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## Preferred orientations of organic cations at lead-halide perovskite interfaces revealed using vibrational sum-frequency spectroscopy†

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The structure of the interfacial layers of lead halide perovskites is expected to play a crucial role in carrier dynamics and in the performance of perovskite-based devices. However, little is known about the structure and dynamics of perovskite interfaces at the molecular level. In this study, we access molecular dynamics at perovskite interfaces by monitoring the alignment of cations in methylammonium (MA) lead bromide perovskite. For this we apply heterodyne-detected vibrational sum-frequency generation (HD-VSFG) spectroscopy with the help of DFT calculations to a range of thin-film perovskite active layers interfaced with several organic and oxide semiconducting materials. At interfaces with air, glass, TiO<sub>2</sub> and NiO transport layers and phenyl-C61-butyric acid methyl ester (PCBM), we observed no signature of cation vibrations, indicating a randomized arrangement of cations. On the other hand, HD-VSFG spectra of 2,2',7,7'-tetrakis[*N,N*-di(4-methoxyphenyl)amino]-9,9'-spirobifluorene (Spiro-MeOTAD)/perovskite interfaces exhibited a clear peak corresponding to the ammonium antisymmetric bending mode, indicating that the MA's ammonium moiety is preferentially oriented towards the Spiro-MeOTAD layer with a large portion of MA cations are tilted away from the surface normal. The observed interfacial anisotropy is in contrast with the general picture accepted for bulk perovskite materials. We discuss the potential consequences of the observed phenomena in the context of the local field and carrier injection at the various interfaces within the perovskite solar cells.

### 1. Introduction

Metal halide perovskites have generated significant interest in both academia and industry, owing to their effectiveness as

#### New concepts

Our work describes the first application of heterodyne-detected vibrational sum frequency generation spectroscopy to understand the material interfaces in hybrid perovskite solar cells by measuring the rotational behaviour of organic cations. Perovskite thin-films, integrated into multilayer structures with other optoelectronic materials, have proven themselves to be promising active elements in solution processed optoelectronics. Multiple reports have shown that the structural dynamics of these soft semiconductors, coupled with the rotational motions of organic cations, are responsible for many perovskites' unique optoelectronic properties. However, addressing the specific structural properties of perovskite interfaces, which are extremely important for both carrier injection/extraction and internal field distribution in the device, has been challenging. Our pioneering approach reveals that, in contrast to the bulk material, cation rotation is significantly restricted close to the interface between the perovskite and certain electron/hole transporting materials. We reveal a new concept for the perovskite community, namely, that the dynamical behaviour of the perovskites' organic sublattice, and consequently, the dynamics of the lead-halide octahedra are interface specific and should be treated differently from the bulk active layer. This has significant implications for our understanding of both local field distributions, and carrier injection properties at interfaces within perovskite solar cells.

absorbers in thin film photovoltaic devices and emitters in thin film light-emitting diodes.<sup>1,2</sup> Presently, power conversion efficiencies as high as 22%<sup>3</sup>—the highest of any of the so-called 3rd generation photovoltaic technologies—have been achieved using perovskite solar cells. As well as their high power conversion efficiencies, perovskites enjoy other benefits, such as low production costs, and broad material tuneability,<sup>4</sup> which has spurred researchers to investigate their possible use as active materials in other applications, including in solar thermoelectric materials,<sup>5</sup> LEDs, lasers,<sup>6</sup> and non-volatile memory.<sup>7</sup>

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Lead halide perovskites share an  $\text{APbX}_3$  structure, including an organic or large inorganic cation (A) and some combination of halide ions ( $\text{X}_3$ ). A corner sharing octahedral framework is created by the Pb and X species, with the charge-balancing A-site cation occupying the resulting central cavity. A-site cations are an essential part of the perovskite lattice, and their properties and behavior strongly influence the structure and dynamics of both the inorganic  $\text{PbX}_3$  sub-lattice and the material as a whole. It has been proposed that cation dynamics play a key role in several phenomena relevant to photovoltaic performance, including, ferroelectricity,<sup>8,9</sup> modulation of the dielectric function,<sup>10–12</sup> carrier transport/recombination,<sup>13–15</sup> and ion mobility,<sup>16,17</sup> among others. Tong *et al.*, suggested that local field effects arising from ordered cationic domains may reduce the energy barrier for the hopping of charged defects,<sup>16</sup> whilst an *ab initio* molecular dynamics simulations on perovskite observed a correlation between rotations of the methylammonium (MA) cation and migration of an iodide vacancy to an adjacent lattice site.<sup>17</sup> In addition, a few theoretical studies have proposed that charge carrier dynamics in perovskites are strongly influenced by organic cation rotations. It has also been proposed that cation rotations are a key factor in the high dielectric constants that enable facile charge separation<sup>18</sup> and that, in  $\text{MAPbI}_3$ , dynamical reorientation of the organic sub-lattice reduces carrier scattering<sup>19</sup> and may even work to spatially segregate electrons and holes.<sup>13,14</sup>

Because of the aforementioned phenomena, organic cation rotations have been extensively studied both theoretically and experimentally. While most studies agree that organic cations within the bulk perovskite undergo rotations at normal operating conditions for perovskite PVs, the extent of rotation may vary considerably, from total immobilization, to virtually unrestricted free rotation, depending on the pressure, temperature, chemical composition, and local environment.

Numerous experimental techniques have been used to investigate cation rotation, including: millimeter wave,<sup>10</sup> NMR,<sup>20–22</sup> 2-dimensional IR (2D-IR),<sup>23–25</sup> transient Optical Kerr Effect (TR-OKE),<sup>26</sup> and quasi-elastic neutron scattering (QENS)<sup>9,27</sup> spectroscopy. These experimental methods have been complemented by a variety of theoretical techniques, such as *ab initio* molecular dynamics (AIMD) and empirical force field classical molecular dynamics (CMD). Although diverse, all these modern techniques appear to identify two regimes of cation motion in  $\text{MAPbI}_3$ , as well as in the less commonly utilized  $\text{FAPbI}_3$ .<sup>28</sup> These regimes are respectively: a rapid sub-ps wobbling motion around a local equilibrium aligned with the crystal axis (the so-called ‘wobbling within a cone’ or ‘frustrated librations’), and (slower) discrete ‘reorientational jumps’ of the molecular axis to an adjacent equilibrium position happening on timescales of a few-ps.<sup>23–26,28</sup> In the case of MA and FA, cations preferentially align towards the faces of the  $\text{PbI}_3$  cage, with all faces equally favored at high temperatures, whilst certain orientational directions appear to be favored in the orthorhombic/tetragonal phases.<sup>20,29</sup> SHG studies by Govinda *et al.* also appear to support a model in which the dynamics of the organic cation in the bulk do not result in either polarization or symmetry breaking.<sup>30,31</sup>

