

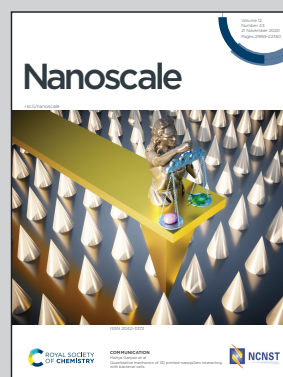


**Mathematics, Mechanics, and Materials Unit, Okinawa Institute of Science and Technology, Okinawa, Japan.**

Entropic factors and structural motifs of triblock-terpolymer-based patchy nanoparticles

As a key outcome of work focused on understanding the energetic and geometric factors underlying nanoparticle formation and the emergence of different structural motifs, we provide a library of soft patchy nanoparticles that can be assembled independent of detailed polymer chemistry. Elements of this library — which are freely available on Bitbucket (<https://bitbucket.org/nmorenoc/patchy-nanoparticles/src/master/>) as data in LAMMPS format — can be used for designing hierarchically assembled mesostructures.

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## Entropic factors and structural motifs of triblock-terpolymer-based patchy nanoparticles†

Nicolas Moreno, \*‡, Burhannudin Sutisna  and Eliot Fried \*

A prevalent strategy for synthesizing patchy nanoparticles is through the self-assembly of triblock terpolymers in selective solvents. Since the thermodynamic and kinetic factors that govern the morphology of the particles produced in this way are not fully understood, this strategy usually demands trial-and-error methodologies. We investigate the fundamental mechanisms that produce multiple types of patchy nanoparticles and identify the conditions needed to program the shapes of the nanoparticles and predict their assembly. Our findings demonstrate that particle morphology can be described in a generic fashion by accounting for the energetic balance between the conformation of the polymer coils and the formation of interfaces. This allows us to forecast the synthesis of patchy nanoparticles for systems with different triblock terpolymers and solvents. Since the shape, size, and distribution of the patches influence the growth of larger microscale structures, we construct a library of elemental nanoparticles, or building blocks, suitable for the study of hierarchically larger self-assembled aggregates and useful for streamlining the design of functional materials. Our results provide new insights into the intriguing mechanisms that determine the morphology of soft nanoscale objects, whether synthetic or naturally occurring.

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### Introduction

The hierarchical self-assembly of ABC triblock terpolymers in solution is a successful bottom-up methodology for constructing functional materials with nanoscale resolution, including decorated nanoparticles with precisely tailored structural motifs.<sup>1–3</sup> In this methodology, the self-assembly process is mediated by polymer–solvent phase separation, which induces the formation of nanoscopic aggregates such as micelles. Due to the connectivity of the blocks and the presence of the solvent, phase separation takes place within nanoparticles, giving rise to decorating patches. The nanoparticle motifs reported in the literature evidence a ubiquitous range of morphologies independent of the chemistry of the system. This suggests the existence of ground states that determine the morphology of the nanoparticles. An understanding of the critical balance between the thermodynamic and kinetic factors that must be achieved to control the size, shape, and distribution of the particle patches has not yet been achieved. Kinetic control is, in particular, indispensable for reaching metastable ground states.<sup>1,4,5</sup>

The design of patterned nanoparticles currently hinges on iterative processes for identifying the experimental parameters which suffice to produce any desired pattern. Predicting the synthetic pathway sufficient to achieve any particular morphology for different solvents, molecular weights of the constituent polymer blocks, and ambient conditions is problematic. Strategies based on trial and error are cumbersome because of the limited understanding of how the mechanisms underlying patch transitions are influenced by compositional variations.<sup>6</sup> A rational correspondence between the shapes and patch distribution of the nanoparticles and their dependence on composition has rarely been tackled in experimental investigations.<sup>4,7–9</sup> Free energy considerations and scaling arguments have been used to interpret experimentally observed morphological transitions of nanoparticles for different proportions of the polymer blocks.<sup>4,8,9</sup>

We find that the balance between the coil conformations and interface formation dictates the morphology of the nanoparticles. This balance provides a basis for classifying the variety of nanoparticle motifs that are attainable for various systems independent of their detailed chemistry. These conclusions are based on systematic numerical studies of patchy nanoparticle formation and validated through experimental synthesis of nanoparticles using different triblock terpolymers. We also construct and make freely available a computational library of the stable nanoparticles, that are characterized in terms of their conformational and interfacial features. Elements of this library can be used to further investigate kinetic mechanisms of patchy nanoparticles aggregation, on

*Mathematics, Mechanics, and Materials Unit, Okinawa Institute of Science and Technology Graduate University, 1919-1 Tancha, Onna-son, Kunigami-gun, Okinawa, 904-0495, Japan. E-mail: nicolas.morenoch@gmail.com, eliot.fried@oist.jp*

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‡ Present address: Basque Center for Applied Mathematics, Bilbao, Spain.



the upstream stages of the hierarchical aggregation of patchy nanoparticles into supracolloidal structures for nanoparticles with given fixed morphologies and numbers of patches.<sup>10,11</sup> Furthermore, we demonstrate that elements of our library can be mapped to experimental counterparts and directly associated with actual physical systems.

## Self-assembly of triblock terpolymers in solution

We consider a polymeric solution of volume  $V$ , containing  $z$  polymer chains of an ABC triblock terpolymer, in a solvent,  $S$ . We represent the triblock terpolymer chains as a set of  $N = N_A + N_B + N_C$  segments of volume  $v_{\text{seg}}$ . When appearing as subscripts, A, B, and C designate quantities associated with the

$$\mathcal{U}_m = \frac{zkT}{N} \left( \underbrace{\phi_P^2 (\chi_{AB} N_A N_B + \chi_{BC} N_B N_C + \chi_{AC} N_A N_C)}_{\mathcal{U}_P} + \overbrace{N(1 - \phi_P) (\chi_{AS} N_A + \chi_{BS} N_B + \chi_{CS} N_C)}^{\mathcal{U}_S} \right), \quad (1)$$

individual block and S designates quantities associated with the solvent. The volume  $v_{\text{seg}}$  of the segment is a characteristic reference measure and can be chosen for convenience in various ways without loss of generality; for example,  $v_{\text{seg}}$  can be associated with the statistical segment size of one of the species, or the solvent. The solvent concentration is given by  $\phi_S = 1 - zNv_{\text{seg}}/V$ .

We consider nanoparticles comprised by core, shell, and corona regions, as depicted in Fig. 1. For simplicity we use the subscripts 1, 2, 3 and 4, to indicate the core, shell, corona, and surrounding solvent, respectively. In a triblock terpolymer, the balance of the polymer–polymer and polymer–solvent interactions determine any tendency of the blocks to phase separate. Multiple interaction strengths for which the phases segre-

$$\mathcal{F}_c = kTNz \left( \frac{1}{N_A} \left( \log \frac{\langle R_{g,A} \rangle}{R_{g,A}^0} \right)^2 + \frac{1}{N_B} \left( \log \frac{\langle R_{g,B} \rangle}{R_{g,B}^0} \right)^2 + \frac{1}{N - N_A - N_B} \left( \log \frac{\langle R_{g,C} \rangle}{R_{g,C}^0} \right)^2 \right), \quad (3)$$

gate to minimize the energy of the patchy nanoparticles can be identified. Decorated nanoparticles typically assemble if one of the blocks is soluble, there is a tendency for the remaining blocks to phase separate, and the polymer concentrations are dilute.<sup>4,8,9,12</sup> We therefore consider polymer–solvent interactions consistent with these conditions and stipulate that the polymer concentration is dilute in the sense that  $(zNv_{\text{seg}})/V \ll 1$ .

