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Formation of N₂ bubbles along grain boundaries in (ZnO)_{1-x}(GaN)_x: nanoscale STEM-EELS studies†

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Direct evidence of N₂ formation after annealing of (ZnO)_{1-x}(GaN)_x alloys was revealed. N₂ was trapped by V_{Zn+Ga}-clusters, forming faceted voids along grain boundaries. This study shows that N–N bonding is a competitive path for nitrogen after annealing, in addition to the increasing Ga–N bonds, indicating that N in O substitution sites (N_O) is not a stable configuration.

(ZnO)_{1-x}(GaN)_x (ZOGN) alloys are intriguing candidates for bandgap engineering, since the bandgap energy (E_g) of the system is reduced in comparison to its binary compounds ($E_g^{\text{ZnO}} \sim 3.3$ eV, $E_g^{\text{GaN}} \sim 3.4$ eV) due to a strong band bowing effect.¹ By tuning the alloy composition (x), E_g can be tailored from the UV-range into the visible part of the spectrum, making ZOGN a strong candidate for photovoltaic^{2,3} and solar water-splitting^{4–6} applications. However, the overall micro and nano-structure can strongly affect the semiconductor properties and device performance.^{7,8} In particular, the presence of grain boundaries and segregation of point defects along them has been linked to potential-barrier variations in polycrystalline ZnO, and variations in dislocation density have been related to changes in the photoluminescence spectra of ZnO.^{9–12} Moreover, chemically induced phenomena such as phase separation and elemental clustering can play a detrimental role in the functional properties of an alloy system. Post-deposition annealing usually improves the crystalline quality^{13–16} and recently Olsen *et al.*^{2,17,18} conducted a comprehensive study on magnetron sputtered ZnO-rich ZOGN thin films, elucidating the influence of composition and post-deposition annealing on the film properties, as well as

investigating the mechanisms governing the band bowing effect. In the same study,¹⁷ DFT calculations revealed that the total energy of the system decreased by increasing the amount of Ga–N bonds, predicting a re-arrangement of the nitrogen bonds during post-deposition annealing. However, the behaviour of N in these alloys is not yet understood, and the proposed Ga–N bonds are not confirmed, warranting a detailed analysis on the nanoscopic scale.

In the present work, a detailed nanoscale investigation was conducted for the first time on magnetron sputtered (ZnO)_{0.85}(GaN)_{0.15} thin films deposited on (0001) α -Al₂O₃ substrates. In this respect, (Scanning) Transmission Electron Microscopy ((S)TEM) was employed, combined with Electron Energy-Loss Spectroscopy (EELS) and Energy-Dispersive X-ray spectroscopy (EDX). Taking advantage of the possibility to use multiple detectors simultaneously, a direct correlation of the atomic structure to chemical information and bonding type gave valuable insight into the elemental and bonding distribution on the atomic scale and its correlation to defects. The influence of post-deposition annealing was investigated, as well as the impact of variations in the N₂ gas flow during sputter deposition.

The formation of single-domain thin films exhibiting columnar grain structure was illustrated (S1, ESI†). (S)TEM imaging before and after annealing, showed an increase in the width of the grains, as well as a reduction in the threading dislocation (TD) density. EDX analysis on the meso-scale showed that the films are random alloys, exhibiting a homogeneous elemental distribution (S2, ESI†).

Despite this meso-scale improvement of the crystalline quality of the films, thermally induced nano-sized volumes were detected on the nano-scale. STEM-imaging was conducted using simultaneously high-angle annular dark field (HAADF), annular dark field (ADF) and annular bright field (ABF) detectors, to obtain complementary views of these volumes. Fig. 1a presents an ADF-STEM image showing a thin area of the film after annealing. Nano-sized areas exhibiting darker contrast are observed, preferentially formed along grain boundaries and TDs. High-resolution ADF-STEM images recorded along the [1100] and [1120] zone axes (Fig. 1b and c) illustrate a close-up of these regions, with a size ranging from ~ 5 to ~ 10 nm. These areas

