studies of Me<sub>2</sub>SB(<sup>n</sup>BuN, I\*)Sc(O-2,6-<sup>i</sup>Pr-C<sub>6</sub>H<sub>3</sub>) (THF) (6) were not performed as adequate quantities could not be obtained in suitable yield.

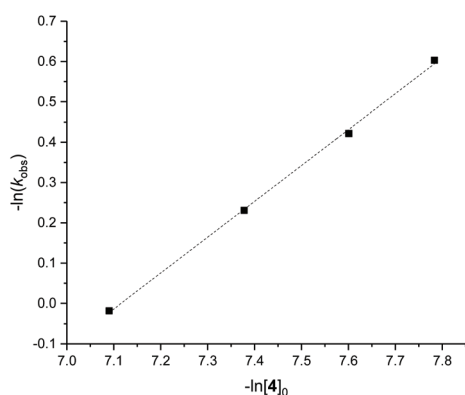
Detailed kinetic studies were performed using 4. Polymerisation of L-lactide with 4 using different catalyst loading was carried out at 70 °C in toluene. Concentration of L-lactide was maintained at 0.5 M while that of 4 was varied giving the monomer to catalyst ratio of 600, 800, 1000 and 1200. The polymerisation data are summarised in Table 3 (entries 4–7). First-order dependence on L-lactide was observed from all conditions evidenced by linear plots of  $\ln([L-LA]_0/[L-LA]_t)$  vs. time with an induction period of 0.5 h (Fig. 5). The gradient of 0.89 is indicative of first-order dependence on the concentration of 4 (Fig. 6). The propagation rate constant ( $k_p$ ) of  $1120 \pm 29 \text{ M}^{-1} \text{ h}^{-1}$  was calculated from plot of  $k_{obs}$  vs. [4]<sub>0</sub> (Fig. 7). The overall rate law was determined as  $-d[L-LA]/dt = k_p[L-LA][4]$ .

The <sup>1</sup>H NMR spectra of oligomers synthesised by 4 and 5 (Fig. S52 and S53†) show signals corresponding to O-2,6-<sup>i</sup>Pr-C<sub>6</sub>H<sub>3</sub> and O-2,4-<sup>t</sup>Bu-C<sub>6</sub>H<sub>3</sub> end-groups, suggesting that the ROP of L-lactide proceeds via a coordination–insertion mechanism (Scheme S1†). The presence of polylactide with <sup>i</sup>PrNH and OH end-groups was observed from MALDI-ToF mass spectra

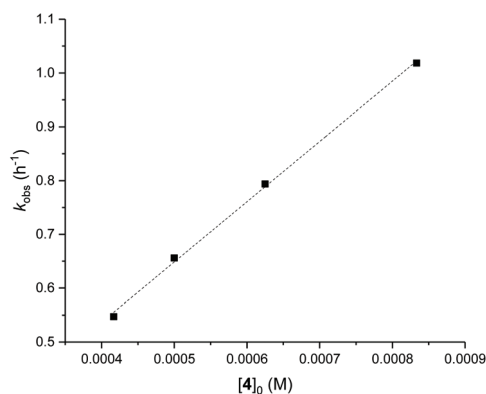




**Fig. 5** Plots of  $\ln([L-LA]_0/[L-LA]_t)$  vs. time of polymerisation. ROP of *L*-lactide using **4**.  $[L-LA]_0/[Sc]_0 = 1200$ , red square:  $k_{obs} = 0.46 \pm 0.01 \text{ h}^{-1}$ ,  $R^2 = 0.996$ .  $[L-LA]_0/[Sc]_0 = 1000$ , blue circle:  $k_{obs} = 0.66 \pm 0.02 \text{ h}^{-1}$ ,  $R^2 = 0.989$ .  $[L-LA]_0/[Sc]_0 = 800$ , yellow triangle:  $k_{obs} = 0.81 \pm 0.01 \text{ h}^{-1}$ ,  $R^2 = 0.996$ .  $[L-LA]_0/[Sc]_0 = 600$ , green down triangle:  $k_{obs} = 1.18 \pm 0.05 \text{ h}^{-1}$ ,  $R^2 = 0.985$ . Conditions:  $[L-LA]_0 = 0.5 \text{ M}$ , 7.0 mL toluene at 70 °C.



