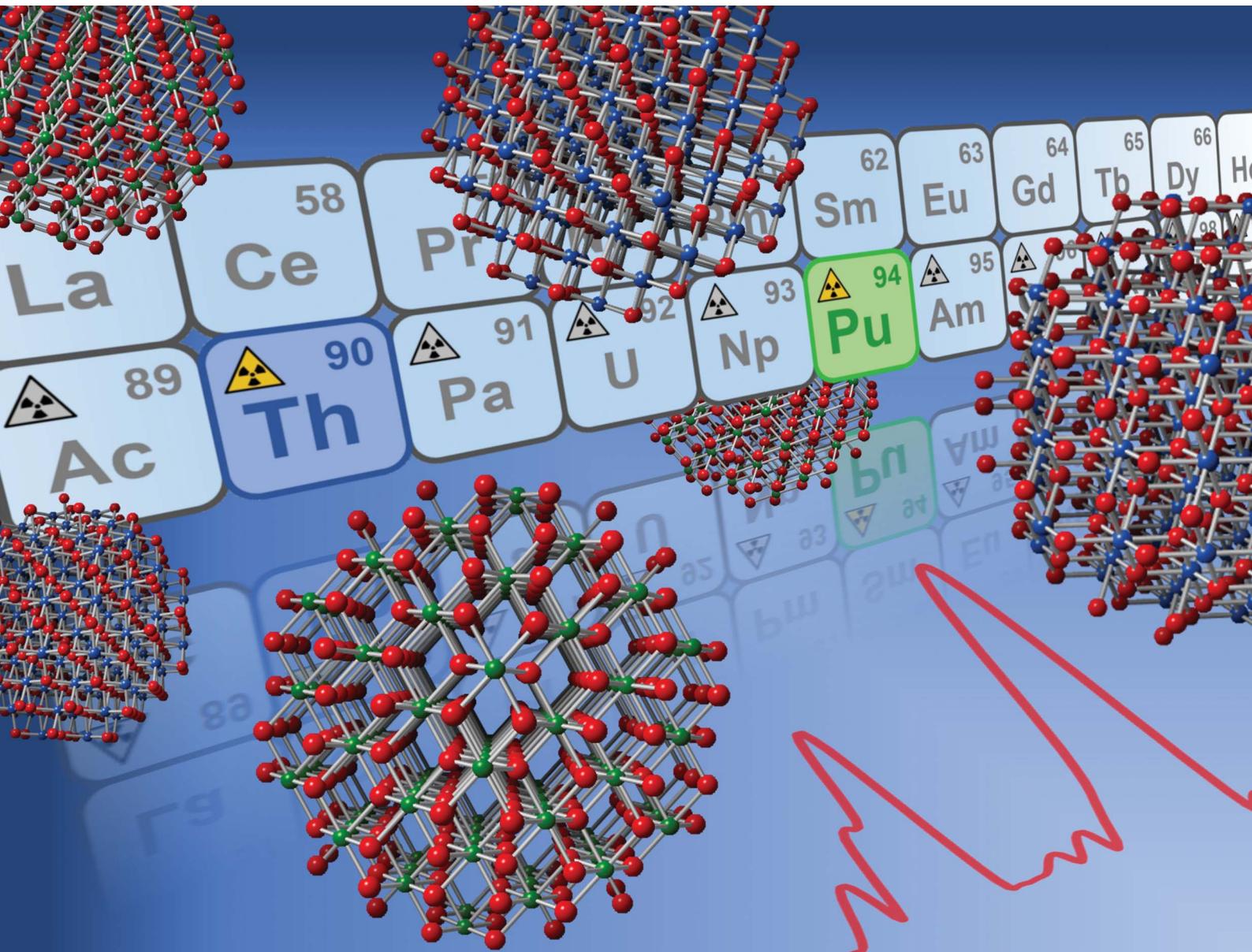


# Nanoscale Advances

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**PAPER**

Matthieu Virot *et al.*

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out  $\text{PuO}_{2+x}$  hypothesis



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## Probing the local structure of nanoscale actinide oxides: a comparison between $\text{PuO}_2$ and $\text{ThO}_2$ nanoparticles rules out $\text{PuO}_{2+x}$ hypothesis†

Laura Bonato, <sup>a</sup> Matthieu Virot, <sup>a</sup> Thomas Dumas, <sup>b</sup> Adel Mesbah, <sup>a</sup> Elodie Dalodi  re, <sup>a</sup> Oliver Dieste Blanco, <sup>c</sup> Thierry Wiss, <sup>c</sup> Xavier Le Goff, <sup>a</sup> Michael Odorico, <sup>a</sup> Damien Prieur, <sup>d</sup> Andr   Rossberg, <sup>d</sup> Laurent Venault, <sup>b</sup> Nicolas Dacheux, <sup>a</sup> Philippe Moisy <sup>b</sup> and Sergey I. Nikitenko <sup>a</sup>

Actinide research at the nanoscale is gaining fundamental interest due to environmental and industrial issues. The knowledge of the local structure and speciation of actinide nanoparticles, which possibly exhibit specific physico-chemical properties in comparison to bulk materials, would help in a better and reliable description of their behaviour and reactivity. Herein, the synthesis and relevant characterization of  $\text{PuO}_2$  and  $\text{ThO}_2$  nanoparticles displayed as dispersed colloids, nanopowders, or nanostructured oxide powders allow to establish a clear relationship between the size of the nanocrystals constituting these oxides and their corresponding An(iv) local structure investigated by EXAFS spectroscopy. Particularly, the first oxygen shell of the probed An(iv) evidences an analogous behaviour for both Pu and Th oxides. This observation suggests that the often observed and controversial splitting of the Pu–O shell on the Fourier transformed EXAFS signal of the  $\text{PuO}_2$  samples is attributed to a local structural disorder driven by a nanoparticle surface effect rather than to the presence of  $\text{PuO}_{2+x}$  species.

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## 1. Introduction

Nanostructured materials can be defined as solid samples with at least one characteristic structural length ranging between 1 and 10 nm. They have attracted considerable interest in recent interdisciplinary research for technological applications related to the nanometric size of their constituent building blocks (e.g., crystalline or atomic and molecular groups).<sup>1–3</sup> Indeed, the controlled microstructure of nanoscale materials and nanoparticles at the atomic level offers new physical and chemical properties in comparison to similar bulk materials already applied in, for instance, catalysis, synthesis of luminescent materials, and solar cells.<sup>3–6</sup> Such effects are often attributed to the higher surface-to-volume ratio of the nanoparticles that constitute these samples, which increases the number of surface and interface atoms generating stress, strain, and structural perturbations.<sup>1,2</sup> In actinide chemistry, the published data

dealing with the synthesis or characterization of nanoparticles and nanomaterials are still scarce but are of growing interest due to the reported contribution of actinide nanoparticles in the environment (e.g., migration of actinides) and in industrial processes (e.g., high burn-up structures).<sup>7–10</sup> We recently observed nanostructured  $\text{PuO}_2$  powder prepared by the oxalate route and noticed its outstanding reactivity in pure water under ultrasound irradiation, which stirred up our curiosity concerning the local environment of this oxide at the nanoscale.<sup>11</sup>

$\text{PuO}_2$ , which was thought to be the most stable Pu oxide phase, was dismissed in 2000 after the observation of an over-stoichiometric oxide when exposed to moist air from 25 to 350 °C. The accompanying hydrogen gas release involved tremendous discussions and concerns about industrial operations and safety for storage.<sup>7,12,13</sup> The resulting possible formation of  $\text{PuO}_{2+x}$  caused controversy and was debated by several authors using a variety of techniques such as thermodynamic calculations, modelling simulations, Raman spectroscopy, X-ray absorption or photoemission spectroscopies.<sup>14–21</sup> Particularly, the possible formation of this oxide was supported by the observation in the EXAFS spectrum of a strong distortion and splitting of the first Pu–O shell, which was attributed to *trans*-dioxo bonds and related to the presence of Pu(v) or Pu(vi) species.<sup>17,18,21</sup> Although considered to be “simple” from a crystallographic point of view, the description of  $\text{PuO}_2$  (and more generally, AnO<sub>2</sub> with An = actinides) using EXAFS spectroscopy appears, nevertheless, to be questionable and lacks