An equilibrium configuration of a nanoparticle must correspond to a local minimum of its total free-energy  $\mathcal{F}$ . It is convenient to decompose  $\mathcal{F}$  into a sum consisting of a mixing term of Flory–Huggins type, a term which penalizes the formation of interfaces between the constituent blocks, and a term which penalizes changes in the conformation of the

polymer coils.<sup>13</sup> We thus take  $\mathcal{F}$  to be of the form  $\mathcal{F} = \mathcal{U}_m - T\mathcal{S}_m - \mathcal{F}_c + \mathcal{F}_i$ , where  $\mathcal{U}_m$  and  $\mathcal{S}_m$  are the enthalpic and entropic contributions to the mixing energy,  $T$  is the absolute temperature, and  $\mathcal{F}_c$  and  $\mathcal{F}_i$  are the free energies associated with conformational changes and interface formation, respectively. Due to the competition between these contributions, the energy landscape associated with the formation of nanoparticles may be complex, corresponding to various kinetically-controlled metastable morphologies.

### Mixing free energy

Introducing Flory–Huggins pair interaction parameters  $\chi_{AB}$ ,  $\chi_{BC}$ ,  $\chi_{AC}$ ,  $\chi_{AS}$ ,  $\chi_{BS}$ , and  $\chi_{CS}$  between blocks and the solvent, we assume that the enthalpic contribution  $\mathcal{U}_m$  to the free-energy of a nanoparticle consisting of  $z$  polymer chains is given by

where  $k$  denotes Boltzmann's constant. Whereas  $\mathcal{U}_P$  corresponds to the enthalpic interactions between the polymer blocks,  $\mathcal{U}_S$  account for polymer–solvent interactions. The entropy of mixing can be expressed as

$$\mathcal{S}_m = zk \left( \phi_P \log \frac{N_A N_B N_C}{N^3} + 3\phi_P \log \phi_P + \frac{1 - \phi_P}{N} \log(1 - \phi_P) \right). \quad (2)$$

### Conformational free energy

Considering a nanoparticle formed by  $z$  polymer coils of blocks A, B, and C with corresponding ensemble-averaged radii of gyration  $\langle R_{g,A} \rangle$ ,  $\langle R_{g,B} \rangle$ , and  $\langle R_{g,C} \rangle$ , we take the conformational free energy to be of the form

where  $R_{g,A}^0$ ,  $R_{g,B}^0$ , and  $R_{g,C}^0$  are the unperturbed (or theta) radii. The free energy  $\mathcal{F}_c$  is essentially entropic, and penalizes the stretching of the polymer coil away from its unperturbed condition.

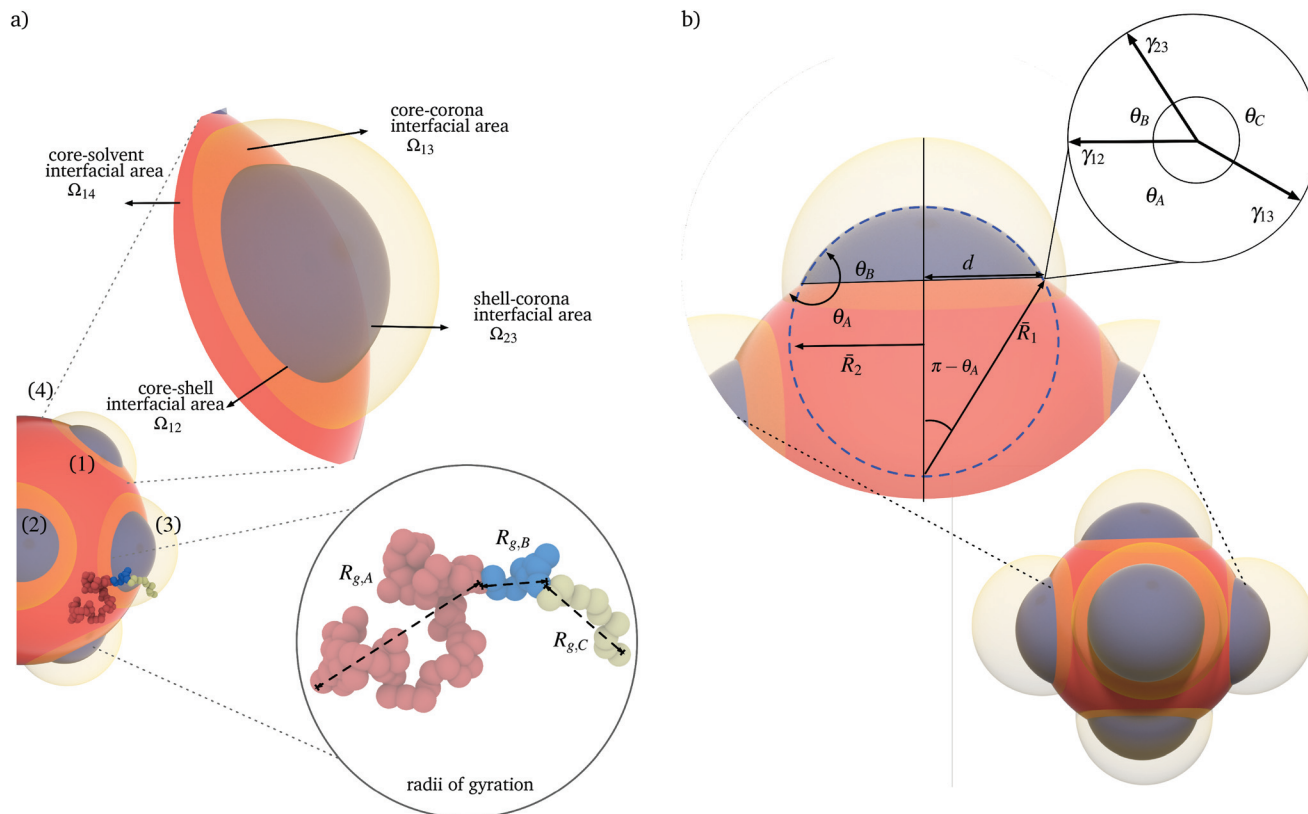
### Interfacial free energy

We take the contribution to the free energy associated with the formation of interfaces to be of the form

$$\mathcal{F}_i = kT(\gamma_{12}\Omega_{12} + \gamma_{13}\Omega_{13} + \gamma_{23}\Omega_{23} + \gamma_{14}\Omega_{14}) - kTz \left( \log \left( \frac{R_{g,B}}{V_{\text{np}}} \right) + \log \left( \frac{R_{g,C}}{V_{\text{np}}} \right) \right). \quad (4)$$

Although  $\mathcal{F}_i$  can be considered as entropic, it originates from both enthalpic and entropic components. The first term



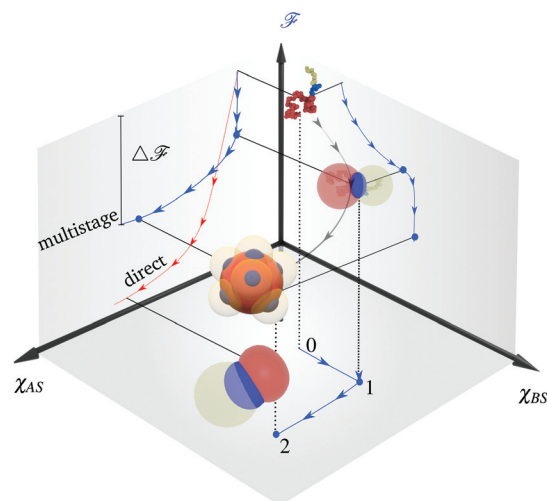


**Fig. 1** Schematic representation of the patchy nanoparticle system. (a) Coarse-grained representations of the triblock terpolymers assemble to form nanoparticles consisting of four regions: core (1), shell (2), corona (3), and surrounding solvent (4). The shell is constituted by patches that decorate the nanoparticle core. The assembly of the polymer chains leads to the formation of interfaces with areas  $\Omega_{12}$ ,  $\Omega_{13}$ ,  $\Omega_{14}$ , and  $\Omega_{23}$  that separate the different regions. Conformational changes in the blocks within the core reflect their radii of gyration  $R_{g,A}$ ,  $R_{g,B}$ , and  $R_{g,C}$ . (b) Assembled nanoparticles in equilibrium satisfy force balance and certain geometrical relations. At the triple junction between the core, shell, and corona, these relations depend on the surface tensions  $\gamma_{12}$ ,  $\gamma_{13}$ , and  $\gamma_{23}$  between the various regions and their respective contact angles  $\theta_A$ ,  $\theta_B$  and  $\theta_C$ , given the curvature radii  $\bar{R}_1$  and  $\bar{R}_2$ , for the core and shell, respectively.