**Fig. 6** Plot of  $-\ln(k_{obs})$  vs.  $-\ln([4]_0)$  for ROP of *L*-LA using **4** shows that the order of reaction with respect to  $[4]_0$  is equal to  $0.89 \pm 0.02$ .  $R^2 = 0.999$ . Conditions:  $[L-LA]_0 = 0.5 \text{ M}$ , 7.0 mL toluene at 70 °C.



**Fig. 7** Plot of  $k_{obs}$  vs.  $[4]_0$  for ROP of *L*-LA using **4**,  $k_p = 1120 \pm 29 \text{ M}^{-1} \text{ h}^{-1}$ .  $R^2 = 0.998$ . Conditions:  $[L-LA]_0 = 0.5 \text{ M}$ , 7.0 mL toluene at 70 °C.

(Fig. S57 and S58†), suggesting the role of the amido ligand as an initiator. Peaks corresponding to cyclic polylactide and a repeating unit of  $\Delta(m/z) = 72.0 \text{ Da}$  between peak envelopes were also observed, indicating an occurrence of intra- and intermolecular transesterification reactions, respectively. Therefore, the considerably lower than calculated  $M_n(\text{GPC})$  values could be attributed to double-site initiator from the amido and aryloxy ligands.

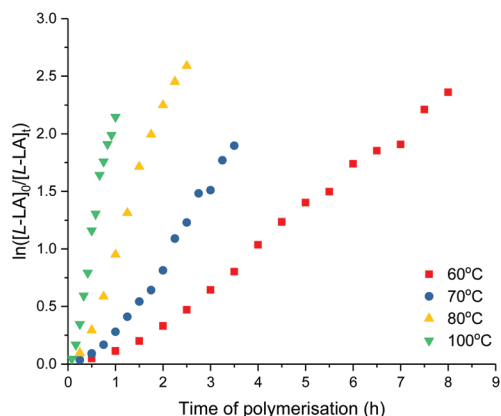
Complexes **4**, **5** and **7** show better activity for *L*-lactide polymerisation with high monomer loading (600–1200) compared to other metallocene catalysts in the literature.<sup>13b,24</sup> Zirconocene bis(ester enolate) complex  $(\text{Ph}_2\text{C}(\text{Cp}, \text{Flu})\text{Zr}[\text{OC}(\text{O}^i\text{Pr})=\text{CMe}_2]_2)$  reported by Chen *et al.* polymerised 200 equivalents of *L*-lactide (toluene, 80 °C) up to 85% within 5 h.<sup>24a</sup> Other zirconocene complexes  $(rac\text{-C}_2\text{H}_4(\text{Ind})_2\text{Zr}[\text{OC}(\text{O}^i\text{Pr})=\text{CMe}_2]_2)$  and  $\text{Cp}_2\text{Zr}[\text{OC}(\text{O}^i\text{Pr})=\text{CMe}_2]_2)$  from the same research group were reported to be poorly active under the same conditions.<sup>24a</sup> A series of Cp and Ind-based group 4 complexes reported by O'Hare *et al.* were found to be active in *L*-lactide polymerisation.<sup>24b</sup>  $(\text{Ind})_2\text{ZrMe}(\text{O}^i\text{Bu})$  was the fastest catalyst with the  $k_{obs}$  values of 0.317 and 0.293  $\text{h}^{-1}$  for polymerisation of *L*- and *rac*-lactide (50 equivalents) at 100 °C in chloroform-*d*<sub>1</sub>, respectively.  $\text{Me}_2\text{SB}(\text{Cp}, \text{I}^*)\text{ZrCl}(\text{O}-2,6\text{-Me-C}_6\text{H}_3)$  presented a second-order dependence on *L*-lactide concentration ( $k_{obs} = 3.23 \text{ M}^{-1} \text{ h}^{-1}$ ) for the polymerisation with  $[L-LA]_0 : [\text{Zr}]_0 : [\text{BnOH}]_0$  ratio of 50 : 1 : 2 in chloroform-*d* at 80 °C.<sup>13b</sup> Okuda *et al.* reported the yttrocene complex  $\text{Li}[(\text{Me}_2\text{Si}(\text{Cp}, \text{NC}_2\text{H}_4\text{OMe}))_2\text{Y}]$ .<sup>24c</sup> *L*-Lactide polymerisation in toluene at 75 °C with  $[L-LA]_0 : [\text{Y}]_0$  ratio of 127 gave polymer after 2 h with  $M_n$  value double that expected and  $M_w/M_n$  of 1.44. Cui *et al.* reported *rac*-lactide polymerisation using scandium aryloxy complex supported by a pentadentate ( $\text{N}_2\text{O}_3$ ) salen-type ligand in THF at room temperature (71% conversion, 2 h).<sup>25</sup> Scandium alkoxide complexes containing a phosphasalen ligand were found to be inactive for *rac*-lactide polymerisation attributed to the formation of an unreactive single-lactide insertion product.<sup>26</sup>