<sup>a</sup>ICSM, Univ Montpellier, CEA, CNRS, ENSCM, Marcoule, France. E-mail: matthieu.virot@cea.fr

<sup>b</sup>CEA, DEN, DMRC, Univ Montpellier, Marcoule, France

<sup>c</sup>European Commission, Joint Research Centre (JRC), Institute for Transuranium Elements (ITU), Postfach 2340, 76125 Karlsruhe, Germany

<sup>d</sup>Helmholtz-Zentrum Dresden - Rossendorf, Institute of Resource Ecology, Bautzner Landstraße 400, 01328 Dresden, Germany

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a standardized procedure for data treatment and comparison. EXAFS data are indeed known to be highly subjective to misinterpretations or artefact generation related to signal post-treatments such as background subtraction, Fourier transform, or curve fitting.<sup>22</sup> Furthermore, local geometry and electronic structure effects driven by nanomaterials in comparison to bulk systems have been reported to generate significant spectral features in XANES and EXAFS spectroscopic studies.<sup>23–26</sup>

The number of sub-shells used to fit, for instance, the first An–O coordination sphere in  $\text{PuO}_2$  has been found to significantly differ as a function of the studies. Conradson and co-workers reported the use of up to eight Pu–O sub-shell models to explain the experimental EXAFS spectra obtained for *ca.* 20  $\text{PuO}_2$  samples. Such models well reproduced the experimental data but remain difficult to interpret owing to the very high number of varying structural parameters.<sup>17,18,21</sup> Moreover, this fit approach is only statistically relevant when assuming constrained or fixed values for several metrical parameters including the energy threshold  $\Delta E_0$ , Debye–Waller factor (DWF), and coordination numbers. Recent single Pu–O shell models allowed acceptable fitting of the experimental EXAFS spectra only for highly fired bulk  $\text{PuO}_2$  materials. The fits obtained with  $\text{PuO}_2$  nanoparticles resulted in unrealistically high DWF for the first oxygen shell. As suggested by most of the authors, this high DWF evidences a high degree of local disorder that also gives rise to large errors in the fitted structural parameters.<sup>27,28</sup> The use of a reduced number of oxygen sub-shells appears as a good compromise to reproduce the experimental data in both  $\text{PuO}_2$  bulk materials and nanomaterials with justified and reasonable fitted parameters.<sup>11,29–31</sup>

The present study focuses on the local structure characterization of  $\text{PuO}_2$  nanoparticles exhibiting different sizes. In this paper, plutonium is compared to thorium due to the similar properties of both the elements to exist in the (+IV) oxidation state, to crystallize as oxides in the same space group  $Fm\bar{3}m$  of the fluorite crystal system and to exhibit close ionic radii (1.05 Å for Th, against 0.96 Å for Pu, with both in 8-fold coordination).<sup>32</sup> The reported EXAFS spectra for  $\text{ThO}_2$  samples already show similar Fourier transforms compared to the An–O and An–An coordination spheres.<sup>30,33–35</sup> In addition, Th only exists in the (+IV) oxidation state and therefore, allows the comparison of Pu compounds so as to avoid misinterpretations related to the potential contribution of other oxidation states in the crystalline structure. This paper first reports the preparation and the characterization of  $\text{AnO}_2$  nanoparticles (An = Pu or Th) in the form of suspended colloids, nanopowders, and nanostructured oxides. Then, relevant characterization of the local structure of a selection of  $\text{AnO}_2$  nanoparticles by EXAFS spectroscopy is discussed. A clear relationship between the shrinking size of the nanocrystals that constitute the actinide oxides and their related structural defects is established.

## 2. Experimental section

**Caution!** Th and Pu are  $\alpha$ -emitting radionuclides; standard precautions should be followed for handling these radioactive materials.

### 2.1. Reagents and preparation of the oxides

All of the used commercial reagents were of analytical grade and purchased from Sigma-Aldrich. Aqueous solutions were prepared using deionized water having a resistivity higher than 18 MΩ cm at 25 °C. Experiments with  $^{232}\text{Th}$  were carried out in the ICSM facility (Marcoule, France) using thorium nitrate pentahydrate (Sigma-Aldrich) as the starting material. Experiments with Pu were performed in the Atalante facility (Marcoule, France) in a dedicated glove box. Pu solution was previously purified through an anion exchange resin in agreement with the previous reports and used as Pu(IV) solution in *ca.* 1.5 M  $\text{HNO}_3$ .<sup>11,36,37</sup>

Nanostructured  $\text{ThO}_2$  and  $\text{PuO}_2$  were prepared by thermal conversion of Th(IV) and Pu(IV) or Pu(III) oxalate precursors, which were precipitated in nitric acid medium by pouring An solutions into continuously stirred oxalic acid solution (in excess), as already reported in the literature.<sup>36,38,39</sup> Regarding the Pu(III) oxalate precursors, the reduction of Pu(IV) solution was previously carried out with a slightly over-stoichiometric amount of hydroxylammonium nitrate in the presence of 0.05 M hydrazinium nitrate at *ca.* 40 °C. One hour after mixing the solutions, An(IV) and An(III) oxalate precipitates were separated from the supernatants by centrifugation, washed several times with water, and dried at room temperature under vacuum (Th) or under air atmosphere of the glove box (Pu).  $\text{ThO}_2$  and  $\text{PuO}_2$  nanopowders (n-PWD) were prepared by adding 30 wt% ammonia solution into continuously stirred An(IV) acid solutions in the presence of polyethylene glycol (PEG, M = 3000, 2.5 wt%), in agreement with the previous report.<sup>40</sup> The precipitates were then heated under air atmosphere with a constant rate of 10 °C min<sup>-1</sup> up to 120 °C (2 °C min<sup>-1</sup> for  $\text{ThO}_2$  at 485 °C) for 1 h. An equivalent heating rate was then used to reach the selected firing temperature, which was maintained for 1 to 17 h depending on the sample. All the samples and respective firing conditions are summarized in Table 1 for the various samples studied in this work.  $\text{AnO}_2$  samples were also compared to stable Pu(IV) colloidal suspension prepared by controlled hydrolysis in agreement with our previous report, which particularly showed that Pu(IV) intrinsic colloids are composed of stabilized  $\text{PuO}_2$  nanoparticles of about 2.9 nm diameter.<sup>11</sup> Diffuse reflectance spectroscopic measurements confirmed the presence of similar absorption bands for both  $\text{PuO}_2$  and Pu(IV) intrinsic colloids (Fig. S1, ESI†).

### 2.2. Raman micro-spectroscopy

$\mu$ -Raman spectra were acquired using a Horiba – Jobin Yvon Aramis apparatus calibrated with a Si wafer at 520.7 cm<sup>-1</sup> and equipped with an edge filter and Nd:YAG (532 nm) or He–Ne (633 nm) laser. An Olympus BX41 microscope was used to focus the laser beam on the sample previously deposited on a glass slide.