Whilst these experimental techniques have led to a relative consensus concerning the dynamics of cations in the bulk material, an understanding of cation behavior at perovskite interfaces has remained comparatively elusive. At least two recent studies have reported observations that suggest material interfaces may influence cation rotations. Zhang *et al.* utilized high-resolution TEM to directly observe the structure of  $\text{MAPbI}_3$ , unexpectedly observing ferroelectric domains of ordered MA cations that persisted for over one second at 300 K.<sup>28,32</sup> Such an observation is inconsistent with previously discussed findings on bulk perovskites, which predict facile cation rotation taking place on picosecond timescales. Additionally, Röhm *et al.* observed persistent ordered ferroelectric domains using a battery of surface sensitive techniques.<sup>33</sup> A pioneering study by Ohmann *et al.* found similar evidence of orientational biasing at the surface of  $\text{MAPbI}_3$ , albeit in vacuum ( $\sim 10^{-10}$  mbar), and at a temperature of 4.5 K.<sup>34</sup> Other studies on organohalide perovskites have observed significant variation of cation rotational behavior with temperature, with cation rotation becoming significantly restricted relative to higher temperatures below 80 K.<sup>20,28</sup> The surface sensitive nature of these techniques lies in contrast to the predominantly bulk-sensitive nature of the various spectroscopic techniques employed to date and may suggest that the dynamics of cations in metal halide perovskites vary significantly depending on their proximity to surfaces or interfaces. These differences could include a ‘freezing-out’ of cation motion close to the surface as a result of symmetry breaking.

Most perovskite optoelectronic devices are multilayer nanostructures wherein a thin slab of perovskite material is sandwiched between complementary electron and hole transporting layers, for instance organic semiconductors or metal oxides. The interfaces between the layers are essential in charge injection and extraction, as well as in energy transfer. Thus, changes in cation rotational mobility may drastically alter device performance, due to the role played by the organic cation in dictating the properties of the material. The presence of ordered cationic domains near the interface would have a significant effect on the photovoltaic performance of the perovskite, for example, by changing electrostatic barriers for charge and ion transport, or by influencing the dielectric constant close to interfaces.

Understanding of how cation reorientations vary in the bulk *versus* at interfaces is therefore an essential consideration in the design and development of these important materials, calling for the application of powerful surface-specific spectroscopic techniques to address the problem. Recently, Motti *et al.* applied homodyne VSFG spectroscopy to organic field effect transistors, and observed electric field induced orientation of the interfacial polymer moieties.<sup>35</sup> In a similar manner to this study, Chen and coworkers investigated the interface between  $\text{MAPbI}_3$  and a polythiophene hole transport layer using homodyne VSFG, although they focused on the orientation of polymer molecules rather than MA cations in  $\text{MAPbI}_3$ .<sup>36</sup>

In this study, we used heterodyne-detected vibrational sum-frequency generation (HD-VSFG) spectroscopy to monitor the alignment of cations at the surface of methylammonium



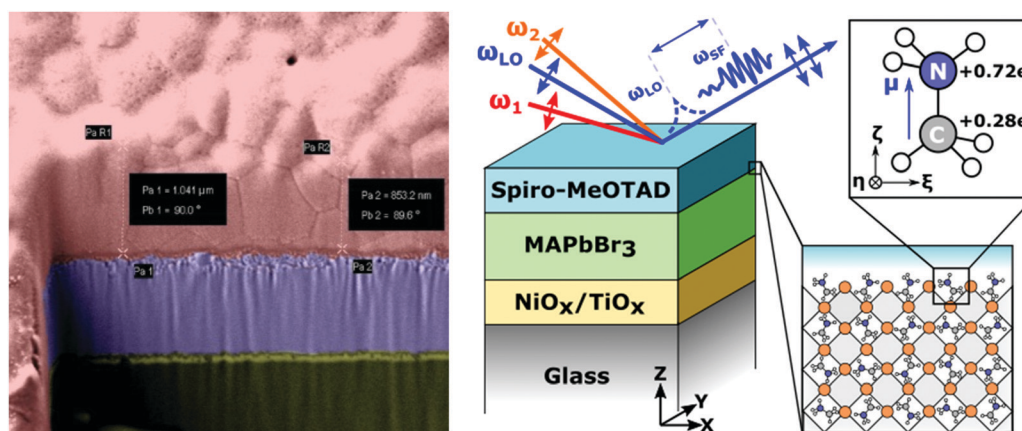
lead bromide perovskite thin-films and at perovskite interfaces with hole- and electron-extracting materials. HD-VSFG is a phase-resolved extension of VSFG spectroscopy and can provide both the imaginary (Im) and real (Re) parts of the second order nonlinear susceptibility ( $\chi^{(2)}$ ) spectra.<sup>37,38</sup> Im  $\chi^{(2)}$  represents the vibrational resonances and its sign provides information about the up/down orientation of the interfacial dipoles. MAPbBr<sub>3</sub> was chosen instead of the more ubiquitous MAPbI<sub>3</sub> as MAPbI<sub>3</sub> is non-centrosymmetric and thus generates a background SFG signal from second-order optical process within the bulk perovskite.<sup>39</sup> By contrast, MAPbBr<sub>3</sub> is centrosymmetric and thus should not generate a strong bulk SFG background.<sup>40</sup> Additionally, fluorescence from MAPbBr<sub>3</sub> can be avoided as single photon absorption is negligible using the present  $\omega_1$  wavelength and fluorescence *via* two-photon absorption has a much shorter wavelength ( $\lambda \sim 500\text{--}600\text{ nm}$ ) than the SF signal. The films were interfaced with air, glass, and several of the charge selective materials most commonly employed in perovskite devices, such as 2,2',7,7'-tetrakis[*N,N*-di(4-methoxyphenyl)amino]-9,9'-spirobifluorene (Spiro-MeOTAD), phenyl-C61-butyric acid methyl ester (PCBM), TiO<sub>2</sub> and NiO. At the interface of the perovskites with the air, glass, PCBM, TiO<sub>2</sub> and NiO transport layers we observed no signature for cation vibrations, indicating a randomized, bulk-like orientation of the MA cations. Conversely, SF spectra of the Spiro-MeOTAD/perovskite interfaces exhibited a clear peak corresponding to the ammonium antisymmetric bending mode. By analyzing the Spiro-MeOTAD/MAPbBr<sub>3</sub> SFG spectra with second-order hyper polarizabilities obtained using DFT calculations, we concluded that the ammonium moiety of the MA cation is preferentially oriented towards the Spiro-MeOTAD layer, but with most of the cations tilted away from the surface normal. Our study provides new insight into the energetic landscape of perovskite materials and devices.

