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## Predicting the reactivity of energetic materials: an *ab initio* multi-phonon approach†

Adam A. L. Michalchuk,  <sup>‡\*ab</sup> Morris Trestman,  <sup>a</sup> Svetmir Rudić,  <sup>c</sup> Peter Portius,  <sup>d</sup> Peter T. Fincham, <sup>e</sup> Colin R. Pulham  <sup>\*ab</sup> and Carole A. Morrison  <sup>\*a</sup>

The ease with which an energetic material (explosives, propellants, and pyrotechnics) can be initiated is a critical parameter to assess their safety and application. Impact sensitivity parameters are traditionally derived experimentally, at great cost and risk to safety. In this work we explore a fully *ab initio* approach based on concepts of vibrational energy transfer to predict impact sensitivities for a series of chemically, structurally and energetically diverse molecular materials. The quality of DFT calculations is assessed for a subset of the materials by comparison with experimental inelastic neutron scattering spectra (INS). A variety of models are considered, including both qualitative and quantitative analysis of the vibrational spectra. Excellent agreement against experimental impact sensitivity is achieved by consideration of a multi-phonon ladder-type up-pumping mechanism that includes both overtone and combination pathways, and is improved further by the added consideration of temperature. This fully *ab initio* approach not only permits ranking of energetic materials in terms of their impact sensitivity but also provides a tool to guide the targeted design of advanced energetic compounds with tailored properties.

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## Introduction

Energetic materials (EMs; explosives, propellants and pyrotechnics) are characterized by their ability to convert large amounts of chemical potential energy rapidly into kinetic energy. This has made EMs central to the development of many technologies for both civilian and military application. Paramount to these technologies is the safe and controlled initiation of the EM, with accidental initiation being potentially life-threatening.<sup>1</sup> The need for stringent safety and performance controls has greatly limited the number of compounds used in EMs. While many new EMs have been proposed,<sup>2</sup> successful developments typically favour the preparation of composite materials that consist of a mixture of energetic compounds and additives. Amongst others, this

approach has culminated in the formulation of dry mixtures, or more commonly, polymer-bonded explosives (PBXs) such as Semtex and C4. Recent paradigm changes in EM research have motivated focused studies towards development of insensitive munitions that exhibit minimal environmental impact.<sup>3</sup> The development of new energetic molecules has been paramount to these efforts, accompanied by growing interest in the development of multi-component energetic solids.<sup>4</sup>

The study of many important physical properties of EMs – including detonation velocity, enthalpy of formation, heat of explosion, and temperature of detonation – is amenable to computational approaches and quantitative predictions.<sup>5–7</sup> The ability to predict properties quantitatively allows for powerful strategies to screen for new energetic molecules.<sup>8</sup> Until recently, and despite a thorough understanding of materials chemistry and detonation physics, there remained very little understanding of the EM initiation mechanism. Therefore, the sensitivity of EMs could not be predicted reliably. Early work by Bowden and Yoffe<sup>9,10</sup> showed that the bulk heating associated with mechanical impact is insufficient to describe the initiation processes. Thus, the concept of ‘hot-spots’ was developed to describe the localization of energy into highly concentrated regions capable of inducing bond dissociation. A number of more sophisticated mechanisms have since been proposed that typically describe the concentration of energy at interfaces (e.g. grain or particle boundaries) and the generation of high local temperatures or stresses. The former is particularly problematic in the description of processes in molecular materials, where melting occurs at temperatures considerably lower than those

<sup>a</sup>EaStCHEM School of Chemistry, Centre for Science at Extreme Conditions, University of Edinburgh, Edinburgh, UK. E-mail: adam.michalchuk@bam.de; carole.morrison@ed.ac.uk; c.r.pulham@ed.ac.uk

<sup>b</sup>EPSRC Centre for Continuous Manufacturing and Crystallisation (CMAC), University of Edinburgh, Edinburgh, UK

<sup>c</sup>ISIS Neutron and Muon Source, STFC, Rutherford Appleton Laboratory, Chilton, Didcot, OX11 0QX, UK

<sup>d</sup>Department of Chemistry, University of Sheffield, Western Bank, Sheffield S3 7HF, UK

<sup>e</sup>Defence Science and Technology Laboratory (DSTL), Porton Down, Salisbury SP4 0JQ, Wiltshire, UK

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‡ Current address: BAM Federal Institute for Materials Research and Testing, Richard-Willstatter-Strasse 11, 12489 Berlin, Germany.

§ Current affiliation: Department of Physical Chemistry, University of Basel, Basel, Switzerland.

required to induce bond rupture.<sup>11</sup> Regardless of the hot-spot origin, the mechanism by which energy leads to bond rupture has yet to be elucidated.

Considerable effort has been devoted to identifying a set of parameters capable of rationalizing the impact sensitivity of EMs.<sup>12</sup> Noting that EM initiation necessarily involves bond rupture, models that are based on isolated molecules have often aimed at correlating impact sensitivity with the stability of bonds in the explosive molecule. This is done, for instance, by computing dissociation energies<sup>13</sup> or analysing the topology of the electron density.<sup>14</sup> However, these models are incapable of describing correctly the different sensitivity of polymorphic forms, *i.e.* crystalline phases that differ in the spatial arrangement of the energetic molecules. For example,  $\delta$ -HMX is much more sensitive to impact than  $\beta$ -HMX.<sup>15</sup> Furthermore, the impact sensitivity of an EM can be widely tuned by multi-component crystallization.<sup>4</sup> In such systems, the structure of the energetic molecule itself again remains unaltered. This problem highlights that improved models of EM initiation must also account for characteristic parameters pertinent to the solid state. A number of such parameters have been suggested, including crystal void space and compressibility,<sup>16</sup> intermolecular distances,<sup>17</sup> and intermolecular interaction energies.<sup>18</sup> In models that take account of these characteristics, the process of initial bond rupture has been identified as central. Authors have thus attempted to correlate bond rupture propensity to the electronic band gap<sup>19</sup> and, in turn, impact sensitivity. However, as these methods offer only a qualitative rationale for impact sensitivity, they are generally restricted to chemically similar energetic molecules. In view of this restriction, other attempts have included a consideration of the dynamics of a mechanical impact, for example, by studying the effects of stress on band gaps and bond dissociation energies,<sup>20–22</sup> or by considering the interlayer energies associated with imposing a structural distortion within the crystal.<sup>23,24</sup> These more recent methods have typically been restricted to very small sample sets consisting of only a few EMs.

Coffey and Totton,<sup>25</sup> and subsequently Zerilli and Totton,<sup>26</sup> described a mechanism for the localisation of energy in crystalline materials that is based on vibrational energy transfer. This model assumes that mechanical energy, which excites low frequency phonon modes, undergoes rapid up-conversion ('up-pumping') through a process of phonon–phonon collisions. This ultimately increases the population (and hence amplitude) of internal molecular modes beyond covalent bond dissociation limits. The model was then developed further by Dlott and co-workers,<sup>27</sup> who included a description of compression<sup>28</sup> and localization of energy at crystal defects.<sup>29</sup> Various authors have also developed predictive models of impact sensitivity which are based on the phonon up-pumping description of mechanically perturbed solids. The earliest attempts of this type used data from inelastic neutron scattering spectra,<sup>30,31</sup> and were followed by work based on experimental Raman spectra.<sup>32</sup> Some work was based on an analysis that considered the vibrational multi-phonon density of states,<sup>30,33,34</sup> while other attempts have instead focused on up-pumping by high-order harmonic (*e.g.* overtone) coupling processes.<sup>32</sup> Despite the promise offered by a model rooted in

multi-phonon up-pumping to rationalize impact sensitivity of EMs, models based on experimental data alone do not offer predictive value. Correspondingly, the first results of vibrational up-pumping methods that rely on an *ab initio* input based on gas phase<sup>35</sup> and periodic structure models<sup>34,36,37</sup> have now appeared. Using zone-centre vibrational frequencies for molecular crystals, Bernstein<sup>36</sup> was able to rank the relative impact sensitivity of six chemically similar organic EMs. In our previous work,<sup>37</sup> we have investigated the vibrational up-pumping in nine azide-based crystals by calculating the complete vibrational dispersion curves. A correct ranking of these materials was achieved when both overtone and combination pathways were taken into account in the up-pumping process. Thus, while certain vibrational up-pumping models appear to be capable of describing impact sensitivity in EMs, it is not yet clear which models are applicable to a more chemically diverse set of complex organic EMs. This problem motivates the work in the present manuscript that sets out to develop further an *ab initio* model to describe the impact sensitivity for a set of chemically diverse EMs.

## General theory

In this paper, the term phonon is used in reference to the delocalised, external vibrational modes of a crystal. Vibrational modes that are localised on molecules are denoted as internal vibrational modes.