### 2.3. X-ray diffraction

Powder X-ray diffractograms (PXRD) were collected at room temperature on pure silicon ( $\text{ThO}_2$ ) or inox ( $\text{PuO}_2$ ) sample



**Table 1** Firing conditions used for the conversion of An(IV) precursors into ThO<sub>2</sub> and PuO<sub>2</sub> samples<sup>a</sup>

Sample	Name firing temperature (duration)	Preparation route
ThO <sub>2</sub>	ThO <sub>2</sub> 485 °C (4 h)	Th(IV) oxalate
	ThO <sub>2</sub> 485 °C (12 h)	Th(IV) oxalate
	ThO <sub>2</sub> 600 °C (2 h)	Th(IV) oxalate
	ThO <sub>2</sub> 1000 °C (2 h)	Th(IV) oxalate
	ThO <sub>2</sub> n-PWD 485 °C (2 h)	PEG/ammonia
PuO <sub>2</sub>	PuO <sub>2</sub> 485 °C (2 h)	Pu(IV) oxalate
	PuO <sub>2</sub> 660 °C (2 h)	Pu(IV) oxalate
	PuO <sub>2</sub> 660 °C (17 h)	Pu(IV) oxalate
	PuO <sub>2</sub> 1200 °C (1 h)	Pu(IV) oxalate
	PuO <sub>2</sub> n-PWD 485 °C (2 h)	PEG/ammonia
Pu intrinsic colloid	PuO <sub>2</sub> <sup>[m]</sup> 485 °C (2 h)	Pu(IV) oxalate
	PuO <sub>2</sub> <sup>[m]</sup> 485 °C (12 h)	Pu(IV) oxalate
	PuO <sub>2</sub> <sup>[m]</sup> 660 °C (2 h)	Pu(IV) oxalate
Pu intrinsic colloid	Pu(IV) colloid (RT)	Controlled hydrolysis <sup>b</sup>

<sup>a</sup> n-PWD: nanopowder; RT: room temperature. <sup>b</sup> Preparation conditions fixed on the basis of our previous investigations.<sup>11</sup>

holders using Bruker D8 Advance X-ray diffractometers, both equipped with a linear Lynx-eye detector (Cu K $\alpha_{1,2}$  radiation,  $\lambda = 1.54184 \text{ \AA}$ ). PuO<sub>2</sub> samples were immobilized in an epoxy resin to prevent any dispersal of harmful radioactive dust. In this latter case, the addition of gold as an internal standard was also carried out (ICSD 03-065-2870). LaB<sub>6</sub> measurements were used to extract the instrumental functions. The collected data related to ThO<sub>2</sub> and PuO<sub>2</sub> crystallization in the fluorite type structure (CaF<sub>2</sub>) in the space group  $Fm\bar{3}m$  of the cubic system were refined by the Rietveld method using the Fullprof\_suite package.<sup>41</sup> During the treatments, the following profile and structural parameters were refined: zero shift, unit-cell parameters, scale factor, and overall displacement factor. Moreover, anisotropic size and micro-strain models by considering the  $m\bar{3}m$  Laue class were applied for ThO<sub>2</sub> in order to evaluate the broadening effect. In the case of PuO<sub>2</sub>, only a size model was applied because of the difficulties to correctly estimate the background because of the used epoxy resin. Fig. S2, ESI† illustrates the method by providing experimental and calculated patterns for a refined ThO<sub>2</sub> sample.

#### 2.4. Scanning and transmission electron microscopy

The oxide morphologies were investigated by Scanning Electron Microscopy (SEM) using a FEI Quanta 200 ESEM FEG microscope and a Zeiss Supra 55 device for ThO<sub>2</sub> and PuO<sub>2</sub>, respectively. The samples were deposited on carbon tapes without additional preparation except for PuO<sub>2</sub> samples, which required gold coating. SEM characterization images are provided in Fig. S3–S5 (ESI†) for a selection of the synthesized powders. The nanoscale characterization of some oxides was carried out with High-Resolution Transmission Electron Microscopy (HR-TEM) after deposition of a droplet of oxide suspension on carbon coated copper grids. The oxide suspension was previously prepared in pure water and sonicated in an ultrasonic bath for 5

min at 25 kHz. ThO<sub>2</sub> samples were either studied with a JEOL JEM-3010 (300 kV, CINAM Marseille, France) or a JEOL JEM-2200FS (200 kV, Montpellier, France). The PuO<sub>2</sub> samples were characterized at the Joint Research Centre of the Institute for Transuranium Elements, Karlsruhe, Germany (JRC-ITU) using a TecnaiG2 (FEI™) 200 kV microscope equipped with a field emission gun and modified during its assembly to enable the examination of radioactive samples. The particle size was estimated from the HR-TEM images using Image J software with a high number of measurements to improve the statistics ( $n > 50$ ).

#### 2.5. Atomic force microscopy

The morphology of the ThO<sub>2</sub> samples was studied by Atomic Force Microscopy using a MULTIMODE 8 AFM apparatus equipped with a Nanoscope 5 controller from Bruker (Germany). Sample aliquots were dispersed in pure water before a droplet was deposited onto a mica or carbon disk. The samples were imaged in the peak force tapping mode with SNL tips ( $K = 0.12 \text{ N nm}^{-1}$ ,  $f_0 = 23 \text{ kHz}$  from Bruker) and the applied force was set at about 300 pN.

#### 2.6. X-ray absorption spectroscopy

5 to 7 mg of the powdered compounds were finely ground and mechanically mixed with *ca.* 200 mg boron nitride. EXAFS measurements were performed at the European Synchrotron Radiation Facility (ESRF, Grenoble, France) on HZDR-operated Rossendorf Beamlne (BM20) using a Si(111) double-crystal monochromator. The experimental spectra were recorded in the fluorescence mode at Th L<sub>III</sub> and Pu L<sub>III</sub> edges using a 13-element Ge detector at room temperature and pressure. The fit was obtained in the  $2 \text{ \AA}^{-1} < k < 14 \text{ \AA}^{-1}$  range (Kaiser-Bessel window, cluster size 1–5 Å).<sup>42</sup> The EXAFS data were analysed using Athena and Arthemis software from the IFEFFIT package.<sup>43</sup> The theoretical scattering paths for An–O and An–An shells were obtained using the FEFF8.4 program.<sup>44</sup>

In order to avoid artefact and misinterpretation generation, and to rigorously and consistently compare the fitted metrical parameters, the data treatment procedure was standardized for all of the studied samples. The structural parameters were obtained by separately considering the first and second coordination spheres. Two background removal approaches were used as function of the considered shell. For the An–O shells, normalization and background subtraction were performed on the normalized absorption spectra truncated at  $k = 10 \text{ \AA}^{-1}$ . After Fourier transformation of the obtained  $k^3$ -weighted EXAFS signal in the  $2.5 \text{ \AA}^{-1}$  to  $10 \text{ \AA}^{-1}$   $k$ -range (Kaiser-Bessel window), a back Fourier transform was applied to isolate the first An–O shell selected between  $R-\phi = 1.1 \text{ \AA}$  and  $R-\phi = 2.5 \text{ \AA}$ . The extracted  $k^3$ -weighted first shell oscillations were then fitted by a single O-shell. The only floating parameter was the DWF while the coordination number (CN = 8) and the An–O distances (2.41 Å or 2.33 Å for Th and Pu, respectively) were fixed in agreement with the ideal fluorite structure type. The An–An shell fits were performed on the Fourier transform of the  $k^3$ -weighted EXAFS



oscillations in the  $2 \text{ \AA}^{-1} < k < 14 \text{ \AA}^{-1}$  range where DWF, CN, and  $R_{\text{An-An}}$  were considered as floating parameters.

### 3. Results and discussion

#### 3.1. Characterization of oxide nanoparticles

PXRD patterns presented in Fig. 1 confirm that the studied samples crystallize in the fluorite-type structure (space group  $Fm\bar{3}m$ ) typical of  $\text{ThO}_2$  (ICSD 01-071-6407) and  $\text{PuO}_2$  (ICSD 01-073-7903). More intense and sharpened diffraction peaks could be noticed on increasing the firing temperatures and heating durations. Such observations are generally attributed to crystallite growth, elimination of amorphous domains and crystal defects, and to porosity closure.<sup>39,45-47</sup> The broad XRD peaks observed for  $\text{ThO}_2$  and  $\text{PuO}_2$  powdered samples prepared at the lowest temperatures agree well with the presence of nanometric coherent domains (referred to as crystallites).<sup>45,46,48</sup> The selected electron diffraction patterns obtained with HR-TEM (Fig. 2) confirm that the expected fluorite structure for all of the studied samples are in agreement with the PXRD observations.