of (4) is largely connected to the enthalpic interactions between the blocks and the solvent. Therein,  $\gamma_{12}$ ,  $\gamma_{13}$ ,  $\gamma_{14}$ , and  $\gamma_{23}$  are the dimensionless energy of formation for core-shell, core-corona, core-solvent, and shell-corona interfaces, respectively. Additionally,  $\Omega_{12}$ ,  $\Omega_{13}$ ,  $\Omega_{14}$ , and  $\Omega_{23}$  denote the total area fractions of the corresponding interfaces. The second term of (4) is purely entropic and can be thought as the constrained localization of the joints linking blocks A with B, and B with C in the interfacial regions. This entropic loss can be expressed in terms of their configurational volume, defined as the ratio between the volume available to the joints in the core-shell (and shell-corona) interfaces and the total volume of the nanoparticle  $V_{np}$ .

## Multistage solubilization

Typically the formation of the patchy nanoparticles involves a multistage solubilization approach, where the selectivity of the solvent is changed to guide the system towards local energy minima.<sup>4</sup> In Fig. 2, we present a schematic of two-



**Fig. 2** Variation on the energy landscape during nanoparticle formation. The figure indicate sequential variation of  $\chi_{BS}$ , and  $\chi_{AS}$ . The balance between interfacial and conformational free energy stabilizes the nanoparticle morphology at the last stage. Direct solubilization of the polymer drives the system towards phase separation where patches may not occur as a metastable condition.



stage solubilization, where the solubility of block B is decreased in the first stage, followed by a decrease in the solubility of block A in the second stage. Without loss of generality, we choose the final stage blocks A and B to be insoluble and block C to be soluble. With these choices, blocks A and B form the core and shell of a nanoparticle while the swollen block C and surrounding solvent molecules form the corona. We assume that the shell region consists of locally phase-separated patches of block B and we use  $p$  to denote the total number of such patches. Considering that during the solubilization stages the composition of the blocks and the solvent are given and that  $T$  is fixed, changes in  $\mathcal{U}_m - T\mathcal{S}_m$  should occur only due to enthalpic interactions  $\mathcal{U}_s$  between the polymer blocks and the solvent at each stage. The equilibrium configurations we obtain therefore reflect a competition between  $\mathcal{F}_i$  and  $\mathcal{F}_c$  and is accomplished by minimizing the free energy

$$\bar{\mathcal{F}}^* = \bar{\mathcal{F}}_c + \bar{\mathcal{F}}_i \quad (5)$$

due to the change in  $\mathcal{U}_s$ .

Since the blocks A and B form the majority of the core and shell regions and since the corona is constituted by block C and interstitial solvent (see Fig. 1), the free energy of interface formation can be expressed as

$$\begin{aligned} \mathcal{F}_i = kT(\gamma_{AB}\Omega_{12} + \gamma_{AS}\Omega_{14}) + k \left( \left( \frac{3\pi\nu_{\text{seg}}N_C}{2R_{g,C}^3} \gamma_{AC} + \left( 1 - \frac{3\pi\nu_{\text{seg}}N_C}{2R_{g,C}^3} \right) \gamma_{AS} \right) \Omega_{13} + \left( \frac{3\pi\nu_{\text{seg}}N_C}{2R_{g,C}^3} \gamma_{BC} + \left( 1 - 3\pi \frac{\nu_{\text{seg}}N_C}{2R_{g,C}^3} \right) \gamma_{BS} \right) \Omega_{23} \right) \\ - kTz \left( \log \left( \frac{R_{g,B}}{V_{\text{np}}} \right) + \log \left( \frac{R_{g,C}}{V_{\text{np}}} \right) \right), \end{aligned} \quad (6)$$

where  $3\pi\nu_{\text{seg}}N_C/2R_{g,C}^3$  is the concentration of block C in the corona (and, consequently,  $1-3\pi\nu_{\text{seg}}N_C/2R_{g,C}^3$  is the complementary concentration of the solvent). Notice that  $2/3\pi R_{g,C}^3$  corresponds to the volume of a hemisphere of radius  $R_{g,C}$ . The free energy of formation of a given interface between two polymer blocks is typically taken to be proportional to the square-root of the corresponding Flory–Huggins interaction parameter.<sup>14</sup> We therefore assume that  $\gamma_{IJ} \propto \chi_{IJ}^{1/2}$ , for I, J = A, B, C, S. In general, the Flory–Huggins interaction parameters  $\chi_{IJ}$  influence both mixing energy contribution and the interfacial tensions.

Whereas the conformational free energy  $\mathcal{F}_c$  defined in (3) depends explicitly on the radius of gyration of each block, the interfacial free energy  $\mathcal{F}_i$  defined in (6) varies with the interfacial area fractions and the local compositions between the different regions. To estimate how the total free energy  $\mathcal{F}^*$  varies with composition, it is therefore necessary to understand how the radii of gyration and the interfacial areas vary with composition. Since there is no general expression that accounts explicitly for such dependencies, we approximate the variations of the radii of gyration and interfacial areas numerically while accounting for the balance between conformational and interfacial effects.

## Approach

To capture length and time scales associated with the motion and assembly of the polymer coils while incorporating, to reasonable approximation, effects associated with the chemical affinities, we model nanoparticle formation at the mesoscale. Since our primary interest is in the different structural motifs that arise during the phase separation, we consider a system involving a single isolated nanoparticle constituted by triblock terpolymer chains and solvent molecules. Thus, we model aggregation in solution and then identify the morphology of the nanoparticles. We use dissipative particle dynamics (DPD) to simulate nanoparticle formation, as described in the methods section and the ESI.†<sup>15–17</sup> In addition to our numerical investigation, we adopt a methodology due to Löbbling *et al.*<sup>9</sup> to synthesize nanoparticles for various molecular weights, solubilities, and affinities of the blocks out of the range explored computationally.