A mechanical perturbation can lead to the excitation of a crystalline material through various mechanisms. A high-energy impact, for instance, will lead to compression of a material and associated vibrational mode hardening. The magnitude of hardening depends on the average material Grüneisen parameter,  $\Gamma = (1/N) \sum d\ln(\omega)/d\ln(V)$ , where  $\omega$  and  $V$  denote frequency and volume, respectively, and  $N$  is the number of vibrational modes. Within the harmonic approximation, a material with energy  $E = E_0 + \hbar\omega(n + 1/2)$  will have a heat capacity at constant volume,

$$C_V \propto \int (\exp(\beta\epsilon) - 1)^{-2} g(\omega) d\omega \quad (1)$$

where  $\beta = (k_B T)^{-1}$ ,  $\epsilon = \hbar\omega$ , and  $g(\omega)$  is the phonon density of states. This rationalises the simultaneous increase in  $E$  and decrease in  $C_V$ , which necessitates an increase in the temperature of the material,  $T$ . A similar logic applies to the Maxwell relation,

$$\left( \frac{\partial T}{\partial V} \right)_S = -\frac{\beta T}{\kappa_T C_V} \quad (2)$$

in which  $\kappa_T$  is the isothermal compressibility that relates to the internal energy *via* the relationship  $\kappa_T^{-1} \propto \left( \frac{\partial P}{\partial V} \right)$ . Here  $\partial P$  is derived from an increase in the temperature-dependent phonon pressure. Hence eqn (2) can also be regarded as a description of an increase in temperature that is proportional to  $dE/C_V$ . This description leads to adiabatic heating of the bulk material according to:<sup>38</sup>



$$\left(\frac{T}{T_0}\right) = \left(\frac{V}{V_0}\right)^{-r} \quad (3)$$

It follows that highly compressible materials undergo greater adiabatic heating. The Grüneisen parameters tend to be considerably larger for external vibrational modes than for internal states, and hence the initial adiabatic heating occurs predominantly in the external modes. As the heat capacity of the phonon modes is markedly lower than the total heat capacity, the phonon quasi-temperature greatly exceeds that of the final bulk temperature given by eqn (3).

Following initial excitation, the excess phonon energy dissipates through phonon-phonon collisions. By truncating the mathematical description of this process at the leading anharmonic term, the three-phonon collisions (*i.e.* the combination of two phonon states to create a third) dominate. This can be described by the general Hamiltonian

$$H = H_{\text{ph}} + H_{\text{v}} + H_{\text{ph-v}} \quad (4)$$

The third term of eqn (4) refers to the conversion of energy between phonon states (ph) and internal vibrational states (v), and is generally defined by a golden rule formalism of the form,

$$H_{\text{ph-v}} \propto |V^{(3)}|^2 \delta[\Omega(k) - \omega_1(k) - \omega_2(k)] \quad (5)$$

in which the bracketed term represents the two-phonon density of states and offers a count for the number of coupling pathways that link a high-frequency mode ( $\Omega$ ) to two lower frequency modes ( $\omega_1$  and  $\omega_2$ ).  $V^{(3)}$  is the cubic anharmonic coupling coefficient, which describes the strength of interaction between three vibrational modes. For the coupling of three external modes,  $q$ ,

$$V^{(3)} \propto \frac{\partial^3 E}{\partial q_1 \partial q_2 \partial q_3} q_1 q_2 q_3 \quad (6)$$

It should be noted that eqn (6) can also be formulated for the coupling of internal vibrational modes ( $Q$ ), or a mixture of external and internal modes. A number of different approaches have been adopted to approximate  $V^{(3)}$ ,<sup>33,34</sup> including its explicit calculation.<sup>39</sup> Unfortunately, the latter is unfeasibly expensive for large systems. A common approach to reduce computational cost is the use of the average anharmonic approximation, which is valid since a large number of coupling states exist within a solid material.<sup>40</sup> Since combinations of modes with anomalously large solutions of eqn (6) are offset by those with anomalously small solutions,  $V^{(3)}$  can be regarded as representative of the overall coupling strength. Furthermore, an explicit consideration of symmetry is unnecessary because the majority of scattering processes do not occur at the Brillouin zone centre or boundaries. Therefore, it has been suggested that the magnitude of  $V^{(3)}$  can be approximated by taking account of the type of vibrational modes involved.<sup>40</sup> It is reasonable to assume that external modes ( $q$ ) scatter more efficiently than internal modes ( $Q$ ) and therefore the magnitude of  $V^{(3)}$  decreases in the series as  $qqq > qqQ > qQQ > QQQ$ . It is generally found that the addition of

a fourth phonon (*i.e.*  $qqqq$ ) is equivalent to converting an external mode to an internal mode (*i.e.*  $qqQ$ );<sup>41</sup> thus while fourth-order scattering processes may still occur for external modes, any higher-order processes are exceedingly unlikely. For the purpose of discussion in this work, we take  $\langle V^{(3)} \rangle \equiv V^{(3)}$ .

Although the initial up-pumped vibrational energy remains localized on molecules, it will eventually dissipate spatially at a rate that depends on the anharmonic coupling between molecules.<sup>42</sup> In order for sufficient energy to be localized on an individual molecule, a large amount of energy must therefore up-pump at a rate that is faster than the dissipation rate. It follows that those materials which, according to eqn (4), exhibit faster vibrational up-pumping, can be expected to display greater susceptibility to initiation by mechanical impact.

The probability of scattering is proportional to the number of phonons available to scatter. Thus, the initial up-pumping events are dominated by  $qqQ$  processes, in which the inequality  $\omega(Q) < \omega(q) + \omega(q)$  applies. The  $\omega(Q)$  states are known as the doorway modes, as they act as efficient conduits for vibrational energy transfer between extended phonon modes and localised internal vibrational modes. This efficiency is largely a consequence of their mixed nature. As energy and phase must be conserved, the energy transfer step is dominated by processes in which  $\omega(q) = \omega(Q)/2$ .<sup>29</sup> Once the doorway modes are excited, they can subsequently scatter to excite higher frequency modes in a 'ladder'-type approach.<sup>43</sup> The overall-process can be summarized by Fig. 1.

In order to induce the initiation of a chemical reaction, a dissociation barrier must be overcome. In our theory this requires that sufficient vibrational energy is transferred from the lattice to localised molecular modes. The (sub)set of vibrational modes that are capable of inducing a bond dissociation are known as the target modes. The chemical simplicity of the binary energetic azides,  $M_x(N_3)_y$ , permitted identification of

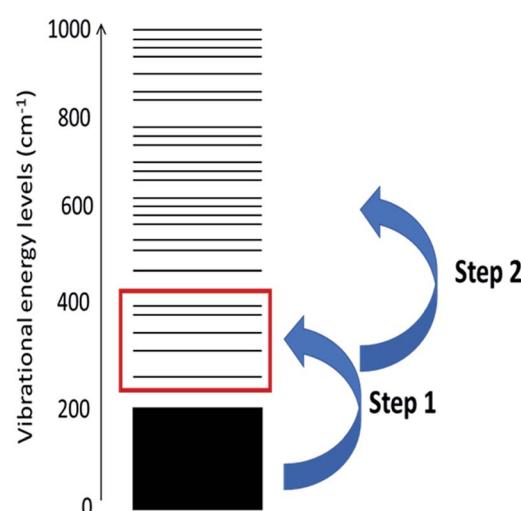


Fig. 1 Schematic representation of the vibrational up-pumping model. The lowest frequency modes (black rectangle) absorb the initial mechanical energy, which then transfers to the 'doorway' modes (red rectangle). Finally, the doorway mode energy up-pumps into internal molecular modes at higher frequency to induce bond rupture.



a single vibrational normal coordinate that is responsible for initiating  $\text{N}_3^-$ .<sup>37</sup> In such cases, a direct up-pumping model can be discussed.<sup>25</sup> Such a mechanism is possible if either (1) the target mode is sufficiently isolated such that the multi-phonon density of states is negligible in its vicinity, or (2) the target mode has sufficiently strong coupling to the phonon bath to permit rapid, direct transfer. While condition (1) often applies to EMs composed of simple energetic molecules such as  $\text{N}_3^-$ , for other EMs, such as those consisting of cyclic molecules shown in Fig. 2, the decomposition pathways can be quite complex. Instead, an up-pumping model can be constructed that is based on an indirect (thermal) mechanism.<sup>41</sup> In this case, vibrational energy rapidly up-pumps into the entire manifold of internal molecular modes which leads to the population of a subset of vibrational modes responsible for bond rupture and initiation. This is the approach used throughout this work. Sensitivity is thus correlated with the total up-pumping into internal vibrational modes. This correlation is consistent with RRKM theory, in which localisation on particular modes becomes more probable with higher amounts of localised energy. Furthermore, the 0 K limit previously employed in our earlier work on the azide materials<sup>37</sup> is now extended to include temperature effects as well as the relative heating associated with adiabatic compression.