Rietveld refinements allowed the determination of corresponding crystallite sizes and lattice parameters, which were found to be in good agreement with the nanoparticle size obtained by direct measurements from the HR-TEM images (Table 2). The HR-TEM images show that the actinide oxide powders synthesized in basic conditions (n-PWD) are composed of agglomerates of well-defined nanoparticles that are found to be spherical, monodispersed, and crystalline. Statistical measurements made on the images gave an average nanoparticle size of *ca.*  $7.7 \pm 1.4 \text{ nm}$  for  $\text{ThO}_2$  and  $4.6 \pm 1.0 \text{ nm}$  for  $\text{PuO}_2$  (Table 2). The oxides prepared by the thermal conversion of oxalate precursors at relatively low temperature ( $485\text{--}600 \text{ }^\circ\text{C}$ ) show a nanostructured architecture, which disappears due to large grains that appear on increasing the heating temperature. Particularly, the oxides obtained at  $485 \text{ }^\circ\text{C}$  exhibit micrometric squared tablets that are also formed with monodispersed, spherical, and crystalline nanoparticles. The average

nanoparticle size is about  $7.6 \pm 2.1 \text{ nm}$  and  $9.8 \pm 2.5 \text{ nm}$  for the powdered  $\text{ThO}_2$  samples prepared at  $485 \text{ }^\circ\text{C}$  (4 h) and  $600 \text{ }^\circ\text{C}$  (2 h), respectively. The powdered  $\text{PuO}_2$  samples follow almost the same trend sizes of  $5.2 \pm 1.1 \text{ nm}$  and  $12.2 \pm 3.3 \text{ nm}$  when heated at  $485 \text{ }^\circ\text{C}$  (2 h) and  $660 \text{ }^\circ\text{C}$  (2 h), respectively (Table 2). It is worth noting that the  $\text{PuO}_2$  samples obtained from  $\text{Pu}(\text{III})$  oxalates exhibit a similar nanostructure. The nanoscale organization observed at  $485 \text{ }^\circ\text{C}$  and its loss (coalescence of nano-domains) at  $600 \text{ }^\circ\text{C}$  is clearly illustrated in Fig. 2 for this sample (particle sizes of  $7.3 \pm 1.9 \text{ nm}$  and  $16.0 \pm 4.5 \text{ nm}$ , respectively).

The data displayed in Fig. S6 (ESI†) confirm the good correlation between the small and larger values of particle size determined by both HR-TEM and XRD. The distinction between the terms "nanoparticle" or "nanocrystal" is not discussed in this study and we assume that both terms can be equally used based on the analytical characterization developed in this study (Table 2). The Rietveld refinement, however, offers a more standardized procedure by avoiding the contribution of "human eye" errors on the measurements and improves the statistics by taking the whole diffracted signal as a source of data (measurements of HR-TEM images can only be made on well-defined and contrasted particles). The discrepancy noted for the particle size of  $\text{ThO}_2$  at  $485 \text{ }^\circ\text{C}$  (12 h) is attributed to such kind of mistakes.

A slightly increasing trend of the lattice parameter is generally observed when decreasing the size of the  $\text{PuO}_2$  and  $\text{ThO}_2$  nanocrystals that compose the samples (in comparison to the reported bulk  $\text{PuO}_2$  and  $\text{ThO}_2$ , Table 2). Such a phenomenon has been already attributed to negative surface stress due to coordination and bond differences at the surface of the materials.<sup>54</sup> The resulting unit cell volume may compress or expand as a function of the material studied.<sup>25,35,54</sup> For instance, an increase in the lattice parameter has been observed for  $\text{CeO}_2$  nanoparticles smaller than 20 nm.<sup>55,56</sup> The lattice parameter of  $a_0 = 5.600(6) \text{ \AA}$  has been reported for 14 nm  $\text{ThO}_2$  particles;<sup>52</sup> Bouexiere *et al.* reported the lattice parameter  $a_0$  of  $5.4042(2) \text{ \AA}$  for  $3.7 \pm 1.0 \text{ nm}$   $\text{PuO}_2$  nanoparticles prepared by hydrothermal

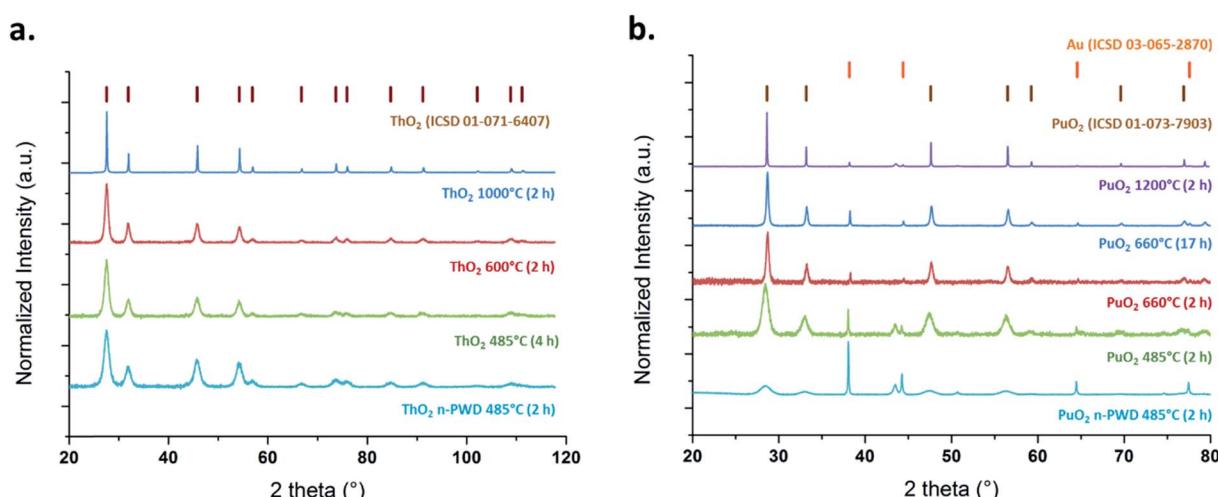
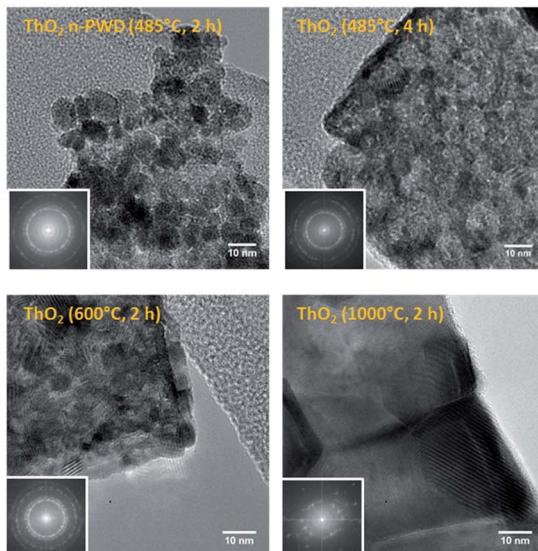
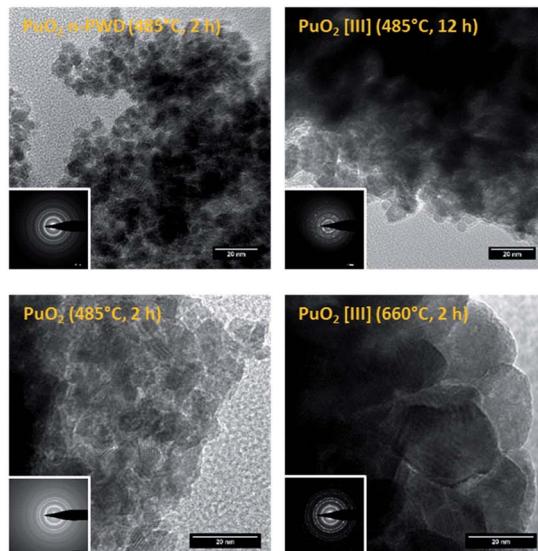


Fig. 1 Background-corrected PXRD patterns of  $\text{ThO}_2$  (a) and  $\text{PuO}_2$  (b) samples.