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† Electronic supplementary information (ESI) available: Experimental methods employed including Magnetron Sputtering deposition, (Scanning) Transmission Electron Microscopy, Electron Energy-Loss Spectroscopy, TEM sample preparation, evaluation of sample thickness by low-loss EELS, Selected Area Diffraction Patterns, Weak-Beam Dark Field g/3g method, Energy-Dispersive X-ray spectroscopy meso-scale maps, simultaneous imaging with HAADF-ADF-ABF detectors, polarity investigation, and impact of changes in the N₂ flow. See DOI: 10.1039/c9cp06025a



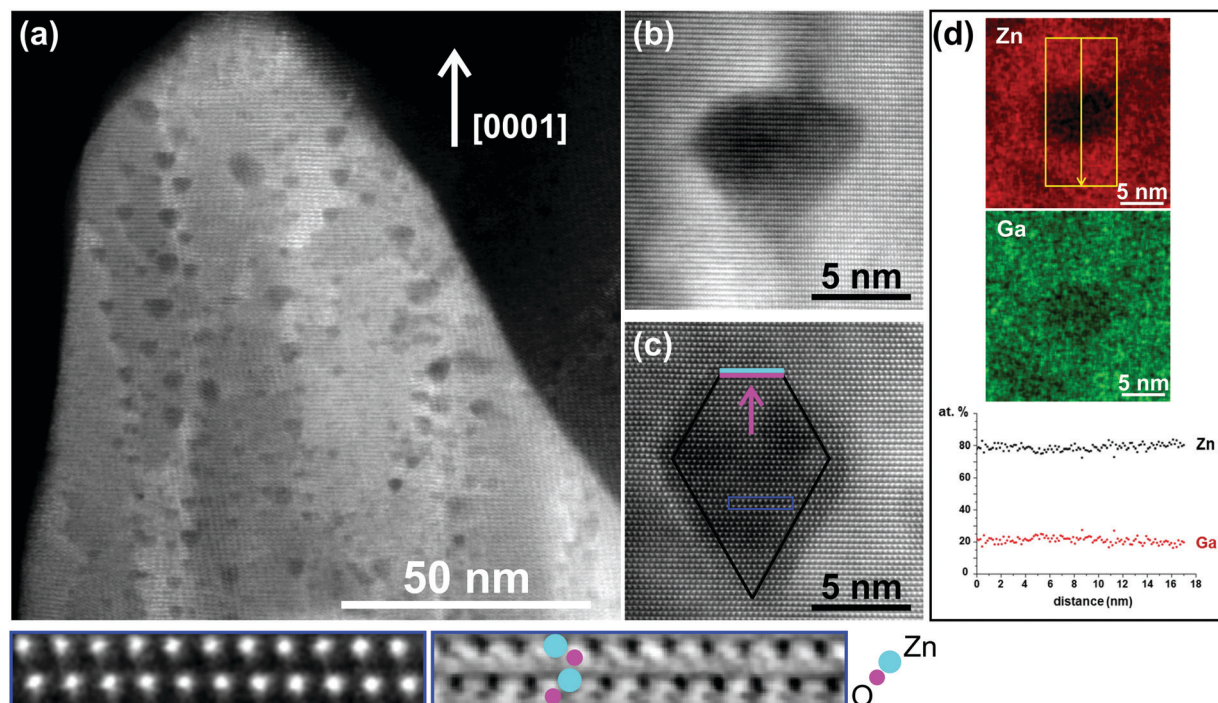


Fig. 1 (a) ADF-STEM image, showing formation of thermally-induced nano-sized voids along grain boundaries and threading dislocations. (b) and (c) High-resolution ADF-STEM images along the $[1\bar{1}00]$ and $[11\bar{2}0]$ zone axes respectively, illustrating that the voids are not amorphous areas and they are surrounded by well-defined facets. The enlarged insets of the area annotated by the blue box show the corresponding HAADF and ABF images recorded simultaneously. By resolving the Zn–O dumbbells the polarity was identified showing that only the O-terminated internal polar facets are stabilized (purple line) along with the semi-polar facets (black lines). (d) EDX maps carried out in STEM-mode, show a reduction in both Zn and Ga counts and the void formation is attributed to agglomeration of Zn-vacancies (V_{Zn}) and Ga-vacancies (V_{Ga}). It is important that elemental quantification extracted by EDX line profiles crossing the voids, showed no changes in the relative at% ratio between Ga and Zn, indicating no phase separation phenomena or elemental clustering.