## Test set

Typical organic EMs consist of molecules with a large number of atoms and, common to other molecular materials, form crystals that adopt comparably large unit cells that possess low symmetry. Test candidates were therefore selected for which calculations were computationally tractable whilst ensuring

that a broad range of impact sensitivities and structure types were represented. The test set was explicitly selected to include families of structurally related compounds, as well as a range of chemically diverse species. In this way, we aimed to test our model both within and between families of EMs, the latter having proved particularly challenging in the literature. Although many of these materials have been studied extensively, it is not uncommon for a range of impact sensitivities to be reported for the same compound. Documented factors influencing the reproducibility of impact sensitivity measurements for a given compound are the particle size, particle size distribution and phase purity.<sup>52</sup> Three of the compounds, guanidinium tetrazolate (GTZ), aminoguanidinium tetrazolate (AGTZ) and diaminoguanidinium tetrazolate (DGTZ) are new materials, reported here for the first time. The test set used in our study is given in Fig. 2 (with crystal structures in the ESI S1†) and Table 1, alongside a summary of reported impact sensitivities. The output of the computational model will be compared against the trend in experimental impact sensitivities. This trend in impact sensitivity is based on the following collated observations based on the open literature and new measurements (for abbreviations see Table 1 and Fig. 2):

1. It is widely acknowledged that TATB is insensitive to impact.<sup>12</sup> Most reports state its impact sensitivity to be 'immeasurable'. Only a single source suggests that TATB has a sensitivity on the order of 50 J,<sup>52</sup> although no indication was provided as to its origin or the experimental method used.

2. NTO is generally regarded as a highly insensitive material.<sup>52</sup>

3. Despite discrepancies in the size of the sensitivity value (ranging from  $\sim 20$  J to 50 J), it is accepted that  $\alpha$ -FOX-7 is less sensitive than  $\beta$ -HMX.<sup>52</sup>

4. HNB is well-known to be highly sensitive and exceeds the sensitivity of  $\beta$ -HMX.<sup>50</sup>

5. The sensitivity of 5-substituted  $1H$  tetrazoles is ranked according to R groups and follows the series  $\text{CH}_3 < \text{NH}_2 < \text{N}_2\text{HO}_2 < \text{Cl} < \text{NO}_2 < \text{N}_3 < \text{N}_2^+$ .<sup>53,54</sup> The Cl-substituted tetrazole is reported to have an impact sensitivity  $>40$  J. It is reasonable to expect the  $\text{NH}_2$ -substituted compound to be effectively insensitive. No data could be found to support the notion that 5-amino- $1H$ -tetrazole exhibits any notable sensitivity. For the purpose of this study we have assumed a value of  $>50$  J.

6. No sensitivity was detected for the new compounds GTZ or DGTZ at impact energies up to 50.1 J.<sup>51</sup>

7. No sensitivity was detected for the new compound AGTZ at impact energies up to 8.2 J (maximum tested energy).<sup>51</sup>

From these facts we derive the following series of sensitivity: ABT  $>$  HNB  $>$  HMX  $>$  HBT  $>$  FOX-7  $>$  ATZ  $>$  NTO  $\approx$  TATB  $\approx$  GTZ  $\approx$  AGTZ  $\approx$  DGTZ.

## Results and discussion

### Electronic structure-band gap criterion

EM initiation requires rupture of a chemical bond, which itself requires population of an antibonding state. This has led to the so-called 'band gap criterion' for EM sensitivity prediction.<sup>55</sup> The HSE06 screened hybrid functional is a recognised standard

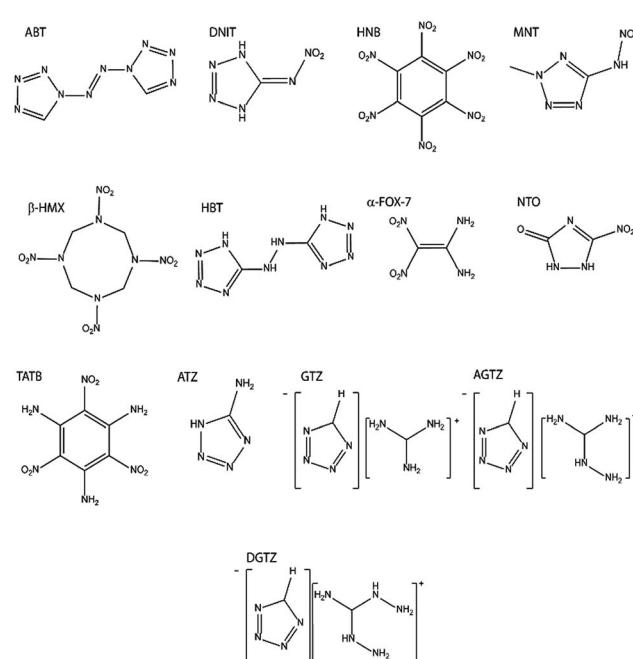


Fig. 2 Lewis structures of the EMs investigated in this work. The corresponding crystal structures are given in the ESI S1†.



**Table 1** Experimental impact sensitivities (IS). Values of  $h_{50}$  correspond to the height (cm) from which a 2.5 kg weight is released. The corresponding energy is quoted as  $E_{50}$ . Sensitivities based on unknown testing criteria are labelled as UTC. These may represent  $h_{50}$  or the limiting impact value

Material	Acronym	IS ( $h_{50}$ )/cm	IS ( $E_{50}$ )/J	IS (UTC)/J	Ref.
1,1'-Azobistetrazole	ABT	—	—	<1	44
4,5-Dihydro-5-nitrimino-1 <i>H</i> -tetrazole	DNIT	—	—	1.5	45
Hexanitrobenzene	HNB	11	2.75	—	46
2-Methyl-5-nitramino-2 <i>H</i> -tetrazole	MNT	—	—	3	45
1,3,5,7-Tetranitro-1,3,5,7-tetrazocane	HMX	32	8	—	47
		29	7.25	—	48
5,5'-Hydrazinebistetrazole	HBT	26	6.5	—	48
		—	—	>30	49
1,1-Diamino-2,2-dinitroethene	FOX-7	126	31.5	—	50
Nitrotriazolone	NTO	291	72.75	—	48
2,4,6-Triamino-1,3,5-trinitrobenzene	TATB	490	122.5	—	48
5-Amino-1 <i>H</i> -tetrazole	ATZ	—	>50 <sup>b</sup>	—	See text
Guanidinium-1 <i>H</i> -tetrazolate	GTZ	>204 <sup>a</sup>	>50.1	—	51
Aminoguanidinium-1 <i>H</i> -tetrazolate	AGTZ	>31 <sup>a</sup>	—	>8.2	51
Diaminoguanidinium-1 <i>H</i> -tetrazolate	DGTZ	>204 <sup>a</sup>	>50.1	—	51

<sup>a</sup> Recalculated for a drop weight mass of 2.5 kg. <sup>b</sup> Assumed value.

for band gap calculations, although it is often too expensive for large molecular systems. Based on results obtained for a series of inorganic materials, the less demanding hybrid GGA functional, B3PW91, has instead been shown to predict fundamental band gaps ( $E_g$ ) in good agreement with experiment.<sup>56,57</sup> For the study of the EMs considered here, it is therefore of interest to compare HSE06 and B3PW91 band gap energies alongside a standard GGA functional such as PBE.

To the best of our knowledge, only limited experimental data regarding  $E_g$  values are available for the materials studied here. Experimental UV-Vis spectra have been documented for  $\beta$ -HMX.<sup>58</sup> While the fundamental band gap (*i.e.* the difference between the ionization potential and electron affinities) is in principle different to the optical band gap (which is stabilized by electron–hole interactions, and may be restricted by symmetry), the discrepancy is often small in solid state organic materials.<sup>59</sup> Hence, the UV-Vis spectra should offer a good indication when considering the validity of calculated  $E_g$  values. Furthermore, it should be noted that both  $\alpha$ -FOX-7 and TATB are yellow powders, which indicates the presence of optical transitions in the region of about 2.7 eV.

The  $E_g$  values calculated for the series of molecular energetic compounds are given in Table 2. The B3PW91 functional predicts values of  $E_g$  that are consistently slightly higher than those obtained from HSE06, ranging from  $(E_g(\text{HSE06})) + 0.24$  eV to  $(E_g(\text{HSE06})) + 0.34$  eV. As expected, due to the absence of exact exchange in the PBE calculations, considerably lower  $E_g$  values are returned in comparison with the higher-level functionals. The values for PBE-based calculations available in the literature (see Table 2) differ only slightly from those calculated here. This is most notable for  $\beta$ -HMX, which may be attributed to other differences between the computational models such as the use of plane wave bases sets for the literature reports, which contrasts with the localised basis sets used in this work. In all cases, the  $G_0W_0$  calculations found in the literature suggest a band gap that is larger than that calculated by either B3PW91 or HSE06. Given

the limited availability of experimental data, and taking into account the colour of TATB and FOX-7, it can be inferred that  $G_0W_0$  quasi-particle methods may overestimate the values of  $E_g$ . This has been demonstrated previously for inorganic systems.<sup>56</sup>

As has been noted for a set of energetic azide materials,<sup>37</sup> there is no noticeable correlation between band gap values and the reported impact sensitivity of the molecular EMs. This also holds for the materials tested here, see Table 2. Based on the B3PW91 or HSE06 calculations, the predicted sensitivity ordering would be NTO >  $\alpha$ -FOX-7 ≈ HNB ≈ TATB > ABT > DNIT > ATZ >  $\beta$ -HMX > MNT > HBT > GTZ > AGTZ > DGTZ. A comparison with the experimental ordering deduced above shows clearly that this is incorrect. Similar to observations for the azide materials,<sup>37</sup> there is no evidence of any correlation between sensitivity and the nature of the band gap in a material (either direct or indirect