## 2. Results and discussion

Fig. 2 shows the second order susceptibility ( $\chi^{(2)}$ ) and  $|\chi^{(2)}|^2$  spectra of the Spiro-MeOTAD/MAPbBr<sub>3</sub>/TiO<sub>2</sub> multilayer film,

analogous to the active layers of perovskite solar cell devices, obtained using HD-VSFG spectroscopy. The Im $\chi^{(2)}$  spectrum in Fig. 2(a) shows a strong clear positive peak at 1574 cm<sup>-1</sup> and much weaker peaks at around 1490 cm<sup>-1</sup> and 1610 cm<sup>-1</sup>. A homodyne VSFG spectrum of the same sample, which corresponds to the  $|\chi^{(2)}|^2$  spectrum, was also measured by blocking the LO pulse (Fig. 2(b), green trace). Homodyne VSFG is a more widely-utilized technique and can confidently confirm the presence of resonant peaks. Unlike HD-VSFG, however, it is unable to provide directional information. The  $|\chi^{(2)}|^2$  spectrum calculated from the complex  $\chi^{(2)}$  shown in Fig. 2(b) matches well with the homodyne spectrum, which demonstrates that the  $\chi^{(2)}$  spectra do not contain unwanted artificial signals possibly caused by heterodyning. The peak frequencies in both the  $|\chi^{(2)}|^2$  and homodyne VSFG spectra appear to be shifted from the corresponding Im $\chi^{(2)}$  spectrum due to the mixing of the real part. Since the imaginary part is more informative, we henceforth will base our discussion and analysis on the Im $\chi^{(2)}$  spectra.

In the chosen IR frequency window, bulk MAPbBr<sub>3</sub> has two vibrational resonances corresponding to the symmetric ( $\delta_s$ ) and antisymmetric ( $\delta_{as}$ ) bending modes of the ammonium moiety of the MA cation, located respectively at 1477 cm<sup>-1</sup> and at 1585 cm<sup>-1</sup> (Fig. S4, ESI†).<sup>41</sup> Previously reported Raman spectra of single crystal MAPbBr<sub>3</sub> gave similar resonance frequencies for these two bending modes (approximately 1480 cm<sup>-1</sup> for the  $\delta_s$  and 1590 cm<sup>-1</sup> for the  $\delta_{as}$  mode).<sup>42,43</sup> In addition to MAPbBr<sub>3</sub>, *tert*-butylpyridine and the lithium triflimide salt additives used as a dopant of Spiro-MeOTAD are known to exhibit vibrational bands at *ca.* 1600 cm<sup>-1</sup> and 1550 cm<sup>-1</sup>, and 1635 cm<sup>-1</sup>, respectively.<sup>44,45</sup> However, because the concentrations of these dopants are low (approximately 30 mM and 261 mM for the lithium triflimide salt and, butylpyridine respectively), it is very unlikely that the dopant gives rise to the band observed at 1574 cm<sup>-1</sup>. In fact, the vibrational frequencies of these additives do not coincide with the band at 1574 cm<sup>-1</sup>. Therefore, the clear peak observed at 1574 cm<sup>-1</sup>



**Fig. 1** (left) False-colour SEM micrograph of MAPbBr<sub>3</sub> (red), deposited on NiO (purple), with the yellow layer representing the glass substrate; (right) beam geometry and laboratory co-ordinates for the HD-VSFG setup performed on a multilayer perovskite sample (note that the Spiro-MeOTAD top layer was replaced in several experiments with a PCBM top layer); (inset) picture of MA including Mulliken charges (with hydrogen partial charges summed into the C and N atoms) and molecular coordinates.



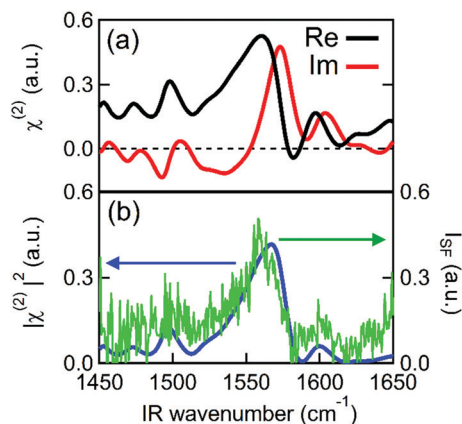


Fig. 2 PPP HD-VSFG spectra of the Spiro-MeOTAD/MAPbBr<sub>3</sub>/TiO<sub>2</sub> multilayer. (a) Real (black solid line) and imaginary (red solid line) spectra of  $\chi^{(2)}$ . (b) Mod square of  $\chi^{(2)}$  (blue solid line) calculated from the real and the imaginary parts of  $\chi^{(2)}$ . The homodyne VSFG spectrum (green solid line) is plotted together for comparison.

can be assigned to the  $\delta_{\text{as}}$  mode of the ammonium moiety of MA cation. In contrast to the strong  $\delta_{\text{as}}$  mode, we did not find a clear signature of the  $\delta_{\text{s}}$  mode expected at 1477 cm<sup>-1</sup>, even though the  $\delta_{\text{s}}$  mode has a larger amplitude than the  $\delta_{\text{as}}$  mode in both the IR and Raman spectra. This strong mode selection, seen only in the  $\text{Im}\chi^{(2)}$  spectrum, indicates that the 1574 cm<sup>-1</sup> band arises from the dipole contribution of oriented MA cations and that the SF signals from unoriented MA cations *via* electric field induced third-order process<sup>46</sup> are negligible. Therefore, the presence of a band at 1574 cm<sup>-1</sup>, together with the absence of a band at 1477 cm<sup>-1</sup>, provides clear evidence for the preferential orientation of MA cations at the interface. Preferential orientations of the interfacial MA cations give rise to different SF signal strengths for the two ammonium bending modes. A detailed analysis of MA orientations is provided later.