are not amorphous and there is no structural change observed, since the ABABAB stacking sequence of the hexagonal structure along the $[0001]$ direction is retained. Moreover, imaging did not reveal any Moiré fringes. Evidently, these regions are surrounded by well-defined facets mainly on semi-polar planes ($\{10\bar{1}1\}$, $\{11\bar{2}2\}$), along with truncations on the polar $\{0001\}$ planes. The enlarged insets are the corresponding HAADF and ABF images of the area annotated by the blue box in Fig. 1c. By resolving the Zn–O dumbbells, the polarity of the films is identified as Zn-faced (growth along the $[0001]$ direction), indicating that the O-terminated internal polar facets of these regions are more stable, compared to the Zn-terminated polar facets (also S3, ESI^\dagger). Accounting that in HAADF-STEM imaging (S4, ESI^\dagger), contrast depends on Z and at high collection angles is formed by scattering from heavy elements, these dark regions indicate volumes with lower atomic density and their formation is attributed to agglomeration of vacancies (V).¹⁹ Since the concentration of Zn in the alloy is ~ 85 at%, agglomeration of Zn-vacancies (V_{Zn}) is expected to contribute more in the formation of these volumes, however we cannot exclude a smaller contribution of Ga-vacancies (V_{Ga}) as well. Indeed, EDX-STEM maps of a lower-contrast volume, showed a reduction in both Zn and Ga counts (Fig. 1d). Elemental quantification extracted by EDX line profiles crossing these volumes showed no changes in the relative at% ratio between Zn and Ga, indicating no phase

separation phenomena and no elemental clustering. Based on the size and lower atomic density of these areas, we hereafter label them nano-sized voids.

Hence, EDX-STEM that is sensitive for the detection of heavy elements revealed no inhomogeneities in Zn and Ga distribution in both meso and nano-scale. However EDX is not ideal for the analysis of light elements due to the low fluorescence yield (less X-rays are produced because the formation of Auger electrons is favoured) and due to the background X-ray (Bremsstrahlung). Therefore, for the detection of light elements we employed EELS in STEM-mode that offers superior spatial and energy resolution and enables access to chemical bonding information. Fig. 2 shows a high-resolution ADF-STEM image (inset) where EELS spectra of the N–K and O–K edges were acquired by 2D scans from (1) a void and (2) the surrounding ZOGN matrix. EELS revealed that these voids are N-rich regions. Moreover, the shape and energy-position of the N–K edge indicate the presence of molecular nitrogen (N_2), as shown by the comparison with N_2 reference spectra.²⁰ EELS spectra acquired from the ZOGN matrix revealed a different shape of the N–K peak compared to that of N_2 , indicating that N is bonded to the metal atoms. A comparison with spectra from a wz-GaN reference sample indicates that the Ga–N bonds proposed by DFT¹⁷ are also present. It should be clarified that the shape of spectrum 1 is the result of overlapped signals along the beam path (void + the surrounding ZOGN matrix).



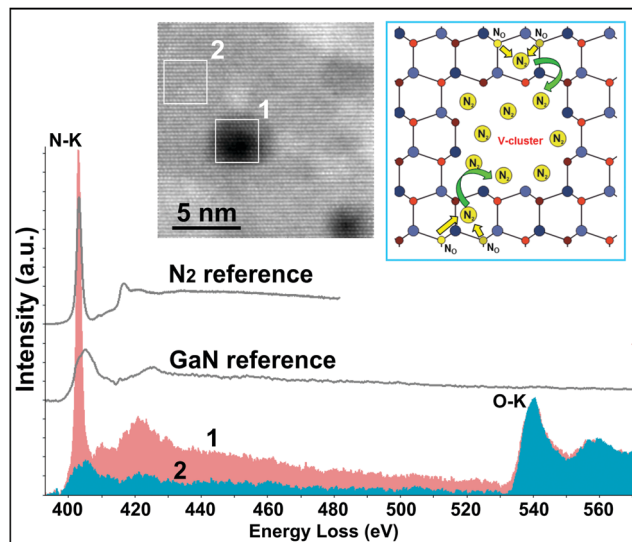


Fig. 2 EELS-spectra acquired from 2D scans (1) inside a void and (2) the surrounding matrix, as annotated in the high-resolution STEM image (inset). Voids are N-rich regions and the shape and energy-position of the N-K edge indicate the existence of N-N bonds in the voids along with Ga-N bonds in the ZOGN matrix. A second inset shows a model describing the N_O instability, formation of N_2 molecules and trapping by V-clusters.