**Table 2** Fundamental electronic band gaps ( $E_g$ /eV) in the crystalline molecular energetic materials, arranged in order of decreasing impact sensitivity, and labelled as direct (D) or indirect (I). All values calculated here are based on a localised basis set

Material	B3PW91	PBE	HSE06	Lit. Calc.	Lit. Exp.
ABT	5.0317 (I)	2.9982 (I)	4.7882 (I)	—	—
DNIT	5.1011 (I)	3.4672 (D)	4.8073 (I)	—	—
HNB	3.9433 (I)	2.1040 (I)	3.6887 (D)	—	—
MNT	5.7141 (D)	3.9746 (D)	5.4179 (D)	—	—
$\beta$ -HMX	5.4954 (D)	3.6826 (I)	5.2176 (D)	7.21, <sup>a</sup> 4.66 <sup>c</sup>	5.32 <sup>f</sup>
HBT	5.9569 (I)	4.2069 (I)	5.6364 (I)	—	—
$\alpha$ -FOX-7	3.9833 (I)	2.4483 (I)	3.6719 (I)	5.1, <sup>b</sup> 2.2, <sup>e</sup> 1.9 <sup>d</sup>	—
NTO	3.5024 (I)	2.1027 (D)	3.1892 (I)	—	—
TATB	3.9824 (I)	2.6334 (I)	3.6599 (I)	4.66, <sup>a</sup> 2.52 <sup>c</sup>	—
ATZ	5.3775 (I)	3.6906 (I)	5.0330 (D)	—	—
GTZ	7.0430 (I)	5.3301 (I)	6.7056 (I)	—	—
AGTZ	7.1283 (I)	5.2721 (I)	6.7922 (I)	—	—
DGTZ	7.2912 (I)	5.2183 (I)	6.9785 (I)	—	—

<sup>a</sup>  $G_0W_0$  from ref. 61. <sup>b</sup>  $G_0W_0$  from ref. 62. <sup>c</sup> PBE from ref. 61. <sup>d</sup> PBE from ref. 63. <sup>e</sup> PBE from ref. 20. <sup>f</sup> From ref. 58 based on UV-Vis spectroscopy.



band gap). The band gap criterion performs poorly even within classes of compounds. For example, within the series of tetrazole-based compounds, an ordering on the basis of  $E_g$  should deliver ABT > DNIT > ATZ > MNT > HBT > GTZ > AGTZ > DGTZ. While this ordering does capture the general trend of sensitive vs. insensitive, the accuracy of ordering remains poor compared to the data in Table 1. Thus, in order to find a correlation with experimental impact sensitivities, investigations must probe beyond electronic band gap energies.<sup>60</sup>

### Vibrational up-pumping – sensitivity prediction

**Inelastic neutron scattering spectra.** In order to ensure the validity of the computational method, the simulated vibrational spectra for a subset of molecular EMs were first compared to experimental inelastic neutron scattering spectra (INS):  $\beta$ -HMX,  $\alpha$ -FOX-7, NTO and TATB. INS spectroscopy is particularly suited for this purpose, as (1) it is sensitive to the motion of light atoms (particularly hydrogen), (2) it is not restricted to quantum selection rules, and (3) spectra are straightforward to simulate from calculated eigenvectors and vibrational frequencies.

The simulated INS spectrum for one of the more sensitive test compounds,  $\beta$ -HMX (Fig. 3) shows, in general, a good agreement with experiment. The frequencies in the low-energy region of the INS spectrum are well-reproduced. The simulation underestimates the top level of the phonon bath ( $\Omega_{\max}$ ) by only *ca.* 5  $\text{cm}^{-1}$ . There appears to be a systematic underestimation of the vibrational frequencies in the  $\omega > 200 \text{ cm}^{-1}$  region of the spectrum, amounting to *ca.* 20  $\text{cm}^{-1}$ . The intensities of the lowest frequency modes are not reproduced particularly well, which may be indicative of minor issues in generating the correct eigenvectors; we do not however expect this to make any significant difference to subsequent calculations in this work. A better agreement between simulation and experiment is achieved for  $\alpha$ -FOX-7, Fig. 3. The simulated INS spectrum of NTO also exhibits excellent agreement with experiment, Fig. 3, despite a small instability (negative frequencies) that could not be converged out of the calculated phonon dispersion curves (ESI S3†). Both the intensities and frequencies appear well-reproduced across the spectrum. This strongly suggests that this minor instability has only a negligible influence on the vibrational structure. There appears to be some disagreement among the vibrational modes at higher frequency (*ca.* 800  $\text{cm}^{-1}$ ) in the INS spectrum of TATB (Fig. 3); overall, however, the spectrum is reproduced very well in both frequencies and intensities. A description of the effects of  $q$ -vector sampling density on modelling the INS curves is given in ESI S4†.

**Vibrational structure.** The value of  $\Omega_{\max}$  is defined as the top level of the manifold of lattice-based vibrational modes (*i.e.* the external modes). This definition stems from the average anharmonic approximation, which assumes that all external modes ( $q$ ) couple sufficiently quickly in order to permit rapid equilibration. Solid materials that contain  $Z$  molecules will have three acoustic bands and  $6Z - 3$  external modes. Large organic molecules typically exhibit low-frequency internal vibrations at energies below those of the highest-frequency lattice mode, also known as amalgamated modes. Within the context of the model

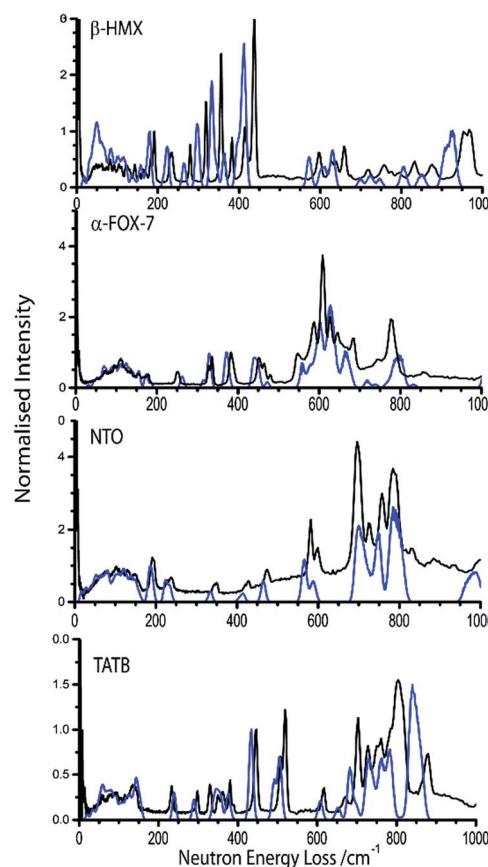


Fig. 3 INS spectra for  $\beta$ -HMX,  $\alpha$ -FOX-7, NTO, and TATB at 10 K. The experimental pattern (black lines) is shown in comparison to simulated INS spectra (blue lines) at sampling densities of the Brillouin zone 0.04  $\text{\AA}^{-1}$ . See ESI S4† for discussion of sampling density.

developed here, we therefore define  $\Omega_{\max}$  as the highest frequency band above the  $6Z$  external modes, which cannot be distinguished from the phonon bath by a gap in the phonon dispersion curves. Generally, this allows for the capture of modes with high anharmonicity, thus participating in the rapid equilibration of mechanical energy. The resulting values of  $\Omega_{\max}$  are given for each material in Table 3. Deducing the value of  $\Omega_{\max}$  for NTO is somewhat challenging. While the  $6Z$  vibrational external modes fall below  $\omega = 170 \text{ cm}^{-1}$ , amalgamated modes arising from  $-\text{NO}_2$  wagging motions are observed at 200  $\text{cm}^{-1}$ . As it is not clear which value constitutes the top of the phonon bath, both are considered in the following treatment. Note that, as discussed previously,<sup>37</sup> only those vibrational bands are considered that correspond to the energetic molecule, while in the case of salts those which solely arise from any counter ions, are not. This follows on from knowledge of the scattering rate,  $\tau$ , as given by eqn (5), or analogously, eqn (7).

$$\tau \propto V^{(3)} \Omega^{(2)} \quad (7)$$

where  $\Omega^{(2)}$  refers to the two-phonon density of states. This assumption requires that uncorrelated vibrational modes (*i.e.* those residing on different molecules) do not typically scatter to any notable extent.<sup>42</sup> Due to the typically low level of dispersion



**Table 3** Characteristic vibrational frequencies (maximum phonon frequency  $\Omega_{\max}$ ; first doorway frequency,  $\omega_d$ ;  $\Delta\omega_d = \omega_d - \Omega_{\max}$ ) arranged in approximate order of decreasing impact sensitivity

	$\Omega_{\max}/\text{cm}^{-1}$	$\omega_d$	$\Delta\omega_d$
ABT	145	150	5
DNIT	145	158	13
HNB	135	160	25
MNT	175	180	5
$\beta$ -HMX	193	210	17
HBT	200	225	25
$\alpha$ -FOX-7	185	255	70
NTO	170	180	10
NTO	200	210	10
TATB	160	234	74
ATZ	220	285	65
GTZ	225	355	130
AGTZ	235	360	125
DGTZ	235	355	130

in organic materials, we treat all phonons as Einstein phonons. Hence, all terms in eqn (7) are wave vector-independent. This allows an evaluation of eqn (7) (and related equations) that is based on the phonon density of states, as opposed to the phonon dispersion curves.