To further investigate the origin of the observed SFG bands, experiments on different combinations of layers were performed. To check if our assignment for the 1574 cm<sup>-1</sup> band to be MA cation is correct, we prepared a perovskite sample, using the same layer stacking order as the sample in Fig. 1, instead using Cs in place of the MA cation. As shown in Fig. 3(a), the  $\text{Im}\chi^{(2)}$  spectrum of the Spiro-MeOTAD/CsPbBr<sub>3</sub>/TiO<sub>2</sub> multilayer film produces no resonance SFG signal. The disappearance of the 1574 cm<sup>-1</sup> band upon replacing MA by Cs is consistent with our assignment of 1574 cm<sup>-1</sup> band to be MA cation. Interestingly, however, in addition to the main feature due to MA cation, the weak bands at ~1490 cm<sup>-1</sup> and 1610 cm<sup>-1</sup> seen in Fig. 2 are also absent at Spiro-MeOTAD/CsPbBr<sub>3</sub>/TiO<sub>2</sub> multilayer. The bulk IR spectrum of MAPbBr<sub>3</sub> does not have vibrations in these regions but Spiro-MeOTAD has a very large absorption band around 1500 cm<sup>-1</sup> (see Fig. S3 in ESI†) as well as a small absorption at 1610 cm<sup>-1</sup>.<sup>47</sup> Therefore, the bulk IR spectrum suggests that these bands are assignable to Spiro-MeOTAD. Nevertheless, because Spiro-MeOTAD/CsPbBr<sub>3</sub> interface does not show the weak bands at ~1490 cm<sup>-1</sup> and 1610 cm<sup>-1</sup>, these bands are not attributable only to Spiro-MeOTAD

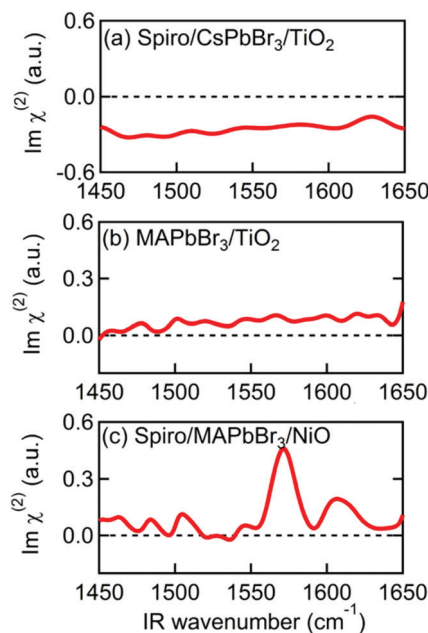


Fig. 3  $\text{Im}\chi^{(2)}$  spectra of various multilayer samples. (a) Spiro-MeOTAD/CsPbBr<sub>3</sub>/TiO<sub>2</sub>. (b) MAPbBr<sub>3</sub>/TiO<sub>2</sub>. (c) Spiro-MeOTAD/MAPbBr<sub>3</sub>/NiO.

but must be related with MA cations. For these reasons, we propose that these small bands at around 1490 cm<sup>-1</sup> and 1610 cm<sup>-1</sup> are possibly attributed to Spiro-MeOTAD directly interacting with MA cations, or *vice versa*, at the interface. In this case, the emergence of these bands may be indicative of a slight orientational biasing of the Spiro-MeOTAD molecules close to the interface, potentially induced by the same effects (*i.e.*: structural distortions and the presence of an interfacial electric field) that dictate orientational biasing of the MA cation which we discuss later. Since assignment of the bands at 1490 and 1610 cm<sup>-1</sup> are a little ambiguous, we hereafter focus on the major band at around 1574 cm<sup>-1</sup>, which is safely assigned to the  $\delta_{\text{as}}$  mode of MA cation.

The MAPbBr<sub>3</sub>/TiO<sub>2</sub> sample, without a Spiro-MeOTAD top layer, was then measured to determine which interface is responsible for alignment of the MA cation. The  $\text{Im}\chi^{(2)}$  spectrum in Fig. 3(b) shows only a small non-resonant SFG background, indicating that the MA cations at the MAPbBr<sub>3</sub>/TiO<sub>2</sub> interface are not the main source of the resonant SFG signal within the Spiro-MeOTAD/MAPbBr<sub>3</sub>/TiO<sub>2</sub> multilayer. Therefore, we conclude that MA cations at the Spiro-MeOTAD/MAPbBr<sub>3</sub> interface have a net orientation.

We confirmed this by conducting experiments on samples where TiO<sub>2</sub> was substituted by NiO. Fig. 3(c) shows the  $\text{Im}\chi^{(2)}$  spectrum of MAPbBr<sub>3</sub> sandwiched between Spiro-MeOTAD and NiO. Excepting a small difference in the offset, the sign and the amplitude of the  $\delta_{\text{as}}$  mode peak at 1574 cm<sup>-1</sup> is almost the same as Fig. 2(a), indicating that the orientation of MA cations at the Spiro-MeOTAD/MAPbBr<sub>3</sub> interface is hardly perturbed by the NiO bottom layer. Previously, Schulz *et al.* observed a ~0.15 eV shift in the work function of MAPbBr<sub>3</sub> due to deposition of a 5 Å thick Spiro-MeOTAD layer.<sup>48</sup> This implies that there is a potential difference at the molecular level at the





Spiro-MeOTAD/MAPbBr<sub>3</sub> interface that exceeds the thermal energy. For our 650 nm thick MAPbBr<sub>3</sub> film, it is expected that the distant bottom layer (TiO<sub>2</sub> or NiO) does not alter the potential difference of the other side. Kelvin probe force microscopy (KPFM) studies on TiO<sub>2</sub>/MAPbI<sub>3</sub>/Spiro-MeOTAD devices appear to support this, finding that the region where the electric field gradient (and thus the driving force for cation reorientation) is strongest appears in a narrow region of about 50 nm on either side of the MAPbI<sub>3</sub>/Spiro interface, while the total width of nonzero electric field gradient is approximately 300 nm.<sup>49,50</sup> It therefore appears that the depth of the layer where interfacial MA cations are oriented by this potential difference corresponds to a few unit cells of MAPbBr<sub>3</sub>. This is supported by that the 1574 cm<sup>-1</sup> band in the Im $\chi^{(2)}$  shown in Fig. 2(a) and 3(c) exhibit absorptive line shape as it would significantly change if the depth of oriented layer is comparable to or thicker than the length of phase mismatch ( $\sim 30$  nm).<sup>46,51</sup>