To examine the influence of composition on the conformational and interfacial free energy of the assembled nanoparticles, it is necessary to determine the radii of gyration and the end-to-end distances of the different blocks in the polymer coil and the interfacial areas between the core, shell, corona,

and surrounding solvent. This involves varying  $N$ , the block terpolymer compositions  $N_A/N$  and  $N_B/N$ , and the number  $z$  of polymer chains or, equivalently, the polymer concentration  $zN\nu_{\text{seg}}/V$ , as described in the ESI.† In our synthesis efforts, we work with three different triblock terpolymers, poly(styrene-*b*-butadiene-*b*-methyl methacrylate) (SBM), poly(styrene-*b*-isoprene-*b*-methyl methacrylate) (SIM), and poly(styrene-*b*-isoprene-*b*-2-vinyl pyridine) (SIV). To characterize the nanoparticles morphologies and number of patches we use transmission electron microscopy (TEM). We use Dynamic light scattering (DLS) to estimate the hydrodynamic radii and morphologies of the nanoparticles. A description of the experimental conditions is included in the ESI,† and the characteristics of the polymers are provided in Tables 1 and 2.† The range of parameters we consider significantly exceeds the corresponding range of experimental and computational conditions reported to date (see ESI Fig. 1†).<sup>4,9</sup>

## Methods

Poly(styrene-*b*-butadiene(1,2)-*b*-methyl methacrylate) (PS-*b*-PBd-*b*-PMMA), poly(styrene-*b*-isoprene(1,4)-*b*-methyl methacry-



late) (PS-*b*-PIP-*b*-PMMA), and poly(styrene-*b*-isoprene(1,4)-*b*-2-vinyl pyridine) (PS-*b*-PIP-*b*-P2VP) triblock terpolymers were purchased from Polymer Source Inc., Canada. Dimethylacetamide (DMAc) (SAJ special grade), osmium tetroxide (OsO<sub>4</sub>, 4 wt% in H<sub>2</sub>O), isopropanol (JIS special grade, ≥99.5%), and acetone (JIS special grade, ≥99.5%) were purchased from Sigma-Aldrich and used as received.

### Preparation of patchy nanoparticles

Patchy nanoparticles were fabricated using a previously reported stepwise procedure.<sup>4</sup> The terpolymer was first dissolved in DMAc at a concentration of 1 g l<sup>-1</sup>. The solution was stirred overnight at 70 °C before dialysis. Regenerated cellulose (Spectrum Laboratories, Inc., Spectra/Por) with a molecular weight cut-off of 12–14 kDa was used as the dialysis membrane. The membrane was first immersed in water for ≈10 min, and was then washed with DMAc. Around 4–5 ml of the terpolymer solution in DMAc was dialyzed against 500 ml acetone/isopropanol mixture for 30 h. The dialysate (acetone/isopropanol mixture) was changed with a fresh mixture twice: ≈5 h after starting the experiment, and ≈5 h before completion. To characterize the nanoparticles, we used transmission electron microscopy (TEM) and dynamic light scattering (DLS). See the ESI† for further details.

### Nanoparticle self-assembly

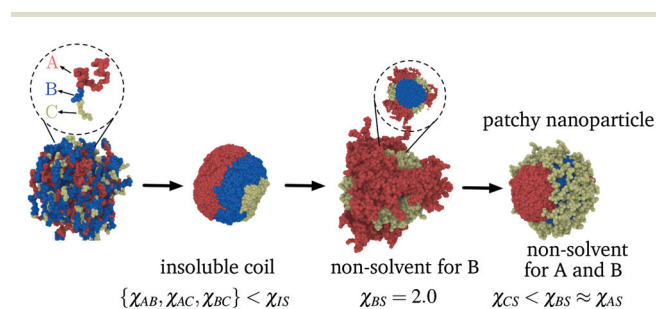
To construct the library, we conducted simulations for different interaction parameters and block compositions  $N_I/N$  for  $I = A, B$ , and  $C$ , with  $N$  between 25 to 100 beads per chain. We checked the nanoparticle morphology at different polymer compositions by changing the total number of chains in the computational box leading to aggregation numbers  $z$  ranging from 100 to 500 chains. We carried out each simulation in three stages (see Fig. 3) to obtain equilibrated patchy nanoparticles. Although the patchy nanoparticle morphologies obtained at each stage correspond to equilibrated structures, the kinetics of nanoparticle fabrication are incorporated by following this stepwise approach. The morphologies at each stage account for memory effects at the previous stage and are con-

sistent with metastable structures, that cannot be obtained from direct solubilization of the triblock copolymer, as we show in Fig. 7 of the ESI.†

### Numerical method and setup

To model the assembly of triblock terpolymer nanoparticles, we used the dissipative particle dynamics (DPD),<sup>15,18</sup> a particle-based mesoscale method that has been widely used to study self-assembly in diverse polymer systems. In the framework of DPD, we considered dimensionless quantities for the length  $r^* = r/r_0$ , mass  $m^* = m/m_0$  and energy  $\varepsilon^* = \varepsilon/\varepsilon_0$ , and the time  $t^* = (m^*r_0^2/\varepsilon_0)^{1/2}$ , where  $r_0 = v_{\text{ref}}^{1/3}$  is the characteristic segment size,  $m_0$  is the mass of the segment, and  $\varepsilon_0 = kT$ . All simulations were conducted using LAMMPS<sup>19</sup> with  $r^* = 1$ ,  $m^* = 1$ , and  $\varepsilon^* = 1$ . The particle density used the simulation was  $\rho = 3$  particles per  $r^{*3}$ . To keep temperature fluctuations below 2%, we used a time step of  $\Delta t^* = 0.03$ . Each simulation was run for three million dimensionless time steps. The cutoff radius  $r_c$  for the interaction force between particles was taken to be  $r_c = 1r^*$  and the equilibrium distance between connected particles was taken to be  $r_{\text{spring}} = 0.80r^*$ . Simulations were conducted in a cubic box with edge  $L_{\text{box}} = 7R_g^0$ , where  $R_g^0 = r_{\text{spring}}N^{0.5}$ , and the prefactor indicates the size of the patchy nanoparticles that commensurate with the simulation box while avoiding spurious size effects due to periodicity. To characterize the simulated nanoparticles we measure the radius of gyration of the polymer chains, the number of patches, and the interfacial areas as described in ESI.† We use the clustering and surface reconstruction algorithms of the software OVITO<sup>20</sup> to compute the interfacial area, number of patches, and to perform nanoparticle visualization.

To construct the library, we conducted simulations for ABC chains with  $N$  between 25 to 100 beads and with aggregation numbers  $z$  ranging from 100 to 500. The solvent was modeled as particles which fill the computational box. The DPD interaction parameters  $a_{IJ}$  (with  $I = A, B, C$ , and  $S$ ) and the number of beads per block were varied depending on the phase space evaluated for each system. To map the reduced Flory–Huggins parameters to DPD, we used effective interaction parameters  $(\chi_{IJ}\mathcal{N})_{\text{eff}} \approx \chi_{IJ}\mathcal{N}/(1 + 3.9N^{-0.51})$ , where  $\mathcal{N}$  corresponds to the number of monomers per block of the actual polymer, where  $N$  denotes the number of particles we used to construct the polymer chain. The details of parameters calculation is included in the ESI.† Because the solvent is modeled a single bead, localization effects that may be present in solvent mixtures are not captured by our current approach. Specifically, we model the solvent mixture implicitly as a single effective solvent with molecular weight dependent properties. Further improvement of this approach using more than one type of particle is feasible but will necessarily entail additional computational challenges.



**Fig. 3** Schematic representation of the triblock terpolymer–solvent mixing stages. Initially, the density distributions in the system are driven by block–block interaction. In the following stages the polymer aggregate is transferred to solvents with different selectivities to induce the formation of core–corona and core–shell–corona, respectively. The solvent selectivities correspond to the ones used experimentally to produce patchy nanoparticles.