The data presented in Table 3 gives an initial qualitative insight into predicting the ordering of impact sensitivity, namely that an increase in the gap between  $\Omega_{\max}$  and the first doorway mode correlates with a decrease in impact sensitivity.

**Doorway mode density.** Mechanical impact leads to direct excitation of the lowest-frequency lattice modes.<sup>25</sup> With the exception of amalgamated phonon modes, these low-frequency vibrations exhibit external lattice character, and hence do not induce a distortion of the internal molecular structure. It follows that excitation of the internal modes is required to achieve vibrationally-induced transient metallisation (*i.e.* closure of the band gap)<sup>64</sup> and to populate anti-bonding states in the energetic molecule. This process triggers initiation.

Within the average anharmonic approximation,  $V^{(3)}$  in eqn (7) depends on the anharmonic character of the scattering vibrational modes. Hence, the phonon bath (*i.e.*  $V^{(3)} \propto qqq$ ) equilibrates rapidly within picoseconds.<sup>27</sup> Following equilibration of the phonon states, vibrational up-conversion occurs *via* phonon-phonon coupling up to a maximum frequency of  $2\Omega_{\max}$  within the first anharmonic approximation. This represents processes in which the relationship  $V^{(3)} \propto qqq$  applies. Vibrational states at frequencies  $\Omega_{\max} < \omega < 2\Omega_{\max}$  are defined as doorway modes. Subsequent excitation beyond the first anharmonic approximation requires scattering between a doorway mode and a phonon mode, for which  $V^{(3)} \propto qQQ$  applies and which therefore occurs somewhat more slowly.

The rate of phonon scattering in eqn (7) is proportional to  $n(\omega, T)$ . This is the Bose–Einstein population of a given phonon at a given temperature which can be used to predict the rate of energy transfer between the phonon bath and doorway modes *via*  $q(q)_n Q$  processes. These occur at the rate  $k_{\text{ph}} \rightarrow v$  (eqn (8))<sup>29</sup>

$$k_{\text{ph}} \propto V^{(n+1)} \Omega^{(n+1)} [n_{\text{ph}}(\theta_e) - n_v(\theta_e)]; \quad (8)$$

Eqn (8) has been simplified by some authors using the energy transfer parameter,  $\kappa$ ,<sup>29,35,36</sup> to give,

$$\kappa = \frac{j\hbar\Omega}{\tau_1(0)\theta_e} \quad (9)$$

where  $j$  describes the number of doorway modes with frequencies  $\Omega$ . The term  $\theta_e$  is defined as the temperature at which  $n_{\Omega/2}(\theta_e) - n_{\Omega}(\theta_e) = 1$  is fulfilled. It describes the temperature at which the rate of up-pumping is equivalent to the rate of low-temperature vibrational cooling. At this temperature, eqn (8) simplifies to the intrinsic transfer rate used in eqn (7). Hence,  $\kappa$  describes the temperature-independent energy conversion rate between a phonon and a doorway mode. Since the ratio  $\Omega/\theta_e$  and the scattering rate  $\tau$  at  $T = 0$  are both approximately constant for organic materials, eqn (9) depends largely on the number of doorway modes,  $j$ , only.<sup>36</sup>

The probability of up-conversion into the doorway states therefore depends on the density of doorway states (*i.e.* the number of modes per unit cell volume), Fig. 4. This probability is calculated as the density of doorway modes. It is normalised by the magnitude of the doorway region and  $3N$  (see ESI S5†). It is generally found that the more sensitive materials contain a higher density of vibrational states within the doorway region, whereas insensitive materials exhibit only minimal density in this part of the spectrum. Hence, despite yielding a rather poor ordering of relative impact sensitivities for the EMs investigated here, this qualitative model does appear capable of offering a broad classification (sensitive *vs.* insensitive) of each material. Its predictions agree well with previous studies that employed this model.<sup>35,36</sup>

**Overtone-based model.** The term doorway mode describes modes that are accessible in the initial excitation from the

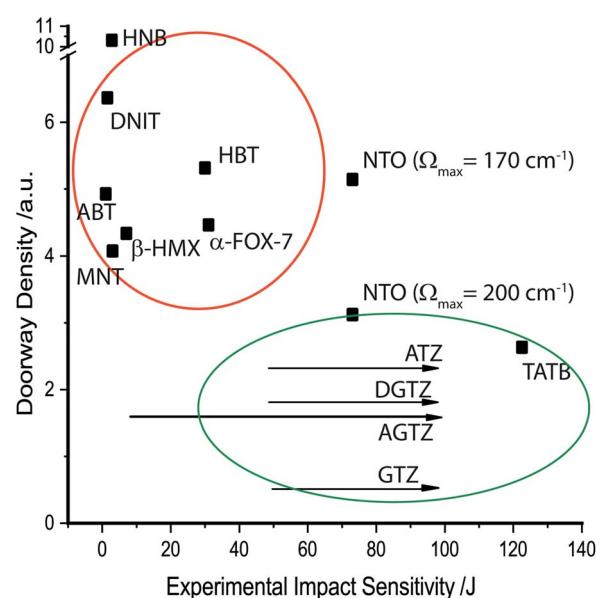


Fig. 4 Correlating density of doorway states against experimental impact sensitivity, with the more sensitive materials clustered within the orange circle, and the less sensitive materials in the green circle. Arrows indicate that higher impact energies could not be measured, and so are approximate values only.



phonon bath. Hence, for scattering processes  $V^{(3)} \propto q(q)_m Q$ , a doorway mode can be defined generally as having a frequency  $\Omega_{\max} < \omega < (m+1)\Omega_{\max}$ . Hence the scattering event  $q_1 q_2 Q$  (the first overtone of order  $m=1$  has  $q_1 = q_2$ ) is limited to  $Q < 2\Omega_{\max}$ , while  $q_1 q_2 q_3 Q$  (the second overtone of order  $m=2$  has  $q_1 = q_2 = q_3$ ) is limited to  $Q < 3\Omega_{\max}$ . This differs from previous works which have assigned *ca.* 700 cm<sup>-1</sup> as being the limiting frequency for initial excitation, despite considering overtone processes with  $m \gg 2$ .<sup>32,35,36</sup> The seemingly arbitrary choice of 700 cm<sup>-1</sup> as the upper limit for vibrational up-pumping appears to have stemmed from experimental limitations of previous decades, rather than being a material-specific value. This issue has been noted previously.<sup>35</sup>

To consider propagation of overtone up-pumping pathways, the phonon density of states,  $g(\omega)$ , is generated to the  $m^{\text{th}}$  order,<sup>37</sup>

$$g(\omega)^{(m)} = g(\omega)/, m+1; \quad \omega^{(m)} = (m+1) \times \omega \quad (10)$$

and is subsequently projected onto the fundamental frequencies of the phonon density of states (PDOS). This yields the projected overtones,  $P(g(\omega)^{(m)})$ . Due to the inclusion of Gaussian smearing (5 cm<sup>-1</sup>) during generation of the PDOS, this includes resonance between near-resonant frequencies. All values of  $P(g(\omega)^{(m)})$  are subsequently normalized by  $\int d\omega g(\omega)$ .<sup>36</sup> A numerical example is provided in ESI S6 and S7.† This is done in agreement with an indirect up-pumping mechanism, in which up-pumped energy equilibrates across the internal vibrational modes of the material. This also acts to remove size dependencies associated with normalization of the density of states to  $3N$ .

Noting that as the rate of phonon–phonon scattering decreases with increasing order, we limit discussion to overtones of order  $m \leq 2$ , *i.e.* the first two overtone pathways. The resulting trend is shown in Fig. 5 against available experimental impact sensitivity. It is generally observed that the sensitive materials are characterized by large values of  $\int P(g(\omega)^{(m)})$ , while less sensitive materials exhibit increasingly smaller values in a nearly exponential fashion. Two materials are seemingly anomalous to this trend: DNIT and AGTZ. The former is predicted to be insensitive, while the latter is predicted to be sensitive (within a large uncertainty window). The opposite appears to be true in both cases.

Including overtone pathways of  $m > 2$  leads to poorer correlation against experimental impact sensitivity (ESI S8†). This is in fact an encouraging observation, as a physical basis should not be found by including the low-probability higher-order scattering processes. It is important to note that this contrasts with earlier reports, which do include discussion of higher-order overtones.<sup>32,35,36</sup> However, the data sets explored in these earlier reports have simplified the discussion to Brillouin zone centre ( $\Gamma$  only) frequencies only and did not consider population conservation between resonant states. These factors may well account for the differences observed.