The effect of temperature on *L*-lactide polymerisation activity using **4** was studied with polymerisation temperature varied from 60–100 °C (Table 3, and Fig. 8). The enthalpy of activation ( $\Delta H^\ddagger$ ) of 53  $\text{kJ mol}^{-1}$  and entropy of activation ( $\Delta S^\ddagger$ ) of  $-95 \text{ J mol}^{-1} \text{ K}^{-1}$  were calculated from an Eyring plot of  $\ln(k_{obs}/T)$  vs.  $1/T$  (Fig. S76†). These values are comparable to those reported, and suggest the ordered transition state in a coordination-insertion mechanism.<sup>14,27</sup> As expected, the polymerisation activity increased at higher temperatures.  $M_w/M_n$  values and discrepancy between  $M_n(\text{GPC})$  values and those calculated were observed to increase with increased temperature, attributed to transesterification reactions.

### Polymerisation of *L*- and *rac*-lactide using aluminium complexes

$\text{Me}_2\text{SB}(\text{tBuN}, \text{I}^*)\text{Al}(\text{Cl})(\text{THF})$  (**8**) was found to be less active than the analogous scandium chloride complexes (**1–3**) for *L*-lactide polymerisation in the presence of benzyl alcohol even at higher polymerisation temperature (100 °C) and lower ratio of





**Fig. 8** Plots of  $\ln([L-LA]_0/[L-LA]_t)$  vs. time of polymerisation. ROP of L-lactide using **4**. 60 °C, red square:  $k_{obs} = 0.34 \pm 0.01 \text{ h}^{-1}$ ,  $R^2 = 0.995$ . 70 °C, blue circle:  $k_{obs} = 0.66 \pm 0.02 \text{ h}^{-1}$ ,  $R^2 = 0.989$ . 80 °C, yellow triangle:  $k_{obs} = 1.35 \pm 0.04 \text{ h}^{-1}$ ,  $R^2 = 0.996$ . 100 °C, green down triangle:  $k_{obs} = 2.68 \pm 0.09 \text{ h}^{-1}$ ,  $R^2 = 0.989$ . Conditions:  $[L-LA]_0 = 0.5 \text{ M}$ ,  $[L-LA]_0 : [Al]_0 = 1000$ , 7.0 mL toluene.

$[L-LA]_0 : [Al]_0 : [BnOH]_0$  (100 : 1 : 1). L-Lactide conversion reached 55% after 7.5 h which first-order dependence on L-lactide concentration was observed ( $k_{obs} = 0.11 \text{ h}^{-1}$ , Fig. S84†). The polymerisations were quenched after 23 h with 80% conversion. The experimental  $M_n$  value ( $17\,600 \text{ g mol}^{-1}$ ) is higher than that calculated for one chain per metal centre ( $11\,636 \text{ g mol}^{-1}$ ) with moderate  $M_w/M_n$  value of 1.37. OCH<sub>2</sub>Ph terminated poly lactide was observed from the <sup>1</sup>H NMR (Fig. S54†) and MALDI-ToF mass spectra (Fig. S59†).