We propose that the orientational biasing of the organic cations in metal halide perovskites may be attributed to a combination of two separate effects: distortion of the inorganic PbX<sub>3</sub> lattice<sup>52</sup> and the aforementioned presence of an electric field close to the interface. Both effects have been discussed previously in the context of electronic and structural measurements. For example, NMR studies by Bernard *et al.* suggest that certain cation orientations are preferred in the tetragonal and orthorhombic phases of MAPbI<sub>3</sub>.<sup>20</sup> The transition from the high-temperature cubic phase of MAPbI<sub>3</sub> to the lower temperature orthorhombic/tetragonal phases is associated with a distortion of the inorganic lattice, which may explain the emergence of an orientational bias in these structures. Alternatively, a recent investigation by Birkhold *et al.* has also provided evidence for field induced orientational biasing of the organic cation. In their study, thermally stimulated current (TSC) peaks were observed at the orthorhombic to tetragonal phase transition, which the authors attribute to (de)polarization of the MA cations.<sup>53</sup> Moreover, the presence of an applied electric field was found to enhance, or even reverse the polarity of the TSC peak, suggesting that applied electric fields may enhance the spontaneous polarization of the organic cation. However, the aforementioned KPFM studies performed by Jiang *et al.* and Guerrero *et al.* on TiO<sub>2</sub>/MAPbI<sub>3</sub>/Spiro-MeOTAD devices found that the MAPbI<sub>3</sub>/TiO<sub>2</sub> interface exhibits a far greater electric field gradient compared to the MAPbI<sub>3</sub>/Spiro-MeOTAD interface, suggesting that the presence of an interfacial electric field alone is not able to induce an orientational bias.<sup>49,50</sup> Whilst MAPbBr<sub>3</sub> interfaces have not been profiled using KPFM, we believe it is likely that similar results would be obtained and thus we think it is apparent that the existence of an electric field gradient alone is not sufficient to explain orientational biasing in perovskites.

In addition to Spiro-MeOTAD, we also studied the possibility of MA cation preferential orientation at the interface with the PCBM electron transport layer. The LUMO level of PCBM is lower than the conduction band minimum of MAPbBr<sub>3</sub> by 0.6 eV,<sup>54</sup> comparable to the difference between the HOMO level of Spiro-MeOTAD and the MAPbBr<sub>3</sub> valence band maximum (0.8 eV).<sup>48</sup> This local field might induce orientation of MA

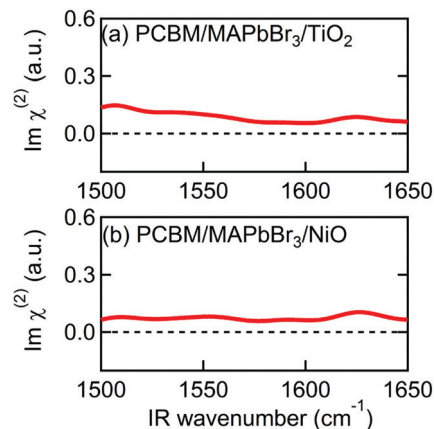


Fig. 4 Im $\chi^{(2)}$  spectra of multilayer samples consisting of PCBM. (a) PCBM/MAPbBr<sub>3</sub>/TiO<sub>2</sub>. (b) PCBM/MAPbBr<sub>3</sub>/NiO.

cations at the PCBM/MAPbBr<sub>3</sub> interface. However, Fig. 4(a) and (b), displaying the Im $\chi^{(2)}$  spectra obtained from PCBM/MAPbBr<sub>3</sub>/TiO<sub>2</sub> and PCBM/MAPbBr<sub>3</sub>/NiO, provide no clear evidence for ordered interfacial MA cations. From this we suggest that the strength of the interfacial local electric field at the PCBM/MAPbBr<sub>3</sub> interface is not strong enough to orient the interfacial MA cations. This may indicate different distributions of charge at the PCBM/MAPbBr<sub>3</sub> interface compared to Spiro-MeOTAD/MAPbBr<sub>3</sub>. This is possible as electrons are much more delocalized in fullerene aggregates than holes on the amorphous Spiro-MeOTAD molecules.<sup>55,56</sup> Alternatively, the difference between PCBM and Spiro-MeOTAD may indicate that a combination of local lattice deformations and the electric field is responsible for the interfacial cation motions. These interface specific environments may also be the origin of the  $\sim 10$  cm<sup>-1</sup> variation in the peak position in the Im $\chi^{(2)}$  spectrum (1574 cm<sup>-1</sup>) compared to the IR and Raman spectra (1585–1590 cm<sup>-1</sup>). A previous modelling study by Ghosh *et al.* suggested that distortion of the inorganic sublattice can result in frustrated cation rotation and lead to the formation of a local electric field, further complicating the picture.<sup>52</sup> Additional studies will be necessary to elucidate the interplay between lattice effects and the local electric field effect, and ultimately, to fully understand what effect causes the orientational biasing in perovskites.

From the sign of the Im $\chi^{(2)}$  spectrum of the Spiro-MeOTAD/MAPbBr<sub>3</sub> interface, information regarding the molecular orientation of interfacial MA cations can be inferred directly. For this purpose, several points need to be considered as follows. Firstly, the contribution of un-oriented MA cations *via* electric field induced  $\chi^{(3)}$  processes or quadrupole mechanisms is negligible, as mentioned previously. Secondly, the band gap energy of the MAPbBr<sub>3</sub> perovskite at room temperature is  $\sim 540$  nm,<sup>57–59</sup> which is far from the SF signal ( $\sim 715$  nm). In this case, we can assume that the SF signal from the perovskite sample surface reflects only vibrational resonances, *i.e.*, electronically nonresonant conditions. Finally, the Fresnel factor at the Spiro-MeOTAD/MAPbBr<sub>3</sub> changes only moderately around 1570 cm<sup>-1</sup> region (see Fig. S8, ESI†), hence it should not influence the Im $\chi^{(2)}$  spectrum. These conditions ensure that the sign of the Im $\chi^{(2)}$



spectrum is directly related to the orientational angle  $\theta$  of the ammonium C–N dipole with respect to the surface normal, which is shown below.

$$\chi_{\text{eff,PPP},\delta_{\text{as}}}^{(2)} = \left( \frac{1}{2} C_{\text{xxz}} + C_{\text{zzz}} \right) N_s \beta_{\zeta\zeta\zeta}^{(2)} \{ \langle \cos \theta \rangle - \langle \cos^3 \theta \rangle \}, \quad (1)$$

for  $\omega_2 = \omega_{\delta_{\text{as}}}$

$$\chi_{\text{eff,PPP},\delta_{\text{s}}}^{(2)} \sim N_s \beta_{\zeta\zeta\zeta}^{(2)} \left[ -\frac{1}{2} C_{\text{xxz}} \{ (1+r) \langle \cos \theta \rangle - (1-r) \langle \cos^3 \theta \rangle \} + C_{\text{zzz}} \{ r \langle \cos \theta \rangle + (1-r) \langle \cos^3 \theta \rangle \} \right], \quad \text{at } \omega_2 = \omega_{\delta_{\text{s}}} \quad (2)$$