**Two-step up-pumping model.** Historically, predictive theories that are based on vibrational up-pumping have varied between two base models. In the first, the overtone

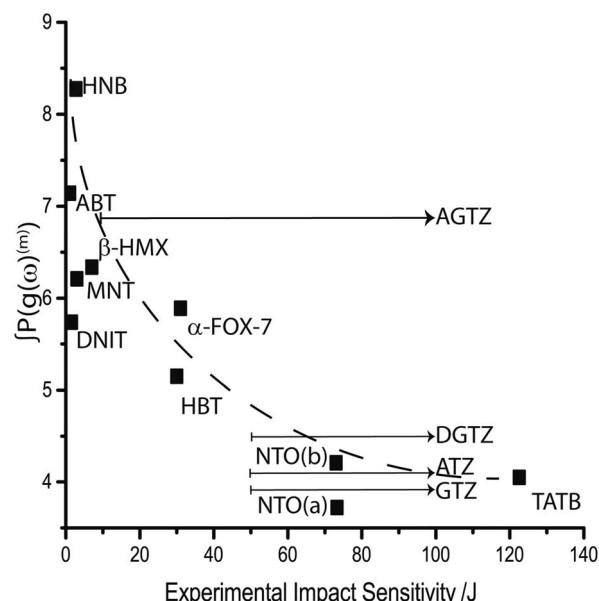


Fig. 5 Correlating projected overtone pathways against experimental impact sensitivity. Only overtones of order  $m = 1, 2$  are considered. A dashed line is included as visual aid. Arrows are added to indicate uncertainty in the measured sensitivity of DGTZ, ATZ, AGTZ and GTZ. NTO values are given for (a)  $\Omega_{\max} = 200$  cm<sup>-1</sup> and (b)  $\Omega_{\max} = 170$  cm<sup>-1</sup>

contributions are considered,<sup>32,35,36</sup> while the second model considers up-pumping based on a two-phonon density of states model.<sup>30,34</sup> The latter is primarily composed of combination pathways, in which  $q_1 \neq q_2$ . Our previous work has suggested that both processes may be important when considering a predictive model for multi-phonon up-pumping.<sup>37</sup> Within the remit of an indirect up-pumping model, we develop herein a two-layered approach within the first anharmonic approximation that combines both base models. In step (1) the first overtone ( $m = 1$ ) is projected onto the doorway modes (*i.e.* to frequencies  $\Omega_{\max} < \omega < 2\Omega_{\max}$ , according to<sup>27</sup>

$$\kappa_{\text{OT}} \propto \Omega^{(2)}[n_{\text{ph}}(T) - n_{\text{d}}(T)] \quad (11)$$

in which  $\Omega^{(2)}$  is restricted to  $\omega/2$ , and where  $n_{\text{ph}}$  and  $n_{\text{d}}$  represent the Bose–Einstein populations at temperature,  $T$ , of the phonon and doorway frequency, respectively. We note that for the purpose of this model, consideration is restricted to the initial energy transfer and, hence, vibrational cooling is not considered. This has the effect of transferring excess phonon population onto the doorway modes.

Time-dependent calculations by Kim and Dlott<sup>27</sup> suggest that for a model molecular material, secondary up-pumping begins within picoseconds of the initial process of populating the doorway region. Hence, in step (2) of our up-pumping model we introduce a combination-based up-pumping process *via*<sup>30</sup>

$$\kappa_{\text{C}} \propto \Omega^{(2)}[n_{\text{ph}}(T)n_{\text{d}}(T) - n_{\omega}(T)] \quad (12)$$

in which the doorway population  $n_{\text{d}}$  is now defined as the sum of the thermal population and that already up-pumped



according to eqn (11). Thus, where the target mode also sits within the doorway region, the value of  $n_\omega$  includes the initial up-pumped population. Restricting discussion to the first anharmonic approximation, the total up-pumped density is considered across the range  $\Omega_{\max} \rightarrow 3\Omega_{\max}$  and normalised by the PDOS within this region. This effects the normalisation of the up-pumped density by the number of states into which the density is pumped.

In accordance with eqn (11) and (12), this two-step model permits consideration of temperature. If the model is considered at an equilibrium temperature of 300 K, Fig. 6 is obtained. There is generally excellent agreement between the predicted ordering and the experimental sensitivity, with two notable exceptions: DNIT and NTO. It is promising, however, to find that the model corrects the ordering of the sensitive compounds, with the highly sensitive materials (HNB, ABT and MNT) all predicted to be higher than  $\beta$ -HMX. HNB is again predicted to be considerably more sensitive than ABT, and NTO (with  $\Omega_{\max} = 170 \text{ cm}^{-1}$ ) is a clear outlier, although the simulated up-pumped density falls dramatically when  $\Omega_{\max} = 200 \text{ cm}^{-1}$  (Fig. 6). While this higher value of  $\Omega_{\max}$  does not rigorously adhere to the definition of  $\Omega_{\max}$  stated above, the potential error in vibrational frequencies (particularly in light of the imaginary calculated frequency) warrants its consideration.

As has been discussed earlier, the increase in equilibrium temperature will depend on both compressibility and anharmonicity of the material through eqn (3). Upon instantaneous compression, the shock energy is deposited into the phonon bath and, as the phonon heat capacity,  $C_{\text{ph}}$ , is considerably lower than the total heat capacity, the quasi-equilibrium temperature achieved by the phonon bath,  $\theta_{\text{ph}}$ , will greatly

exceed that achievable by the bulk solid. The phonon heat capacity is given by the Einstein model as roughly  $6k_{\text{B}}$  per molecule in the primitive unit cell. Due to the amalgamation of some molecular modes into the phonon bath, the approximation  $C_{\text{ph}} \approx 6k_{\text{B}}Z + Y$  for a primitive cell of  $Z$  molecules and  $Y$  amalgamated modes holds. This therefore reflects the number of modes within the phonon bath, Table 4. It is reasonable to assume that the compressibility and anharmonicity of the molecular materials studied here will be similar in this respect. Therefore, the respective values of  $C_{\text{ph}}$  scale as  $T = q/C_{\text{ph}} \Rightarrow T = q/N$ , where  $N$  is the total number of vibrational modes below  $\Omega_{\max}$ .

As a roughly representative value for shock temperature a value of  $\theta_{\text{ph}} = 3000 \text{ K}$  was chosen. When Fig. 6 is re-examined with the addition of a shock temperature, the correlation with experimental impact sensitivity improves substantially, see Fig. 7. At this stage, the sensitive EMs are, for the first time, correctly ordered, with a steep descent towards the insensitive materials. Importantly, NTO is placed correctly, but only if the higher-lying  $-\text{NO}_2$  wagging vibrational modes are included in the phonon bath, *i.e.* when the higher value of  $\Omega_{\max}$  is used. Furthermore, it becomes possible to predict an ordering for the tetrazolate salts, whose experimental sensitivities have not been measured above 50 J.

It is worth highlighting that the correlation based on a multi-phonon up-pumping model given here is the strongest one established to date. Moreover, this model appears capable of ranking EMs across a chemically diverse set of energetic molecules. This finding suggests that all of the information regarding bond strength (*i.e.* dissociation energies) is captured within the relative vibrational structures of these materials and can thus be taken account of in a multi-phonon model. While many of the data points in Fig. 7 appear to fit a decay line, it should be noted that, within the model proposed here, a completely insensitive material must display an up-pumping density of zero. Hence, it is reasonable to expect an

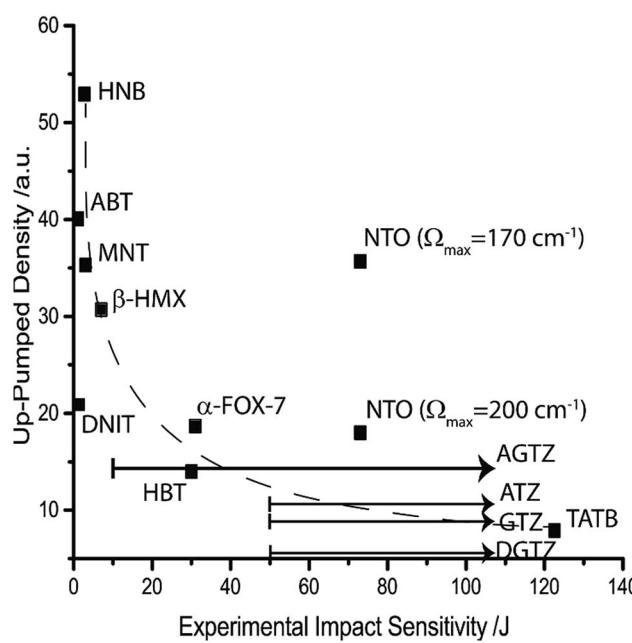


Fig. 6 Correlation of the two-layered up-pumping model at 300 K against experimentally determined impact sensitivity. A dashed line is added to aid visualisation.