ThO<sub>2</sub> samplesPuO<sub>2</sub> samplesFig. 2 HR-TEM images and corresponding electron diffraction patterns (insets) for ThO<sub>2</sub> (left) and PuO<sub>2</sub> (right) samples.

decomposition of oxalates.<sup>45</sup> Popa *et al.* reported the unit cell parameter values ranging from  $5.611(1)$  to  $5.613(1)$  Å for  $6.1 \pm 0.7$  to  $7.1 \pm 0.9$  nm sized ThO<sub>2</sub> nanoparticles, respectively, and  $5.397(1)$  for  $3.7 \pm 1.0$  nm PuO<sub>2</sub> particles.<sup>48</sup> Recently, the expansion of the lattice parameter has been observed for ThO<sub>2</sub> nanoparticles that exhibit different sizes. Carbonate and hydroxyl surface groups have been suggested to exhibit a tensile effect on the crystalline lattice.<sup>35</sup>

Generally, the lower nanoparticle sizes observed for PuO<sub>2</sub> samples compared to ThO<sub>2</sub> when using similar firing conditions can be attributed to the different mechanisms and temperatures related to the decomposition of oxalate precursors for both the oxides.<sup>52,57</sup> The nanostructure of the oxides

obtained by the oxalate route appears to be controlled by the firing temperature and its duration, which involves a loss of nanoscale organization when they both increase. The duration of the heating treatment at the given temperature appears, nevertheless, to be less significant, as evidenced by the nanoparticle sizes measured, for instance, for PuO<sub>2</sub> samples obtained from the thermal conversion of Pu(III) oxalate at  $485^{\circ}\text{C}$  for 2 h ( $6.2 \pm 1.3$  nm) and 12 h ( $7.3 \pm 1.9$  nm). Note that a slow nanograin growth has been reported for UO<sub>2</sub> and ThO<sub>2</sub> prepared by the oxalate route below  $700^{\circ}\text{C}$ .<sup>52</sup> The differences in the crystallite growth rates have also been reported for AnO<sub>2</sub> powders (An = Th, Np, and Pu).<sup>45,48</sup> Finally, the nanoscale organization and morphology observed at low firing conditions

Table 2 AnO<sub>2</sub> particle sizes determined by HR-TEM measurements and Rietveld refinements from the XRD data. The corresponding lattice parameters determined by XRD are also given for each sample

Sample	Particle size from HR-TEM (nm)	Particle size from XRD (nm)	Lattice parameter (Å)
ThO <sub>2</sub> n-PWD 485 °C (2 h)	$7.7 \pm 1.4$	$5.7 \pm 0.1$	$5.6009(3)$
ThO <sub>2</sub> 485 °C (4 h)	$7.6 \pm 2.1$	$6.4 \pm 0.7$	$5.6016(3)$
ThO <sub>2</sub> 485 °C (12 h)	$3.8 \pm 0.9$	$8.0 \pm 0.4$	$5.6025(2)$
ThO <sub>2</sub> 600 °C (2 h)	$9.8 \pm 2.5$	$8.7 \pm 0.9$	$5.5993(2)$
ThO <sub>2</sub> 1000 °C (2 h)	$66.6 \pm 27.1$	$63.6 \pm 1.5$	$5.5963(4)$
Pu(IV) colloid	$2.9 \pm 0.4$	—	—
PuO <sub>2</sub> n-PWD 485 °C (2 h)	$4.6 \pm 1.0$	$5.1 \pm 0.1$	$5.4014(3)$
PuO <sub>2</sub> 485 °C (2 h)	$5.2 \pm 1.1$	$7.6 \pm 0.3$	$5.3980(2)$
PuO <sub>2</sub> 660 °C (2 h)	$12.2 \pm 3.3$	$17.0 \pm 1.2$	$5.4021(1)$
PuO <sub>2</sub> 660 °C (17 h)	—	$25.4 \pm 1.7$	$5.3970(1)$
PuO <sub>2</sub> 1200 °C (1 h)	$200.0^a$	$193.0 \pm 12.4$	$5.3938(1)$
PuO <sub>2</sub> [III] 485 °C (2 h)	$6.2 \pm 1.3$	$7.4 \pm 0.4$	$5.3979(2)$
PuO <sub>2</sub> [III] 485 °C (12 h)	$7.3 \pm 1.9$	$7.9 \pm 0.2$	$5.3969(1)$
PuO <sub>2</sub> [III] 660 °C (2 h)	$16.0 \pm 4.5$	$14.3 \pm 1.1$	$5.4005(1)$

<sup>a</sup> Arbitrary value taken from the literature and obtained for conversion of cerium and plutonium oxalate precursors at  $>1000^{\circ}\text{C}$ ;<sup>47,49,50</sup> the reported lattice parameters are  $5.396$ – $5.3975$  Å for bulk PuO<sub>2</sub> (ref. 12, 17, 20 and 51) and  $5.592$ – $5.597$  Å for bulk ThO<sub>2</sub> (ref. 33, 39, 46, 52 and 53).



for oxalate compounds has been already reported to occur with the oxalate route for  $\text{PuO}_2$ ,  $\text{UO}_2$ , and  $\text{ThO}_2$  samples.<sup>11,52</sup>

The selected AFM images shown in Fig. 3 confirm the nanoscale architecture obtained for the  $\text{ThO}_2$  samples prepared at low-temperature. Interestingly, these images clearly demonstrate the absence of nanostructure for the oxalate precursors, thus confirming that such an organization is mainly related to the firing conditions (50–100 nm grains are observed on the oxalate precursor). Note that attempts related to the HR-TEM characterization of oxalates failed due to its degradation under the electron beam. The Raman spectra of the  $\text{ThO}_2$  samples allowed to observe a red shift and enlargement in the  $\text{T}_{2g}$  band located at  $465 \text{ cm}^{-1}$ , which is correlated with the decrease in the annealing temperature and duration (Fig. S7, ESI†). Such an observation is attributed to phonon confinement in agreement with the literature, which can be considered as a fingerprint for nanocrystals and related nanostructured materials.<sup>1,48,52,58</sup> These Raman spectral features have already been reported by several authors who have studied  $\text{ThO}_2$  nanocrystals.<sup>48,52,59,60</sup> The enlargement of the FWHM parameter was directly related to the decreasing size of the nanocrystals that constitute the oxide, whereas the red shift was attributed to volume expansion, grain size distribution, presence of defects, Grüneisen parameter, *etc.*<sup>52</sup>