A time resolved electron beam irradiation experiment was also performed in order to drill a hole *in situ* at the position of a void, while simultaneously recording an EELS spectrum every 0.5 s. A focused electron beam of sub-Å diameter and 350 pA current was used. Fig. 3a and b show ADF-STEM images of a void before and after the hole-drilling, respectively. The intensity ratio of the N-K/O-K peaks decreases abruptly after irradiation for 70 s (Fig. 3c), indicating a sudden removal of N_2 . After the release of the N_2 -bubble, the O-K peak is still present, indicating that there is still ZOGN matrix left. For ease of comparison, the EELS spectra were normalized to the O-K edge.

In addition to the post-annealing effect, we also examined the influence of the N_2 gas flow that is applied during film deposition. In this respect, we studied annealed ZOGN films that were deposited under the same conditions but with reduced N_2 gas flow (from 5 to 2.5 sccm). Nano-sized voids along grain boundaries and threading dislocations are still present, however EELS spectra reveal a significantly lower N-K signal (or absence of N-K signal), indicating that less N has been incorporated in the films and therefore trapped by the voids (non-stoichiometric conditions). The empty voids are found to be sharply faceted even at small sizes, while the N_2 -filled voids are more round and the well-defined facets appear as the voids grow in size (S5, ESI†). Furthermore, the O-terminated internal polar facets disappear as the voids grow in size and only the semi-polar facets ($\{10\bar{1}1\}$, $\{11\bar{2}2\}$) prevail.

This study shows that post-deposition thermal annealing results in a meso-scale crystal quality enhancement, as revealed by the grain enlargement and the TD-density reduction. However, in addition to the aforementioned line defects, a high concentration of point defects is expected (vacancies and atoms at interstitial positions) and our study goes further in terms of the comprehensive

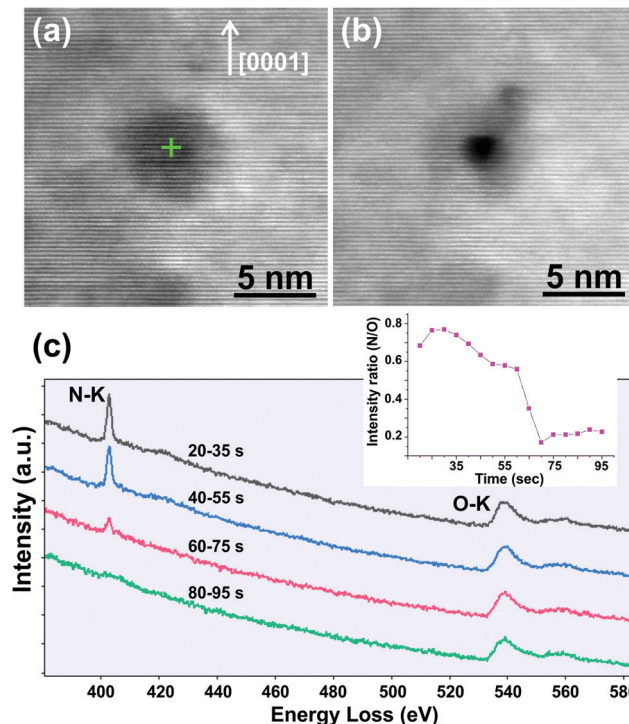


Fig. 3 (a) and (b) ADF-STEM images of a N_2 -filled void recorded before and after drilling a hole *in situ* using a focused electron beam. (c) Time resolved EELS spectra acquired while drilling the hole, showing an abrupt decrease in the intensity ratio of N-K/O-K peaks after 70 s. After the release of the N_2 -bubble, the O-K peak is still present, indicating that there is still ZOGN matrix left.