Table 4 Relative phonon heat capacities,  $C_{\text{ph}}$ , and quasi-equilibrium temperatures,  $\theta_{\text{ph}}$ , for the EMs studied here.  $Y$  is the total number of modes with  $\omega < \Omega_{\max}$  minus the  $6Z$  external modes. Note that values of  $C_{\text{ph}}$  are shown as normalised equivalents, which are used to scale the relative values of  $\theta_{\text{ph}}$

	$Z$	$Y$	$C_{\text{ph}}$	scaled $\theta_{\text{ph}}/\text{K}$
ABT	4	12	36 $\Rightarrow$ 0.72	4166
DNIT	4	2	26 $\Rightarrow$ 0.52	5769
HNB	2	18	30 $\Rightarrow$ 0.60	5000
MNT	4	12	36 $\Rightarrow$ 0.72	4166
$\beta$ -HMX	4	10	34 $\Rightarrow$ 0.68	4410
HBT	2	4	16 $\Rightarrow$ 0.32	9375
$\alpha$ -FOX-7	4	10	36 $\Rightarrow$ 0.72	4166
NTO (170)	8	2	50 $\Rightarrow$ 1.00	3000
NTO (200)	—	—	64 $\Rightarrow$ 1.28	2343
TATB	2	12	24 $\Rightarrow$ 0.48	6250
ATZ	4	0	24 $\Rightarrow$ 0.48	6250
GTZ	6	0	48 $\Rightarrow$ 0.96	3125
AGTZ	8	4	52 $\Rightarrow$ 1.04	2884
DGTZ	6	4	28 $\Rightarrow$ 0.56	5357



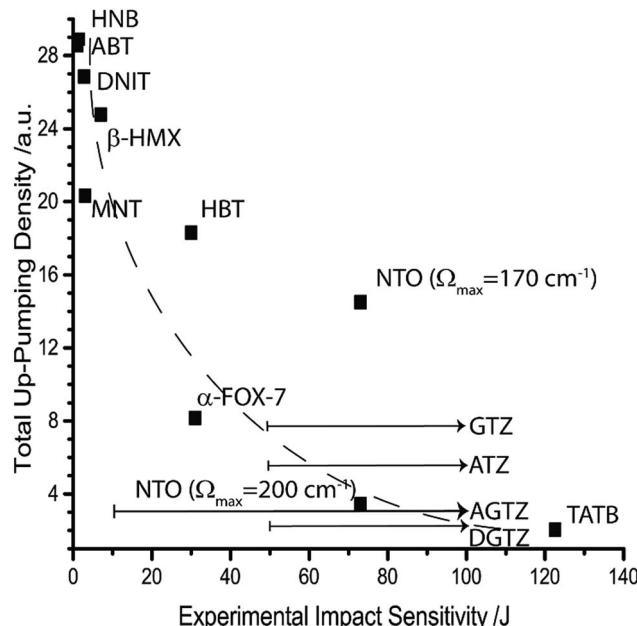


Fig. 7 Up-pumping densities according to the two-layered multi-phonon approach for predicting impact sensitivity. The value of  $\theta_{ph}$  is set according to Table 4, with all modes  $\omega > \Omega_{max}$  set at  $T = 300$  K. The dashed line is added as a visual aid. Arrows indicate uncertainty in experimental sensitivity measurement based on the experimentally determined lower limits.

asymptotic function to fit the data points and this has been explored in Fig. 5 through 7. The validity of this type of correlation strengthens the suggestion that the  $\Omega_{max} = 200\text{ cm}^{-1}$  value found for NTO is consistent across all datasets in this work. This finding is consistent with earlier works on HMX, which assume amalgamation of low-lying  $\text{NO}_2$  wagging modes into the phonon bath.<sup>28</sup>

**Towards molecular level rationalisation of impact sensitivity behaviour.** The ability to up-pump vibrational energy depends critically on the density of vibrational states within the doorway region,  $\Omega_{max} < \omega < 2\Omega_{max}$ . In the cases studied here, these vibrational modes manifest typically as ring deformation (for cyclic EMs) and the wagging motion of ‘dangling’ moieties. These doorway modes are soft internal molecular modes. It follows that molecules bound by weaker interatomic potentials (e.g. in the case of highly electron deficient systems) will contain a larger number of these doorway states. However, due to the intimate relationship between the crystal packing (*via* the phonon bath and  $\Omega_{max}$ ) and both the position and size of the doorway region, it is not yet obvious how doorway density relates directly to molecular structure. At present, any correlation can only be understood in systems which share crystalline packing structures, such as TATB and HNB, Fig. 8.

It is a commonly assumed observation that layered materials are generally insensitive to mechanical impact. HNB is however known experimentally to be substantially more sensitive to impact than TATB. Interestingly, all of the up-pumping models presented in this work correctly predict the impact sensitivity ordering of these two compounds, thereby presenting an

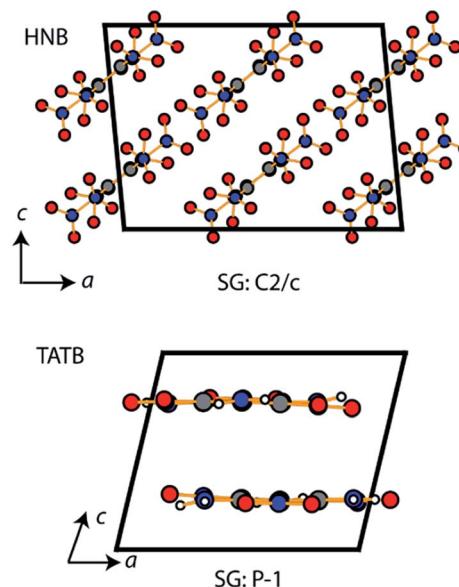


Fig. 8 Crystal packing of TATB and HNB primitive unit cells. The space group (SG) is given for each material and the unit cell axes indicated. Atoms are labelled as O – red, N – blue, C – grey, and H – white.

opportunity to determine why two structurally similar compounds exhibit such different impact sensitivity behaviour. Firstly, we note that the doorway density of HNB is considerably higher than that of TATB (Fig. 4), which suggests that the former is less rigid than the latter. This region is dominated by the  $-\text{NO}_2$  wagging motions and ring deformation modes. The same motions in TATB occur at higher frequencies as the  $-\text{NO}_2$  groups are held in the plane of the ring by internal hydrogen bond interactions with adjacent  $\text{NH}_2$  groups. They are therefore outside this crucial vibrational region (see ESI S9†). Secondly, we observe that the localised vibrational modes for HNB appear at lower wavenumbers than for TATB (see ESI S9†), such that more modes fall below the  $3\Omega_{max}$  upper limit for vibrational up-pumping for the more sensitive compound. This again suggests that HNB is less rigid than TATB. The weakening in ring vibrational modes also correlates with a weakening in ring aromaticity in HNB when comparing the electron density distribution with that for TATB (Fig. 9).

Thus, the vibrational up-pumping model presents the first indication of a physical mechanism that is able to rationalise the enormous difference in the sensitivity of two layered EMs. This finding has two important implications for rationalising the design of new insensitive EMs. Firstly, in order to reduce the density of states in the doorway region and shift the localised vibrational modes that receive the up-pumped vibrational energy, rigid, electron dense molecules are required. This is characteristic of molecules such as FOX-7, NTO and TATB. Secondly, the value of  $\Omega_{max}$  should be minimised in order to minimise the upper bound up-pumping limit of  $3\Omega_{max}$ , as defined by the second overtone population limit. This can be achieved by increasing the degree of layering in the crystallographic packing. The present contribution makes clear that the value of  $\Omega_{max}$  is not the crucial design parameter, but it can



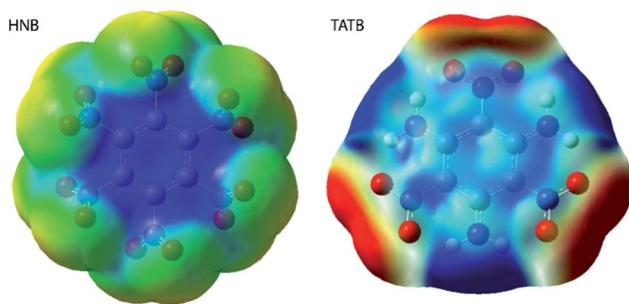
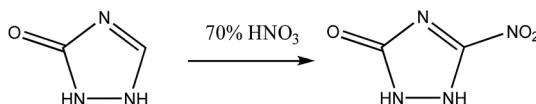
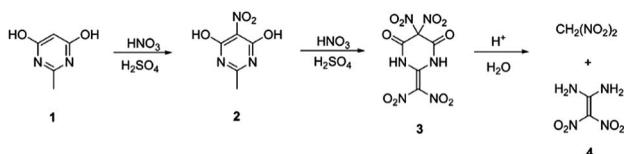


Fig. 9 Electrostatic potential surfaces of HNB and TATB. Surfaces are generated with isovalue  $2 \times 10^{-4}$ , scaled from  $-0.02$  to  $0.02$ . Blue represent electron deficient regions, and red are regions of high electron density.



Scheme 1 Synthetic approach for the synthesis of NTO.



Scheme 2 Synthetic approach for preparation of FOX-7.

assist to reduce sensitivity, provided that the density of the doorway states remains low.