### 3.2. Probing the local structure

X-ray Absorption Near-Edge Structure (XANES) spectra acquired for a selection of  $\text{ThO}_2$  and  $\text{PuO}_2$  samples exhibit a white line at  $16\ 307.5 \pm 0.5 \text{ eV}$  and  $18\ 067.6 \pm 0.5 \text{ eV}$ , respectively (Fig. S8, ESI†). XANES has been reported to be sensitive to the chemical state and the local structure of a photoabsorbing atom, which can be different from the core to the bulk of a surface.<sup>23</sup> Similarly, the shape of a particle (and the related bond distances, angles, and symmetry) may cause modifications in the XANES spectra.<sup>23,25</sup> Nevertheless, the absence of significant variation of the white line position unequivocally indicates the

predominance of the (+IV) oxidation state for all of our studied samples (Fig. S8, ESI†).<sup>28</sup> A small decrease in the white line intensity (and shape of the post edge region) can, however, be noticed for  $\text{PuO}_2$  and  $\text{ThO}_2$  nanopowders, suggesting a possible variation of the local symmetry around some absorbing Th and Pu atoms for the smallest particles. A similar nanoparticle effect on the white line intensity has already been observed for  $\text{PuO}_2$  nanocrystals by Hudry *et al.*<sup>28</sup>

The experimental  $k^3$ -weighted Extended X-ray Absorption Fine Structure (EXAFS) spectra are given in Fig. S9 (ESI†) in the interval  $2 \text{ \AA}^{-1} < k < 14 \text{ \AA}^{-1}$  for the selected  $\text{ThO}_2$  and  $\text{PuO}_2$  samples. Whatever the nature of the oxide, these functions can be visually decomposed into lower frequency oscillations that dominate the signal between 2 and  $10 \text{ \AA}^{-1}$  characteristic from the An–O shell and higher frequency oscillations becoming predominant after  $k = 10 \text{ \AA}^{-1}$  that are assigned to An–An interactions. In agreement with these observations, two peaks (uncorrected for the phase shift) centred at  $R-\phi = 1.93 \text{ \AA}$  and  $3.80 \text{ \AA}$  for  $\text{ThO}_2$  and  $R-\phi = 1.84 \text{ \AA}$  and  $3.71 \text{ \AA}$  for  $\text{PuO}_2$  were observed after Fourier transformation of the  $k^3$ -weighted signal (Fig. 4a and b for  $\text{ThO}_2$  and  $\text{PuO}_2$ , respectively). These two peaks are respectively assigned to the An–O and An–An coordination spheres in the  $\text{AnO}_2$  fluorite crystal system.<sup>11,16–18,27,33,35</sup>

A strong decrease in the intensity of both An–O and An–An shell peaks is noticed on decreasing the firing temperature for both  $\text{ThO}_2$  and  $\text{PuO}_2$  samples. This modification in the local actinide structure can be ascribed to the decrease in crystallinity of the sample, which affects the local order in bulk materials (increase of DWF), or to the particle size variation, which significantly affects the coordination number in the nanometer range.<sup>25,61</sup> Note that particle size effect is clearly noticed in the long range order from  $R-\phi = 6$  to  $10 \text{ \AA}$ , where distant coordination shells are highly pronounced for high fired  $\text{ThO}_2$  and  $\text{PuO}_2$ , whereas they become less defined on decreasing the particle size of the sample. XANES and EXAFS spectroscopic studies are known to be sensitive to size effects and the reduced

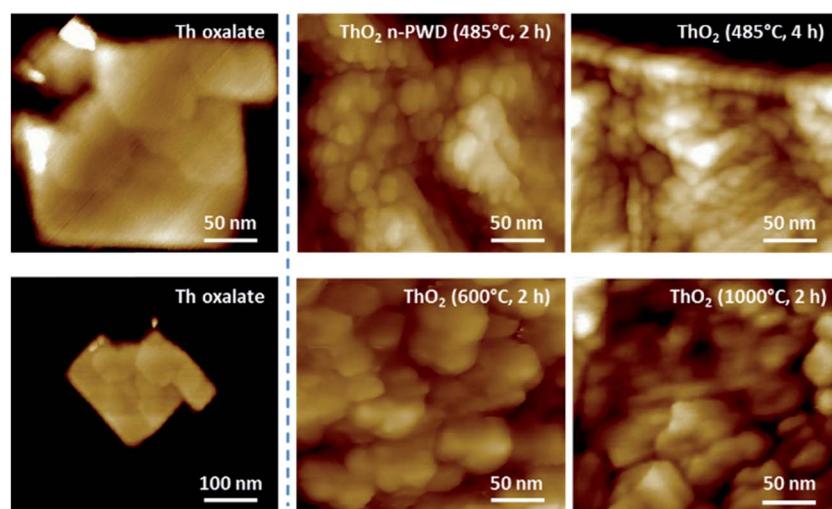


Fig. 3 AFM images emphasizing the nanoscale architecture of  $\text{ThO}_2$  samples, which is lost on increasing the firing temperature. The absence of nanostructure is evident for Th oxalate precursors, confirming their temperature-formation dependency.



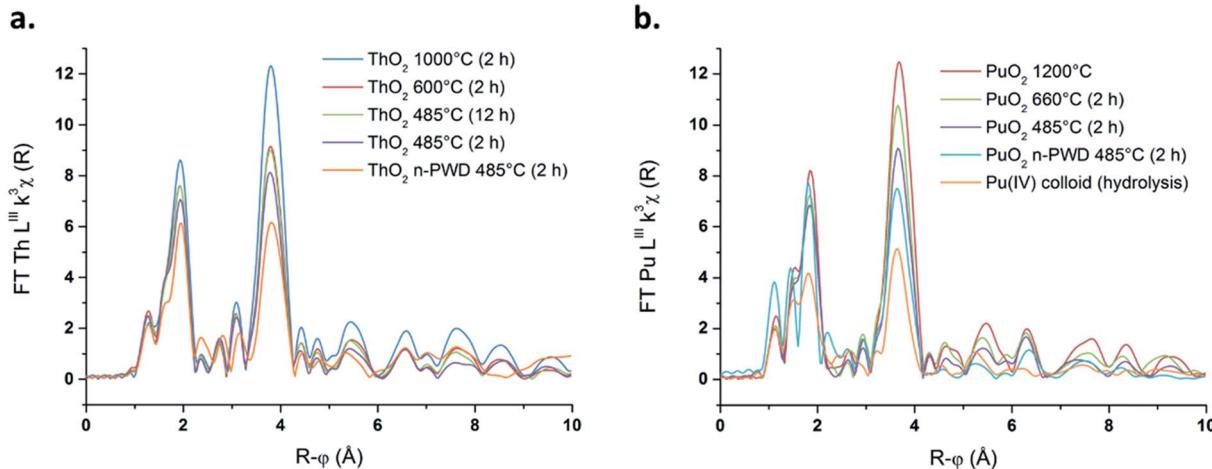


Fig. 4 Fourier transform of the  $k^3$ -weighted EXAFS spectra for a selection of  $\text{ThO}_2$  (a) and  $\text{PuO}_2$  (b) samples.

number of neighbouring atoms at the surface of a particle in comparison to the bulk, which becomes significant for the smallest particles.<sup>23,26,35,62–64</sup> As an instance, previous calculations showed that *ca.* 27% of Pu atoms are present at the surface of a  $\text{PuO}_2$  particle of 2.9 nm in diameter.<sup>11</sup> Amidani *et al.* reported that a model  $\text{ThO}_2$  particle of 2.1 nm exhibits 68% of the total cations at its surface.<sup>23</sup> Whatever the considered actinide oxide, these observations are accompanied with a striking distortion and splitting of the An–O shell correlated with the decreasing annealing temperature (Fig. S10 in ES<sup>†</sup> provides a magnification of the An–O shells). This feature is emphasized for the samples composed with the smallest particles, particularly for both Th and Pu nanopowders and for Pu(IV) intrinsic colloids.