## Results and discussion

### Structural motifs

Granted that the volumes of the core, shell, and corona regions are proportional to the radii of gyration of their con-



stituent blocks, those volumes should correlate with the corresponding coil conformations. The ratio between the volume of the core and the volume of the corona has been used to characterize the morphology of micellar systems such as surfactants,<sup>21</sup> diblock copolymers,<sup>22</sup> and triblock terpolymers.<sup>9</sup> Here, we characterize the sizes of the core, shell, and corona regions by computing the radii of gyration of the blocks and account for the difference in conformation through the ratios

$$\bar{R}_1 = \frac{R_{g,A}}{\sum_I R_{g,I}}, \quad \bar{R}_2 = \frac{R_{g,B}}{\sum_I R_{g,I}}, \quad \bar{R}_3 = \frac{R_{g,C}}{\sum_I R_{g,I}}. \quad (7)$$

The previous scalings stem from mean-field approximations to the radii of gyration of the blocks, an approximation that holds adequately under the range of surface tensions explored for the different combinations of blocks. The radius of gyration of a polymer block with  $N_I$  segments,  $I = A, B, C$ , can be expressed as  $R_{g,I} \propto N_I^{\nu_I}$ , where the exponent  $\nu_I$  depends on the strength of the bonding interactions within the block and the interactions with the surrounding molecules.<sup>23</sup> Typically for homopolymer segments the magnitude of  $\nu_I$  is determined by its affinity with the solvent.<sup>23</sup> For example, for poor solvents  $\nu_I = 1/3$ , whereas for good solvents  $\nu_I = 3/5$ . Here, due to the connectivity between the blocks and the interfacial tensions, the coils are forced to pack within the nanoparticle and therefore typically exhibit conformational frustration. This conformational penalty is reflected in the contribution (3) to the free energy. Consistent with this approximation data fits yield:

$$\begin{aligned} R_{g,A} &= 9.11(N_A/N)^{0.580}, \\ R_{g,B} &= 11.06(N_B/N)^{0.665}, \\ R_{g,C} &= 14.21(N_C/N)^{0.683}. \end{aligned} \quad (8)$$

A phase diagram for nanoparticle assembly provided in Fig. 4 depicts a diverse spectrum of morphologies obtained in terms of the size ratios  $\bar{R}_1$ ,  $\bar{R}_2$ , and  $\bar{R}_3$  of the core, shell, and corona. This diagram was constructed by varying the compositions  $N_A/N$ ,  $N_B/N$ , and  $N_C/N$ , the ratios  $\gamma_{AB}/\gamma_{AC}$  and  $\gamma_{BC}/\gamma_{AC}$ , and the polymer concentration  $zN_{\text{seg}}/V$ . A database with the different morphologies obtained and is available on <https://bitbucket.org/nmorenoc/patchy-nanoparticles/src/master/> as LAMMPS data files. During nanoparticle formation, we computed the ensemble average of (7) in terms of the number  $z$  of polymer chains.

From Fig. 4, we identify three dominant degrees of patchiness (or the number  $p$  of patches) for the nanoparticles: (i) Janus-like nanoparticles with  $p = 1$ ; (ii) intermediate patchy nanoparticles with  $1 < p \leq 4$ ; and (iii) highly patchy nanoparticles with  $p > 4$ . As noted previously, the conformational energy imposes geometrical constraints and strongly determines the size and the number of patches of each particular aggregate. Nevertheless, the interfacial energy allows for the coexistence of patches with different topologies and shapes in each region of the phase diagram. Consistent with intuitive

expectations, we see from Fig. 4 that a larger number of patches can be allocated on the surface of the core for smaller sizes  $\bar{R}_2$  of the shell region and, thus, smaller patch sizes. Consequently, as  $\bar{R}_2$  increases, merging of the patches occurs and Janus-like nanoparticles become more stable.

Experimentally, the effects of  $\bar{R}_2$  were also identified. In Fig. 4 we locate in the predicted diagram the patchy nanoparticles obtained experimentally for different samples of triblock terpolymers SBM, SIM, and SIV. Additionally, we include the TEM images and hydrodynamic radius of the nanoparticles. From the variation in the number  $p$  of patches for samples 1, 2, and 3, it is evident that sample 2 exhibits the larger number of patches with  $p > 5$  due to its overall smaller value of  $\bar{R}_2$ . Samples 6 and 7, with a larger  $\bar{R}_2$  lie on the extreme of the diagram favoring the formation of a fully fused shell with  $p = 1$ , corresponding to self-assembled larger bicontinuous aggregates. In Fig. 4, we also locate in the phase diagram samples 1 to 5 for  $\bar{R}_1$  and  $\bar{R}_3$ , as derived from TEM and DLS results. TEM is used to deduce the apparent size of the core and patches and the hydrodynamic radii obtained by DLS (see ESI Table 4†). Compared to the values given by (8), the location for samples 1, 2, and 3 shift to lower values of  $\bar{R}_3$  in the diagram. However, our prediction in the number of patches remains valid.

The variety of possible nanoparticle morphologies is also consistent with previously reported experimental results and theoretical explanations.<sup>4,8,12</sup> However, we identify a richer spectrum of conditions for which a given morphology can be produced depending on the balance between the conformational and interfacial energy. In the following sections, we elucidate the existence of the various patch density regimes as the energetic balance varies.

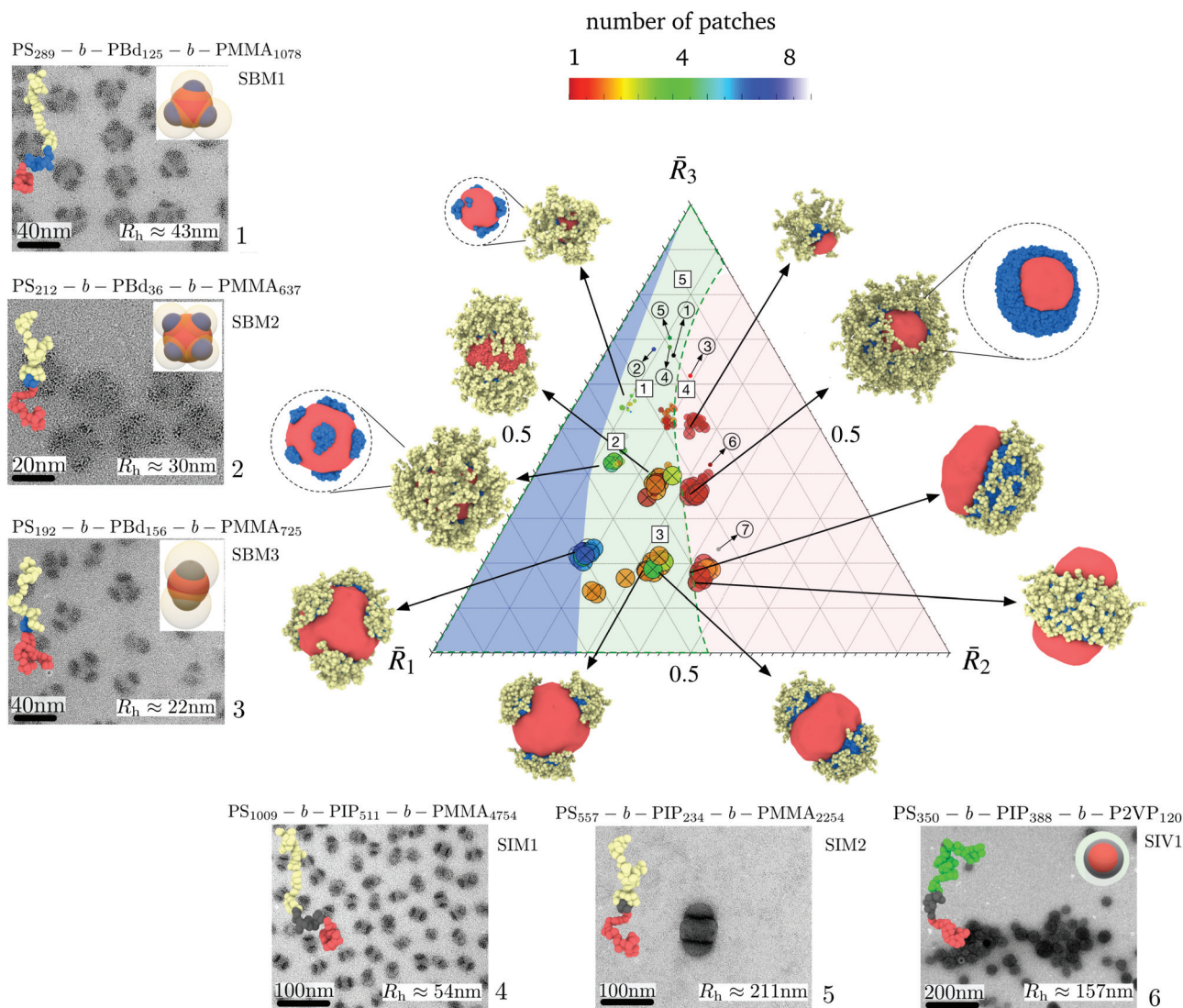
### Patch distribution

The patch distribution should satisfy geometrical restrictions that reflect the balance between the conformational and interfacial entropies. For instance, the number of patches a nanoparticle can accommodate is limited by its radius. We show in the ESI† that the number  $p$  of spherical caps of radius  $\bar{R}_2$  on a spherical core of radius  $\bar{R}_1$  is given by