picture regarding the elemental distribution and bonding arrangement on the nano-scale. In particular, our results showing that after annealing large amounts of nitrogen are trapped by the voids as N_2 , indicate that N is not stable on the O substitutional site (N_O). N_O would be easily kicked out to an interstitial site (N_i) and due to the large N_i - N_i binding energy, N_2 molecules can easily form.²¹ At the same time upon annealing, agglomeration of Zn-vacancies (V_{Zn}) and Ga-vacancies (V_{Ga}) along grain boundaries and TDs occurs. These vacancy-clusters act as traps for N_2 molecules and gradually grow in size, ultimately forming faceted nano-voids. Nijikovsky *et al.*¹⁹ suggested that void formation can also be facilitated by pipe diffusion of the generated vacancies through dislocations that define the low-angle grain boundaries. After exceeding a critical size, the voids reach an equilibrium shape in order to minimize their energy state. This shape is determined by the surface energy of different facets. In our study the voids exhibited an asymmetric diamond shape related to the different surface energy for facets of opposite polarity. In particular, the O-terminated internal polar facets are more stable than the Zn-terminated, in agreement with previous observations.²² This polar facet disappears as the voids grow in size and finally only the semi-polar facets prevail. This is in agreement with calculations showing that the polar planes in ZnO exhibit higher surface formation energy compared to the non-polar planes.^{23,24} Trapping of large amounts of N at the voids leads to formation of overpressurized bubbles. Under the pressure of the accumulated gas,



the N₂-filled voids undergo plastic deformation, resulting in more rounded-shape facets. It should be emphasized that while our results show that large amounts of nitrogen are trapped in the voids as N₂, there is also a significant amount of N in the ZOGN matrix, bonded to Ga and presumably Zn. This is also in agreement with our previous DFT calculations that suggest an increase in the number of Ga–N bonds after thermal treatment.¹⁷ However, the present study reveals that N–N bonding is an alternative path for nitrogen after annealing. It is also important that our results show that the increase in the Ga–N bonds did not lead to phase separation phenomena or clustering (e.g. GaN vs. ZnO phases). This means that our previously shown bandgap measurements on the nanoscale with EELS-STEM, are the result of a homogeneous alloy and not an average of a mixture of phases.¹⁸

Our findings support previous theoretical and experimental reports regarding the behaviour of N in ZnO. In particular, Fons *et al.*²⁵ attributed the low p-type doping efficiency of N in ZnO to the low stability of N_O, and showed the presence of N–N bonds by X-ray absorption experiments. DFT calculations by Gao *et al.*²¹ showed that N_O can be easily deactivated to an interstitial site and form N–N bonds. Calculations by Nickel and Gluba²⁶ showed that the O site is energetically unfavourable for the localization of N₂, and calculations by Lambrecht and Boonchun²⁷ showed that N₂ may occupy a V_{Zn} site. However, these studies calculate energetics of single molecules in the lattice and X-ray absorption cannot provide information regarding the spatial distribution of the N-signal. In this study, STEM-EELS provides the advantage of both imaging and spectroscopy on the atomic scale, correlating simultaneously the atomic structure, chemical information and bonding type. Recently Bazioti *et al.*²⁸ showed with STEM-EELS stabilization of V_{Zn}-clusters by N₂ molecules and suppression of V_{Zn}–Zn_i recombination in N-implanted ZnO after annealing.

In summary, the first comprehensive nanostructural and chemical report on magnetron sputtered ZOGN alloys was conducted. The elemental distribution and bonding re-arrangement was elucidated as a function of growth conditions and the evolution of thermally-induced defects was described. In particular, the meso-scale crystalline quality was improved after thermal annealing, as revealed by the enlargement of grains and the reduction of threading dislocation density. However, nano-sized voids were formed mainly along grain boundaries and STEM-EELS analysis showed direct evidence of molecular nitrogen (N₂) filling the voids, indicating that N is not stable on the O substitution site (N_O). Their formation is attributed to N₂-trapping by V_{Zn+Ga} clusters that upon annealing further agglomerate ultimately forming nano-voids. The voids reached an equilibrium shape defined by the energetically favourable facets, mainly on the semi-polar planes and the O-terminated internal polar plane. This study reveals that the N–N bonding is an alternative path for nitrogen after annealing, in addition to the increase in the Ga–N bonds that reduces the total energy of the system. Despite this re-arrangement of N-bonds, no phase separation phenomena were observed (GaN vs. ZnO) indicating that the previously reported bandgap of the system is the result

of a homogeneous ZOGN alloy and not an average of a mixture of different phases.

Conflicts of interest

There are no conflicts to declare.

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