Such a phenomenon has been already reported for  $\text{PuO}_2$  samples and previously attributed to the contribution of several Pu–O distances in the oxide.<sup>7,11,17,18,21</sup> More precisely, Pu–O shell splitting has been tentatively explained by the contribution of short oxo bonds found, for instance, in molecular Pu(v) or Pu(vi) ions, suggesting the formation of  $\text{PuO}_{2+x}$ . The similar features observed for the  $\text{ThO}_2$  samples in this current study cannot be attributed to such an effect since Th only exists at the (+IV) oxidation state. Thus, the analogous distortion and splitting of both Pu–O and Th–O shells support another explanation. In our previous study focused on the synthesis and characterization of Pu(IV) intrinsic colloids, we correlated the Pu–O shell distortion to the size of the  $\text{PuO}_2$  nanoparticles constituting the colloidal suspensions. A stronger alteration of the EXAFS spectra was indeed observed with the shrinking size of the particles, which are known to exhibit higher atomic surface-to-volume ratio. The rising concentration of the uncoordinated atoms, hydrolysed moieties, and  $\mu$ 3-oxo bonds (measuring *ca.* 2.2 Å) on the surface of a size-decreasing oxide may indeed be more sensitive to EXAFS spectroscopy. At first glance, this hypothesis agrees with the observations reported in this current work for the various Th and Pu nanoparticles in the form of colloids, nanopowders, or “more conventional” nanostructured oxides.

In order to avoid misinterpretations related to EXAFS signal reading and improve the fit quality, the An–O coordination shells were fitted separately and the data treatments were standardized to treat all the data in a similar way (see experimental section). Such a procedure allowed the investigation of the Debye–Waller factor (DWF) variation for the first oxygen shell, whereas the corresponding coordination number and distance parameters were fixed, in agreement with the ideal fluorite-type  $Fm\bar{3}m$  structure ( $\text{CN} = 8$  and  $\text{An–O} = 2.41$  Å (Th) or 2.33 Å (Pu)).<sup>27</sup> The resulting DWF variations represent the relative local structural disorder from a material merging in a single parameter, the variations in crystalline domains and shapes, lattice defects, and environment of nanomaterials (all known to affect the DWF parameter).<sup>61</sup> By fixing the coordination number and An–O distance in our fitting procedure, the DWF parameter somehow indicates how high the fitted local structural disorder has to be for the An–O shell to fit with the ideal  $\text{AnO}_2$  structure. In contrast, the extracted structural parameters for the An–An coordination shell offer complementary information, determined by fitting the long range EXAFS spectra. Table 3 gathers the structural parameters calculated according to this procedure for the two coordination shells of both the actinide oxides.

The corresponding O-shell DWF parameters show a striking increase with the decrease in the annealing temperature for various considered oxides (with fixed coordination number and An–O distance of 2.41 Å and 2.33 Å for Th–O and Pu–O, respectively). For the  $\text{ThO}_2$  sample fired at 1000 °C, the first O-shell DWF parameter equals  $4.9 \pm 1.1 \cdot 10^{-3}$  Å<sup>2</sup>, whereas it increases to  $6.9 \pm 1.1 \cdot 10^{-3}$  Å<sup>2</sup> when heated at 485 °C (oxalate route) and reaches  $9.5 \pm 1.1 \cdot 10^{-3}$  Å<sup>2</sup> for the nanopowder. A similar trend is noted for  $\text{PuO}_2$  samples, for which the first O-shell DWF value reaches  $5.9 \pm 1.2 \cdot 10^{-3}$  Å<sup>2</sup> when fired at 1200 °C and  $7.0 \pm 1.1 \cdot 10^{-3}$  Å<sup>2</sup> when heated at 485 °C. This parameter drastically increases for the sample composed of the smallest nanoparticles, *i.e.*, for  $\text{PuO}_2$  nanopowder ( $8.9 \pm 1.0 \cdot 10^{-3}$  Å<sup>2</sup>) and for Pu(IV) intrinsic colloid ( $12.1 \pm 0.9 \cdot 10^{-3}$  Å<sup>2</sup>).



Fig. 5 plots the first O-shell DWF parameter as a function of the An oxide particle size determined by XRD through Rietveld refinement (the similar curves are separately displayed in Fig. S11, ESI†). Both  $\text{PuO}_2$  and  $\text{ThO}_2$  samples exhibit the same trend and are featured with an increase in the O-shell DWF, which is correlated with the shrinking particle size of the oxides. This phenomenon is strongly accentuated for the smallest particles, *i.e.*, when the particle size reaches a value below 10 to 20 nm. These observations can be also correlated with the structural parameters calculated for the An–An coordination spheres (Table 3 and Fig. 5b). In contrast, the An–An DWF and the distance parameters are found to be quite stable, whereas the related coordination numbers dramatically decrease with the decrease in the nanocrystal size of the oxides. The corresponding trends illustrated in Fig. 5 clearly demonstrate a significant particle size dependency for powders composed of particles smaller than *ca.* 10 to 20 nm in diameter, which agrees well with the first O-shell investigations.

### 3.3. Discussion

EXAFS spectroscopy is known to be more sensitive to the local structure of the absorbing atoms in comparison to XRD, the latter being more sensitive to periodic features and leading to an average positions of atoms in the structure.<sup>17</sup> The crystalline nature of  $\text{AnO}_2$  nanoparticles is confirmed in this work through XRD and HR-TEM techniques but also with EXAFS spectroscopy, which points out the absence of significant variation in the An–An lattice. By contrast, the above-described observations reveal a striking correlation between the shrinking nanocrystal sizes of the samples and their respective local structure. The extracted DWF parameter, which provides a measurement of the crystallographic disorder, increases in the presence of nanoparticles and is dramatically amplified for the samples composed of the smallest ones (*e.g.*, colloid or nanopowders). In comparison to bulk, the surfaces and interfaces of nanoparticles are highly disordered and their reducing size involves an increasing number of An(IV) with lower coordination numbers (Fig. 5). A good description of this phenomenon has been described by Kuzmin *et al.*<sup>25</sup> or Frenkel *et al.*<sup>26</sup>

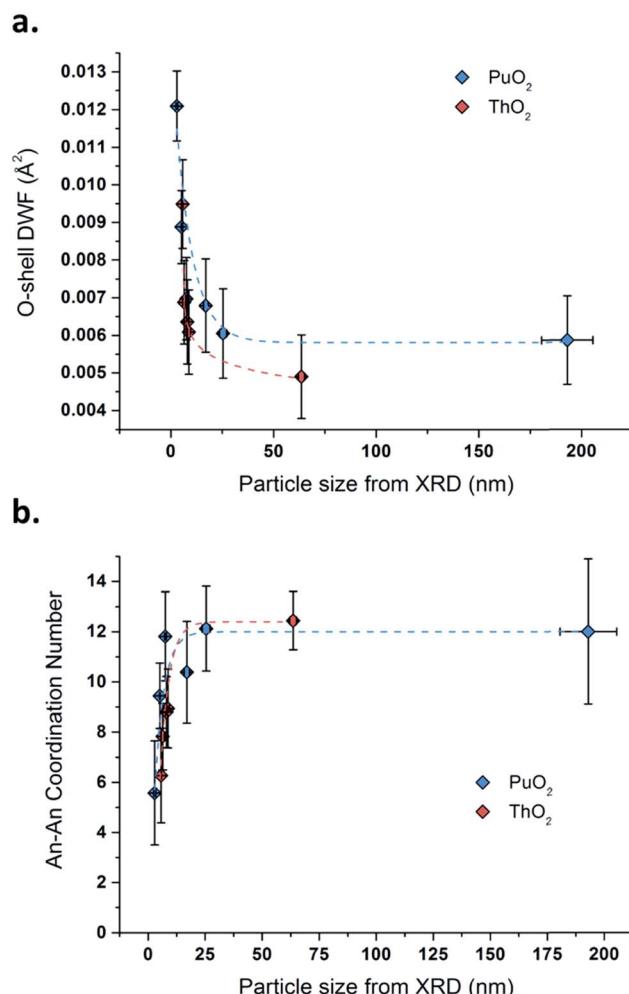


Fig. 5 Variation of the O-shell DWF (a) and the An–An coordination number (b) as a function of the particle size for  $\text{PuO}_2$  (blue data) and  $\text{ThO}_2$  (red data) determined by XRD Rietveld refinement.