where  $N_s$  is the surface density of MA cations,  $C_{\text{xxz}}$  and  $C_{\text{zzz}}$  are the positive prefactors including Fresnel factors, and  $r = \beta_{\text{xxz}}^{(2)} / \beta_{\text{zzz}}^{(2)}$ , and the bracket indicates average over the orientational distribution of the MA cations. The molecular axes for the MA cation are defined in such a way that the  $\zeta$ -axis is parallel to the C–N bond and the  $\xi$ -axis is on the plane of one H–C–N–H bond, as shown in Fig. S6(b) in ESI†. For the  $\delta_{\text{as}}$  mode of the ammonium moiety, four major components ( $\beta_{\zeta\zeta\zeta}^{(2)}$ ,  $\beta_{\zeta\zeta\xi}^{(2)}$ ,  $\beta_{\xi\xi\xi}^{(2)}$ , and  $\beta_{\xi\zeta\eta}^{(2)}$ ) are identical, as shown in our DFT calculation result of the polarizability derivative tensor and dipole derivative components listed in Tables S1 and S2 (ESI†). On the other hand, the  $\delta_{\text{s}}$  mode has two tensor components,  $\beta_{\zeta\zeta\zeta}^{(2)}$  and  $\beta_{\xi\xi\xi}^{(2)}$ , having different magnitude and sign. The DFT calculation result shows that  $\beta_{\zeta\zeta\zeta}^{(2)}$  for the  $\delta_{\text{as}}$  mode is positive. As mentioned before, two coefficients  $C_{\text{xxz}}$  and  $C_{\text{zzz}}$  are both positive. Consequently, the average polar angle of interfacial MA dipoles should be in the range of 0 to 90° for positive  $\chi_{\text{eff,PPP}}^{(2)}$  in eqn (1). Hence, on average, MA molecules at the Spiro-MeOTAD/MAPbBr<sub>3</sub> interface have a “dipole-up” orientation.

As shown in Fig. 5(a), the  $\chi_{\text{eff,PPP}}^{(2)}$  of the  $\delta_{\text{as}}$  mode has a maximum at a polar angle of approximately 57° when the distribution of MA polar angles with a  $\delta$ -function distribution (*i.e.*: when all MA ions are oriented at a particular angle). The maximum angle gradually shifts to a lower angle as the distribution of MA orientation angle get broader. In the case of the  $\delta_{\text{s}}$  mode, the  $\chi_{\text{eff,PPP}}^{(2)}$  in Fig. 5(b) shows a zero crossing point at around 42° with a  $\delta$ -function distribution. When the distribution width ( $\sigma$ ) gets larger, the  $\chi_{\text{eff,PPP}}^{(2)}$  of the  $\delta_{\text{s}}$  mode is much smaller at any orientation angles compared to that of  $\delta_{\text{as}}$  mode. Fig. 5(c) shows the ratio  $\delta_{\text{s}}/\delta_{\text{as}}$  for various distribution width, which can be directly compared to the amplitude ratio of two different vibrational bands in the observed  $\text{Im}\chi^{(2)}$  spectrum. The  $\text{Im}\chi^{(2)}$  spectrum in Fig. 2(a) does not show any clear vibrational resonance from 1450 to 1500 cm<sup>−1</sup> (corresponding to the frequency of the  $\delta_{\text{s}}$  mode). Therefore, the experimentally obtained ratio  $\delta_{\text{s}}/\delta_{\text{as}}$ , is less than the inverse of the signal-to-noise ratio, (*i.e.*, 0.2, demarcated by the shaded area in Fig. 5(c)). This implies that MA cations have orientation angles in the range of 30–60° unless they have a very large angle distribution ( $\sigma \geq 30^\circ$ ). At the moment, we cannot determine the orientation angle and distribution width independently, but we note that even in the case that the angle distribution is very large ( $\sigma = 40^\circ$  or  $50^\circ$ ), MA has an orientation angle larger than 20°. Consequently, it can be concluded that MA dipoles

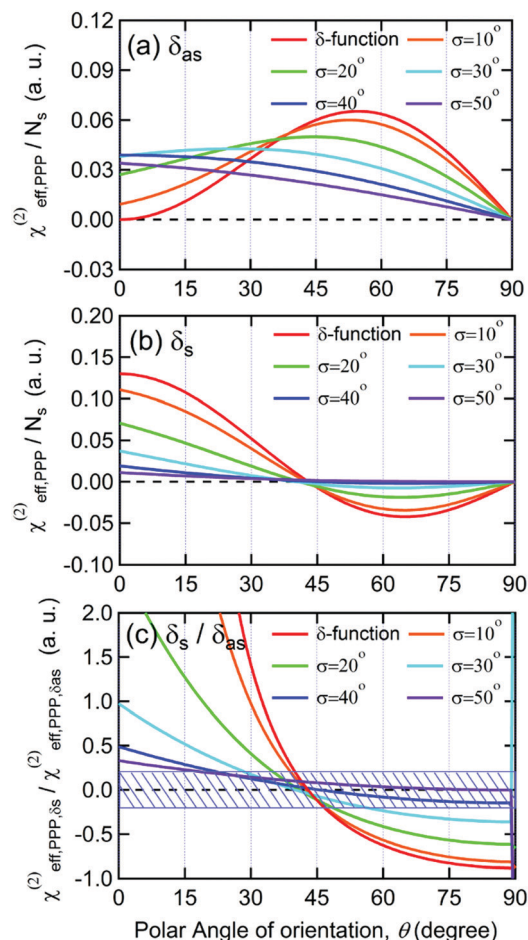


Fig. 5 Effective second-order susceptibility per molecule for PPP polarization combination versus polar angle of orientation of ammonium moiety in MA<sup>+</sup> cation. (a) Calculation for the antisymmetric bending mode,  $\delta_{\text{as}}$ . (b) Calculation for the symmetric bending mode,  $\delta_{\text{s}}$ . Red solid lines represent the calculations considering a  $\delta$ -function-like distribution of MA<sup>+</sup> cations, and the other five curves come from calculations including Gaussian distribution function of the form  $f(\theta') = \exp(-(\theta' - \theta)^2/(2\sigma^2))$ . (c) The ratio of the effective second-order susceptibility of the  $\delta_{\text{s}}$  mode to  $\delta_{\text{as}}$  mode. The blue shaded area corresponds to the uncertainty range caused by the signal-to-noise ratio of our experimental measurement.

are on average pointing toward Spiro layer but a large portion of them are substantially tilted from the surface normal.

According to recent pump-probe IR experiments on MAPbX<sub>3</sub> perovskites, MA cations in bulk MAPbBr<sub>3</sub> exhibit a fast “wobbling in a cone” motion, with characteristic time-scales of 0.3 ps, whereas secondary large angle jumping motions occur on 1.5 ps timescales.<sup>23–26,28</sup> We conclude from the present experiments that, at the Spiro-MeOTAD/MAPbBr<sub>3</sub> interface, the jumping motion of MA cation is unfavorable because of the large energy cost that is required to counteract the interfacial built-in electric field. Thus only the “wobbling-in a cone” motion still occurs, with its corresponding small angle displacement. This small angle displacement motion results in a finite width of the polar angle distribution, probably corresponding to the “tilted” orientation indicated by the experiment.