$$p = \frac{4N_B/N_A}{(\bar{R}_2/\bar{R}_1)^3 f(\cos \theta_B) + (N_B/N_A) f(\cos(\pi - \theta_A))}, \quad (9)$$

where  $\theta_A$  and  $\theta_B$  are contact angles determined by the force balance at contact lines between the caps and the sphere, as illustrated in Fig. 1, and  $f(x) = x^3 - 3x + 2$ . For this idealization, we find in the ESI† that  $p$  depends not only on  $\bar{R}_2/\bar{R}_1$  and  $N_B/N_A$  but also depends implicitly on  $\gamma_{AB}/\gamma_{AC}$ . Motivated by this finding, we explore the extent to which  $\bar{R}_2/\bar{R}_1$ ,  $N_B/N_A$ , and  $\gamma_{AB}/\gamma_{AC}$  can be used to describe the variety of nanoparticles for the patch and core shapes determined numerically. The ratio  $\bar{R}_2/\bar{R}_1$  account conformational entropy effects on the distribution of patches, whereas  $\gamma_{AB}/\gamma_{AC}$  account for the interfacial contributions.





**Fig. 4** Phase diagram of patchy nanoparticle formation. The morphologies correspond to  $N_C/N = 0.1$ ,  $N_C/N = 0.3$ , and  $N_C/N = 0.5$ , with the ratio  $N_B/(N_A + N_B)$  of the insoluble blocks equal to 0.1, 0.3, and 0.5. Also,  $\gamma_{AB}/\gamma_{AC}$  and  $\gamma_{BC}/\gamma_{AC}$  ranges from 0.5 to 1.5 and two polymer compositions, 0.05 and 0.1, are considered. The effective block size ratio determines the size of a nanoparticle and its number of patches. Polymer-solvent interactions strongly influence transitions in patch shape and arrangement. The three regions indicate the degree of patchiness or number  $p$  of B domains. Blue: highly patchy nanoparticles ( $p > 4$ ), Green: medium patchy nanoparticles ( $p > 1$ ), and Red: Janus-like nanoparticles. We locate in the predicted diagram the patchy nanoparticles obtained experimentally for different SBM, SIM, and SIV samples. Whereas circular markers correspond to the ratios computed from (8), square markers are derived from TEM and DLS results. The sample number 7 corresponds to conditions under which self-assembled larger bicontinuous aggregates emerge and is included in ESI Fig. 2† along with an equivalent simulation aggregate in the same regime. The name of the triblock and the number of effective repetitive units appear at the top of each figure.

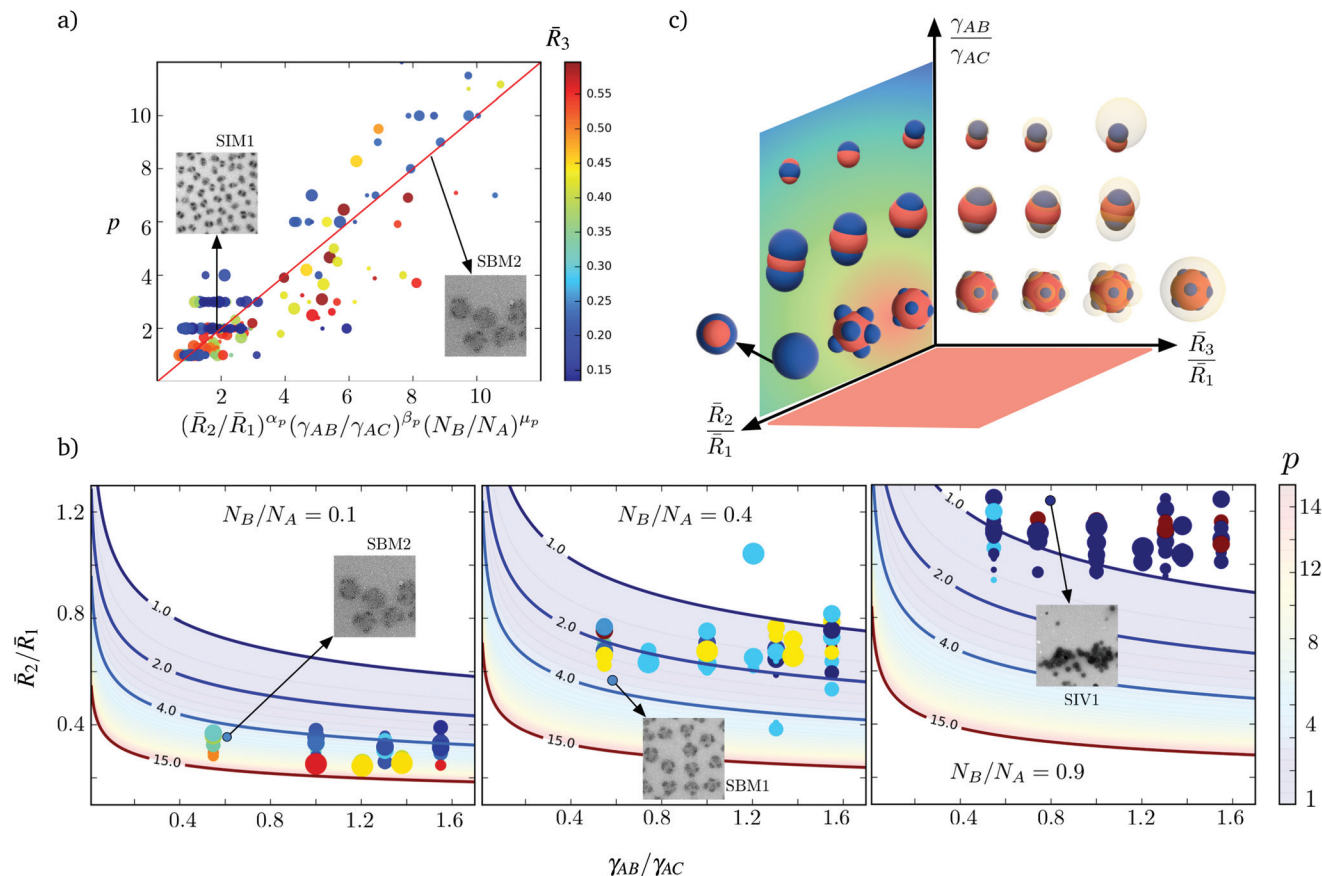
From data fits, we find that

$$p \propto \left(\frac{\bar{R}_2}{\bar{R}_1}\right)^{\alpha_p} \left(\frac{\gamma_{AB}}{\gamma_{AC}}\right)^{\beta_p} \left(\frac{N_B}{N_A}\right)^{\mu_p} \quad (10)$$

with  $\alpha_p = -2.36 \pm 0.2$ ,  $\beta_p = -0.56 \pm 0.06$ , and  $\mu_p = 0.44 \pm 0.1$ . For the idealized case of spherical caps on a sphere, a scaling relation like (10) leads to deviations on the order of two patches (see Fig. 3 of the ESI†). In Fig. 5a, we plot the number  $p$  of patches measured with respect to the predicted number of patches from (10). The solid line corresponds to the situation

in which the number of patches measured and predicted coincide. As noted previously and consistent with the ours and reported<sup>4,8,12</sup> experimental evidence,  $p$  increases as  $\bar{R}_2/\bar{R}_1$  decreases because a larger number of phase separated B patches can be accommodated by a larger A core. However the scaling for this case is smaller than the idealization (9), where  $\alpha_p = 3$ , because the patches may arrange in other morphologies such as cylinders or dense films of lower dimensionality. Although the number of patches may vary with the size of block C and the interaction of the patches with the corona  $\gamma_{BC}/\gamma_{AC}$ , these effects do not exhibit a clear trend for the proposed