$\text{Me}_2\text{SB}^{(t\text{Bu},\text{N},\text{I}^*)}\text{Al}(\text{O}-2,6\text{-Me-C}_6\text{H}_3)(\text{THF})$  (**9**) and  $\text{Me}_2\text{SB}^{(t\text{Bu},\text{N},\text{I}^*)}\text{Al}(\text{O}-2,4\text{-}^i\text{Bu-C}_6\text{H}_3)(\text{THF})$  (**10**) show comparable activity for polymerisation of L-lactide at 100 °C in toluene with more than 80% conversion reached after 9 h (Table 4, entries 3 and 10). Kinetic studies show the first-order dependency on L-lactide concentration, supported by linear plots of  $\ln([L-LA]_0/[L-LA]_t)$  vs. time of polymerisation (Fig. S85 and S100†) with  $k_{obs}$  values of 0.24 and  $0.19 \text{ h}^{-1}$  for the polymerisation with **9** and **10**, respectively. Isotactic poly(L-lactide) was produced with an

absence of epimerisation occurring during polymerisation as evidenced by a singlet in the methine region of the homonuclear decoupled <sup>1</sup>H{<sup>1</sup>H} NMR spectrum (Fig. S42 and S50†). Polymerisation of L-lactide using **9** were also carried out at 70, 80 and 90 °C with  $[L-LA]_0 : [Al]_0 = 100 : 1$  (Table 4 and Fig. 9). The enthalpy of activation ( $\Delta H^\ddagger$ ) of  $71 \text{ kJ mol}^{-1}$  and entropy of activation ( $\Delta S^\ddagger$ ) of  $-69 \text{ J mol}^{-1} \text{ K}^{-1}$  were calculated from an Eyring plot of  $\ln(k_{obs}/T)$  vs.  $1/T$  (Fig. S90†). Rate of L-lactide polymerisation using **9** at 70 °C is comparable to those using hemi-salen aluminium alkyl complexes with <sup>i</sup>PrOH ( $k_{obs} = 0.04\text{--}0.06 \text{ h}^{-1}$ ) under the same conditions reported by Pang *et al.*<sup>28</sup>

The polymerisation of *rac*-lactide using **9** at 100 °C shows the first-order dependence on *rac*-lactide concentration (Fig. S99†) with a similar rate to L-lactide ( $k_{obs} = 0.30$  and  $0.24 \text{ h}^{-1}$  for *rac*- and L-lactide, respectively). The polymer tacticity studied by <sup>1</sup>H{<sup>1</sup>H} NMR spectroscopy showed slight heterotactic poly lactide with  $P_r$  values of 0.53–0.57 (Fig. S48 and S49†), suggesting chain-end controlled *rac*-lactide polymerisation using **9** where the stereocentre in the last unit on the propagating chain favours the *racemic*-enchainment. Although iso-selectivity in *rac*-lactide polymerisation has been generally obtained from using aluminium catalysts,<sup>29</sup> some known aluminium complexes were reported to produce heterotactic poly lactides.<sup>29i,30</sup> Gibson *et al.* prepared aluminium methyl complex supported by tetradentate phenoxy-amine ligand.<sup>30b</sup> Heterotactic poly lactide ( $P_r = 0.57$ ) was produced after 280 h with  $[rac-LA]_0 : [Al]_0 = 50$  in toluene at 70 °C. Aluminium methyl complexes supported by asymmetric [ONNO]-type Salan ligand reported by Hormnirun *et al.* polymerised 100 equivalents of *rac*-LA with benzyl alcohol in toluene at 70 °C (more than 80% conversion after 300 h).<sup>30e</sup> Heterotactic poly lactides were formed with  $P_r$  values of 0.64–0.74.