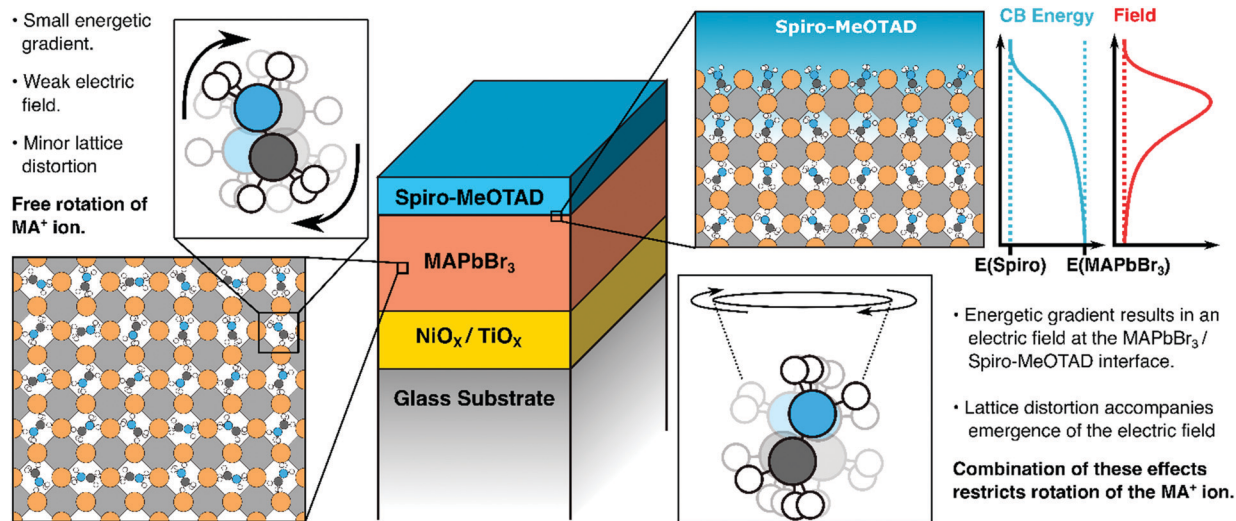


Fig. 6 Suggested picture of molecular orientation of  $\text{MA}^+$  cations at Spiro-MeOTAD/MAPbBr<sub>3</sub> interface.

Although the present study was performed at room temperature, we expect that MA cations at the Spiro-MeOTAD/MAPbBr<sub>3</sub> interface exhibit somewhat different preferential orientation at low temperature at which structure of MAPbBr<sub>3</sub> are in tetragonal (150–230 K) or orthorhombic phase (<150 K). One MD simulation study on MAPbBr<sub>3</sub> indicated that MA cations are aligned along the plane consisting of two smallest lattice constants below 150 K, and it was claimed that this alignment is driven by hydrogen bonding between the hydrogen atom in MA cation and the halide atom in  $\text{PbX}_3$  inorganic cage.<sup>9,60</sup> Experimentally, a recent STM study on cleaved surface of MAPbBr<sub>3</sub> at 4.5 K showed lateral alignment of surface MA cations with azimuthal anisotropy.<sup>34</sup> By applying HD-VSFG spectroscopy to the Spiro-MeOTAD/MAPbBr<sub>3</sub> interface over a wide temperature range covering the phase transition, we may be able to investigate the importance of the  $\text{H}\cdots\text{X}$  hydrogen bonding with respect to the MA dipole-electric field interaction.

Our key findings are illustrated in Fig. 6. Above, we have suggested that both lattice distortions and local field effects may influence the orientational bias of the MAPbBr<sub>3</sub> lattice close to the interface with the hole/electron transporting material. The degree to which the local electric field influences the orientation of the organic cation is unclear as no evidence of orientational bias was observed when PCBM was interfaced with the perovskite. This may be because the band offset between PCBM and the MAPbBr<sub>3</sub> layer may be insufficiently large to result in polarization, as suggested previously. Alternatively, differences in the degree of delocalization of charge at the PCBM/perovskite interface *versus* the Spiro-MeOTAD interface may also affect the thickness of the space-charge layer and thus the strength of the local electric field at the interface. It may also suggest that other effects are in play. For example, an *ab initio* study by Torres *et al.* investigated the effect of methoxy group adsorption on perovskites, using anisole ( $\text{Ph-O-H}_3$ ) as an analogue for more complex methoxy-containing charge transfer layers (such as Spiro-MeOTAD).<sup>61</sup> Interestingly, a significant distortion of the  $\text{PbI}_3$  lattice was observed upon absorption of

the anisole molecule, which may lock the orientation of the organic cation. Thus, it may be that differences in surface interactions between the perovskite absorber and the hole transporting material (possibly due to the presence of a bulky  $\text{C}_{60}$  group on PCBM) may distort the lattice to different degrees and thus suppress the rotational freedom of the MA cation by different amounts. Whilst structural effects may introduce some degree of anisotropy, we would like to emphasise that the presence of an electric field is necessary to favor certain orientations of the MA cations (*i.e.*, with the  $\text{NH}_3$  moiety facing towards or away from the organic charge transport layer). Potentially, this makes HD-VSFG spectroscopy a useful technique for probing the nature of the space-charge layer in other materials. We hope that new experiments, conducted across a range of different transport layers will provide new insights into the nature of interfaces in perovskite-based optoelectronic devices.

### 3. Conclusion

In summary, we have, for the first time, successfully observed oriented MA cations at the Spiro-MeOTAD/MAPbBr<sub>3</sub> using HD-VSFG spectroscopy. The  $\text{Im}\chi^{(2)}$  spectrum shows a positive band, corresponding to the antisymmetric ammonium bending mode, whereas the symmetric bending mode signal is hardly distinguishable. From determination of the effective second-order susceptibility, supported by DFT calculations, we find that interfacial MA cations have a “dipole-up” orientation, possessing tilted polar angle, as described in Fig. 6. Since MA cations exhibit a sub-picosecond wobbling motion at room temperature, we suggest a substantial distribution of polar angles for the interfacial MA cations, but with large angle jumping motions restricted due to the built-in electric field at the interfacial region. We believe that these findings—namely that interfacial MA cations can have preferential orientations at interfaces to charge extraction layers are important for





understanding interfacial structures, space-charge layers as well as carrier injection and transport in perovskite-based devices.