## Conclusions

In the present work, a vibrational up-pumping model is developed to rationalise the sensitivity of a chemically and crystallographically diverse range of molecular energetic materials (EMs). The fully *ab initio* vibrational structures for a subset of these EMs are in excellent agreement with experimental inelastic neutron scattering spectra (INS). This confirms the validity of the theoretically predicted vibrational structures. A qualitative analysis of the vibrational doorway mode density appears to offer an excellent means for ready assignment of sensitivity. However, these assignments are, at best, limited to 'sensitive' *vs.* 'insensitive'. Subsequently, vibrational energy up-pumping was explored using an overtone mechanism, where  $\Omega^{(2)}$  is restricted to the coupling of two counterpropagating phonons. As suggested in previous works,<sup>36</sup> this type of model does offer good agreement with experimental sensitivities, despite failing in some cases. However, the correlation can be improved considerably when a two-layer up-pumping mechanism is adopted. This mechanism implies an initial excitation of the doorway states that occurs by overtone pathways. Subsequently, the overtone pathways are pumped higher *via* combination pathways with the underlying

vibrational density of states. This produces excellent agreement between predicted up-pumping rates and experimental sensitivities. This is particularly striking when differences in the relative shock (adiabatic heating) temperatures are considered. Despite its ability to predict the relative impact sensitivity of a broad range of EMs, it must be remembered that the model remains limited to ideal crystal structures. The model predicts the intrinsic sensitivity of EMs, but cannot yet describe the influence of crystallographic defects, grain size, distribution and grain boundary effects. Nevertheless, the new model is still of considerable benefit for screening new materials, and indicative of their inherent potential towards initiation, once the crystal structure is known.

Furthermore, it is important to highlight that the work presented here is based on the internal flow of energy which follows an external perturbation. For the purposes of this work, we have assumed that the excitation occurs *via* a conserved adiabatic heating process. Alternative excitation processes, including fracture and contact stresses (*e.g.* with grit) have also been suggested. Importantly, the nature of the excitation primarily affects the magnitude and spatial distribution of the excitation. The model presented here is largely independent of these parameters. Further work is instead required to understand the magnitude of excitation imposed by these alternative mechanisms and will be the subject of follow-up investigation.

As a result of this work it is now possible to suggest design targets for new EMs. Foremost in the search for insensitive materials appears to be the need for a low density of doorway vibrational modes and for the localised molecular vibrations to be shifted to higher wavenumbers. Both of these features, which are molecular design targets, suggest that increasing structural rigidity will suppress vibrational up-pumping. An excellent example of this is provided in the comparison of the structurally very similar compounds TATB and HNB. The value of  $\Omega_{\max}$  also appears to be crucial for suppressing rapid up-pumping into the highest levels of the vibrational structure. This design parameter falls within the realm of crystal engineering, and suggests a rationalisation for the differing sensitivities of polymorphic and multi-component crystal forms encountered previously.

The *ab initio* model developed here offers excellent predictive capacity across a chemically and crystallographically diverse range of EMs. By introducing consideration of temperature and heat capacity, it allows further exploration of temperature dependence, and dynamic effects associated with material compressibility upon impact. Further development of this model therefore offers immense potential to rationalise EM property behaviour in terms of experimentally tuneable parameters.

## Experimental and computational methods

### Preparative procedures and analytical chemistry

**NTO.** 3-Nitro-1,2,3-triazol-5-one (NTO) was prepared by nitration of TO (prepared by Dr. S. Kennedy, School of Chemistry, University of Edinburgh), according to Scheme 1.<sup>65</sup> TO (*ca.* 5 g, 60 mmol) was dissolved in excess concentrated nitric acid



(70%), and held at 55 °C for *ca.* 45 minutes. The nitration was quenched in an ice bath, filtered and rinsed in ice water. Purity was verified by X-ray powder diffraction.

**FOX-7.** Preparation of 1,1-diamino-2,2-dinitroethene (DADNE, FOX-7) followed from Scheme 2.<sup>65</sup> 2-Methyl-4,6-pyrimidinedione (6.0 g, 0.05 mol) was dissolved in concentrated H<sub>2</sub>SO<sub>4</sub> (95%, 45 mL), at temperatures <30 °C. Concentrated HNO<sub>3</sub> (99%, 20 mL) was subsequently added dropwise maintaining temperatures <20 °C, and the sample stirred for 3 hours. The resulting material was rinsed in concentrated H<sub>2</sub>SO<sub>4</sub>, added to deionized water (100 mL) and stirred for 2 hours. The resulting product was analysed by <sup>1</sup>H and <sup>13</sup>C NMR in DMSO, and subsequently by X-ray powder diffraction.

**TATB and  $\beta$ -HMX.** Samples of these materials were taken from available stock.  $\beta$ -HMX was provided by the Cavendish Laboratory, University of Cambridge. TATB was synthesised by C. Henderson (School of Chemistry, University of Edinburgh). Samples were used as provided, without further purification.

The crystallographic information files for compounds GTZ (CCDC 1900007), AGTZ (CCDC 1900008) and DGTZ (CCDC 1900009) are available free of charge from the Cambridge Crystallographic Data Centre (CCDC).<sup>51</sup> A structure discussion of the crystals of the compounds GTZ, AGTZ, DGTZ (reported here for the first time) as well as triaminoguanidinium tetraazolate (TGTZ) will be provided in a forthcoming paper.

### Condensed matter calculations

The PBE-D2 scheme has been previously demonstrated to work well for molecular energetic materials.<sup>66–68</sup> It was therefore chosen as a starting point for the calculations presented here. All input structures were taken from the Cambridge Crystallographic Database (CCDC):  $\beta$ -HMX (Ref: OCHTET15 (ref. 69)),  $\alpha$ -FOX-7 (Ref: SEDTUQ03 (ref. 70)),  $\alpha$ -NTO (Ref: QOYJOD06 (ref. 71)), HNB (Ref: HNOBEN<sup>72</sup>), ABT (EWEYEL<sup>44</sup>), HBT (TIPZAU<sup>49</sup>), and TATB (TATNBZ<sup>73</sup>) or were recently determined (AGTZ, GTZ, DGTZ). Optimisation was performed using plane wave DFT as implemented in CASTEP v16.<sup>74</sup> The electronic wavefunction was expanded in plane waves to a kinetic energy of 1800 eV for all systems, except HBT (cut-off 1600 eV), and  $\beta$ -HMX and TATB (cut-off 1300 eV). Forces were converged to  $<5 \times 10^{-4}$  eV Å<sup>-1</sup>, and stresses to  $<5 \times 10^{-4}$  GPa. The energy change per atom was converged to  $<1 \times 10^{-9}$  eV per atom. The resulting unit cell parameters are given in Table S3.1.† In all cases, norm-conserving pseudopotentials were used, as available within the CASTEP v16 software package. All phonon calculations were based on primitive unit cells. Phonon calculations were performed using the linear response method to calculate the dynamical matrices on a regular grid of wave vectors, and Fourier interpolated to a fine grid of >150 points for generation of phonon density of states (DOS,  $g(\omega)$ ). Phonon dispersion curves were generated by Fourier interpolation of the computed dynamical matrices along high symmetry paths as proposed by SeeKPath<sup>75</sup> and labelled by IUPAC convention.

Electronic band structures were calculated in CRYSTAL17 (ref. 76) using localised basis sets, available from the CRYSTAL17 database. Their selection was based on previous

success with similar materials and DFT functionals (H-H\_pob\_TZVP\_2012;<sup>77</sup> C-C\_m-6-311G(d)\_Heyd\_2005; N-N\_m-6-311G(d)\_Heyd\_2005;<sup>78</sup> O-O\_m-6-311G(2d)\_Heyd\_2005.<sup>78</sup> In order to ensure closest reproduction of experimental results, all calculations were performed on the crystallographically determined geometries. The band structures were calculated using the HSE06,<sup>78</sup> B3PW91 (ref. 79) and PBE<sup>80</sup> functionals. For all materials, the tolerances (TOLINTEG) were set at 7 7 7 9 30 (as recommended for use with these basis sets<sup>78</sup>). The electronic structure was sampled across a regular grid of points, with *ca.* 120 points sampled in each material.

### Inelastic neutron scattering spectroscopy

All INS spectra were collected using the TOSCA spectrometer at the ISIS Neutron and Muon source.<sup>81,82</sup> Samples (*ca.* 1.5 g) were placed in aluminium sample holders. Samples were cooled to *ca.* 10 K and data collected for a total of *ca.* 400  $\mu$ A h. The sample temperature was subsequently increased in steps of 50 K up to a maximum of 200 K for  $\beta$ -HMX and TATB, and 150 K for  $\alpha$ -FOX-7. Data were collected at each 50 K interval. Both forward and back-scattered data were accumulated and corrected for scattering from the sample holder and background. All data processing was done using Mantid.<sup>83</sup> INS spectra were simulated using ABINS,<sup>84</sup> as implemented in Mantid. Only first-order quantum events (*i.e.* the fundamentals) are considered in the simulation of INS spectra.

### Author contributions

The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

### Conflicts of interest

The authors have no conflicts of interest to declare.

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