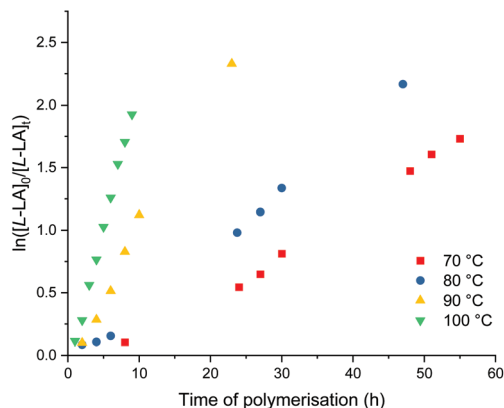
All polymerisations produced polymers with monomodal molecular weight distribution and moderate  $M_w/M_n$  values (1.29–1.44, Table 4). Experimental  $M_n$  values are also consistent with those calculated for one chain per metal centre, suggesting a well-controlled and living manner of polymerisation can be attained under harsh experimental conditions

**Table 4** Selected polymerisation data using complexes **9** and **10**<sup>a</sup>

Entry	complex	LA	$[LA]_0 : [Al]_0$	$T$ (°C)	$t$ (h)	Conv. <sup>b</sup> (%)	$k_{obs}$ ( $\text{h}^{-1}$ )	$M_n(\text{GPC})^c$ ( $\text{g mol}^{-1}$ )	$M_n(\text{calcd})^d$ ( $\text{g mol}^{-1}$ )	$M_w/M_n$
1	<b>9</b>	L-	100	70	55	82	$0.04 \pm 0.01$	11 600	11 938	1.44
2	<b>9</b>	L-	100	80	47	89	$0.05 \pm 0.01$	15 290	12 947	1.41
3	<b>9</b>	L-	100	90	23	90	$0.11 \pm 0.01$	14 520	13 091	1.37
4	<b>9</b>	L-	100	100	9	86	$0.24 \pm 0.01$	12 420	12 515	1.35
5	<b>9</b>	L-	200	100	10	81	$0.20 \pm 0.01$	21 230	23 466	1.27
6	<b>9</b>	L-	300	100	11	79	$0.17 \pm 0.01$	25 310	34 274	1.33
7	<b>9</b>	L-	500	100	24	85	$0.08 \pm 0.01$	46 040	61 364	1.33
8	<b>9</b>	L-	700	100	24	77	$0.06 \pm 0.01$	53 110	77 792	1.31
9	<b>9</b>	L-	1000	100	27	76	$0.05 \pm 0.01$	74 390	109 638	1.16
10	<b>9</b>	<i>rac</i> -	100	100	8	87	$0.30 \pm 0.01$	12 070	12 659	1.29
11	<b>10</b>	L-	100	100	10	82	$0.19 \pm 0.01$	13 310	12 022	1.37

<sup>a</sup> Conditions:  $[LA]_0 = 0.5 \text{ M}$ , 4.0 mL toluene. <sup>b</sup> Measured by <sup>1</sup>H NMR spectroscopic analyses. <sup>c</sup> Determined by GPC in THF against PS standards using the appropriate Mark–Houwink corrections.<sup>22</sup> <sup>d</sup> Calculated  $M_n$  for PLA synthesised by using **9** = conv. (%)  $\times 100 \times 144.1 + 122.2$  and calculated  $M_n$  for PLA synthesised by using **10** = conv. (%)  $\times 100 \times 144.1 + 206.2$ .