## 4. Sample preparation and experimental procedure

### 4.1. Preparation of MAPbBr<sub>3</sub> and CsPbBr<sub>3</sub> perovskite thin films

HD-VSFG measurements were conducted on thin film stacks resembling full devices or their constituent sub-components (Fig. 1a and b). Use of FTO transparent electrodes was avoided, as FTO is non-centrosymmetric and thus generates a strong SFG background. Metal top electrodes were not deposited to allow the IR pulses to penetrate into the active layer.

TiO<sub>2</sub> layers were spin coated on clean glass substrates from a titanium diisopropoxide bis(acetylacetonate) solution in ethanol, with subsequent annealing at 500 °C in an oven. The perovskite films were processed under a nitrogen atmosphere. For the CsPbBr<sub>3</sub> films, CsBr (128 mg) and PbBr<sub>2</sub> (220 mg) were dissolved in DMSO (1.5 ml). The solution was stirred at 65 °C until all precipitates dissolved. Subsequently, the solution was spin coated through a 0.2 µm filter onto pre-heated (50 °C) substrates. The films were annealed at 65 °C for one hour. For the MAPbBr<sub>3</sub> films, MABr (128 mg) and PbBr<sub>2</sub> (459 mg) were dissolved in a mixture of DMSO (0.2 ml) and DMF (0.8 ml). The solution was spin-coated onto substrates pre-heated to 50 °C. The spin-coating was performed in two steps, initially at 1000 rpm (with a ramp speed of 200 rpm s<sup>-1</sup>) for 10 seconds and subsequently at 4000 rpm (with a ramp speed of 1000 rpm s<sup>-1</sup>) for 30 s. The anti-solvent, toluene, was dropped on the spinning samples about 15 s before the end of the spin-coating process. Thereafter, the films were annealed at 100 °C for 30 minutes. A solution of Spiro-MeOTAD was prepared by dissolving 50 mg Spiro-MeOTAD in 625 µl of chlorobenzene. To this solution was added 11 µl of a 1.81 M solution of lithium bis(trifluoromethylsulfonyl)imide (LiTFSI) salt in acetonitrile and 28.5 µl 4-*tert*-butylpyridine. The Spiro-MeOTAD films were subsequently prepared by spin-coating from the doped solution described above. PCBM films were spin-coated from chlorobenzene and annealed at 50 °C for 5 minutes. The Spiro-MeOTAD and PCBM top layers are completely transparent in the 700–800 nm window used for the HD-VSFG measurements described below. For details regarding surface characteristics, thickness determination, and IR spectra, the reader is advised to consult the ESI†. CsPbBr<sub>3</sub> films produced using the same synthetic method were separately analysed using XRD, to establish that our as-synthesized samples are predominantly in the cubic phase. The obtained XRD pattern is provided in Fig. S8 (ESI†). Comparison with the standard patterns of the tetragonal (ICSD 109295) and cubic (ICSD 29073) phases of CsPbBr<sub>3</sub> (Fig. S9, ESI†) suggest that the cubic phase dominates in our samples. For additional detail, the reader is advised to consult Section S5 in the ESI†

### 4.2. Heterodyne-detected vibrational sum-frequency generation (HD-VSFG) spectroscopy

Detailed schemes of the HD-VSFG spectroscopy setup are described elsewhere<sup>37,38,62</sup> In this study, we used a Ti:Sapphire

regenerative amplifier system (Spectra Physics, Solstice, 3.5 W, 100 fs, 800 nm centre wavelength) as our primary light source. Mid-IR ( $\omega_2$ ) probe pulses were obtained using a commercial optical parametric amplifier and difference frequency generation system (Light Conversion, TOPAS-prime). The mid-IR probe had a pulse-energy of approximately 4 µJ, a central frequency of  $\sim 1550$  cm<sup>-1</sup>, and a  $\sim 200$  cm<sup>-1</sup> bandwidth. The beam path of  $\omega_2$  was purged with dry nitrogen (RH < 4%) to prevent energy loss due to water vapor absorption. The visible probe beam ( $\omega_1$ ), obtained by narrowing a portion of the laser output using a spatial filter with a 4F grating system, had a pulse energy of  $\sim 5$  µJ, a center wavelength of  $\sim 795$  nm ( $12\,579$  cm<sup>-1</sup>), and a bandwidth of  $\sim 1$  nm ( $\sim 16$  cm<sup>-1</sup>). The  $\omega_1$  and  $\omega_2$  beams were focused onto a gold surface, which generates a local oscillator (LO), and were subsequently refocused onto the sample stage by means of a concave mirror. The incident angles of  $\omega_1$  and  $\omega_2$  are  $\sim 50^\circ$  and  $\sim 40^\circ$ , respectively. The LO pulse is delayed by  $\sim 4.8$  ps with respect to the SF signal from the sample surface by passing the pulse through a 3 mm fused silica plate. The SF signal and LO were sent to a polychromator (Shamrock, SR-303i) and a CCD detector (Andor Technology, Newton-DU970P-BV) to obtain a frequency-domain interferogram. The raw interferograms were Fourier-transformed to the time-domain, and then a 2 ps width filter function was applied to extract the time-domain heterodyned SF signal appearing at  $-4.8$  ps relative to the LO pulse. After inverse fast Fourier transformation, the filtered heterodyned SF signal of the sample in the frequency-domain was normalized by the heterodyned SF signal of an air/z-cut quartz interface. To calibrate the frequency of the  $\omega_2$  axis, another SF spectrum of an air/z-cut quartz interface without dry nitrogen purging (RH  $\sim 30\%$ ) was measured, and then compared to a FTIR spectrum of water vapor. The probe beams and the detected SF signal are all p-polarized (PPP polarization combination). The typical acquisition time of an HD-VSFG measurement for a perovskite sample was 15 minutes; a total of 5 spectra, each taken at different lateral positions, were averaged to remove any potential spatial inhomogeneity. The perovskite samples containing CsPbBr<sub>3</sub> and PCBM layers were measured in another HD-VSFG setup. The FWHM bandwidth of  $\omega_1$  (center wavelength  $\sim 795$  nm) in this setup was about 1.5 nm, corresponding to a spectral resolution of  $24$  cm<sup>-1</sup> for the HD-VSFG spectra.

## Abbreviations

|                 |   |
|-----------------|---|
| MA              | Methylammonium  |
| HD-VSFG         | Heterodyne-detected vibrational sum-frequency generation                        |
| Spiro-MeOTAD    | 2,2',7,7'-Tetrakis[ <i>N,N</i> -di(4-methoxyphenyl)-amino]-9,9'-spirobifluorene |
| Im $\chi^{(2)}$ | Imaginary part of the second order susceptibility.                              |

## Author contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.





## Conflicts of interest

The authors declare no competing financial interests.

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