**Fig. 5** (a) Predicted scaling of the number  $p$  of patches with  $\bar{R}_2/\bar{R}_1$ ,  $\gamma_{AB}/\gamma_{AC}$ , and  $N_B/N_A$ . The solid line correspond to the fit (10), with  $\alpha_p = -2.36$ ,  $\beta_p = -0.56$ , and  $\mu_p = 0.44$ , with a coefficient of correlation  $R = 0.9$ . TEM images for two different triblock terpolymers are included. While the size of the markers reflects the magnitude of the ratio  $\gamma_{BC}/\gamma_{AC}$ , their color is determined by  $\bar{R}_3$ . The proposed fitting is therefore independent of those parameters. (b) Comparison between the number  $p$  of patches measured with respect to the predicted by (10) for different choices of  $N_B/N_A$ . The measured values of  $p$  from different simulations are included with the circular markers. The colors indicate the number of patches and the patch size derives from  $\bar{R}_3$ . TEM images for three different samples are included. (c) Schematic representation of the various patchy nanoparticles obtained for different conditions.

fitting. In Fig. 5a we use the color of the markers to indicate  $\bar{R}_3$ , and the size of the markers correspond to the ratio  $\gamma_{BC}/\gamma_{AC}$ . This evidences that the number of patches can be predicted up to a good approximation using only terms of (10). This fitting concisely reproduces the variation in the number of patches for a large range of experimental and numerical evidence, while incorporating the effects of both conformational change and interface formation. In Fig. 5b, we present the predicted variation of the patches with  $\bar{R}_2/\bar{R}_1$  and  $\gamma_{AB}/\gamma_{AC}$  for three different choices of  $N_B/N_A$ .

In Table 1, we compare (10) with the number of patches of the nanoparticles that we synthesize and previously reported evidence,<sup>4</sup> leading to the conclusion that (10) provides a good approximation for the variation in  $p$  in actual systems. For the nanoparticles that we synthesize the approximation (10) tend to overestimate the number of patches for the nanoparticles with  $p > 6$ . This occurs due to the combined effect of smaller ratios  $\bar{R}_2/\bar{R}_1$  and larger  $\bar{R}_3$ , where the force balance and minimum interfacial area at the patch-core contact line are largely affected by the covalent bonding between blocks A and B.

**Table 1** Comparison between the experimentally-observed number  $p$  of patches for different triblock terpolymer systems and prediction from (10). The superscript \* corresponds to samples of poly(styrene-*b*-butadiene-*b*-methyl methacrylate) reported by Gröschel *et al.*,<sup>4</sup> whose sample names we adopt

Sample name	Observed	Predicted
SBM1	3	3
SBM2	>5	9
SBM3	3	2
SIM1	2	2
SIM2	1	2
SIV1	(Contiguous shell) 1	1
SIV2	(Contiguous shell) 1	1
SBM1*	>8	9
SBM2*	>6	7
SBM3*	3	3
SBM4*	4	3
SBM5*	>6	3
SBM6*	2	3
SBM7*	4	2
SBM9*	2	2



Thus, (10) forecasts patches with smaller areas than those imposed by the connectivity of the blocks. In the ESI,† we determine the parameters used in (10) for the polymers and solvents considered.

### Patch morphology

The morphologies of the shell and decorating patches differ significantly depending upon whether conformational or interfacial effects dominate. Consistent with the reported experimental evidence,<sup>4,8,9,12,24–28</sup> we observe sphere-to-rod morphological transitions of the B patches. Conformationally-driven transitions from spheres to rod occur as the ratio  $\bar{R}_2/\bar{R}_1$  increases. However, we also identify the conditions where interfacial interactions induce such transitions even if  $\bar{R}_2/\bar{R}_1$  is fixed. In Fig. 6, we present TEM images of the patchy nanoparticles obtained for poly(styrene-*b*-butadiene-*b*-methyl methacrylate) with fixed molecular weights (sample SIM1, see ESI Table 1†) in solvent mixtures of acetone–isopropanol in three different ratios. In these images, the shape of the patches

changes from spherical for solvent mixtures acetone/isopropanol 60/40 to wormlike for 40/60 mixtures. The larger amount of isopropanol makes the solvent less favorable for block A and, thereby, increases  $\gamma_{AS}$ . The merging of the patches therefore reduces the interfacial area  $\Omega_{14}$  between the core and solvent. Similar interfacial effects are responsible for other morphological helix-to-film transitions of the shell,<sup>9</sup> where the formation of a homogeneous B shell around the A core requires an exchange between A–B, B–S, and A–S interactions.

To explain these morphological transitions of the patches in terms of the conformational and interfacial contributions to the free energy, we consider the ratio  $\Omega_{12}/p\Omega_0$ , where  $\Omega_0 = 2\pi R_{g,B}^2$  corresponds to the interfacial area of one spherical patch of size  $R_{g,B}$ . Whereas ratios close to unity correspond to the existence of patches shaped like spherical caps, larger values indicate cylindrical patches or that the shell is contiguous. In Fig. 6, we present the values  $\Omega_{12}/p\Omega_0$  measured for different choices of  $\bar{R}_2/\bar{R}_1$  and  $\gamma_{AB}/\gamma_{AC}$ . We find that the variation of  $\Omega_{12}/p\Omega_0$  in terms of the conformational and interfacial contributions is well approximated by

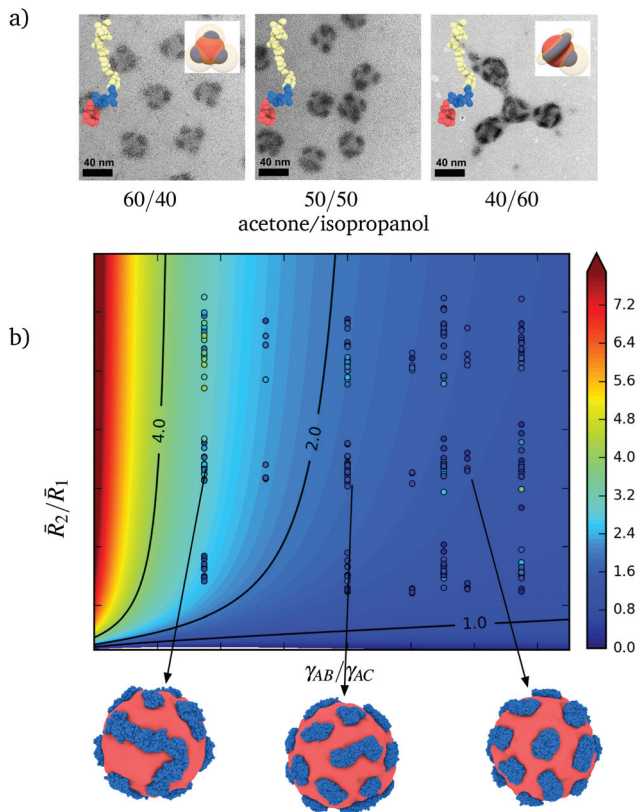
$$\frac{\Omega_{12}}{p\Omega_0} = \left(\frac{\bar{R}_2}{\bar{R}_1}\right)^{\alpha_a} + \left(\frac{\gamma_{AB}}{\gamma_{AC}}\right)^{\beta_a} + \mu_a \frac{\bar{R}_2\gamma_{AB}}{\bar{R}_1\gamma_{AC}}, \quad (11)$$