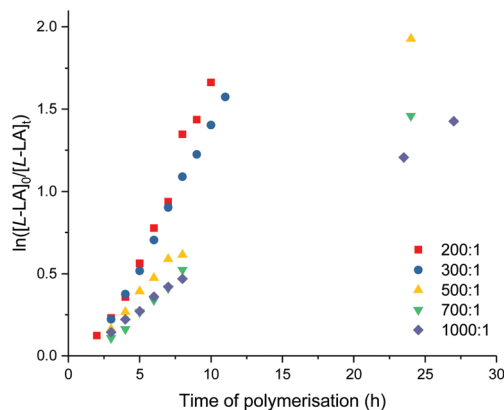




**Fig. 9** Plots of  $\ln([L-LA]_0/[L-LA]_t)$  vs. time of polymerisation. ROP of *L*-lactide using **9**. 70 °C, Red square:  $k_{obs} = 0.03 \pm 0.01 \text{ h}^{-1}$ ,  $R^2 = 0.993$ . 80 °C, Blue circle:  $k_{obs} = 0.04 \pm 0.01 \text{ h}^{-1}$ ,  $R^2 = 0.993$ . 90 °C, Yellow triangle:  $k_{obs} = 0.11 \pm 0.01 \text{ h}^{-1}$ ,  $R^2 = 0.989$ . 100 °C, green down triangle:  $k_{obs} = 0.24 \pm 0.01 \text{ h}^{-1}$ ,  $R^2 = 0.995$ . Conditions:  $[L-LA]_0 = 0.5 \text{ M}$ ,  $[L-LA]_0 : [9]_0 = 100 : 1$ , 4.0 mL toluene.

including high temperature and long polymerisation time. The polymerisation of *L*-lactide with **9** using various monomer to catalyst ratios was carried out at 100 °C in toluene to determine the kinetic order dependence on catalyst concentrations. The concentration of *L*-lactide remains at 0.5 M, while the concentration of **9** was varied, providing the ratio of  $[L-LA]_0 : [9]_0 = 200, 300, 500, 700$  and 1000. The polymerisation data are summarised in Table 4 (entries 5–9). Plots of first-order dependence on *L*-lactide concentration are shown in Fig. 10. The gradient of 0.79 from the plot of  $-\ln(k_{obs})$  vs.  $-\ln[9]_0$  is indicative of the first-order dependence on catalyst concentration (Fig. S97†).

The propagation rate constant ( $k_p$ ) of  $70 \pm 11 \text{ M}^{-1} \text{ h}^{-1}$  was calculated from the plot between  $k_{obs}$  vs.  $[9]_0$  (Fig. S98†). The



**Fig. 10** Plots of  $\ln([L-LA]_0/[L-LA]_t)$  vs. time of polymerisation. ROP of *L*-lactide using **9**.  $[L-LA]_0/[9]_0 = 200$ , red square:  $k_{obs} = 0.20 \pm 0.01 \text{ h}^{-1}$ ,  $R^2 = 0.980$ .  $[L-LA]_0/[9]_0 = 300$ , blue circle:  $k_{obs} = 0.17 \pm 0.01 \text{ h}^{-1}$ ,  $R^2 = 0.998$ .  $[L-LA]_0/[9]_0 = 500$ , yellow triangle:  $k_{obs} = 0.08 \pm 0.01 \text{ h}^{-1}$ ,  $R^2 = 0.997$ .  $[L-LA]_0/[9]_0 = 700$ , green down triangle:  $k_{obs} = 0.06 \pm 0.01 \text{ h}^{-1}$ ,  $R^2 = 0.993$ .  $[L-LA]_0/[9]_0 = 1000$ , purple diamond:  $k_{obs} = 0.05 \pm 0.01 \text{ h}^{-1}$ ,  $R^2 = 0.996$ . Conditions:  $[L-LA]_0 = 0.5 \text{ M}$ , 4.0 mL toluene at 100 °C.

overall rate law was determined as  $-d[L-LA]/dt = k_p[L-LA][9]$ . At a  $[L-LA]_0 : [Al]_0$  ratio of 200 and 300,  $M_n(\text{GPC})$  values are similar to those calculated. However, polylactide obtained from high monomer loading ( $[L-LA]_0 : [Al]_0 = 500, 700$  and 1000) show molecular weights lower than those predicted with moderate  $M_w/M_n$  values (1.16–1.33). The MALDI-ToF mass spectrum of polymer synthesised by **9** (Fig. S60†) shows peaks corresponding to polylactide with O-2,6-Me-C<sub>6</sub>H<sub>3</sub> and OH end-groups. Other peaks are assigned to polylactide terminated with <sup>t</sup>BuNH and OH end-groups. Double-site initiator from the amido and aryloxy ligands, which was previously observed from ROP initiated by **4**, results in the mismatch between the  $M_n(\text{GPC})$  values and those calculated for one polymer chain per metal centre.