In addition to the reduced number of neighbouring atoms standing at the surface of a nanoparticle, surface effects such as bond stress, boundaries, local strains, and lattice distortions

**Table 3** Structural parameters calculated for  $\text{ThO}_2$  and  $\text{PuO}_2$  samples according to their respective  $k^3$ -weighted EXAFS spectra. R-factor 4.5%, DWF: Debye–Waller factor,  $S_0^2 = 0.9$ , CN: coordination number,  $\Delta E_0 = 4.8$  eV

Sample	O-shell DWF ( $10^{-3} \text{ Å}^2$ )	An–An shell CN	An–An shell DWF ( $10^{-3} \text{ Å}^2$ )	An–An distance (Å)
$\text{ThO}_2$ n-PWD 485 °C (2 h)	$9.5 \pm 1.1$	$6.3 \pm 1.9$	$6.2 \pm 0.4$	$3.97 \pm 0.02$
$\text{ThO}_2$ 485 °C (4 h)	$6.9 \pm 1.1$	$7.8 \pm 1.3$	$5.3 \pm 0.3$	$3.96 \pm 0.01$
$\text{ThO}_2$ 485 °C (12 h)	$6.4 \pm 1.1$	$8.8 \pm 1.4$	$4.9 \pm 0.3$	$3.97 \pm 0.01$
$\text{ThO}_2$ 600 °C (2 h)	$6.1 \pm 1.1$	$8.9 \pm 1.6$	$4.9 \pm 0.3$	$3.97 \pm 0.01$
$\text{ThO}_2$ 1000 °C (2 h)	$4.9 \pm 1.1$	$12.4 \pm 1.2$	$3.9 \pm 0.4$	$3.97 \pm 0.01$
Pu(IV) colloid	$12.1 \pm 0.9$	$5.6 \pm 2.1$	$5.6 \pm 1.6$	$3.80 \pm 0.01$
$\text{PuO}_2$ n-PWD 485 °C (2 h)	$8.9 \pm 1.0$	$9.5 \pm 1.3$	$4.9 \pm 0.7$	$3.80 \pm 0.02$
$\text{PuO}_2$ 485 °C (2 h)	$7.0 \pm 1.1$	$11.8 \pm 1.8$	$5.6 \pm 0.6$	$3.81 \pm 0.01$
$\text{PuO}_2$ 660 °C (2 h)	$6.8 \pm 1.2$	$10.4 \pm 2.0$	$4.3 \pm 0.7$	$3.81 \pm 0.03$
$\text{PuO}_2$ 660 °C (17 h)	$6.1 \pm 1.2$	$12.1 \pm 1.7$	$4.9 \pm 0.6$	$3.82 \pm 0.01$
$\text{PuO}_2$ 1200 °C (2 h)	$5.9 \pm 1.2$	$12.0 \pm 2.9$	$4.2 \pm 0.9$	$3.84 \pm 0.02$

involve crystallographic defects that increase the DWF parameter. Such an assertion is emphasized for  $\text{ThO}_2$  samples when plotting the Rietveld-extracted strain value as a function of the nanoparticle size (Fig. S12, ESI,† Note that the  $\text{PuO}_2$  strain parameter was not extracted due to artefact generation attributed to the epoxy resin used to fix the powdered samples). The strain value, which provides a measurement of the lattice stress, dramatically increases with the shrinking size of the nanoparticles in agreement with the DWF parameter determined by EXAFS. The increase in the lattice parameter with decreasing particle size also supports this explanation.<sup>35</sup> The DWF parameter was found to be strongly correlated with the increase in the surface-to-volume ratio when decreasing the size of nanoparticles in this work.

The surface Debye temperature, which is inversely proportional to the Debye–Waller coefficient, has been reported to be about 50% lower than that of the bulk value in metals.<sup>61</sup> In addition, molecular dynamics has shown that the atomic square displacement continuously increased from the bulk to the surface of a nanoparticle. The presence of surface low-coordinated atoms plays a significant role in the atomic displacement and vibrational properties. These variables have also been reported to increase according to the environment of a nanoparticle. Indeed, the presence of hydrolysed moieties,  $\mu$ -3-oxo bonds, and adsorbed water molecules in the Pu(v) intrinsic colloidal species may be viewed as structural defects and therefore, enhance DWF. The very high proportion of surfaces and interfaces driven by free nanoparticles and their boundaries in nanostructured oxides also enter in this category. Therefore, the distortion and splitting of the O-shell on Fourier transformation of the EXAFS weighted spectra can be convincingly assigned to structural defects driven by the nanoparticle surfaces, which appears to be correlated to their decreasing sizes. Finally, the analogous behaviour of  $\text{ThO}_2$  and  $\text{PuO}_2$  nanoparticles supports this hypothesis and discards the hypothesis of  $\text{PuO}_{2+x}$  formation suggested in the literature. Indeed, such an assertion is clearly invalid for the redox free thorium actinide, which is only stabilized in the (+IV) oxidation state.

## 4. Conclusion

A strong correlation between the size of  $\text{PuO}_2$  and  $\text{ThO}_2$  nanocrystals that constitute the corresponding oxides and their local structure probed by EXAFS spectroscopy has been established. The  $\text{ThO}_2$  samples exhibit a very similar behaviour as that of the  $\text{PuO}_2$  samples in term of nanoparticle size *vs.* local structure relationship. The data described in this paper strongly support that this correlation is related to structural defects in the nanoparticles, which drastically increase with the shrinking size of the particles that constitute the oxides. This suggests that the first shell distortion and splitting of Pu–O observed with EXAFS spectroscopy cannot be attributed to the existence of  $\text{PuO}_{2+x}$ . Beyond the still-open ongoing discussions related to the realness of  $\text{PuO}_{2+x}$ , these results also raise the question of the local structure and related physico-chemical properties of oxide nanomaterials crystallizing in the fluorite structure, which are of paramount importance for engineering applications such as

nuclear energy, solid oxide fuel cells, catalysis, and sensors. Particularly, this study demonstrates that the nanostructure of actinide oxides can be controlled not only by the synthetic procedure but also by the firing duration and temperature. The oxalate route, which constitutes a reference method for the nuclear industry, may therefore lead to nanostructured  $\text{PuO}_2$  in a relatively large range of firing temperature.

## Author contributions

Two groups situated in ICSM and CEA Marcoule conceived the study. Sergey Nikitenko (head of ICSM/LSFC group) and Matthieu Virot from ICSM collaborated with Philippe Moisy and Laurent Venault (head of Pu lab) from CEA Marcoule for the development of the study. Moisy and Venault also managed the access to appropriate infrastructures and provided purified Pu solutions. Laura Bonato and Elodie Dalodi  re prepared and characterized most of the samples during their PhD theses. Matthieu Virot supervised the two related PhD theses. Matthieu Virot, Sergey Nikitenko and Laura Bonato wrote the manuscript. Damien Prieur and Andr   Rossberg from ESRF performed the synchrotron characterizations. Thomas Dumas from Marcoule performed the EXAFS fits. Adel Mesbah and Nicolas Dacheux from ICSM gave their expertise in actinide materials and crystallography. Adel Mesbah performed the Rietveld refinements. Xavier Le Goff from ICSM performed the HR-TEM characterizations on Th samples. Oliver Dieste-Blanco and Thierry Wiss from JRC Karlsruhe performed the HR-TEM characterizations on Pu samples. Michael Odorico from ICSM performed the AFM characterizations.

## Conflicts of interest

There are no conflicts to declare.

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