with  $\alpha_a = 0.46 \pm 0.1$ ,  $\beta_a = -0.94 \pm 0.1$ , and  $\mu_a = 0.27 \pm 0.08$ . In general, morphological transitions of the patches can be physically explained by accounting for geometrical constraints associated with the available interfacial area. Since an analytical description of the interfacial area is not currently available, the relation (11) affords a proxy for identifying morphological transitions. Moreover, (11) allows us to single out the regions where the spherical patches on spherical cores are to be expected and the conditions under which spherical patches merge into cylindrical patches. When interfacial driven transitions occur, the characteristic size  $\bar{R}_2$  of the patches is preserved. However,  $\bar{R}_2$  increases during conformationally driven transitions. The first term on the right-hand side of (11) accounts for the change in only one dimension of the characteristic patch size. The second term on the right-hand side of (11) accounts the stronger effect of the interfacial contributions and, thus, is associated with changes in the net area of the patches. The third term on the right-hand side of (11) incorporates coupling between conformational and interfacial effects.

### Influence of corona size

The stabilization of patches in our nanoparticles is greatly favored by the presence of block C. We find that the size  $\bar{R}_3$  of the corona controls the growth of the nanoparticles and the distribution of the decorating domains *via* steric hindrance. Steric effects differ substantially depending on whether  $\bar{R}_1 + \bar{R}_2 < \bar{R}_3$  or  $\bar{R}_1 + \bar{R}_2 > \bar{R}_3$ .

If  $\bar{R}_1 + \bar{R}_2 < \bar{R}_3$ , then the number of polymer chains per aggregate reduces, leading to smaller nanoparticles. For the idealized case of spherical caps on a sphere, we know from (9) that smaller nanoparticles decrease have fewer patches. More



**Fig. 6** (a) TEM images of poly(styrene-*b*-butadiene-*b*-methyl methacrylate) in different acetone/isopropanol mixtures. A transition from spheres to rod-like patches is induced due to changes in the interfacial interactions between the core and corona. (b) Influence of  $\bar{R}_2/\bar{R}_1$  and  $\gamma_{AB}/\gamma_{AC}$  on the interfacial area ratio  $\Omega_{12}/p\Omega_0$ , signaling the morphological transition from spherical to elongated patches. The circular markers indicate the measured ratios and points evaluated in our simulations. The contours correspond to the fit (11). Three different simulated nanoparticles are obtained by varying  $\gamma_{AB}/\gamma_{AC}$ . Block C is omitted from the images for clarity.



generally, as we see from Fig. 4, as  $\bar{R}_3$  increases the occurrence of nanoparticles with large number of patches decreases, as does the nanoparticle size. For  $\bar{R}_1 + \bar{R}_2 < \bar{R}_3$ , the phase separation of the short nonsoluble A and B blocks and the large swollen C block is conformationally costly, with the consequence nanoparticles with smaller aggregation number  $z$  are favored. This steric effect of the corona was identified in the samples 4 and 5, which have larger corona-forming blocks. If we compare the size of the core and shell for samples 1 and 4 (see ESI Table 4† and Fig. 4), we find they nearly the same, despite of the fewer repetitive units of sample 1,  $N_A + N_B = 412$ , compared to sample 4,  $N_A + N_B = 1520$ . The similarity on the size of the patchy nanoparticles arises from a disparity in the aggregation number  $z$ . In sample 4, the longer C block constrains the aggregation of the polymer leading to fewer chains, that are closely packed.

If  $\bar{R}_1 + \bar{R}_2 > \bar{R}_3$ , then the C blocks of neighboring patches overlap. Due to the swelling of the corona, the C blocks tend to repel one another and to favor interaction with the solvent. Thus, the C blocks screen the patches prevent the merging of the patches on the core surface. This condition can be identified for samples 1 and 3 (see ESI Table 4† and Fig. 4) where the reduction in the corona-block facilitate the merging of the patches leading to nanoparticles with lower  $p$ . This effect has also been experimentally reported for PS-PB-PT triblock terpolymers,<sup>9</sup> which form spherical nanoparticles when the soluble blocks of PT are sufficiently large but undergo a transition to larger nanoparticles with cylindrical and lamellar shapes if the PT blocks are sufficiently short.

In addition to the steric effects of the corona at the nanoparticle level, we also observe experimentally, that steric interactions play a relevant role on the hierarchical aggregation of the nanoparticles at larger scales. Patchy nanoparticles with larger coronas showed to be monodisperse in size, and more stable against the formation of larger lumps; see ESI Fig. 7.† This is important in the fabrication of homogeneously dispersed nanoparticles.

## Conclusions

We have constructed a generalized library of self-assembled patchy triblock terpolymer nanoparticles, including some newly discovered morphologies. Our results shed light on the set of conditions required to produce nanoparticles with prescribed patch densities. We found that similar morphologies and patch distributions can be produced under disparate conditions. The database of the different stabilized morphologies is available on <https://bitbucket.org/nmorenoc/patchy-nanoparticles/src/master/> in LAMMPS data file format.

We showed that the changes in core-shell interfacial area and patch density of the nanoparticles can be expressed in terms of their scaling with the dimensionless parameters  $\bar{R}_2/\bar{R}_1$ ,  $\gamma_{AB}/\gamma_{AC}$ , and  $N_B/N_A$ . For the idealized case of a sphere decorated by spherical caps, these parameters emerge as natural geometrical descriptors. Whereas conformational entropic

effects are encompassed by the ratio between the respective sizes  $\bar{R}_1$  and  $\bar{R}_2$  of the core and shell, interfacial effects enter through the relative affinities between the core-forming block A with the shell-forming block B and the corona-forming mixture C and solvent. The interfacial term associated with  $\gamma_{AB}/\gamma_{AC}$  dominates the morphology of the decorating patches and mediates the merging of the patches. In contrast, the conformational contribution is largely responsible for the number of patches. The most important impact of the size  $\bar{R}_3$  of the corona is through steric interactions that control the aggregation number of the nanoparticles and determine their number  $p$  of patches. For smaller corona sizes, larger nanoparticles which can accommodate more patches are favored. Additionally, those patches must be more closely packed for smaller coronas. We showed that the morphological transition from spherical patches to rod-like patches has a distinct physical foundation arising from geometrical constraints and that the underlying mechanism can be traced variations in the interfacial area. Apart from the identification of the dominant contributions that control the distribution and shape of the patches, we provided expressions that allow us predict the emergence of different structural motifs. The predictions agree both with previous experimental observations and with our experimental results.

Since the characteristic shapes, sizes, and distributions of the decorating patches are crucial to gaining control over the growth of microscale superstructures, the identification and classification of nanoparticles that we present in our library provide a platform for studying the hierarchical assembly of mesostructures in terms of the nanoparticle morphology rather than the pair interactions and compositions of the individual blocks. The set of LAMMPS data files we provide can be used to streamline studies of aggregation of soft patchy colloids at larger scales. This opens new avenues for investigating the kinetic mechanisms of soft patchy nanoparticle aggregation.

## Conflicts of interest

There are no conflicts to declare.

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