## Conclusions

A series of new scandium (**1–7**) and aluminium (**8–10**) constrained geometry permethylindenyl complexes were reported. Scandium complexes (**1–5** and **7**) are highly active catalysts for lactide polymerisation whereas aluminium complexes (**8–10**) show moderate activity. First-order dependence on lactide concentration was observed in all polymerisations. First-order dependence on catalyst concentration was measured from polymerisation of *L*-lactide using **4** and **9** with  $k_p$  values of  $1120 \pm 29$  and  $70 \pm 11 \text{ M}^{-1} \text{ h}^{-1}$ , respectively. Polymers with  $M_n(\text{GPC})$  values lower than those calculated for one polymer chain per metal centre were observed, attributed to the double-site nature of scandium and aluminium complexes using these amido and aryloxy ligands.

Complexes with a more electron donating substituent on the amido ligand (<sup>i</sup>Pr > <sup>n</sup>Bu > Ph) show greater polymerisation activity as observed from *L*-lactide polymerisation using **1–3** with one equivalent of benzyl alcohol and *L*- and *rac*-lactide polymerisation using **4**, **5** and **7**. The effect of the less sterically demanding aryloxy substituent was observed with the scandium system as **5** (O-2,4-<sup>t</sup>Bu-C<sub>6</sub>H<sub>3</sub>) shows higher activity than **4** (O-2,6-<sup>i</sup>Pr-C<sub>6</sub>H<sub>3</sub>). Complexes **1–5** and **7–10** produced isotactic poly(*L*-lactide) without epimerisation occurring during polymerisation. Moderate heterotactically enriched polylactide ( $P_r = 0.53–0.68$ ) was obtained from polymerisation of *rac*-lactide using **4**, **5**, **7** and **9**, suggesting minor influence of the metal centre, the amido substituent and the aryloxy group on the stereoselectivity.

## Experimental section

### General polymerisation procedure

To a stock solution of **1–3** and **8** (31.25 μmol) in toluene (5.00 mL), benzyl alcohol (31.25 μmol) was added. *L*-Lactide (2.50 mmol) was added into an ampoule and dissolved in 4.0 mL of toluene. The catalyst stock solution (1.0 mL) was added to the solution of lactide in the ampoule, corresponding to an initial lactide concentration of 0.5 M and a



[L-LA]<sub>0</sub>: [Sc]<sub>0</sub>: [BnOH]<sub>0</sub> ratio of 400:1:1. The polymerisation ampoule was then stirred at in the preheated oil bath at desired temperature.

A stock solution of **4**, **5**, **7**, **9**, **10** (17.50 μmol) in benzene (2.50 mL) was prepared. The stock solution of catalyst (3.50 μmol, 0.50 mL) was added into a toluene solution of lactide (0.50 g, 3.50 mmol, 6.50 mL) in the ampoule, corresponding to an initial lactide concentration of 0.5 M and a monomer-to-catalyst ratio of 1000:1. The polymerisation ampoule was then stirred at in the preheated oil bath at desired temperature.

Aliquots (ca. 0.1 mL) were taken at appropriate time intervals and quenched with THF (ca. 0.3 mL). The volatiles were evaporated to give PLA. The monomer to polymer% conversion was determined using <sup>1</sup>H NMR spectroscopy and measured by integration of the CHMe resonances of the unreacted monomer and PLA. After the chosen time, the reaction was quenched with THF. The polymer was isolated by addition of pentane to a concentrated solution of PLA to yield a precipitate which was washed with pentane and dried under vacuum at 30 °C.

## Conflicts of interest

There are no conflicts to declare.

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